



A G E N D A

National Collegiate Athletic Association

Division III Management Council

Grant Ballroom A
NCAA national office

October 15-16, 2018

1. Welcome and announcements. (Shantey Hill)
2. Rosters, future meeting schedule and monthly updates. (Hill)
3. Review of summary and minutes. (Hill)
 - a. Summary of summer 2018 quarterly meetings.
 - b. August 30, 2018, Administrative Committee report.
4. Division III Philosophy Statement and the Strategic Positioning Platform. [Supplement Nos. 1a and 1b] (Dan Dutcher)
5. Committee/subcommittee reports.
 - a. Division III Joint Presidents Council/Management Council committees or subcommittees.
 - (1) Convention-Planning Subcommittee. [Supplement No. 2] (Heather Benning)
 - (2) Strategic-Planning and Finance Committee. (Shantey Hill/Caryl West)
 - (a) 2017-18 and 2018-19 budget-to-actuals. [Supplement No. 3a]
 - (b) Future projections. [Supplement No. 3b]
 - (3) Joint Legislative Steering Committee. [Supplement No. 4] (Hill)
 - b. Management Council subcommittees.
 - (1) Subcommittee for Legislative Relief. [Supplement No. 5] (Stevie Baker-Watson)

- (2) Playing and Practice Seasons Subcommittee. [Supplement No. 6 will be distributed at the meeting.] (Bobby Davis)

c. Division III committees.

- (1) Championships Committee. [Supplement Nos. 7a, 7b, 7c, 7d, 7e and 7f] (Mike Vienna)
- (2) Committee on Infractions. (Gail Cummings-Danson)
- (3) Financial Aid Committee. (Kandis Schram)
- (4) Infractions Appeals Committee. (Kate Roy)
- (5) Interpretations and Legislation Committee. [Supplement Nos. 8a and 8b] (Jason Fein)
- (6) Membership Committee. [Supplement Nos. 9a, 9b and 9c] (Laura Mooney)
- (7) Nominating Committee. [Supplement No. 10] (Lori Mazza)
- (8) Student-Athlete Advisory Committee. [Supplement No. 11] (Madison Burns/Sean Cain)
- (9) Student-Athlete Reinstatement Committee. (Benning)

6. Association-wide committees.

- a. Committee on Competitive Safeguards and Medical Aspects of Sports. [Supplement No. 12] (Stevie Baker-Watson)
- b. Committee on Sportsmanship and Ethical Conduct. (Karen Thompson-Wolfe)
- c. Committee on Women's Athletics. (Denise Udelhofen)
- d. Honors Committee. (Benning)
- e. Minority Opportunities and Interests Committee. (Gerard Bryant)
- f. Olympic Sports Liaison Committee. (Joe Walsh)

- g. Playing Rules Oversight Panel. [Supplement Nos. 13a and 13b] (Dan Calandro)
 - h. Postgraduate Scholarship Committee. [Supplement No. 14] (Cummings-Danson)
 - i. Research Committee. (Tim Millerick)
 - j. Walter Byers Scholarship Committee. (Walsh)
7. 2019 Convention. (Jeff Myers/Bill Regan)
- a. Review of 2019 Division III Convention legislation, speaker assignments and recommended positions. [Supplement No. 15] (Myers/Regan)
 - b. NCAA Convention – Division III proposal groupings. [See Attachment to Supplement No. 8b]
 - c. Review administrative regulations approved by Management Council. [Supplement No. 16] (Myers/Regan)
 - d. Review noncontroversial legislation approved by the Management Council. [Supplement No. 17] (Myers/Regan)
 - e. Review of modifications of wording approved by the Management Council. [Supplement No. 18] (Myers/Regan)
 - f. Convention registration, logistics and schedules. [Supplement Nos. 19a and 19b] (Louise McCleary)
8. Division III initiatives and updates.
- a. Diversity and Inclusion Working Group. [Supplement No. 20] (Bryant)
 - b. Review of Proof for Division III Faculty Athletics Representatives Engagement Best Practices Publication. [Supplement No. 21] (Brad Bankston)
 - c. LGBTQ Working Group. [Supplement No. 22] (Vienna)
 - d. Graduation rate report. [Supplement Nos. 23a and 23b] (Hartung)
 - e. International Ice Hockey Pilot. [Supplement No. 24] (Hartung)
 - f. Division III Identity Initiative. [Supplement No. 25] (Adam Skaggs)

- g. Technology Users Group. (McCleary)
 - h. Feedback from conference meetings. [Supplement Nos. 26a and 26b] (McCleary)
 - i. “Division III University”. [Supplement No. 27] (McCleary)
 - j. Injury Surveillance Program. [Supplement Nos. 28a and 28b] (Baker-Watson/Bankston)
9. Association-wide updates and issues.
- a. Board of Governors (BOG) update. [Supplement Nos. 29a] (Mark Emmert/Cari VanSenus/Jackie Campbell)
 - College Basketball Reform – BOG Composition. [Supplement Nos. 29b, 29c, 29d and 29e]
 - Committee to Promote Cultural Diversity and Equity.
 - Sports Wagering update. [Supplement No. 29f]
 - Governmental Relations report. [Supplement No. 29g]
 - b. Strategic Planning Working Group discussion. (Attain representatives)
 - c. Sports Science Institute updates. (Brian Hainline/John Parsons)
 - SSI Strategic Priorities Timeline. [Supplement No. 30a]
 - Interassociation Recommendations: Preventing Catastrophic Injury and Death in College Student-Athletes. [Supplement No. 30b]
 - Football Data Task Force – Executive Summary. [Supplement No. 30c]
 - Pain Management Summit – Executive Summary. [Supplement No. 30d]
 - d. Litigation update. (Naima Stevenson)
 - e. Transfer Portal. [Supplement No. 31] (McCleary)
 - f. NCAA Student-Athlete Welcome Packet. [Supplement No. 32] (McCleary)
10. Other business and open forum. (All)
- Management Council Policies and Procedures. [Supplement No. 33] (McCleary)
11. Adjournment.



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FUTURE MEETING SCHEDULE

Attending	Dates	Meeting	Location
2018			
	October 1	Honors Committee	Indianapolis, IN
	October 15-16	Management Council	Indianapolis, IN
	October 23	Board of Governors	Orlando, FL
	October 23-24	Presidents Council	Orlando, FL
	October 28	Woman of the Year Dinner	Indianapolis, IN
	November 11-12	Student-Athlete Advisory Committee (SAAC)	Indianapolis, IN
	November 12-13	Financial Aid Committee	Indianapolis, IN
	November 13	Strategic Planning and Finance Committee teleconference	
	December 4-5	Student-Athlete Reinstatement (SAR) Committee	Indianapolis, IN
	December 10-11	Committee on Competitive Safeguards and Medical Aspects of Sports (CSMAS)	Indianapolis, IN
2019			
	January 22-26	Student-Athlete Advisory Committee (SAAC)	Orlando, FL
	January 23-26	NCAA Convention	Orlando, FL
	January 23	Management Council	Orlando, FL
	January 24	Presidents Council	Orlando, FL
	January 26	Post-Convention Management Council	Orlando, FL
	February 5-6	Championships Committee	Indianapolis, IN
	February 11-12	Interpretations and Legislative Committee (ILC)	Indianapolis, IN
	February 20-21	Membership Committee	Indianapolis, IN
	February 27	Administrative Committee in-person meeting	Jersey City, NJ
	April 15-16	Management Council	Indianapolis, IN
	April 24-25	Committee on Women's Athletics	Atlanta, GA
	April 24-25	Minority Opportunities and Interest Committee	Atlanta, GA
	April 30 – May 1	Presidents Council	Indianapolis, IN
	June 11-12	Student-Athlete Reinstatement (SAR) Committee	Indianapolis, IN
	June 17-18	Championships Committee	Indianapolis, IN
	June 19-20	Membership Committee	Indianapolis, IN
	June 24-25	DIII Commissioners/Asst. Commissioners Meeting	Indianapolis, IN
	July 21-23	Management Council	Indianapolis, IN
	August 6-7	President's Advisory Group/Presidents Council	Indianapolis, IN
	Sept. 17-18	Interpretations and Legislative Committee (ILC)	Indianapolis, IN



FUTURE MEETING SCHEDULE

*Dates subject to change.

2020 Meetings Scheduled

Membership Committee	February 18-19, 2020
	June 16-17, 2020 [pending dates of Regional Rules Seminar]

To view this email as a web page, go [here](#).



MONTHLY UPDATE // ISSUE NO. 154 // SEPTEMBER 2018

This update is a tool to enhance communication between the NCAA national office and the Division III membership, with distribution to athletics directors, senior woman administrators, faculty athletics representatives, presidents, national Student-Athlete Advisory Committee, conference commissioners and the National Association of Division III Athletic Administrators electronic mailing list. **We encourage athletics directors to share this communication with their department members.** Please contact [Louise McCleary](#) to include an item or share comments, and remember to check out the Division III governance [homepage](#) for the latest news.

HIGHLIGHTS

[Hot Topics](#)

[360 Proof Updates](#)

[SSI Updates](#)

[Diversity Spotlight](#)

[Special Olympics](#)

[AMA Updates](#)

[Educational Information](#)

[PROP Updates](#)

[Committee Updates](#)

[Key Dates](#)

HOT TOPICS

[Division III Identity Video](#)

Division III recently released a new [NCAA Division III Identity Video](#). To download click [here](#). The video features student-athletes demonstrating an understanding of the Division III philosophy and sharing with viewers what makes the division unique. Institutions and conference offices can use this three-minute video in multiple ways (for example, recruiting events, the admissions office, board presentations, on streamed events, athletics websites, social media and more). Note that three separate 30-second Identity Initiative videos also will be released by mid-September. If you have any questions, contact [Adam Skaggs](#).

[Division III LGBTQ Resources](#)

The Division III LGBTQ Working Group recently released the [LGBTQ Nondiscrimination Policy Guide](#) and mailed an LGBTQ-inclusion promotion kit to every institution and conference office to help member institutions and conferences establish and maintain welcoming environments on their campuses. The promotion kit includes the initiative's "ONETEAM" logo. Institutions and conference offices are encouraged to use the #d3oneteam hashtag when posting photos and comments on their social media platforms. Click [here](#) for information about the LGBTQ Working Group or contact [Jean Merrill](#) with any questions.

2019 NCAA Convention

Registration for the [2019 NCAA Convention](#) opens Wednesday, Sept. 12. NCAA members should register by Thursday, Oct. 11, to get the lowest registration rate (\$275). Convention will be held Jan. 23-26 in Orlando. Key events include the Honors Celebration Wednesday, Jan. 23; the Special Olympics unified event and the NCAA Plenary Session (including the Association-wide vote to add public members to the Board of Governors) from 4:30 to 6:45 p.m. Thursday, Jan. 24, and the Association Luncheon Friday, Jan. 25. The Division III Issues Forum will occur Friday morning, while the Division III legislative Business Session will occur Saturday morning, Jan. 26.

Apply Now: Division III Student Immersion Program

For the fourth year, Division III will support 40 ethnic-minority students to attend the 2019 NCAA Convention Jan. 23-26 in Orlando. The application process is available on [Program Hub](#), titled "2019 Division III Student Immersion Program". The application closes at 5 p.m. Eastern time **Sept. 30**. Ethnic-minority students, preferably juniors and seniors with a strong interest in a career in Division III athletics (coaching and/or administration), are encouraged to apply. Final selections will be announced in early October. At the Convention, the students will be exposed to Division III, its members and the governance

process. In addition to the scheduled Division III programming, there will be welcome and debriefing meetings. The goal is to build a pipeline of ethnic-minority candidates who have an interest in Division III coaching and/or administration in an effort to diversify the division.

Division III Student Attendees at 2019 NCAA Convention

Do you have a student from your institution who is planning to attend the 2019 NCAA Convention? The student registration fee is \$50, and students must register for Convention and lodging in the same manner as all other delegates. *Note: Students serving on the national Division III Student-Athlete Advisory Committee, SAAC Associate Members or students selected through the Division III Student Immersion Program will be registered for the Convention through a different process.* Click [here](#) for a chart that provides some programming the staff and Division III SAAC believe is beneficial to Division III student attendees.

Championships Managers

For a list of the 2018-19 championships sport managers, click [here](#).

Participation Request: NCAA Injury Surveillance Program

At its July meeting, the Division III Management Council endorsed a strategic plan to increase participation in the NCAA Injury Surveillance Program (ISP). The ISP is a data collection initiative designed to track and analyze medical illnesses and injuries that result from sport participation. The NCAA's Sport Science Institute partners with the Datalys Center, an independent non-profit research organization, to manage the ISP and to help inform injury prevention policies and practices in college sport.

With 75 percent of the Division III membership able to submit the information, but less than 10 percent participating, the Council requests that each institution report data on two teams during the 2018-19 academic year. Reporting benefits include the following:

- Enhancing the quality of student-athlete care by furthering scientific research regarding top health and safety risks;
- Ten continuing education credits per year for athletic trainers who submit the data;
- An opportunity to contribute to NCAA national health and safety policies and sport rule changes; and
- Access to current data that can inform injury prevention practices.

To learn more about how your school can participate in the ISP, click [here](#). An [FAQ resource](#) is also available.

NCAA Division III Webinars

The next Division III webinar will be from 1:30 to 2 p.m. Eastern time, **Tuesday, Sept. 4**. The topic will be a championships mock selection.

