



AGENDA

The National Collegiate Athletic Association

Division III Presidents Council

Grand Sierra Ballroom C
Caribe Royale

January 24, 2019
9:15 to 11:15 a.m.

1. Welcome and introductions. (Jeff Docking)
2. General Information. (Docking)
 - a. Roster. [Supplement No. 1]
 - b. 2019 Committee assignments. [Supplement Nos. 2a and 2b]
3. Minutes and summaries. (Docking)
 - a. Summary of fall 2018 Quarterly Meeting. [Supplement No. 3]
 - b. Administrative Committee actions. [Supplement Nos. 4a and 4b]
4. Division III Philosophy Statement and Strategic Positioning Platform. [Supplement Nos. 5a and 5b] (Dan Dutcher)
- * 5. Report of the Division III Strategic Planning and Finance Committee. [Supplement No. 6a] (Sue Henderson/Caryl West)
 - a. 2018-19 Budget-to-actual report. [Supplement No. 6b]
 - b. Future projections. [Supplement No. 6c]
- @* 6. Management Council report. [Supplement No. 7 will be distributed at the meeting] (Shantey Hill-Hanna/Kate Roy)
7. Skyline Conference Proposal. [Supplement Nos. 8a, 8b, 8c and 8d] (Docking/Dutcher)
- * 8. 2019 NCAA Division III Convention legislation. (Jeff Myers/Bill Regan)
 - a. 2019 NCAA Convention Notice and Program.
 - b. Review of proposed legislation:
 - Review of proposals and speaker assignments. [Supplement No. 9]
 - Review of parliamentary and voting issues. [Supplement No. 10]
 - Legislative question and answer guide. [Supplement No. 11]
- * 9. Review 2019 Convention logistics. (Louise McCleary)
 - a. Presidents/Chancellors schedule. [Supplement No. 12]
 - b. Joint PC/MC/SAAC meeting. [Supplement Nos. 13a and 13b]
 - c. Division III Issues Forum. [Supplement No. 14]
 - d. Presidents/Chancellors Luncheon. [Supplement No. 15]
 - e. Division III Business Session. [Supplement No. 16]

- * 10. Association-wide updates and issues.
- a. Board of Governors update. [Supplement No. 17a] (Docking/Henderson/Hill-Hanna/Dutcher)
 - (1) Board of Governors agenda – January 23. [Supplement No. 17b will be distributed later.]
 - (2) Board of Governors proposal to add independent members. [Supplement Nos. 17c, 17d, 17e, 17f and 17g]
 - (3) Committee to Promote Cultural Diversity and Equity. [Supplement No. 17h]
 - (4) Sports wagering update. [Supplement No. 17i]
 - (5) Esports.
 - (6) Governmental relations update. [Supplement No. 17j] (Information Only)
 - b. Sports Science Institute updates. (Brian Hainline/John Parsons)
 - (1) Catastrophic injury prevention interassociation guideline. [Supplement No. 18a]
 - (2) SSI timeline. [Supplement No. 18b]
 - c. Litigation update. (Naima Stevenson/Scott Bearby)
 - d. Compliance attestation module for presidents and chancellors. [Supplement No. 19] (Dutcher)
11. Future meetings.
- a. April 30 – May 1, 2019 – Indianapolis.
 - April 30 – 5:45 to 9 p.m. – Presidents Council dinner/meeting.
 - May 1 – 7:30 to 9 a.m. – Joint breakfast with Divisions I and II.
 - May 1 – 9 a.m. to noon – Presidents Council meeting.
 - b. August 6-7, 2019 – Indianapolis.
 - August 6 – 5:45 to 9 p.m. – Presidential Leadership Groups meet.
 - August 7 – 7:30 to 9 a.m. – Joint breakfast with Divisions I and II.
 - August 7 – 9 a.m. to noon – Presidents Council meeting.
 - c. October 29-30, 2019 – Emory University - Atlanta, Georgia.
 - Schedule to be determined.
 - d. January 22-25, 2020 – Anaheim, California – 2020 NCAA Convention.
 - January 23 – 9:15 to 11:15 a.m. (tentative).
12. Other Business.
- Recognition of departing members.
13. Adjournment.

@ Denotes key action items.

*** Denotes key discussion topics.**



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SUPPLEMENT NO. 02a
DIII Presidents Council 01/19
**2019 PRESIDENTS COUNCIL
COMMITTEE/SUBCOMMITTEE
ASSIGNMENTS**

Amott, Teresa [January 2022]

- Nominations Subcommittee
- BOG Commission to Combat Campus Sexual Violence

Cevallos, Javier [January 2021]

- Diversity and Inclusion Working Group

Conway-Turner, Katherine [January 2021]

- Nominations Subcommittee

Cooper, Mary Beth [January 2023]

- PC/MC Joint Legislative Steering Committee

Dorsey, Stuart [June 2019]

- Strategic Planning and Finance Committee

Drugovich, Margaret [January 2020]

- Strategic Planning and Finance Committee

Dunsworth, Richard [January 2023]

- Nominations Subcommittee - NEW

Franks, Tiffany [January 2022]

- Convention-Planning Subcommittee

Fritz, William [January 2020]

- PC/MC Joint Legislative Steering Committee
- Infractions Appeals

Hammond, Troy [January 2023]

- Nominations Subcommittee - NEW

Henderson, Sue, chair [January 2020]

- Administrative Committee
- Board of Governors
- Board of Governors Executive Committee - NEW
- BOG Strategic Planning Steering Committee
- BOG rep to Student-Athlete Engagement Committee

Lindgren, Robert [January 2023]

- Nominations Subcommittee

Miller, Fayneese [January 2022]

- Nominations Subcommittee
- BOG Committee to Promote Cultural Diversity and Equity

Murden McClure, Tori, vice chair [January 2021]

- ~~Nominations Subcommittee~~
- BOG Committee to Promote Cultural Diversity and Equity
- Administrative Committee - NEW
- Board of Governors - NEW
- Strategic Planning and Finance Committee, chair - NEW
- BOG Finance and Audit - NEW
- BOG Strategic Planning Steering Committee - NEW

Murray, Kathleen [January 2019]

- Nominations Subcommittee
- LGBTQ Working Group

Nunez, Elsa [January 2020]

- Nominations Subcommittee
- BOG Sports Wagering AdHoc Committee

Trachte, Kent [January 2022]

- ~~Convention-Planning Subcommittee~~
- Strategic Planning and Finance Committee - NEW

Tsutsui, William (Bill) [January 2023]

- Convention-Planning Subcommittee - NEW



SUPPLEMENT NO. 02b
DIII Presidents Council 01/19
**2019 MANAGEMENT COUNCIL AND PRESIDENTS COUNCIL
COMMITTEE/SUBCOMMITTEE ASSIGNMENTS**

ASSOCIATION-WIDE COMMITTEES

Board of Governors

Composition: 1MC/2PC

Henderson, Sue [PC chair]
Murden-McClure, Tori [PC vice chair]
Baker-Watson, Stevie [MC chair]

Competitive Safeguards and Medical Aspects of Sports

Composition: 1MC
Baker-Watson, Stevie [MC]

Honors

Composition: 1MC
Brown, Charles [MC]

Minority Opportunities and Interests

Composition: 1MC
Bryant, Gerard [MC]

Olympic Sports Liaison

Composition: 1MC
Walsh, Joe [MC]

Postgraduate Scholarship

Composition: 1MC
Brown, Charles [MC]

Research

Composition: 1MC
Millerick, Tim [MC]

Sportsmanship and Ethical Conduct

Composition: 1MC
Morgan, Michelle [MC]

Walter Byers Scholarship

Composition: 1MC
Walsh, Joe [MC]

Women's Athletics

Composition: 1MC
Udelhofen, Denise [MC]

DIVISION III COMMITTEES

Championships

Composition: 3MC
Baker-Watson, Stevie [MC chair]
Fein, Jason [MC]
Bankston, Brad [MC]

Financial Aid

Composition: 1MC
Schram, Kandis [MC]

Infractions

Composition: 1MC
Feyerherm, Sarah [MC]

Infractions Appeals

Composition: 1MC/1 PC
Fritz, Bill [PC]
Roy, Kate [MC]

Interpretations and Legislation

Composition: 1MC
Morgan, Michelle [MC]

Membership

Composition: 1MC
Mooney, Laura [MC]

Nominating

Composition: 1MC
Mazza, Lori [MC]

Student-Athlete Advisory

Composition: 4MC
Udelhofen, Denise [MC] *EX OFFICIO*
Bryant, Gerard [MC] *EX OFFICIO*
Kastner, Samantha "Sammy" [SAAC]
Peppers, Colby [SAAC]

Student-Athlete Reinstatement

Composition 1MC
Benning, Heather [MC]

MC=Management Council

PC=Presidents Council

ME=Membership Committee

AL=At-Large

MANAGEMENT COUNCIL SUBCOMMITTEES

Subcommittee for Legislative Relief

Composition: 6MC

Cantrell, Steve [MC]
Fein, Jason [MC]
Mazza, Lori [MC]
Roy, Kate [MC]
Udelhofen, Denise [MC]
Vienna, Michael [MC]

Playing and Practice Seasons Subcommittee

Composition: 7MC/1SAAC

Bankston, Brad [MC]
Kastner, Samantha "Sammy" [MC, SAAC]
Mooney, Laura [MC]
Morgan, Michelle [MC]
Schram, Kandis [MC]
Vienna, Michael [MC]
Walsh, Joe [MC]

PRESIDENTS COUNCIL SUBCOMMITTEES

Nominations Subcommittee

Composition: 7 PC

Amott, Teresa [PC]
Conway-Turner, Katherine [PC]
Dunsworth, Richard [PC]
Hammond, Troy [PC]
Lindgren, Robert [PC]
Miller, Fayneese [PC]
Murray, Kathleen [PC]
Nunez, Elsa [PC]

JOINT MC/PC COMMITTEES AND SUBCOMMITTEES AND SPECIAL COMMITTEES

Administrative Committee

Composition: 3MC/2PC

Henderson, Sue [PC chair]
Murden-McClure, Tori [PC vice chair]
Baker-Watson, Stevie [MC chair]
Benning, Heather [MC vice chair]
Shields, Dennis [MC president]

Convention-Planning Subcommittee

*Composition: 3MC/2PC/1ME/1INDEPENDENT/
1COMMISSIONER/1NAD3AA/1SAAC/5AL*

Baker-Watson, Stevie [MC] *EX OFFICIO*
Cantrell, Steve [MC]
Franks, Tiffany [PC]
Freed, Karen [At-Large]
Granata, Brian [At-Large]
Hakes, Joe [Independents]
Johnson, Greg [At-Large]
Kilgallon, Scott [At-Large]
Kline, Julie [Membership Committee]
Kuchowski, Keri [NADIIIAA]
Mazza, Lori [MC]
Melendy, Lisa [At-Large]
Onderko, Joe [Commissioner]
Peppers, Colby [SAAC]
Schram, Kandis [MC]
Tsutsui, William (Bill) [PC]
Wells-Mangold, Kellen [At-Large]

PC/MC Joint Legislative Steering Committee

*Composition: 2 PC/2 MC presidents/2 MC ADRs/PC/MC
chairs*

Baker-Watson, Stevie [MC chair]
Briggs, Stephen [MC]
Cooper, Mary Beth [PC]
Feyerherm, Sarah [MC]
Fritz, Bill [PC]
Henderson, Sue [PC chair]
Millerick, Tim [MC]
Shields, Dennis [MC]

**JOINT MC/PC COMMITTEES AND
SUBCOMMITTEES AND SPECIAL COMMITTEES**

Strategic Planning and Finance Committee

*Composition: 4PC/5MC [one commissioner]
CHAMPIONSHIPS CHAIR/1 SAAC/2 AT LARGE [one
commissioner] [Note: Two members must be
conference representatives.] – 13 Members*

Baker-Watson, Stevie [MC] *EX OFFICIO*
Baumann, Angela [At large]
Benning, Heather [MC vice-chair]
Dorsey, Stuart [PC]
Ellis, David [At large]
Drugovich, Margaret [PC]
Murden-McClure, Tori [PC vice chair] *CHAIR*
Peppers, Colby [MC/SAAC]
Roy, Kate [MC]
Shields, Dennis [MC]
Stiles, Bill [Championships Committee Chair]
Trachte, Kent [PC]
Vienna, Michael [MC]
Walsh, Joe [MC]



NCAA DIVISION III
PRESIDENTS AND MANAGEMENT COUNCILS
SUMMARY OF FALL 2018
QUARTERLY MEETINGS

KEY ACTION/DISCUSSION ITEMS:

Joint Men’s and Women’s Basketball Championships.....Page No. 7

Membership-Sponsored Proposal – Field Hockey and Soccer Preseason – Establishing a Three-Day
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<p align="center">Division III Management Council July 16-17, 2018 Indianapolis, IN</p>	<p align="center">Division III Presidents Council August 7-8, 2018 Indianapolis, IN</p>
<p align="center">ATTENDEES</p> <p>Stevie Baker-Watson, DePauw University Brad Bankston, Old Dominion Athletic Conference Heather Benning, Midwest Conference Gerard Bryant, John Jay College of Criminal Justice Madison Burns, Randolph-Macon College, SAAC representative, Monday only Sean Cain, Adrian College, SAAC representative Gail Cummings-Danson, Skidmore College Robert Davis, Jr., University of Scranton Jason Fein, Bates College Shantey Hill, St. Joseph's College, chair Chris Kimball, California Lutheran University, via teleconference Lori Mazza, Western Connecticut State University Tim Millerick, Austin College Laura Mooney, Massachusetts College of Liberal Arts Kate Roy, Northern Vermont University, vice chair Kandis Schram, Maryville College (Tennessee) Dennis Shields, University of Wisconsin, Platteville Denise Udelhofen, Loras College Michael Vienna, Emory University Joe Walsh, Great Northeast Athletic Conference</p>	<p align="center">ATTENDEES</p> <p>Teresa Amott, Knox College Javier Cevallos, Framingham State University Jeff Docking, Adrian College, chair Stuart Dorsey, Texas Lutheran University Tiffany Franks, Averett University William Fritz, College of Staten Island Sue Henderson, New Jersey City University, vice chair Shantey Hill, St. Joseph's College, MC chair Sharon Hirsh, Rosemont College Robert Lindgren, Randolph-Macon College Fayneese Miller, Hamline University Kathleen Murray, Whitman College Elsa Nunez, Eastern Connecticut State University Kate Roy, North Atlantic Conference, MC vice chair Kent Trachte, Lycoming College</p>
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[Note: This summary reflects only actions (formal votes or “sense of meeting”) in accordance with the established policy governing minutes of all NCAA entities. The only discussion included is that ordered by the chair or a member of the group.]

1. WELCOME AND ANNOUNCEMENTS.

October 15-16 Management Council. The meeting was called to order at 8:04 a.m. October 15 and 8:31 a.m. October 16, by the chair, Shantey Hill.

October 23-24 Presidents Council. The meeting was called to order at 3:04 p.m. October 23 and 9:09 a.m. October 24, by the chair, President Jeff Docking.

2. REVIEW OF RECORDS OF PREVIOUS MEETINGS.

a. Management Council Meetings – July 16-17, 2018.

Management Council. The Management Council approved the summary of its July 15-16, 2018, meetings.

Presidents Council. No action necessary.

b. Presidents Council Meeting – August 7-8, 2018.

Management Council. No action necessary.

Presidents Council. The Presidents Council approved the summary of its August 7-8, 2018, meeting.

c. Administrative Committee Actions.

Management Council. The Management Council ratified the August 30, 2018, Administrative Committee report.

Presidents Council. The Presidents Council ratified the August 30, 2018, Administrative Committee reports.

3. **COMMITTEE RECOMMENDATIONS AFFECTING DIVISION III.**

a. **Division III Joint Presidents Council/Management Council Committees or Subcommittees.**

(1) **Convention-Planning Subcommittee.**

(a) **Educational Session Topic Ideas.**

Management Council. The Council reviewed the subcommittee's report regarding potential educational session topics. It endorsed the following recommendations:

- Sexual Violence Prevention: Promoting a Safe Culture on Division III Campuses. This session will provide a panel discussion of the NCAA Board of Governors sexual violence prevention policy, specifically focusing on its impact and implementation on Division III campuses. The panel will share resources and best practices.
- Fundraising: A Roadmap to Success. This session will explore the essentials of fundraising, stakeholder engagement, and fundraising models to assist athletics departments in fulfilling institutional/department goals. Content areas will include strategic facility fundraising; athletics annual operational fundraising; electronic fundraising; and collaboration best practices between athletics and campus development offices.
- The Athletics Program in Transition: Adding and Dropping Sports and Other Changes (NADIIIAA). Athletics programs are becoming more entwined with the institution's strategic planning processes. For many tuition-driven institutions, it can mean being a part of campus growth plans by attracting a specific cohort of prospective students. For some, it may mean assuming a significant role in retrenchment efforts or the redirection of campus resources to meet changing priorities or financial challenges. In addition, the division is evolving toward more full-time head and assistant coaches, with administrative or teaching duties, with the landscape changing and impacting institutional and departmental visions. This panel will address strategic approaches to these decision-making and planning challenges, drawing upon the experiences of experts in the field and administrators who have met challenges – such as adding or dropping sports, growing or contracting staff, redirecting the mission of their program – head-on within their own institutions.

Presidents Council. No action was necessary.

Staff Liaisons:
Brown,
McCleary

Council Reps:
Benning,
Franks, Hill,
Mazza, Schram,
Trachte

(b) Issues Forum – Friday, January 25.

Management Council. The Council noted the subcommittee confirmed the Issues Forum format will include brief presentations with 15- to 20-minute roundtable discussions followed by Q & A. The subcommittee will finalize the discussion topics on its November teleconference. While the roundtable topics will focus on the 2018 Division III Membership Survey results, the subcommittee needs to select two topics from the following:

- Student-athlete health and safety.
- Leveraging athletics enrollment and impact on budgeting.
- Reconciliation of an affirmation that the Division III budget allocation stay at 75/25 but a strong desire to increase “enhancements” of the championship experience within a finite revenue source.
- Permissive/restrictive legislative approach.

Presidents Council. No action was necessary.

(c) Business Session – Saturday, January 26.

Management Council. The Council noted the subcommittee endorsed the following format for the Business Session:

8 to 8:15 a.m.	Welcome.
8:15 to 8:30 a.m.	Division III Identity Video.
8:30 to 11 a.m.	Voting on 2019 Legislative Proposals.

Presidents Council. No action was necessary.

(2) Strategic Planning and Finance Committee.

Management and Presidents Councils. The Councils reviewed the division’s 2017-18 final budget report and the 2018-19 budget-to-actual. The division finished the 2017-18 year with an \$815,000 overage in championships due mainly to charter flights and airfare, with a surplus of \$341,000 in nonchampionships. The total overage of \$625,000 will be taken from the surplus above and beyond the mandated reserve.

The Councils also reviewed the future budget projections through 2023-24 noting no overages in any year and an intentional spend down of the surplus above and beyond the mandated reserve during the same period of time.

The Council noted this is a budget planning year and for the next biennial budget (2019-2021). The Strategic Planning and Finance Committee will review the budget during its March in-person meeting and make budget recommendations to the Councils.

Staff Liaisons:

**Dutcher,
McCleary,
Myers**

Council Reps:

**Cooper,
Docking, Davis,
Fritz, Hill,
Kimball,
Millerick, Shields**

(3) Joint Legislative Steering Subcommittee.

Management Council. The Council reviewed the subcommittee's report for its July 24, 2018, teleconference. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:
Berg,
Purcell

Council Reps:
Baker-Watson,
Fein, Mazza,
Roy,
Tompson-Wolfe
Udelhofen,

b. Management Council Subcommittees.

(1) Subcommittee for Legislative Relief.

Management Council. The Council reviewed the subcommittee's report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:
Alford, Markey,
Suscha

Council Reps:
Bankston, Burns,
Davis, Mooney,
Schram, Vienna,
Walsh

(2) Playing and Practice Seasons Subcommittee.

(a) Review 2019 NCAA Convention Legislation.

Management Council. The Council noted the subcommittee's recommendation to take no position on NCAA Division III Proposal No. 6 – Field Hockey and Soccer Preseason – Establishing a Three-Day Acclimatization Period. [See Page No. 17, 4a (1)]

Presidents Council. [See Page No. 17, 4a (1)]

(b) Update on Review of Captain's Practice Legislation.

Management Council. The Council noted the subcommittee reviewed a recommendation from the NCAA Division III Interpretations and Legislation Committee to the Management Council to sponsor noncontroversial legislation that would revise the definition of a captain's practice in NCAA Division III Bylaw 17.02.1.1-(g) by eliminating the provision that a captain's practice is "confined primarily to members of that team." The subcommittee supported the proposal and noted the proposal will provide clarity to the membership regarding the application of the captain's practice legislation and will assist the membership in better monitoring student-athlete participation.

Presidents Council. No action was necessary.

Staff Liaison:
Peterson-
Myllinski,
Suscha

Council Reps:
Bankston, Hill
Vienna

c. Division III Committees.

(1) Championships Committee.

(a) Secondary Criteria – Non-Division III Strength-of-Schedule.

Management Council. The Council approved the committee's

recommendation to amend NCAA Bylaw 31.3.4.2. to delete non-Division III strength-of-schedule.

Non-Division III strength-of-schedule was added to secondary criteria in 2013 at the point when secondary criteria changed from “out-of-region” Division III opponents to “non-Division III.” However, the metric has not been included in the data to this point and, if it were, is not considered a relevant metric due to the small sample size of non-Division III opponents. As such, the Championships Committee supports eliminating the language from the legislated criteria. The effective date will be January 2019.

Presidents Council. No action was necessary.

(b) Joint Men’s and Women’s Basketball Championship.

Management Council. The Council supported the committee’s recommendation to hold one Division III Men’s Basketball Championship and one Division III Women’s Basketball Championship in conjunction with the Division I Men’s Final Four and the Division I Women’s Final Four, respectively, during the remaining period of the current NCAA broadcast agreement (through 2023-2024).

The Division I, II and III Men’s and Women’s Basketball Committees recommended two joint championships for each gender over the next 10 years. The Division III committees highlighted that participation provides a heightened platform to promote the Division III identity for the entire basketball season (approximately six months). The media attention related to the Division I Final Fours is extensive, and it presents a unique opportunity to positively impact Division III branding efforts. The Division III coaches’ associations support this proposal. The Division III Championships Committee believes it is prudent, given the financial implications, to conduct one joint championship for each gender during the remaining years of the current broadcast agreement before re-evaluating additional commitments.

The budget impact will be approximately \$250,000 per gender for each joint championship. The Division III Championships Committee and Management Council also recommend the Division III Strategic Planning and Finance Committee consider committing dollars from the Division III identity initiatives budget to assist with expenses, given the overall marketing and exposure benefits from the joint championships for the division.

Presidents Council. The Presidents Council approved the committee’s recommendation to hold one Division III Men’s Basketball Championship and one Division III Women’s Basketball Championship in conjunction with the Division I Men’s Final Four and the Division I Women’s Final Four, respectively, during the remaining period of the current NCAA broadcast agreement (through 2024).

(c) Championships Budget Recap and Future Planning.

Management Council. The Council noted that staff reviewed a budget-to-actual report by sport (committee expense, game expense, team per diem, and team travel) for 2017-18 with the Division III sport championships committee chairs. Reoccurring themes from the chairs included increasing access to the bench area for team sports; expanding brackets in team sports per access ratios; adding a day of rest between rounds at the finals site for team sports; and increasing per diem beyond the scheduled increase to \$100 in 2020-21 for all sports. The Championships Committee will discuss and prioritize these budget requests at its February in-person meeting and forward a recommendation to the Strategic Planning and Finance Committee.

Presidents Council. No action was necessary.

(d) Sport Region Alignment Project.

Management Council. The Council noted the committee received an update on the commissioners group project proposing new regional alignments in most sports. This project has focused on a more consistent approach to balancing sport regions considering like sports and sport sponsorship as the main factors. Some of the challenges a project of this magnitude face includes regions where sponsorship is limited (e.g., the West region typically spanning from Texas to Washington state) and sports such as cross country and wrestling where regional alignment has a potential to impact qualifying to the national championships as it is based on regional finish.

Presidents Council. No action was necessary.

(e) Bench Size Survey.

Management Council. The Council noted feedback from the committee report regarding increased bench size as a priority in several sports. The Championships Committee asked staff to survey directors of athletics at Division III institutions regarding interest in expanding the bench size to accommodate the average roster size in given team sports provided the institution covers the expenses for the additional bench personnel to attend the championship finals. The committee noted that the travel party and squad size limits would remain the same, but that there is interest in accommodating sport committee requests to provide more student-athlete access to the championship experience.

Presidents Council. No action was necessary.

(f) Conference Requirements for Automatic Qualification Eligibility.

Management Council. The Council noted the committee voted to form a working group composed of Championships Committee members and conference commissioners to take a deeper look at the concept of

establishing conference competitive requirements to be eligible for automatic qualification to NCAA championships. The 2018 Division III membership survey indicated membership support on this concept. The committee would also like for sport committees to provide feedback to aid in the discussion.

Presidents Council. No action was necessary.

Staff Liaisons:
Cooper, Elworth
Kleppel

Council Rep:
Cummings-Danson

(2) **Committee on Infractions.**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:
Alford, Hartung,

Council Rep:
Schram

(3) **Financial Aid Committee.**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaison:
Walters

Council Reps:
Fritz, Roy

(4) **Infractions Appeals Committee.**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:
Myers, Purcell
Regan

Council Rep:
Fein

(5) **Interpretations and Legislative Committee (ILC).**

(a) **Approval of Official Interpretation – Student-Athlete Participation in an Institutionally Sponsored Recreation League.**

Management Council. The Council approved the following official interpretation:

Student-Athlete Participation in an Institutionally Sponsored Recreation League. The committee determined that student-athletes may participate in a recreation league specific to their sport that is sponsored by their institution provided the institution conducts the league wholly outside of the athletics department and the league does not benefit the athletics department.

Presidents Council. No action was necessary.

(b) **Noncontroversial Legislation – Playing and Practice Seasons – Definitions and Applications – Athletically Related Activities – NCAA Bylaw 17.02.1.1.**

Management Council. The Council agreed to sponsor noncontroversial

legislation to revise the definition of a captain's practice in NCAA Bylaw 17.02.1.1-(g) by eliminating the provision that a captain's practice is "confined primarily to members of that team."

The membership has regularly indicated that the legislation regulating when and how student-athletes engage in sport-specific activities outside the season with their teammates is confusing, difficult to monitor and not practical. It is understood that student-athletes are going to engage in sport-specific activities with their teammates outside the season. Consequently, the membership, including the Division III Student-Athlete Advisory Committee, advocated for greater latitude to allow these interactions to occur, but, to maintain prohibitions on athletics staff involvement and making the activities mandatory. Under the current legislation the element that is most concerning is that the activity must be open and cannot be limited to members of a team. The Interpretations and Legislation Committee indicated the focus should not be on who engages in the activity, but is the activity required for participation. The legislative amendment would clarify that student-athletes could participate in an activity limited to members of that team provided there is no coach involvement and the activity is voluntary.

Presidents Council. No action is necessary.

(c) **Approve Official Interpretation – Four-Year College Prospective Student-Athletes – Notice to Transfer in NCAA Transfer Portal (III).**

Management Council. The Council approved an official interpretation to clarify that the notification of transfer within the NCAA Transfer Portal equates to a permission to contact; thereby allowing Division III coaches to contact student-athletes that are in the NCAA Transfer Portal.

Presidents Council. No action was necessary.

(d) **Amend Official Interpretation – Offers and Inducements – Providing a Digital Photograph to a Prospective Student-Athlete (III).**

Management Council – The Council approved an amendment of the official interpretation [Reference: 2/23/18, Item No. 2a] to remove "unaltered" from the description of the type of digital photograph an institution may provide a student-athlete after a campus visit.

Presidents Council. No action was necessary.

(e) **Review of Prospective Student-Athlete Seating Arrangement on Official/Unofficial Visits.**

Management Council. The Council noted the committee discussed whether to recommend a legislative amendment to allow prospective student-athletes to sit on the team's bench during an intercollegiate contest. Currently, while on an official or unofficial visit, institutions may provide student-athletes complimentary admission to an athletics event but

may only provide seating in the general seating area. The committee agreed that the rationale underlying that legislation (which was to provide the prospective student-athlete the same experience as a general student) remains relevant. Therefore, the committee decided not to recommend a legislative change.

Presidents Council. No action was necessary.

(f) Letters of Recommendation for Student-Athletes from Institutional Athletics Staff.

Management Council. The Council noted the committee reviewed whether institutional athletics staff providing a letter of recommendation on behalf of a student-athlete constituted an extra benefit. Further, the committee reviewed whether that recommendation could be for a benefit that constituted financial aid as athletics staff members may not be involved in influencing the financial aid decision, nor may athletics be considered in the awarding process. The committee agreed that an institutional staff member may provide a letter of recommendation for a student-athlete but requested further discussion at a future meeting regarding the financial aid implications.

Presidents Council. No action was necessary.

(g) Review of Division II Coaches' Education Initiative.

Management Council. The Council noted the committee reviewed the Division II educational program, Division II University, that provides educational modules for coaches and discussed the cost of the initiative with NCAA Division III governance staff. [See Page No. 23 Item No. h]

Presidents Council. No action was necessary.

(6) Membership Committee.

(a) Impact of Association-Wide Vote on Division III Membership Policy Related to Convention Vote Requirement.

Management Council. The Council noted staff informed the committee of an Association-wide legislative vote that will occur at the 2019 NCAA Convention. The vote will occur immediately following the Convention plenary session. Because members can cast a legislative vote during that session, there is a possibility that the institution might believe it can depart the Convention prior to the Division III Business Session, and still meet the requirements of Bylaw 3.2.4.15 (Convention and Regional Rules Seminar Attendance). The committee noted that the legislation states the vote must be done "at the NCAA Convention Division III Business Session" and reiterated the intent that the vote be recorded during the Saturday morning Division III Business Session. The committee asked staff to

Staff Liaisons:
Alford, Berg,
Jones

Council Rep:
Mooney

include this information in the 2019 Convention Question and Answer document. The committee agreed to discuss the language of the legislation during its February meeting to see if it needed amendment and further clarification.

Presidents Council. No action was necessary.

(b) Update on Membership Status of Benedictine College (Illinois).

Management Council. The Council noted staff informed the committee that Benedictine College (Illinois) has decided to remain in Division III after having applied to begin the Division II membership process. The staff is working with the institution to determine if any violations of Division III rules occurred as the institution was exploring reclassification.

Presidents Council. No action was necessary.

(7) Nominating Committee.

(a) Committee Term Extension.

Management Council. The Council approved the following committee term extension:

- Nominating Committee – Andrea Belis, senior woman administrator, Becker College.

Presidents Council. No action was necessary.

(b) Governance Committee Appointments.

Management Council. The Council approved the following governance committee appointments:

i. Division III Student-Athlete Advisory Committee (11 vacancies):

- American Rivers Conference – Braly Keller, Nebraska Wesleyan University.
- American Southwest Conference – Isiah Swann, University of Texas at Dallas.
- City University of New York Athletic Conference (immediate vacancy) – Anthony Francois, John Jay College of Criminal Justice.
- Commonwealth Coast Conference – Arcel Kabongo Ngoy, Western New England University.
- Landmark Conference (immediate vacancy) – Catherine Lanigan, Juniata College.
- New England Collegiate Conference – Michael McMahon, Becker College.

Staff Liaison:
Tufano

Council Rep:
Mazza

- New Jersey Athletic Conference – Christine Mayorga, Montclair State University.
 - North Eastern Athletic Conference – Michael Litz, Penn State University, Abington.
 - Ohio Athletic Conference – Hannah Durst, Baldwin Wallace University.
 - Upper Midwest Athletic Conference – Charlotte Ellis, Crown College (Minnesota).
 - Wisconsin Intercollegiate Athletic Conference (immediate vacancy) – Jake Santellano, University of Wisconsin-Whitewater.
- ii. Division III Management Council (five vacancies):
- Stephen Briggs, president, Berry College, Southern Athletic Association.
 - Charles Brown, faculty athletics representative, Pennsylvania State University Erie, the Behrend College, Allegheny Mountain Collegiate Conference.
 - Steve Cantrell, director of athletics, Delaware Valley University, Middle Atlantic Conferences.
 - Sarah Feyerherm, vice president for student affairs/athletics direct report, Washington College (Maryland), Centennial Conference.
 - Michelle Morgan, director of athletics, John Carroll University, Ohio Athletic Conference.
- iii. Division III Championships Committee (two vacancies):
- Penny Siqueiros, director of athletics, Wesleyan College (Georgia), USA South Athletic Conference (immediate vacancy).
 - Brian Jamros, director of athletics, The College of St. Scholastica, Upper Midwest Conference.
- iv. Division III Financial Aid Committee (two vacancies):
- Marybeth Lamb, director of athletics, Bridgewater State University, Massachusetts State Collegiate Conference.
 - James Schmidt, chancellor, University of Wisconsin-Eau Claire, Wisconsin Intercollegiate Athletic Conference.
- v. Division III Membership Committee (two vacancies):
- Charles Jacobs, faculty athletics representative, St. Norbert College, Midwest Conference.
 - Megan Valentine, director of athletics, Hilbert College, Allegheny Mountain Collegiate Conference.

vi. Division III Nominating Committee (two vacancies):

- Robert Davis, Jr., chief of staff/athletics direct report, University of Scranton, Landmark Conference.
- Josh Merchant, president, Buena Vista University, American Rivers Conference.

The Council approved a new policy that if a vacancy on a sports committee has been posted a least one time (in addition to the original posting of annual committee vacancies) and no eligible nominations have been received, the conference that has not had representation for the longest period will be required to submit an individual to be appointed to the committee.

Presidents Council. No action was necessary.

