



# Regional Rules

# Level III/Secondary Violations and Online Self-Reporting Process

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Defining Level III/Secondary

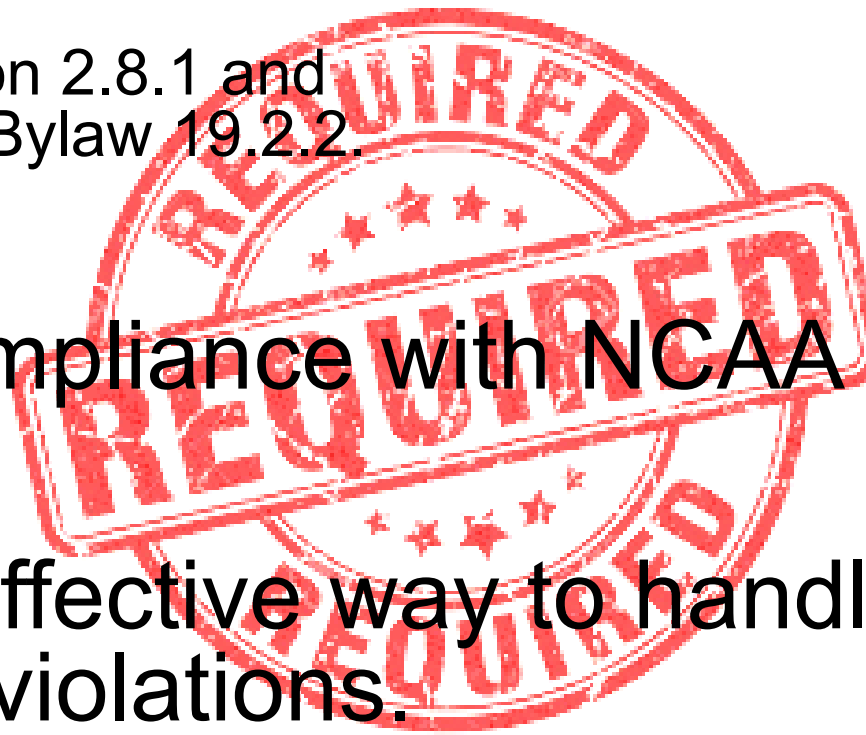
```
graph TD; A[Defining Level III/Secondary] --> B[Responding to violations]; B --> C[Reporting via RSRO];
```

Responding to violations

Reporting via RSRO

# Obligation to Self-Report

- It is **REQUIRED!**
  - NCAA Constitution 2.8.1 and NCAA Division I Bylaw 19.2.2.
- Report noncompliance with NCAA rules.
- Efficient and effective way to handle Level III/Secondary violations.