Future webinars will be conducted on the following dates from 1:30 to 2 p.m. Eastern time:

- Oct. 2 - Student-athlete graduation rate reporting.
- Nov. 6 - Management and Presidents Councils updates.
- Dec. 4 - Academic and Membership Affairs - review of 2019 NCAA Convention legislation.

Each webinar will be limited to the first 250 participants. All past webinar [PowerPoints](#) are on the Division III website.

Managing Director of Inclusion

Katrice Albert, NCAA executive vice president of inclusion and human resources, announced Amy Wilson will serve as the inaugural managing director of inclusion. In this role, Wilson, who most recently served as the director of inclusion, will be responsible for providing leadership and strategy to promote equitable participation for student-athletes, career opportunities for coaches and administrators and cultivate an inclusive culture at the national office. This role enables programming and education that supports the foundation that is needed for a socially responsive and just culture.

Strategic Plan

Each year the Division III Strategic Planning and Finance Committee (SPFC) updates and approves the division's strategic plan and budget. The strategic plan serves many purposes. It begins with the Division III philosophy statement to establish the framework from which the division's programs, resource allocations, and regulatory decisions are made. It summarizes the division's strategic priorities by outlining what must be accomplished in the current year of the budget biennium for the division to be successful. The plan highlights all the programs and services offered for the division. The plan also benefits from

membership feedback provided through the division-wide survey conducted every five years. The strategic plan is a living document that the committee updates and forwards to the Management and President Councils for approval before the start of each new budget cycle. Please [click](#) here to access the 2018-19 strategic plan.

Financial Reporting System

On Sept. 15, institutions will have the opportunity to submit athletics finance information voluntarily to the NCAA via the Financial Reporting System (FRS). The submission deadline is Jan. 15. For institutions that have never submitted or have stopped submitting the data, please consider participating this year. While a little more than half of Division III institutions voluntarily submitted athletics finance data via the FRS last year, that marked a decrease in participation from over 80 percent just four years ago.

This data is important:

- It forms the basis for the finance component of an institution's Division III Institutional Performance Program (IPP), the free self-assessment and benchmarking tool released last year. Click [here](#) to see the benefits of the IPP.
- It forms the basis for the Division III revenues and expenses report, an annual report of Division III athletic departments' financial information. The latest report can be found [here](#).

Contact [Maria DeJulio](#) or [Katrina Buell](#) with questions. Additional information can be found [here](#).

2018-19 Identity Initiative Purchasing Website

Directors of athletics and conference commissioners (as well as athletics administrators who have been designated as institutional representatives) soon will receive from Source One Digital an email invitation to use a new \$500 credit for the Division III identity initiative purchasing website during 2018-19. This year's site continues to have banners, t-shirts, and giveaways that can be co-branded. The site also now includes Gameday the DIII Way and ONETEAM LGBTQ items.

The link for the purchasing website is ncaadiii.sourceoneordering.com, and it will be available **Monday, Sept. 10**. You also can access the site through MyApps. Access the site by entering the email address of your institution's director of athletics (or for conferences, the commissioner's email address) as the username, and then enter the password you created the first time you logged into the site (those logging in for the first time can create any password). Assistance with logging in, updating records to reflect a new director of athletics' email address, delegating log-in access to another staff member, resetting passwords and placing orders is available from [Amy Byrnes](#) at Source One Digital.

360 PROOF UPDATES

Monthly Prevention Tips

- New students have arrived! It is an opportunity to make sure you are distributing helpful policies. Take an audit of what policies are in place (and/or how they're working) through the 360 Proof Campus Self-Study in Center Point.
- Want to watch videos at work? And count them as work? Re-watch the Aug. 21, 2015, and Sept. 11, 2015, webinars on Center Point addressing start-of-the-year content.
- Get your 360 Proof webinars for the upcoming academic year on your calendar - you don't want to miss these!
- If you're at a school where fall sports involve tailgating, be mindful of messages sent to your students when it involves alumni, particularly if the sense is that there's no enforcement of policies. Be mindful of messages sent to your students if they're the ones tailgating and there doesn't seem to be much enforcement. The bottom line? There are opportunities for enforcement/education. Re-watch the March 8, 2016, webinar on strategies to address tailgating.

SAVE THE DATE! 2018-19 Webinar Schedule

All 360 Proof users are invited to monthly learning collaborative webinars to network with peer institutions and learn from national experts in the field of high-risk alcohol use prevention. An invitation to register is circulated one week in advance of each webinar. Please hold the time (all Eastern) to share and learn with your peers! Questions about 360 Proof? Email: experthelp@360proof.org.

- 1 p.m. September 18, "Overlap of Alcohol & Sexual Assault: Implications for Prevention," featuring Jen Jacobsen, Assistant Dean of Students and Director of Wellness and Prevention, Grinnell.
- 3 p.m. October 24, "Delivering Brief Interventions to All Student Athletes," featuring Brian Bowden, Lead BASICS counselor, Dartmouth.
- 1 p.m. November 28, "Risk Factors, Protective Factors, and Norms Among First-Year Athletes," featuring David Wyrick, director of the Institute to Promote Athlete Health & Wellness, UNC Greensboro.
- 1 p.m. February 6, "College AIM Version 2: Updates and Implications for 360 Proof," featuring Jessica Cronic, associate professor; director of, family and human services, Oregon.
- 3 p.m. March 12, "Pregaming and Drinking Games: Implications for Work with Student-Athletes," featuring Byron Zamboanga, professor of psychology, Smith.
- 1 p.m. April 10, "Looking Back and Looking Forward: Lessons Learned in Evaluating Prevention," featuring David Anderson, professor, director of the Center for the Advancement of Public Health, George Mason.

Contact [Leah Kareti](#) with any questions.

SPORT SCIENCE INSTITUTE (SSI) UPDATES

2018-19 Drug-Testing Resources

Earlier this summer, the Sport Science Institute announced the release of the following resources as part of its annual drug-testing and education efforts:

[2018-19 NCAA Drug-Testing Program](#): This resource for athletics administrators provides detailed information about the NCAA drug-testing process. Three hard copies of this resource were mailed to each school's athletics health care administrator.

[2018-19 NCAA Drug Policies brochure](#): This resource for student-athletes provides information about NCAA banned drugs. One hundred copies of this brochure were mailed to athletics health care administrators to disseminate to first-year student-athletes.

2018 NCAA Football Data Task Force Executive Summary

In February 2018, the NCAA SSI hosted the 2018 Football Data Task Force in Indianapolis. The executive summary of the task force is now available [here](#).

CSMAS June Meeting Report

The full report from the June 2018 Committee on Competitive Safeguards and Medical Aspects of Sports meeting is now available [here](#).

NCAA Concussion Safety Protocol Template

To assist schools in their compliance with updated concussion management legislation, the SSI has created a [Concussion Safety Protocol Template](#) that includes all components of the NCAA Concussion Safety Protocol Checklist. The template is available as a Microsoft Word document that allows schools to personalize highlighted areas of the document or to edit the document to fit the individual needs of their institution. To learn more or to download the template, click [here](#).

DIVERSITY SPOTLIGHT INITIATIVE

With no new submissions, there will be no diversity spotlight recipient for August.

The Diversity Spotlight Initiative recognizes and promotes outstanding diversity related projects, programming and initiatives that are occurring on Division III campuses and in conference offices. All selected recipients receive \$500 toward their next diversity initiative. To submit an initiative for consideration for May, email [Louise McCleary](#), with a brief statement (no more than 500 words) as to why your institution or conference office deserves to be the spotlight recipient. Attach a video or photo if applicable. The nomination deadline is **Sept. 21**.

SPECIAL OLYMPICS

Special Olympics 50 for 50th Challenge

This summer marked 50 years of Special Olympics! In honor of this milestone and in celebration of our partnership with this incredible organization, the national Division III Student-athlete Advisory Committee has initiated the 50 for 50th Challenge. Each Division III institution is challenged to have at least 50 student-athletes participate in a Special

Olympics event(s) from Aug. 1, 2018 to Feb. 28, 2019. Institutions should report their Special Olympics participation in the 50 for 50th Challenge via the Division III Special Olympics [website](#). All institutions that complete the challenge will receive a prize, and the three institutions with the highest percentage of student-athlete participation will face off in the March 2019 Special Olympics Spotlight Poll. The institution with the most votes in the Spotlight Poll will be declared the champion during the 2019 Division III Week and receive a special grand prize. For more information on the 50 for 50th Challenge, click [here](#).

Division III and Special Olympics also have joined forces to [present 50 profiles](#) honoring the unified work of the NCAA, Division III and Special Olympics. To follow the conversations, use the hashtag #d3SO50for50th for more profiles and Division III/Special Olympics activities.

Spotlight Poll

The NCAA Division III Special Olympics Spotlight Poll is a story-telling initiative located on ncaa.org/D3SpecialOlympics. It features new stories each month that highlight a Division III and Special Olympics joint activity or event. The story with the highest number of votes on the 25th day of each month is the winner. That institution or conference receives \$500 to use for its next Special Olympics event. Both written and digital submissions are accepted. Featured stories are selected based on inclusion of the student-athlete perspective and Division III messaging. To submit a story for consideration, please email d3specialolympics@ncaa.org.

August Winner

After 654 total votes "[Pacific Lutheran Swimming Programs Volunteer and Compete With Special Olympic Athletes](#)" won the August Special Olympics Spotlight Poll by gathering 76 percent of the total votes! Pacific Lutheran will receive \$500 to use for its next Special Olympics event. To submit a story for consideration, email d3specialolympics@ncaa.org.

September Nominees

Here are the stories for the September Special Olympics poll:

- [Oberlin Volleyball Hosted Seventh Annual Special Olympics Tournament](#)
- [Methodist Hosts Special Olympics](#)
- [Kalamazoo student-athletes partner with Area 16 Special Olympics](#)

Click [here](#) to vote starting Tuesday, Sept. 4. The winner will be selected Sept. 26, 2018.

ACADEMIC AND MEMBERSHIP AFFAIRS (AMA)

Rule of the Month

Sport Sponsorship and Membership Requirements. NCAA Bylaw 20.11.3 requires a Division III member institution to sponsor a minimum number of sports based on the institution's enrollment. The institution must sponsor at least one male or mixed team and at least one female team in every sport season. Additionally, any sport used to meet these sport sponsorship requirements must meet the minimum contest and participant requirements in Bylaw 20.11.3.8.

For team sports, an institution must engage in a minimum number of intercollegiate contests each year. These are contests against the varsity programs of four-year, degree-granting collegiate institutions. Competition against a collegiate institution's club team may not be used in meeting the minimum number of contests (Bylaw 20.11.3.8.8) For individual sports, the institution's team must have a minimum number of participants in each contest that is counted toward meeting the minimum-contest requirements. For example, in the team sport of soccer, an institution must participate in at least 13 intercollegiate contests for that sport to count towards meeting sport sponsorship requirements. While in the individual sport of tennis, the team must participate in at least 10 contests with at least six participants competing in each of those contests.

For a contest to count towards meeting the minimum number of contests, the institution's team must participate in and complete the contest. Those contests that are scheduled, but are cancelled or not completed, based on the playing rules for that sport, shall not be counted (Bylaw 20.11.3.8.1).

Division III Official Interpretation

Student-Athlete Participation in an Institutionally Sponsored Recreation League (III). Date Issued: July 19, 2018. Date Published: August 13, 2018. The NCAA Division III Interpretations and Legislation Committee determined that student-athletes

may participate in a recreation league specific to their sport that is sponsored by their institution provided the institution conducts the league wholly outside of the athletics department and the league does not benefit the athletics department. [References: NCAA Division III Bylaws 13.11.3.2 (sports camps and clinics and other athletics events), 17.02.1.1 (athletically related activities), 17.02.1.1.1.2 (fundraising activities as follows), 17.1.5 (out-of-season athletically related activities), 17.1.5.2.1 (involvement of coaching staff), 17.1.5.3 sports camps and clinics and other athletics events), official interpretations (03/27/14, Item No. 2-d), (02/25/13, Item No. 2-b), (04/22/16, Item No. 2-a) and educational columns (01/14/16, Item No. 6) and (06/17/11, Item No. 3)]

2019 Convention - Division III Proposed Legislation

The 2019 NCAA Convention [Division III Initial Publication of Proposed Legislation \(IPOPL\)](#) is now available to the membership. The initial publication includes the proposal that is sponsored by the Division III membership.

The 2019 NCAA Convention Division III Second Publication of Proposed Legislation (SPOPL) which will contain proposals sponsored by the Division III governance structure in addition to the membership-sponsored proposal, will be made available online to the membership on **Sept. 23**.

The 2019 NCAA Convention Division III Official Notice, which will include final versions of proposed legislation, will be available to Convention attendees **Nov. 15**, in both printed and online formats. Please contact the academic and membership affairs staff at the NCAA national office (317-917-6222) if you have any questions.

