INSIDE THE DIVISION I INFRACTIONS PROCESS

Infractions Process Overview

The infractions process is designed to ensure fair play and integrity among NCAA schools. Here is a look at how the process works and the options available to reach a fair resolution.

Potential Resolution Methods

Negotiated Resolution
- Parties agree on the facts, violations, level and classification, and penalties.
- A COI panel reviews and approves a report compiled by the parties.
- There is no opportunity to appeal.

Summary Disposition
- Parties agree to the facts and level but not classification or penalties.
- Parties submit the report to a COI panel, which issues penalties and a decision.
- Parties can request an expedited hearing about penalties. Those penalties may be appealed.

Written Record Hearing
- Parties have limited disagreement on the facts, violations and/or level of an allegation.
- Parties submit allegations, including the level of agreement and remaining issues, and written submissions to a COI panel.
- The COI focuses on the contested portions of the case and decides violations and penalties on the written record.

Full Hearing
- Reserved for limited cases based on defined factors.
- Parties submit allegations and written submissions to a COI panel.
- All parties review the allegations at a hearing with a COI panel.
- The COI decides violations and penalties.

No Level I/II Violation Alleged

Rule Creation
NCAA rules are proposed, considered and adopted to uphold the NCAA’s values and protect the integrity of college sports.

Review and Investigation
The enforcement staff reviews information about potential violations. If investigation is needed, the enforcement staff issues a notice of inquiry and works with the school to discover the facts.

Appeals
The Infractions Appeals Committee has the final say, affirming if there is information in the record supporting the decision and not setting aside COI decisions unless no reasonable person could have made the decision after considering the record.

No Level I/II Violation Alleged

POTENTIAL RESOLUTION METHODS

RULE CREATION

REVIEW AND INVESTIGATION

POTENTIAL RESOLUTION METHODS

APPEAL

Written Record Hearing

Summary Disposition

Full Hearing Resolution

No Level I/II Violation Alleged

Negotiated Resolution
INSIDE THE DIVISION I INFRACTIONS PROCESS:

**Negotiated Resolution**

When schools, involved individuals and the NCAA enforcement staff agree to violation, level, classification and penalties, they can work together on a negotiated resolution. The process uses fewer resources and expedites review by the Division I Committee on Infractions. While it is the preference for everyone to work toward a resolution together, a negotiated resolution may be reached with an individual or school while the remainder of the case is resolved through other methods. The Division I Committee on Infractions reviews the case to determine whether the resolution is in the best interest of the NCAA and whether the agreed-upon penalties are appropriate.

**Negotiated Resolution vs. Summary Disposition**

Negotiated resolution differs from the summary disposition process in that all violations, level of those violations and penalties in a negotiated resolution must be settled before the COI reviews the case. In a summary disposition case, the school and involved individuals agree to the facts and overall level of the case, then the COI reviews the case, accepts the violations and proposes penalties.

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*If a negotiated resolution is reached for only a portion of a case, it is final and the approved penalties are effective immediately.*
INSIDE THE DIVISION I INFRACTIONS PROCESS:

**Summary Disposition**

Cases in which the school and involved individuals agree with the NCAA enforcement staff about the facts, violations and levels may be resolved using the summary disposition process.

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**POTENTIAL RESOLUTION METHODS**

- Negotiated Resolution
- Summary Disposition
- Written Record Hearing
- Full Hearing Resolution
- No Level I/II Violation Alleged

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**ENFORCEMENT + SCHOOL AND INVOLVED INDIVIDUALS**

Enforcement works with school and participating involved individuals to draft the report.

**COMMITTEE ON INFRACTIONS**

Panel reviews the report.

- Panel accepts agreed-upon facts, violations and violation levels; no additional penalties proposed.
  - Case is final; no opportunity to appeal.

- Panel accepts agreed-upon facts, violations and violation levels, but proposes additional penalties.
  - Enforcement issues a notice of allegations and case is processed through the hearing track.