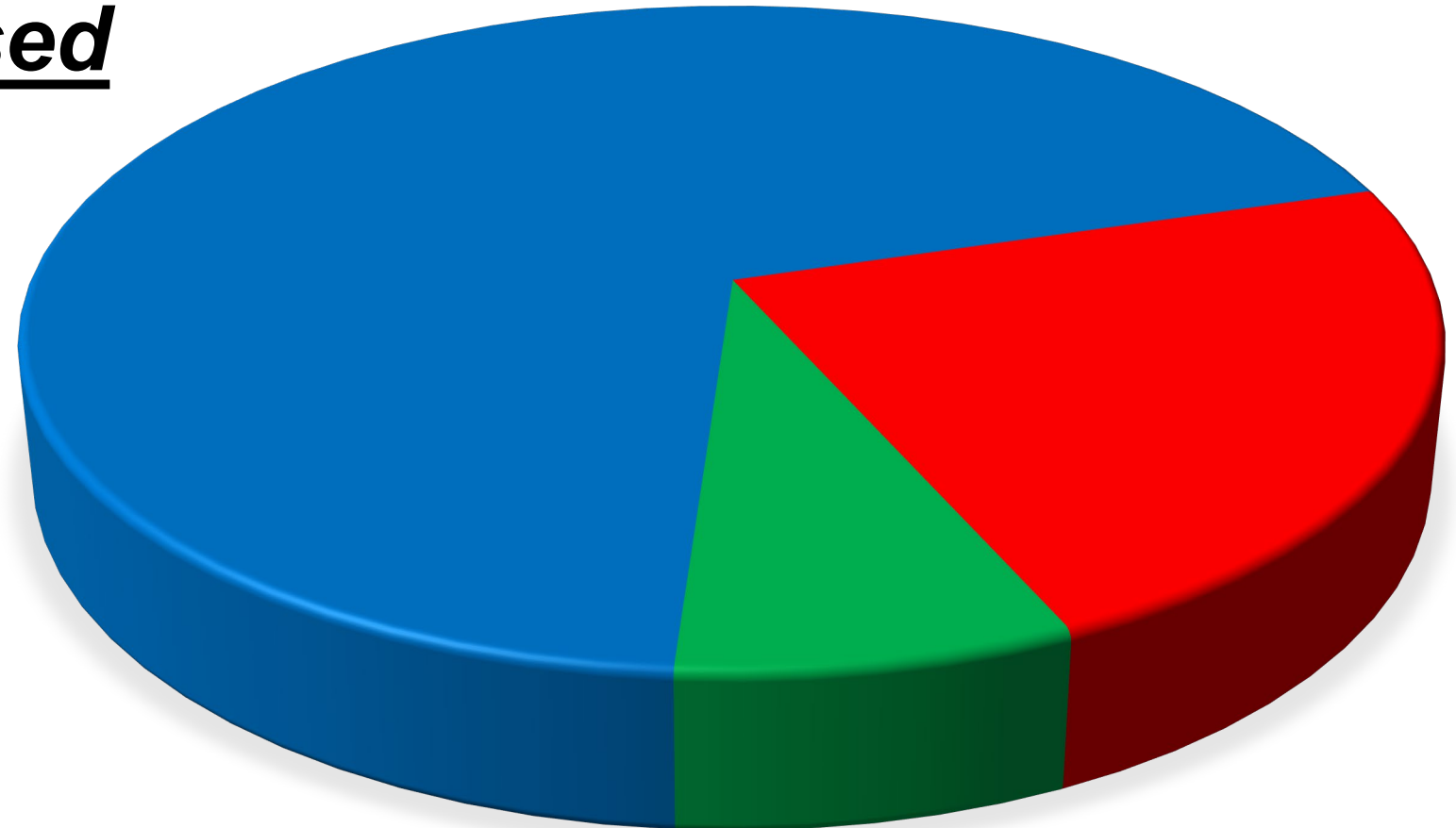
# 2019 Violation Snapshot

## 5,289 Violations Processed

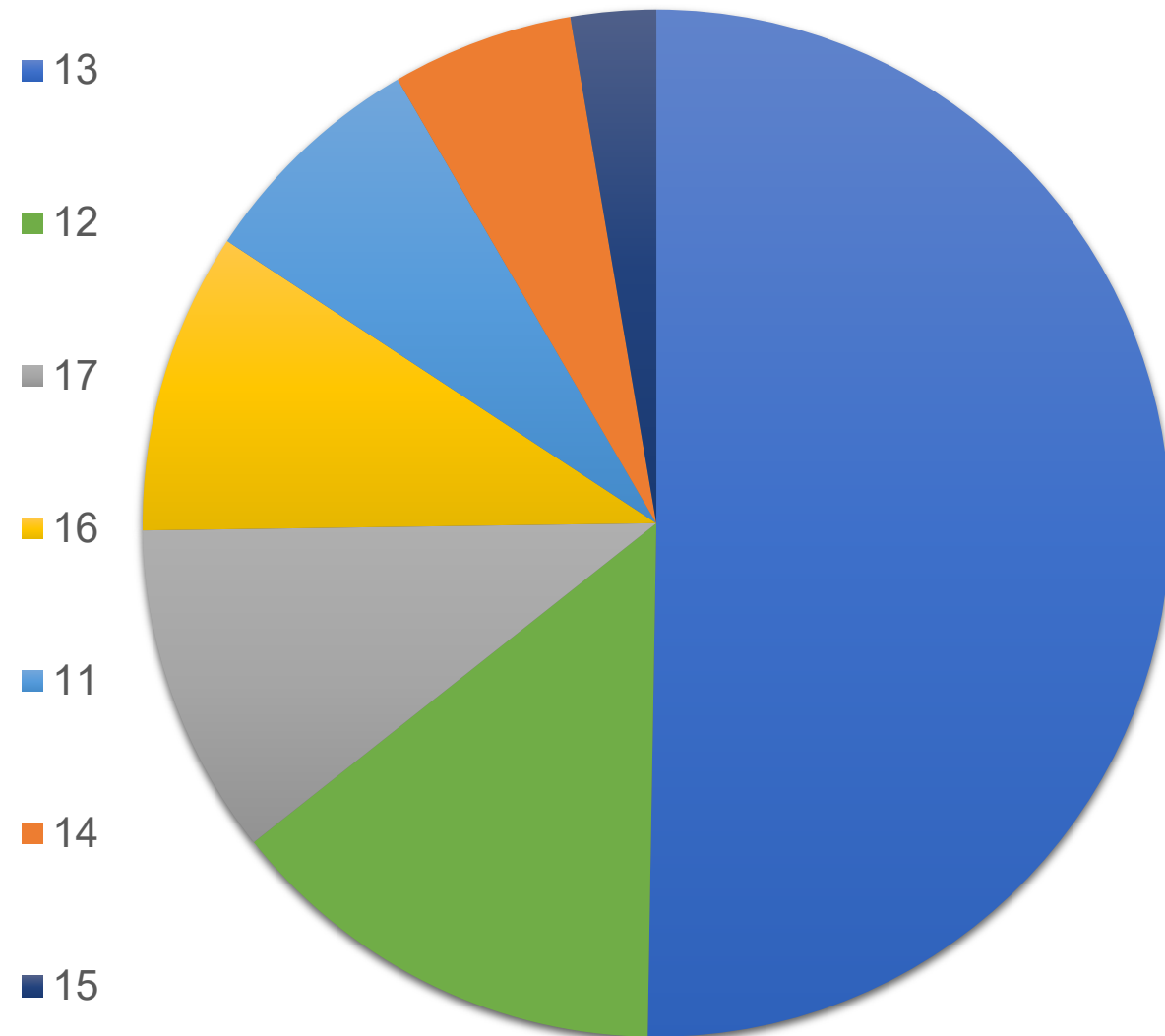
○DI = 3,666

○DII = 1,221

○DIII = 411