Amendment to Amendment Procedures

For consideration at the 2019 NCAA Convention, amendments-to-amendments must be received in the NCAA national office (via submission on LSDBi) not later than 5 p.m. Eastern time **Nov. 1**. In addition, the following procedures should be observed:

1. Amendments to proposals contained in the 2019 NCAA Convention Division III Second Publication of Proposed Legislation (SPOPL) may be submitted by active members in accordance with the division-specific provisions of NCAA Constitution 5.3.4.
2. Institutional submissions: Any 20 or more Division III institutions may submit amendments-to-amendments, provided all sponsoring institutions are active members. An amendment-to-amendment must be submitted in the name of the institution by the chancellor or president or the chancellor or president's designated representative. [Note: The chancellor or president must provide written notification (before the Nov. 1 deadline) to the NCAA national office of any individual designated to assert sponsorship of a legislative proposal on behalf of the institution.]
3. Conference submissions: The chancellor or president of two or more-member conferences with voting privileges may submit amendments-to-amendments on behalf of their active conference members. Conference-submitted amendments-to-amendments must be signed by the chair of the conference's presidential administrative group or at least two chancellors or presidents of the conference's member institutions if the conference has no presidential administrative group.
4. An amendment-to-amendment may not increase the modification of the original proposal.

Student-Athlete Reinstatement Update

The student-athlete reinstatement staff reminds institutions that all student-athletes are required to sign a case-specific Buckley statement for each reinstatement or waiver request submitted on their behalf. The case-specific Buckley statement is generated in the "Signatures" tab for each reinstatement or waiver request in the Requests and Self-Reports Online (RSRO) system and includes the student-athlete's name, case type and case number. It is distinct and separate from the standard Buckley statement student-athletes sign before athletics participation at the beginning of each academic year. To avoid delays in case processing, please make sure to upload the correct Buckley statement with every reinstatement or waiver request.

EDUCATIONAL INFORMATION

Division III Diversity Grants

The Division III diversity grants provide funding to institutions and conference offices committed to enhancing ethnic minority and gender representation in athletics administration. Proposals for the Ethnic Minorities and Women's Internship Grant and Strategic Alliance Matching Grant must be submitted via the [NCAA Program Hub](#) between noon Eastern time Sept. 14 and 5 p.m. Eastern time Jan. 30. More information can be found on the [Division III Diversity Grants website](#).

NCAA Postgraduate Internship Program

Based in Indianapolis, the [NCAA Postgraduate Internship Program](#) provides on-the-job learning experience at the national office to college graduates of NCAA-affiliated schools who are passionate about a career in college sports administration. Applications close at 5 p.m. Eastern time **Sept. 14** on the [NCAA Program Hub](#). The NCAA offers yearlong internships within several focus areas at the national office, including administrative services, championships, marketing, communications, leadership development, executive, inclusion, governance, public relations and regulatory affairs. To access the application, browse job descriptions and read testimonials from current and former postgraduate interns, visit the Postgraduate Internship Program website [here](#).

PROP UPDATES

NCAA Playing Rules with Financial Implications

Click [here](#) for a chart detailing NCAA future playing rules changes with a financial impact. Questions should be directed to [Dan Calandro](#).

Men's Lacrosse

The 2018 survey results can be accessed by clicking [here](#).

COMMITTEE UPDATES

Several committees conducted in-person meetings in August.

[Board of Governors](#), August 7

Presidents/Chancellors Advisory Group, August 7

[Presidents Council](#), August 8

Joint D3CA/NADIIIAA Leadership Meeting, August 20-21

KEY DATES FOR SEPTEMBER THROUGH NOVEMBER

2018		
Dates	Meeting/Championships	Location
Sept. 5-6	Committee on Women's Athletics	Indianapolis, IN
Sept. 5-6	Minority Opportunities and Interest Committee	Indianapolis, IN
Sept. 9-11	Championships Committee	Indianapolis, IN
Sept. 13	Nominating Committee	Indianapolis, IN
Sept. 20-21	Research Committee	Indianapolis, IN
Sept. 20-21	Interpretations and Legislative Committee	Indianapolis, IN
Oct. 1	Honors Committee	Indianapolis, IN
Oct. 15-16	Management Council	Indianapolis, IN
Oct. 23	Board of Governors	Orlando, FL
Oct. 23-24	Presidents Council	Orlando, FL
Oct. 28	Woman of the Year Dinner	Indianapolis, IN
Nov. 11-12	Student-Athlete Advisory Committee (SAAC)	Indianapolis, IN
Nov. 12-13	Financial Aid Committee	Indianapolis, IN
Nov. 15-17	Women's Volleyball Championship	Pittsburgh, PA
Nov. 17	Men and Women's Cross Country Championship	Winneconne, WI
Nov. 17-18	Field Hockey Championship	Manheim, PA
Nov. 30 - Dec. 1	Men and Women's Soccer Championship	Greensboro, NC

This email was sent to Division III directors of athletics, faculty athletics representatives, senior woman administrators, athletics direct reports, sports information directors, senior compliance administrators, commissioners, associate and assistant commissioners, presidents and chancellors currently serving on Division III and Association-wide committees, Division III National SAAC Members, and selected NCAA staff members.

DIII: Follow your passions and discover your potential

Proportion

Appropriate relation of academics with opportunities to pursue athletics and other passions.

Comprehensive Learning

Opportunity for broad-based education and success.

Passion

Playing for the love of the game, fun and self-improvement.

Responsibility

Development of accountability through personal commitment and choices.

Sportsmanship

Fair and respectful conduct toward all participants and supporters

Citizenship

Dedication to developing responsible leaders and citizens in our communities.

National Collegiate Athletic Association 700 W. Washington St., Indianapolis, IN, 46204 US

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To view this email as a web page, go [here](#).



MONTHLY UPDATE // ISSUE NO. 155 // OCTOBER 2018

This update is a tool to enhance communication between the NCAA national office and the Division III membership, with distribution to athletics directors, senior woman administrators, faculty athletics representatives, presidents, national Student-Athlete Advisory Committee, conference commissioners and the National Association of Division III Athletic Administrators electronic mailing list. **We encourage athletics directors to share this communication with their department members.** Please contact [Louise McCleary](#) to include an item or share comments, and remember to check out the Division III governance [homepage](#) for the latest news.

HIGHLIGHTS

[Hot Topics](#)

[FAR Updates](#)

[360 Proof Updates](#)

[SSI Updates](#)

[Diversity Spotlight](#)

[Special Olympics](#)

[AMA Updates](#)

[Educational Information](#)

[Championships & PROP Updates](#)

[Committee Updates](#)

[Key Dates](#)

HOT TOPICS

[Facts and Figures](#)

Click [here](#) to access the updated annual Division III statistics and fact sheets, including the 2018-19 budget.

[Identity Initiative Videos](#)

Division III recently released a new Identity Initiative video containing student-athletes demonstrating the Division III philosophy and what makes the division unique. In addition to a three-minute video, staff released three separate 30-second Identity Initiative videos last week. To view, click [here](#). Staff encourages you to share these videos with your many constituents.

NCAA Transfer Portal

Divisions I and II institutions will use the NCAA Transfer Portal to initiate student-athlete entries starting Oct. 15. Although Division III institutions will not use the Transfer Portal to enter transferring student-athletes, Division III institutions will have access to the portal to view Division I and II student-athlete information. The Transfer Portal shows the student-athlete's notification of transfer (Division I) and permission to contact (Division II) in addition to the student-athlete's transfer tracer. Single-Source Sign-On System (SSO) administrators now can provide access to the Transfer Portal for staff and coaches. The Resources tab is currently live. More resources will be available as the Transfer Portal continues in its development. Click [here](#) for an educational flyer.

Sports Wagering

As part of its ongoing efforts to keep the membership informed on sports wagering-related efforts to protect student-athlete well-being and ensure the integrity of competitions, the NCAA national office has created an internal staff working group to review issues and develop recommendations related to sports wagering. The move comes as legalized sports wagering expands in the United States after a recent U.S. Supreme Court decision. The NCAA encourages you to share with student-athletes and staff members the [NCAA Sports Wagering FAQ](#) as a tool to ensure understanding of the current sports wagering rules. As part of its ongoing efforts to protect the integrity of competitions, the NCAA national office is working with service providers to enhance how it monitors sports wagering in the global betting market. The NCAA will continue to have monitoring and detection solutions in place during the 2018-19 season.

Diversity and Inclusion Social Media Campaign

Division III will be teaming up with the NCAA office of inclusion on a diversity and inclusion social media campaign Oct. 1-5. Follow the conversation on Twitter using the #NCAAIInclusion hashtag. To learn more about the campaign, click [here](#).

National SAAC Twitter

The NCAA Division III Student-Athlete Advisory Committee recently launched a new Twitter: twitter.com/d3saac and Twitter feed: @d3saac. We encourage you to follow this new national SAAC social media platform.

2019 NCAA Convention

Registration for the [2019 NCAA Convention](#) opened Wednesday, Sept. 12. NCAA members should register before Thursday, Oct. 11, to get the lowest registration rate (\$275). Convention will be Jan. 23-26 in Orlando, Florida.

Division III Student Attendees at 2019 Convention

Do you have a student from your institution who is planning to attend the 2019 NCAA Convention? The student registration fee is \$50, and students must register for Convention and lodging in the same manner as all other delegates. *Note: Students serving on the national Division III Student Athlete Advisory Committee, SAAC associate members or students selected through the Division III Immersion Program will be registered for the Convention through a different process.* Click [here](#) for a chart that provides some programming the staff and Division III SAAC believe is beneficial to Division III student attendees.

NCAA Division III Webinars

The next Division III webinar will be from 1:30 to 2 p.m. Eastern time, **Tuesday, Oct. 2**. The topic will be the 2019 NCAA Convention proposal related to annual mandatory student-athlete graduation rate reporting.

Future webinars will be conducted on the following dates from 1:30 to 2 p.m. Eastern time:

- Nov. 6 - Management and Presidents Councils updates.
- Dec. 4 - Academic and Membership Affairs - review of 2019 NCAA Convention legislation.

Each webinar will be limited to the first 250 participants. All past webinar [PowerPoints](#) are on the Division III website.

Division III LGBTQ Resources

The Division III LGBTQ Working Group recently mailed a copy of the [LGBTQ Nondiscrimination Policy Guide](#) and an LGBTQ-inclusion promotion kit to every institution and conference to help establish and maintain welcoming environments across Division III. Each promotion kit includes the initiative's "ONETEAM" logo on a banner, 12 posters and 50 stickers. Institutions and conference offices are encouraged to use the #d3oneteam hashtag when posting photos and comments on their social media platforms. Click [here](#) for information about the LGBTQ Working Group and contact [Jean Merrill](#) with any questions.

Annual Report

Division III recently released its [2017-18 Annual Report](#). This report highlights the major events, award recipients, team champions, and other notable achievements from the past academic year.

Woman of the Year

On Sunday, Oct. 28, the NCAA will select its 2018 NCAA Woman of the Year honoree. The Top 30 honorees reflect the pillars of the Woman of the Year Award: outstanding achievements in academics, athletics, community service and leadership. Click [here](#) to see the Top 30 honorees and visit the [Woman of the Year homepage](#) to meet the nine finalists. The top 9 finalists will be announced in early October.

Championship Ads

As of Sept. 30, print ads highlighting the NCAA Division III championships are available on ncaa.org for use in game and championship programs. The ads (located on the Division III Championships home page under the Dates and Sites Information tab) come in three sizes - full page, half page and quarter page. For quick access, click [here](#).

2018-19 Identity Initiative Purchasing Website

As of Sept. 1, the Division III Identity Initiative purchasing website now features new ONETEAM LGBTQ items. Directors of athletics and conference commissioners (as well as athletics administrators who have been designated as institutional

representatives) soon will receive from Source One Digital an email invitation to use a new \$500 credit for the Division III Identity Initiative purchasing website during 2018-19. The email will be sent in early September. The link for the purchasing website is ncaadiii.sourceoneordering.com, and is now available. Access the site by entering the email address of your institution's director of athletics (or for conferences, the commissioner's email address) as the username, and then enter the password you created the first time you logged into the site (those logging in for the first time can create any password). Assistance with logging in to the site, updating records to reflect a new director of athletics' email address, delegating log-in access to another staff member, resetting passwords and placing orders is available from [Amy Byrnes](#) at Source One Digital.

FAR UPDATES

Registration is now open for the Faculty Athletics Representatives Association annual meeting. This year's meeting is set for Nov. 1-3 in Baltimore. The FARA annual meeting is the only meeting all year that is specifically designed for Faculty Athletics Representatives from all three NCAA divisions. In addition to several hours of Association-wide programming, featuring a Keynote talk from NCAA President Mark Emmert, the meeting also includes nearly 10 hours of Division III specific programming, plus numerous opportunities to get to know your colleagues from other Division III institutions.

More information and the registration portal are available on the [FARA website](#). For more on the [Division III sessions check out the preview](#) from FARA Division III Vice President, Jo Hopp. If you have questions, please contact [Michael Miranda](#) or [Lynn Dickey](#).

360 PROOF UPDATES

Monthly Prevention Tip

If you're at an institution where fall sports involve tailgating, be mindful of messages sent to your students when alumni conduct tailgating, particularly if the sense is that there's no enforcement of policies. These represent opportunities for enforcement and education. Watch the 360 Proof webinar featuring national expert Linda Major on strategies to address tailgating. This can be found in 360 Proof's content library, originally posted March 6, 2016.

Learning Collaborative

The first 360 Proof Learning Collaborative Webinar of the 2018-19 academic year featured Jennifer Jacobsen, Assistant Dean of Students and Director of Wellness and Prevention, at Grinnell presenting "Alcohol, Sex, and Sexual Assault: What Message are you Sending?". Discussion explored the relationship between high risk alcohol use and sexual assault on college campuses. The webinar also included a bonus feature presented by Dr. Jason Kilmer, assistant professor of psychiatry, of the University of Washington, explaining how to use the 360 Proof Self Study to facilitate completion of the required Biennial Review (Drug Free Schools and Communities Act). This webinar is now available in the 360 Proof content library.

