

DIVISION I TRANSFERS

Emily Capehart
Brandy Hataway
Susan Peal
Quintin Wright



Regional Rules



TRANSFER PORTAL



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Transfer Portal – 2018-19 Recap



11,284 STUDENT-ATHLETE ENTRIES (**6.2%** of Division I Student-Athletes).



1,032 STUDENT-ATHLETES **WITHDRAWN**.

- 9% of student-athletes requested to be withdrawn and/or remained at the institution for fall 2019.



5,495 STUDENT-ATHLETES **MATRICULATED**.

- 49% of student-athletes transferred and were in attendance for fall 2019 at NCAA member institutions.



4,757 STUDENT-ATHLETES **ACTIVE**.

- 42% of student-athletes have active records. It is unknown if student-athletes are enrolled in two-year or non-NCAA institutions.



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Understanding the Student-Athlete Status



Did the student-athlete decide to transfer?



STUDENT-ATHLETE
TRANSFER PORTAL STATUS



WITHDRAWN

The student-athlete stayed at the current institution as a student-athlete.



**CURRENT INSTITUTION'S
RESPONSIBILITY TO WITHDRAW**



MATRICULATED

The student-athlete transferred to another NCAA member institution.



**RECEIVING INSTITUTION'S
RESPONSIBILITY TO MATRICULATE**



ACTIVE

The student-athlete did not transfer to another NCAA member institution or stay at the current institution.

NO ACTION NEEDED



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What does 2019-20 look like?



11,966 STUDENT-ATHLETE ENTRIES.



7,778 SCHOLARSHIP STUDENT-ATHLETES (**65%**)

4,188 NON-SCHOLARSHIP STUDENT-ATHLETES (**35%**)



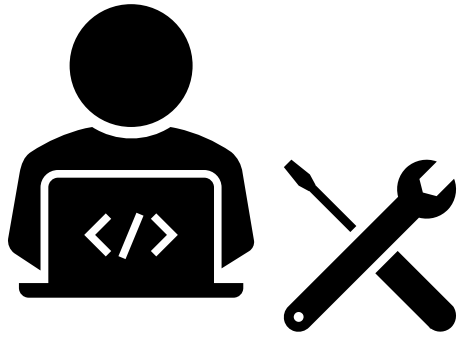
2,796 GRADUATE STUDENT-ATHLETES (**23%**)

9,170 UNDERGRADUATE STUDENT-ATHLETES (**77%**)

Data as of 6/7/2020



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Transfer Portal Enhancements

- Additions on May 27, 2020.
- Version 3.0 on August 1, 2020.



Key additions added on May 27, 2020:

- Due to the COVID-19 impact, new questions were added in the Transfer Tracer General Information and Participation sections.
- A request to complete the transfer tracer was added for a compliance administrator to click the action to send an email to the institutional contact (senior compliance administrator).
- Specific restrictions were added to the one-time transfer exception if “Yes with restrictions” is selected to identify division(s), institution(s) and conference(s) restrictions.
- Summer, fall, winter and spring terms were added as a question in the matriculated action and the term is shown in the matriculated box and in the matriculated notification email. This now allows an institution to matriculate the record if the student-athlete triggered transfer status for the summer term in addition to the academic year.



Key enhancements for Version 3.0 includes:

- Customized setting to view only what the user wants to view (e.g., division, sport).
- Transfer tracer complete identifier to avoid individually opening each student-athlete record to see if the tracer is complete or not.
- APR verification as a pdf with the matriculated feature.
- Reports function to create customized transfer portal data reports.
- New questions added in the transfer tracer.



Feedback and Questions



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2020-21 FOUR-YEAR UNDERGRADUATE TRANSFER WAIVER GUIDELINES AND PROCESS



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Recent Background

- Transfer Waiver Working Group appointed in late-fall 2019 to resolve unsustainability of 4-4 waiver environment.
- Sought feedback on one-time transfer waiver guideline during spring 2020.
- Concerns expressed over process, timing and uncertainty posed by COVID-19.



Recent Background (cont'd)

- Based on feedback, Transfer Waiver Working Group did not ask NCAA Division I Council to vote on one-time transfer waiver guideline for 2020-21 academic year.
- Alternatively, Council adopted a Transfer Resolution; and
- Tailored modifications to guidelines and process to best manage transfer waivers for 2020-21 academic year.