(8) Student-Athlete Advisory Committee (SAAC).

Management Council. The Council reviewed the committee's report. No action was necessary.

Presidents Council. No action was necessary.

(9) Student-Athlete Reinstatement Committee.

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

d. Association-Wide Committees.

(1) Competitive Safeguards and Medical Aspects of Sports (CSMAS).

(a) Concussion Protocol Review Process.

Management Council. The Council noted the committee was briefed on the 2018 Division I concussion protocol review process, which at the time of the meeting, was still underway. In 2018, 262 non-autonomy protocols were submitted, which is a slight decrease from the 275 submitted in 2017. The committee also received an update on the status of the Divisions II and III concussion protocol template initiatives. Both divisions have approved noncontroversial legislation that requires an institution to have a concussion management plan that adheres to the process prescribed by the NCAA Board of Governors. In addition, the new legislation requires annual review of the concussion management plan by the institution's athletics health care administrator. At the time of the meeting, the template was in final production phase, and membership communication was being readied.

Staff Liaisons:
Barnhart,
Berg, Jones,
Peterson-
Mylinski

Council Reps:
Burns, Cain

Staff Liaisons:
Grace,
Romash

Council Rep:
Benning

Staff Liaisons:
Parsons,

Council Rep:
Baker-Watson

Presidents Council. No action was necessary.

(b) Independent Medical Care – Update from the IMC Working Group.

Management Council. The Council noted the committee reviewed a report from the Independent Medical Care Working Group. The working group confirmed that the independent medical care legislation does apply to situations where visiting teams travel without primary athletics health care providers. However, the committee noted that gaps exist around its understanding of the implementation of health care provisions to visiting teams. Due to these gaps, and to the potential medicolegal issues related to these situations the committee agreed that additional guidance should be provided to the membership. To that end, the working group, in collaboration with appropriate NCAA staff, will continue the development of a white paper to address relevant issues surrounding host and visitor care provisions, independent medical care, risk management and other pertinent concerns.

Presidents Council. No action was necessary.

(c) Operationalizing Drug Testing Policy for WADA Alignment.

Management Council. The Council noted that at the committee's December 2017 meeting, it recommended legislation to amend all legislated references to NCAA banned-drug classes to align with the World Anti-Doping Agency list of prohibited classes, with the exception of the glucocorticoid class. To identify and address potential issues with these pending changes, the committee agreed to create a working group to operationalize impacted drug testing policy.

In addition, the committee agreed to maintain NCAA policy on a number of substances within the banned drug classes. Specifically, the committee agreed to continue to include caffeine as a banned substance and agreed to continue to exclude insulin and Synthroid as banned substances. Finally, the committee agreed to maintain the current threshold for an NCAA positive test for THC at 15 ng/mL.

Presidents Council. No action was necessary.

(2) Committee on Sportsmanship and Ethical Conduct.

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:
Brownlee,
Huber,
Roxbury

Council Rep:
Tompson-Wolfe

Staff Liaisons:
Gentry, Wilson

Council Rep:
Udelhofen

(3) Committee on Women's Athletics (CWA).

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaison:
Tufano

Council Rep:
Benning

(4) Honors Committee

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:
Abdur-Rashid,
Crawford,
Robinson

Council Rep:
Bryant

(5) Minority Opportunities and Interests Committee (MOIC).

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:
Smith,
Suscha

Council Rep:
Walsh

(6) Olympic Sports Liaison Committee (OSLC).

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:
Calandro,
Halpin

(7) Playing Rules Oversight Panel.

Management Council. The Council reviewed the committee's report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:
Thomas

Council Rep:
Cummings-Danson

(8) Postgraduate Scholarship Committee.

Management Council. The Council reviewed the committee's report noting it reviewed 83 spring sport nominations (37 men and 46 women). It also awarded 29 postgraduate scholarships for men's sports and 29 postgraduate scholarships for women's sports. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaison:
Roxbury

Council Rep:
Millerick

(9) Research Committee.

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaison:
Thomas

Council Rep:
Walsh

(10) Walter Byers Scholarship Committee.

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

4. PROPOSED LEGISLATION FOR THE 2019 CONVENTION.

a. Review of 2019 Convention Legislation.

(1) 2019 Division III Convention Proposal Positions. The Councils reviewed responsibilities associated with Convention proposal speaking assignments and took formal positions on the membership-sponsored proposal as presented below:

- **Playing and Practice Seasons – Field Hockey and Soccer Preseason – Establishing a Three-Day Acclimatization Period.**

Presidents Council. The Presidents Council approved the recommendation of the Management Council and opposed the membership-sponsored proposal to establish a three-day acclimatization in soccer and field hockey.

Management Council. The Council recommended that the Presidents Council oppose the membership-sponsored proposal to establish a three-day acclimatization in soccer and field hockey. The Council based its recommendation of opposition on the following: (1) The proposal does not have existing data to support it; (2) It doesn't take into consideration all fall sports; (3) It could disadvantage financially challenged institutions; and (4) It could negatively impact student-athlete summer work and internship opportunities.

Playing and Practice Seasons Subcommittee. The subcommittee recommends a position of "No Position." While recognizing there is value in the proposal, there should be broader discussion regarding acclimatization periods for all fall sports.

Committee on Competitive Safeguards and Medical Aspects of Sports. The committee opposes this proposal. The proposal is in conflict with existing legislation and interassociation recommendations that define the acclimatization period as five days. The health and safety benefits of a three-day acclimatization period are also not supported by scientific literature.

(2) 2019 NCAA Convention Proposal – Football Preseason – Amendment to Amendment.

Presidents Council. The Presidents Council approved the Management Council's recommendation to sponsor an amendment-to-amendment to the 2019 Convention

proposal that establishes the football preseason start date at 23 days before the institution's first contest.

Management Council. The Council recommended that the Presidents Council sponsor an amendment-to-amendment to the 2019 Convention proposal that establishes the football preseason start date at 23 days before the institution's first contest. Specifically, the Council recommended that if an institution's first contest is on Thursday, the preseason start would be 23 days from the following Friday.

(3) Amateurism – Pre-Enrollment Educational Expenses – Blanket Waiver.

Management Council. In its review of the pre-enrollment educational expenses proposal that permits individuals to accept educational expenses prior to collegiate enrollment, the Council learned that institutions were already contacting NCAA staff with questions and waiver requests regarding current student-athletes who may have received pre-enrollment educational expenses. Based on this information, the Council approved a blanket waiver for all current student-athletes who may have received educational expenses for secondary education that are not allowed under the current legislation but would be permitted under the proposal. If the proposal is not adopted at the 2019 Convention, then those student-athletes that received impermissible secondary educational expenses would have to be declared ineligible and apply for reinstatement.

Presidents Council. No action was necessary.

b. Convention Proposal Groupings and Voting Order.

Presidents Council. The Presidents Council approved the recommendation of the Management Council regarding the 2019 Convention proposal groupings and voting order. The Council also approved roll-call voting for all proposals.

Management Council. The Council recommended the following proposal grouping and voting order for the 2019 Convention as well as designating roll-call voting for all proposals.

Board of Governors Grouping:

Organization – Board of Governors – Independent Members.

Presidents Grouping:

Playing and Practice Seasons – Football – Establishing Preseason Start Date 23 days Before the Institution's First Actual Contest.

Playing and Practice Seasons – Field Hockey and Soccer Preseason – Establishing a Three-Day Acclimatization Period.

Membership – Conditions and Obligations of Membership – Student-Athlete Graduation Rate Reporting.

General Grouping:

Amateurism – Pre-Enrollment Educational Expenses

Eligibility – Final Term Before Experiential Learning Requirement.

Recruiting – Electronic Transmissions and Publicity – Comments Before Acceptance – Social Media.

c. Review of Administrative Legislation for 2019 NCAA Convention.

(1) Executive Regulation – Championship Misconduct – Parameters of the Misconduct Period.

Management Council. The Council approved administrative legislation to clarify throughout the championship's legislation the parameters of the championships misconduct period.

Presidents Council. No action was necessary.

(2) Administration of NCAA Championships – Availability of Alcoholic Beverages – Exception for Joint Championships.

Management Council. The Council approved administrative legislation to allow alcohol sales at future joint NCAA championships that include Division I, provided the required parameters for alcohol sales are met and the championships are held in the same facility.

Presidents Council. No action was necessary.

d. Review of Noncontroversial Legislation for the 2019 NCAA Convention.

(1) Infractions Program – Penalties – Penalties, Disciplinary Measures and Corrective Actions for Major Violations – Review of Penalty – New Information or Prejudicial Error – Institution or Conference Discipline as New Information.

Management Council. The Council approved noncontroversial legislation to require that parties to an infractions case submit any new information directly related to the NCAA Division III Committee on Infractions' findings or conclusions in the case no later than one year following the issuance of the committee decision to the parties, or for a party that appeals a decision, the issuance of the Infractions Appeals Committee decision to the appealing party; further, to specify that disciplinary measures prescribed by the institution or its conference after an infractions decision may not be considered new information.

Presidents Council. No action was necessary.

(2) Recruiting – Recruiting Advertisements – Location of Advertisement.

Management Council. The Council approved noncontroversial legislation to clarify that an institution is permitted to produce any athletically related recruiting advertisements, provided the advertisements are not placed at athletics events featuring prospective student-athletes.

Presidents Council. No action was necessary.

(3) Awards and Benefits – Housing and Meals – Exceptions – Meals Missed Due to Practice.

Management Council. The Council approved noncontroversial legislation to specify that an institution may provide meals missed due to participation in institutional practice.

Presidents Council. No action was necessary.

(4) Recruiting – Contacts and Evaluations – De Minimis Violations.

Management Council. The Council approved noncontroversial legislation to specify that violations of Bylaw 13.1 and its subsections shall be considered “de minimis” and do not impact a prospective student-athlete’s eligibility.

Presidents Council. No action was necessary.

(5) Recruiting – Entertainment, Reimbursement and Employment of High School/College-Preparatory School/Two-Year College Coaches – De Minimis Violations.

Management Council. The Council approved noncontroversial legislation to specify that violations of Bylaws 13.8.1 and 13.8.2 shall be considered “de minimis” and do not impact a prospective student-athlete’s eligibility.

Presidents Council. No action was necessary.

(6) Executive Regulations – Eligibility for Championships – Ineligibility for Use of Banned Drugs – Banned Drugs – Alignment of NCAA Banned Drug Classes with World Anti-Doping Agency’s List of Prohibited Drug Classes.

Management Council. The Council approved noncontroversial legislation to amend all legislated references of NCAA banned drug classes to align with the World Anti-Doping Agency (WADA) list of prohibited classes with the exception of the glucocorticoid class, as specified.

Presidents Council. No action was necessary.

(7) Committees – Association-Wide Committees – General Committees – Walter Byers Scholarship Committee – Composition – Student-Athlete Representation.

Management Council. The Council approved noncontroversial legislation to increase the size of the Walter Byers Scholarship Committee from six to seven members; further, to specify one position shall be allocated for a former student-athlete.

Presidents Council. No action was necessary.

5. DIVISION III INITIATIVES AND UPDATES.

a. Diversity and Inclusion Working Group.

Management Council. The Council reviewed the following: (1) Mandatory student-athlete graduation rate reporting legislative proposal, and in particular, if there are specific membership discussions related to the proposal; (2) 2019 Student Immersion Program has selected 40 candidates, 22 male and 18 female, noting that each will be given an administrative and early career mentor; and (3) Ways to reengage the membership around the Presidential Pledge.

Presidents Council. No action was necessary.

b. Faculty Athletics Representative (FAR) Working Group.

Management Council. The Council reviewed and endorsed the FAR best practices resource. The Division III Faculty Athletics Representation Association executive committee will provide feedback in November and staff anticipates the resource will be finalized and an electronic version will be made available to the membership in December. All 2019 Convention delegates will receive a hardcopy in January.

Presidents Council. No action was necessary.

c. LGBTQ Working Group.

Management Council. The LGBTQ Working Group distributed the LGBTQ nondiscrimination policy guide, as well as an LGBTQ identity kit, to every institution and conference office. The working group is currently developing the parameters for a facilitator training for head coaches slated for June 2019.

The Council directed staff to discuss ways to make Division III committee reports, policies, resources, applications and committee nominating processes gender neutral as well as Association-wide resources (e.g., NCAA Convention registration). Staff noted it has scheduled a preliminary meeting with the office of inclusion to discuss next steps.

Presidents Council. No action was necessary.

d. 2018 Graduation Rate Report.

Management Council. The Council noted that it is the ninth year of the voluntary collection of student-athlete graduation rates for Division III, with approximately 280 institutions participating. Some of the findings are: (1) Student-athletes continue to graduate at a higher rate than the student-body; (2) Nearly 90% of student-athletes are graduating or separating from their school prior to graduation in good academic standing; (3) Male African-American students and student-athletes graduate at a lower rate than any other race/ethnicity group; (4) African-American men are overrepresented in football and basketball; (5) Half of football student-athletes do not graduate from the school they started at; (6) Nearly one out of five football student-athletes leave in bad academic standing, with one out of three for African-Americans; (7) Approximately two-thirds of African-American football student-athletes do not graduate from the school they started at; and (8) The larger the football recruiting class, the lower the Federal Graduation Rate.

Presidents Council. No action was necessary.

e. International Ice Hockey Pilot.

Management Council. Staff provided an update on the international ice hockey pilot. During 2018-19 academic year, at the request of the membership, staff created a pilot for all Division III schools that sponsor men's and women's ice hockey. The pilot parameters include the NCAA Eligibility Center reviewing the participation history of all international first year ice hockey players. As of early October, 72 percent of the division had participated in the pilot by either submitting a roster to the EC or declaring that it had no first-year international players. Staff also noted that participation numbers have exceeded preliminary estimates, likely creating a budget overage. At the conclusion of the ice hockey season, staff will conduct a survey to assess the pilot.

Presidents Council. No action was necessary.

f. Division III Identity Initiative.

Management Council. The Council received a status report on the Division III Identity Initiative including an update on the purchasing website, DIII/D3SIDA Recognition Award, social media, Special Olympics, new Division III Identity Initiative video and Division III Week.

Presidents Council. No action was necessary.

g. Feedback from Conference Meetings.

Management Council. The Council reviewed reports from various conference visits. No action was necessary.

Presidents Council. No action was necessary.

h. “Division III University”.

Management Council. Similar to Division II, the Council endorsed a recommendation to create a “Division III University”, an on-line learning management system for Division III head coaches and other administrators. The Division III rollout would be limited in scope with its initial existing educational modules released in January 2019. While there is no cost to develop the modules, there is a cost for Division III head coaches and administrators to access the modules. The cost is approximately two to three dollars per license. With close to 8,000 head coaches and 1,000 administrators (e.g., athletics directors, senior woman administrators and commissioners), staff estimates the initial cost to be \$25-30,000. The Management Council recommended the Strategic Planning and Finance Committee approve the funding by either paying for this expense from the Injury Surveillance Program budget of \$104,000 (which appears to be excessive) in 2018-19 or from the division’s surplus above and beyond the mandated reserve.

Presidents Council. No action was necessary.

i. Injury Surveillance Program.

Management Council. The Council received an update on the Injury Surveillance Program (ISP) noting a continual educational and communication effort with the membership. A webinar will be scheduled to help athletics trainers better understand the program’s benefits. Staff also will request that commissioners discuss the ISP with their conference members and encourage participation in the program.

Presidents Council. No action was necessary.

7. ASSOCIATION-WIDE UPDATES AND ISSUES.

a. Board of Governors (BOG) Update.

(1) College Basketball Reform – Board of Governors Composition.

Management and Presidents Council. The Councils received an update on the legislative proposal to add five (5) public independent members to the Association’s Board of Governors and shared feedback. The proposal was part of a series of recommendations forwarded to the governors in April by the Commission on College Basketball (chaired by former U.S. Secretary of State Condoleezza Rice) and approved unanimously by the NCAA Board of Governors.

It was noted that the vote on the proposal will occur during a joint business session of the entire Association on Thursday, January 24, at 5:45 p.m. in Grand Ballroom 7-8 at the Orlando World Center Marriott, immediately following the NCAA Plenary Session: State of College Sports.

(2) Sports Wagering Update.

Management and Presidents Councils. The Councils received an update noting the goal is to both support the well-being of NCAA student-athletes and protect the integrity of NCAA competition within the environment of increased legalized

sports wagering. Strategic areas that are being addressed are: (1) Education; (2) Competition integrity; (3) NCAA legislation and policy; (4) Information/data management; (5) Officiating; and (6) Political landscape (state and federal legislation).

The Presidents Council noted that the Board of Governors will appoint an Association-wide Ad Hoc Committee to address this issue.

b. Sport Science Institute (SSI) updates.

Management and Presidents Council. The SSI staff shared an update on the guidelines to prevent catastrophic injury and death in college student-athletes. SSI will send the guidelines to medical organizations for review and final endorsement. At its January meeting, the Council will review the interassociation guidelines and receive an update regarding any legislative impacts. SSI anticipates the guidelines to be officially endorsed and adopted at the Board of Governors' spring meeting. SSI also anticipates hosting a Sports Wagering Summit in the coming months.

c. Litigation Update.

Management and Presidents Council. The Councils received a litigation update. No action was necessary.

d. Strategic Planning Session.

Management and Presidents Councils. The Councils met with representatives from Attain, a strategic planning agency, to provide feedback related to the NCAA's current Association-wide strategic planning process.

e. Transfer Portal.

Management Council. The Council reviewed a new Association-wide initiative that officially opened October 15. Divisions I and II institutions will use the NCAA Transfer Portal to initiate student-athlete entries. Although Division III will not use the Transfer Portal to enter transferring student-athletes, institutions will have access to the portal to view Division I and II student-athlete information. The Transfer Portal shows the student-athlete's notification of transfer (Division I) and permission to contact (Division II) in addition to the student-athletes' transfer tracer.

Presidents Council. No action was necessary.

f. NCAA Student-Athlete Welcome Packet.

Management Council. The Council noted that welcome packets were sent to 26,500 incoming student-athletes in Divisions I, II and III as part of the Pathway to Opportunity initiative. The packet included a congratulatory letter from NCAA President Mark Emmert, and a welcome card that encouraged them to follow the NCAA's Instagram account to check out a series of stories created by current student-athletes with advice for incoming freshmen. In addition, the recipients were asked to opt in to receive periodic

texts with additional tips and resources, and those who opted in to the text program were asked to provide additional contact information to receive a free water bottle.

Since Division III student-athletes do not go through the initial eligibility certification process, staff worked with the Management Council to identify first-year student-athletes at their institutions to be part of the pilot effort.

Presidents Council. No action was necessary.

g. Management and Presidents Councils Policies and Procedures.

Management and Presidents Councils. The Councils noted that language committed to diversity and inclusion has been added to both Council's policies and procedures. The language offers a range of accommodations including – but not limited to – physical accessibilities, food allergies and dietary restrictions, gender neutral bathrooms, and private lactation spaces. The Management Council encouraged staff to provide similar, gender neutral language in all NCAA communications. Governance staff will consult with the Diversity and Inclusion staff in this regard.

8. ADJOURNMENT.

Management Council. The Council meeting adjourned at 4:03 p.m. Monday, October 15 and 11:52 a.m. Tuesday, October 16.

Presidents Council. The Presidents Council meeting adjourned at 5:47 p.m. Tuesday, October 23 and 11:33 a.m. Wednesday, October 24.



REPORT OF THE NCAA DIVISION III ADMINISTRATIVE COMMITTEE
NOVEMBER 13, 2018, ELECTRONIC MAIL

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

1. **Division III Committee on Infractions Reappointment.** The Administrative Committee, on behalf of the Management Council, approved the reappointment of Effel Harper, associate professor, University of Mary Hardin-Baylor, to a second three-year term on the committee.
2. **Governance Committee Appointments.** The Administrative Committee, on behalf of the Management Council, approved the following committee appointments:
 - Division III Interpretations and Legislation Committee (January 2019 vacancy) – Mila Su, faculty athletics representative, Plattsburgh State University of New York.
 - Division III Nominating Committee (immediate vacancy replacing Teelah Grimes) – Rahsaan Carlton, director of athletics, Penn State Harrisburg.

Committee Chair: Jeff Docking, Adrian College, Michigan Intercollegiate Athletic Association

Staff Liaisons: Dan Dutcher, Division III Governance
Jay Jones, Division III Governance
Debbie Kresge, Division III Governance
Louise McCleary, Division III Governance

NCAA Division III Administrative Committee November 13, 2018, Teleconference
Attendees:
Jeff Docking, Adrian College.
Sue Henderson, New Jersey City University.
Shantey Hill, St. Joseph’s College (Long Island)
Chris Kimball, California Lutheran University
Kate Roy, Northern Vermont University.
Absentee:
None.
NCAA Staff Support in Attendance:
Dan Dutcher, Jay Jones, Debbie Kresge and Louise McCleary



REPORT OF THE NCAA DIVISION III ADMINISTRATIVE COMMITTEE
JANUARY 3, 2019, ELECTRONIC MAIL

ACTION ITEMS.

- **None.**

INFORMATIONAL ITEMS.

- 1. NCAA Postgraduate Scholarship Committee Public Member Vacancy.** The Administrative Committee, on behalf of the Management Council, approved the appointment of Caitlin Schweihofer, past postgraduate scholarship recipient from St. John’s University, to the committee.
- 2. Division III Championships Committee Appointment.** The Administrative Committee, on behalf of the Management Council, approved the appointment of Kiki Jacobs, director of athletics, Roger Williams University, to fill an immediate vacancy replacing Timothy Fitzpatrick, who resigned from the committee.

Committee Chair: Jeff Docking, Adrian College, Michigan Intercollegiate Athletic Association
Staff Liaisons: Dan Dutcher, Division III Governance
Jay Jones, Division III Governance
Debbie Kresge, Division III Governance
Louise McCleary, Division III Governance

NCAA Division III Administrative Committee January 3, 2019, Electronic Mail
Attendees:
Jeff Docking, Adrian College.
Sue Henderson, New Jersey City University.
Shantey Hill, St. Joseph’s College (Long Island)
Chris Kimball, California Lutheran University
Kate Roy, Northern Vermont University.
Absentee:
None.
NCAA Staff Support in Attendance:
Dan Dutcher, Jay Jones, Debbie Kresge and Louise McCleary

DIVISION III PHILOSOPHY STATEMENT

Colleges and universities in Division III place the highest priority on the overall quality of the educational experience and on the successful completion of all students' academic programs. They seek to establish and maintain an environment in which a student-athlete's athletics activities are conducted as an integral part of the student-athlete's educational experience, and an environment that values cultural diversity and gender equity among their student-athletes and athletics staff.

- (a) Expect that institutional presidents and chancellors have the ultimate responsibility and final authority for the conduct of the intercollegiate athletics program at the institutional, conference and national governance levels;
- (b) Place special importance on the impact of athletics on the participants rather than on the spectators and place greater emphasis on the internal constituency (e.g., students, alumni, institutional personnel) than on the general public and its entertainment needs;
- (c) Shall not award financial aid to any student on the basis of athletics leadership, ability, participation or performance;
- (d) Primarily focus on intercollegiate athletics as a four-year, undergraduate experience;
- (e) Encourage the development of sportsmanship and positive societal attitudes in all constituents, including student-athletes, coaches, administrative personnel and spectators;
- (f) Encourage participation by maximizing the number and variety of sport offerings for their students through broad-based athletics programs;
- (g) Assure that the actions of coaches and administrators exhibit fairness, openness and honesty in their relationships with student-athletes;
- (h) Assure that athletics participants are not treated differently from other members of the student body;
- (i) Assure that student-athletes are supported in their efforts to meaningfully participate in nonathletic pursuits to enhance their overall educational experience;
- (j) Assure that athletics programs support the institution's educational mission by financing, staffing and controlling the programs through the same general procedures as other departments of the institution. Further, the administration of an institution's athletics program (e.g., hiring, compensation, professional development, certification of coaches) should be integrated into the campus culture and educational mission;

- (k) Assure that athletics recruitment complies with established institutional policies and procedures applicable to the admission process;
- (l) Exercise institutional and/or conference autonomy in the establishment of initial and continuing eligibility standards for student-athletes;
- (m) Assure that academic performance of student-athletes is, at a minimum, consistent with that of the general student body;
- (n) Assure that admission policies for student-athletes comply with policies and procedures applicable to the general student body.
- (o) Provide equitable athletics opportunities for males and females and give equal emphasis to men's and women's sports;
- (p) Support ethnic and gender diversity for all constituents;
- (q) Give primary emphasis to regional in-season competition and conference championships; and
- (r) Support student-athletes in their efforts to reach high levels of athletics performance, which may include opportunities for participation in national championships, by providing all teams with adequate facilities, competent coaching and appropriate competitive opportunities.

The purpose of the NCAA is to assist its members in developing the basis for consistent, equitable competition while minimizing infringement on the freedom of individual institutions to determine their own special objectives and programs. The above statement articulates principles that represent a commitment to Division III membership and shall serve as a guide for the preparation of legislation by the division and for planning and implementation of programs by institutions and conferences.

DIVISION III STRATEGIC POSITIONING PLATFORM

NCAA Mission

What the brand wants to accomplish

To govern competition in a fair, safe, equitable and sportsmanlike manner, and to integrate intercollegiate athletics into higher education so that the educational experience of the student-athlete is paramount.

DIII Positioning Statement

Who we are

Follow your passions and discover your potential. The college experience is a time of learning and growth – a chance to follow passions and develop potential. For student-athletes in Division III, this happens most importantly in the classroom and through earning an academic degree. The Division III experience provides for passionate participation in a competitive athletics environment, where student-athletes push themselves to excellence and build upon their academic success with new challenges and life skills. And student-athletes are encouraged to pursue the full spectrum of opportunities available during their time in college. In this way, Division III provides an integrated environment for student-athletes to take responsibility for their own paths, follow their passions and find their potential through a comprehensive educational experience.

DIII Attributes

What we stand for

Proportion: appropriate relation of academics with opportunities to pursue athletics and other passions.

Comprehensive Learning: opportunity for broad-based education and success.

Passion: playing for the love of the game, competition, fun and self-improvement.

Responsibility: development of accountability through personal commitment and choices.

Sportsmanship: fair and respectful conduct toward all participants and supporters.

Citizenship: dedication to developing responsible leaders and citizens in our communities.

NCAA Brand Attributes

Balance

Learning

Spirit

Character

Fair Play

Community

Audiences

Who we are addressing

Student-Athletes / Parents

DIII Internal Constituencies

General Public / Media

Audience Benefits

Key benefits of the DIII experience

- Continue to compete in a highly competitive athletics program and retain the full spectrum of college life.
- Focus on academic achievement while graduating with a comprehensive education that builds skills beyond the classroom.
- Access financial aid for college without the obligations of an athletics scholarship.
- Opportunities to play more than one sport.
- Be responsible for your own path, discover potential through opportunities to pursue many interests.

- Academics are the primary focus for student-athletes. Shorter practice and playing seasons, no red-shirting and regional competition minimize time away from their academic studies and keep student-athletes on a path to graduation.
- Student-athletes are integrated on campus and treated like all other members of the general student-body, keeping them focused on being a student first.
- Participation in athletics provides valuable “life lessons” for student-athletes (teamwork, discipline, perseverance, leadership, etc.), which often translate into them becoming better students and more responsible citizens.

- Division III institutions develop student-athlete potential through a comprehensive educational approach.
- Division III institutions offer athletics for the educational value and benefit to the student-athlete, not for the purposes of revenue generation or entertainment.
- Participation in athletics provides valuable “life lessons” for student-athletes (teamwork, discipline, perseverance, leadership, etc.), which often translate into them becoming better students and more responsible citizens.
- Student-athletes compete in a highly competitive athletics program and retain the full spectrum of college life.
- Student-athletes do not receive monetary incentive to play sports but rather participate for the love of the game.

Reasons to Believe

Supporting features of DIII

- 1. Comprehensive educational experience.** Division III institutions develop student-athlete potential through a holistic educational approach that includes rigorous academics, competitive athletics and opportunity to pursue other interests and passions.
- 2. Integrated campus environment.** About one-quarter of all students at Division III institutions participate in athletics. Those participating in athletics are integrated into the campus culture and educational missions of their colleges or universities:
 - Student-athletes are subject to admission and academic performance standards consistent with the general student body;
 - Student-athletes are not provided any special housing, services or support from their institution different from other students or student groups;
 - Athletics departments are regulated and managed through the same general procedures and practices as other departments of the institution.

This integration of athletics allows the student-athletes to take full advantage of the many opportunities of campus life and their entire collegiate experience.

- 3. Academic focus.** Student-athletes most often attend a college or university in Division III because of the excellent academic programs, creating a primary focus on learning and achievement of their degree. The division minimizes the conflicts between athletics and academics through shorter playing and practice seasons, the number of contests, no red-shirting or out-of-season organized activities, and a focus on regional in-season and conference play.

- 4. Available financial aid.** Three-quarters of all student-athletes in Division III receive some form of grant or non-athletics scholarship. Student-athletes have equal opportunity and access to financial aid as the general student body – but are not awarded aid based on athletics leadership, ability, performance or participation.
 - Division III does not award athletics scholarships. Without the obligation of an athletics scholarship, student-athletes can emphasize academics, athletics and other opportunities of college life appropriate to the necessary commitment and their own passions.
- 5. Competitive athletics programs.** Student-athletes do not receive any monetary incentive (athletics scholarship) to play sports in college. They play for the love and passion of the game and to push themselves to be their best, creating an intense, competitive athletics environment for all who participate.
- 6. National championship opportunities.** Division III has more than 170,000 student-athletes competing annually, with access to 38 different national championships. These competitions provide an opportunity for student-athletes to compete at the highest level and fulfill their athletics potential.
- 7. Commitment to athletics participation.** Division III institutions are committed to a broad-based program of athletics because of the educational value of participation for the student-athlete. The division has a higher number and wider variety of athletics opportunities on average than any other division in the NCAA, emphasizing both competitive men’s and women’s sports.





REPORT OF THE NCAA DIVISION III STRATEGIC
PLANNING AND FINANCE COMMITTEE
NOVEMBER 13, 2018, TELECONFERENCE

ACTION ITEMS.

1. Legislative Items.

- None.

2. Nonlegislative.

a. Joint Men's and Women's Basketball Championship.

- (1) Recommendation. Approve \$250,000 per championship to fund expenses associated with one joint championship for each gender by 2023-24.
- (2) Effective date. September 1, 2019. The joint championship dates will be determined pending future sites selected for the Division I Men's and Women's Final Fours.
- (3) Rationale. During their October meetings, both the Management and Presidents Councils approved the Championships Committee recommendation to host one men's and one women's joint basketball championship by the end of the current broadcast agreement (2023-24). The Councils requested that the Strategic Planning and Finance Committee determine the budget allocations. SPFC recommends moving the funds from the surplus above and beyond the mandated reserve to the Identity Initiatives budget, for the applicable budget year, to cover the expenses that include facility rentals, marketing, promotion, travel, lodging and per diem.
- (4) Estimated budget impact. \$500,000 of one-time expenses (\$250,000 per each championship).
- (5) Estimated student-athlete impact. The most recent joint championships in 2013 for men and 2016 for women garnered significant positive feedback regarding the student-athlete experience, increased attendance for the championship games, and enhanced visibility for the division.

b. Division III University.

- (1) Recommendation. Approve a transfer of \$30,000 from the Injury Surveillance budget of \$104,000 to a new budget line to specially fund Division III University.
- (2) Effective Date. Immediate.

- (3) Rationale. Similar to Division II, Division III is creating “Division III University,” an on-line learning management system for Division III head coaches. The Councils approved this concept in October. The Division III rollout would be limited in scope with its initial launch anticipated for January 2019. It would include modules on: (1) NCAA and Division III; (2) Student-athlete health and well-being and (3) Compliance. Since these modules currently exist, there is no additional cost for content development. However, for the membership to access the modules there is a one-time licensing fee of two to three dollars per user.

Staff anticipates the Interpretations and Legislative Committee requesting new compliance modules, including the budget impact, and forwarding those to the SPFC for consideration in the next budget cycle (2019-2021).

- (4) Estimated budget impact. Reallocate approximately \$20,000 to \$30,000 to fund licenses for 10,000 staff (e.g., head coaches, athletics directors, senior woman administrators and commissioners) at two to three dollars per license.
- (5) Estimated student-athlete impact. None.

INFORMATIONAL ITEMS.

1. **Report from the June 11, 2018, teleconference.** The committee approved the report from its June 11, 2018, teleconference.
2. **Budget.** The committee reviewed the 2017-18 final budget, the 2018-19 budget-to-actual report as of October 31, 2018, and the future budget model. The 2017-18 final budget reflects a \$815,000 overage in championships and a surplus of \$244,000 in nonchampionships. The overall deficit of \$625,000 will be covered by the surplus above and beyond the mandated reserve (approximately \$13 million).
3. **Division III Conference Grant Program.**
 - a. 2017-18 Conference Grant Spending Summary. During 2017-18, a total of \$2,791,532 was distributed to 43 conferences plus the Association of Division III Independents. The amount each conference received ranged from \$33,972 to \$97,522 with an average distribution of \$63,444. The conference distribution amount is annually calculated with a formula that utilizes the number of member schools within each conference.
 - b. Failure to Meet Grant Requirements. During the review of the impact forms, seven conferences did not properly use grant funds, based on the established conference grant policies and procedures. The subcommittee reviewed these findings and issued a warning letter regarding the following concerns:

(1) American Collegiate Athletic Association (ACAA).

Issue One: Tier One – SAAC. The submitted report indicated that the conference did not have any spending in the SAAC allocation. This is an annual requirement of Tier One.

Issue Two: Tier One – SWA. The submitted report indicated that the conference did not have any spending in the SWA allocation. This is an annual requirement of Tier One.

