## Who’s Who in the Infractions Process

### Inside the Division I Infractions Process:

[june 2019]

{ncaa.org/infractionsprocess}

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<table>
<thead>
<tr>
<th>ENFORCEMENT STAFF</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Members:</strong> A department within the NCAA national office with staff who are former coaches, campus administrators, compliance officers, college athletes, attorneys and more.</td>
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<tr>
<td><strong>What they do:</strong></td>
</tr>
<tr>
<td>• Monitor information regarding potential violations.</td>
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<tr>
<td>• Investigate potential threats to college sports.</td>
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<tr>
<td>• Process less serious violations.</td>
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<tr>
<td>• Provide notice of alleged violations to schools and involved individuals.</td>
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<tr>
<td>• Participate in the Complex Case Unit of the Independent Accountability Resolution process.</td>
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</tbody>
</table>

### Committee on Infractions

$$\begin{array}{ll}
\text{Members:} & \text{Qualified representatives from member schools, conferences and the public, including university presidents, conference commissioners, campus administrators, faculty athletics representatives, former coaches, former government officials and more.} \\
\text{What they do:} & \text{Review case information from the enforcement staff, schools and involved individuals.} \\
& \text{Conduct hearings.} \\
& \text{Resolve factual disputes.} \\
& \text{Conclude whether violations occurred.} \\
& \text{Prescribe appropriate penalties.} \\
& \text{Issue written decisions in summary disposition and hearing track cases.} \\
& \text{Approve negotiated resolutions.} \\
& \text{Restore full membership rights at the end of probation.} \\
& \text{Provide one member to be a part of the Infractions Referral Committee in the Independent Accountability Resolution process.} \\
\end{array}$$

### Infractions Appeals Committee

$$\begin{array}{ll}
\text{Members:} & \text{Qualified representatives from member schools, conferences and the public, including university and athletics administrators.} \\
\text{What they do:} & \text{Review Committee on Infractions decisions for appealed cases.} \\
& \text{Conduct oral arguments.} \\
& \text{Determine whether COI decisions should be upheld or reversed.} \\
& \text{Provide the final word on violations and penalties.} \\
\end{array}$$

### Infractions Appeals Committees Office

$$\begin{array}{ll}
\text{Members:} & \text{A department within the NCAA national office with staff who serve as liaisons to the Infractions Appeals Committee.} \\
\text{What they do:} & \text{Support the movement of cases through the infractions appeals process.} \\
& \text{Serve as a resource for membership, national office staff, committees and public related to the infractions appeals process.} \\
& \text{Facilitate the committee’s resolution of substantive issues.} \\
\end{array}$$

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### Independent Accountability Oversight Committee

$$\begin{array}{ll}
\text{Members:} & \text{Three public members of the NCAA Board of Governors and the chair and vice chair of the Division I Board of Directors. One of the public members will lead the group.} \\
\text{What they do:} & \text{Oversee the Independent Accountability Resolution process.} \\
& \text{Nominate members for Infractions Referral Committee and appoint members of the Complex Case Unit and Independent Resolution Panel.} \\
& \text{Work with the Division I Board of Directors on policies and procedures for the Independent Accountability Resolution process.} \\
\end{array}$$

### Infractions Referral Committee

$$\begin{array}{ll}
\text{Members:} & \text{Five members include one Independent Resolution Panel member, one DI Committee on Infractions member, one DI Infractions Appeals Committee member, the DI Council chair and the DI Council vice chair.} \\
\text{What they do:} & \text{Review and make decisions on requests to use the IAR process.} \\
\end{array}$$

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### Complex Case Unit

$$\begin{array}{ll}
\text{Members:} & \text{External investigators and advocates with no school or conference affiliations and select NCAA enforcement staff.} \\
\text{What they do:} & \text{Determine whether further investigation of the facts is needed.} \\
& \text{Conduct investigations and shepherd the cases through review by the Independent Resolution Panel.} \\
\end{array}$$

### Independent Resolution Panel

$$\begin{array}{ll}
\text{Members:} & \text{15 members with legal, higher education and/or sports backgrounds who are not affiliated with NCAA member schools or conferences. Each case will be handled by a panel of five of the 15 members.} \\
\text{What they do:} & \text{Revise the allegations from the Complex Case Unit and the school’s response to those allegations.} \\
& \text{Oversee the case hearing and decide penalties.} \\
& \text{The panel can expand upon allegations presented by the Complex Case Unit if deemed appropriate.} \\
\end{array}$$

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### Who’s Who in the Infractions Process

- **Enforcement Staff**
  - **Members:** Staff from the NCAA national office who serve as liaisons to the Infractions Appeals Committee.
  - **What they do:**
    - Support the movement of cases through the infractions appeals process.
    - Serve as a resource for membership, national office staff, committees and public related to the infractions appeals process.
    - Facilitate the committee’s resolution of substantive issues.

- **Committee on Infractions**
  - **Members:** A department within the NCAA national office with staff who serve as liaisons to the Infractions Appeals Committee.
  - **What they do:**
    - Review case information from the enforcement staff, schools and involved individuals.
    - Conduct hearings.
    - Resolve factual disputes.
    - Conclude whether violations occurred.
    - Prescribe appropriate penalties.
    - Issue written decisions in summary disposition and hearing track cases.
    - Approve negotiated resolutions.
    - Restore full membership rights at the end of probation.
    - Provide one member to be a part of the Infractions Referral Committee in the Independent Accountability Resolution process.

- **Infractions Appeals Committee**
  - **Members:** A department within the NCAA national office with staff who are former coaches, campus administrators, compliance officers, college athletes, attorneys and more.
  - **What they do:**
    - Monitor information regarding potential violations.
    - Investigate potential threats to college sports.
    - Process less serious violations.
    - Provide notice of alleged violations to schools and involved individuals.
    - Participate in the Complex Case Unit of the Independent Accountability Resolution process.

- **Infractions Appeals Committees Office**
  - **Members:** A department within the NCAA national office with staff who serve as liaisons to the Infractions Appeals Committee.
  - **What they do:**
    - Review annual compliance reports from schools on probation.
    - Analyze compliance with penalties and other requirements.
    - Request follow-up information from schools or involved individuals, if needed.
    - Take substantive issues to the committee.

- **Independent Accountability Oversight Committee**
  - **Members:** Three public members of the NCAA Board of Governors and the chair and vice chair of the Division I Board of Directors. One of the public members will lead the group.
  - **What they do:**
    - Oversee the Independent Accountability Resolution process.
    - Nominate members for Infractions Referral Committee and appoint members of the Complex Case Unit and Independent Resolution Panel.
    - Work with the Division I Board of Directors on policies and procedures for the Independent Accountability Resolution process.

- **Infractions Referral Committee**
  - **Members:** Five members include one Independent Resolution Panel member, one DI Committee on Infractions member, one DI Infractions Appeals Committee member, the DI Council chair and the DI Council vice chair.
  - **What they do:**
    - Review and make decisions on requests to use the IAR process.

- **Complex Case Unit**
  - **Members:** External investigators and advocates with no school or conference affiliations and select NCAA enforcement staff.
  - **What they do:**
    - Determine whether further investigation of the facts is needed.
    - Conduct investigations and shepherd the cases through review by the Independent Resolution Panel.

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  - **Members:** 15 members with legal, higher education and/or sports backgrounds who are not affiliated with NCAA member schools or conferences. Each case will be handled by a panel of five of the 15 members.
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