Council Updates to Undergraduate Transfer Waiver Guidelines

- In May, Council approved updates to guidelines for waiver requests involving:
 - Assertions related to COVID-19;
 - Certain spring sport student-athletes who qualified for an eligibility extension; and
 - Assertions of detrimental reliance.



Remaining Updates to Transfer Waiver Guidelines

- On June 17, Council approved recommendations pertaining to:
 - Waiver requests citing student-athlete's mental health;
 - Standardized form for no participation opportunity requests; and
 - Process updates to maintain integrity of waiver administration.



Effective Date

- Updates to transfer waiver guidelines and processes are effective immediately and may be cited for waiver requests pertaining to competition eligibility during the 2020-21 academic year.
- Transfer waiver requests denied under the previous waiver guidelines may be reconsidered, provided assertions are germane to guideline updates.



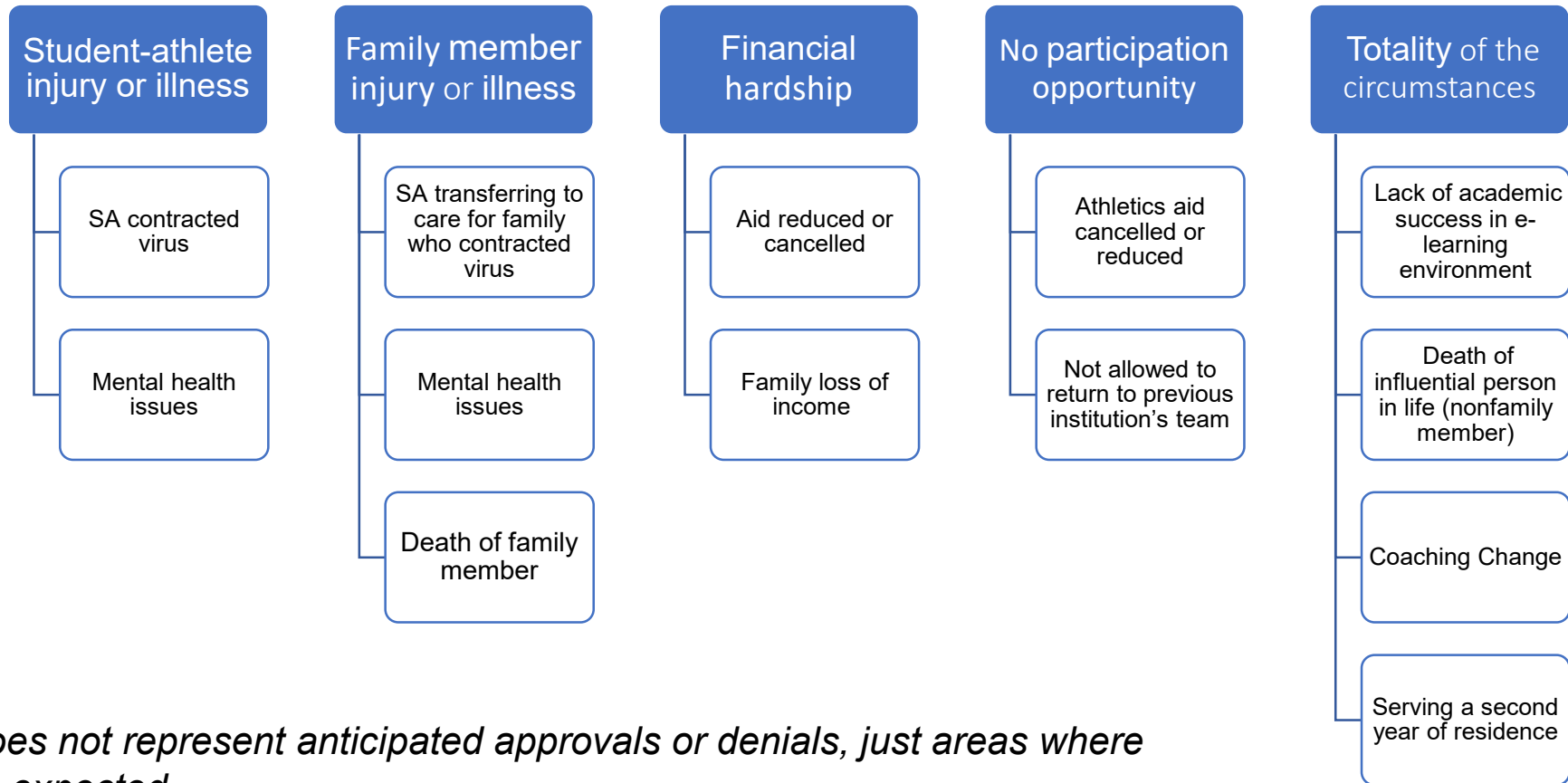


Waivers Citing COVID-19



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Potential COVID-19 Cases



**This list does not represent anticipated approvals or denials, just areas where waivers are expected.*



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COVID-19 Waiver Directive

- NCAA staff will continue to apply the current guidelines (e.g., injury/illness, financial hardship); **AND**
- Exercise discretion and sensitivity in evaluating cases for student-athletes transferring to a new institution due to COVID-19, specifically when the prescribed outcome of the guidelines or case precedent results in an impact on health or safety of the student-athlete or an immediate family member.





Exhausted Eligibility Spring Sport Student-Athletes



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Spring Sport Student-Athlete Otherwise Exhausting Athletics Eligibility

Staff will approve undergraduate waiver requests for spring student-athletes who:

1. Would have exhausted eligibility, but were granted an additional year of competition as part of the Council action; **AND**
2. Previous institution does not renew student-athlete's financial aid or will no longer offer student-athlete a participation opportunity on the team during the 2020-21 academic year.





Detrimental Reliance



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Assertions of Detrimental Reliance