- School and/or involved individuals disagree with additional penalties, and panel holds an expedited hearing on penalties only.
  - School and involved individuals accept additional penalties.

- School and/or involved individuals accept additional penalties.
  - Panel issues a decision and case is final; no opportunity to appeal.

**COMMITTEE ON INFRACTIONS**

Panel issues its decision; school and/or involved individuals may only appeal contested penalties.
INSIDE THE DIVISION I INFRACTIONS PROCESS: Written Record Hearing

Cases in which the school or one or more individuals have limited disagreement on the facts, violations or violation levels may be processed through a written record hearing with a panel of Division I Committee on Infractions members. Members of the COI can include representatives from member schools, conferences and the public, including current and former university presidents, chancellors and athletics directors, conference commissioners, campus administrators, faculty athletics representatives, former coaches, former government officials and more.

POTENTIAL RESOLUTION METHODS
- Negotiated Resolution
- Summary Disposition
- Written Record Hearing
- Full Hearing Resolution
- No Level I/II Violation Alleged

PREHEARING
- NOTICE OF ALLEGATIONS
  NCAA enforcement staff issues a notice of allegations.
- SCHOOL/INDIVIDUAL RESPONSES
  Schools and involved individuals provide a response to the allegations.
- ENFORCEMENT REPLY
  Enforcement provides additional information about the allegations.

COMMITTEE REVIEW
- WRITTEN RECORD HEARING
  COI panel reviews submissions, deliberates to find facts, concludes whether violations occurred and prescribes penalties.
- DECISION
  COI issues its decision with an analysis of its findings, conclusions and penalties.

APPEAL
- WRITTEN APPEAL (IF APPEALED)
  School or involved individual appeals violations and/or core penalties outside the standard range to the Infractions Appeals Committee.
- COI RESPONSE
  COI provides a response to the issues raised on appeal.
- REBUTTAL
  School or involved individual may provide an additional submission addressing issues in its initial submission or the COI response.
- ENFORCEMENT STATEMENT
  Enforcement may provide a statement regarding new information, errors, misstatements or omissions.
- REVIEW AND DECISION
  IAC reviews the submissions, deliberates and issues its final decision.
INSIDE THE DIVISION I INFRACTIONS PROCESS:

Full Hearing Resolution

Cases in which specific factors are met and the school or one or more individuals do not agree to the facts, violations or violation levels may be processed through a full hearing with a panel of Division I Committee on Infractions members. Members of the COI can include representatives from member schools, conferences and the public, including current and former university presidents, chancellors and athletics directors, conference commissioners, campus administrators, faculty athletics representatives, former coaches, former government officials and more.

PREHEARING

NOTICE OF ALLEGATIONS
NCAA enforcement staff issues a notice of allegations.

SCHOOL/INDIVIDUAL RESPONSES
Schools and involved individuals provide a response to the allegations.

ENFORCEMENT REPLY
Enforcement provides additional information about the allegations.

COMMITTEE REVIEW

FULL HEARING
COI panel reviews factual information with school, involved individuals and enforcement.

DELIBERATIONS
COI panel deliberates to find facts, concludes whether violations occurred and prescribes penalties.

DECISION
COI issues its decision with an analysis of its findings, conclusions and penalties.

APPEAL

WRITTEN APPEAL (IF APPEALED)
School or involved individual appeals violations and/or core penalties outside the standard range to the Infractions Appeals Committee.

COI RESPONSE
COI provides a response to the issues raised on appeal.

REBUTTAL
School or involved individual may provide an additional submission addressing issues in its initial submission or the COI response.

ENFORCEMENT STATEMENT
Enforcement may provide a statement regarding new information, errors, misstatements or omissions.

REVIEW AND DECISION
IAC reviews the submissions, deliberates and issues its final decision.

POTENTIAL RESOLUTION METHODS
Negotiated Resolution
Summary Disposition
Written Record Hearing
Full Hearing Resolution
No Level I/II Violation Alleged