

NCAA DIVISION III INFRACTIONS PROCESS

RULE CREATION

WHO DOES IT

- ❖ Divisional leadership with assistance from membership committees

WHAT IT DOES

- ❖ NCAA members propose, consider and adopt rules to uphold the values and protect the integrity of college sports

ENFORCEMENT

WHO IT IS

- ❖ NCAA national office staff, including former coaches, campus administrators, compliance officers, college athletes, attorneys and more

WHAT IT DOES

- ❖ Monitors information regarding potential violations
- ❖ Investigates potential threats to college sports
- ❖ Processes less serious violations (secondary) without an investigation
- ❖ Provides notice of alleged violations
- ❖ Presents cases to Committee on Infractions

COMMITTEE ON INFRACTIONS

WHO IT IS

- ❖ Qualified representatives from member schools, conferences and the public, including faculty athletics representatives, senior women's administrators, compliance directors, a member of the Division II Management Council and more

WHAT IT DOES

- ❖ Reviews information from the school and involved individuals
- ❖ Conducts hearings
- ❖ Resolves factual disputes
- ❖ Concludes whether violations occurred
- ❖ Prescribes appropriate penalties
- ❖ Issues written decision

INFRACTIONS APPEALS COMMITTEE

WHO IT IS

- ❖ Qualified representatives from member schools, conferences and the public, including university and athletics administrators

WHAT IT DOES

- ❖ If school or individual appeals, reviews initial Committee on Infractions decision
- ❖ Conducts hearings
- ❖ Determines if Committee on Infractions decision should be affirmed or reversed
- ❖ Provides final word on violation and penalties

MONITORING

WHO DOES IT

- ❖ Committee on Infractions

WHAT IT DOES

- ❖ Reviews annual compliance reports from school
- ❖ Analyzes compliance with penalties and requirements
- ❖ Requests follow-up information, if needed
- ❖ Restores full membership rights at conclusion of probation

The NCAA Division III infractions process begins with rules proposed, considered and adopted by NCAA members. These rules focus on eligibility, recruiting, academic performance, playing and practice seasons, scholarships, and extra benefits. In Division III, violations of these rules can either be considered major or secondary. When a school or a college athlete violates a rule, the NCAA enforcement staff investigates, provides notice of potential violations to schools and involved individuals, and presents information about potential major violations to the Committee on Infractions. Generally, the enforcement staff and conferences handle secondary violations. Then, the COI considers the facts of the case and the positions of all parties. Members of a COI deliberate, conclude if violations occurred, prescribe appropriate penalties, then issue a written decision. That decision can be reviewed by the Infractions Appeals Committee if a school or involved individual does not agree with the COI's findings, conclusions or penalties. If the COI prescribes probation and/or penalties, the COI reviews annual reports to ensure that the school completes all requirements.