- Transfer waiver requests that solely assert detrimental reliance on the transfer waiver working group's original concept pertaining to a one-time transfer waiver guideline will be denied.





Student-Athlete Mental Health



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Student-Athlete Mental Health Guideline

Undergraduate waiver requests asserting the student-athlete's mental health may be approved provided the waiver request and supporting documentation demonstrates:

- Evidence of impaired daily function at the previous institution;
- Evidence that the impaired daily functioning at the previous institution was caused by mental health challenges;
- A written care plan approved by a licensed mental health provider at applicant institution; and
- A signed attestation provided by the licensed mental health provider about the mental health safety of sports participation by the student-athlete.



Student-Athlete Mental Health Process Update

- If documentation submitted does not clearly demonstrate guidelines or information standards are satisfied, the request may be shared with an expert or panel of experts in the field (e.g., licensed mental health providers).
- The expert or panel will review the documentation and provide an analysis to be considered by NCAA staff and the committee when reviewing the waiver.
 - Note: Staff will continue to consult with a disability expert for cases involving issues, arguments or mitigation regarding an education-impacting disability.





No Participation Opportunity

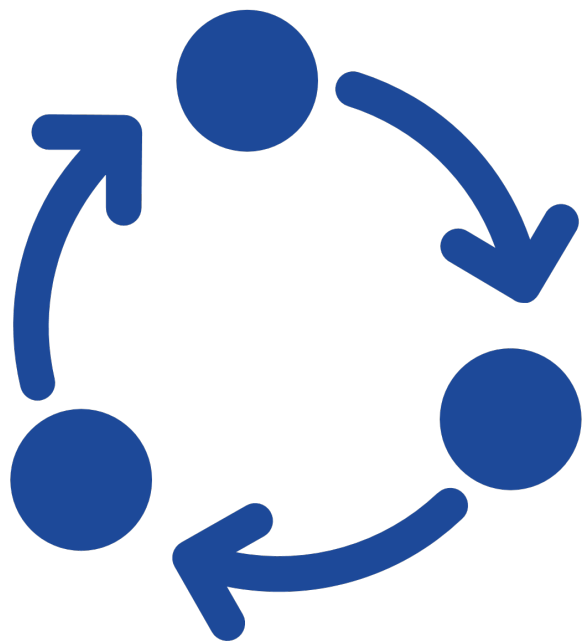


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No Participation Opportunity Standardized Form

- No participation opportunity (NPO) guidelines remain same.
- The previous institution's director of athletics may attest to NPO guidelines using a standardized form.
 - Form must also be signed by director of athletics at transfer institution and submitted as part of RSRO waiver request.





Updates to Transfer Waiver Processes



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Reconsideration Policy

- Reconsideration policy updated to specify that any new information raised after a staff decision is reached **must be germane to the original assertions for relief.**



Commitment to Institution

- For staff to process a transfer waiver request, the student-athlete must establish standing at the new institution (e.g., matriculation, trigger transfer, student-athlete status).