# Division I Violation Breakdown



## Most Frequent Recruiting Violations

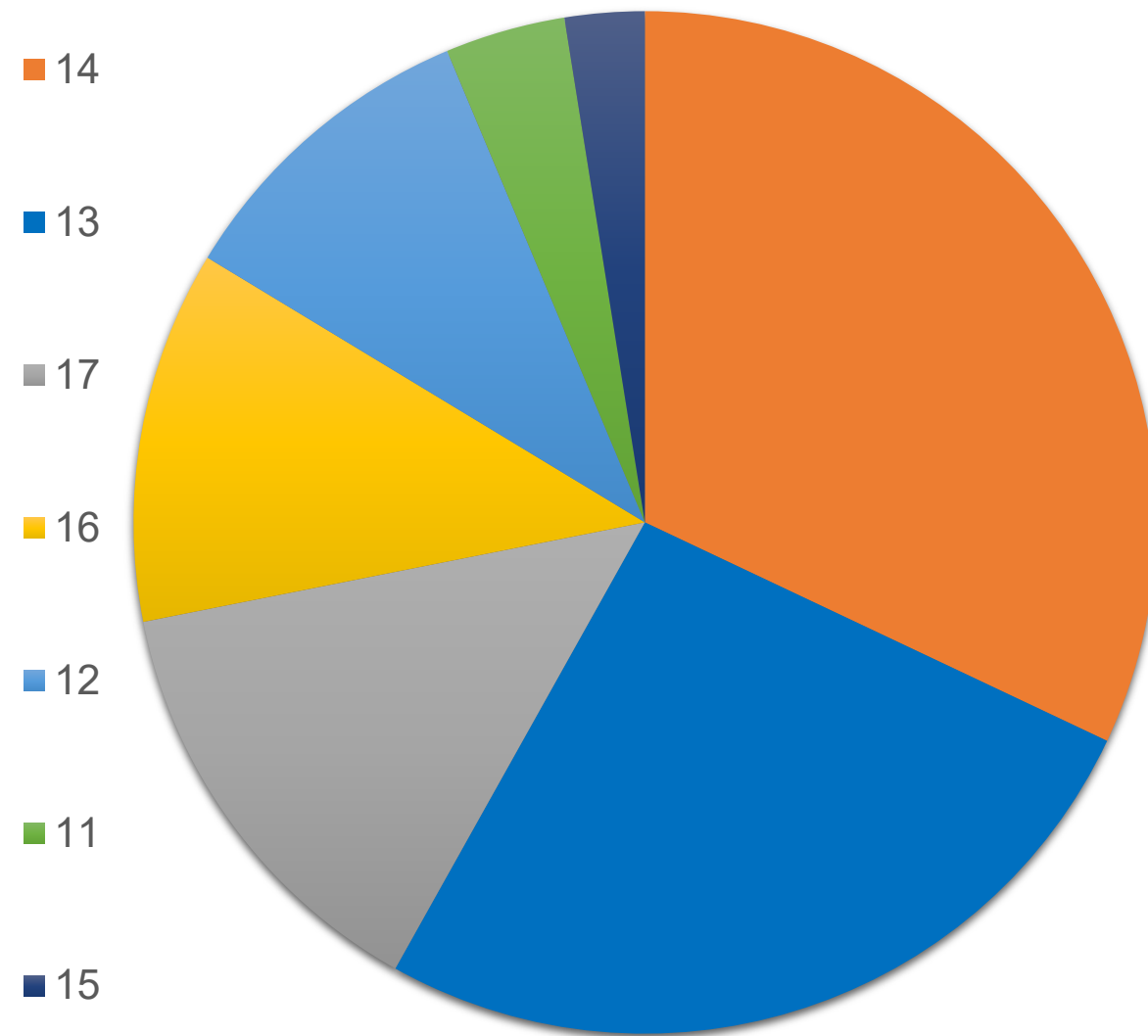
13.10.2.1: Publicity

13.4.1: Recruiting Materials/Correspondence  
(too early)