Corrective Action: Because of these findings and the difficulty in rectifying the initial reporting with the conference's provided explanation, the subcommittee asked the staff to issue a warning letter. In its discussion, the subcommittee noted the commissioner's explanation for these identified shortfalls. In addition to the warning letter, the American Collegiate Athletic Association has been selected for a level two assessment review for the 2017-18 reporting period.

(2) College Conference of Illinois and Wisconsin (CCIW).

Issue: Tier One – SAAC. The subcommittee identified that the conference's use of grant funds in the area of Student-Athlete Advisory Committee enhancements under grant Tier One was not in alignment with the policies and procedures approved for the Conference Grant Program. Specifically, the policies require that a minimum of \$200 per institution be spent. In the CCIW's case, the conference spent \$1,431 dollars toward SAAC enhancements but was required to spend a minimum of \$1,800.

Corrective Action: Because of this finding, the subcommittee asked the staff to issue a warning letter. While issuing a warning memo, the subcommittee also recognized the conference's explanation for this shortfall.

(3) Landmark Conference.

Issue: Tier One – Faculty Athletics Representative (FAR). The subcommittee identified that the conference did no report any spending within the allocation for FAR professional development under grant Tier One and was therefore not in alignment with the policies and procedures approved for the Conference Grant program.

Corrective Action: Because of this finding, the subcommittee asked the staff to issue a warning letter. While issuing a warning memo, the subcommittee also recognized the conference's explanation for this shortfall.

(4) Little East Conference.

Issue: Tier One – Faculty Athletics Representative (FAR). The subcommittee identified that the conference did not report any spending within the allocation for FAR professional development under grant Tier One and was therefore not in alignment with the policies and procedures approved for the Conference Grant program.

Corrective Action: Because of this finding, the subcommittee asked the staff to issue a warning letter. While issuing a warning memo, the subcommittee also recognized the conference’s explanation for this shortfall.

(5) Midwest Conference.

Issue: Tier One – Campus Sports Information Director (SID). The subcommittee identified that the conference’s use of grant funds in the area of professional development for campus sports information directors was not in alignment with the policies and procedures approved for the Conference Grant program. Specifically, the conference did not have at least \$1,000 in spending for the campus SID allocation.

Corrective Action: Because of this finding, the subcommittee asked the staff to issue a warning letter. While issuing a warning memo, the subcommittee also recognized the conference’s explanation for this shortfall.

(6) Skyline Conference.

Issue One: Tier One – per policies, conference-based programming is only allowed once every three years within Tier One. The submitted report indicated that conference-based expenses (noted as conference calls) are being claimed for SIDs, FARs, SWAs and compliance within Tier One.

Issue Two: The reported amounts were rounded for many generic descriptions which could represent an overall accounting concern.

Corrective Actions: Because of these findings, the subcommittee asked the staff to issue a warning letter. In its discussion, the subcommittee noted the commissioner’s explanation for these identified shortfalls. In addition to the warning letter, the Skyline Conference has been selected for a level two assessment review for the 2017-18 reporting period.

(7) Wisconsin Intercollegiate Athletics Association (WIAC).

Issue: Tier One – Faculty Athletics Representative (FAR). The subcommittee identified that the conference did not report any spending within the allocation

for FARs professional development under grant Tier One and was therefore not in alignment with the policies and procedures approved for the Conference Grant Program.

Corrective Action: Because of this finding, the subcommittee asked the staff to issue a warning letter. While issuing a warning memo, the subcommittee also recognized the conference's explanation for this shortfall and recognized the years of success the conference has had in funding this area.

- c. Clarification on unused Funds Policy. The committee approved the following policy clarification on unused funds in excess of \$1,000, noting it will be added to the Strategic Initiatives Conference Grant Program Policies and Procedures.

A conference may retain unused funds in excess of \$1,000 (beyond \$301 in any single tier) provided that the conference submits a detailed plan regarding how the excess funds will be used and the Conference Grant Review Subcommittee approves the plan. Any funds beyond \$1,000 unspent at the end of the subsequent year will need to be returned to the NCAA.

- d. Increased Flexibility with Tier One Nonattendance Issues. The committee approved the following policy clarification in regard to the minimum spending requirements of Tier One not met within a particular constituency area, noting it will be added to the Strategic Initiatives Conference Grant Program Policies and Procedures.

If prior written notification of nonattendance by a planned Tier One professional development grant recipient, is provided to the conference grant administrator, the Conference Grant Review Subcommittee will allow a one-year rollover of those unused funds without penalty. The notification of nonattendance must include a plan for usage of the funds for the subsequent year within the same constituency area.

- e. Policies Related to Increases in Tier One and Tier Three Funding. The committee noted the subcommittee's review of policies related to the recent annual increase of each conference's allocation (\$1,000 for athletics trainers in Tier One and \$3,500 for officiating enhancements in Tier Three). The staff noted that because the increased funds were earmarked for specific strategic initiatives when the funding was approved by the SPFC, the dollars should be spent in those designated areas annually, with \$1,000 minimum for athletics trainers in Tier One and a \$3,500 minimum for officiating enhancements in Tier Three.

- f. Review of Division III Commissioner's Association (DIIICA) Proposal Related to Tier Three Officiating Expenses. The committee noted the subcommittee reviewed a proposal submitted on behalf of the DIIICA requesting that commissioners be permitted to collectively pool Tier Three officiating improvement funds toward a larger strategic effort in 2018-19. The DIIICA hopes to put the pooled funding toward a national review of the state of officiating in Division III. The money would be

allocated toward hiring a consultant(s) to assist in an assessment of the current state of officiating and provide a strategic plan on how to move forward as a division and jointly with Divisions I, II and the national office. [Note: This issue will be discussed during the committee's March in-person meeting.]

4. Division III Initiatives – Budget Impact.

- a. 2018 New FAR Orientation. The committee received an update on the new FAR Orientation, noting this program will be held every other year.
- b. International Ice Hockey Pilot. The committee received an update on the ice hockey pilot. Due to staff's underestimate of the number of first year international players, the pilot will cost more than the budget of \$10,000. Staff anticipates an overage of approximately \$18,000. Staff will send a survey in March to all ice hockey institutions and commissioners to evaluate the pilot program and seek feedback on next steps.
- c. 2019 Athletics Direct Report (ADR) Institute. The committee received an update on the ADR Institute noting approximately 34 will attend the Institute during the 2019 NCAA Convention.
- d. 2019 Student Immersion Program. The committee received an update on the Student Immersion Program noting 36 student-athletes will attend the program during the 2019 NCAA Convention.
- e. NADIII AA Summer Forum. The committee received a final summary showing the distribution of the \$75,000 funds to support the 2018 NADIII AA Summer Forum.

5. Other Business.

- a. College Basketball Reform. The committee received an update on the college basketball reform and the pending Association-wide vote at the 2019 NCAA Convention to add five independent members to the Board of Governors.
- b. Association-wide Strategic Plan. The committee received an update on the Association-wide Strategic Plan. During the October Council meetings, both the Management and Presidents Council met with Attain, the consultant developing the plan, to give their input. An Association-wide session will be held at the 2019 NCAA Convention. Staff anticipates the Board of Governors approving the new plan during its 2019 summer meeting.
- c. Staff thanked the outgoing members for their service.

6. **Future Meeting.** The Strategic Planning and Finance will hold its in-person meeting on Tuesday, March 5, from 8 a.m. to 2 p.m., at the NCAA national office.

7. **Adjournment.** The teleconference adjourned at 2:17 p.m.

Committee Chair: Sue Henderson, New Jersey City University, New Jersey Athletic Conference.

*Staff Liaisons: Louise McCleary, Division III Governance
 Dan Dutcher, Division III Governance
 Eric Hartung, Research
 Jay Jones, Division III Governance
 Jeff Myers, Academic and Membership Affairs
 Caryl West, Administrative*

NCAA Division III Strategic Planning and Finance Committee November 13, 2018, teleconference
Attendees:
Angela Baumann, Massachusetts State Collegiate Athletic Conference
Sean Cain, Adrian College, SAAC representative
Gail Cummings-Danson, Skidmore College
Bobby Davis, University of Scranton
Stuart Dorsey, Texas Lutheran University
Margaret Drugovich, Hartwick College
David Ellis, Becker College
Shantey Hill, St. Joseph's College (Long Island)
Rob Huntington, Heidelberg University
Dennis Shields, University of Wisconsin, Platteville
Bill Stiles, Alvernia University
Joe Walsh, Great Northeast Athletic Conference
Absentees:
Sue Henderson, New Jersey City University, chair
Kate Roy, North Atlantic Conference
NCAA Staff Support in Attendance:
Dan Dutcher, Jay Jones, Debbie Kresge, Louise McCleary, Jeff Myers and Caryl West
Other NCAA Staff Members in Attendance:
Brian Burnsed and Liz Suscha

SUPPLEMENT NO. 06b
DIII Presidents Council 01/19



FY 2017 - 2018 DIII Budget to Actual (through 08/31/2018) and FY 2018 - 2019 DIII Budget to Actual (through 12/31/2018) unaudited

	FY 2016 - 2017			FY 2017 - 2018			FY 2018 - 2019		
	Actual	Budget	Variance	Actual	Budget	Variance	Actual	Budget	Variance
Revenue									
DII/DIII Allocation-Based Revenue	\$32,276,861	\$29,695,153	\$2,581,708	\$31,421,951	\$31,155,829	\$266,122	\$3,965,190	\$32,237,370	(\$28,272,180)
Additional Revenue from Membership Dues Increase							\$530,200	\$519,000	\$11,200
Other Non-DII/DIII Revenue	\$77,485	\$0	\$77,485	\$76,135	\$46,250	\$29,885	\$0	\$0	\$0
Revenue Total	\$32,354,346	\$29,695,153	\$2,659,193	\$31,498,086	\$31,202,079	\$296,007	\$4,495,390	\$32,756,370	(\$28,260,980)
Expenses									
Championship Expenses									
Men's Championships									
DIII Men's Baseball	\$1,654,685	\$1,963,071	\$308,386	\$2,214,380	\$2,218,000	\$3,620	\$3,036	\$2,332,000	\$2,328,964
DIII Men's Basketball	\$1,136,845	\$1,039,241	(\$97,604)	\$1,284,977	\$1,142,000	(\$142,977)	\$9,430	\$1,169,000	\$1,159,570
DIII Men's Cross Country	\$550,629	\$560,320	\$9,691	\$595,832	\$576,500	(\$19,332)	\$479,715	\$592,350	\$112,635
DIII Men's Football	\$1,754,917	\$1,906,585	\$151,668	\$2,305,524	\$1,982,500	(\$323,024)	\$1,299,852	\$2,036,500	\$736,648
DIII Men's Golf	\$536,584	\$563,541	\$26,957	\$602,252	\$607,500	\$5,248	\$7,657	\$621,500	\$613,843
DIII Men's Ice Hockey	\$271,667	\$340,417	\$68,750	\$418,395	\$370,000	(\$48,395)	\$143	\$376,000	\$375,857
DIII Men's Lacrosse	\$600,594	\$480,824	(\$119,770)	\$666,333	\$622,000	(\$44,333)	(\$18,811)	\$634,000	\$652,811
DIII Men's Soccer	\$1,251,282	\$1,101,694	(\$149,588)	\$1,248,150	\$1,225,400	(\$22,750)	\$697,600	\$1,244,400	\$546,800
DIII Men's Swimming and Diving	\$636,166	\$531,072	(\$105,094)	\$598,095	\$619,000	\$20,905	\$14	\$629,000	\$628,986
DIII Men's Tennis	\$584,248	\$631,301	\$47,053	\$691,143	\$680,500	(\$10,643)	\$1,153	\$698,000	\$696,847
DIII Men's Track Indoor	\$515,085	\$625,267	\$110,182	\$774,526	\$682,500	(\$92,026)	\$6,657	\$836,850	\$830,193
DIII Men's Track Outdoor	\$809,508	\$795,214	(\$14,294)	\$922,434	\$907,500	(\$14,934)	\$1,258	\$921,250	\$919,992
DIII Men's Volleyball	\$186,131	\$180,281	(\$5,850)	\$277,130	\$235,000	(\$42,130)	\$971	\$315,000	\$314,029
DIII Men's Wrestling	\$387,882	\$391,535	\$3,653	\$434,908	\$440,500	\$5,592	\$246	\$451,500	\$451,254
Total	\$10,876,223	\$11,110,363	\$234,140	\$13,034,080	\$12,308,900	(\$725,180)	\$2,488,921	\$12,857,350	\$10,368,429
Women's Championships									
DIII Women's Basketball	\$1,062,826	\$1,350,348	\$287,522	\$1,202,747	\$1,231,000	\$28,253	\$1,291	\$1,253,000	\$1,251,709
DIII Women's Cross Country	\$581,093	\$581,323	\$230	\$595,000	\$597,000	\$2,000	\$483,130	\$614,150	\$131,020
DIII Women's Field Hockey	\$362,313	\$447,830	\$85,517	\$575,621	\$494,000	(\$81,621)	\$257,535	\$504,000	\$246,465
DIII Women's Golf	\$343,523	\$333,377	(\$10,146)	\$417,563	\$389,550	(\$28,013)	\$5,001	\$407,550	\$402,549
DIII Women's Ice Hockey	\$316,469	\$296,004	(\$20,465)	\$219,181	\$271,000	\$51,819	\$137	\$314,000	\$313,863
DIII Women's Lacrosse	\$759,179	\$746,444	(\$12,735)	\$914,629	\$834,000	(\$80,629)	\$1,523	\$851,000	\$849,477
DIII Women's Rowing	\$210,989	\$317,709	\$106,720	\$345,238	\$413,000	\$67,762	\$598	\$427,000	\$426,402
DIII Women's Soccer	\$1,146,681	\$1,263,436	\$116,755	\$1,219,224	\$1,305,000	\$85,776	\$755,376	\$1,327,000	\$571,624
DIII Women's Softball	\$1,349,031	\$1,453,315	\$104,284	\$1,776,627	\$1,563,000	(\$213,627)	\$583	\$1,597,000	\$1,596,417
DIII Women's Swimming and Diving	\$535,043	\$543,662	\$8,619	\$639,708	\$683,000	\$43,292	\$0	\$695,000	\$695,000
DIII Women's Tennis	\$628,389	\$664,143	\$35,754	\$695,575	\$720,500	\$24,925	\$648	\$740,000	\$739,352
DIII Women's Track Indoor	\$482,769	\$626,450	\$143,681	\$701,113	\$649,500	(\$51,613)	\$1,317	\$766,150	\$764,833
DIII Women's Track Outdoor	\$762,570	\$823,161	\$60,591	\$922,958	\$915,500	(\$7,458)	\$1,261	\$945,750	\$944,489
DIII Women's Volleyball	\$913,876	\$1,031,544	\$117,668	\$1,096,389	\$1,127,500	\$31,111	\$668,535	\$1,153,500	\$484,965
Total	\$9,454,752	\$10,478,746	\$1,023,994	\$11,321,573	\$11,193,550	(\$128,023)	\$2,176,933	\$11,595,100	\$9,418,167
Championship Expenses	\$20,330,975	\$21,589,109	\$1,258,134	\$24,355,653	\$23,502,450	(\$853,203)	\$4,665,854	\$24,452,450	\$19,786,596
Overhead Allocation	\$374,000	\$343,000	(\$31,000)	\$410,000	\$428,000	\$18,000	\$140,667	\$422,000	\$281,333
Total Championship Expense	\$20,704,975	\$21,932,109	\$1,227,134	\$24,765,653	\$23,930,450	(\$835,203)	\$4,806,520	\$24,874,450	\$20,067,930

Non-Championship Expenses									
Conference Grants	\$2,537,821	\$2,541,000	\$3,179	\$2,791,532	\$2,795,100	\$3,568	\$3,042,605	\$3,042,600	(\$5)
Intern Program	\$1,088,724	\$1,130,000	\$41,276	\$1,089,884	\$1,130,000	\$40,116	\$1,142,574	\$1,209,920	\$67,346
Strategic Alliance Matching Grant	\$608,420	\$708,600	\$100,180	\$589,725	\$708,600	\$118,875	\$624,030	\$708,600	\$84,570
Leadership Conference	\$344,949	\$365,000	\$20,051	\$366,642	\$365,000	(\$1,642)	\$15,330	\$365,000	\$349,670
Identity Initiative	\$342,743	\$360,000	\$17,257	\$312,697	\$300,000	(\$12,697)	\$108,344	\$300,000	\$191,656
Diversity Initiatives	\$284,742	\$231,000	(\$53,742)	\$255,519	\$250,000	(\$5,519)	\$68,533	\$250,000	\$181,467
Sportsmanship	\$523,325	\$50,000	(\$473,325)	\$228,694	\$250,000	\$21,306	\$75,616	\$250,000	\$174,384
360 Proof	\$124,744	\$176,000	\$51,256	\$111,264	\$125,000	\$13,736	\$39,351	\$125,000	\$85,649
Coaches and Administrators Diversity							\$0	\$100,000	\$100,000
Leadership Development Initiatives DiSC	\$80,000	\$80,000	\$0	\$86,662	\$80,000	(\$6,662)	\$54,720	\$100,000	\$45,280
LGBTQ							\$66,933	\$100,000	\$33,067
ADR Institute	\$93,769	\$107,500	\$13,732	\$80,877	\$90,000	\$9,123	\$16,208	\$90,000	\$73,792
SAAC April and Associate Member Meetings	\$15,716	\$15,000	(\$716)	\$16,039	\$25,000	\$8,961	\$6,300	\$90,000	\$83,700
FAR Orientation/Institute	\$91,882	\$86,500	(\$5,382)	\$58,850	\$85,000	\$26,150	\$18,808	\$85,000	\$66,192
AD and Commissioner Orientation	\$71,942	\$60,000	(\$11,942)	\$78,886	\$85,000	\$6,114	\$1,500	\$85,000	\$83,500
Athletics Administrator Partnership (NADIIIAA)	\$52,023	\$52,000	(\$23)	\$75,000	\$75,000	\$0	\$0	\$75,000	\$75,000
Injury Surveillance and Testing							\$0	\$74,500	\$74,500
NCAA Annual Convention	\$37,443	\$70,000	\$32,557	\$72,485	\$70,000	(\$2,485)	\$18	\$70,000	\$69,982
Membership Learning Management							\$9,875	\$55,000	\$45,125
Academic All-America Program (Co-SIDA)	\$39,500	\$44,000	\$4,500	\$39,500	\$44,000	\$4,500	\$39,500	\$44,000	\$4,500
Insurance	\$48,460	\$41,000	(\$7,460)	\$40,960	\$41,000	\$40	\$40,960	\$41,000	\$40
Special Olympics	\$38,847	\$35,000	(\$3,847)	\$38,341	\$35,000	(\$3,341)	\$1,000	\$35,000	\$34,000
Academic Reporting Honorarium	\$24,994	\$25,000	\$7	\$35,262	\$35,000	(\$262)	\$34,996	\$35,000	\$4
Women Leaders in College Sports	\$28,000	\$28,000	\$0	\$30,000	\$30,000	\$0	\$478	\$30,000	\$29,522
Working Groups	\$16,301	\$16,000	(\$301)	\$14,565	\$35,000	\$20,435	\$5,056	\$20,000	\$14,944
Conference Commissioner Meetings	\$16,237	\$20,000	\$3,763	\$10,497	\$20,000	\$9,503	\$0	\$20,000	\$20,000
NADIIIAA and Commissioner Mtg	\$9,594	\$10,000	\$406	\$19,711	\$10,000	(\$9,711)	\$1,769	\$10,000	\$8,231
Administrative - Misc	\$145	\$4,000	\$3,855	\$49,303	\$85,300	\$35,997	\$150	\$15,380	\$15,230
CoSIDA DIII Day				\$22,537	\$0	(\$22,537)	\$150	\$15,000	\$14,850
Staff Professional Development							\$6,098	\$7,000	\$902
Exploratory/Provisional Membership				\$9,442	\$0	(\$9,442)	\$2,254	\$0	(\$2,254)
Non-Championship Expenses	\$6,520,320	\$6,255,600	(\$264,720)	\$6,524,876	\$6,769,000	\$244,124	\$5,423,156	\$7,448,000	\$2,024,844
Overhead Allocation	\$944,000	\$1,054,000	\$110,000	\$1,031,000	\$1,128,000	\$97,000	\$354,000	\$1,062,000	\$708,000
Total Non-Championship Expense	\$7,464,320	\$7,309,600	(\$154,720)	\$7,555,876	\$7,897,000	\$341,124	\$5,777,156	\$8,510,000	\$2,732,844

Total Division III Expenses	\$28,169,295	\$29,241,709	\$1,072,414	\$32,321,528	\$31,827,450	(\$494,078)	\$10,583,676	\$33,384,450	\$22,800,774
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Surplus (Deficit)	\$4,185,051	\$453,444		(\$823,442)	(\$625,371)		(\$6,088,286)	(\$628,080)	
Add: Prior Year Reserve Balance	\$25,819,680	\$25,819,680		\$30,004,731	\$30,004,731		\$29,181,289	\$29,181,289	
Estimated Reserve Balance	\$30,004,731	\$26,273,124		\$29,181,289	\$29,379,360		\$23,093,003	\$28,553,209	

Description DII and DIII Budget to Actual
 Criteria All values exclude Period Type Name of "Closing" and Data Source of "OracleOverlap"
 Last 10/04/2018 (jjay);
 Parameters **Fiscal Year:** FY 2017 - 2018; **Fiscal Month Ending:** 08/31/2018; **Division:** Division III; **Additional Years of History:** 1; **Order Lower Levels by:** Budget Amount;

DIII Future Projections

Assumptions:

- Game Operations increases by X% each fiscal year based on FY2009-10 thru FY2015-16 average increases. Actual growth rate is 4.6%
- Committee expenses increase by X% each fiscal year based on FY2011-12 thru FY2015-16 average increases. Actual growth rate is -2.1%
- Team Transportation increases by X% each fiscal year based on cost per traveler analysis for FY2008-09 thru FY2016-17.
- Maintain 75%/25% ratio of championships to non-championships spending thru 2024 with draw on reserve to cover certain champs and non-championships enhancements over the same period.

3.00%	DIII Champs Assumption Analysis 16-17
0.00%	DIII Champs Assumption Analysis 16-17
3.75%	Presentation to CFO on Travel Models by division

The National Collegiate Athletic Association

Division III Budget Projections

	2017-18 Actual	2018-19 Budget	2019-20 Projection	2020-21 Projection	2021-22 Projection	2022-23 Projection	2023-24 Projection
Revenue:							
Division III 3.18% Revenue Allocation	\$ 31,431,970	\$ 32,237,370	\$ 33,012,370	\$ 33,789,370	\$ 34,472,370	\$ 34,617,370	\$ 34,668,370
Draw from DIII Reserve							
Additional Revenue from Membership Dues Increase	\$ -	\$ 519,000	\$ 519,000	\$ 519,000	\$ 519,000	\$ 519,000	\$ 519,000
Division III Other Revenue	76,135	-	-	-	-	-	-
Total Revenue	\$ 31,508,105	\$ 32,756,370	\$ 33,531,370	\$ 34,308,370	\$ 34,991,370	\$ 35,136,370	\$ 35,187,370
Projected Revenue Increase	-2.6%	2.6%	2.4%	2.4%	2.0%	0.4%	0.1%
Expenses:							
Championships Game Operations	4,528,254	4,777,150	4,920,465	5,068,078	5,220,121	5,376,724	5,538,026
Championships Committee	347,580	401,400	401,400	401,400	401,400	401,400	401,400
Championships Team Transportation	10,666,519	10,240,000	10,624,000	11,022,400	11,435,740	11,864,580	12,309,502
Championships Per Diem	7,014,220	7,207,200	7,207,200	7,636,200	7,636,200	7,636,200	7,636,200
Championships Overhead Allocation ⁴	410,000	422,000	435,000	448,000	461,000	475,000	489,000
Total Championship Expenses	22,966,573	23,047,750	23,588,065	24,576,078	25,154,461	25,753,905	26,374,128
Non-Championships Base Budget	6,458,872	6,929,000	7,149,000	7,320,000	7,457,000	7,458,000	7,435,000
Non-Championships Initiatives	-	-	-	-	-	-	-
Non-Championships Overhead Allocation ⁴	1,031,000	1,062,000	1,094,000	1,127,000	1,161,000	1,196,000	1,232,000
Total Non-Championship Expenses	7,489,872	7,991,000	8,243,000	8,447,000	8,618,000	8,654,000	8,667,000
Total Division III Expenses (before supplemental spending)	\$ 30,456,445	\$ 31,038,750	\$ 31,831,065	\$ 33,023,078	\$ 33,772,461	\$ 34,407,905	\$ 35,041,128
Net Change in Fund Balance (before supplemental spending)	\$ 1,051,660	\$ 1,717,620	\$ 1,700,306	\$ 1,285,292	\$ 1,218,909	\$ 728,465	\$ 146,242
Supplemental items:							
Local ground transportation for individual sports	580,426	602,000	625,000	648,000	672,000	697,000	723,000
Local ground transportation for team sports	493,864	512,000	531,000	551,000	572,000	593,000	615,000
Increase in travel party size for team sports	705,528	712,700	720,700	756,000	764,000	772,000	781,000
Supplemental Championships Spending from reserve ²	1,779,818	1,826,700	1,876,700	1,955,000	2,008,000	2,062,000	2,119,000
Supplemental Non-Championships Spending from reserve	66,000	519,000	519,000	519,000	519,000	519,000	519,000
Total Supplemental Spending	1,845,818	2,345,700	2,395,700	2,474,000	2,527,000	2,581,000	2,638,000
Division III Membership Dues Credit	-	-	-	-	-	-	-
Total Division III Expenses (after supplemental spending)	\$ 32,302,263	\$ 33,384,450	\$ 34,226,765	\$ 35,497,078	\$ 36,299,461	\$ 36,988,905	\$ 37,679,128
Net Change in Fund Balance (after supplemental spending)	\$ (794,158)	\$ (628,080)	\$ (695,395)	\$ (1,188,708)	\$ (1,308,091)	\$ (1,852,535)	\$ (2,491,758)
Projected Expense Increase	14.7%	3.4%	2.5%	3.7%	2.3%	1.9%	1.9%
Beginning Fund Balance (Projected Reserve and Unallocated Funds)	\$ 30,004,731	\$ 29,210,573	\$ 28,582,493	\$ 27,887,099	\$ 26,698,391	\$ 25,390,300	\$ 23,537,765
Less:							
Net Change in Fund Balance	(794,158)	(628,080)	(695,395)	(1,188,708)	(1,308,091)	(1,852,535)	(2,491,758)
Ending Fund Balance (Projected Reserve and Unallocated Funds)	\$ 29,210,573	\$ 28,582,493	\$ 27,887,099	\$ 26,698,391	\$ 25,390,300	\$ 23,537,765	\$ 21,046,007
Fund Balance	\$ 29,210,573	\$ 28,582,493	\$ 27,887,099	\$ 26,698,391	\$ 25,390,300	\$ 23,537,765	\$ 21,046,007
Less allocated funding:							
Championship Contingency (Note 4)	-	-	-	-	-	-	-
Encumbered for future year programs	-	-	-	-	-	-	-
Available Fund Balance	\$ 29,210,573	\$ 28,582,493	\$ 27,887,099	\$ 26,698,391	\$ 25,390,300	\$ 23,537,765	\$ 21,046,007
Event Cancellation Insurance Policy	-	-	-	-	-	-	-
Total Reserve Funding Available	\$ 29,210,573	\$ 28,582,493	\$ 27,887,099	\$ 26,698,391	\$ 25,390,300	\$ 23,537,765	\$ 21,046,007
Mandated Reserve ¹	(15,715,985)	(16,118,685)	(16,506,185)	(16,894,685)	(17,236,185)	(17,308,685)	(17,334,185)
Cash available in excess of reserve policy	\$ 13,494,588	\$ 12,463,808	\$ 11,380,914	\$ 9,803,706	\$ 8,154,115	\$ 6,229,080	\$ 3,711,822

Notes:

- Mandated reserve is 50% of the annual DIII revenue allocation in cash beginning in fiscal year 2017-18. The division also holds a separate event cancellation insurance policy with a \$5M limit.
- Supplemental championships spending is earmarked for individual/team local ground transportation and returning travel party sizes to 2013-14 levels. This supplemental spending would be evaluated first for elimination in the event of an operating deficit.
- Amount includes inflationary increase from prior year amount (light blue highlight).
- All amounts for 2017-18 are unaudited amounts. Overhead estimates were updated September 2018 based on current information.

Annual TO SOLVE value for Championships	425,382	9,790	(401,625)	(870,871)
Change in Annual TO SOLVE value	(374,444)	(415,592)	(411,415)	(469,246)
Cumulative Impact on Cash Reserve if not resolved	3,056,807	3,066,597	2,664,972	1,794,101
Percentage DIII Spend - Championships	75%	74%	74%	74%
Percentage DIII Spend - Non-Championships	25%	26%	26%	26%

**REPORT OF THE
NCAA DIVISION III MANAGEMENT COUNCIL
JANUARY 23, 2019**

The Division III Management Council conducted its January 23 meeting in Orlando, Florida. Listed below are specific items for review and consideration by the Presidents Council.

ACTION ITEMS

- None.

NO ACTION REQUIRED

The following do not require formal action and are being reported to the Presidents Council for informational purposes only.

1. **Future NCAA Convention Format.** The Council discussed a recommendation from the Convention-Planning Subcommittee to shift the Division III NCAA Convention format from Wednesday – Saturday to Tuesday – Friday to allow delegates to return to campus for weekend athletics competition. Staff noted that this format shift will be discussed in the next Convention bid cycle, slated for this spring, but would not occur until the 2028 Convention and beyond, if approved. The Council also provided feedback on the implementation of rising registration fees, as well as the importance of an annual Division III Business Session.
2. **Strategic Planning and Budget.** The Council approved two action items from the Strategic Planning and Finance Committee. The first action approved \$250,000 per championship for a future basketball joint championship (with Divisions I and II) for each gender by 2023-24. The funds will be moved from the Division III surplus above and beyond the mandated reserve to the Identity Initiative budget to cover expenses such as facility rentals, marketing, promotion, travel, lodging and per diem. The Council also approved the transfer of \$30,000 from the Injury Surveillance Program budget to the Learning Management System budget to fund the launch of Division III University. Every Division III commissioner, athletics director, senior woman administrator and head coach, approximately 9,500 individuals, now has access to Division III University at a cost of two to three dollars per user.
3. **Division III University.** Staff provided an update on the official launch of Division III University, an online learning management system for commissioners, athletics directors, senior woman administrators and head coaches. The initial modules have a head coach focus and feature a range of content including an overview of Division III, student-athlete health and well-being, and compliance. Staff noted in February the Interpretations and Legislation Committee will discuss next steps regarding the development of additional compliance modules.

Staff also anticipates the release of Gameday the DIII Way game environment and sportsmanship modules via Division III University in the near future.

4. **Skyline Conference Proposal.** The Council discussed a proposal forwarded to all Division III presidents in late December by the Skyline Conference. The proposal contends that Division III is not functioning well and needs to be more federated, and that Division III commissioners should develop a plan for presidents to review that will allow Division III to self-regulate and function in a manner that better fits its mission and values. The Presidents Council chair responded to the proposal noting the following: (1) The 2018 Division III Membership Survey results indicate that Division III is functioning well; (2) Division III is currently federated and has great autonomy to control its bylaws, regulations and policies; (3) There is a process in place that allows for legislative change, and (4) The membership or governance structure can initiate this legislative process.

Staff noted that this proposal will be discussed by both Councils as well as the Division III Commissioners Association during the 2019 Convention. If the proposal has sufficient support, it can be formally introduced into the governance structure for further discussion. If not, the Skyline can pursue change through the membership-sponsored legislative process.

The Management Council indicated some confusion over the specific requests and recommendations within the proposal. It noted that the division's legislative standards and policies attempt to emphasize fairness and equity.

Therefore, the Council took action stating that it didn't support the governance structure discussing the proposal further. In addition, recent data and evidence don't align with the proposal's assertions. Pending further discussion by the Presidents Council, the Management Council endorsed writing a consensus statement to officially state its position.

5. **2019 NCAA Convention Legislation – Football Preseason.** The Council discussed membership concerns with applying Proposal No. 2. Specifically, institutions that have scheduled their first contest the second week of the season, against schools that play during week one, have noted an inequity in preseason practice time and due to existing contracts, are unable to change the start date. Based on that discussion, Council instructed staff to inform the membership at the Division III Issues Forum that the Council discussed these concerns and reaffirmed that the Subcommittee on Legislative Relief waiver process is the appropriate avenue to address any concerns. Due to the variability in academic calendars, as well as other conditions, these waivers are best reviewed on a case-by-case basis.
6. **Sport Science Institute (SSI) Updates.** Dr. Brian Hainline provided an update on the SSI's strategic priorities timeline, including the Interassociation Recommendations: Preventing Catastrophic Injury and Death in College Student-Athletes. Currently, outside medical organizations are reviewing the recommendations for final endorsement. SSI anticipates seeking the Board of Governors endorsement at its April meeting prior to releasing to the membership.

SSI noted that the Division III participation in the Injury Surveillance Program has increased from less than 10 percent to approximately 25 percent. SSI appreciates the increased participation as it relies on the data to make data-driven decisions.

SSI announced that it is hosting a Sports Wagering and Well-Being Summit in March. The NCAA has an internal working group and the Board of Governors recently created an Association-wide working group to address sports wagering. The NCAA is educating the membership on the impact of sports wagering on legislation and bylaws as well as providing education on gambling addiction. The summit will discuss the impact of legalized sports wagering on the membership. The outcomes of the summit will provide a framework for how the NCAA will move forward in membership education.

- 7. Championships Updates.** The Council received an update on the results of a championships survey. The survey gathered the membership's feedback and interest regarding expanding the current national championship bench size to accommodate the average roster size with the institution being responsible for the additional expenses. The survey results indicate support for expanding roster sizes, and the Championships Committee will discuss further at its February in-person meeting.