Communication with Enforcement

- Allow information to be shared between the academic and membership affairs and enforcement staff for the purpose of clarifying facts and other assertions in the waiver request.



TRANSFER ELIGIBILITY RESOLUTION



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Transfer Resolution: R-2020-6

- In May, the DI Council adopted a resolution calling for a comprehensive legislative proposal to modernize transfer legislation.
- The Transfer Eligibility Resolution articulates a framework to achieve a uniform and equitable approach to transfer eligibility in Division I, while acknowledging ancillary items that may need adjustment with such changes.
- Most importantly, the resolution demonstrates a commitment by the Council to amend its transfer eligibility legislation by January 2021.



Feedback and Questions



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Postgraduate Academic Enrollment Options



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Postgraduate Academic Enrollment Options

Academic Eligibility

Previous Rule

- **Same institution:**
 - a. Second degree, major or minor or general full-time coursework.
 - b. General graduate work (e.g., nondegree-seeking).
 - c. Graduate degree programs.
- **New institution:**
 - Graduate degree programs.

New Rule

- All full-time postgraduate enrollment options are acceptable, regardless of whether student-athlete remains at same school or enrolls at new school.
- August 1, 2020.



Expansion of Population

Undergraduate
Institution

Full-time post-baccalaureate studies:

- Enrolled in second baccalaureate degree, major or minor; or
- Enrolled in general coursework towards any degree program.

Full-time graduate studies:

- Enrolled in general graduate work (e.g., nondegree-seeking, certificate program); or
- Enrolled in graduate degree program (e.g., degree-seeking).

New
Institution

Full-time post-baccalaureate studies:

- Enrolled in second baccalaureate degree, major or minor; or
- Enrolled in general coursework towards any degree program.

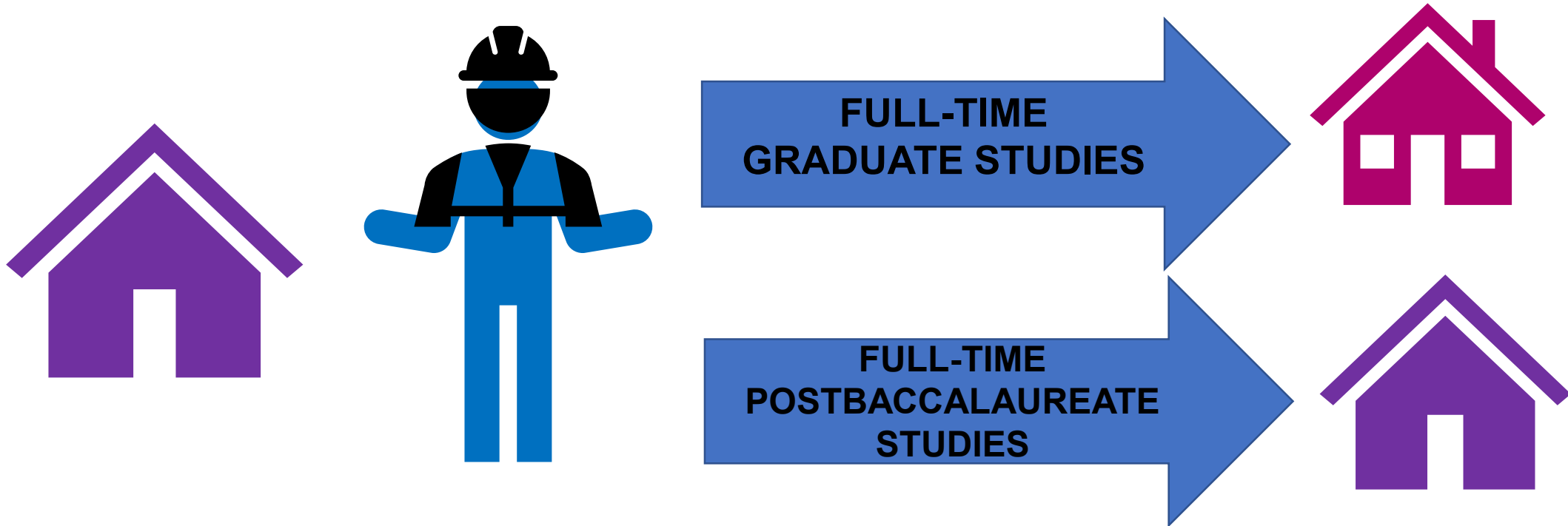
Full-time graduate studies:

- Enrolled in general graduate work (e.g., nondegree-seeking, certificate program); or
- Enrolled in graduate degree program (e.g., degree-seeking).