13.6.3: Requirements for Official Visit

13.02.5.5: Dead Period

# Division II Violation Breakdown



## Most Frequent Eligibility Violations

14.1.7.1:  
Full-Time Enrollment Requirement for Practice or Competition

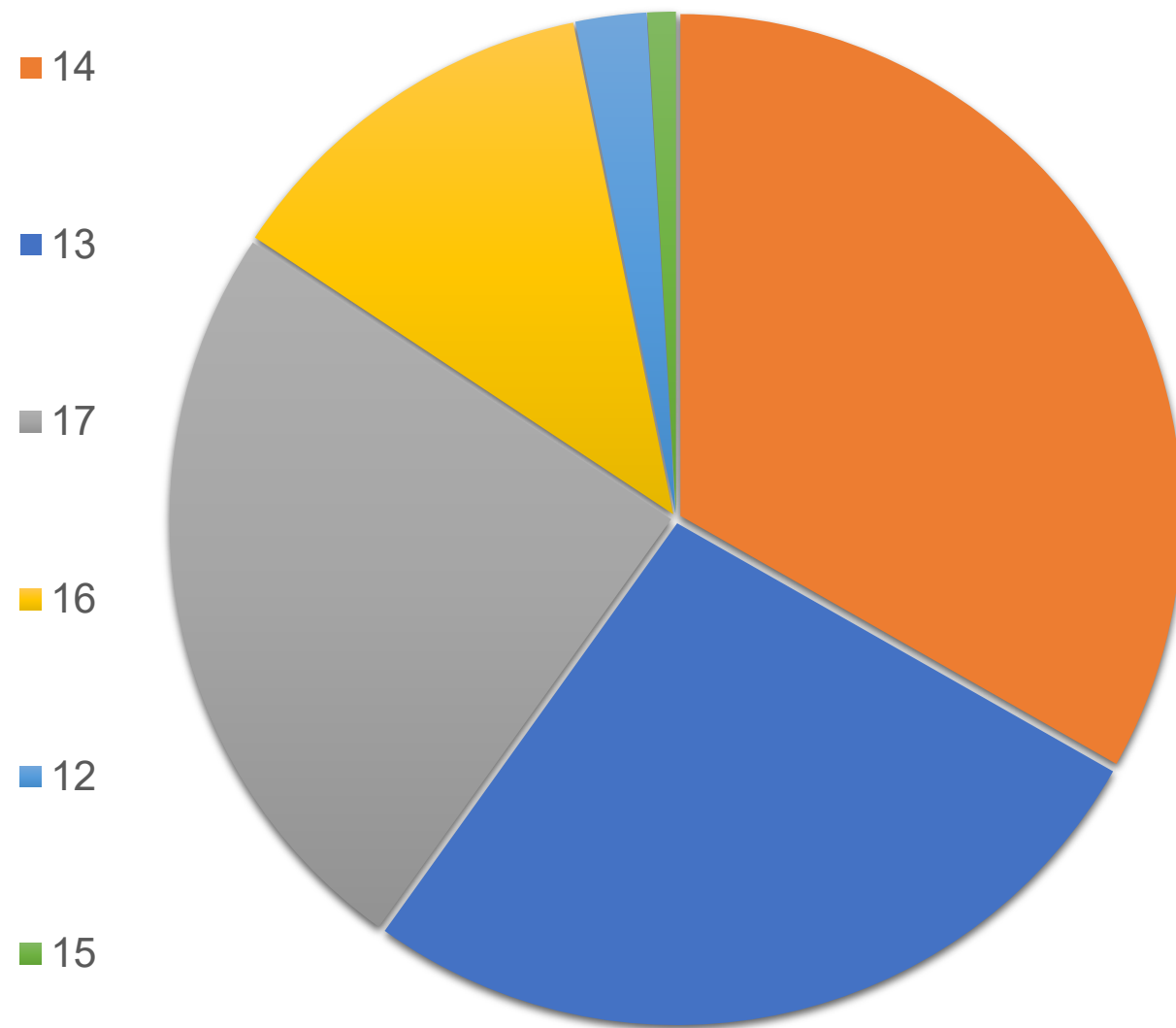
14.11.2:  
Eligibility List Form

## Most Frequent Recruiting Violations

13.10.2:  
Comments Prior to Signing

13.1.1.2:  
Permission to Contact

# Division III Violation Breakdown



**Most Frequent Eligibility Violations**

- 14.1.4.1: Drug Testing Consent
- 14.1.3.1: Student-Athlete Statement
- 14.01.1: Institutional Responsibility

**Most Frequent Recruiting Violations**

- 13.10.2: Comments Before Acceptance
- 13.1.1.2: Permission to Contact

**Most Frequent Playing Season Violations**

- 17.02.1.1: Athletically Related Activities
- 17.02.14: VARA
- 17.1.5: Out of Season Activities