The Council also adopted noncontroversial legislation to increase the composition of the women's lacrosse committee from five to seven members with the addition of two new sport regions. In April, the Council may receive a recommendation to realign existing championship regions. The initiative has occurred in partnership with the Division III Commissioners Association.

- 8. Financial Aid Reporting Process - Level I and II Reviews.** The Council received the Financial Aid Committee's report that identified 57 Level I reviews this fall, 20 more than last year. The committee took the following actions: (1) Voted to take no action on 24 cases; and (2) Voted to forward 32 Level I cases to a Level II review, nine more than last year.

- 9. Student-Athlete Advisory Committee (SAAC) Elections.** The Division III SAAC elected its new officers – Madison Burns, Randolph-Macon College, will be the chair and NJ Kim, Emory University, will remain the vice chair. The new Management Council liaisons are Samantha Kastner, Notre Dame of Maryland University and Colby Pepper, Covenant College.

Similar to the Management Council's action at its October meeting, SAAC recommended that all current and future Division III policies, procedures and communications contain gender-neutral language in an effort to be inclusive of all. Staff noted its recent work with the NCAA office of inclusion and human resources in this area. The Management Council again formally endorsed the committee's recommendation.

- 10. Student-Athlete Reinstatement.** The Council approved noncontroversial legislation to designate violations as restitution violations that do not affect student-athlete eligibility if the value of the benefit is \$200 or less and provided the student-athlete makes restitution to a charity prior to competition.

11. **Committee on Competitive Safeguards and Medical Aspects of Sport (CSMAS).** The Council did not move a CSMAS noncontroversial legislation request with the understanding that it will be revisited by CSMAS to address specific Division III issues. The legislation would clarify that a student-athlete who tests positive for use of a substance in a banned drug class other than an illicit drug shall be ineligible for competition until they have been withheld from the equivalent of one season of regular season competition. Further, the student-athlete would be charged with the loss of one season of competition if they test positive during a year which they did not use a season of competition.

The Council approved noncontroversial legislation clarifying that tampering with an NCAA drug-test sample includes urine substitution and related methods.

12. **Athletics Diversity and Inclusion Designation.** The Council discussed the Minority Opportunities and Interests Committee's legislative proposal, possibly slated for the 2020 NCAA Convention, to establish an athletics diversity and inclusion designation within each athletics department and conference office. The designee would serve as the primary contact for diversity and inclusion-related information. The Council provided feedback that included the following: (1) The Council supports having an athletics diversity and inclusion designation within conference offices as Division III commissioners want to be included in all communications; (2) The Council believes that there needs to be more information on the role and responsibilities of the designation; and (3) There is value in having a campus designee.
13. **NCAA Transfer Portal.** The Council received an update on the NCAA Transfer Portal. All Division I and II student-athletes seeking to transfer must have their information entered into the portal. While Division III student-athletes are not in the portal, Division III coaches and administrators have a read-only access that allows them to view names and contact information. The portal is not a recruiting tool, but instead is a compliance tool that provides academics and athletics information needed to transfer. The Council discussed the benefits of having Division III fully use the transfer portal (e.g., entering all Division III transfers into the portal and creating a standardized transfer tracer).
14. **FAR Engagement Working Group.** The Council reviewed three best practice resources developed by the FAR Engagement Working Group. The resources will be distributed to all Convention delegates.
15. **LGBTQ Working Group.** The LGBTQ working group is creating a train the trainer facilitator program for late May/early June. After the training program, facilitators will be able to lead a 90-minute program on Division III campuses and at conference meetings. The program will teach participants LGBTQ terminology as well as provide tools for participants to create safe and inclusive spaces for their student-athletes.

From: President Charles Flynn <president@mountsaintvincent.edu>
Date: December 18, 2018 at 5:16:07 PM EST
Subject: NCAA Division III Reform



COLLEGE OF
MOUNT SAINT VINCENT
OFFICE OF THE PRESIDENT

December 2018

Dear President XXX:

On behalf of the Skyline Conference, I am contacting you in your role as president of a NCAA Division III institution and as a member of the presidents' council (by whatever name) of your conference. The Skyline Conference asks that you consider our proposal (attached) and asks you and your conference to join in bringing this proposal to the NCAA Convention for action.

The mission, standards and goals of Division III athletics are vastly different from those of our colleagues in Division I. Division III is committed to athletics as an educational enterprise. Educational purposes and values govern everything we do. Nonetheless, we continue to be linked to a system of governance and regulations that oversee all of intercollegiate athletics. That current form of governance and regulation may suit the very large and multi-purpose, not-for-profit enterprise that is Division I, but we believe it does not fit and with increasing frequency frustrates Division III.

The attached proposal would mandate that the commissioners develop a plan for review by the presidents that would allow our division to function and self-regulate in a manner that better fits the mission and values of Division III. It would help our institutions to offer competitive intercollegiate athletic programs facilitated by a collegial system of governance characteristic of our institutions.

The plan would not remove our institutions from the NCAA umbrella. We would continue to access Divisionally appropriate NCAA services (including championships) to ensure that we work together to enhance the experience of our students. Analogously to the structures adopted for "Power 5" Division I Conferences, the proposal is designed to help Division III clearly to distinguish and strengthen itself under the NCAA umbrella. One major advantage: the ability to formulate a simpler, clearer, set of rules that fit the needs of and are appropriate to our Division.

A second advantage: simplified, collegial, largely conference-centered regulation and enforcement.

A third advantage: self-study, outside evaluation, and (re)newed Division III certification can be designed to piggy-back our decennial self-study and reaccreditation processes, reducing redundancy and, one hopes, improving effectiveness.

We can direct the DIII commissioners to develop this plan. With a fully, collegially devised plan, the debate over its adoption or rejection would be edifying. Whatever its result, we believe that such a debate would have a salutary effect – bringing us closer to the repair of a system that many of us believe is very broken.

Rest assured, your support of this proposal and the endorsement of your conference do not commit you or your conference to support the resulting legislation. It would, however, ensure a lively debate over a fully developed concrete plan for fundamental reform. We believe that debate is overdue.

May we ask you kindly to let me know if you would support the attached resolution. And may we ask your conference to let me know if it will join Skyline to ensure the that the attached resolution is passed at the 2020 NCAA convention so that a fully developed proposal is prepared for consideration at the 2021 convention.

I would be happy to answer any questions or concerns.

Sincerely,

A handwritten signature in black ink that reads "Charles Flynn". The signature is written in a cursive, flowing style.

Charles L. Flynn, Jr.
Chair, Presidents' Council
Skyline Conference

cc: Linda Bruno
Commissioner
Skyline Conference



INTENT: To delineate and define NCAA Division III athletics as separate and apart from NCAA Division I and II by adopting a process of certification for Division III membership similar to that used for academic accreditation (defined standards, periodic self-study, external peer evaluation, recommendations, association board or committee action). This collegial process will help to promote the mission and values of Division III under the umbrella of NCAA membership and continue to offer NCAA Division III championship competition.

PROPOSAL: The Division III commissioners are directed by the presidents to develop a plan for all Division III institutions/intercollegiate athletic programs to be certified for NCAA Division III membership and competition through a process which mirrors the accreditation process required for all institutions of higher learning. While Division III standards will relate to athletics, the institution as a whole will be governed by and accountable for defined policy standards of DIII membership.

These areas are to include (but may not be limited to): financial aid, graduation rates, sports sponsorship, compliance with Division III rules, etc. Division III rules should be few and fundamental and may be elaborated (but not lessened) by each conference. Additionally, the plan should propose a mechanism by which Division III adopts legislation governing its members on a cycle and through a method which can be separate from the one currently utilized by the membership.

The commissioners are to develop a plan that will embrace the needs of all Division III student-athletes, ensuring that the mission of education and athletic participation mesh in a way that upholds the values of the division. NCAA staff, when requested, should be available to ensure that a process, metrics, and timetable are in place so that the resulting plan meets the requirements for legislation that can be voted upon by the full membership as deemed necessary.

The commissioners are directed to present this plan in time for it to be reviewed by the presidents and result in legislation at the 2021 NCAA convention

RATIONALE: The current Division I model has moved further away from the mission of Division III. In point of fact, Division I is divided into separate groups (Group of 5, BCS, BFS, etc.), to serve specific needs. Almost all of the legislation which is adopted by the membership is designed to address and resolve DI issues, which should not but unintentionally often does, have a direct effect on Division III. The rules for compliance governing Division III can more appropriately be defined for Division III alone. While there is currently the ability for Division III to vote on its own legislation, this option is employed too seldom. More commonly, Division III institutions grapple with rules that trickle down from challenges facing Division I institutions, does not serve Division III interests, and is cumulatively counterproductive. Additionally, the very nature of the non-scholarship model should allow DIII to allow itself more flexibility in rules governing recruiting, extra benefits, playing and practice seasons, etc.

Dutcher, Dan

From: Jeff Docking <DIIIGovernance@ncaa.org>
Sent: Thursday, December 20, 2018 1:53 PM
To: Dutcher, Dan
Subject: Proposed NCAA Division III Reforms

NCAA Division III
ADVANCING THE VALUE OF EDUCATION

Charles L. Flynn Jr.
President, College of Mount Saint Vincent

Dear Chuck:

I am responding to your electronic letter and accompanying proposal, which I received on December 18, advocating the consideration of various legislative, policy and structural changes for Division III by the 2021 NCAA Convention. My response is on behalf of Adrian College, as well as in my role as chair of the Division III Presidents Council.

I believe your letter articulates three main themes related to Division III. First, it states the division suffers from many serious, fundamental problems and reflects a general dissatisfaction with the status quo. Second, it emphasizes that greater divisional autonomy (often referred to as "federation" within the NCAA) is the vehicle to resolve those issues. And third, it identifies the division's commissioners as the best group to propose relevant structural changes and legislative solutions.

I am directing NCAA staff to include your correspondence as an agenda item during the January meetings of the Division III Management and Presidents Councils. Subsequently, you will receive a more detailed response on behalf of the governance structure leadership. In the meantime, I would like to share the following brief observations:

- A clear majority of Division III schools and commissioners expressed overall satisfaction with the state of the division during the division-wide survey that occurred last spring. That included the division's primary legislative standards and governance structure. You can access the survey results here: 2018 Institutional Survey and 2018 Conference Survey.
- Division III already exercises a great deal of autonomy related to its philosophical principles and legislative standards. Creating greater divisional autonomy, along with presidential control, were the two key goals behind the restructuring of the NCAA during the mid-1990's. Counting the proposed vote on the composition of the Board of Governors this January, only three Association-wide votes will have taken place at the NCAA Convention since 1997. During the same time span, hundreds of division-specific votes have occurred. In short, the division already has the autonomy to address most (if not all) of the legislative and policy changes you advocate in your correspondence (e.g., financial aid, graduation rates, sports sponsorship, self-study, and compliance).

- While I have a great deal of respect for the abilities and commitment of our divisions' conference commissioners, legislative issues and policy changes are best addressed through division's governance structure and legislative process. Many important constituencies are represented in the committee structure, offering a broad-based perspective throughout the division's governance structure and policy process. My experience on the Presidents Council has reinforced that consideration of these perspectives is essential to the long-term best interests of the division. Ultimately, legislative proposals that are not embraced within the structure can be sponsored for a Convention vote by 20 schools or two voting conferences. And all proposals are resolved on a one school/conference, one vote basis. This ensures that the legislative process remains open and democratic.

Chuck, I hope my initial thoughts are helpful and would welcome the opportunity to discuss them in more detail. I would be especially willing to discuss how your concerns could be considered within the Division III governance structure.

Regardless, I sincerely appreciate your interest in the betterment of Division III. I am copying our Division III presidential and commissioner colleagues for their information.

Best wishes,
Jeffrey R. Docking
President, Adrian College

This email was sent to all Division III presidents and commissioners.

DIII: Follow your passions and discover your potential

Proportion

Appropriate relation of academics with opportunities to pursue athletics and other passions

Comprehensive Learning

Opportunity for broad-based education and success

Passion

Playing for the love of the game, competition, fun and self-improvement

Responsibility

Development of accountability through personal commitment and choices

Sportmanship

Fair and respectful conduct toward all participants and supporters

Citizenship

Dedication to developing responsible leaders and citizens in our communities

National Collegiate Athletic Association, 700 W. Washington St., Indianapolis, IN 46204 US

This email was sent to: ddutcher@ncaa.org

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Gmail

Linda Bruno <linda.bruno1@gmail.com>

Re: Proposed NCAA Division III Reforms

4 messages

Charles Flynn <charles.flynn@mountsaintvincent.edu>

Thu, Dec 20, 2018 at 2:21 PM

To: Debbie Kresge <reply-fe5a1379736c047d7016-7822357_HTML-826917437-10892398-1@news.ncaa.org>

Cc: Linda Bruno <linda.bruno1@gmail.com>

Dear Jeff:

Thanks for responding to the Skyline email outlining a proposal. Thanks for a preview of how you intend to handle it.

1. I take it that responses to the survey suggest that there is not need to look at a new model. That is a problematic conclusion. First, of course, the survey was characteristically poorly constructed. Perhaps understandably, it asked questions only within the current model. Second, we both know there is an enormous amount of dissatisfaction. Indeed, one of the major themes of presidents' responses to the Skyline email is -- "we have a lot of issues with the NCAA but I've never been willing to devote my time to it." Those email responses are especially interesting to me because they summarize my own position (which until now was characteristically ill formulated), Third, the unhappy proposal for Div IV -- which further divided but nonetheless maintained the current model of regulation-- revealed deep divisions about the functioning of the NCAA in general and NCAA Div III in particular.

2. I think it is a mis-characterization to say the proposal is primarily directed toward greater divisional autonomy. I think the proposal suggests that we use our autonomy within the NCAA to develop a model of self regulation that better fits who we are and what we do. This proposal is very clearly not about obtaining autonomy. It presumes autonomy. It is about employing that autonomy well.

The passages in the proposal that may invite misinterpretation are meant to be reassuring that this proposal assumes we stay within the NCAA. This is not about withdrawing from the NCAA and creating a new autonomous structure.

When Skyline presidents were discussing this proposal, I observed that it is weird and often foolish that our non-scholarship, Division III, athletic programs are more highly regulated, more intrusively regulated, and more counter productively regulated than the teaching of nursing- preparation for license involving life and death responsibility. Of course, nursing accreditation is exacting. Standards are rigorous. Nonetheless, it is less fraught and more consequential than NCAA Div III,

3. Terrific. You know the groups better than anyone. If you think there is a better group to take on the challenge of defining a new system for NCAA, please direct it there. If you think there should be an ad hoc group. Terrific. Please create it. You'd be at the top of my list to chair such a group. I think there are a lot of good people who'd be willing to do that who've not been inclined to get involved in the NCAA but think would think carefully about it. Without asking his permission, I'd volunteer XXXX as one example. XXXX is another. The list is long.

Fr. Loughrin (late of St. Peter's, Loyola Marymount, Mount Saint Mary's and other Div I schools) used to give a very funny talk about how the NCAA is terribly broken and how every *effort* to reform it makes it worse. It was funny. And to some degree, it seemed true. But I truly believe it is not the mission that is *off*. The biggest heavy weights of Div. I is trying to work out a model that fits their big business selves. They are staying in NCAA to do it. I think Div III should too, and I think all our Skyline presidents agree.

Chuck

Charles L. Flynn
President
College of Mount Saint Vincent
6301 Riverdale Avenue
Bronx, New York 10471

Phone: 718.405-3233
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NCAA Division III Management Council and Presidents Council
2019 NCAA Convention Legislation Speaker Assignment Chart

Proposal No. BOG -2019-1: ORGANIZATION -- BOARD OF GOVERNORS -- INDEPENDENT MEMBERS

Intent: To amend legislation related to the NCAA Board of Governors, as follows: (1) Increase the number of members from 20 to 25 by adding five independent voting members; (2) Define an independent member; (3) Specify that an independent member shall be appointed to a three-year term that is renewable for an additional three-year term, and that an independent member who has served two terms shall not serve further; (4) Specify that the Board of Governors shall issue a call for nominations when a vacancy for an independent member occurs; and (5) Specify that the Board of Governors shall serve as the final authority for the selection of and additional duties assigned to independent members.

Source: NCAA Board of Governors (Commission on College Basketball Association-Wide Issues Topical Working Group).

Effective Date: August 1, 2019.

Rationale: The Commission on College Basketball recommended that the NCAA restructure its highest governance body, the Board of Governors, to include at least five independent members with the experience, stature and objectivity to assist the NCAA in re-establishing itself as an effective, respected leader and regulator of college sports. One independent member will also serve on the Board of Governors Executive Committee. The current Board of Governors includes 16 institutional presidents or chancellors representing each division as voting members, the chairs of the Division I Council and the Division II and III Management Councils as ex-officio nonvoting members, and the NCAA president (who may vote in case of a tie). Like public companies, major nonprofit associations typically include outside board members to provide objectivity, relevant experience, perspective and wisdom. Board members with those qualities will provide valuable insight to the NCAA as it works towards the restoration of public confidence in college basketball and college sports in general. The Board of Governors will issue a formal call for nominations to fill vacancies; appoint the Board of Governors Executive Committee as the nominating committee; and serve as the final authority for the selection of and additional duties assigned to independent members.

Proposed Speakers:

PC (support): Jeff Docking.

PC (support): Mary Beth Cooper.

Proposal No. 2019-2: PLAYING AND PRACTICE SEASONS -- FOOTBALL -- ESTABLISHING PRESEASON START DATE 23 DAYS BEFORE THE INSTITUTION'S FIRST CONTEST

Intent: To amend the football preseason legislation as follows: (1) Establish the first permissible practice date as 23 days before the institution's first regular season contest; and (2) Prohibit physical athletically related activity one day each week of the preseason following the five-day acclimatization period.

Source: NCAA Division III Presidents Council (Management Council).

Effective Date: August 1, 2019.

Rationale: The Interassociation Consensus: Year-Round Football Practice Contact for College Student-Athletes Recommendations include the discontinuation of traditional two-a-day contact practices. Pursuant to those recommendations, the Division III Management Council adopted noncontroversial legislation in April 2017 to eliminate multiple on-field traditional contact practices on the same day. The noncontroversial legislation, however, did not change the calculation method for determining the first permissible preseason practice date, which assumes the permissibility of two-a-day practices. Consequently, the current calculation method could produce inequitable start dates and lost practice opportunities. This proposal seeks to rectify those potential inequities by providing consistent practice opportunities for all football sponsoring institutions. Institutions could start 23 days before their first contest, resulting in up to 21 practice days, while still providing student-athletes with two days off from physical athletically related activity during the preseason (During the off days, film review, team meetings, leadership and entertainment activities are permissible). This proposal maintains the health and safety provisions outlined in the Interassociation Recommendations and provides more equitable practice opportunities.

Proposed Speakers:

PC (move and support): Stuart Dorsey.

PC (support): Robert Lindgren.

MC (support): Kandis Schram.

Proposal No. 2019-2-1: PLAYING AND PRACTICE SEASONS -- FOOTBALL -- ESTABLISHING PRESEASON START DATE 23 DAYS BEFORE THE INSTITUTION'S FIRST CONTEST -- EXCEPTION THURSDAY CONTEST

Intent: To establish that the first-permissible practice date for an institution that conducts its first contest on the Thursday of the opening weekend is 23 days before the following Friday.

Source: NCAA Division III Presidents Council (Management Council).

Effective Date: August 1, 2019.

Rationale: This amendment-to-amendment will maintain the current requirement that if an institution conducts its first contest on the Thursday of opening weekend, it counts back from the following Friday. This is consistent with the rationale of the original proposal by ensuring an equitable start date without unnecessarily expanding the preseason.

Proposed Speakers:

MC (move and support): Denise Udelhofen.

Proposal No. 2019-3: PLAYING AND PRACTICE SEASONS -- FIELD HOCKEY AND SOCCER PRESEASON -- ESTABLISHING A THREE-DAY ACCLIMATIZATION PERIOD

Intent: To amend preseason practice in the sports of field hockey and soccer as follows: (1) to add three additional days to the preseason practice period; (2) to require an acclimatization period during the first three days of the preseason practice period, during which a team would be limited to one single practice session no longer than three hours in duration, followed by a one-hour walk through, with a minimum of three hours of rest required in between the two activities; and (3) to mandate that on every preseason practice day following the three-day acclimatization period, a team would be limited to conducting no more than two on-field practices per day and a maximum of six hours of athletically related activity total during the two practices combined, with a minimum of three hours of rest required in between practice sessions.

Source: City University of New York Athletic Conference and New Jersey Athletic Conference.

Effective Date: August 1, 2019.

Rationale: Under current NCAA legislation, the preseason practice time that is allotted in the sports of field hockey and soccer is insufficient in regard to the time needed to properly prepare student-athletes for intercollegiate competition. Due to the time constraints that coaches are faced with in the preseason practice period, programs are forced to engage in strenuous practice activities, including multiple training sessions often in extreme conditions. The additional three practice opportunities will provide programs extra time to prepare for competition, resulting in a reduced workload during each practice day in the preseason. In addition, the implementation of an acclimatization period for health and safety reasons will allow student-athletes who are returning from summer break to adjust to a return to strenuous play in potentially extreme temperatures.

Proposed Speakers:

PC (oppose): Margaret Drugovich.

PC (oppose): Elsa Nunez.

MC (oppose): Stevie Baker Watson.

MC (oppose): Heather Benning.

Governance Position:

Presidents Council, Management Council and the Committee on Competitive Safeguards and Medical Aspects of Sports oppose this proposal as there is not existing health and safety data to support the changes proposed. Additionally, expanding the preseason and requiring student-athletes to return to campus earlier could negatively impact the work and internship opportunities for those student-athletes.

Proposal No. 2019-4: MEMBERSHIP -- CONDITIONS AND OBLIGATIONS OF MEMBERSHIP -- STUDENT-ATHLETE GRADUATION RATE REPORTING

Intent: To include as a condition and obligation of Division III active membership, that an institution submit on an annual basis student-athlete graduation rate reporting data for the academic success rate (ASR) in a form prescribed by the Management Council; further to establish that annual championships eligibility is contingent upon submission of the ASR.

Source: NCAA Division III Presidents Council [Management Council (Diversity and Inclusion Working Group)].

Effective Date: August 1, 2019 [First report is due June 1, 2020].

Rationale: Currently, institutions are required to annually submit student-body enrollment and graduation information to the NCAA. Voluntary reporting of Division III student-athlete graduation rates during the last eight years has annually reflected lower rates for male African-American student-athletes, and in particular football players, than their student-athlete peers, as well as rates lower than the student-body. This proposal allows for the private identification and communication of student-athlete graduation rates at each member school. A mandatory collection also provides the data to develop Division III evidence-based best practices to improve the retention and graduation of all student-athletes, including male African-Americans and football student-athletes. Institution-specific rates will not be publicized; rather, institutions will be able to view their student-athlete graduation rates privately in the NCAA Institutional Performance Program (IPP) for assessment and benchmarking. In addition, a mandated division-wide graduation-rate submission program also allows for the better promotion of Division III's overall positive academic success story.

Proposed Speakers:

PC (move and support): Javier Cevallos.

PC (support): Teresa Amott.

MC (support): Gerard Bryant.

MC (support): Michael Vienna.

Proposal No. 2019-5: AMATEURISM – PRE-ENROLLMENT EDUCATIONAL EXPENSES

Intent: To permit individuals to accept educational expenses (e.g., tuition, fees, room, board and books) prior to collegiate enrollment from any individual or entity other than an agent, professional sports team/organization or representative of an institution's athletics interests, provided such expenses are disbursed directly through the recipient's educational institution (e.g., preparatory school, high school).

Source: NCAA Division III Management Council (Interpretations and Legislation Committee).

Effective Date: August 1, 2019.

Rationale: Current legislation precludes prospective student-athletes from receiving educational expenses for secondary education when athletics participation is considered. This standard is more restrictive than the standard that is imposed on current student-athletes who are permitted to receive such assistance in recognition of high school athletics leadership, ability, participation or performance from an established and continuing program. It seems inconsistent that prospective student-athletes should be held to a higher standard than current student-athletes as it relates to the receipt of educational expenses to attend a secondary institution. This proposal would allow for consistency in application between prospective student-athletes and current student-athletes.

Proposed Speakers:

MC (move and support): Gail Cummings-Danson.

MC (support): Jason Fein.

Note: Management Council approved a blanket waiver pending the outcome of this legislation. Specifically, student-athletes that received pre-enrollment educational expenses that are impermissible under the current rule but would be allowed under the proposed rule are not deemed ineligible subject to reinstatement pending the outcome of the vote on this proposal. If the legislation is defeated then these individuals would go through the reinstatement process. If passed, the student-athletes would not have eligibility consequences associated with the receipt of the pre-enrollment educational expenses.

Proposal No. 2019-6: ELIGIBILITY -- FINAL TERM BEFORE EXPERIENTIAL LEARNING REQUIREMENT

Intent: To extend the existing final term exception to the full-time enrollment requirement to include student-athletes that are carrying (for credit) all courses necessary to complete degree requirements but have an outstanding experiential learning requirement.

Source: NCAA Division III Management Council (Interpretations and Legislation Committee).

Effective Date: August 1, 2019.

Rationale: This proposal provides flexibility for student-athletes who are unable to satisfy an experiential learning requirement in the same term in which they complete the other credits necessary for graduation. The proposal would save student-athletes from incurring the additional expense of taking courses unnecessary for their degree solely for the purpose of playing their final season.

Proposed Speakers:

MC (move and support): Laura Mooney.

MC (support): Tim Millerick.

Proposal No. 2019-7: RECRUITING -- SOCIAL MEDIA AND PUBLICITY -- EXCEPTIONS -- CONNECT WITH PROSPECTIVE STUDENT-ATHLETES

Intent: To establish an exception to the restrictions on electronic transmissions and publicity before commitment legislation to permit athletics department staff members to (1) connect with (e.g., "friend," "follow," etc.) prospective student-athletes on social media platforms, and (2) take actions (e.g., "like," "favorite," republish, etc.) on social media platforms that indicate approval of content generated by users of the platforms other than institutional staff members or representatives of an institution's athletics interests.

Source: NCAA Division III Management Council (Interpretations and Legislation Committee).

Effective Date: Immediate.

Rationale: This proposal provides continuity among the three divisions regarding public social media communication, thereby minimizing confusion for prospective student-athletes and ensuring equitable access for Division III coaches. First, the proposal allows prospective student-athletes to receive electronic notifications automatically generated by the social media platform (e.g., the platform notifies a prospective student-athlete that he or she has received a friend request); coaches may not include any additional language in either the request or the reply. Next, the proposal permits coaches to respond to content produced by prospective student-athletes. By limiting coaches' initial social media correspondence to a response, this proposal allows prospective student-athletes to control a level of privacy and the extent to which they would like to engage in the recruiting process on social media platforms. Under current legislation, it is difficult to monitor all coaches and their social media activities (e.g., "likes," "favorites," republishing, etc.). This proposal creates an exception to publicity related to recruiting on social media platforms and attempts to maintain pace with the frequent creation and/or enhancement of social media applications. The immediate effective date will provide relief from the current application of the legislation without detrimentally impacting prospective student-athlete well-being.

Proposed Speakers:

MC (move and support): Kate Roy.

MC (support): Bobby Davis.

MC (support): Lori Mazza.



**2019 NCAA Convention Division III Business Session
Mootnicity and Related Parliamentary Issues**

NCAA Division III Presidents Council Grouping – Proposal Nos. 2-4.

General Notes. The NCAA Division III Presidents Council has identified three proposals of particular interest to Division III chancellors and presidents. These proposals are included in the Presidents Council grouping and will be considered by roll call vote. All motions and votes related to these proposals also must be considered by roll call. Because the Presidents Council designated the proposals for roll call vote, only the Presidents Council may waive this designation and all other motions to change the voting method would be out of order.

Proposal Number	Procedural Issues	Mootnicity Issues	Miscellaneous Notes
2019-2	Roll call vote.	None.	Once this proposal is moved and seconded, then one of the sponsors of Proposal No. 2019-2-1 will move Proposal No. 2019-2-1. The membership will then debate and vote on whether to amend the proposal. If the amendment is defeated, then the membership will vote on Proposal No. 2019-2 as originally submitted. If the amendment is adopted, the membership will vote on Proposal No. 2019-2 as amended by Proposal No. 2019-2-1.
2019-2-1	Roll call vote.	Yes.	See notes for Proposal No. 2019-2.
2019-3	Roll call vote.	None.	The official notice has been updated with the governance position as it was inadvertently omitted from the original notice. A governance position is standard for all membership sponsored proposals.
2019-4	Roll call vote.	None.	None.

NCAA Division III General Grouping – Proposal Nos. 5-7.

General Notes. This grouping contains three proposals. Each proposal will be considered by roll call vote. All motions and votes related to these proposals also must be considered by roll call. Because the Presidents Council designated the proposals for roll call vote, only the Presidents Council may waive this designation and all other motions to change the voting method would be out of order.

Proposal Number	Procedural Issues	Mootnicity Issues	Miscellaneous Notes
2019-5	Roll call vote.	None.	None.
2019-6	Roll call vote.	None.	None.
2019-7	Roll call vote.	None.	None

Additional Notes. Effective date: A motion for an alternative effective date on the Convention floor would violate the principle of "prior notice" and therefore would be out of order. Alternative effective dates must be noted with the submission of the proposal or offered as an amendment-to-amendment.

Motion to divide: A properly moved proposal may be divided into two or more parts to be voted on separately only if the parts make sense as they stand alone and only if each part may be adopted without any of the others. Any delegate intending to make a motion to divide one of the six proposals is encouraged to contact a member of the NCAA academic and membership affairs staff for assistance with drafting of the motion before the Division III business session.

Withdrawing proposals and proposals not moved: The NCAA practice permits sponsors of a proposal to announce their desire to withdraw their proposal from consideration; however, if a single voting delegate objects, the proposal will not be withdrawn. If a published proposal is not moved, the matter is simply passed. An eligible voting member, including the sponsor, may ask for permission later to introduce a proposal that has been passed.

2019 NCAA Convention Division III Legislative Proposals
Question and Answer Guide

Approved November 15, 2018, by the
NCAA Division III Interpretations and Legislation Committee

Please note this is the first edition of the 2019 NCAA Convention Division III Legislative Proposals Question and Answer Guide. Future editions may be developed as questions are presented to the NCAA staff or the NCAA Division III Interpretations and Legislation Committee. On release of subsequent editions of this guide, newly approved questions and answers will be shaded in gray.

DIVISION III LEGISLATIVE PROPOSALS

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Understanding How to Read the 2019 NCAA Convention Division III Official Notice.

1. How to read the NCAA Division III legislative proposals. When reviewing legislative proposals, it is important to note that:
 - a. The letters and words that appear in ~~*italics and strikethrough*~~ are letters and words in the current NCAA Division III rule that would be deleted with the adoption of the proposal;
 - b. The letters and words that appear in **bold face and underlined** are letters and words that would be added with the adoption of the proposal; and
 - c. The letters and words that appear in normal text are letters and words in the current Division III rule that would remain unchanged with the adoption of the proposal.

2. What appears in the white pages of the NCAA Division III Official Notice?

The white pages of the NCAA Division III Official Notice contain the legislative proposals that will be voted on individually at the NCAA Division III business session. Anticipated questions and answers related to each of the proposals appearing in the white pages are contained in this question and answer guide.

3. What is the difference between the NCAA Division III Presidents Council grouping and the general grouping of proposals?

The Presidents Council has determined that it will focus primarily on those national issues in Division III athletics that prompt widespread concern among Division III chancellors or presidents.

The Presidents Council has identified three proposals that it believes are of particular interest to Division III chancellors or presidents and has included them in the Presidents Council grouping. The remaining proposals are included in the general grouping. All proposals have been identified by the Presidents Council for a roll-call vote.

4. What appears in the blue pages of the Official Notice?

- The blue pages of the Official Notice contain three types of legislative proposals. The proposals appearing in the blue pages have already been adopted by the authority of the NCAA Division III Management Council. These proposals have an immediate effective date from the time of adoption. These groups of proposals will be ratified by the NCAA Division III membership during the Division III business session. If a delegate objects to the incorporation of any one of these legislative proposals, that objection should be raised prior to the ratification of the

package of proposals. (It is preferred that any delegate intending to raise an objection also inform a member of the NCAA academic and membership affairs staff of that intent before the Division III business session.) The Division III membership would then vote on the proposal in question via a separate action.

The question and answer document does not address proposals that are included in the blue pages. The blue pages, however, include an "additional information" section with each proposal that provides additional clarification regarding the proposal.

The three types of legislation contained within the blue pages are listed below.

- (1) Interpretations to be incorporated in the 2019-20 NCAA Division III Manual. These interpretations have already been accepted by the membership and the only issue that is before the membership is whether they should be set forth in the Division III Manual.
- (2) Noncontroversial legislation adopted by the Management Council. These proposals constitute all noncontroversial legislative changes the Management Council has adopted during the past year. The Management Council is permitted to adopt such legislation, if it is necessary, to promote the normal and orderly administration of the Association's legislation.
- (3) Modifications of wording. These proposals are modifications to current legislation that have been shown to be consistent with the intent of the membership in adopting the current legislation. To approve such a change, the Management Council has determined that sufficient documentation and testimony exists to establish clearly that the original wording of the legislation requires modification to better reflect the original intent.

Questions and Answers
2019 NCAA Convention Division III Legislative Proposals

NCAA Division III Proposal Number 2019-1 (2-1).

Title: ORGANIZATION – BOARD OF GOVERNORS – INDEPENDENT MEMBERS.

Effective Date: August 1, 2019.

Source: NCAA Board of Governors.