The next webinar, "Delivering Brief Interventions to All Student Athletes" is scheduled for 3 p.m. Eastern time, Wednesday, Oct. 24, and features Brian Bowden, Lead BASICS counselor and Benjamin A. Bradley, Assistant Director for Violence Prevention, both of Dartmouth.

All registered 360 Proof users receive invitations to the webinar series and have access to the library of past sessions. Questions about 360 Proof or accessing the webinars? Email: experthelp@360proof.org

SPORT SCIENCE INSTITUTE INITIATIVE (SSI) UPDATES

2019 APPLE Training Institutes

Registration is now open for the 2019 APPLE Training Institutes to be held Jan. 18-20 in Charlottesville, Virginia, and Jan. 25-27 in Orlando, Florida. The NCAA Sport Science Institute partners with the University of Virginia Gordie Center to sponsor the APPLE Training Institute, an annual three-day training workshop, for Divisions I, II and III student-athletes, athletics administrators and campus partners to help prevent student-athlete substance abuse and promote the health and well-being of college athletes. The cost of registration is \$400 per team and the deadline to register is Nov. 15. However, please note registration for both institute locations is typically full by mid-October. To register or find more information about the APPLE Training Institute, click [here](#).

Air Quality Statement

The NCAA Committee on Competitive Safeguards and Medical Aspects of Sports released an [updated air quality statement](#), which provides schools with more specific guidance for practice and competition activities.

DIVERSITY SPOTLIGHT INITIATIVE

The City University of New York Athletic Conference (CUNYAC) is the recipient of the September Division III Diversity Spotlight Initiative. The conference continued its promotion of its inclusive and adaptive sports initiative and hosted a wheelchair basketball clinic. Over 100 attendees participated in the two-hour clinic that included basketball skill development and a 5-on-5 wheelchair basketball games. Click [here](#) for more information and a photo gallery.

The Diversity Spotlight Initiative recognizes and promotes outstanding diversity related projects, programming and initiatives that are occurring on Division III campuses and in conference offices. All selected recipients receive \$500 toward their next diversity initiative. To submit an initiative for consideration for October, email [Louise McCleary](#), with a brief statement (no more than 500 words) as to why your institution or conference office deserves to be the spotlight recipient. Attach a video or photo if applicable. The nomination deadline is **Oct. 21**.

Diversity Tip of the Month

Over the past two years, the majority of Division III institutions and conference offices have signed the NCAA [Presidential Pledge](#). Signatories pledge to specifically commit to establishing initiatives for achieving ethnic and racial diversity, gender equity and inclusion, with a focus and emphasis on hiring practices in intercollegiate athletics, to reflect the diversity of our membership and our nation. Learn more about diversity in the workplace with Division III's [The Diverse Workforce: Recruitment and Retention Basics for Division III Athletics Departments](#) or click [here](#) for other resources.

SPECIAL OLYMPICS

2018-18 Division III Participation and Contributions

Eighty-six (86) institutions and 11 conference offices reported Division III Special Olympics activities during the 2017-18 year involving approximately 16,200 Division III student-athletes and 11,200 Special Olympic athletes. The division dedicated just over 35,275 volunteer hours and raised a total of \$64,750 because of these activities. The division also donated additional funds to Special Olympics generated from Division III Week initiatives (\$6,700) and championship and enforcement fines (\$65,535). The total amount donated to Special Olympics from fiscal year 2017-18 was \$136,985.

Special Olympics 50 for 50th Challenge

This summer marked 50 years of Special Olympics! In honor of this milestone and in celebration of our partnership with this incredible organization, the national Division III Student-Athlete Advisory Committee has initiated the 50 for 50th Challenge. Each Division III institution is challenged to have at least 50 student-athletes participate in a Special Olympics event(s) from Aug. 1, 2018 to Feb. 28, 2019. Institutions should report their Special Olympics participation in the 50 for 50th Challenge via the Division III Special Olympics [website](#). All institutions that complete the challenge will receive a prize, and the three institutions with the highest percentage of student-athlete participation will face off in the March 2019 Special Olympics Spotlight Poll. The institution with the most votes in the Spotlight Poll will be declared the champion during the 2019 Division III Week and receive a special grand prize. For more information on the 50 for 50th Challenge, click [here](#).

Spotlight Poll

The NCAA Division III Special Olympics Spotlight Poll is a story-telling initiative located on ncaa.org/D3SpecialOlympics. It features new stories each month that highlight a Division III and Special Olympics joint activity or event. The story with the highest number of votes on the 25th day of each month is the winner. That institution or conference receives \$500 to use for its next Special Olympics event. Both written and digital submissions are accepted. Featured stories are selected based on inclusion of the student-athlete perspective and Division III messaging. To submit a story for consideration, please email d3specialolympics@ncaa.org

September Winner

After 1,349 total votes, [Kalamazoo student-athletes partner with Area 16 Special Olympics](#) won the September Special Olympics Spotlight Poll by gathering 47 percent of the total votes! Kalamazoo will receive \$500 to use for its next Special Olympics event. To submit a story for consideration, email d3specialolympics@ncaa.org.

October Nominees

Here are the stories for the October Special Olympics poll:

- [Smith Athletics Hosts Special Olympics Basketball Tournament](#)
- [Fighting Squirrels Plunge for Special Olympics](#)
- [W&J SAAC teams with Special Olympics to host basketball tournament](#)

Click [here](#) to vote starting Monday, Oct. 1. The winner will be selected Oct. 26, 2018.

ACADEMIC AND MEMBERSHIP AFFAIRS (AMA)

Rule of the Month

Permissible Promotional Activities. Bylaw 12.5.1 outlines the promotional activities that a student-athlete may participate in. What is a promotional activity? Any event that supports the charitable or educational activities of the institution/conference or any noninstitutional, charitable, educational or nonprofit agencies. Examples range from a fundraising letter writing campaign, an institution using a student-athlete's photo on social media, to a department using a crowdfunding site to raise funds for student-athletes to participate on a foreign tour. For a promotional activity involving any student-athlete to be permissible, it must meet all the conditions outlined in Bylaw 12.5.1.1.

2019 NCAA Convention Legislation Chart

For a chart detailing the 2019 legislative proposals, including sponsor(s), intent and effective date, click [here](#).

Second Publication of Proposed Legislation (SPOPL)

Information related to the 2019 NCAA Convention [Division III Second Publication of Proposed Legislation](#) (SPOPL) is now available on the NCAA website.

Legislative Timeline

The legislative timeline for the remainder of the 2018-19 legislative cycle is summarized below.

Nov. 1: Deadline for amendments-to-amendments and all resolutions. All amendments-to-amendments (which do not increase the modification of the original amendment/proposal) and all resolutions must be received in the national office not later than 5 p.m. Eastern time Nov. 1. No amendments-to-amendments sponsored by the membership may be submitted after this date. The Presidents Council is authorized to submit further amendments-to-amendments at the Convention if it deems such action necessary, provided it is approved by two-thirds of the Council and copies are distributed before or during the Business Session.

Nov. 15: The Official Notice will be available for distribution to the entire membership through a hard-copy mailing. The Official Notice includes all membership-sponsored legislation (as modified by a sponsor modification submitted by the Sept. 15 deadline), all Presidents-Council sponsored legislation, all properly submitted amendments-to-amendments and resolutions (submitted by the Nov. 1 deadline) and all position statements issued by the Division III Councils.

Jan. 23-26, 2019: NCAA Convention: All delegates receive the NCAA Convention program when they register at the Convention. The Convention program contains the most up-to-date meeting schedule and other helpful Convention information. Changes of an editorial nature to the proposed legislation (as it appears in the Official Notice) will be noted for the membership on the Convention floor at the beginning of the Convention business session and will be distributed in a handout (if necessary).

Student-Athlete Reinstatement

For student-athlete reinstatement decisions that involve withholding from competition as a condition, the student-athlete must fulfill the condition when he or she is otherwise eligible and during one of his or her four seasons of participation. Further, a student-athlete must fulfill the condition when he or she is medically cleared to compete by the institution. The withholding must be applied to the next regularly-scheduled contest(s). Scrimmage, exhibition or nontraditional segment contests may not be used to fulfill a withholding condition.

Regional Rules Seminars

Constitution 3.2.4.15 (Convention and Regional Rules Seminar Attendance) requires all Division III institutions to attend an NCAA Regional Rules Seminar (RRS) at least once every three years. The three-year window for attendance includes attendance at the 2017, 2018 and 2019 RRS. Any institution that did not send a representative in 2017 or 2018 should plan to attend the 2019 Regional Rules Seminars. Registration will be available in spring 2019.

Before the seminars, attendees are encouraged to review the [LSDBi](#) and [RSRO](#) training videos to prepare for on-site learning objectives. The 2018-19 RRS dates and locations: May 13-15 in Indianapolis; and June 3-5 in Denver.

EDUCATIONAL INFORMATION

Emerging Leaders Seminar

The [Emerging Leaders Seminar](#) is a transformational professional development opportunity at the NCAA national office that provides effective leadership, educational, and transitional programming for more than 200 current graduate assistants and interns from member schools, conference offices, and affiliate organizations. The 2019 seminar will be Feb. 6-8 in Indianapolis. Through this three-day program, participants will return to their current role with the applicable skills and knowledge that will help them succeed in the next steps to becoming a college athletics professional. Click [here](#) to watch college athletics administrators discuss how the NCAA Emerging Leaders Seminar (ELS) shaped their professional careers and provided immense opportunity for personal and professional growth.

The application period is open, and interested participants should submit their applications through the [NCAA Program Hub](#). The deadline is noon Eastern time on Friday Oct. 26.

NCAA and NFL Coaches Academy

The [NCAA and NFL Coaches Academy](#) provides current, full-time college football coaches at NCAA member schools the opportunity to expand their knowledge and insight into the world of college football coaching. During the academy, the NCAA leadership development staff and the National Football League Player Engagement staff educate, and train selected participants in a variety of areas that encourage effective coaching and improve student-athlete well-being at both the college and professional levels. Topical education and conversation during the academy may include: effective communication with campus and community constituents; the importance of building culture focused on the overall success of the student-athletes both on and off the field; budget management of a football program; coaching strategies and philosophies.

The 2019 NCAA and NFL Coaches Academy will take place in two parts, Feb. 6-7 and Feb. 8-10 in Tampa, Florida. Applications for the first part of the academy (Feb. 6-7) will be accepted until noon Eastern time Friday, Oct. 26 through the [NCAA Program Hub](#). Coaches participating in the Feb. 8-10 programming will be identified and selected through consultation with the NCAA national office staff, administrators from within the membership, and members from affiliate organizations.

DiSC Assessments Available

Use of the DiSC is strongly encouraged on member campuses. Participants gain personalized feedback on their specific leadership style, and student-athletes, coaches and administrators gain valuable understanding of everyone's unique behavioral style. Applying this knowledge can develop effective team dynamics, leadership, and communication among the group.

In 2018-19, each Division III school interested in utilizing the DiSC resource will be provided assessments for up to 50 student-athletes, as well as 30 coaches or administrators, at no cost. Funding is limited, and requests will be allocated on a first-come, first-served basis. Click [here](#), to learn more about the DiSC resource.

Division III Diversity Grants

The Division III diversity grants provide funding to institutions and conference offices committed to enhancing ethnic minority and gender representation in athletics administration. Proposals for the Ethnic Minorities and Women's Internship Grant and Strategic Alliance Matching Grant must be submitted via the [NCAA Program Hub](#) between noon Eastern time Sept. 14 and 5 p.m. Eastern time Jan. 30. More information can be found on the [Division III Diversity Grants website](#).

CHAMPIONSHIPS AND PROP UPDATES

Championships Managers

For an updated list of the 2018-19, championships sport managers, click [here](#).

NCAA Playing Rules with Financial Implications

Click [here](#) for a the most recently updated chart detailing the NCAA future playing rules changes with a financial impact. Questions should be directed to [Dan Calandro](#).

Officiating Background Check Pilot Program

The NCAA and ArbiterSports staffs conducted three webinars in September to review how conferences may access the online program to view background checks of officials who registered on one or more of the fall and winter sports NCAA Central Hubs housed on the ArbiterSports website. If you have questions about accessing the program and/or need assistance in doing so, please contact [Ben Brownlee](#).

COMMITTEE UPDATES

Several committees conducted in-person meetings in September.

Committee on Women's Athletics, September 5-6

Minority Opportunities Interest Committee, September 5-6

[Championships Committee](#), September 9-11

Nominating Committee, September 13

Research Committee, September 20-21

KEY DATES FOR OCTOBER THROUGH DECEMBER

2018		
Dates	Meeting/Championships	Location
Oct. 1	Honors Committee	Indianapolis, IN
Oct. 15-16	Management Council	Indianapolis, IN
Oct. 23	Board of Governors	Orlando, FL
Oct. 23-24	Presidents Council	Orlando, FL
Oct. 28	Woman of the Year Dinner	Indianapolis, IN
Nov. 11-12	Student-Athlete Advisory Committee	Indianapolis, IN
Nov. 12-13	Financial Aid Committee	Indianapolis, IN
Nov. 15-17	Women's Volleyball Championship	Pittsburgh, PA
Nov. 17	Men and Women's Cross Country Championship	Winneconne, WI
Nov. 17-18	Field Hockey Championship	Manheim, PA
Nov. 30 - Dec. 1	Men and Women's Soccer Championship	Greensboro, NC
Dec. 4-5	Committee on Student-Athlete Reinstatement	Indianapolis, IN
Dec. 10-11	Competitive Safeguards and Medical Aspects of Sport	Indianapolis, IN
Dec. 15	Football Championship	Shenandoah, TX

This email was sent to Division III athletics direct reports, director of athletics, faculty athletics representatives, senior woman administrators, sports information directors, compliance directors, commissioners, assistant/associate commissioners, chancellors and presidents serving on NCAA committees, provisional, reclassifying and exploratory members, NCAA Division III Student-Athlete Advisory Committee members and selected NCAA staff members.