# Violation Structures

Division I

- Level I / II
- **LEVEL III**

Divisions II / III

- Major
- **SECONDARY**

# Level III and Secondary Violations

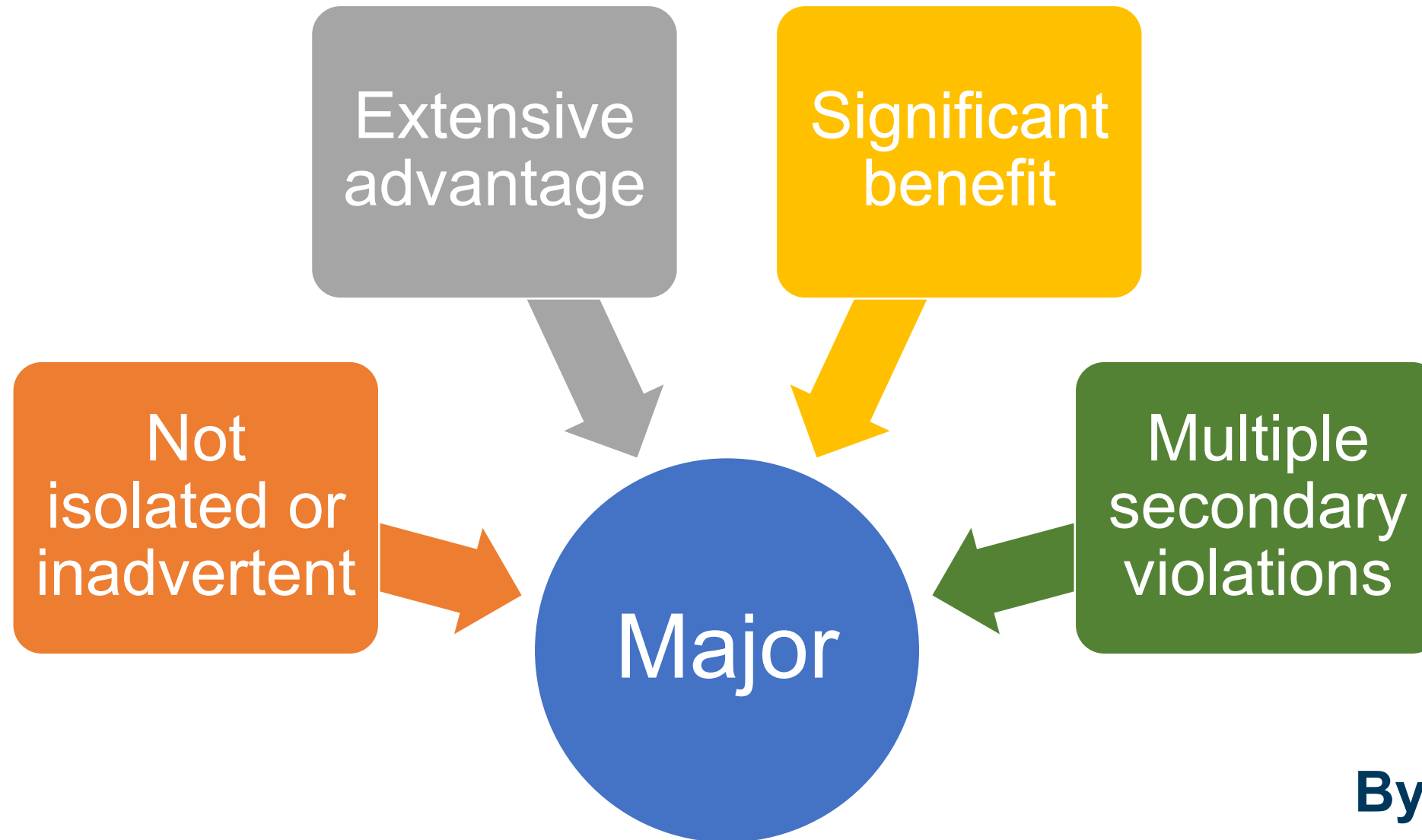
## Level III

- Isolated or limited
- No more than minimal advantage
- No more than minimal benefit

## Secondary

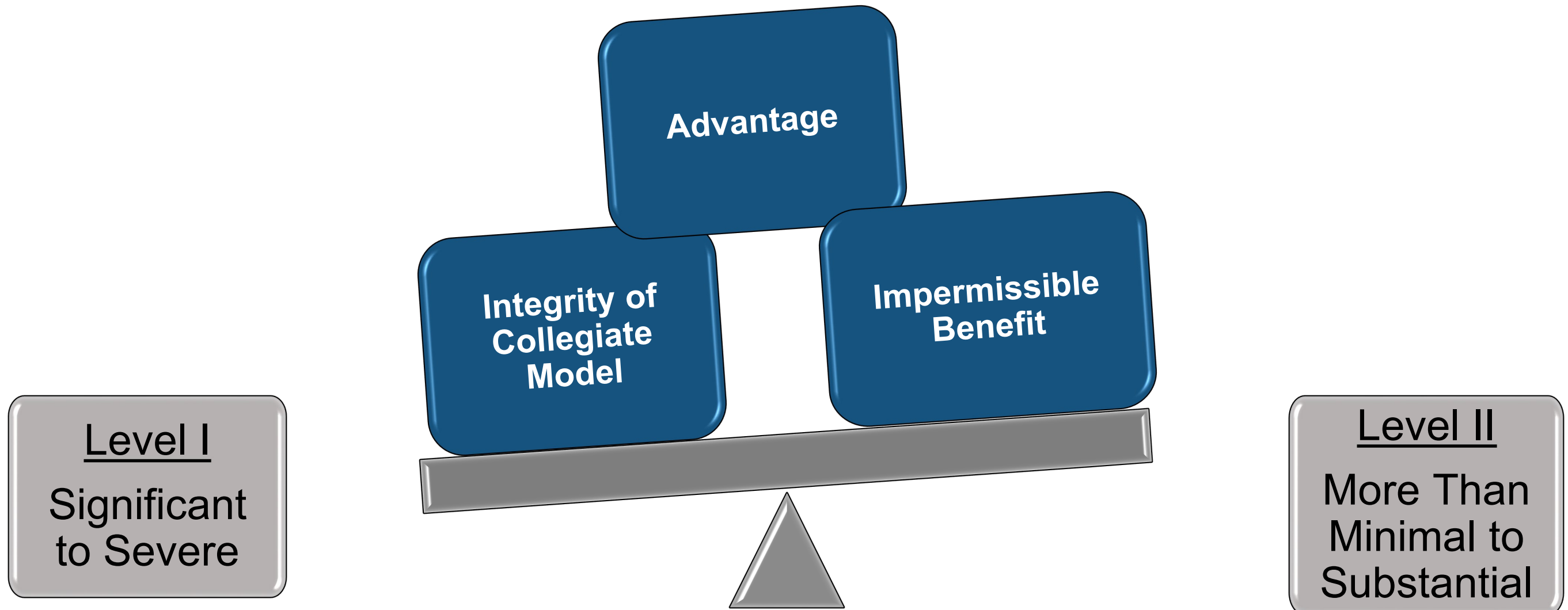
- Isolated or inadvertent
- Only minimal advantage
- No significant benefit