Intent: To amend the legislation related to the NCAA Board of Governors, as follows: (1) Increase the number of members from 20 to 25 by adding five independent voting members; (2) Define an independent member; (3) Specify that an independent member shall be appointed to a three-year term that is renewable for an additional three-year term, and that an independent member who has served two terms shall not serve further; (4) Specify that the Board of Governors shall issue a call for nominations when a vacancy for an independent member occurs; and, (5) Specify that the Board of Governors shall serve as the final authority for the selection of and additional duties assigned to the independent members.

Question No. 1: What is the Board of Governors?

Answer: The Board of Governors is the highest governance body in the NCAA and focuses on strategic discussions that impact the Association as a whole. Members have a fiduciary responsibility to act in the best interest of the overall Association, rather than the interest of any particular division, conference, school or sport.

NOMINATIONS

Question No. 2: When will the Board of Governors issue a call for nominations for independent members?

Answer: A call for nominations for the inaugural group of five independent members will be issued shortly after adjournment of the 2019 NCAA Convention. Thereafter, a call for nominations will occur when a vacancy for an independent member is available on the board.

Question No. 3: Who is eligible to serve as an independent member?

Answer: An independent member shall not be employed by any member school, conference or affiliate members. Further, certain individuals may not be eligible to serve given a potential conflict of interest. Independent governor nominees will be required to disclose specific relationships and conflicts of interest ahead of their nomination. These may include, but are not limited to,

- An immediate family relation to a member of NCAA national office staff.
- An immediate family relation to a membership president/chancellor, commissioner or director of athletics.
- Member of the board of trustees/regents, etc., of a member institution.
- Parent/guardian of a current NCAA student-athlete.
- Employed by a professional sports organization.
- Employed by an athletics apparel organization.
- Employed by an NCAA corporate champion or partner.
- Employed by an NCAA media partner.
- Employed by a supplier to the NCAA national office of goods or services.
- Consultant or contractor to the NCAA national office.
- Booster who has donated a material contribution to a member institution's athletics department or conference.
- Ownership in establishments or casinos that conduct sports wagering.

None of the above automatically disqualifies a candidate, but it is important information for the Board of Governors Executive Committee to consider as it recommends nominees to the full Board of Governors for approval.

Question No. 4: May any individual be nominated as an independent member of the Board of Governors?

Answer: Yes, as long as they meet the requirements as an independent member.

Question No. 5: May an individual self-nominate as an independent member?

Answer: Yes.

Question No. 6: Which entities (for example, active member conference, governance council or committee) may nominate an individual as an independent member of the Board of Governors?

Answer: Any entity may nominate an individual.

NOMINATING COMMITTEE

Question No. 7: What entity will serve as the nominating committee to vet the nominated individuals and recommend a slate of independent members for full approval by the Board of Governors?

Answer: The Board of Governors Executive Committee.

Question No. 8: Is the Executive Committee a standing committee of the Board of Governors?

Answer: Yes.

Question No. 9: What is the composition of the Executive Committee?

Answer: The Executive Committee includes representation of all three divisions. Specifically, the committee includes the chair and vice chair of the Board of Governors (who must represent different divisions) and the members of the Board of Governors who are the chairs of each divisional presidential body (Division I Board of Directors, Division II Presidents Council, Division III Presidents Council).

Question No. 10: Are substitutes permitted on the Executive Committee?

Answer: No. However, in the event that the chair or vice chair of the Board of Governors also is a divisional chair, then that division shall designate another president who is already a member of the Board of Governors (for example, Council vice chair) to be a member and serve on the Executive Committee.

Question No. 11: Will the composition of the Executive Committee be amended to add an independent member?

Answer: Yes. The lead independent member will serve on the Executive Committee.

Question No. 12: How will the lead independent member be selected to serve on the Executive Committee?

Answer: The five independent members will vote annually to determine the lead independent member.

Question No. 13: Will the lead independent member have voting rights on the Executive Committee?

Answer: Yes.

Question No. 14: Will a third-party firm/search firm be used to help facilitate the nominations process.

Answer: Yes.

TERMS OF SERVICE

Question No. 15: What will be the term of office of independent members?

Answer: An independent member shall be appointed to a three-year term that is renewable for an additional three-year term. An independent member who has served two terms shall not serve further on the Board of Governors.

Question No. 16: When will the term of office begin for the inaugural group of five independent members?

Answer: Aug. 1, 2019.

Question No. 17: Will the inaugural group of five independent members have staggered terms for purposes of continuity?

Answer: Yes. The Board of Governors adopted a policy to create the following staggered terms for the inaugural group of five independent members:

- One independent governor — one-year term (Aug. 1, 2019, through Aug. 31, 2020), automatically renewed for an additional three-year term (Sept. 1, 2020, through Aug. 31, 2023).

- Two independent governors — two-year term (Aug. 1, 2019, through Aug. 31, 2021), automatically renewed for an additional three-year term (Sept. 1, 2021, through Aug. 31, 2024).
- Two independent governors — three-year term (Aug. 1, 2019, through Aug. 31, 2022), renewable for an additional three-year term (Sept. 1, 2022, through Aug. 31, 2025).

Question No. 18: What will be the term of office of the lead independent member?

Answer: An independent member shall serve no more than three years as the lead independent member.

DUTIES, RESPONSIBILITIES AND OBLIGATIONS

Question No. 19: What will be the duties and responsibilities of independent members?

Answer: Independent members will have the same duties and responsibilities of all members of the Board of Governors. See Constitution 4.1.2 (duties and responsibilities). In addition, independent members will be responsible for meeting current Board of Governors policies and procedures regarding the duty of care, duty of loyalty and duty of obedience.

Question No. 20: Will independent members be required to adhere to the NCAA conflict of interest policy, including disclosing any potential conflicts of interest?

Answer: Yes.

Question No. 21: Will each independent member have full voting rights on the Board of Governors?

Answer: Yes.

Question No. 22: Will independent members be eligible to serve on other committees of the Board of Governors, in addition to the Executive Committee?

Answer: Yes.

Question No. 23: Will independent members receive an orientation regarding duties, responsibilities and expectations before their service on the Board of Governors?

Answer: Yes, and it also will include an orientation from the leadership in each division on the philosophical, governance and financial priorities of the divisions.

Question No. 24: Will the NCAA cover limited expenses for independent members to travel to Board of Governors meetings?

Answer: Yes. Policies for reimbursement of travel expenses and per diem that apply to other members of the Board of Governors also will apply to independent members.

PRIOR DISCUSSIONS

Question No. 25: What was the result of prior discussions to expand the composition of the Board of Governors?

Answer: 2014 — The Board of Governors officially changed its name from the NCAA Executive Committee to better reflect its duties and responsibilities for the Association.

2015 — A vice chair position was approved for the Board of Governors, and the vice chair was required to be from a different division than the chair.

2017 — The Board of Governors accepted a recommendation from the Ad Hoc Committee on Structure and Composition that no change be made to the composition of the Board of Governors at that time. The ad hoc committee recommended that at the conclusion of the Division I Board of Directors' review, additional conversations may occur if the Board of Governors deems it appropriate.

Question No. 26: How was the structure and nominating process for the proposal developed?

Answer: A team of presidents representing all three divisions developed the process and recommended the Board of Governors sponsor legislation for an Association-wide vote.

PROCEDURAL ISSUES

Question No. 27: What is a dominant provision?

Answer: A provision that applies to all members of the Association and is of sufficient importance to the entire membership that it requires a two-thirds vote of all delegates present and voting in a joint session at an annual or special Convention.

Question No. 28: How are dominant provisions identified in the NCAA Manuals?

Answer: The provisions are accompanied by an asterisk (*).

Question No. 29: Is the Board of Governors the only body that has the authority to sponsor an amendment to a dominant provision?

Answer: Yes.

Question No. 30: Does an active member institution or conference have the authority to sponsor an amendment-to-amendment of this proposal?

Answer: No. The Board of Governors is the only body that may sponsor an amendment-to-amendment of a proposal amending a dominant provision.

Question No. 31: Does an active member institution or conference have the authority to sponsor an amendment during the Association-wide business session at the NCAA Convention?

Answer: No.

Question No. 32: How does an institution or conference appoint a delegate to vote on this proposal?

Answer: Presidents, chancellors, directors of athletics and commissioners will receive emails in mid-November providing instructions to gain entry to the school- or conference-specific Appointment of Delegate Form.

Question No. 33: What is the date and time for the vote on this proposal?

Answer: The discussion and vote will occur at the 2019 NCAA Convention on Thursday, Jan. 24, immediately after the NCAA Plenary Session: State of College Sports. The Association-wide Business Session will begin at 5:45 p.m. in Grand Ballroom 7-8 at the Orlando World Center Marriott.

Question No. 34: Will delegates be permitted to discuss the proposal during the session before the vote?

Answer: Yes. Delegates with speaking rights will be permitted to discuss the proposal on the floor before the vote. Delegates with speaking rights include the following individuals (see Division I Constitution 5.1.3.5.1; Divisions II and III Constitution 5.1.3.6.1):

- The three or four accredited delegates representing an active member institution or conference with voting privileges (see Constitution 5.1.3.1.1).
- The single accredited delegate representing a member conference without voting privileges (see Constitution 5.1.3.1.2) or the single accredited delegate representing an affiliated or provisional member.
- Any member of the Board of Governors, the divisional governance entities in Constitution 4 (for example, Board of Directors; Presidents Council; Management Council), and the respective chairs of the NCAA committees listed in Bylaw 21.
- Any member of a division's national Student-Athlete Advisory Committee.

Question No. 35: What is the process for voting?

Answer: The proposal will be voted on by roll call. Each active member institution and conference present for the Association-wide Business Session will be permitted to register one vote on the proposal via an electronic voting unit.

Question No. 36: Will each divisional Student-Athlete Advisory Committee have one vote respectively on this proposal?

Answer: No.

Question No. 37: Is a quorum required for the vote?

Answer: Yes. One hundred active member institutions and conferences constitute a quorum for the transaction of the Association's business.

Question No. 38: May an active member institution or conference vote by proxy?

Answer: No.

Question No. 39: When and where does the voting delegate from an active member institution or conference obtain the smart card and voting unit?

Answer: The smart card will be included in the active member institution or conference voting delegate's packet upon pickup from Convention registration. The voting units will be available to the voting delegates on the day of the vote and before entry in the ballroom where the vote will take place.

Question No. 40: Will reconsideration of the original vote on the proposal be permitted?

Answer: Yes. After an affirmative or negative vote on an amendment to a dominant provision, any delegate who voted on the prevailing side in the original consideration may move for reconsideration. Only one motion for reconsideration is permitted.

Division III Proposal Number 2019-2 (2-7).

Title: PLAYING AND PRACTICE SEASONS – FOOTBALL
ESTABLISHING PRESEASON START DATE 23 DAYS BEFORE THE
INSTITUTION'S FIRST CONTEST.

Effective Date: August 1, 2019.

Source: NCAA Division III Presidents Council [Management Council (Playing and Practice Seasons Subcommittee)].

Intent: To amend the football preseason legislation as follows: (1) Establish the first permissible practice date as 23 days before the institution's first regular season contest; and (2) Require a day off from physical athletically related activity during each week of the preseason following the five-day acclimatization period.

Question No. 1: How is the current football first practice date determined?

Answer:

The first permissible practice date in football is determined by a counting method based on the institution's first day of classes and the first contest. The counting method is described in terms of "practice opportunities." It is important to recognize that this is only a counting method to determine the first permissible practice date and not intended to identify the minimum required "practice opportunities." The calculation consists of 25 "practice opportunities" as follows:

- Count one practice opportunity for each day beginning with the opening day of classes and one practice opportunity for each day classes are not in session in the week of the first scheduled intercollegiate contest.
- Count practice opportunities on an alternating basis in a two-one-two-one format (i.e., the first of the remaining days is counted as two, the next day is counted as one, the next as two, etc.) up to and including the 20th opportunity.
- Count one practice opportunity for each of the five days before the day of the 20th opportunity. The institution shall not count any days during the preseason when all institutional dormitories are closed; the institution's team must leave campus and practice is not conducted.
- Count Sundays before the institution's opening day of classes and exclude Sundays after the institution's opening day of classes.

Question No. 2:

How does the current rule compare to the proposed rule?

Answer:

The first permissible practice date will be determined by counting back 23 days before the first actual contest date for the institution as opposed to counting back based on the practice opportunities formula (as described in the Answer to Question No. 1). Additionally, institutions would not be permitted to conduct physical athletically related activity one day per week of the preseason following the five-day acclimatization period. There are no such comparable restrictions under the current rule.

Question No. 3: If this proposal is adopted, how will it impact the first practice date?

Answer: The following four charts (first two address the 2019 season, with the latter two addressing the 2020 season) compare the practice start date pursuant to the current rule with the proposed start date.

The first chart below compares the current rule with the proposal when the institution competes the first week of the 2019 season. The second chart makes the same comparison for an institution that conducts its first contest the second week of the 2019 season.

First Game 2019	First Day of Class	First Practice if Maintain Current Rule with Waiver	Proposal Recommendation: First Practice 23 Days*
September 7	August 19	August 10	August 15
September 7	August 20	August 10	August 15
September 7	August 21	August 11	August 15
September 7	August 22	August 11	August 15
September 7	August 23	August 11	August 15
September 7	August 26	August 13	August 15
September 7	August 27	August 13	August 15
September 7	August 28	August 14	August 15
September 7	August 29	August 14	August 15
September 7	August 30	August 14	August 15
September 7	September 2	August 16	August 15
September 7	September 3	August 16	August 15
September 7	September 4	August 17	August 15
September 7	September 5	August 17	August 15
September 7	September 6	August 17	August 15

* First permissible administrative day is August 13.

First Game 2019	First Day of Class	First Practice if Maintain Current Rule with Waiver	Proposal Recommendation: First Practice 23 Days *
September 14	August 19	August 15	August 22
September 14	August 20	August 15	August 22
September 14	August 21	August 15	August 22
September 14	August 22	August 15	August 22
September 14	August 23	August 15	August 22
September 14	August 26	August 17	August 22
September 14	August 27	August 17	August 22

September 14	August 28	August 18	August 22
September 14	August 29	August 18	August 22
September 14	August 30	August 18	August 22
September 14	September 2	August 20	August 22
September 14	September 3	August 20	August 22
September 14	September 4	August 21	August 22
September 14	September 5	August 21	August 22
September 14	September 6	August 21	August 22

* First permissible administrative day is August 20.

The first chart below compares the current rule with the proposal when the institution competes the first week of the 2020 season. The second chart makes the same comparison for an institution that conducts its first contest the second week of the 2020 season.

First Game 2020	First Day of Class	First Practice if Maintain Current Rule with Waiver	Proposal Recommendation: First Practice 23 Days*
September 5	August 17	August 8	August 13
September 5	August 18	August 8	August 13
September 5	August 19	August 9	August 13
September 5	August 20	August 9	August 13
September 5	August 21	August 9	August 13
September 5	August 24	August 11	August 13
September 5	August 25	August 11	August 13
September 5	August 26	August 12	August 13
September 5	August 27	August 12	August 13
September 5	August 28	August 12	August 13
September 5	August 31	August 14	August 13
September 5	September 1	August 14	August 13
September 5	September 2	August 15	August 13
September 5	September 3	August 15	August 13
September 5	September 4	August 15	August 13

* First permissible administrative day is August 11.

First Game 2020	First Day of Class	First Practice if Maintain Current Rule with Waiver	Proposal Recommendation: First Practice 23 Days *
September 12	August 17	August 13	August 20
September 12	August 18	August 13	August 20
September 12	August 19	August 13	August 20
September 12	August 20	August 13	August 20
September 12	August 21	August 13	August 20
September 12	August 24	August 15	August 20
September 12	August 25	August 15	August 20
September 12	August 26	August 16	August 20
September 12	August 27	August 16	August 20
September 12	August 28	August 16	August 20
September 12	August 31	August 18	August 20
September 12	September 1	August 18	August 20
September 12	September 2	August 19	August 20
September 12	September 3	August 19	August 20
September 12	September 4	August 19	August 20

* First permissible administrative day is August 18.

Question No. 4: If an institution's first contest is on Thursday of the first permissible weekend, may an institution count back 23 days from that Thursday to determine the first permissible practice date?

Answer: Yes. (See Question No. 1 for Proposal No. 2019-2-1 for application if the amendment-to-amendment is adopted.)

Question No. 5: Is a team limited to 21 on-field practice days before the first-actual contest date?

Answer: Yes. The proposal would allow for up to 21 on-field practice days while still prohibiting physical athletically related activities on two days during the preseason practice period.

Question No. 6: Is prohibiting physical athletically related activity one day each week after the acclimatization period but before classes are in session currently legislatively required?

Answer: No. The Interassociation Consensus Recommendations on Year-Round Football Practice Contact for College Student-Athletes, however, include a recommendation for a day off per week. The proposed change is consistent with these recommendations.

Question No. 7: What is considered physical athletically related activity?

Answer: Physical athletically related activities include (but are not limited to) weight training, strength and conditioning and on-field activities. Leadership programs that include physical activity such as rope course, SEAL training, etc. are also considered physical athletically related activities. Other leadership programming, film review and team meetings are not considered physical athletically related activities and, therefore, may occur any day of the preseason before classes are in session. Medical treatments, including rehabilitative exercises are permitted.

Question No. 8: Is the prohibition of physical athletically related activity on one day each week of the preseason after the acclimatization period different than the day off requirement after classes have started?

Answer: Yes. After classes have started institutions are required to provide the student-athletes a day off per week of all athletically related activities.

Question No. 9: If this proposal is adopted, when is it permissible for a football team to report to campus for the first permissible practice date?

Answer: The proposal does not change the allowable administrative days that apply to all fall sports. Therefore, institutions may issue equipment and take team pictures the day before the first permissible practice date and, if they do that, then they may start providing expenses with an evening meal and lodging the night before the equipment issue/picture day. No athletically related activity may occur until the first permissible practice date.

Question No 10: If this proposal is not adopted, how will institutions determine the first permissible practice date in football?

Answer: If the proposal is not adopted then the current football playing and practice season legislation would remain in place. (See Question No. 1 for the current method of determining the first permissible practice date).

Question No. 11: May an institution vote on this proposal if it does not sponsor football?

Answer: Yes. As all issues may have broader philosophical and practical impact, Division III does not prohibit an institution from voting on a proposal that addresses a sport that the institution does not sponsor.

Division III Proposal Number 2019-2-1.

Title: PLAYING AND PRACTICE SEASONS – FOOTBALL ESTABLISHING PRESEASON START DATE 23 DAYS BEFORE THE INSTITUTION'S FIRST CONTEST – EXCEPTION THURSDAY CONTEST.

Effective Date: August 1, 2019.

Source: Presidents Council (Management Council).

Intent: To establish that the first permissible practice date for an institution that conducts its first contest on the Thursday of the opening weekend is 23 days before the following Friday.

Question No. 1: How does this amendment-to-amendment change the original proposal?

Answer: This amendment-to-amendment would alter the count back method if the first contest is the Thursday of opening weekend. Specifically, rather than counting back from the actual contest, the institution would count back 23 days from the Friday following the first contest.

Question No. 2: What is the process for voting on an amendment-to-amendment?

Answer: There will effectively be two votes, as follows:

- Proposal No. 2019-2 will be introduced to the membership.
- A member of Presidents Council will stand and move the proposal and it will be seconded.
- Proposal No. 2019-2-1 (the amendment-to-amendment) will then be introduced to the membership; it will be moved and seconded.
- After discussion, the first vote is to determine if the membership wants to amend Proposal No. 2019-2 as set forth in Proposal No. 2019-2-1.

- If the membership votes, "yes" on the first vote, then the second vote is to approve or defeat Proposal No. 2019-2 as amended.
- If the membership votes "no" on the first vote, then the second vote is to approve or defeat Proposal No. 2019-2 as originally submitted.

Division III Proposal Number 2019-3 (2-6).

Title: PLAYING AND PRACTICE SEASONS – FIELD HOCKEY AND SOCCER PRESEASON – ESTABLISHING A THREE-DAY ACCLIMATIZATION PERIOD.

Effective Date: August 1, 2019

Source: City University of New York Athletic Conference and New Jersey Athletic Conference.

Intent: To amend preseason practice in the sports of field hockey and soccer as follows: (1) To add three additional days to the preseason practice period; (2) To require an acclimatization period during the first three days of the preseason practice period, during which a team would be limited to one-single practice session not longer than three hours in duration, followed by a one-hour walk through, with a minimum of three hours of rest required in between the two activities; and (3) To mandate that on every preseason practice day following the three-day acclimatization period, a team would be limited to conducting no more than two on-field practices per day and a maximum of six hours of athletically related activity total during the two practices combined, with a minimum of three hours of rest required in between practice sessions.

Question No. 1: How is the proposed legislation different from the current legislation?

Answer: The proposed legislation includes three changes to the legislation:

(1) Preseason practice period. The proposed legislation would add up to three days to the current formula for calculating the first permissible practice date.

(2) Establish an acclimatization period. The current legislation does not have an acclimatization period for the sports of field hockey and soccer. The proposed legislation would create an acclimatization period during the first three days of the preseason practice period. During this period, a team

would be limited to one practice session (no longer than three hours in duration) and a one-hour walk through with a minimum of three hours rest required in between the two activities.

(3) Limiting athletically related activity after the acclimatization period. The current legislation does not provide any restrictions on the duration of athletically related activity or prescribe any rest periods during the preseason practice period. The proposed legislation would require that after the acclimatization period, field hockey and soccer teams are limited to two on-field practices per day and a maximum of six hours of athletically related activity combined between the two sessions. The proposed legislation would also require a minimum of three hours of rest between the two practice sessions.

Question No. 2: May student-athletes participate in required team meetings or weight training during the rest period?

Answer: No, but during the recovery time, student-athletes may receive medical treatment and eat meals.

Question No. 3 Does this proposal require an institution to add three days to the preseason?

Answer: No. However, institutions would be required to implement a three-day acclimatization period regardless of when practice begins. Institutions would also have to abide by the on-field time limitations set forth in the proposal.

Question No. 4 Does the acclimatization period set forth in the proposal apply to the team or the individual student-athlete?

Answer: Each student-athlete would have to participate in three days of acclimatization. If a student-athlete does not start practice until the second day of the preseason, that student-athlete would still need to complete three acclimatization days.

Division III Proposal Number 2019-4 (2-2).

Title: MEMBERSHIP – ACTIVE MEMBERSHIP – CONDITIONS AND OBLIGATIONS OF MEMBERSHIP – ACADEMIC SUCCESS RATE.

Effective Date: August 1, 2019.

Source: NCAA Division III Presidents Council [Management Council (Diversity and Inclusion Working Group)].

Intent: To include as a condition and obligation of Division III active membership, that an institution submit on an annual basis student-athlete graduation rate data for the academic success rate in a form prescribed by the Management Council; further to establish that annual championships eligibility is contingent upon submission of the student-athlete graduation rate data.

Question No. 1: What does the current legislation require institutions to report?

Answer: Currently, Division III member institutions are required to annually submit both student-body enrollment and student-body graduation information to the NCAA. Additionally, institutions have been able to voluntarily submit student-athlete graduation rate data.

Question No. 2: If the proposal is adopted, what would change?

Answer: Division III member institutions would be required to submit annually their student-athlete graduation rate data. Institutional and student-athlete eligibility for NCAA championships would be contingent upon submission of student-athlete graduation rate data.

Question No. 3: When would an institution be required to start submitting this information?

Answer: An institution would be required to submit its student-athlete graduation rate data beginning March through June 1, 2020.

Question No. 4: What group (cohort) of student-athletes would be in the data submitted by June 1, 2020?

Answer: The cohort would be student-athletes in the 2013 cohort.

Question No. 5: Which student-athletes would be included in the 2013 cohort?

Answer: The institution must report data for any student-athlete who was on a team's roster on or after the first date of competition for the championship segment during the student-athlete's first year at the institution in 2013-14. The 2013 cohort for student-athlete graduation rate data would be identified using a similar process the institution is using to identify the cohort for mandatory student-athlete financial aid reporting for the 2013-14 academic year. For example, the 2013 cohort would include, but is not limited to the following:

(1) A freshman who enrolled at your institution in the 2013 fall term for the student-athlete's first full-time postsecondary enrollment and was on the roster on the first date of competition during the traditional segment; and

(2) A student-athlete whose first full-time postsecondary enrollment was the 2013 fall term at a *different* institution and then transferred into your institution in any term after the fall of 2013 and was on the roster after the first contest in their first year of full-time enrollment at your institution.

Question No. 6: What information does an institution need to report for student-athletes identified in a cohort?

Answer: For each student-athlete in the cohort, an institution will need to provide one of the following outcomes:

(1) Graduated (i.e., student-athlete graduated at your institution within the six-year period);

(2) Did not graduate/Did not leave eligible (e.g., student-athlete did not graduate within six years of initial-collegiate enrollment; student-athlete transferred while considered academically ineligible at your institution);

(3) Left academically eligible with athletics eligibility remaining (i.e., student-athlete left or transferred from your institution eligible prior to graduation); and

(4) Exclusion (e.g., student-athlete is permanently disabled and unable to return to school; student-athlete left your institution to serve in the armed forces).

Question No. 7: Does the institution have to report the final outcome for a student-athlete in the cohort that subsequently leaves the team and/or the institution?

Answer: Yes. Once a student-athlete is in the cohort, the student-athlete remains part of the cohort, including student-athletes who quit the team but remain at or leave/transfer from the institution.

Question No. 8: How will student-athlete graduation rate data be submitted?

Answer: Institutions will use the NCAA Academic Portal to submit all required academic success rate data: (1) Student-body enrollment information; (2) Student-body graduation rate information; and (3) Student-athlete graduation rate information. The Academic Portal is the same system all institutions have been using to report Item Nos. 1 and 2 to-date.

Question No. 9: What happens if an institution does not submit the required academic success rate data?

Answer: The institution's teams and its student-athletes would not be eligible for any NCAA championships for that year.

Question No. 10: Will the institutional level data be shared publicly?

Answer: No. The institutional level student-athlete graduation rate data will not be available publicly. The information can only be accessed by your institution via the Academic Portal which is password protected and part of the NCAA single-source sign-on system. The public and other institutions may view your institution's student-body enrollment and student-body graduation information on www.ncaa.org.

Question No. 11: How can member institutions access their own data?

Answer: Once an institution submits the student-athlete graduation rate data in the Academic Portal, it can always access that information via the portal; there is no formal distribution or sharing of information to the institution. Institutions may view their student-athlete graduation rates in either the Academic Portal or the NCAA Institutional Performance Program.

Question No. 12: Will institutions continue to receive the payments they currently receive for voluntarily reporting student-athlete graduation rate data to the NCAA?

Answer: Institutions would no longer receive the current honorarium for voluntarily reporting the student-athlete graduation rate data.

Division III Proposal Number 2019-5 (2-3).

Title: AMATEURISM – PRE-ENROLLMENT EDUCATIONAL EXPENSES.

Effective Date: August 1, 2019

Source: NCAA Division III Management Council (Interpretations and Legislation Committee).

Intent: To permit individuals to accept educational expenses (e.g. tuition, fees, room, board and books) prior to collegiate enrollment from any individual or entity other than an agent, professional sports team/organization or representative of an institution's athletics interests, provided such expenses are disbursed directly through the recipient's educational institution (e.g., preparatory school, high school).

Notice: *On October 16, 2018, Management Council approved a blanket waiver allowing student-athletes that may have received pre-enrollment secondary educational expenses that are impermissible under the current rule but would be allowed under the proposal to continue to participate in athletics pending the vote at Convention. If the membership passes Proposal No. 2019-5, then those student-athletes would not have any eligibility ramifications. If the membership defeats the proposal, then those student-athletes would become ineligible subject to reinstatement.*

Question No. 1: What is a pre-enrollment educational expense?

Answer: A pre-enrollment educational expense is an expense for a prospective student athlete's secondary (i.e., high school or preparatory school) education, including but not limited to tuition, required course-related books, institutional fees, room and board.

Question No. 2: What is prohibited under the current rule?

Answer: A prospective student-athlete may not receive pre-enrollment educational expenses based in whole or in part on the individual's athletics ability. Under both the current and proposed rule, the secondary school is permitted to provide pre-enrollment educational expenses based on athletics in the form of a scholarship, grant or financial aid. Current legislation only prohibits outside organizations or individuals (i.e., outside of the secondary institution, parents or legal guardians) from providing prospective student-athletes pre-enrollment educational expenses based on athletics.

Question No. 3: How does this proposal change the current rule?

Answer: The proposal allows outside organizations or individuals to recognize athletics skill in the awarding of grants, scholarships, etc. to pay for secondary education, provided the payment is disbursed through the prospective student-athlete's secondary institution.

Question No. 4: What would remain prohibited if the proposal is adopted?

Answer: Prospective student-athletes would not be able to receive secondary educational expenses based on athletics ability from agents, professional sports teams/organizations and representatives of an institution's athletics interests.

Question No. 5: What constitutes a professional sports team/organization?

Answer: A professional team is any organized team/organization that declares itself to be professional or provides any of its players more than actual and necessary expenses for participation on the team. (NCAA Bylaw 12.02.5)

Question No. 6: Is a Division III institution permitted to provide expenses for a prospective student-athlete to attend a secondary institution?

Answer: No. Bylaw 13.14.1 prohibits an institution from paying for a prospective student-athlete's secondary education.

Question No. 7: If adopted, would this legislation eliminate the requirement for Division III institutions to review pre-enrollment educational expenses as part of a student-athlete's amateurism review?

Answer: No, because it remains impermissible for a representative of the institution's athletics interests, an agent or professional sports team/organization to provide such expenses.

Question No. 8: May a host family of an international prospective student-athlete provide a prospective student-athlete secondary educational expenses based on the prospective student-athlete's athletics ability?

Answer: Under this proposal, a host family of a prospective student-athlete would be able to provide pre-enrollment educational expenses, provided they are not representatives of the collegiate institution's athletics interests.

Question No. 9: What role, if any, could an institution's coach, who is also a high school coach, assist in securing a prospective student-athlete's pre-enrollment educational expenses from an individual or outside entity?

Answer: The coach could not be involved in securing or soliciting, in any way, financial assistance for a prospective student-athlete from an entity or individual outside the secondary educational institution to attend that secondary institution.

Question No. 10: Would an institutional employee's (in or outside the athletics department) child be permitted to receive pre-enrollment educational expenses based on athletics?

Answer: Yes, provided the institution's athletics department is not involved, in any way, with the awarding or selection of the educational expense recipients.

Division III Proposal Number 2019-6 (2-5).

Title: ELIGIBILITY – FINAL TERM BEFORE EXPERIENTIAL LEARNING REQUIREMENT.

Effective Date: August 1, 2019.

Source: NCAA Division III Management Council (Interpretations and Legislation Committee).

Intent: To extend the existing final term exception of the full-time enrollment requirement to include student-athletes that are carrying (for credit) all courses necessary to complete degree requirements but have an outstanding experiential learning requirement.

Question No. 1: What does the current legislative exception allow?

Answer: The current legislation allows a student-athlete to practice and compete while enrolled less than full time in the final semester/quarter of their academic program provided the student-athlete is carrying for credit all courses necessary to complete the degree. If the student-athlete has an outstanding experiential learning requirement that is not being taken that semester, then the student-athlete may not use this exception to participate while enrolled less than full time.

Question No. 2: How would the proposal differ from the current legislation?

Answer: If a student-athlete is carrying for credit the courses necessary to complete the degree requirements except for an outstanding experiential learning requirement, then the student-athlete can use the final term exception and practice and compete while enrolled less than full time.

Question No. 3: What constitutes an experiential learning requirement?

Answer: An experiential learning requirement is a learning opportunity that is required for the student's degree program in which the student engages in meaningful work or service intended to prepare the student for a career in the areas of work aligned with the baccalaureate degree. Experiential learning requirements include, but are not limited to, co-ops, internships, practicums and student teaching.

Question No. 4: How would the proposed legislation apply to the following student-athletes?

Example 1: Student-athlete entering their ninth semester in the fall needs six credit hours and an experiential learning requirement (which the institution considers full time) to complete degree requirements.

Answer: For the student-athlete to be eligible for both the fall and spring semesters, the student-athlete would have the following options:

- a. Take the experiential learning requirement in the fall and then in the spring take the six credit hours necessary to graduate; or
- b. Take the six credit hours necessary to graduate in the fall and then in the spring take the experiential learning requirement.

Example 2: Student-athlete entering their ninth semester in the fall needs six credit hours and an experiential learning requirement (which the institution does not consider full time) to complete degree requirements:

Answer: For the student-athlete to be eligible for both the fall and spring semesters, the student would have the following options:

- a. Take and pass the six credit hours in the fall and then in the spring take the experiential learning requirement as well as the credits necessary to be considered full time; or

- b. Take the experiential learning requirement as well as the credit hours necessary to be considered full time in the fall, and then only take the credit hours necessary to complete degree requirements in the spring.

Question No. 5: If a student-athlete participates while using the proposed exception, would the student-athlete be able to participate in athletics during the following term?

Answer: The student-athlete could participate in the term after using the proposed exception only if: (1) Student completed the credit hours necessary for their degree; (2) Student is taking the experiential learning requirement; and (3) Student is enrolled full-time (the student may not use an exception to be enrolled less than full-time and participate in athletics). If the experiential learning requirement is considered full-time by the institution, then the student-athlete may participate per Bylaw 14.1.8.1.6.4. If the experiential learning requirement is not considered full-time then the student-athlete will have to take the experiential learning requirement in combination with the classes necessary to be enrolled in 12 credit hours.

Question No. 6: If a student-athlete participates while using this exception, when does the student-athlete need to participate in the experiential learning requirement?

Answer: The student-athlete needs to participate in the required experiential learning activity in the next regular academic term or would forfeit all remaining eligibility in all sports.

Question No. 7: If a student-athlete participates while using the proposed exception, would the student-athlete be able to participate in athletics during the following term if they did not complete one or more of their degree requirements (e.g. fails a course)?