DIII: Follow your passions and discover your potential

Proportion Appropriate relation of academics with opportunities to pursue athletics and other passions.	Comprehensive Learning Opportunity for broad-based education and success.	Passion Playing for the love of the game, fun and self-improvement.	Responsibility Development of accountability through personal commitment and choices.	Sportsmanship Fair and respectful conduct toward all participants and supporters	Citizenship Dedication to developing responsible leaders and citizens in our communities.
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National Collegiate Athletic Association 700 W. Washington St., Indianapolis, IN, 46204 US

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NCAA DIVISION III PRESIDENTS AND MANAGEMENT COUNCILS SUMMARY OF SUMMER 2018 QUARTERLY MEETINGS

KEY ACTION/DISCUSSION ITEMS:

Membership-Sponsored Proposal – Field Hockey and Soccer Preseason.....	Page No. 8
Governance-Sponsored Proposals – Snacks Incidental to Participation.....	Page No. 9
Alcohol Sales at NCAA Joint Championships.....	Page No. 12
Board of Governors Update – Commission on College Basketball.....	Page Nos. 24-25

Division III Management Council July 16-17, 2018 Indianapolis, IN	Division III Presidents Council August 7-8, 2018 Indianapolis, IN
ATTENDEES	ATTENDEES
Stevie Baker-Watson, DePauw University Brad Bankston, Old Dominion Athletic Conference Heather Benning, Midwest Conference Gerard Bryant, John Jay College of Criminal Justice Madison Burns, Randolph-Macon College, SAAC representative Sean Cain, Adrian College, SAAC representative Gail Cummings-Danson, Skidmore College Robert Davis, Jr., University of Scranton Jason Fein, Bates College Shantey Hill, St. Joseph's College, chair Chris Kimball, California Lutheran University Lori Mazza, Western Connecticut State University Tim Millerick, Austin College Laura Mooney, Massachusetts College of Liberal Arts Kate Roy, Northern Vermont University, vice chair Kandis Schram, Maryville College (Tennessee) Dennis Shields, University of Wisconsin, Platteville Karen Thompson-Wolfe, Westminster College (Missouri) Denise Udelhofen, Loras College Michael Vienna, Emory University Joe Walsh, Great Northeast Athletic Conference	Teresa Amott, Knox College Javier Cevallos, Framingham State University Katherine Conway-Turner, Buffalo State, State University of New York Mary Beth Cooper, Springfield College Jeff Docking, Adrian College, chair Stuart Dorsey, Texas Lutheran University Margaret Drugovich, Hartwick College Tiffany Franks, Averett University William Fritz, College of Staten Island Sue Henderson, New Jersey City University, vice chair Shantey Hill, St. Joseph's College, MC chair Rob Huntington, Heidelberg University Robert Lindgren, Randolph-Macon College Fayneese Miller, Hamline University Tori Murden McClure, Spalding University Kathleen Murray, Whitman College Elsa Nunez, Eastern Connecticut State University Kate Roy, North Atlantic Conference, MC vice chair Kent Trachte, Lycoming College
ABSENTEES	ABSENTEES
	Sharon Hirsh, Rosemont College
OTHER PARTICIPANTS	OTHER PARTICIPANTS
Tiffany Alford, NCAA Chris Brown, NCAA Brian Burnsed, NCAA Dan Calandro, NCAA Jackie Campbell, NCAA Dan Dutcher, NCAA Jim Elworth, NCAA Jenn Fraser, NCAA Brian Hainline, NCAA Eric Hartung, NCAA Jay Jones, NCAA Kenneth Kleppel, NCAA Debbie Kresge, NCAA, recording secretary Louise McCleary, NCAA Heather McVeigh, NCAA	Tiffany Alford, NCAA Brian Burnsed, NCAA Dan Dutcher, NCAA Mark Emmert, NCAA president Eric Hartung, NCAA Jay Jones, NCAA Debbie Kresge, NCAA, recording secretary Louise McCleary, NCAA Jeff Myers, NCAA John Parsons, NCAA Bill Regan, NCAA Donald Remy, NCAA Adam Skaggs, NCAA Naima Stevenson, NCAA Liz Suscha, NCAA

OTHER PARTICIPANTS continued...	
Jeff Myers, NCAA	
Jeff O'Barr, NCAA	
John Parsons, NCAA	
Adam Skaggs, NCAA	
Naima Stevenson, NCAA	
Liz Suscha, NCAA	

[Note: This summary reflects only actions (formal votes or “sense of meeting”) in accordance with the established policy governing minutes of all NCAA entities. The only discussion included is that ordered by the chair or a member of the group.]

1. WELCOME AND ANNOUNCEMENTS.

July 16-17 Management Council. The meeting was called to order at 8:04 a.m. July 16 and 8:01 a.m. July 17, by the chair, Shantey Hill.

August 7 Presidential Leadership Groups (PC/MC/PAG) and August 8 Presidents Council. The meetings were called to order at 6:15 p.m. August 7 and 9:07 a.m. August 8, by the chair, President Jeff Docking. The chair acknowledged the first meeting for President Katherine Conway-Turner.

2. REVIEW OF RECORDS OF PREVIOUS MEETINGS.

a. Management Council Meetings – April 9-10, 2018.

Management Council. The Management Council approved the summary of its April 9-10, 2018, meetings.

Presidents Council. No action necessary.

b. Presidents Council Meeting – April 24, 2018.

Management Council. No action necessary.

Presidents Council. The Presidents Council approved the summary of its April 24, 2018, meeting.

c. Administrative Committee Actions.

Management Council. The Management Council ratified the May 18 and July 6, 2018, Administrative Committee reports.

Presidents Council. The Presidents Council ratified the May 18 and July 6, 2018, Administrative Committee reports.

3. **COMMITTEE RECOMMENDATIONS AFFECTING DIVISION III.**

a. **Division III Joint Presidents Council/Management Council Committees or Subcommittees.**

Staff Liaisons:
Brown,
McCleary

Council Reps:
Benning,
Franks, Hill,
Mazza, Schram,
Trachte

(1) **Convention-Planning Subcommittee.**

(a) **Educational Session Topic Ideas.**

Management Council. The Council reviewed the subcommittee's report regarding potential educational session topics. It endorsed the following recommendations:

- Athletics department management (adding/eliminating sports; roster management). NADIII AA will present this session. Content will focus on managing an athletics department budget in addition to the budget impact regarding adding and/or eliminating sports and roster management.
- Fundraising with a Division III focus. Content areas may include strategic facility fundraising; athletic department annual operational fundraising; electronic fundraising; presentation of fundraising models; collaboration and coordination between athletics and campus-wide fundraising.
- Sexual violence prevention (education and/or best practices). Content will focus on educational resources and best practices in relation to the Board of Governors' policy.

Presidents Council. No action was necessary.

(b) **Division III Commissioners Association Educational Session.**

Management Council. The Council concurred with the subcommittee in allowing the Division III Commissioner's Association to oversee one of the three Division III education sessions, beginning with the 2020 NCAA Convention, as long as the topic is vetted and endorsed by the membership, as well as the subcommittee.

Presidents Council. No action was necessary.

(c) **Issues Forum – Friday, January 25.**

Management Council. The Council reviewed the subcommittee's recommendation to follow the same legislative format as the 2018 Convention, in which staff will highlight key proposals and allow time for a more in-depth Q&A discussion.

In addition, the subcommittee recommended a roundtable presentation on the 2018 Division III Membership Survey feedback and an opportunity to discuss next steps.

Presidents Council. No action was necessary.

(d) Convention Management Updates.

Management Council. The Council reviewed the committee's report noting the following:

- Hotel property update and rate. The Division III Issues Forum and Business Session will be held at the Caribe Royale Orlando. The hotel rate is \$200 per night plus 12.5 percent tax.
- Registration open date. Registration will open September 12 at approximately 11:30 a.m. Eastern time. Due to a \$500K Convention budget shortfall, the registration fee will increase to \$275 for 2019, \$325 for 2020 and \$375 for 2021. The new rates represent the first increase in a decade. The student registration fee will double to \$50 in 2019.

The Council discussed the registration fee increases and noted the following:

- Would it be possible to provide a group rate if multiple individuals from the same institution attend the Convention?
- Noted that several Division III institutions may not be able to afford attending.
- Pondered if the membership will only come to the Convention to vote.
- Some concern with the membership dues and Convention registration fees increasing during the same year.

Presidents Council. No action was necessary.

(2) Strategic Planning and Finance Committee.

(a) Division III 2017-18 Strategic Plan.

Management Council. The Council approved the committee's year two (2018-19) updates to the 2017-19 Strategic Plan. The committee reviewed the seven strategic priorities for 2018-19. Some initiatives highlighted include: a one year pilot with the NCAA Eligibility Center for Division III new international ice hockey players; continue to grow the Special Olympics partnership; update the sports information director resource and best practices guide; identify strategies to re-engage faculty athletics representatives (FARs); LGBTQ programming for coaches; promotion of Gameday the DIII Way; ensure the division is effectively managing diversity and inclusion issues; enhancing the well-being of prospects, student-athletes and staff through partnering with the Sports Science Institute, providing

Staff Liaisons:
Dutcher, Hartung,
Jones, Kresge,
McCleary, Myers,
O'Barr

Council Reps:
Cain,
Cummings-Danson,
Davis, Dorsey,
Drugovich, Ellis,
Henderson, Hill,
Huntington, Roy,
Shields, Walsh

additional funding via the Conference Grant Program; the availability of educational programs through the NCAA's Learning Management System; focus on student-athlete graduation rates; evaluation of the 2018 Membership Survey results; and need to maintain fiscal integrity.

Presidents Council. The Presidents Council approved the Management Council's recommendation.

(b) 2018-19 Proposed Nonchampionships Initiatives.

Management Council. The Council approved the committee's proposed new nonchampionship initiatives for 2018-19.

With the implementation of a membership dues increase that will generate \$519,000 in new revenue, the committee, proposed several new nonchampionship initiatives. The initiatives include the following:

- \$242,000 to fund expansions to the Conference Grant Program, including additional education and enhancements for athletics trainers and officials;
- More than \$100,000 for health and safety, with an initial earmark to assist schools that need to purchase the electronic medical record software that enables them to participate in the NCAA Injury Surveillance Program;
- \$100,000 for new LGBTQ initiatives including annual programming and a recognition event;
- \$100,000 to fund a new professional development program designed to help female and ethnic minority assistant coaches further their careers; and
- Funding for the newly legislated SAAC associate members to attend the July national SAAC in-person meeting as well as offset expenses to attend the NCAA Convention.

While the funds generated by the dues increase are being used to fund nonchampionship initiatives, approximately \$1.5 million in supplemental spending already has been dedicated to championships enhancements, including increased travel party sizes and reimbursement for local ground transportation when a team flies to the competition site.

Presidents Council. The Presidents Council approved the Management Council's recommendation.

(c) LGBTQ Promotional Kit.

Management Council. The Council approved the committee's one-time \$100,000 allocation to provide and distribute an LGBTQ Identity Promotional Kit to every Division III institution and conference.

Recent survey feedback, as well as input from delegates at the 2018 NCAA Convention, indicated that a majority of Division III members would be receptive to displaying LGBTQ-centric banners and other materials on campus. The working group will collaborate with a graphic design agency to create a logo for banners, posters and stickers that will be distributed to Division III institutions in August.

Presidents Council. The Presidents Council approved the Management Council's recommendation.

(d) Bracket Expansion in Men's Volleyball.

Management Council. The Council approved the committee's recommendation to increase the number of teams selected to the Division III Men's Volleyball Championship from 12 to 14. Sport sponsorship data from 2017-18 merit the request and would keep the men's volleyball bracket at a 1:6.5 access ratio per Bylaw 31.3.1.1 and help accommodate the rapid growth in the sport.

Presidents Council. The Presidents Council approved the Management Council's recommendation.

(e) Division III Budget.

Management and Presidents Councils. The Councils reviewed the 2017-18 budget-to-actual report as of June; the 2018-19 final budget; and the future budget projections. The championship budget will use all its supplemental spend, as well as an additional \$400,000 from the division's surplus primarily due to travel expenses. The nonchampionships initiatives are anticipating an approximate surplus of \$250,000.

(f) Strategic Planning and Finance Committee's (SPFC) Grant Subcommittee Updates.

Management Council. The Council noted the following updates from the SPFC grant subcommittee:

- It reviewed a staff-created resource regarding the Level Two assessment process. The subcommittee suggested the resource include background information on the third-party review process;

- It reviewed a final report from a Level Two for-cause assessment and noted no concerns;
- It discussed the biennial requirement for ethnic-minority professional development expenses in Tier I. After reviewing data, the subcommittee decided to change the current language and make potential usages clearer and easier to understand. The subcommittee felt revising the current requirement language would be an appropriate first step before proposing an annual requirement.
- It reviewed and approved a narrow exception related to Tier One compliance spending.