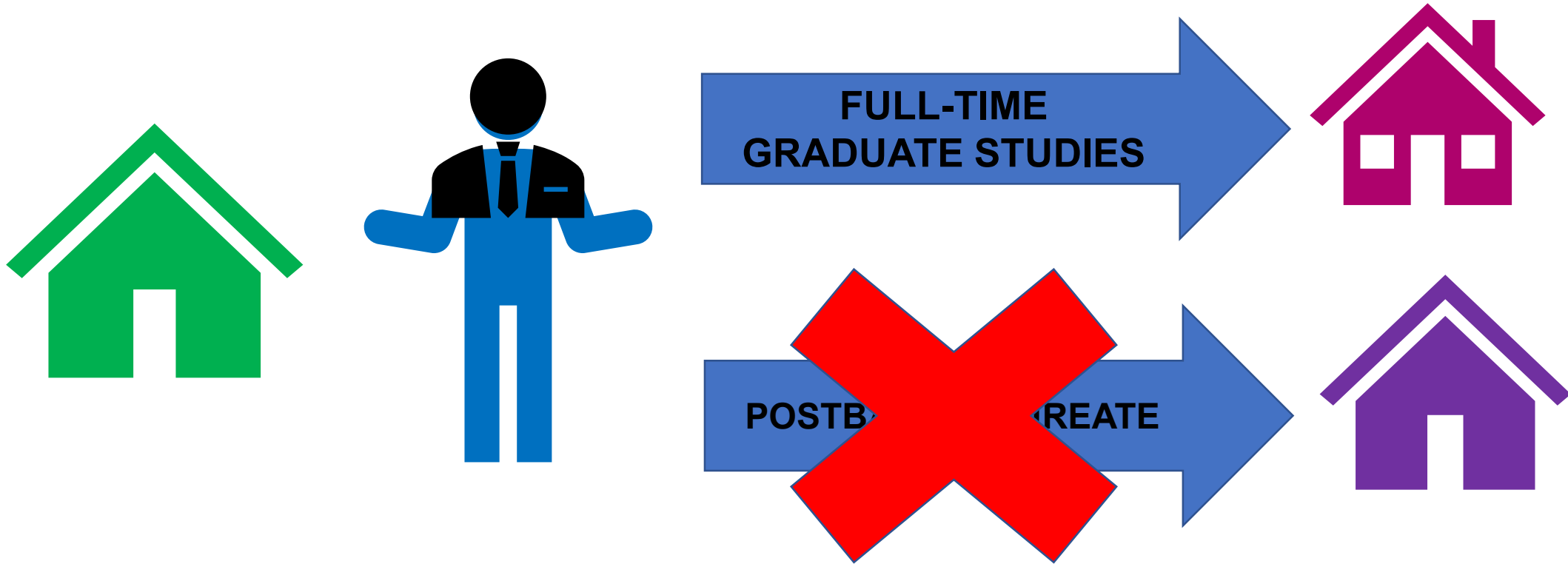


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Postgraduate Academic Enrollment Options Continuing Student