Answer: No. The student-athlete would forfeit all remaining eligibility in all sports.

Question No. 8: Is it a violation if the student-athlete does not take or finish the experiential learning requirement in the term immediately following the term the student-athlete participated pursuant to the proposed exception?

Answer: No, but the student-athlete would forfeit all remaining eligibility in all sports.

Division III Proposal Number 2019-7 (2-4).

Title: RECRUITING – SOCIAL MEDIA AND PUBLICITY – EXCEPTIONS – CONNECT WITH PROSPECTIVE STUDENT-ATHLETE.

Effective Date: Immediate.

Source: NCAA Division III Management Council (Interpretations and Legislation Committee).

Intent: To establish an exception to the restrictions on electronic transmissions and publicity before commitment legislation to permit athletics department staff members to: (1) Connect with (e.g., "friend," "follow," etc.) prospective student-athletes on social media platforms; and (2) Take actions (e.g., "like," "favorite," republish, etc.) on social media platforms that indicate approval of content generated by users of the platforms other than institutional staff members or representatives of an institution's athletics interests.

Question No. 1: What is the current rule governing an athletics staff member's communications through social media with a prospective student-athlete?

Answer: Currently, an athletics department staff member may communicate via social media as follows:

- Prior to a prospective student-athlete's financial deposit at the institution. May only communicate with the prospective student-athlete privately.
- After the financial deposit but before May 1 of prospective student-athlete's senior year in high school. May only communicate with the prospective student-athlete privately, but may announce prospective student athlete's commitment to the institution, provided the prospective student-athlete is not linked on the communication.
- After prospective student athlete's financial deposit and after May 1 of ~~his/her~~their senior year. May engage in both public and private communication with the prospective student-athlete.

Question No. 2: If the proposal is adopted, how would it change the current legislation?

Answer: The proposed legislation would permit athletics department staff members at any time to: (1) Connect with (e.g., "friend," "follow," etc.) prospective

student-athletes on social media platforms; and (2) Take actions (e.g., "like," "favorite," "republish," etc.) on social media platforms that indicate approval of content generated by prospective student-athletes or in which prospective student-athletes are publicly linked. Substantive comments with a prospective student-athlete on social media remain subject to the current regulations as set forth in Question No. 1.

The following chart compares the current rule with the proposal as applied to commonly used social media platforms. This chart is not all-inclusive and is subject to change.

Current Legislation		Social Media Platforms	Proposed Legislation	
Allowed	Prohibited		Allowed	Prohibited
<ul style="list-style-type: none"> ✓ Private Message 	<ul style="list-style-type: none"> ✗ Send a Friend Request to PSA ✗ Accept a Friend Request from PSA ✗ Chat Function ✗ Group Message ✗ Post on PSA's Wall ✗ Like or Comment on Status, Photo or Post ✗ Share Post 	Facebook	<ul style="list-style-type: none"> ✓ Send a Friend Request to PSA ✓ Accept a Friend Request from PSA ✓ Chat Function (Private) ✓ Like a Status, Photo or Post ✓ Share Post (May not include comment) ✓ Private Message 	<ul style="list-style-type: none"> ✗ Post on PSA's Wall ✗ Comment on a Status, Photo or Post ✗ Group Message
<ul style="list-style-type: none"> ✓ PSA Can Follow Coach ✓ Direct Message 	<ul style="list-style-type: none"> ✗ Coach Can Follow PSA ✗ Retweet PSA ✗ Tweet Using PSA's Twitter Handle (@PSA) 	Twitter	<ul style="list-style-type: none"> ✓ PSA Can Follow Coach ✓ Coach Can Follow PSA ✓ Retweet PSA (May not include comment) ✓ Favorite a PSA's Tweet ✓ Direct Message 	<ul style="list-style-type: none"> ✗ Tweet Using PSA's Twitter Handle (@PSA) ✗ Tag a PSA ✗ Reply to PSA's Tweet
<ul style="list-style-type: none"> ✓ PSA Can Follow Coach 	<ul style="list-style-type: none"> ✗ Follow PSA ✗ Tag PSA ✗ Like or Comment on PSA's Photo 	Instagram	<ul style="list-style-type: none"> ✓ PSA Can Follow Coach ✓ Coach Can Follow PSA ✓ Like PSA's Photo 	<ul style="list-style-type: none"> ✗ Tag PSA ✗ Comment on PSA's Photo
<ul style="list-style-type: none"> ✓ Coach Can View PSA's "My Story" 	<ul style="list-style-type: none"> ✗ Coach Can Add PSA as a Friend 	Snapchat	<ul style="list-style-type: none"> ✓ Coach Can Add PSA as a Friend ✓ PSA Can Add Coach as a Friend ✓ Snaps ✓ Private Chat 	<ul style="list-style-type: none"> ✗ Group Snaps ✗ Group Chats

Current Legislation		Social Media Platforms	Proposed Legislation	
Allowed	Prohibited		Allowed	Prohibited
✓ PSA Can Follow Coach	<ul style="list-style-type: none"> ✗ Re-Pin PSA's Post ✗ Tag PSA ✗ Follow PSA 	Pinterest	<ul style="list-style-type: none"> ✓ PSA Can Follow Coach ✓ Coach Can Follow PSA ✓ Coach Can Re-Pin PSA's Post 	<ul style="list-style-type: none"> ✗ Tag PSA
✓ In-Message	<ul style="list-style-type: none"> ✗ Send PSA an Invitation to Connect ✗ Accept an Invitation to Connect from PSA ✗ Recommend or Endorse PSA ✗ Share a PSA's Post 	LinkedIn	<ul style="list-style-type: none"> ✓ Send PSA an Invitation to Connect ✓ Accept an Invitation to Connect from PSA ✓ In-Message ✓ Like PSA's Posts ✓ Share PSA's Post (May not include comment) 	<ul style="list-style-type: none"> ✗ Recommend or Endorse PSA ✗ Comment on PSA's Posts
✓ Read PSA Blog	<ul style="list-style-type: none"> ✗ Comment on PSA Blog ✗ Share a PSA Blog 	Blog	<ul style="list-style-type: none"> ✓ Read PSA Blog ✓ Share PSA Blog 	<ul style="list-style-type: none"> ✗ Comment on PSA Blog

*Note: The permissible and impermissible activities in this chart apply equally to institutional athletics accounts, team accounts, athletics department staff members' personal accounts, student-athletes' accounts when directed by the athletics department or an athletics department staff member, and accounts of representatives of an institution's athletics interests.

Question No. 3: What does "take actions . . . that indicate approval" mean?

Answer: Actions of approval (or disapproval) include clicking/selecting the symbol or emoticon (e.g., thumbs'-up for a "like," heart for "love" or "favorite," and laughing face, etc.) that indicates a reaction to the prospective student-athlete's content. These actions or reactions would need to be preset options within the social media platform that athletics department staff members would click/select, similar to the "liking" or "favoriting" feature. This proposal would not permit an athletics department staff member to comment on a prospective student-athlete's post using text or emoticons in the comment section of the post.

Question No. 4: Which institutional and noninstitutional social media accounts would be subject to this proposal?

Answer: The following accounts are subject to the regulations under both the current rule and the proposed rule: (1) Institutional athletics accounts; (2) Team accounts; (3) Athletics department staff members' personal accounts; (4) Student-athletes' accounts when directed by the athletics department or staff; and (5) The account of a representative of an institution's athletics interests.

Question No. 5: What is the current rule for Divisions I and II?

Answer: Divisions I and II have the same rule as the proposed legislation.

Question No. 6: Would this proposal change how an institution may interact with prospective student-athletes through nonathletics, institutional social media accounts?

Answer: No. Under both the current rule and the proposal, it would be permissible for an institution to use a nonathletics social media account to comment or otherwise engage on social media with prospective student-athletes, provided: (1) Social media activity is not directed by the athletics department or an athletics department staff member; and (2) Institutional accounts operate in the same manner with prospective students' generally.



**2019 NCAA CONVENTION
DIVISION III PRESIDENTS SCHEDULE
ORLANDO, FLORIDA
JANUARY 22-26, 2019**

**SUPPLEMENT NO. 12
DIII Presidents Council
DIVISION III OFFICE
Caribe Royale Orlando
Hibiscus**

TIME	DIVISION III	ASSOCIATION-WIDE	ROOM LOCATION
Thursday, January 24			
8:30 to 9:30 a.m.		Chancellors and Presidents Engagement	Harbor Beach/Marco Island (Marriott)
8:30 to 9:30 a.m.	Sexual Violence Prevention Education Session	Association-wide Programming (5 sessions)	Sabal (Marriott World Center)
9:15 to 11:15 a.m.	Division III Presidents Council Meeting [Must be a member of this committee to attend]		Grand Sierra Ballroom C (Caribe Royale)
9:45 to 11:15 a.m.	Fundraising Education Session	Association-wide Programming (5 sessions)	Sabal (Marriott World Center)
11:30 a.m. to 1 p.m.	Division III Chancellors/Presidents Luncheon		Grand Sierra Ballroom H-I (Caribe Royale)
1:15 to 2:15 p.m.		Association-wide Programming (5 sessions)	
2:30 to 4 p.m.	NADIII A Education Session	Association-wide Programming (5 sessions)	Sabal (Marriott World Center)
4:30 to 5:30 p.m.		NCAA Plenary Session: State of College Sports [Doors open at 4:15 p.m.]	Grand Ballroom 7-8 (Marriott World Center)
5:45 to 6:45 p.m.		Association-Wide Business Session	Grand Ballroom 7-8 (Marriott World Center)
6:45 to 8 p.m.		Delegates Reception	Falls Pool Deck (Marriott World Center)
Friday, January 25			
7 to 8 a.m.	Division III Delegate Breakfast		Grand Sierra Ballroom Foyer (Caribe Royale)
8 to 11 a.m.	Division III Issues Forum		Grand Sierra Ballroom (Caribe Royale)
11:30 a.m. to 1 p.m.		Association Luncheon [Ticket required]	Grand Ballroom 7-8 (Marriott World Center)
1 to 5:30 p.m.	Conference Meetings		
Saturday, January 26			
7 to 8 a.m.	Division III Delegates Breakfast		Grand Sierra Ballroom Foyer (Caribe Royale)
8 a.m. to 11 a.m.	Division III Business Session		Grand Sierra Ballroom (Caribe Royale)



National Collegiate Athletic Association

Joint Meeting of the Division III Presidents Council,

Management Council and Student-Athlete Advisory Committee

Grand Sierra Ballroom G
Caribe Royale

January 24, 2019
7:30 to 9 a.m.

- 7:40 a.m. 1. Opening remarks. [Jeff Docking]

- 7:45 a.m. 2. Discussion with Bud Peterson, Chair of the NCAA Board of Governors and Donald Remy, NCAA Executive Vice President of Law, Policy & Governance and Chief Legal Officer.

- 8:15 a.m. 3. SAAC report on key issues. [NJ Kim]

- 8:20 a.m. 4. 2019 legislative proposals. [Sean Cain]
 - a. Committee position.
 - b. Questions and answers.

- 8:30 a.m. 5. Round table discussions. [All attendees, led by Madison Burns]
 - a. Discuss involving student-athletes in having productive discussions surrounding inclusion and identity efforts on campus.
 - b. Discuss how campus and conference student-athlete advisory committees can assist in limiting and better communicating missed class issues.

- 9 a.m. 6. Adjournment. [Parker Hammel]



Management Council/Presidents Council/
Student-Athlete Advisory Committee
Seating Chart
Thursday, January 24, 2019
87 Attendees

Table 1

Jeff Docking	Stevie-Baker Watson
Shantey Hill-Hanna	Hannah Durst
Parker Hammel	Alyssa Leventer
Dan Dutcher	Stan Wilcox

Table 2

Sue Henderson	Javier Cevallos
Kate Roy	Braly Jay Keller
NJ Kim	Annabelle Feist
Louise McCleary	Mary Treuting

Table 3

Tori Murden-McClure	Stuart Dorsey
Brad Bankston	Matthew Gillette
Fran Capaldi	Annie MacMillan
Jay Jones	

Table 4

Margaret Drugovich	Julia Higgins
Gail Cummings-Danson	McKenzie Maneggia
Mika Costello	Kathleen Murray
Eric Hartung	

Table 5

Mary Beth Cooper	Kent Trachte
Heather Benning	Christine Mayorga
Emily Goodwin	Tyler Schubert
Debbie Kresge	

Table 6

Teresa Amott	Kandis Schram
Gerard Bryant	Michael McMahon
Samantha Kastner	Anthony Francois
Adam Skaggs	

Table 7

Rob Huntington	Tiffany Franks
Jason Fein	Lori Mazza
Mikayla Greenwood	Mason Rapp
Corey Berg	

Table 8

Elsa Nunez	Denise Udelhofen
Bobby Davis	Arcel Ngoy
Kiana Verdugo	Colby Pepper
Brynna Barnhart	

Table 9

Sharon Hirsh
Dennis Shields
Madison Burns
Lorne McManigle

JT Klopcic
Catherine "Cat" Lanigan
Michael Litz
Bill Fritz

Table 10

Fayneese Miller
Tim Millerick
Laura Mooney
Brian Burnsed

Nicole Monick
Tyler Lozano
Charlotte Ellis

Table 11

Kate Conway-Turner
Joe Walsh
Chris Kimball
Caryl West

Kelsey Morrison
Sydney Rainey
Isaiah Swann

Table 12

Bob Lindgren
Karen Tompson-Wolfe
Mike Vienna
Sean Cain

Jake Santellano
Mikala McCartney
Ireland Clare Kennedy

Not Attending: Jeff Myers, Bill Regan, Ryan Booth, Zach Cook, Diamond Umunna, Megan Radosta, Grainne Kelly, Cameron Gardner-Nicholuson and CJ Pakeltis.



A G E N D A

The National Collegiate Athletic Association

2019 Division III Issues Forum

Grand Sierra Ballroom
Caribe Royale

January 25, 2019
8 to 11 a.m.

- | | | |
|---------------------|----|---|
| 8 to 8:15 a.m. | 1. | Welcome and Overview. [Jeff Docking, President, Adrian College] |
| | 2. | Roundtable Discussions with Interactive Q&A regarding 2018 Division III Membership Survey Results. |
| 8:15 to 9:15 a.m. | a. | Student-athlete health & safety. [Greg Johnson, director of athletics, North Central University and Kellen Wells-Mangold, assistant athletics director, University of Wisconsin-River Falls] |
| 9:15 to 10:15 a.m. | b. | Leveraging athletics enrollment and its impact on budget, personnel and facilities. [Sean Cain, former student-athlete, Adrian College, Julie Kline, director of athletics, Earlham College, and Lisa Melendy, director of athletics, Williams College] |
| 10:15 to 10:55 a.m. | 3. | 2019 Legislative Proposals and Q&A. [Jeff Myers, director of academic and membership affairs for Division III and Bill Regan, associate director of academic and membership affairs for Division III] |
| 10:55 to 11 a.m. | 4. | Final Remarks. [Docking] |
| 11 a.m. | 5. | Adjournment. |



A G E N D A

The National Collegiate Athletic Association

Division III Presidents and Chancellors Luncheon

Grand Sierra Ballrooms H-I
Caribe Royale

January 24, 2019
11:30 a.m. to 1 p.m.

- | | | |
|-----------------------|----|---|
| 11:30 a.m. | 1. | Lunch served. |
| 11:45 a.m. to 12 p.m. | 2. | Welcome and remarks. [Jeff Docking, president, Adrian College] |
| 12 to 12:15 p.m. | 3. | 2019 Division III Convention Legislation Q&A. [Jeff Myers, director of academic and membership affairs for Division III] |
| 12:15 to 12:30 p.m. | 4. | Update on Association-wide issues. [Sue Henderson, president, New Jersey City State University and Dan Dutcher, vice president for Division III] |
| 12:30 to 12:50 p.m. | 5. | Roundtable Discussion - Association-wide proposal to add five independent, public members to NCAA Board of Governors. [Mark Emmert, NCAA president/Docking] |
| 12:50 to 12:55 p.m. | 6. | Open forum. |
| 12:55 to 1 p.m. | 7. | Closing remarks and adjournment. [Docking] |



A G E N D A

The National Collegiate Athletic Association

2019 Division III Business Session

Grand Sierra Ballroom
Caribe Royale

January 26, 2019
8 to 11 a.m.

- | | | |
|---------------------|----|--|
| 8 to 8:10 a.m. | 1. | Welcome and Announcements. [Jeff Docking, President, Adrian College] |
| 8:10 to 8:20 a.m. | 2. | Division III Identity Video. [Parker Hammel, Chair, National Student-Athlete Advisory Committee] |
| 8:20 to 8:30 a.m. | 3. | Election of New Management Council Members. [Keri Luchowski, Executive Director, North Coast Athletic Conference] |
| 8:30 to 8:40 a.m. | 4. | Acceptance of Convention Notice and Program. [Docking] |
| 8:40 to 10:30 a.m. | 5. | Voting on Presidential and General Groupings. [Docking and Shantey Hill-Hanna, Vice President for Athletics and Campus Services, St. Joseph's College (Long Island)] |
| 10:30 to 10:45 a.m. | 6. | Open Forum. |
| 10:45 a.m. | 7. | Closing Remarks. [Docking] |
| 11 a.m. | 8. | Adjournment. |

**REPORT OF THE
NATIONAL COLLEGIATE ATHLETIC ASSOCIATION
BOARD OF GOVERNORS OCTOBER 23, 2018, MEETING**

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

- 1. Welcome and announcements.** NCAA Board of Governors chair Bud Peterson convened the meeting at approximately 8 a.m. and welcomed the group to the Alford Inn on the Rollins College campus. Peterson thanked Rollins College Director of Athletics and Board of Governors member Pennie Parker and Rollins College President Grant Cornwell for hosting the NCAA meetings on their campus. He gave a special welcome to President John DeGioia, new Board of Governors member attending his first in-person meeting. He also welcomed back Father James Maher who attended the August meeting as an observer but was attending his first meeting as an active member. NCAA staff confirmed that a quorum was present.
- 2. Reports of the NCAA Board of Governors August 7, 2018 meeting, and August 27-30, 2018 electronic vote.** The Board of Governors approved the reports of its August 7, 2018, meeting, and August 27-30, 2018 electronic vote to approve the new chair of the NCAA Board of Governors Finance and Audit Committee. (Unanimous voice vote.)
- 3. NCAA president's report.** President Mark Emmert provided a brief report on the following:
 - a. NBA's G League.** President Emmert informed the board that the G League, the NBA's developmental league, recently announced that beginning in the summer of 2019, it will offer one-year "Select Contracts" worth \$125,000 to elite prospects who are at least 18 years old but not yet draft eligible. Emmert noted that obtaining a college education continues to provide an unmatched preparation for success in life, as well as a path to professional sports for many student-athletes. However, this change provides another option for those prospects who would prefer not to attend college
 - b. U.S. Center for SafeSport.** Cari Van Senus, NCAA Chief of Staff, briefed the board on the U.S. Center for SafeSport, which is the first national organization of its kind focused on ending all forms of sexual abuse in sport through prevention, education and accountability. The U.S. Congress and the U.S. Olympic Committee entrusted the Center with the authority to respond to reports of sexual misconduct within the U.S. Olympic and Paralympic Movements. The Center focuses its efforts in the areas of education and outreach, and resolution and response. The Center also has a website in which it houses a searchable database that includes disciplinary records. The board asked staff to inform member institutions about SafeSport and its work.

4. **Sports wagering update.** Joni Comstock, NCAA senior vice president of championships, and Naima Stevenson, NCAA deputy general counsel/managing director of academic and membership affairs, updated the board on the work of the internal Sports Wagering Working Group, including the six areas of focus: 1) Education; 2) Competition integrity; 3) NCAA legislation and policy; 4) Information/data management; 5) Officiating; and 6) Political landscape (state and federal legislation). The board also was informed of the number of states that currently have legalized sports wagering, and education- and prevention-related efforts, including integrity services. Board members noted the importance of education and protecting student-athletes in this new environment. The board discussed the formation of an Association-wide ad hoc committee to further review issues that may arise as more states legalize sports wagering (e.g., new legislation, policy changes, enhanced education, player availability reporting, associated risk per sport, impact on health and safety of student-athletes).

It was VOTED

“That an Association-wide Ad Hoc Committee on Sports Wagering be created to work with the NCAA internal sports wagering working group and other organizations (e.g., integrity services provider) to protect student-athlete well-being and ensure the integrity of competition; further, to build on the Association’s efforts related to legislation, policy, research and education around sports wagering to assist members as they adapt to legalized sports wagering in their states and regions.” (Unanimous voice vote.)

5. **NCAA Board of Governors Finance and Audit Committee report.** Finance and Audit Committee Chair President Satish Tripathi reported on the Finance and Audit Committee’s meeting the previous day.
 - a. **2017-18 year-end fiscal update.** The Finance and Audit Committee received an update on the projections for the NCAA’s fiscal year end. At this point in the audit, the increase in net assets appears to be around \$27 million. Several items on both sides of the income statement contribute to the increase. NCAA Chief Financial Officer Kathleen McNeely will share those details at the January 2019 Board of Governors meeting when the audit is finalized.
 - b. **NCAA internal audit year-end review for 2017-18.** The committee received a report of the prior fiscal year audits that were performed. The details of the results of the audits were included in the Board of Governors meeting materials. In summary, 13 audits were completed with 77 percent of the reports showing adequate internal controls. Fifteen percent showed controls that should be enhanced, and eight percent revealed controls that were inadequate. All findings that reflected a need for improvement have mitigation plans in place that have been completed or are on target to be completed.
 - c. **Commission on College Basketball Recommendations financial update.** The committee received an update on the financial estimates for the Commission on College Basketball recommendations that were shared at the August board meeting. Although a few adjustments have been made to estimates, no material changes have occurred,

and the working groups continue to refine the recommendations. Firmer numbers will be provided in January with final numbers and approval for funding coming to the board in April 2019.

6. Board of Governors Committee to Promote Cultural Diversity and Equity report. Committee member President Tripathi shared with the Board of Governors three strategic goals that will guide the work of the committee over the next year:

- a. Engage and align the NCAA governance structure to achieve inclusive excellence.
- b. Use metrics to galvanize accountability and to diversify athletics leadership.
- c. Operationalize the Presidential Pledge and Commitment to Promoting Diversity and Gender Equity in Intercollegiate Athletics/Phase 2: Advancing the Presidential Pledge: From Commitment to Action.

In its efforts to achieve these goals, the committee will work in collaboration with the NCAA inclusion and human resources team and other membership committees working on equity, diversity and inclusion (i.e., NCAA Committee on Women's Athletics, NCAA Minority Opportunities and Interests Committee NCAA, Gender Equity Task Force). The board commended the committee on its work.

7. Law, Policy and Governance Strategic Discussion.

- a. **Sports Science Institute/Committee on Competitive Safeguards and Medical Aspects of Sports.** NCAA Chief Medical Officer Brian Hainline provided an update on the NCAA-DOD CARE consortium and the draft catastrophic injury and death document. Dr. Hainline expressed gratitude to the board for its support of the CARE Consortium research, which included the initial CARE 1.0 study and now includes CARE 2.0 and CARE Longitudinal (CARE Long and SALTOS), which provides for the long-term study of concussions. Dr. Hainline also reported on the work to date on a draft catastrophic injury and death document that includes recommendations in six areas: 1) Sportsmanship; 2) Protective equipment; 3) Acclimatization and conditioning; 4) Emergency action plan; 5) Strength and conditioning personnel; and 6) Education and training. Dr. Hainline noted that review of this document is ongoing, and he would like to proceed with an external review by endorsing organizations and plans to provide an update at the next appropriate timeline for a potential Association-wide recommendation. The board recommended to proceed with the external review of the draft document by endorsing organizations.
- b. **Government relations.** The government relations report was included for the board's information.

NCAA Board of Governors October 23, 2018, Meeting	
Attendees	
Eli Capilouto, University of Kentucky.	
John DeGioia, Georgetown University.	
Jeffrey Docking, Adrian College.	
Mark Emmert, NCAA.	
Burns Hargis, Oklahoma State University.	
Sue Henderson, New Jersey City University.	
Susan Herbst, University of Connecticut.	
Shantey Hill, St. Joseph's College, Long Island.	
Blake James, University of Miami.	
Glendell Jones, Jr., Henderson State University.	
Eric Kaler, University of Minnesota, Twin Cities.	
Ronald K. Machtley, Bryant University.	
Fr. James Maher, Niagara University.	
Gary Olson, Daemen College.	
Pennie Parker, Rollins College.	
Bud Peterson, Georgia Institute of Technology.	
Nayef Samhat, Wofford College.	
Denise Trauth, Texas State University.	
Satish Tripathi, University at Buffalo, The State University of New York.	
Absentees	
Philip DiStefano, University of Colorado, Boulder.	
Guests	
Greg Baroni, Attain, LLC.	
Dominic Carbone, Attain, LLC.	
Briana Guerrero, Attain, LLC.	
Catherine Nelson, Attain, LLC.	
Reshma Patel-Jackson, Attain, LLC.	
NCAA staff liaisons in attendance	
Jacqueline Campbell.	
Other NCAA staff in attendance	
Katrice Albert, Scott Bearby, Joni Comstock, Diane Dickman, Dan Dutcher, Kimberly Fort, Terri Gronau, Brian Hainline, Kevin Lennon, Kathleen McNeely, Stacey Osburn, Naima Stevenson, Cari Van Senus, Stan Wilcox and Bob Williams.	

Report is not final until approval of the Board of Governors.



A G E N D A

National Collegiate Athletic Association
Board of Governors

Crystal Ballroom J-1
Orlando World Center Marriott
Orlando, Florida

January 23, 2019
1:30 to 5 p.m.

1. Welcome and announcements. (President Bud Peterson)
2. Consent Agenda.¹ (*Action Item*)
 - a. Reports of the NCAA Board of Governors October 23, 2018, meeting and November 27-30, 2018 electronic vote. [Supplement Nos. 1a and 1b]
 - b. Approval of the NCAA Division I Council representative to the NCAA Board of Governors Student-Athlete Engagement Committee. [Supplement No. 2]
3. NCAA president's report. (*Information*) (President Mark Emmert)
4. Esports engagement. (*Possible Action*) (Nate Flannery and Chris Termini)
5. Coaches credentialing concept. (Cari Van Senus) [Supplement No. 3]
6. NCAA Board of Governors Committee reports.
 - NCAA Board of Governors Ad Hoc Committee on Sports Wagering. (*Information*) [Supplement No. 4] (Joni Comstock and Stan Wilcox)
7. NCAA Board of Governors Finance and Audit Committee report. (President Satish Tripathi)
 - a. First quarter fiscal year 2018-19 budget-to-actual. (*Information*) [Supplement No. 5]
 - b. Fiscal year 2017-18 audited financial statements. (*Action Anticipated*) [Supplement No. 6]
 - c. Unreserved net assets. (*Action Anticipated*) [Supplement No. 7]
 - d. NCAA 10-year financial plan. (*Action Anticipated*)
 - (1) Operational changes to 10-year financial plan. [Supplement No. 8]

¹ **Consent agenda items:** The Chair has determined that the following items are routine or noncontroversial items not requiring discussion or independent action. These items therefore will be presented as one agenda item. Possible action by a member of the Board of Governors: (1) Seek Chair or staff clarification prior to the January meeting; (2) Request that an item be removed for further discussion. (3) Approve all or remaining items in the consent package in one motion.

- (2) Commission on College Basketball changes to 10-year financial plan. [Supplement Nos. 9, 10 and 11]
- e. NCAA Board of Governors Finance and Audit Committee Investment Subcommittee new member, Melody Rollins. (**Information**) [Supplement No. 12].
8. Law, Policy and Governance Strategic Discussion.
 - a. Legal and litigation update. (**Information**) (Scott Bearby) [Supplement No. 13]
 - b. Government relations. (**Information**) (Donald Remy) [Supplement No. 14]
9. NCAA Board of Governors' Executive Committee report. (**Information**) (President Bud Peterson)
10. Election of vice chair. (**Action Anticipated**)
11. NCAA Strategic Planning Working Group report and update. (**Information**) (President Glen Jones and Reshma Patel-Jackson) [Supplement No. 15]
12. Executive Session.
13. Adjournment.

COLLEGE BASKETBALL LUNCH AND LEARN PART IV: OUTSIDE VOICES

December 11, 2018



1

CONTEXT: THREE AREAS OF REFORM

BASKETBALL

Provide student-athletes more **freedom and flexibility** to decide about going pro and pay for scholarships for those who want to finish their degree later.

Minimize the leverage of harmful outside influences on high school recruits and college student-athletes.

ACCOUNTABILITY

Make the NCAA investigations and infractions process more **efficient**.

Set stronger penalties for schools and individuals who break the rules.

OUTSIDE VOICES

Bring in **independent investigators and decision-makers** to enforce rules.

Add **public voices** to the NCAA Board of Governors for fresh perspectives.



2

TODAY'S FOCUS

BASKETBALL

Provide student-athletes more **freedom and flexibility** to decide about going pro and pay for scholarships for those who want to finish their degree later.

Minimize the leverage of harmful outside influences on high school recruits and college student-athletes.

ACCOUNTABILITY

Make the NCAA investigations and infractions process more **efficient**.

Set stronger penalties for schools and individuals who break the rules.

OUTSIDE VOICES

Bring in **independent investigators and decision-makers** to enforce rules.

Add **public voices** to the NCAA Board of Governors for fresh perspectives.



3

TODAY'S OBJECTIVES

- ▶ Discuss the origin and rationale for the proposal to add five independent members to the Board of Governors.
- ▶ Highlight next steps and resources as the Association prepares to vote on the proposal at the 2019 NCAA Convention.



4

COMMISSION RECOMMENDATION

“The Commission recommends that the NCAA restructure its Board of Governors to include at least five public voting members with the experience, stature and objectivity to assist the NCAA in re-establishing itself as an effective and respected leader and regulator of college sports. It further recommends that at least one of these public members also be a member of the NCAA’s Executive Board.”



5

REASONS CITED BY THE COMMISSION

- ▶ NCAA administers what’s effectively a public trust.
- ▶ Relationships of Board of Governors members to schools, conferences or divisions create appearance they can’t be objective.
- ▶ Public members of boards provide objectivity, fresh perspectives and independent viewpoints and judgments.
- ▶ Many other non-profit associations utilize public board members for precisely these reasons.



6

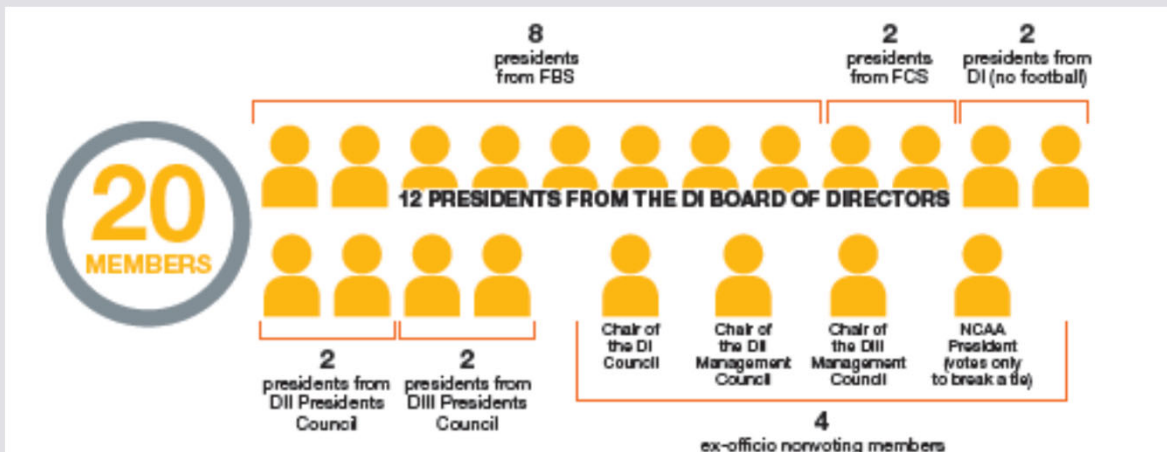
WHAT IS THE BOARD OF GOVERNORS?

- ▶ Highest governance body in the NCAA.
- ▶ Focuses on strategic discussions that impact the Association as a whole.
- ▶ Members have a fiduciary responsibility to act in the best interest of the overall Association, rather than the interest of any particular division or school.



7

CURRENT BOARD OF GOVERNORS



8

WHAT IS THE EXECUTIVE COMMITTEE?

- ▶ All three divisions have representation on the Board of Governors Executive Committee.
- ▶ Specifically, the committee includes the chair and vice chair of the Board of Governors (who must represent different divisions) and the members of the board who are the chairs of each divisional presidential body (Division I Board of Directors, Division II Presidents Council and Division III Presidents Council).
- ▶ When the chair or vice chair is a divisional chair, his or her division shall designate another president who is already a member of the board to serve on the Executive Committee.



9

NCAA WORKING GROUP

- ▶ The Division I Council developed eight working groups to address the recommendations from the Commission on College Basketball.
- ▶ The Association-wide issues working group addressed the recommendation to add independent members to the Board of Governors.
- ▶ Presidents from Divisions I, II and III served together on the group.

MEMBERS:

- Ron Machtley (Bryant - DI)
- Glen Jones (Henderson State - DII)
- Jeff Docking (Adrian - DIII)



10

THE BOARD'S PROPOSAL

Following the working group's assessment of the issue, the full Board of Governors sponsored a proposal to amend the NCAA Constitution to add five independent members to the board.

- On Jan. 24, 2019, representatives from all three NCAA divisions will gather at the NCAA Convention to vote on the proposal.



11

THE BOARD'S RATIONALE

- ▶ Commission on College Basketball called for NCAA to restructure its highest governing body to include at least five independent members with experience, stature and objectivity to assist NCAA in leading and regulating college sports.
- ▶ Like public companies, major nonprofit associations typically include outside board members to provide relevant experience, perspective and wisdom.
- ▶ Independent board members will provide valuable insight as NCAA works to restore public confidence and trust in college basketball and college sports in general.