# Major Violations – Divisions II and III

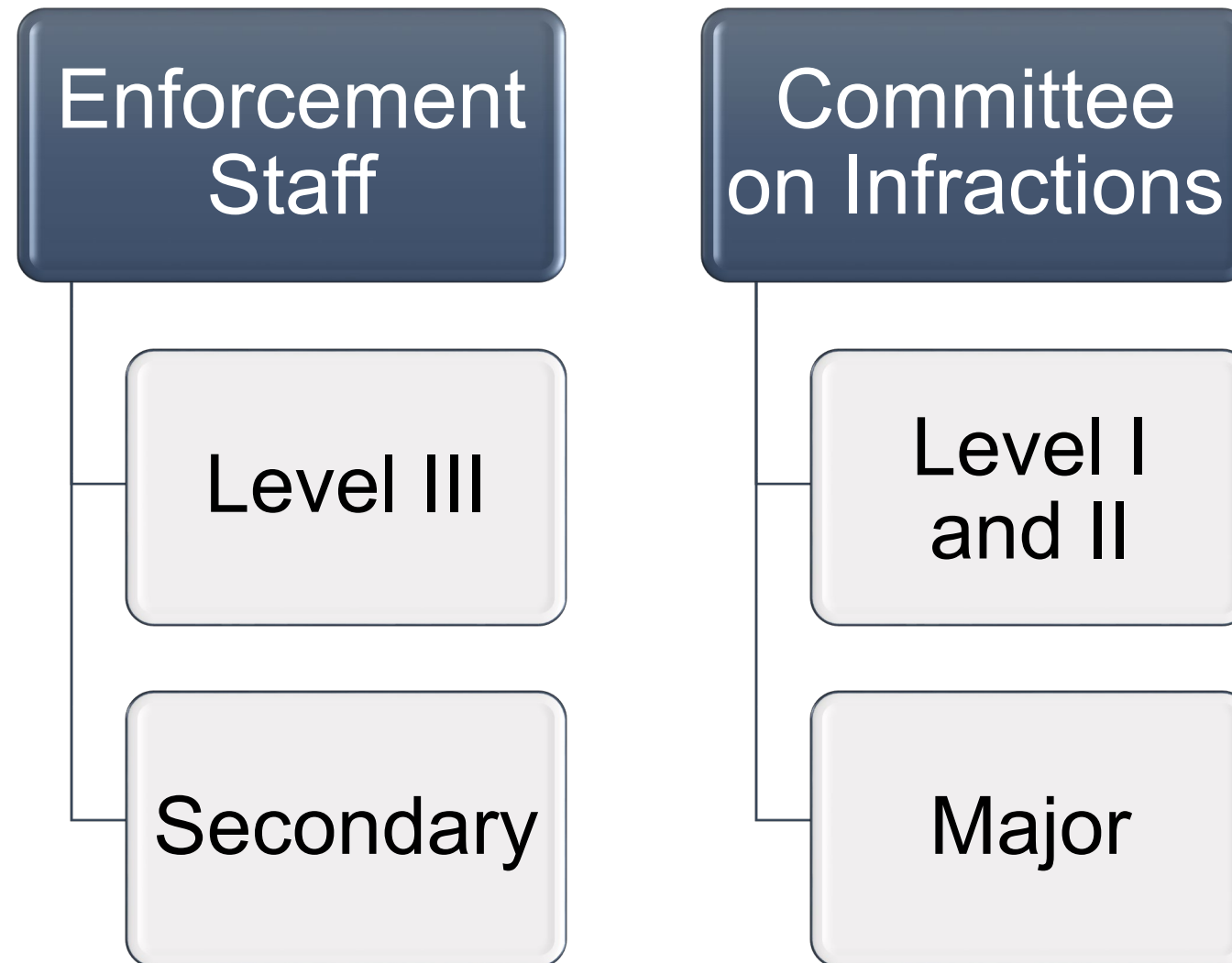


**Bylaw 19.02.2.2**

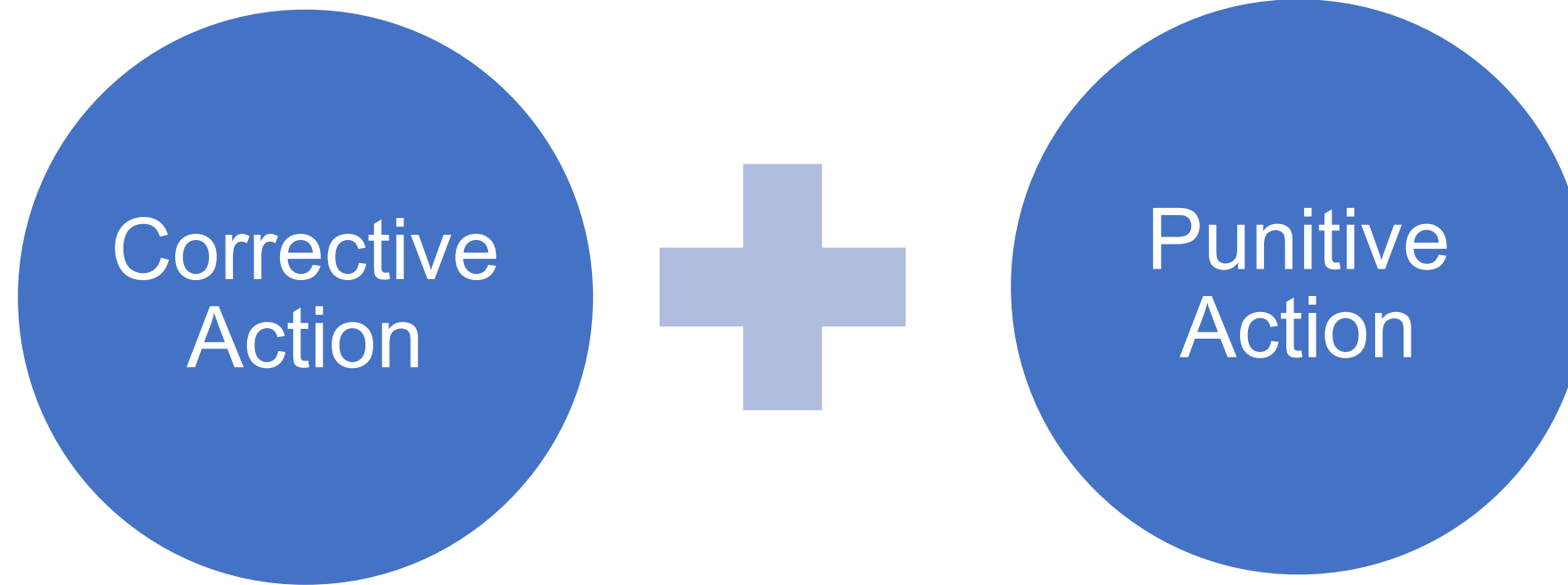
# Level I / II Violations – Division I



# Violation and Processing Structure



# What is the Appropriate Response?

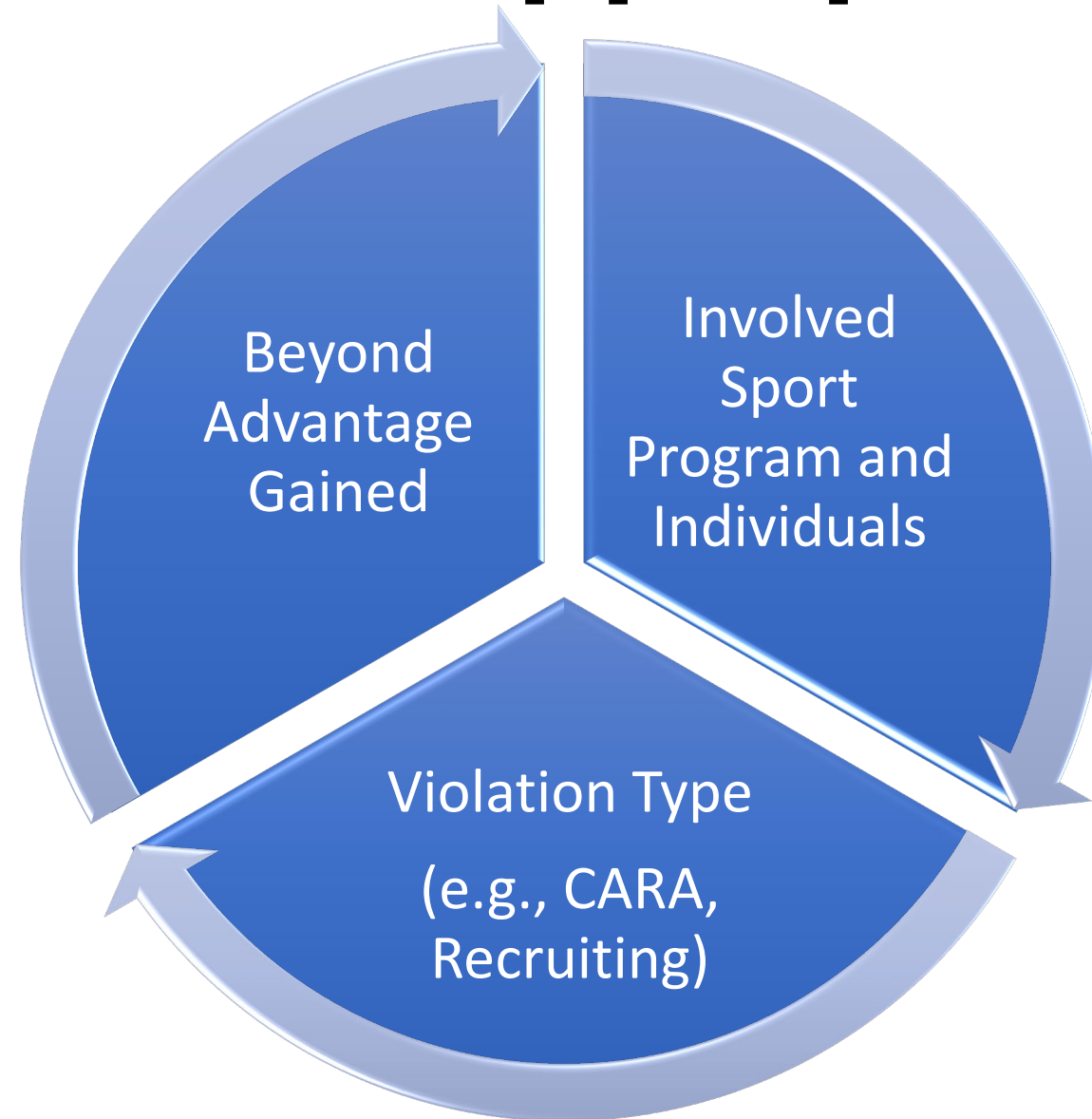


- Proactive in nature
- Rules education
- Improved systems or monitoring

# A Note about Penalties

- ✓ The NCAA Committee on Infractions gives the enforcement staff authority to:
  - Process Level III/Secondary cases.
  - Impose appropriate penalties.
  - Use discretion to impose more or less significant penalties based on the specific circumstances of the case.
- ✓ Most penalties imposed by the enforcement staff are the "minimum threshold" penalty for that violation.