Presidents Council. No action was necessary.

(g) Division III Annual List of Required Forms.

Management Council. The Council reviewed a chart indicating the Division III annual list of required forms and actions. The chart reflected the type of report, description, responsible party, date due; as well as the NCAA contact person.

Presidents Council. No action was necessary.

(3) Joint Legislative Steering Subcommittee.

(a) Membership-Sponsored Proposal – Presidential Grouping.

Presidents Council. The Joint Legislative Steering Subcommittee reviewed the following membership-sponsored proposal and the Presidents Council approved the subcommittee's recommendation for the proposal to be included in the presidential grouping:

- Playing and Practice Seasons – Field Hockey and Soccer Preseason – Establishing a Three-Day Acclimatization Period. This proposal would amend preseason practice in the sports of field hockey and soccer as follows: (1) To add three additional days to the preseason practice period; (2) To require an acclimatization period during the first three days of the preseason practice period, during which a team would be limited to one single practice session no longer than three hours in duration, followed by a one-hour walk through, with a minimum of three hours of rest required in between the two activities; and (3) To mandate that on every preseason practice day following the three-day acclimatization period, a team would be limited to conducting no more than two on-field practices per day and a maximum of six hours of athletically related activity total during the two practices combined, with a minimum of three hours of rest required in between practice sessions.

Staff Liaisons:
Dutcher,
McCleary,
Myers

Council Reps:
Cooper,
Docking, Davis,
Fritz, Hill,
Kimball,
Millerick, Shields

(b) Governance-Sponsored Proposals – Presidential Grouping.

Presidents Council. The Joint Legislative Steering Subcommittee reviewed six governance-sponsored proposals. The Presidents Council noted the following proposals were identified by the subcommittee to be presidential in nature and placed them in the presidential grouping:

- i. Membership – Conditionals and Obligations of Membership – Student-Athlete Graduation Rate Reporting. This proposal is to include as a condition and obligation of Division III active membership, that an institution submit on an annual basis student-athlete graduation rate reporting data for the academic success rate (ASR) in a form prescribed by the Management Council, further to establish that annual championships eligibility is contingent upon submission of the ASR.

The Presidents Council agreed to sponsor this proposal. The Administrative Committee will review the proposal in final legislative format on its late-August teleconference.

- ii. Awards and Benefits – Housing and Meals – Exceptions – Snacks Incidental to Participation. This proposal would allow institutions to provide snacks, but not nutritional supplements, to student-athletes as a benefit incidental to participation in intercollegiate athletics at any time during the academic year.

The Presidents Council voted to refer this proposal back to the Management Council for further review and refinement. The Council expressed concerns about potential inequities the rule could create between campuses – and on them. With no specific restrictions on the types of snacks that could be offered, or the amount schools would be allowed to spend to furnish them, Council members noted that schools with more financial resources might be able to provide a more robust selection than schools with tighter budgets. Such an imbalance could be leveraged for recruiting advantages. In addition, it worried the rule change could create issues between student-athletes, who could receive these snacks at any point during the academic year, and their fellow students, who might be barred from partaking.

Given the proposal allowed snacks, but not nutritional supplements, Council members also asked for clearer definitions on the differences between the two because the nuances can be difficult to discern. Ensuring compliance with that caveat could prove onerous for administrators. The Management Council will take this feedback into account before deciding whether to reshape the proposal and recommend a revised version during the legislative cycle leading to the 2020 Convention.

- iii. Playing and Practice Seasons – Football Establishing Preseason Start Date 23 Days Before the Institution’s First Permissible Contest. This proposal would amend the football preseason legislation as follows: (1) Establish the first permissible practice date as 23 days before the institution’s first regular season contest; and (2) Require a day off from physical athletically related activity during each week of the preseason following the five-day acclimatization period.

The Presidents Council agreed to sponsor this proposal. The Administrative Committee will review the proposal in final legislative format on its late-August teleconference.

(c) Governance-Sponsored Proposals – General Grouping.

Presidents Council. The Council noted the following governance-sponsored proposals will be part of the general grouping:

- i. Amateurism – Pre-Enrollment Educational Expenses. This proposal would permit individuals to accept educational expenses (e.g., tuition, fees, room, board and books) prior to collegiate enrollment from any individual or entity other than an agent, professional sports team/organization or representative of an institution’s athletics interests, provided such expenses are disbursed directly through the recipient’s educational institution (e.g., preparatory school, high school).
- ii. Recruiting – Electronic Transmissions and Publicity – Comments Before Acceptance – Social Media. This proposal is to establish an exception to the restrictions on electronic transmissions and publicity before commitment legislation to permit athletics department staff members to (1) connect with (e.g., “friend,” “follow,” etc.) prospective student-athletes on social media platforms, and (2) take actions (e.g., “like,” “favorite,” republish, etc.) on social media platforms that indicate approval of content generated by users of the platforms other than institutional staff members or representatives of an institution’s athletics interests.
- iii. Eligibility – Final Term Before Experiential Learning Requirement. This proposal would extend the existing final term exception to the full-time enrollment requirement to include student-athletes that are carrying (for credit) all courses necessary to complete degree requirements but have an outstanding experiential learning requirement.
- iv. Executive Regulations – Eligibility for Championships – Ineligibility for Use of Banned Drugs – Banned Drugs – See Page No. 21 4 a (4).

Staff Liaisons:

**Brown,
Williamson**

Council Reps:

**Baker-Watson,
Fein, Mazza,
Roy,
Tompson-Wolfe
Udelhofen,**

Staff Liaisons:

**Brown, Suscha
Williamson**

Council Reps:

**Bankston, Burns,
Davis, Mooney,
Schram, Vienna,
Walsh**

b. Management Council Subcommittees.

(1) Subcommittee for Legislative Relief.

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

(2) Playing and Practice Seasons Subcommittee.

(a) Review Legislative Proposal for Preseason Football Practice Start Date and Associated Activities.

Management Council. The Council reviewed the subcommittee's report noting its review of the draft proposal put forth by the Division III Football Committee and sponsored by the Management Council [See Page No. 8, (3) (b) iii].

The subcommittee also reviewed feedback on the proposal obtained through a survey sent to Division III athletic directors, athletics trainers, conference commissioners, and head football coaches. Based on the survey results, the subcommittee continued its support for the proposal without any proposed amendments. Finally, the subcommittee directed NCAA staff to educate the membership on the application and impact of this proposal.

Presidents Council. No action was necessary.

(b) Review Captain's Practice Legislation.

Management Council. The Council noted the subcommittee reviewed the captain's practice legislation, including feedback from the membership, and discussed solutions to clarify the application of the existing legislation.

The subcommittee stated several concerns with the existing legislation including: (1) Ability to understand and apply the legislation; (2) Ability to monitor and enforce compliance with the legislation; and (3) Whether the existing legislation is in the best interest of student-athletes and the division. Consequently, the subcommittee directed staff to explore a legislative amendment eliminating the prohibition on student-athletes engaging in out of season activities that are "confined primarily" to members of the team but maintain the prohibition on required activities. As part of this amendment, staff should develop parameters as to what constitutes "required" activities for future review by this committee.

Presidents Council. No action was necessary.

Staff Liaison:
Harty, Suscha

Council Reps:
Bankston, Hill
Vienna

c. Division III Committees.

(1) Championships Committee.

(a) Bracket Expansion in Men's Volleyball.

Management and Presidents Councils. See Strategic Planning and Finance Committee Action Item (2) (d) on Page No. 7 of the Summary of Actions.

(b) Administrative Regulations – Amending Misconduct Bylaws to Make Them Consistent – Bylaws 31.02.3 and 31.1.1.8.3.

Management Council. The Council adopted an administrative regulation clarifying throughout Bylaw 31 that the misconduct period extends from when the championship field is announced through the end of the championship including travel en route to, from or at the locale of the competition or practice.

Presidents Council. No action was necessary.

(c) Administrative Regulation – Alcohol Sales at Joint Championships.

Management and Presidents Councils – Approved a Championships Committee recommendation to permit alcohol sales at future joint championships that include Division I, provided sales are in the same facility and subject to the same parameters (e.g., personnel training, security, limited sale location).

The Division III Championships Committee continues to oppose selling alcohol at separate Division III championships (as do about two-thirds of respondents in the 2018 Division III membership survey) but is comfortable with selling alcohol at select joint championships under specified conditions that address the unique logistical and fan experience concerns associated with alcohol sales at an event with the other divisions.

(d) Commissioners Subgroup on Championships.

Management Council. The Council received an update on the Division III Commissioners Association's subgroup's preliminary discussion about potential regional alignment alternatives, including one that would base the number of regions in a sport on the number of institutions sponsoring that sport.

Presidents Council. No action was necessary.

Staff Liaisons:
Cooper, Elworth
Kleppel

Council Rep:
Cummings-Danson

(e) Geographically Isolated Division III Institutions.

Management Council. The Council acknowledged a concern from geographically isolated Division III institutions (e.g., the west coast) specific to first round NCAA championship competition. Institutions are requesting that they not be paired with conference opponents in the NCAA first round, but instead flown to another region to allow for geographic and competitive diversity. The Championships Committee will further discuss this concept during its September meeting.

Presidents Council. No action was necessary.

(2) Committee on Infractions.

(a) Infractions Program – Penalties – Penalties, Disciplinary Measures and Corrective Actions for Major Violations – Review of Penalty – New Information – Institution or Conference Discipline as New Information.

Management Council. The Council agreed to sponsor noncontroversial legislation to (1) Modify Bylaw 19.5.2.8.1 to require that parties to an infractions case submit any new information directly related to the NCAA Division III Committee on Infractions' findings or conclusions in the case no later than one year following the conclusion of the case; and (2) Remove Bylaw 19.5.2.8.1.2 providing that disciplinary measures prescribed by the institution or its conference after an infractions decision may be considered new information for purposes of the bylaw.

Presidents Council. No action was necessary.

(b) Committee on Infractions' Internal Operating Procedures.

Management Council. The Council approved the Committee on Infractions' internal operating procedures, as formulated and adopted by the Committee on Infractions.

The internal operating procedures memorialize operating procedures of the committee and the NCAA Office of the Committee on Infractions to efficiently process infractions cases in conformity with Division III legislation. By providing this transparency, the procedures will help institutions, involved individuals and practitioners understand and navigate the infractions process from the issuance of a notice of allegations or submission of a summary disposition report through the decision.

Presidents Council. No action was necessary.

(c) Enforcement Staff's Internal Operating Procedures.

Management Council. The Council approved the enforcement staff's internal operating procedures, formulated by the enforcement staff, as reviewed and approved by the Committee on Infractions.

The enforcement staff's internal operating procedures, formulated and to be applied by the enforcement staff, memorialize operating procedures of the enforcement staff to efficiently investigate and process infractions cases in conformity with Division III legislation.

Presidents Council. No action was necessary.

Staff Liaisons:
Brown, Hartung,

Council Rep:
Schram

(3) Financial Aid Committee.

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaison:
Walters

Council Reps:
Fritz, Roy

(4) Infractions Appeals Committee.

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:
Brown, Myers,
Williamson

Council Rep:
Fein

(5) Interpretations and Legislative Committee (ILC).

(a) Noncontroversial Legislation – Recruiting – Recruiting Advertisements – Location of Advertisement. Bylaw 13.4.2.1.

Management Council. The Council agreed to sponsor noncontroversial legislation clarifying that an institution is permitted to produce any athletically related recruiting advertisements, provided the advertisements are not placed at athletics events featuring prospective student-athletes.

Presidents Council. No action was necessary.

(b) Convention Legislation – Awards and Benefits – Housing and Meals – Exceptions – Meals Missed Due to Practice and Snacks Incidental to Participation. Bylaw 16.5.1.

Management Council. The Council reviewed and recommended splitting the committee's recommendation to sponsor Convention legislation specifying that an institution may provide the following: (1) Meals missed due to participation in institutional practice; and (2) Snacks to student-athletes as a benefit incidental to participation in intercollegiate athletics at any time during the academic year.

During its deliberation, the Council approved, in concept, meals missed due to participation in institutional practice as noncontroversial legislation. It was noted that meals already can be provided in conjunction with home competition. This proposal expands that allowance to meals missed due to practice.

The second part of this recommendation was put forth as Convention legislation noting it would allow schools to provide snacks incidental to athletics participation – not including nutritional supplements – to student-athletes at any point during the academic year.

Presidents Council. The Presidents Council reviewed the Convention legislation piece regarding snacks, as part of the Joint Legislative Steering Subcommittee's presidential grouping, and referred the proposal back to the Management Council for further review and refinement. [See Page No. 9, (3) (b) ii]

(c) Review of Captain's Practice Educational Column.

Management Council. The Council noted the committee reviewed a draft of an educational column seeking to clarify the application of athletically related activities to activities organized solely by student-athletes. Prior to posting, the committee instructed staff to add an additional example involving outside competitions organized by student-athletes.

Presidents Council. No action was necessary.

Staff Liaisons:
DiBiase, Jones,
Williamson

Council Rep:
Mooney

(6) Membership Committee.

• **Active and Provisional/Reclassifying Membership.**

Management Council. The Council noted the Membership Committee elected the following institutions to active Division III membership:

- Alfred State College;
- Illinois Institute of Technology;
- Iowa Wesleyan University; and
- McMurry University (reclassifying).