12

RESOURCE

College Basketball Return
NCAA


Proposal by NCAA Board of Governors to Add Five Independent Members

On Jan. 24, 2019, representatives from every NCAA division will gather at the NCAA Convention to vote on the same Association-wide issue — adding five independent members to the NCAA Board of Governors that oversees Association-wide matters.

The Board of Governors-Sponsored Proposal

<p>PROPOSAL</p>  <p>Increase the number of board members from 20 to 25 by adding five independent members.</p>	<p>RATIONALE</p> <ul style="list-style-type: none"> Commission on College Basketball called for NCAA to restructure its highest governing body to include at least five independent members with experience, stature and objectivity to assist NCAA in leading and regulating college sports. Like public companies, major nonprofit associations typically include outside board members to provide relevant experience, perspective and wisdom. Independent board members will provide valuable insight as NCAA works to restore public confidence and trust in college basketball and college sports in general.
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The Independent Members

<p>INDEPENDENT MEANS</p> <p>Individual who is not salaried by NCAA member institution, conference or affiliated member, and shall be verified as independent by Board of Governors.</p> 	<p>SELECTION OF INDEPENDENT MEMBERS</p> <ul style="list-style-type: none"> Nominated by Board of Governors Executive Committee and approved by full board. All divisions are represented on both groups. Serve a three-year term, which can be renewed once. Initial staggered terms would begin Aug. 1, 2019.
--	--

Division I Board of Directors member Ron Macthigay, Division II Presidents Council chair Glen Jones and Division III Presidents Council chair Jeff Docking worked together to develop this proposal in response to the Commission's recommendation.

Roll-Call Vote at NCAA Convention

Because the change requires an amendment to the NCAA Constitution, delegates from all three divisions will vote on the proposal at the 2019 NCAA Convention:

Association-wide Business Session
Thursday, Jan. 24, at 6:45 p.m. Eastern time
Orlando World Center Marriott Grand Ballroom 7-8

Two-thirds majority vote of total membership present and voting required for adoption.

NCAA

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WHAT DOES INDEPENDENT MEAN?

- ▶ Individual who is not salaried by an NCAA member institution, conference or affiliated member, and shall be verified as independent by Board of Governors.

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REQUIREMENT OF ASSOCIATION-WIDE VOTE

Roll-Call Vote at NCAA Convention

Because the change requires an amendment to the NCAA Constitution, delegates from all three divisions will vote on the proposal at the 2019 NCAA Convention:

Association-wide Business Session
 Thursday, Jan. 24, at 5:45 p.m. Eastern time
 Orlando World Center Marriott Grand Ballroom 7-8



*Two-thirds majority vote
 of total membership
 (present and voting)
 required for adoption.*

15

ASSOCIATION-WIDE BUSINESS SESSION

- ▶ All divisions will vote together in the same ballroom during the Association-wide Business Session that follows the NCAA Plenary Session.
- ▶ Members are completing the process of appointing their voting delegates.
- ▶ Voting will be conducted with electronic voting cards and voting units that members commonly use in their divisional business sessions.
- ▶ Each active member institution and conference present for the Association-wide Business Session will be permitted to register one vote on the proposal via an electronic voting unit.
- ▶ The proposal requires a two-thirds majority (of those present and voting) to pass.

16

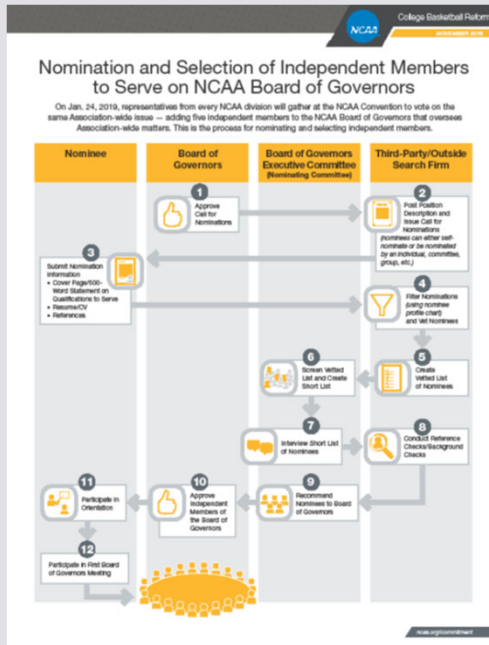
NOMINATION AND SELECTION

- ▶ Slate nominated by Board of Governors Executive Committee and approved by full board. All divisions are represented on both groups.
- ▶ Serve a three-year term, which can be renewed once.
- ▶ Initial staggered terms would begin Aug. 1, 2019.
- ▶ Board has issued nomination guidance, which includes engagement of a third-party search firm to solicit and vet nominations.



17

RESOURCE



18

MEMBER ENGAGEMENT STRATEGY

- ▶ **Strategy:** To educate the membership regarding the Board of Governors sponsored proposal, so members vote with a full understanding of the rationale and the significance of the proposal for the Association.
- ▶ **Engagement goals:**
 - Primary: For members to better understand the role and duties of the Board of Governors and the value of adding independent members to the Board.
 - Secondary: For members to understand the voting process for January, including the appointment of delegates process, distribution of voting units and participation in the Association-wide vote on Thursday, January 24.



19

MEMBER-FOCUSED OBJECTIVES

- ▶ For member schools and conferences to be aware of the proposal sponsored by the Board of Governors, and its rationale, so they vote with a full understanding of the proposal and its merits and benefits to the Association.
- ▶ For every member school and conference to understand the voting process for the proposal.
- ▶ For NCAA staff members to understand the proposal and its rationale so they can help build support for the proposal in interactions with members



20

VIDEO MESSAGE



21

OTHER RESOURCES

Visit ncaa.org/BOGproposal for:

- ✓ One-pagers on proposal, nomination and selection process, and Board of Governors overview.
- ✓ Key points.
- ✓ Frequently asked questions.
- ✓ Nomination guidance.
- ✓ Voting information.
- ✓ Divisional video messages.



22

QUESTIONS?



23

THANK YOU

Cari Van Senus, Chief of Staff

Terri Steeb Gronau, Vice President of Division II

Angela Tressel, Associate Director of Academic and Membership Affairs



24

2019 NCAA Convention — Association-wide Vote
NCAA Board of Governors-Sponsored Legislation

Key Points

- The NCAA Board of Governors is the highest governance body in the NCAA and focuses on strategic discussions that impact the Association as a whole. Members have a fiduciary responsibility to act in the best interest of the overall Association rather than in the interest of any particular division or school.
- If the proposal is adopted by the NCAA membership in January, five public members not affiliated with the NCAA or member schools will join the Board of Governors as voting members to bring fresh, external perspectives and viewpoints without a vested interest in any specific aspect of college sports.
- Adding independent members to the Board of Governors was part of a set of recommendations issued by the Commission on College Basketball. The commission was formed after the announcement of a federal investigation into fraud in college basketball and was tasked with examining the complex issues within the sport and recommending solutions to the NCAA on ways to stop the erosion of public trust in college athletics.
- Adding independent members will add transparency and objectivity to the business of the Board of Governors and build public confidence and trust in the NCAA. It also aligns with best practices in the nonprofit, higher education and corporate sectors while providing an opportunity to contribute to the board's ethnic, gender, racial and life experience diversity.
- By definition, an independent member is not employed by or serving on the board of any member school, conference or affiliate. Further, these individuals would have the demonstrated professional experience in one or more relevant areas including, but not limited to, business, government, public service, medical/health services and corporate or nonprofit governance.
- Each of the five independent members would be nominated by the Board of Governors Executive Committee and approved by the full board. Each would serve a three-year term, which can be renewed once for an additional three-year term.
- All three divisions have representation on the Board of Governors Executive Committee. Specifically, the committee includes the chair and vice chair of the Board of Governors (who must represent different divisions) and the members of the board who are the chairs of each divisional presidential body (Division I Board of Directors, Division II Presidents Council, Division III Presidents Council). When the chair or vice chair is a divisional

chair, his or her division shall designate another president who is already a member of the board to serve on the Executive Committee.

- One independent member, voted on annually by all five of the independent members, would serve as the lead independent member on the Board of Governors Executive Committee and could serve in that role up to three years.
- The independent members on the Board of Governors will participate in an orientation regarding duties, responsibilities and expectations before their service on the Board of Governors. That orientation will include information from the leadership of each division about the philosophical, governance and financial priorities of the divisions.
- The discussion and vote on the proposal will take place at the 2019 NCAA Convention on Thursday, Jan. 24, immediately after the NCAA Plenary Session: State of College Sports. The Association-wide business session will begin at 5:45 p.m. Eastern time in Grand Ballroom 7-8 at the Orlando World Center Marriott.
- Member schools will vote on the proposal by roll call. Each active member school and conference in all three divisions present for the Association-wide business session will be permitted to register one vote on the proposal via an electronic voting unit.
- The terms of the five independent members will begin Aug. 1, 2019, if this change is adopted at the 2019 NCAA Convention.

Please refer to the Q&A document for additional information.

ORGANIZATION — BOARD OF GOVERNORS — INDEPENDENT MEMBERS

Updated: Nov. 9, 2018

Q: What is the Board of Governors?

A: The Board of Governors is the highest governance body in the NCAA and focuses on strategic discussions that impact the Association as a whole. Members have a fiduciary responsibility to act in the best interest of the overall Association, rather than the interest of any particular division, conference, school or sport.

Nominations

Q: When will the Board of Governors issue a call for nominations for independent members?

A: A call for nominations for the inaugural group of five independent members will be issued shortly after adjournment of the 2019 NCAA Convention. Thereafter, a call for nominations will occur when a vacancy for an independent member is available on the board.

Q: Who is eligible to serve as an independent member?

A: An independent member shall not be employed by any member school, conference or affiliate members. Further, certain individuals may not be eligible to serve given a potential conflict of interest. Independent governor nominees will be required to disclose specific relationships and conflicts of interest ahead of their nomination. These may include, but are not limited to,

- An immediate family relation to a member of NCAA national office staff.
- An immediate family relation to a membership president/chancellor, commissioner or director of athletics.
- Member of the board of trustees/regents, etc., of a member institution.
- Parent/guardian of a current NCAA student-athlete.
- Employed by a professional sports organization.
- Employed by an athletics apparel organization.
- Employed by an NCAA corporate champion or partner.
- Employed by an NCAA media partner.
- Employed by a supplier to the NCAA national office of goods or services.
- Consultant or contractor to the NCAA national office.
- Booster who has donated a material contribution to a member institution's athletics department or conference.
- Ownership in establishments or casinos that conduct sports wagering.

None of the above automatically disqualifies a candidate, but it is important information for the Board of Governors Executive Committee to consider as it recommends nominees to the full Board of Governors for approval.

Q: May any individual be nominated as an independent member of the Board of Governors?

A: Yes, as long as they meet the requirements as an independent member.

Q: May an individual self-nominate as an independent member?

A: Yes.

Q: Which entities (for example, active member conference, governance council or committee) may nominate an individual as an independent member of the Board of Governors?

A: Any entity may nominate an individual.

Nominating Committee

Q: What entity will serve as the nominating committee to vet the nominated individuals and recommend a slate of independent members for full approval by the Board of Governors?

A: The Board of Governors Executive Committee.

Q: Is the Executive Committee a standing committee of the Board of Governors?

A: Yes.

Q: What is the composition of the Executive Committee?

A: The Executive Committee includes representation of all three divisions. Specifically, the committee includes the chair and vice chair of the Board of Governors (who must represent different divisions) and the members of the Board of Governors who are the chairs of each divisional presidential body (Division I Board of Directors, Division II Presidents Council, Division III Presidents Council).

Q: Are substitutes permitted on the Executive Committee?

A: No. However, in the event that the chair or vice chair of the Board of Governors also is a divisional chair, then that division shall designate another president who is already a member of the Board of Governors (for example, Council vice chair) to be a member and serve on the Executive Committee.

Q: Will the composition of the Executive Committee be amended to add an independent member?

A: Yes. The lead independent member will serve on the Executive Committee.

Q: How will the lead independent member be selected to serve on the Executive Committee?

A: The five independent members will vote annually to determine the lead independent member.

Q: Will the lead independent member have voting rights on the Executive Committee?

A: Yes.

Q: Will a third-party firm/search firm be used to help facilitate the nominations process.

A: Yes.

Terms of Service

Q: What will be the term of office of independent members?

A: An independent member shall be appointed to a three-year term that is renewable for an additional three-year term. An independent member who has served two terms shall not serve further on the Board of Governors.

Q: When will the term of office begin for the inaugural group of five independent members?

A: Aug. 1, 2019.

Q: Will the inaugural group of five independent members have staggered terms for purposes of continuity?

A: Yes. The Board of Governors adopted a policy to create the following staggered terms for the inaugural group of five independent members:

- One independent governor — one-year term (Aug. 1, 2019, through Aug. 31, 2020), automatically renewed for an additional three-year term (Sept. 1, 2020, through Aug. 31, 2023).
- Two independent governors — two-year term (Aug. 1, 2019, through Aug. 31, 2021), automatically renewed for an additional three-year term (Sept. 1, 2021, through Aug. 31, 2024).
- Two independent governors — three-year term (Aug. 1, 2019, through Aug. 31, 2022), renewable for an additional three-year term (Sept. 1, 2022, through Aug. 31, 2025).

Q: What will be the term of office of the lead independent member?

A: An independent member shall serve no more than three years as the lead independent member.

Duties, Responsibilities and Obligations

Q: What will be the duties and responsibilities of independent members?

A: Independent members will have the same duties and responsibilities of all members of the Board of Governors. See Constitution 4.1.2 (duties and responsibilities). In addition, independent members will be responsible for meeting current Board of Governors policies and procedures regarding the duty of care, duty of loyalty and duty of obedience.

Q: Will independent members be required to adhere to the NCAA conflict of interest policy, including disclosing any potential conflicts of interest?

A: Yes.

Q: Will each independent member have full voting rights on the Board of Governors?

A: Yes.

Q: Will independent members be eligible to serve on other committees of the Board of Governors, in addition to the Executive Committee?

A: Yes.

Q: Will independent members receive an orientation regarding duties, responsibilities and expectations before their service on the Board of Governors?

A: Yes, and it also will include an orientation from the leadership in each division on the philosophical, governance and financial priorities of the divisions.

Q: Will the NCAA cover limited expenses for independent members to travel to Board of Governors meetings?

A. Yes. Policies for reimbursement of travel expenses and per diem that apply to other members of the Board of Governors also will apply to independent members.

Prior Discussions

Q: What was the result of prior discussions to expand the composition of the Board of Governors?

A: 2014 — The Board of Governors officially changed its name from the NCAA Executive Committee to better reflect its duties and responsibilities for the Association.

2015 — A vice chair position was approved for the Board of Governors, and the vice chair was required to be from a different division than the chair.

2017 — The Board of Governors accepted a recommendation from the Ad Hoc Committee on Structure and Composition that no change be made to the composition of the Board of Governors at that time. The ad hoc committee recommended that at the conclusion of the Division I Board of Directors' review, additional conversations may occur if the Board of Governors deems it appropriate.

Q: How was the structure and nominating process for the proposal developed?

A: A team of presidents representing all three divisions developed the process and recommended the Board of Governors sponsor legislation for an Association-wide vote.

Procedural Issues

Q: What is a dominant provision?

A: A provision that applies to all members of the Association and is of sufficient importance to the entire membership that it requires a two-thirds vote of all delegates present and voting in a joint session at an annual or special Convention.

Q: How are dominant provisions identified in the NCAA Manuals?

A: The provisions are accompanied by an asterisk (*).

Q: Is the Board of Governors the only body that has the authority to sponsor an amendment to a dominant provision?

A: Yes.

Q: Does an active member institution or conference have the authority to sponsor an amendment-to-amendment of this proposal?

A: No. The Board of Governors is the only body that may sponsor an amendment-to-amendment of a proposal amending a dominant provision.

Q: Does an active member institution or conference have the authority to sponsor an amendment during the Association-wide business session at the NCAA Convention?

A: No.

Q: How does an institution or conference appoint a delegate to vote on this proposal?

A: Presidents, chancellors, directors of athletics and commissioners will receive emails in mid-November providing instructions to gain entry to the school- or conference-specific Appointment of Delegate Form.

Q: What is the date and time for the vote on this proposal?

A: The discussion and vote will occur at the 2019 NCAA Convention on Thursday, Jan. 24, immediately after the NCAA Plenary Session: State of College Sports. The Association-wide Business Session will begin at 5:45 p.m. in Grand Ballroom 7-8 at the Orlando World Center Marriott.

Q: Will delegates be permitted to discuss the proposal during the session before the vote?

A: Yes. Delegates with speaking rights will be permitted to discuss the proposal on the floor before the vote. Delegates with speaking rights include the following individuals (see Division I Constitution 5.1.3.5.1; Divisions II and III Constitution 5.1.3.6.1):

- The three or four accredited delegates representing an active member institution or conference with voting privileges (see Constitution 5.1.3.1.1).
- The single accredited delegate representing a member conference without voting privileges (see Constitution 5.1.3.1.2) or the single accredited delegate representing an affiliated or provisional member.
- Any member of the Board of Governors, the divisional governance entities in Constitution 4 (for example, Board of Directors; Presidents Council; Management Council), and the respective chairs of the NCAA committees listed in Bylaw 21.
- Any member of a division's national Student-Athlete Advisory Committee.

Q: What is the process for voting?

A: The proposal will be voted on by roll call. Each active member institution and conference present for the Association-wide Business Session will be permitted to register one vote on the proposal via an electronic voting unit.

Q: Will each divisional Student-Athlete Advisory Committee have one vote respectively on this proposal?

A: No.

Q: Is a quorum required for the vote?

A: Yes. One hundred active member institutions and conferences constitute a quorum for the transaction of the Association's business.

Q: May an active member institution or conference vote by proxy?

A: No.

Q: When and where does the voting delegate from an active member institution or conference obtain the smart card and voting unit?

A: The smart card will be included in the active member institution or conference voting delegate's packet upon pickup from Convention registration. The voting units will be available to the voting delegates on the day of the vote and before entry in the ballroom where the vote will take place.

Q: Will reconsideration of the original vote on the proposal be permitted?

A: Yes. After an affirmative or negative vote on an amendment to a dominant provision, any delegate who voted on the prevailing side in the original consideration may move for reconsideration. Only one motion for reconsideration is permitted.



Guidance for Nominations Process for the NCAA Board of Governors Independent Members

An independent member of the NCAA Board of Governors shall have the varied external experiences, stature and knowledge necessary to evaluate the best interests of the NCAA and objectively contribute to the management of the governance process.

If the legislation to add five independent members to the Board of Governors is approved by the NCAA membership at the 2019 NCAA Convention, the Board of Governors Executive Committee (nominating committee) has approved the use of a third-party firm/search firm to assist them with the nominations process, including the call for nominations and vetting of nominees. Further, the Board of Governors Executive Committee has drafted some initial tools for the third-party firm that outlines the nominations process and recommend skills, background and experience of the nominees. Reference Attachment A for a flow chart of the draft nominations process.

Independent Governors will have demonstrated professional experiences in one or more relevant areas including, but not limited to, business, government, public service, medical/health services and corporate or nonprofit governance. Attachment B is a draft nominees profile chart that will assist the third-party firm/search firm with identifying the skills, background and experience of the nominees that the Board of Governors Executive Committee wants to see in candidates.

The Board of Governors is committed to ensuring diversity and inclusion of its members. Therefore, the independent members should contribute to the overall diversity (e.g., race, gender, ethnicity, background, experience) of the Board of Governors.

Per the 2019 Convention proposal, the legislated definition of independent specifies “an independent member of the Board of Governors shall be an individual who is not salaried by an NCAA member institution, conference or affiliated member, and shall be verified as independent by the Board of Governors.”

Attachment C lists the current affiliated members per the NCAA Directory and NCAA legislation.

To assist the Board of Governors Executive Committee in determining independence, independent Governor candidates will be required to disclose specific relationships ahead of their nomination. These include, but are not limited to, the following associations or employment held currently or within the past three years:

- An immediate family relation to a member of NCAA national office staff.
- An immediate family relation to a membership chancellor/president, commissioner or director of athletics.
- Member of the board of trustees/regents, etc., of a member institution.
- Parent/guardian of a current NCAA student-athlete.

- Employed by a professional sports organization.
- Employed by an athletics apparel organization.
- Employed by an NCAA corporate champion or partner.
- Employed by an NCAA media partner.
- Employed by a supplier to the NCAA national office of goods or services.
- Consultant or contractor to the NCAA national office.
- Booster who has donated a material contribution to a member institution's athletics department or conference.
- Ownership in establishments or casinos that conduct sports wagering.

Please note that none of the above automatically disqualifies a candidate, but it is important information for the Board of Governors Executive Committee to consider as it recommends nominees to the full Board of Governors for approval.

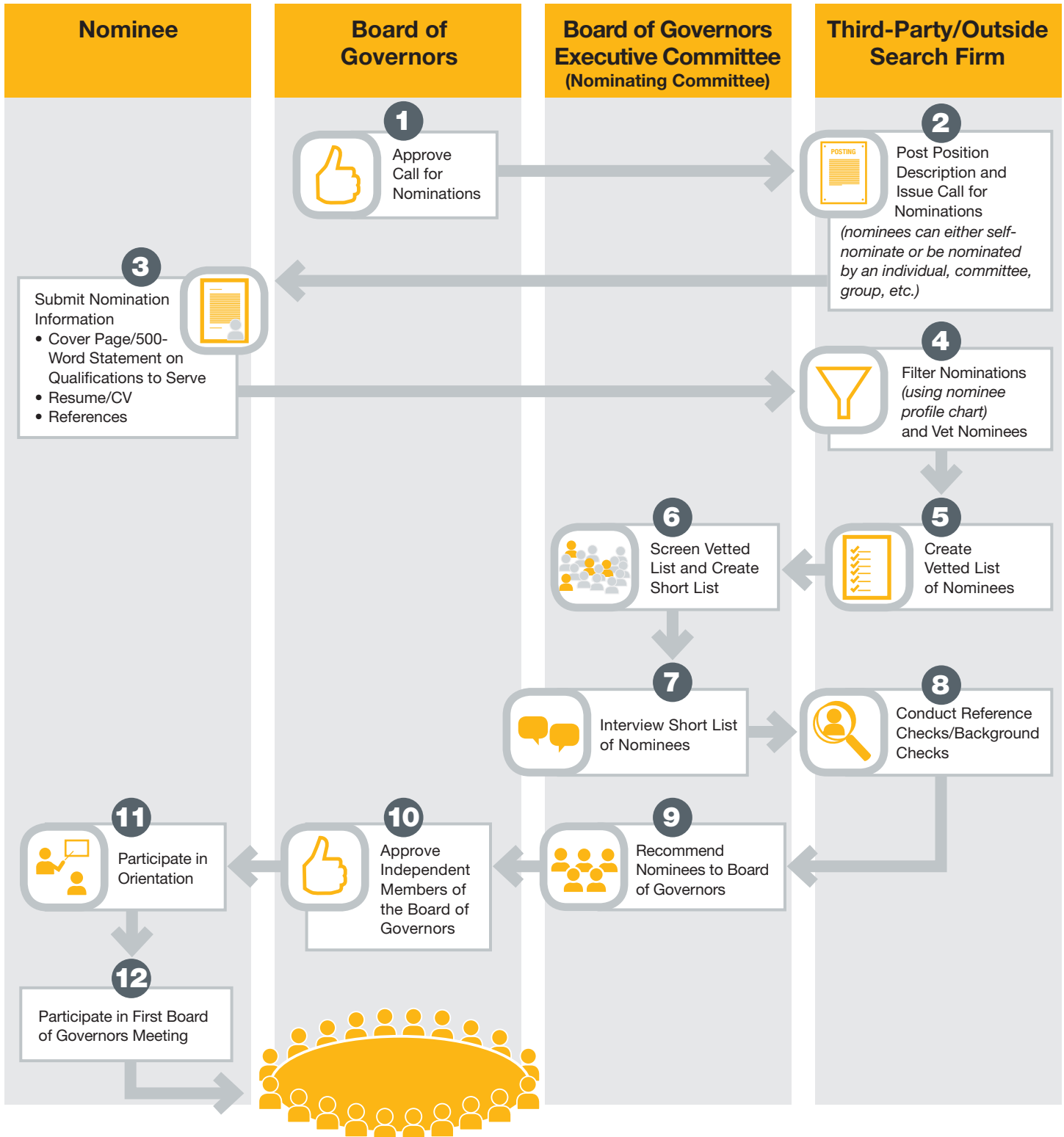
Independent Governors will have duties and responsibilities of all members of the Board of Governors [see Constitution 4.1.2 (duties and responsibilities)] as well as additional duties as assigned by the Board of Governors. Further, independent Governors will be responsible for meeting current Board of Governors policies and procedures regarding the duty of care, duty of loyalty and duty of obedience. Independent Governors shall be required to successfully pass a background check and sign the NCAA Conflict of Interest Policy, including disclosing any potential conflicts of interest.

This represents an initial draft for the nominations process of independent members to the Board of Governors and will be refined if the legislation is adopted in January.

ATTACHMENT A

Nomination and Selection of Independent Members to Serve on NCAA Board of Governors

On Jan. 24, 2019, representatives from every NCAA division will gather at the NCAA Convention to vote on the same Association-wide issue — adding five independent members to the NCAA Board of Governors that oversees Association-wide matters. This is the process for nominating and selecting independent members.





**Independent Board of Governors Members
Nominee Profiles**

ATTACHMENT B

Additional Nominees

Skills/Background/Experience	Nominee 1	Nominee 2	Nominee 3	Nominee 4	Nominee 5	Nominee 6
	CEO or enterprise leadership					
Governance/board						
Financial/accounting/audit						
Legal/regulatory						
Medical/health services						
Diversity and inclusion						
Government/public sector						
Higher education						
Strategic planning/research						
Risk and controls						
Entrepreneurial/innovation						
Fundraising/development						
Community relations						
Human resources						
Technology/e-commerce						
Advocacy/communications						
Marketing and sales						
Arts/theatre/music						
Operational/service delivery						
Project management						
Former student-athlete						
Former athletics administrator (e.g., college, professional, national governing body)						
Other						
Independent Guidance Factors (current or within the last three years)	Nominee 1	Nominee 2	Nominee 3	Nominee 4	Nominee 5	Nominee 6
An immediate family relation to a member or NCAA national office staff						
An immediate family relation to a membership president/chancellor, commissioner or director of athletics						
Member of the board of trustees/regents, etc., of a member institution						

Parent/guardian of a current NCAA student-athlete						
Employed by a professional sports organization						
Employed by an athletics apparel organization						
Employed by a company that takes part in the NCAA Corporate Champions and Partners Program						
Employed by an NCAA media partner						
Employed by a supplier to the NCAA national office of goods or services						
Consultant or contractor to the NCAA national office						
Booster who has donated a material contribution to a member school's athletics department or conference						
Ownership in establishments or casinos that conduct sports wagering						
Education	Nominee 1	Nominee 2	Nominee 3	Nominee 4	Nominee 5	Nominee 6
Bachelor's degree						
School						
Master's degree						
School						
Terminal degree (for example, M.D., Ph.D., J.D.)						
School						
Other information						



**NCAA Directory
Affiliated Members**

Amateur Softball Association of America/USA Softball
American Baseball Coaches Association
American Football Coaches Association
American Hockey Coaches Association
American Volleyball Coaches Association
California Community College Athletic Association
College Swimming Coaches Association of America
Collegiate Rowing Coaches Association
Conference Commissioners Association, Division II
Division III Commissioners Association
East Atlantic Gymnastics League
Golf Coaches Association of America
Intercollegiate Men's Lacrosse Coaches Association
Intercollegiate Tennis Association
LEAD1 Association
Lewis-Clark Valley College Football Association
Minority Opportunities Athletic Association
National Association of Basketball Coaches
National Association of Collegiate Directors of Athletics
National Association of Collegiate Gymnastics Coaches/Women
National Association of Diversity Officers in Higher Education
National Association of Division III Athletic Administrators
National Collegiate Equestrian Association
National Fastpitch Coaches Association
National Federation of State High School Associations
National Intercollegiate Soccer Officials Association
National Junior College Athletic Association
National Strength and Conditioning Association
National Wrestling Coaches Association
U.S. Fencing Coaches Association
U.S. Intercollegiate Lacrosse Association
U.S. Track & Field and Cross Country Coaches Association
United Soccer Coaches
USA Basketball
USA Track & Field
USA Triathlon
USA Volleyball
Women Leaders in College Sports
Women's Basketball Coaches Association
Women's Golf Coaches Association

ORGANIZATION – BOARD OF GOVERNORS – INDEPENDENT MEMBERS

Convention Year: 2019

Date Submitted: August 7, 2018

Effective Date: August 1, 2019

Source: NCAA Board of Governors

Proposal Category: Board of Governors

Topical Area: Organization

Intent: To amend legislation related to the NCAA Board of Governors, as follows: (1) Increase the number of members from 20 to 25 by adding five independent voting members; (2) Define an independent member; (3) Specify that an independent member shall be appointed to a three-year term that is renewable for an additional three-year term, and that an independent member who has served two terms shall not serve further; (4) Specify that the Board of Governors shall issue a call for nominations when a vacancy for an independent member occurs; and, (5) Specify that the Board of Governors shall serve as the final authority for the selection of and additional duties assigned to the independent members.

A. Constitution: Amend 4.02, as follows:

[Dominant provision, all divisions, common vote]

4.02 Definitions and Applications.

[4.02.1 through 4.02.3 unchanged.]

4.02.4 Independent Member of the Board of Governors. An independent member of the Board of Governors shall be an individual who is not salaried by an NCAA member institution, conference or affiliated member, and shall be verified as independent by the Board of Governors.

[4.02.4 through 4.02.4.1 renumbered as 4.02.5 through 4.02.5.1, unchanged.]

B. Constitution: Amend 4.1, as follows:

[Dominant provision, all divisions, common vote]

4.1 Board of Governors.

4.1.1 Composition. The Board of Governors shall consist of ~~20~~ **25** members. The NCAA president and the chairs of the Division I Council and the Division II and Division III Management Councils shall be ex officio nonvoting members, except that the NCAA president is permitted to vote in the case of a tie among the voting members of the Board of Governors present and voting. The other ~~16~~ **21** voting members of the Board of Governors shall include:

[4.1.1-(a) through 4.1.1-(c) unchanged.]

(d) Two Division II presidents or chancellors from the Division II Presidents Council; ~~and~~

- (e) Two Division III presidents or chancellors from the Division III Presidents Council; **and**
(f) Five independent members (see Constitution 4.02.4).

4.1.2 Duties and Responsibilities. The Board of Governors shall:

[4.1.2-(a) and 4.1.2-(k) unchanged.]

(l) Review and coordinate the catastrophic-injury and professional career insurance (disability injury/illness) programs; ~~and~~

(m) Compile the names of those individuals associated with intercollegiate athletics who died during the year immediately preceding the annual Convention;

(n) Issue a call for nominations when a vacancy for an independent member occurs on the Board of Governors; and

(o) Serve as the final authority for the selection of and additional duties assigned to independent members of the Board of Governors.

4.1.3 Election/Term of Office.

[4.1.3.1 unchanged.]

4.1.3.2 Terms **of Office.**

(a) President or Chancellor Members. The terms of ~~service~~ **office** of **president or chancellor** members of the Board of Governors shall coincide with their service on the applicable divisional presidential governing body, unless otherwise specified by that governing body.

(b) Independent Members. An independent member of the Board of Governors shall be appointed to a three-year term that is renewable for an additional three-year term. An independent member who has served two terms shall not serve further on the Board of Governors.

[4.1.3.3 unchanged.]

Rationale: The Commission on College Basketball, chaired by former Secretary of State Condoleezza Rice, recommended that the NCAA restructure its highest governance body, the Board of Governors, to include at least five independent members with the experience, stature and objectivity to assist the NCAA in re-establishing itself as an effective and respected leader and regulator of college sports. One of these independent members will also serve on the Board of Governors Executive Committee. The current Board of Governors includes 16 institutional presidents or chancellors representing each division as voting members, the chairs of the Division I Council and the Division II and III Management Councils as ex-officio nonvoting members, and the NCAA president (who may vote in case of a tie). Like public companies, major non-profit associations typically include outside board members to provide objectivity, relevant experience, perspective and wisdom. Board members with those qualities will provide valuable insight to the NCAA generally as it works towards the restoration of public confidence in college basketball and college sports in general. The Board of Governors will issue a formal call for nominations to fill vacancies; appoint the Board of Governors Executive Committee as the

nominating committee; and serve as the final authority for the selection of and additional duties assigned to the independent members.

Estimated Budget Impact: \$25,000 each fiscal year [committee expenses (e.g., travel, hotel, per diem) for the five independent members to attend Board of Governors in-person meetings (four times per year)].

Student-Athlete Impact: None.

