Creating an Atmosphere of Compliance
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Agenda

• Let’s Define Compliance.
  – Literally, figuratively and in your world.
• Let’s Talk Atmosphere.
  – Who, when, challenges and best practices.
• Let’s Goal Set.
  – 2019-20 is our year!
• Wrap Up & Questions.

Compliance – Literally
com·pli·ance | \ kam-ˈpli-ən(t)s\n
According to Merriam Webster:
• the act or process of complying to a desire, demand, proposal, or regimen or to coercion.
• conformity in fulfilling official requirements.
  • a disposition to yield to others.

According to the NCAA:
• the act of following the Manual.
Requests and Self Reports Online is used for:

- Interpretive Requests.
- Legislative Relief Waivers.
- Reporting Secondary Violations.

RSRO best practices:

- Use the system!
- Include details & documents.
- Do your research.

Find Your Friends

That idea is literally the greatest idea I have ever heard in my life.
Compliance – Figuratively
Fun police. Can’t trust.
Looking for violations.
Paperwork. Just another hat.
Loophole finder.

Straight to voicemail.

The Paperwork

Prior to Practice
- Mandatory medical examination.
- Sickle cell trait status.
- International student-athlete form.
- Transfer tracer form, if appropriate.

Prior to Competition
- Drug-testing consent.
- SA statement.
- SA affirmation of eligibility.

Voluntary
- HIPAA/Buckley Consent Waiver Form.

The Paperwork

Division III Compliance
The Paperwork

- For you.
  - Streamlines the process.
  - Puts all the paperwork in one place.
  - How To.
- For your student-athletes.
  - PSAs can make their own accounts through the NCAA Eligibility Center.
  - SAAs only need to be added once.
- Remember this is ONLY for:
  - Drug Testing Consent.
  - HIPAA/Buckley Statement.
  - Student-Athlete Statement.

Compliance – In Your World

Let’s Talk Atmosphere
Who on your staff is primarily responsible for NCAA compliance?

Who should be involved in NCAA compliance?
Atmosphere - Who

- Compliance Officer
- Registrar
- Athletics Director
- Academic Support
- Student Affairs
- Financial Aid
- Registrar

Atmosphere - When

What is your process for responding to a compliance question from a staff member?

When do you investigate, and ultimately report, violations?

When do you educate SAs, staff?

Atmosphere - Challenges

What are your challenges?
Atmosphere - Successes

What are your best practices & successes?

How can we help?

Atmosphere – Best Practices

• Define compliance on your campus.
• Establish a committee of campus partners.
• Provide rules education on all levels.
• Establish investigating and self-reporting procedures.
• Know where to access compliance resources.
• Don’t be afraid to ASK!

Let’s Goal Set

Given everything we have discussed today…

Definitions
Resources
Proposals
Who
When
Challenges
Best Practices
Successes
Gifs

What is one compliance goal you can set in 2019-20?
Wrap Up & Questions.