The committee also approved the advancement of the following provisional and reclassifying institutions currently in the provisional/reclassifying process as follows, effective September 1, 2017:

- Year one to year two:
 - Brevard College (reclassifying);
 - Dean College; and
 - Pfeiffer College (reclassifying).
- Year three to year four:
 - Belhaven University.

For the 2018-19 academic year, there will be 442 active members and six provisional/reclassifying members for a total of 448 members.

Presidents Council. No action was necessary.

Staff Liaison:
Tufano

Council Rep:
Mazza

(7) Nominating Committee.

(a) Governance Committee Reappointment.

Management Council. The Council approved the following committee reappointment, effective September 1, 2018:

- Minority Opportunities and Interests Committee – Bill Tsutsui, president, Hendrix College.

Division III presidents are assigned two-year terms and are immediately eligible to be reappointed to an additional two-year term.

Presidents Council. No action was necessary.

(b) Nominee References.

Management Council. The Council noted the committee's review of the nominee reference section of the online nomination form. Since references aren't being checked, the committee decided to remove the reference request from the form.

Presidents Council. No action was necessary.

Staff Liaisons:
Barnhart,
Jones,
Peterson,

Council Reps:
Burns, Cain

(8) Student-Athlete Advisory Committee (SAAC).

Management Council. The Council reviewed the committee's report noting with the resignation of the current chair, SAAC elected Parker Hammel, Wartburg College, as its new chair and NJ Kim, Emory University as vice chair.

Presidents Council. No action was necessary.

Staff Liaisons:
Grace,
Romash

Council Rep:
Benning

(9) Student-Athlete Reinstatement Committee.

(a) Noncontroversial Legislation – NCAA Division III Bylaw 13.1 – Recruiting – Contacts and Evaluations.

Management Council. The Council adopted noncontroversial legislation to amend Bylaw 13.1 (Contacts and Evaluations) to specify that violations shall be considered *de minimis* and do not impact a prospective student-athlete's eligibility.

Presidents Council. No action was necessary.

(b) Noncontroversial Legislation – NCAA Division III Bylaws 13.8.1 and 13.8.2 – Recruiting – Entertainment, Reimbursement and Employment of High School/College Preparatory School/Two-Year College Coaches – Entertainment Restrictions and Material Benefits.

Management Council. The Council adopted noncontroversial legislation to amend Bylaw 13.8.1 (Entertainment Restrictions) and Bylaw 13.8.2

(Material Benefits) to specify that violations shall be considered *de minimis* and do not impact a prospective student-athlete's eligibility.

Presidents Council. No action was necessary.

d. Association-Wide Committees.

Staff Liaisons:
Parsons,
Rohlman
Wilfert

Council Rep:
Baker-Watson

(1) Competitive Safeguards and Medical Aspects of Sports (CSMAS).

(a) Independent Medical Care.

Management Council. The Council approved the following CSMAS recommendations:

- The NCAA Division I Interpretations Committee update the March 11, 2016, Division I educational column regarding independent medical care to include question No. 11 from the January 18, 2017, Division II and the January 23, 2017, Division III educational columns; and
- Commission the NCAA Sports Science Institute, in collaboration with the NCAA staff from academic and membership affairs and the office of legal affairs, to develop a white paper that fully explores relevant issues surrounding host and visitor care provisions, independent medical care, risk management and other pertinent concerns. The white paper would serve as a foundation for more thorough membership education efforts.
- The appropriate authorities in Divisions I, II and III add additional questions to their respective educational columns that specifically address the provision of the unchallengeable medical authority of the host primary athletics health care provider when visiting institutions travel without their own primary athletics health care provider.

The recommendations address existing confusion among primary athletics health care providers involved in the provision of care to visiting athletic teams, and the potential for significant medicolegal issues arising from these situations. Further, the recommendation ensures all three divisions will receive sufficient and consistent guidance regarding these issues.

Presidents Council. No action was necessary.

(b) Withdraw Noncontroversial Legislation – NCAA Bylaw 18.4.1.4.1 – Championships and Postseason Football – Eligibility for Championships Penalty – Banned Drug Classes Other Than Illicit Drugs.

Management Council. The Council withdrew noncontroversial legislation to clarify that a student-athlete who tests positive for use of a substance in a banned drug class other than illicit drugs shall:

- Be charged with the loss of one season of competition in all sports, in addition to the use of a season, pursuant to Bylaw 12.8.3.1;
- Be ineligible for the entirety of one season (i.e., the maximum number of regular-season contests or dates of competition per Bylaw 17), which must be served while the student-athlete is otherwise eligible for competition; and
- Be ineligible for at least one calendar year (i.e., 365 days) after the collection of the student-athletes' positive drug-test specimen and until he or she tests negative.

At its December 2017 meeting, the committee recommended noncontroversial legislation to ensure the drug-testing penalty for banned drug classes other than illicit drugs is applied consistently and equitably to all student-athletes, regardless of transfer or enrollment status. Since that recommendation, additional scenarios were identified that require further clarification. To avoid confusion, the committee requested that the NCAA Division I Council and Divisions II and III Management Councils withdraw the previous recommendation until a more comprehensive legislative solution can be finalized. The committee will continue to discuss the issue at its September teleconference, when it expects to have a new legislative recommendation.

Presidents Council. No action was necessary.

Staff Liaisons:
Brownlee,
Huber,
Roxbury

Council Rep:
Tompson-Wolfe

(2) **Committee on Sportsmanship and Ethical Conduct.**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:
Gentry, Wilson

Council Rep:
Udelhofen

(3) **Committee on Women's Athletics (CWA).**

Management Council. The Council reviewed the committee's report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaison:
Tufano

Council Rep:
Benning

(4) **Honors Committee**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:
Abdur-Rashid,
Crawford,
Robinson

Council Rep:
Bryant

(5) Minority Opportunities and Interests Committee (MOIC).

Management Council. The Council reviewed the committee's report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:
Abdur-Rashid,
Crawford,
Gentry,
Robinson, Wilson

Council Rep:
Bryant,
Udelhofen

(6) Joint Minority Opportunities and Interest Committee and Committee on Women's Athletics.

Management Council. The Council reviewed the committee's report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:
Smith,
Suscha

Council Rep:
Walsh

(7) Olympic Sports Liaison Committee (OSLC).

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:
Calandro,
Halpin

(8) Playing Rules Oversight Panel.

- Field Hockey/Women's Lacrosse.**

Management Council. The Council received an update on a rules proposal in field hockey and women's lacrosse to allow individual student-athletes in these sports to choose between kilts and shorts as opposed to requiring all student-athletes on the same team to wear the same garment. NCAA staff noted the first opportunity to review these potential rule changes would be in 2019. A two-week comment period would allow the membership the opportunity to provide feedback on the proposed rule.

Presidents Council. No action was necessary.

Staff Liaisons:
O'Barr, Thomas

Council Rep:
Cummings-Danson

(9) Postgraduate Scholarship Committee.

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaison:
Roxbury

Council Rep:
Millerick

(10) Research Committee.

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaison:
O'Barr, Thomas

Council Rep:
Walsh

(11) **Walter Byers Scholarship Committee.**

- **Noncontroversial Legislation – NCAA Bylaw 21.2.9.1 – Committees – Association-Wide Committees – General Committees – Walter Byers Scholarship Committee – Composition – Student-Athlete Representation.**

Management Council. The Council adopted noncontroversial legislation to amend NCAA Bylaw 21.2.9.1 to specify that the committee shall consist of seven members, one position allocated for a man, one position allocated for a woman, one position allocated for a former student-athlete and four unallocated members.

Presidents Council. No action was necessary.

4. PROPOSED LEGISLATION FOR THE 2019 CONVENTION.

a. Review of Noncontroversial Legislation for 2019 NCAA Convention.

(1) **Playing and Practice Seasons – Football – Flexibility in On-Field Practice Time and Use of Footballs During Walk-Through Sessions.**

Management Council. The Council approved noncontroversial legislation to amend the football preseason legislation as follows: (1) Allow the use of footballs during walk through sessions that occur after the five-day acclimatization period; (2) Limit on-field activity (i.e., a practice session and a walk-through session) to a combined total of four hours in length per day with a practice session not to exceed three hours.

Presidents Council. No action was necessary.

(2) **Legislative Authority and Process - Enforcement Policies and Procedures – Infractions Program – Duties of Committee on Infractions – Establishment and Revision of Enforcement Policies and Internal Operating Procedures.**

Management Council. The Council approved noncontroversial legislation to clarify that the NCAA Division III Committee on Infractions has the authority to adopt, formulate and revise its internal operating procedures and review and approve the enforcement staff's internal operating procedures, including amendments by the enforcement staff to its procedures, subject to Division III Management Council approval [See Page No. 12, 2 a & b]

Presidents Council. No action was necessary.

(3) **Playing and Practice Seasons – Observation of Enrolled Student-Athletes in Organized Activities – Open to All.**

Management Council. The Council approved noncontroversial legislation to

clarify that an institutional coach is only permitted to observe student-athletes in organized activities outside of the playing season if the opportunity to observe is open to all.

Presidents Council. No action was necessary.

(4) Executive Regulations – Eligibility for Championships – Ineligibility for Use of Banned Drugs – Banned Drugs.

Management Council. The Council approved, in concept, noncontroversial legislation to amend all legislated references of NCAA banned drug classes to align with the World Anti-Doping Agency (WADA) list of prohibited classes with the exception of the glucocorticoid class, as specified.

Presidents Council. No action was necessary.

b. Review of Modifications of Wording Approved by the Management Council.

- **Recruiting – Sports Camps and Clinics and Other Athletics Events – Hosting an Event Involving Prospective Student-Athletes Without Institutional Involvement.**

Management Council. The Council approved a modification of wording to clarify that an institution may rent its facilities for an event involving prospective student-athletes even if the event does not satisfy the provisions of the sports camps and clinics and other athletics events legislation, provided institutional personnel are not involved with working, directing or administering the camp and the institution is not involved with promoting the event.

Presidents Council. No action was necessary.

c. Review of Membership-Sponsored Legislation. [NOTE: The Joint Legislative Steering Subcommittee reviewed on its July 24 teleconference the one membership-sponsored legislation properly submitted by the July 15 deadline.]

- **Playing and Practice Seasons – Field Hockey and Soccer Preseason Establishing a Three-Day Acclimatization Period.** This proposal would amend preseason practice in the sports of field hockey and soccer [See Page No. 8, 3a]

Management Council. The Council reviewed the membership-sponsored proposal and forwarded it to CSMAS, all sport committees, Playing and Practice Seasons Subcommittee (PPSS) and the Student-Athlete Advisory Committee (SAAC).

Council members asked whether additional sports; specifically, volleyball, cross country and water polo should be added to the proposal. NCAA staff noted that the timing of the legislative cycle leaves no time to change the legislation to add additional sports, in that the amendment-to-amendment period does not permit a proposal to be more expansive than its original version.

Presidents Council. No action was necessary.

5. CHAIR AND VICE CHAIR ELECTIONS.

b. Management Council Elections for 2019.

Management Council. The Council elected Stevie Baker-Watson, director of athletics at DePauw University, as chair and Heather Benning, executive director of the Midwest Conference, as vice chair.

Presidents Council. No action was necessary.

c. Presidents Council Leadership.

Presidents Council. All potential leadership candidates were encouraged to forward their interest to staff. The Presidents Council Nominations Subcommittee will discuss recommendations in September and forward a report to the Council at its October meeting.

6. DIVISION III INITIATIVES AND UPDATES.

a. Diversity and Inclusion Working Group.

Management Council. The Council reviewed feedback from the NCAA Regional Rules Seminars regarding the 2019 NCAA Convention legislation related to annual mandatory student-athlete graduation rate reporting and noted that additional membership education is needed.

Presidents Council. No action was necessary.

b. Sportsmanship and Game Environment Working Group.

Management Council. The Council received an update noting the following ongoing program development and next steps: (1) All Division III commissioners are scheduled to receive facilitator training through the Disney Institute in September; (2) NCAA staff is finalizing an assessment tool to better evaluate the short-term and long-term impact of the program; and (3) Work is ongoing in the development of an online training tool.

Presidents Council. No action was necessary.

c. FAR Engagement Working Group.

Management Council. The Council, endorsed, in concept, the FAR best practices resource that will be distributed to the membership in the 2018-19 academic year.

Presidents Council. No action was necessary.

d. LGBTQ Working Group.

Management Council. The Council endorsed, in concept, a LGBTQ logo and nondiscrimination policy guide. Per the Council's and national SAAC's feedback, the working group will make a minor change to the logo. The LGBTQ identity kit and the guide will be sent

to all Division III institutions and conference offices in August. The Council requested that the office of inclusion work with NCAA staff to transition to gender neutral language with all NCAA reports, resources, etc.

Presidents Council. No action was necessary.

e. Injury Surveillance Program.

Management Council. The Council endorsed a strategic plan related to the Injury Surveillance Program (ISP). The plan would focus on: (1) A membership-wide communication effort; (2) Distribution of a survey to gather additional information; (3) Collaboration with commissioners; (4) Creation of a call to action; and (5) Request institutions submit data on two sports over the course of three seasons.

Presidents Council. No action was necessary.

f. 360 Proof.