Review History: August 8, 2018: Approved in Legislative Format – Board of Governors

REPORT OF THE
NCAA BOARD OF GOVERNORS COMMITTEE
TO PROMOTE CULTURAL DIVERSITY AND EQUITY
AUGUST 16, 2018, TELECONFERENCE

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

- 1. Welcome and Introductions.** Katrice Albert, NCAA executive vice-president of inclusion and human resources, welcomed participants to the teleconference. Albert thanked Dianne Harrison and Mark Lombardi for agreeing to a reappointment for three years on the committee and expressed gratitude to Harrison for serving as chair for the 2018-19 academic year. Harrison acknowledged new committee member, Student-Athlete Dylan Gladney from Prairie View A&M University.
- 2. Review of committee roster.** The roster was reviewed, and attendance was taken.
- 3. April 14-15, 2018, meeting report.** The committee voted to approve the report of its April 2018 meeting.
- 4. Inclusion and human resources update and progress on initiatives to support the Presidential Pledge.** Albert provided an update that included the following key items: 1) Work continues to operationalize the six inclusion and human resources strategic priorities with new managing directors now in place to provide leadership; 2) An educational resource to support the membership's efforts to optimize the senior woman administrator designation will be released in October; 3) Common Ground IV will be held at Brigham Young University Oct. 31 to Nov. 2; and 4) The Presidential Pledge is moving into its second phase: Advancing the Presidential Pledge: From Commitment to Action. The Pledge will be featured in a four-hour workshop from 1 to 5 p.m. January 23 at the 2019 NCAA Convention . During the convention workshop, the office of inclusion will make available a Diverse and Inclusive Hiring and Workforce Development Guide, and NCAA leadership development will introduce a Search Profile Tool for the membership that will provide access to diverse candidates for leadership positions in intercollegiate athletics.
- 5. Review of meeting reports from NCAA equity, diversity and inclusion membership committees.** Amy Wilson, NCAA managing director of inclusion, provided updates on the following committees: NCAA Committee on Women's Athletics, NCAA Gender Equity Task Force and NCAA Minority Opportunities and Interest Committee.
 - a. Committee on Women's Athletics.** The committee continues to guide and support the optimizing the senior woman administrator initiative. At its September meeting, the committee will vote on the 2018 NCAA Woman of the Year and begin to review proposals from acrobatics & tumbling, STUNT and wrestling that were submitted to the NCAA Emerging Sports for Women Program.
 - b. Gender Equity Task Force.** The task force continues efforts to implement its recommendations that were approved by the NCAA Board of Governors in spring 2017. The

committee currently is focusing on its recommendation that all member schools conduct a once-in-five-year equity, diversity and inclusion review.

- c. Minority Opportunities and Interest Committee.** The committee is coordinating a diversity social media campaign with NCAA divisional Student-Athlete Advisory Committees that will occur October 1 to 5. The committee continues to work on its concept of an Athletics Diversity and Inclusion Designation at each NCAA member school and requests feedback from the Committee to Promote Cultural Diversity and Equity. Some initial feedback was provided, including the importance of allowing flexibility to schools on whom could be appointed to the designation and recognition of the varying sizes of staff and resources at NCAA institutions.
- 6. Discussion and approval of goals for the 2018-19 academic year.** The committee engaged in an in-depth discussion of its draft goals document with the intent of finalizing the goals for presentation at the Board of Governors October meeting. The dialogue included the following suggestions:

 - Expand the goals document's focus beyond ethnic minorities and women to include other minority cultural representation, particularly in goal four.
 - Ensure clarity around the point that the committee's work is inclusive and supportive of the important efforts of the CWA, the MOIC, the GETF and other relevant divisional working groups.
 - Set more specific goals for diversifying athletics leadership upon receiving position turnover data from NCAA research early in 2019.
 - Emphasize the importance of diversity as the Board of Governors fulfills the Commission on College Basketball's recommendation of adding five independent members to the board.
 - That NCAA staff do an analysis of the funding requirements to implement the goals.
- 7. Next steps.** NCAA staff will revise the goals document (see ATTACHMENT) based on committee feedback and distribute to the committee for final approval. Committee and Board of Governors member Satish Tripathi will present the goals to the full Board of Governors at its October meeting.
- 8. Discussion of future meetings.** The next in-person meeting will be at the 2019 NCAA Convention in Orlando, Florida.
- 9. Other business.**

 - a. 2019 NCAA Convention.** Albert stated that inclusion and human resources will facilitate a session at the NCAA Convention to present the committee's goals. NCAA staff will be in

contact with committee members about availability to serve on the panel for this session that will occur on Thursday, January 24.

- b. Division III LGBTQ Working Group updates.** Committee member Brit Katz, who is also a member of the Division III LGBTQ Working Group, explained that the working group recently completed a Nondiscrimination Policy Guide and an LGBTQ Identity Kit that includes a new Division III ONETEAM logo. These materials are being sent to the Division III membership in fall 2018.

10. Adjournment. The teleconference adjourned at 1:15 p.m. Eastern Time.

Committee chair: Dianne Harrison, Cal State University, Northridge
Staff liaisons: Katrice Albert, Office of Inclusion and Human Resources
 Sonja Robinson, Office of Inclusion
 Amy Wilson, Office of Inclusion

NCAA Committee to Promote Cultural Diversity and Equity August 16, 2018, Teleconference
Attendees:
Dianne Harrison, California State University, Northridge.
Brit Katz, Millsaps College.
Mark Lombardi, Maryville University.
Tori Murden McClure, Spalding University.
Jacqueline McWilliams, Central Intercollegiate Athletic Association.
Faynesse Miller, Hamline University.
Satish Tripathi, University at Buffalo, The State University of New York.
Absentees:
Dylan Gladney, Prairie View A&M University, NCAA Division I Student-Athlete Advisory Committee.
Leslie Wong, San Francisco State University.
Guests in Attendance:
None.
NCAA Staff Support in Attendance:
Katrice Albert, Sonja Robinson and Amy Wilson.
Other NCAA Staff Members in Attendance:
Jackie Campbell and Tiana Myers.



**NCAA BOARD OF GOVERNORS
COMMITTEE TO PROMOTE CULTURAL DIVERSITY AND EQUITY
2018-2019 GOALS**

Strategic Goals:

1. Engage and align the NCAA governance structure to achieve inclusive excellence.
2. Use metrics to galvanize accountability to diversify athletics leadership.
3. Operationalize the Presidential Pledge and Commitment to Promoting Diversity and Gender Equity in Intercollegiate Athletics/Phase 2: *Advancing the Presidential Pledge: From Commitment to Action*.

Deliverables for Goals:

1. Engage and align the NCAA governance structure to achieve inclusive excellence.
 - a. Conduct a review of governance structure demographics that includes an analysis of turnover for available positions.
 - Provide NCAA inclusion and human resources with direction to do the following:
 - (a) Publicize the data findings.
 - (b) Review current efforts by each of the divisions to communicate with and educate about membership opportunities for service in the NCAA governance structure.
 - (c) Engage each of the divisions about their processes for determining the nominations submitted for governance service. Recognize conferences that are and are not submitting diverse candidates and explore ways to increase accountability for diverse representation.
 - (d) Develop communication/educational plan about committee service opportunities and distribute to the membership as well as organizations, associations and outlets that primarily serve diverse populations (e.g., Minority Opportunities Athletic Association; Women Leaders in College Sports).
 - b. Provide feedback to the NCAA Board of Governors Executive Committee regarding the five independent members that could be added to the Board of Governors pending a vote by all three divisions at the January 2019 NCAA Convention.
 - c. Develop an innovative plan to:

- (1) Ensure diversity and inclusion issues are intentionally, consistently and appropriately prioritized and accounted for throughout the NCAA governance structure;
 - (2) Identify the potential barriers to move diversity and inclusion issues through the legislative process and policy development; and
 - (3) Grow diverse representation in the NCAA governance structure.
 - d. Place emphasis for consideration of the NCAA's five areas of inclusion (i.e., international student-athletes, LGBTQ, student-athletes with disabilities, race and ethnicity, and women) and other intersectional dimensions of diversity in all NCAA governance proceedings.
 - e. Create equity, diversity and inclusion accountability standards for NCAA staff, NCAA committees, councils/working groups as well as external partners and affiliate members.
2. Use metrics to galvanize accountability to diversify athletics leadership.
- a. Assess annually the diversity landscape for leadership positions in athletics at NCAA member schools and the National Office.
 - b. Analyze turnover rates for head coaches, athletics directors, commissioners, senior level athletics administrators and national office staff to create aspirational, yet realistic, goals for advancement toward a more diverse Association.
 - c. Provide IHR with direction to do the following:
 - (1) Publicize the data findings.
 - (2) Educate membership about the enormity of the challenge to change the demographic data and the importance of intentional, meaningful actions.
3. Operationalize the Presidential Pledge and Commitment to Promoting Diversity and Gender Equity in Intercollegiate Athletics/Phase 2: *Advancing the Presidential Pledge: From Commitment to Action*:
- a. Engage Division I as it considers legislation for a one-in-five-year equity, diversity and inclusion review recommended by the NCAA Gender Equity Task Force. Support the equity, diversity and inclusion self-study review processes currently in place for Division II and Division III.

- b. Collaborate with the NCAA Minority Opportunities and Interests Committee as it develops legislation to require a diversity and inclusion contact in each athletics department and conference office.
- c. Support IHR's efforts to achieve the following initiatives:
 - (1) Re-engage presidents/chancellors and conference commissioners on the Presidential Pledge.
 - (2) Create and distribute a diversity and inclusion hiring guide.
 - (3) Develop and promote to the NCAA membership a Profile Search Tool for diverse candidates.
 - (4) Implement and operate NCAA-developed, but institutionally self-sustaining leadership development programs.
 - (5) Optimize the Senior Woman Administrator designation.
 - (6) Develop an equity, diversity and inclusion app for the membership.
 - (7) Explore the feasibility of hosting an annual meeting with external partners to understand the equity, diversity and inclusion landscape and promote trainings and professional development opportunities specifically for diverse populations in intercollegiate athletics.
 - (8) Pursue base budget and sponsorship funding opportunities to support ongoing and long-term Board of Governors Committee to Promote Cultural Diversity and Equity goals.

MEMORANDUM

January 11, 2019

VIA EMAIL

TO: Commissioners, Directors of Athletics, Senior Woman Administrators and NCAA National Student-Athlete Advisory Committees.

FROM: Joni Comstock
Senior Vice President, Championships and Alliances

Stan Wilcox
Executive Vice President, Regulatory Affairs.

SUBJECT: Sports Wagering Update.

As NCAA national office staff, we want to provide timely information about key things happening that may assist your work on campus. This is an update on the latest efforts to address sports wagering and its impact on college athletics. Several important developments have occurred since our last communication to you.

As noted in previous messages, last summer subsequent to the United States Supreme Court repeal of the Professional and Amateur Sports Protection Act (PASPA), NCAA President Emmert asked that work begin to assess areas in which legalized sports wagering may impact college athletics. Preliminary efforts included the introduction of enhanced competition integrity monitoring for various sports. The monitoring has provided information and continuous oversight of NCAA competition this academic year. The development of new and revised educational materials focused on the needs of student-athletes, staff and officials also have been part of the plans to assist the membership.

Legislative and regulatory activity related to sports wagering has been monitored closely at the local, state and federal levels. In late December, there was good news that a bill sponsored by Senators Hatch and Schumer was introduced that would provide federal guidelines for sports wagering. The Sports Wagering Market Integrity Act of 2018 contains many important elements including adding a minimum age requirement of 21 years to participate in any form of sports wagering. Federal policymakers will consider next steps as the first session of the 116th Congress commences.

A significant development in the Association's efforts related to sports wagering is the NCAA Board of Governors' formation of an Association-wide Ad Hoc Committee on Sports Wagering. Chancellor Kent Syverud of Syracuse University is chair of the committee. You can find the roster of the committee and detailed charge [here](#). The Board of Governors has asked the committee to review NCAA sports wagering legislation to determine if any changes are needed in this new environment; consider the need and a possible process for player availability reporting; and

NCAA MEMORANDUM

January 11, 2019

Page No. 2

evaluate risk assessment by sport for current and future monitoring of NCAA competition. The group has completed one conference call to discuss future agendas and received a brief overview of sports wagering. The committee will conduct conference calls and in-person meetings through May 2019. Additional meetings will be scheduled if more work is required to fulfill needs of the membership.

If you have questions about the work of the committee or staff, please contact us. We will assist you in any way possible. Thank you for your continued collaboration.

JBC/SW:tlm



NCAA BOARD OF GOVERNORS AD HOC COMMITTEE ON SPORTS WAGERING

- 1. Charge.** The NCAA Board of Governors Ad Hoc Committee on Sports Wagering has been established by the NCAA Board of Governors to protect student-athlete well-being and ensure the integrity of competition. Specifically, the committee is charged to:
 - a. Review current NCAA legislation related to sports wagering and explore whether additional legislation is appropriate in an environment that includes legalized sports wagering, but that also adheres to the guiding principles of student-athlete well-being and maintaining the integrity of intercollegiate competition.
 - b. Examine player availability reporting to determine feasibility and how it could assist the membership in protecting the integrity of college sports and the well-being of student-athletes.
 - c. In conjunction with a sports integrity services provider, evaluate the associated risk per sport by division as an increasing number of states take action to legalize sports wagering.
- 2. Composition.** The Ad Hoc Committee on Sports Wagering shall consist of 12 members, including at least one president or chancellor, one director of athletics from a Division I autonomy conference and one student-athlete. There shall be six members from Division I, three members from Division II and three members from Division III. The committee also shall include members that have a working knowledge of sports medicine/athletic training and research.

Note: The committee will have the opportunity to engage subject matter experts as necessary to carry out its duties.

- 3. Duties and Responsibilities of the Committee.** The committee shall work with the NCAA internal sports wagering working group and other organizations (e.g., integrity services provider) to build on the Association's efforts related to legislation, policy, research and education around sports wagering to assist members as they adapt to legalized sports wagering in their states and regions. The committee will be updated on and provide input to the development of the Association's educational efforts. The committee will provide regular updates to the Board of Governors and, after a year, the committee will recommend next steps.

Note: While the ad hoc committee works on legislation, policy, research and education around sports wagering, the NCAA national office working group will continue its work on education, integrity services, officiating and the political landscape as it relates to sports wagering.

4. Election/Terms of Office.

- a. Election. The members shall be appointed by the Board of Governors.
- b. Committee Chair. The committee chair shall be elected by the committee.

BOARD OF GOVERNORS AD HOC COMMITTEE ON SPORTS WAGERING

Composition: Twelve members, including at least one chancellor/president, one director of athletics from a Division I autonomy conference and one student-athlete. Six members from Division I, three members from Division II and three members from Division III. The committee also shall include members that have a working knowledge of sports medicine/athletics training and research.

Duties: The committee shall work with the NCAA internal sports wagering working group and other organizations (e.g., integrity services provider) to build on the Association’s efforts related to legislation, policy, research and education around sports wagering to assist members as they adapt to legalized sports wagering in their states and regions. The committee will be updated on and provide input to the development of the Association’s educational efforts. The committee will provide regular updates to the Board of Governors and, after a year, the committee will recommend next steps.

Staff Liaisons: Joni Comstock, Stan Wilcox, Jackie Campbell
Chair: Kent Syverud

DIV.	POS.	GEN	EM	NAME AND INSTITUTION	CONFERENCE
I	Director of Athletics	M	N	Gary Barta, University of Iowa	Big Ten Conference
I	President	M	Y	Chris Howard, Robert Morris College	Northeast Conference
I	Commissioner	F	Y	Amy Huchthausen, America East Conference	America East Conference
I	Executive Associate AD	F	N	Rachel Newman Baker, University of Kentucky	Southeastern Conference
I	Director of Athletics	F	Y	Desiree Reed-Francois, University of Las Vegas	Mountain West Conference
I	President	M	N	Kent Syverud, Syracuse University	Atlantic Coast Conference
II	President	M	N	William LaForge, Delta State University	Gulf South Conference
II	Director of Athletics	F	N	Pennie Parker, Rollins College	Sunshine State Conference
II	Director of Athletics	M	Y	Harry Stinson, Lincoln University (PA)	Central Intercollegiate Athletic Association
III	Director of Athletic Training	M	Y	Rob Dicks, Lagrange College	USA South Athletic Conference
III	President	F	Y	Elsa Núñez, Eastern Connecticut State University	Little East Conference
III	Commissioner	M	N	Terry Small, New Jersey Athletic Conference	New Jersey Athletic Conference
I	Student-Athlete	M	Y	Nicholas Clark, Coastal Carolina University	Board of Governors Student-Athlete Engagement Committee

NCAA Government Relations Report

(December 2018)

Congressional Overview

After recessing for more than a month to campaign in the midterm elections, Members of Congress returned to Washington D.C. on November 13, 2018 for a post-election lame duck session. Lawmakers returned to work facing the possibility of a partial government shutdown if Congress is unable to agree on a spending package before December 21. Other legislative matters that could receive consideration during the lame-duck session include criminal justice reform and the reauthorization of farm, nutrition and anti-hunger programs.

As a backdrop to the legislative work, Congress has initiated preparations for the 116th Congress, which will include a new majority party in the House of Representatives. Democrats will take control of the House and currently hold a 235-199 seat majority heading into the 116th Congress, with the outcome of one race yet to be determined. In the Senate, Republicans were able to maintain the majority by a 53-47 margin. The 116th Congress will convene on January 3, 2019.

Democrats and Republicans in the House and Senate have elected leaders for the 116th Congress, with only the Speaker of the House to be determined during a floor vote on January 3. Minority Leader Nancy Pelosi (D-CA) won the support of the Democratic Caucus and is expected to be elected as Speaker. House Democrats elected Rep. Steny Hoyer (D-MD) as Majority Leader and Rep. James Clyburn (D-SC) as Majority Whip. House Republicans elected Rep. Kevin McCarthy (R-CA) as Minority Leader, Rep. Steve Scalise (R-LA) as Minority Whip, and Rep. Liz Cheney (R-WY) as Conference Chairwoman. Senate Republicans elected Sen. Mitch McConnell (R-KY) as Majority Leader, Sen. John Thune (R-SD) as Majority Whip, and Sen. John Barrasso (R-WY) as Conference Chairman. The Senate Democratic leadership will remain the same, with Sen. Chuck Schumer (D-NY) as Minority Leader, Sen. Dick Durbin (D-IL) as Minority Whip, and Patty Murray (D-WA) as Assistant Democratic Leader. It is expected that committee leadership and rosters will be finalized in early 2019.

With the 2018 midterm elections dictating most of the activity in Washington, there has been limited legislative activity over the last several months. Despite the limited time in Washington, Members have continued to explore a range of matters related to college athletics.

Federal

Sports Betting

The expansion of legalized sports betting presents some unique challenges for the collegiate athletic community. To best ensure the well-being of student-athletes and the integrity of competition, NCAA government relations staff worked with interested policymakers and sports organizations in the development of a federal sports betting proposal introduced on December 19, 2018. This rare bi-partisan bill, introduced by Sen. Orrin Hatch (R-UT) and Sen. Schumer

(D-NY), includes the establishment of minimum statutory and regulatory standards that will protect consumers, eliminate illegal sports betting and uphold the integrity of amateur and professional sporting contests. Specific highlights of the bill include a minimum age requirement of 21 for individuals placing bets; the prohibition of bets placed by athletes, coaches, officials and others associated or credentialed by a sports organization; the requirement of the use of official sports organization data through December 2024; and the creation of a National Sports Wagering Clearinghouse to receive reports of suspicious activity, disseminate best practices, operate a national repository of sports wagering data and provide technical assistance and consultation.

With the retirement of Sen. Hatch (R-UT) in 2019, the proposal will serve as a marker for the 116th Congress as they continue working to address this issue and for the states as they consider legislation in the coming year.

Health and Safety

On January 5, 2017, Representative Brett Guthrie (R-KY) introduced H.R. 302, the Sports Medicine Licensure Clarity Act of 2017. The proposal allows sports medicine professionals traveling with teams across state lines to remain covered by their medical liability insurance. The bill eliminates ambiguities with current law that place sports medicine professionals at risk of personal liability when providing care to athletes outside of their home state.

Following quick passage of H.R. 302 by the House of Representatives in January 2017, the Senate approved the measure with minor changes on September 6, 2018. After agreeing to the minor changes, Congress sent H.R. 302 to President Trump, who signed the bill into law on October 5, 2018.

Since initial introduction in 2015, the NCAA has supported the Sports Medicine Licensure Clarity Act along with the professional sports leagues and a diverse group of medical organizations.

U.S. Department of Education Proposed Title IX Regulation

On November 16, 2018, the U.S. Department of Education released its long-awaited notice of proposed rulemaking, which would amend regulations implementing Title IX of the Education Amendments of 1972. The proposed regulations rewrite rules governing campus sexual harassment and assault by changing the definition of sexual harassment actionable under Title IX, clarifying an institution's responsibility when allegations arise and specifying the type of due process that must be afforded to the accuser and accused.

As a part of the notice of proposed rulemaking process, there will be a 60-day public comment period, which will end on January 28, 2019.

State Issues

Republicans have maintained control in a majority of state governments since the 2010 midterm elections. Prior to the 2018 elections, Republicans controlled 33 governorships, while Democrats had only 16, with one independent governor in Alaska. In 2019, Democrats will add seven governors to their roster for a total of 23, while Republicans will hold governorships in 27 states. Republicans will control 30 state legislatures (down only one from 2018) and Democrats will control 18 legislatures (up four from 2018) with Minnesota the lone split legislature in 2019 and Nebraska is a unicameral chamber.

State Betting

While most state legislatures have adjourned for the year, sports betting continues to be heavily discussed by legislators, gambling regulators, sports leagues and betting operators. With only a handful of legislatures still in session, bills to legalize sports betting remain active in Ohio, Michigan and Washington D.C. Although legislative activity has been limited, the expansion of sports betting opportunities has continued with a total of eight states now accepting wagers on athletic competitions. Rhode Island and Pennsylvania began accepting wagers in November and New Mexico unexpectedly launched sports wagering in October after it was determined that bets could legally be accepted at tribal casinos. Nevada, Delaware, New Jersey, Mississippi and West Virginia are the other states with active sports betting.

Due to the continued interest in sports betting, we expect a number of states to consider legislation to legalize sports betting in 2019. Sports betting legislation has already been prefiled in Kentucky, Tennessee and Virginia. NCAA government relations staff will continue to closely monitor future developments and share key legislative principles with lawmakers, which are integral to protecting the integrity of competition and the well-being of student-athletes.

Revised Uniform Athlete Agents Act

In preparation for the implementation of NCAA rules allowing basketball student-athletes to enter into a contractual relationship with an athlete agent, NCAA government relations staff has been working to ensure that the Revised Uniform Athlete Agents Act (RUAAA) is adopted in states throughout the country. The RUAAA is an update of the Uniform Athlete Agents Act of 2000, which was designed to provide important protections to student-athletes and educational institutions through the regulation of athlete agent activities. The RUAAA expands the definition of athlete agent, requires an agent to notify an institution before communicating with a student-athlete to induce them into signing an agency contract and creates a registration process that provides reciprocity for agents registered in other states.

The RUAAA contains a provision that prohibits an athlete agent from providing anything of value to a student-athlete to induce them to enter into an agency agreement. This provision would prevent an agent from covering limited expenses for meals, hotel and travel related to the agent selection process, as allowed under recently adopted NCAA legislation. As a result, the NCAA is supporting passage of the RUAAA with an amendment that would allow athlete agents to cover these limited expenses.

We urge member schools to support the RUAAA and referenced amendment and to contact the government relations office with any questions about this important proposal.

Student-Athlete Health & Well -Being

On June 26, 2018, North Carolina SB 335 became law without the signature of Governor Roy Cooper (D). Authored by North Carolina Senator Warren Daniel (R), the measure, among other things, creates the Legislative Commission on the Fair Treatment of College Student-Athletes, which has been charged with examining a variety of issues related to college athletics. The commission is made up of a dozen North Carolina lawmakers and is scheduled to submit its report on college athletics by March 1, 2019, whereby the commission will be terminated.

The commission conducted its first meeting on October 3, 2018 and explored a range of health and safety matters, including concussions, the NCAA catastrophic injury insurance program and how NCAA revenue is utilized to ensure the health and well-being of student-athletes. At the request of the commission, Scott Bearby, NCAA vice president of legal affairs, participated in the meeting and provided an overview of the NCAA's governance process and efforts to protect the health and well-being of student athletes. Other participants included Dr. Phil Dubois, Chancellor, UNC-Charlotte; Dr. Johna K. Register-Mihalik, PhD, Assistant Professor, Matthew Gfeller Sports-Related Traumatic Brain Injury Research Center at UNC-Chapel Hill; and Ramogi Huma, Executive Director, National College Players Association.

The commission held a subsequent meeting in early November, which focused on the academic success of student-athletes at North Carolina institutions. It is expected that the commission will hold one additional meeting in January focused on student-athlete compensation before completing its work.

Higher Education Associations

NCAA government relations staff continues to build strong relationships with various higher education associations. The American Council on Education (ACE), the Association of Public and Land-grant Universities (APLU) and the National Association of Colleges and University Business Officers (NACUBO), among others, continue to provide guidance and support on issues of common interest. The NCAA government relations office looks forward to continuing these mutually beneficial relationships to better formulate and further the NCAA's legislative goals.



NCAA Sport Science Institute and Committee for Competitive Safeguards and Medical Aspects of Sport

**Strategic Priorities Timeline
Last Update: December 13, 2018**

NOTE: All dates are estimates and may change in response to external factors

Strategic Priority	Initiative	Summary	Anticipated Deliverable	Estimated Timeline
<p align="center">Athletics Health Care Administration</p>	<p align="center">NATA-NCAA Summit on the Organizational and Administrative Aspects of Athletic Health Care in College / University Settings</p>	<p align="center">Will result in an interassociation consensus statement or summary report about key organizational and administrative aspects of athletics health care delivery.</p> <p align="center">This document will be intended to contribute to an NCAA member school's ability to meet evolving interassociation health and safety standards for college student-athletes.</p> <p align="center">No public documents were produced during the meeting</p>	<p align="center">Interassociation recommendations*</p>	<p>Event date: Jan. 2017</p> <p>Document drafting: Through March 2019</p> <p>Membership & external review: Initiated in April 2019, expected to take several months.</p> <p>External review & endorsement: Initiated in September 2019</p> <p>CSMAS review and endorsement: March 2020</p> <p>BOG review and endorsement: April 2020</p> <p>Final deliverable: TBD</p>
<p align="center">Concussion</p>	<p align="center">2nd Annual Football Concussion Data Task Force</p>	<p align="center">A closed meeting to review emerging data from the CARE Consortium and NCAA ISP.</p>		<p>Event date: February 26, 2019</p> <p>Document drafting: March 2019</p> <p>Membership review: Not necessary.</p> <p>CSMAS review and endorsement: March 2019 (no endorsement)</p> <p>BOG review and endorsement: N/A</p> <p>Final deliverable: April 2019</p>



SUPPLEMENT NO. 18b
DIII Presidents Council 01/19

<p align="center">Data-driven Decisions</p>	<p align="center">Integrated Technology in Coaching and Athletic Health Care</p>	<p>SSI will host a meeting to discuss issues arising for both coaching and the delivery of athletic health care from the use of wearable technologies (e.g., global positioning systems; heart rate monitors).</p>	<p align="center">To Be Determined</p>	<p>Anticipated Event date: TBD</p> <p>Document drafting:</p> <p>Membership review:</p> <p>CSMAS review and endorsement:</p> <p>BOG review and endorsement:</p> <p>Final deliverable:</p>
<p align="center">Doping & Substance Abuse</p>	<p align="center">Pain Management in the Collegiate Athlete Task Force</p>	<p>SSI will host a discussion focused on pain management for the collegiate athlete.</p>	<p align="center">Interassociation recommendations*</p>	<p>Event date: July 10-11, 2018</p> <p>Document drafting: Through December 2018</p> <p>Membership review: Through April 2019</p> <p>CSMAS review and endorsement: June 2019</p> <p>External review and endorsement: Through November 2019</p> <p>BOG review and endorsement: January 2020</p> <p>Final deliverable: TBD</p>
<p align="center">Mental Health</p>	<p align="center">Task Force to Advance Mental Health Best Practice Strategies</p>	<p>SSI will host a task force that will serve as a follow-up to the 2013 Mental Health Task Force. The 2017 task force will identify strategies and resources that support the implementation of the Mental Health Best Practices and identify models of mental health care and measures of effectiveness for the previously-published best practices.</p>	<p align="center">Educational tools†</p>	<p>Event date: November 9-10, 2017</p> <p>Document drafting: November – March 2018</p> <p>Membership review: April 2018</p> <p>CSMAS review and endorsement: June 2018</p> <p>BOG review and endorsement: August 2018</p> <p>Final deliverable: First deliverable of MH Workshop Planning Kit and MHBP implementation resources - released June 2018.</p> <p>Final deliverables expected in Winter 2019.</p>



**SUPPLEMENT NO. 18b
DIII Presidents Council 01/19**

	Sports Wagering Task Force	TBD	Interassociation Recommendations	Event Date: March 12-13, 2019
Overuse, Sleep, and Performance	Task Force on Sleep & Wellness	SSI hosted a task force on sleep and wellness May 1-2 2017, with representatives from scientific, higher education and sports medicine organizations to review current data and discuss existing best practices related to the sleep and wellness of student-athletes.	Educational tools [†]	Event date: May 1-2, 2017 Tool Development: TBD. Membership review: CSMAS review and endorsement: NA BOG review and endorsement: NA Final deliverable: TBD
			Peer-review journal article	Article submission: January 2019
Other	2016 Safety in College Football Summit		Interassociation Recommendations: Preventing Catastrophic Injury and Death in College Student-Athletes.	Event date: February 2016 Membership & external review: June 2018, expected to take several months. CSMAS preliminary review: Begin June 2018; Completed in September 2018 External endorsement: Begin October 2018 thru March 2019 CSMAS final review and endorsement: March 2019 BOG review and endorsement: April 2019 Final deliverable: Summer 2019

*Will result in uniform standards of care for the Association; [†]Outcomes will be educational in nature, and will serve as a resource for member schools



NCAA

AFFIRMATION OF COMPLIANCE

DESIGN SUMMARY

January 3, 2019



INTRODUCTION

This document outlines the recommended design for a standalone course called Affirmation of Compliance for Presidents and Chancellors. The NCAA staff reached out to BLP to assist in the design of a course to be used as part of an annual certification for presidents and chancellors of NCAA member institutions from all divisions (I, II, & III). The NCAA requires individuals in these roles to acknowledge they are accountable for their institutions' compliance with the rules and regulations of the NCAA. This requirement is stated in Constitution 2.1 and 2.8 of NCAA regulations.

While presidents and chancellors are ultimately accountable for their institution's compliance, it is often difficult for them to stay apprised of every issue or action that occurs within the athletics department, given the breath of their responsibilities for the entire institution.

The module is intended to send a consistent message that presidents and chancellors are ultimately responsible for their institutions' compliance with NCAA regulations. The module will encourage greater cooperation and communication between presidents/chancellors and their athletics departments.

Instructional Goal and Objectives

The instructional goal sets the target for the eLearning course. The instructional goal of the course is:

After completing the module, presidents and chancellors affirm their understanding of their responsibilities and the institution's collective commitment to athletics compliance.

The objectives that support the instructional goal are:

- Recognize what the key compliance principles are and how to access them.
- Recognize that presidents and chancellors are ultimately responsible for the collective actions of its athletics department in relation to NCAA compliance.
- Recognize what a culture of compliance looks like for the institution.
- Recognize the need to build relationships and insure proactive policies and procedures are in place for monitoring of compliance issues.



Audience

The primary audience for this design consists of presidents and chancellors of NCAA Division I, II, and III Institutions. This audience faces a number of unique challenges:

- Their responsibilities may differ depending on the size of the institution.
 - At larger institutions presidents/chancellors may have large administrative staffs performing tasks.
 - At smaller institutions presidents/chancellors may have smaller staffs and more limited capacity for performing tasks.
- It can be difficult to keep track of compliance within their institution’s various athletic teams, leading to feelings that they are “out of touch.”

While the specific job roles, responsibilities, and challenges may differ between presidents and chancellors among the three divisions, people in these roles ultimately share the same level of accountability with respect to adherence to NCAA regulations.

DETAILED DESIGN

Affirmation of Compliance

Animation Outline

This animated video is published in an Articulate Storyline eLearning course “shell” for placement on an LMS. Infographic-style illustrations will be combined with photos, on-screen text, narration, and music to present the key points in an engaging manner.

Time: 5 minutes

Animation Sample

Click the link to see a sample of the style of the animation we will use. Approval of the actual text, language used and specific images will occur with the alpha version—please review this file for look and feel only.

http://www.bottomlineperformance.com/clients/NCAA%20D2%20Round%202/Animation/Affirmation/ncaa_affirmationprototype_20190106.mp4

Key Points	On-Screen Description
<ul style="list-style-type: none"> • Recognize what the key compliance principles are and how to access them. • Recognize that presidents and chancellors are ultimately 	<p>Part 1: What Does the Rule Say? (1 minute)</p> <p>Learners see a brief introduction to the course followed by an explanation of the contents of Constitution 2.1 and 2.8. Key points include:</p>



<p>responsible for the collective actions of the athletics department in relation to NCAA compliance.</p>	<ul style="list-style-type: none"> • Brief explanation of Constitution 2.1 and 2.8 • Where to find the legislation • Reminder that the ultimate responsibility for complying with the legislation is on the President/Chancellor • Emphasis on the fact that accountability is the same across all divisions
<ul style="list-style-type: none"> • Recognize what a culture of compliance looks like for the institution and the student athlete. • Recognize the need to build relationships and perform proactive monitoring of staff. 	<p>Part 2: Creating a Culture of Compliance (3 minutes)</p> <p>Key points include:</p> <ul style="list-style-type: none"> • A definition of a culture of compliance, including the sense of being part of something bigger • The benefits that a culture of compliance brings to the institution and student-athletes • Using appropriate response to violations, including the value of self-reporting small violations • The importance of cooperating with the NCAA • The value of ongoing communication and building relationships within the institution • The benefits provided by ongoing monitoring of the athletics program • Suggestions for communicating the message of accountability to staff members

Objectives	Interaction Description
<p>N/A</p>	<p>Affirmation (1 minute)</p> <p>The animation is followed by a screen with a brief final message for presidents and chancellors. They are directed to additional compliance resources and required to affirm that they are accountable and aware of their obligations consistent with Constitution 2.1 and 2.8 by entering a digital signature.</p>