# Elements of an Appropriate Penalty

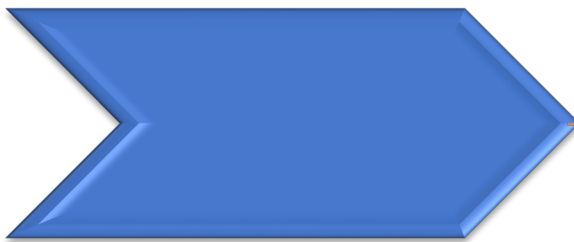




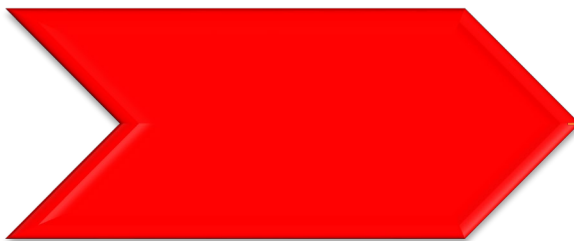
# Fines

- **Division I: \$500/\$5,000**
- **Division II: \$250/\$2,500**
- **Division III: \$250/\$2,500**

# Coaching Staff Suspensions – All Divisions



- Longstanding legislated penalty



- Often involve eligibility and certification violations
- Bylaws 12 and 14



- Supports compliance efforts

# Head Coach Suspension List – DI Only

- Even when head coach doesn't commit violation

- Impacts **ALL** sports

*Applies only to certain DI violations*

- Can include other involved coaches

- Additional violations triggered in football and men's basketball

# Interactions with Level III/Secondary Staff



- Self-reports
- Letters of Inquiry

# Letters of Inquiry

Information  
received

- Information is shared with the institution

Letter of  
Inquiry

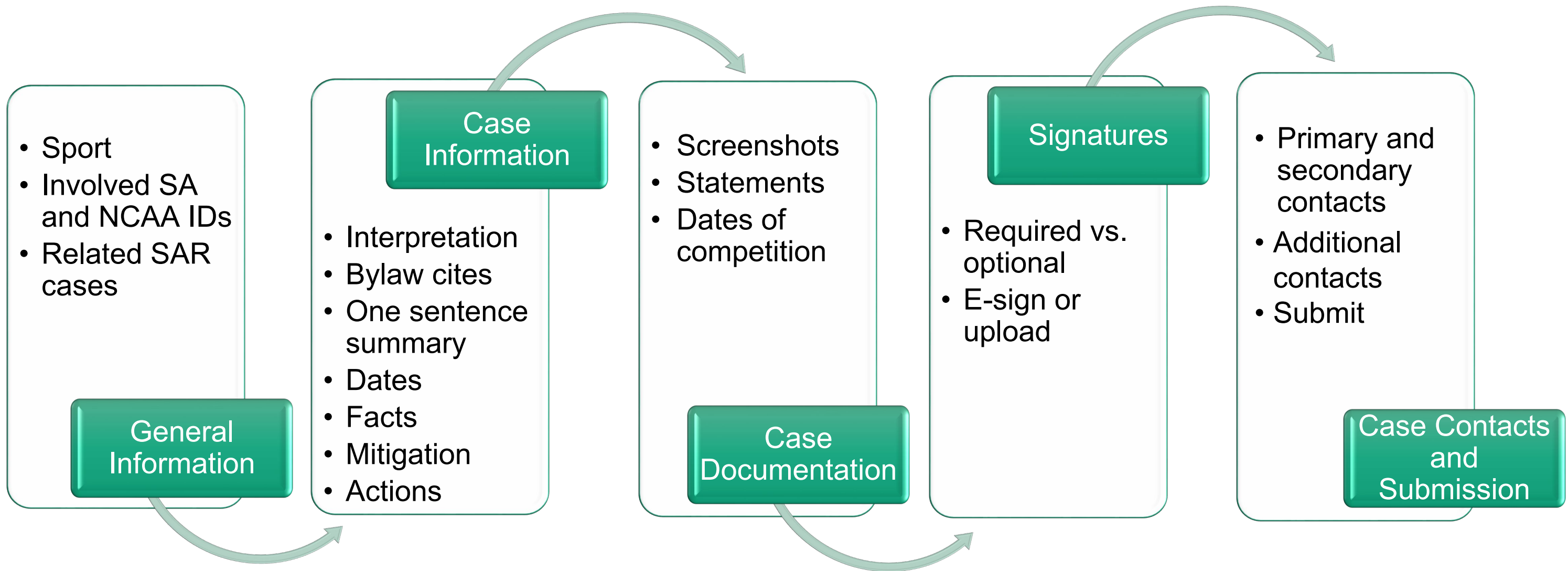
- Institution required to respond

Institution  
follow-up

- If Level III/Secondary violation, report through RSRO
- If not a violation, case closed

**An anonymous source can be a credible source.**

# RSRO Reporting Process



# De Minimis and Restitution Violations

## [D]e Minimis

- Institutional violation
- Does NOT impact eligibility

## [R]estitution

- Impacts eligibility
  - Value < \$200 - Institution
  - Value > \$200 - SAR
- Relate cases in RSRO



# RESOURCES

## Requests/Self-Reports Online (RSRO)

- Technical Questions?- [rsro@ncaa.org](mailto:rsro@ncaa.org)

## LSDBi

- Legislation, Interpretations, Educational Columns

## NCAA.org Resources

- Division I Standard Penalties
- Division II Standard Penalties
- Division III Standard Penalties
- Violations Resulting in Head Coach Suspensions
- Inside the Infractions Process- 2019 Stats





# We Want Your Feedback

Your input is important.

Rate this session using the survey on the  
NCAA Regional Rules Seminar app.

A photograph of a stage with red curtains. The curtains are pulled back slightly on both sides, revealing a wooden floor. The text "THANK YOU" is overlaid in large white letters across the center of the image.

THANK YOU

ANY QUESTIONS?