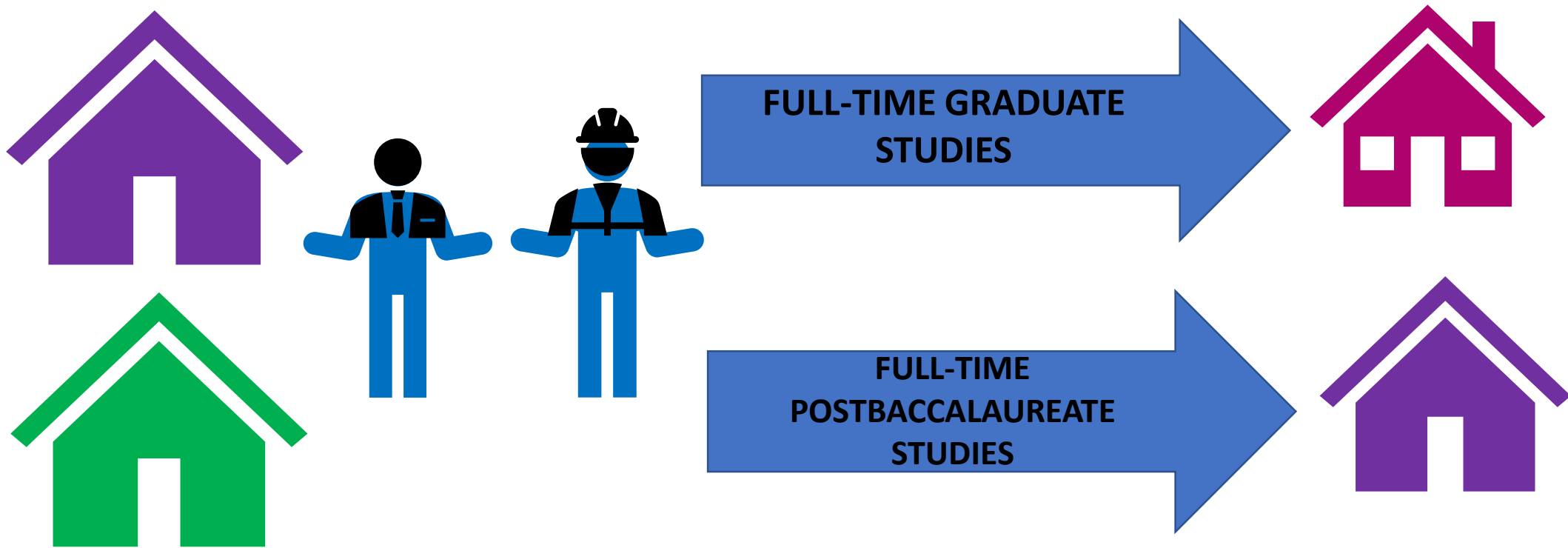


Postgraduate Academic Enrollment Options Transfer Student



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Postgraduate Academic Enrollment Options Continuing and Transfer Student



Timing

August 1, 2020, for a student-athlete who initially enrolls full-time at the certifying institution on or after August 1, 2020.



Timing

August 1, 2020, for a SA who initially enrolls full-time at the certifying institution on or after August 1, 2020.





CERTIFYING POSTGRADUATE STUDENT-ATHLETES





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Six-hour requirement


Full-time post baccalaureate studies:

- Enrolled in second baccalaureate degree, major or minor, or 
- Enrolled in general coursework towards any degree program. 

Full-time graduate studies:

- Enrolled in general graduate work (e.g., nondegree-seeking), or 
- Enrolled in graduate degree program (e.g., degree-seeking). 

 Must earn six hours towards specific degree or program requirements.

 No change – must pass six credit hours towards any degree.



Postgraduate Academic Enrollment Options Key Take-A-Ways

- Student-athletes who have graduated with athletic eligibility remaining may enroll in any academic program available whether they remain at the same school or enroll at a new school.
- The student-athlete must be a regularly enrolled student in any academic program pursuant to the institution's policies.



Postgraduate Academic Enrollment Options

Key Take-A-Ways

- All student-athletes must be enrolled full-time to participate in athletics (practice or competition). Full-time:
 - Undergraduate program: 12 credit hours.
 - Graduate program: defined by the institution.
- Student-athletes must fulfill the conditions of the one-time transfer exception or qualify for a postgraduate transfer waiver.



Postgraduate Transfers Who Do Not Meet NCAA Division I Bylaw 14.6.1

- If student-athlete graduates with remaining eligibility, but does not meet one-time exception, waiver relief may be self-applied if:
 - Student-athlete enrolls as a full-time student in accordance with the institution's academic offerings and requirements available to any incoming postgraduate student (i.e., second baccalaureate degree, major, minor, certificate program, graduate degree program);
 - Previous institution does not offer the student-athlete's program of study.



Postgraduate Transfers Who Do Not Meet Bylaw 14.6.1

- Previously approved waiver guidelines cont'd:
 - Written statement from the administration (e.g., director of athletics, compliance staff) at the previous institution stating that the student-athlete would have been athletically eligible to return to the team; and
 - Previous institution does not object to the student-athlete's eligibility.



Postgraduate Transfers Who Do Not Meet Bylaw 14.6.1

- If student-athlete does not qualify for self-applied previously approved waiver guidelines, a traditional legislative relief waiver request may be submitted to AMA staff via RSRO.



Feedback and Questions



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