Inside the Infractions Process:
Paths for Handling Potential Violations

Information about potential rule violations is reported to the enforcement staff by many sources. Some of that information is not credible or specific enough to open an investigation, and other information suggests a violation may have occurred. When credible information is received, either the enforcement staff begins investigating or it is handled outside the infractions process. As an investigation evolves, there are at least five paths an incoming piece of information might take. Some cases may involve more than one of the resolution paths listed below.

- **ELIGIBILITY DETERMINATIONS**
  - Enrolled student-athlete: NCAA Student-Athlete Reinstatement staff issues reinstatement conditions. Decision may be appealed to the appropriate membership committee.
  - Prospect: NCAA Eligibility Center staff makes amateurism and academics certification determinations.

- **INCOMING INFORMATION**
  Information is received from self-reports, sources, public or member tips, social media and other relationships. Information is handled through one or more of these vehicles.

- **ENFORCEMENT STAFF RESOLUTION**
  - Level III/Secondary violation: Decision may be appealed to the Committee on Infractions.
  - Notice to institution or conference.

- **INDEPENDENT COMMITTEE RESOLUTION**
  - Independent Accountability Resolution: No appeal opportunity.

- **COMMITTEE ON INFRACTIONS RESOLUTION**
  Resolution through hearing, summary disposition or negotiated resolution. Contested decisions may be appealed to the Infractions Appeals Committee.
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- **Prospect**
  - NCAA Eligibility Center staff makes amateurism and academics certification determinations.

### CLOSED MATTERS

- Investigated and not substantiated or actionable
  - Case closed.

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