Management Council. The Council received an update on the continued work of 360 Proof. Out of 710 institutions eligible to use the program, 220 institutions are currently registered. The end of the year webinar data showed overall lower figures than the prior year, and low persistence numbers for webinar participants. An in-person strategy session will be held with NASPA officials on September 14 in Indianapolis to evaluate the program, its vision, and how to generate increased use by member institutions.

Presidents Council. No action was necessary.

g. Division III Identity Initiative.

Management Council. The Council received a status report on the Division III Identity Initiative including an update on the purchasing website, DIII/D3SIDA Recognition Award, social media, Special Olympics, new Division III Identity Initiative video and Division III Week.

Presidents Council. No action was necessary.

h. Technology Users Group.

Management Council. The Council received an update from the Technology Users Group noting that both the Conference Grant Program and the purchasing website for the Division III Identity Initiative will be moved to Single Source Sign-On. In addition, staff provided an update that starting next year, the NCAA will be using Genius Sports for its statistical collection. The software will be provided to the membership for free for the first three years. Each year, new sports will be phased in.

Presidents Council. No action was necessary.

i. 2018 Governance Scorecard Report.

Management Council. Staff provided the results of the 2017-18 governance scorecard. The scorecard is a tool that allows Division III committee members to evaluate how well NCAA staff liaisons performed specific tasks and how important those tasks were to committee members. It also aids staff in managing the performance of governance structure committee liaisons. Based on a five-point scale, the overall performance rating was 4.69 (slightly higher than the prior year).

Presidents Council. No action was necessary.

j. Feedback from Conference Meetings.

Management Council. The Council reviewed reports from various conference visits. No action was necessary.

Presidents Council. No action was necessary.

7. ASSOCIATION-WIDE UPDATES AND ISSUES.

a. Board of Governors (BOG) Update.

Management Council. The Council received an update on the NCAA's established eight working groups that have been conducting an in-depth review and analysis of each topical area. Staff noted the timetable that will have the Division I Council receiving final feedback at its August meeting. The Board of Governors will review the Association-wide recommendations, as well as the other working group recommendations, at its August meeting. The Division III Presidents Council will take legislative action accordingly at its August meeting regarding annual reporting requirements related to athletics compliance. Regarding the recommendation to add five public members to the Board of Governors, the initial recommendations include: (1) The BOG's executive committee will be responsible for vetting and nominating the public members. The BOG's executive committee has representation from all three divisions with the proposed addition of a public member; and (2) The public members' length of service would be three years and will be staggered for the initial terms of service.

The Council provided feedback received from the membership on the working groups, with the following specifically related to the Association-wide working group recommendations: (1) Communication prior to the vote will be critical to educate the membership; (2) BOG expansion represents an important opportunity to enhance the diversity of members (e.g., race, ethnicity, gender); and (3) The Council didn't note any significant concerns with the certification of compliance recommendation but did not favor establishing a related financial penalty.

Presidents Council. The Presidents Council endorsed the noncontroversial proposal from the BOG to require each school's president or chancellor to join all athletics staff members in personally affirming the athletics program meets the NCAA's membership obligations for institutional control and rules compliance – a compliance practice already in place on

Division III campuses. Schools also will have to confirm that, when they do not follow NCAA rules, they will cooperate fully with the NCAA and take appropriate corrective actions.

Individuals from any schools that fail to fulfill that obligation would lose their eligibility to serve on an NCAA board, Council or committee. The proposal will need to be ratified along with other noncontroversial legislation at the Division III Business Session at the 2019 Convention. If it is ratified, schools would need to comply with the new rule starting with the 2019-20 academic year.

The Presidents Council and Presidents Advisory Group, which includes a president representing each of Division III's conferences, also discussed at length the Board of Governors' proposal to add five independent voting members to the board, increasing its size from 20 to 25. Independent members would not have direct ties to NCAA schools. Because the legislation requires a change to the NCAA constitution, the proposal will be subject to an Association-wide vote at the NCAA Convention's plenary session, which will include delegates from all three divisions. The proposal would go into effect Aug. 1, 2019, if the membership votes to adopt it with a two-thirds majority.

b. Sport Science Institute (SSI) updates.

Management and Presidents Council. The Councils approved a recommendation to develop a white paper that fully explores relevant issues surrounding host and visitor care provisions, independent medical care, risk management and other pertinent concerns. The recommendation also requests a Division III-specific educational column to address the unchallengeable medical authority of host primary athletics health care providers when visiting teams travel without a provider. At the recommendation of the Committee on Competitive Safeguards and Medical Aspects of Sports (CSMAS), the Council withdrew previously approved noncontroversial legislation related to banned drugs and championship penalties. [See Page No. 18 d (1) (b)]

The Management Council also reviewed a draft of the most recent SSI interassociation guidelines on preventing catastrophic injury and death in college student-athletes. The guidelines have a sportsmanship focus; protective equipment section; acclimatization and conditioning; an emergency action plan; and strength and conditioning personnel guidelines. SSI anticipates the release of the guidelines in 2019, but not necessarily at the start of the academic year. The Council provided the following feedback related to the guidelines:

- The term "workout," used throughout the guideline, must be better defined.
- The recommended four-day transition period with one practice a day for the start of every playing season would be a new concept.
- Not every institution has a designated strength and conditioning coach, so it may be problematic to have all workout and conditioning sessions be approved by a credentialed strength and conditioning coach.
- Concerns with the practical implementation of exertional heat injury emergency requirements (e.g., 56-gallon tub, stirring implement, a minimum of two people).

- Requested SSI to provide educational resources to meet the requirement that everyone in athletics (e.g., coaches) received education and training to prevent catastrophic injuries.

SSI also reviewed its strategic priorities timeline noting the following: (1) Distribution of an executive summary from the Feb. Task Force on Football Data will be forthcoming; (2) Outcomes of the recent pain management task force; (3) A new template to support the recent adoption of noncontroversial concussion legislation; and (4) In June, the newly released mental health educational tools.

c. Litigation Update.

Management and Presidents Council. The Councils received a litigation update. No action was necessary.

8. ADJOURNMENT.

Management Council. The Council meeting adjourned at 3:59 p.m. Monday, July 16 and 11:26 a.m. Tuesday, July 17.

Presidents Council. The Presidents Council meeting adjourned at 9:21 p.m. Tuesday, August 7 and 12:15 p.m. Tuesday, August 8.



**REPORT OF THE NCAA DIVISION III
ADMINISTRATIVE COMMITTEE
AUGUST 30, 2018, TELECONFERENCE**

ACTION ITEM.

- **None.**

INFORMATIONAL ITEMS.

1. **Welcome and Roster.** The teleconference commenced at 3:04 p.m. Eastern time Thursday, August 30.
2. **2019 NCAA Convention Legislation.** The Administrative Committee reviewed and approved the following 2019 NCAA Convention legislative drafts sponsored by the Division III Presidents and Management Councils, consistent with the September 1 deadline.
 - a. Membership – Conditions and Obligations of Membership – Student-Athlete Graduation Rate Reporting. This proposal will include as a condition and obligation of Division III active membership, that an institution submit on an annual basis student-athlete graduation rate reporting data for the academic success rate (ASR) in a form prescribed by the Management Council; further to establish that annual championships eligibility is contingent upon submission of the ASR.
 - b. Amateurism – Pre-Enrollment Educational Expenses. This proposal would permit individuals to accept educational expenses (e.g., tuition, fees, room, board and books) prior to collegiate enrollment from any individual or entity other than an agent, professional sports team/organization or representative of an institution's athletics interests, provided such expenses are disbursed directly through the recipient's educational institution (e.g., preparatory school, high school).
 - c. Recruiting – Electronic Transmissions and Publicity – Comments Before Acceptance – Social Media. This proposal is to establish an exception to the restrictions on electronic transmissions and publicity before commitment legislation to permit athletics department staff members to (1) connect with (e.g., "friend," "follow," etc.) prospective student-athletes on social media platforms, and (2) take actions (e.g., "like," "favorite," republish, etc.) on social media platforms that indicate approval of content generated by users of the platforms other than institutional staff members or representatives of an institution's athletics interests.
 - d. Eligibility – Final Term Before Experiential Learning Requirement. This proposal would extend the existing final term exception to the full-time enrollment requirement to include student-athletes that are carrying (for credit) all courses necessary to complete degree requirements but have an outstanding experiential learning requirement.
 - e. Playing and Practice Seasons – Football Establishing Preseason Start Date 23 Days Before the Institution's First Permissible Contest. This proposal would amend the football preseason legislation as follows: (1) Establish the first permissible practice date as 23 days before the institution's first regular season contest; and (2) Require a day off from physical athletically related activity during each week of the preseason following the five-day acclimatization period.

3. **Communications and Education Plan Regarding Association-Wide Vote on Board of Governors Composition.** The committee discussed the proposed Association-wide communication plan and the importance of informing the Division III membership of the day and time of the vote. The following communication venues will be used: (1) Division III Monthly Update; (2) Membership-wide emails; (3) Teleconference with Division III commissioners, NCAA president Mark Emmert and Division III Presidents Council chair Jeff Docking; and (4) Management Council members presenting at conference meetings. The governance staff will inform the committee when it receives new communication information.
4. **Other Business.** None.
5. **Adjournment.** The teleconference concluded at 3:42 p.m. Eastern time.

Committee Chair: Jeff Docking, Adrian College

*Staff Liaisons: Dan Dutcher, Division III Governance
Jay Jones, Division III Governance
Debbie Kresge, Division III Governance
Louise McCleary, Division III Governance*

August 30, 2018	
Attendees	Absentees
Jeff Docking, Adrian College	
Sue Henderson, New Jersey City University	
Shantey Hill, St. Joseph's College (Long Island)	
Chris Kimball, California Lutheran University	
Kate Roy, North Atlantic Conference	
NCAA staff: Tiffany Alford Dan Dutcher Jay Jones Debbie Kresge Louise McCleary Jeff Myers Bill Regan	

DIVISION III PHILOSOPHY STATEMENT

Colleges and universities in Division III place the highest priority on the overall quality of the educational experience and on the successful completion of all students' academic programs. They seek to establish and maintain an environment in which a student-athlete's athletics activities are conducted as an integral part of the student-athlete's educational experience, and an environment that values cultural diversity and gender equity among their student-athletes and athletics staff.

- (a) Expect that institutional presidents and chancellors have the ultimate responsibility and final authority for the conduct of the intercollegiate athletics program at the institutional, conference and national governance levels;
- (b) Place special importance on the impact of athletics on the participants rather than on the spectators and place greater emphasis on the internal constituency (e.g., students, alumni, institutional personnel) than on the general public and its entertainment needs;
- (c) Shall not award financial aid to any student on the basis of athletics leadership, ability, participation or performance;
- (d) Primarily focus on intercollegiate athletics as a four-year, undergraduate experience;
- (e) Encourage the development of sportsmanship and positive societal attitudes in all constituents, including student-athletes, coaches, administrative personnel and spectators;
- (f) Encourage participation by maximizing the number and variety of sport offerings for their students through broad-based athletics programs;
- (g) Assure that the actions of coaches and administrators exhibit fairness, openness and honesty in their relationships with student-athletes;
- (h) Assure that athletics participants are not treated differently from other members of the student body;
- (i) Assure that student-athletes are supported in their efforts to meaningfully participate in nonathletic pursuits to enhance their overall educational experience;
- (j) Assure that athletics programs support the institution's educational mission by financing, staffing and controlling the programs through the same general procedures as other departments of the institution. Further, the administration of an institution's athletics program (e.g., hiring, compensation, professional development, certification of coaches) should be integrated into the campus culture and educational mission;

- (k) Assure that athletics recruitment compiles with established institutional policies and procedures applicable to the admission process;
- (l) Exercise institutional and/or conference autonomy in the establishment of initial and continuing eligibility standards for student-athletes;
- (m) Assure that academic performance of student-athletes is, at a minimum, consistent with that of the general student body;
- (n) Assure that admission policies for student-athletes comply with policies and procedures applicable to the general student body.
- (o) Provide equitable athletics opportunities for males and females and give equal emphasis to men's and women's sports;
- (p) Support ethnic and gender diversity for all constituents;
- (q) Give primary emphasis to regional in-season competition and conference championships; and
- (r) Support student-athletes in their efforts to reach high levels of athletics performance, which may include opportunities for participation in national championships, by providing all teams with adequate facilities, competent coaching and appropriate competitive opportunities.

The purpose of the NCAA is to assist its members in developing the basis for consistent, equitable competition while minimizing infringement on the freedom of individual institutions to determine their own special objectives and programs. The above statement articulates principles that represent a commitment to Division III membership and shall serve as a guide for the preparation of legislation by the division and for planning and implementation of programs by institutions and conferences.

NCAA Mission

What the brand wants to accomplish

To govern competition in a fair, safe, equitable and sportsmanlike manner, and to integrate intercollegiate athletics into higher education so that the educational experience of the student-athlete is paramount.

DIII Positioning Statement

Who we are

Follow your passions and discover your potential. The college experience is a time of learning and growth – a chance to follow passions and develop potential. For student-athletes in Division III, this happens most importantly in the classroom and through earning an academic degree. The Division III experience provides for passionate participation in a competitive athletics environment, where student-athletes push themselves to excellence and build upon their academic success with new challenges and life skills. And student-athletes are encouraged to pursue the full spectrum of opportunities available during their time in college. In this way, Division III provides an integrated environment for student-athletes to take responsibility for their own paths, follow their passions and find their potential through a comprehensive educational experience.

DIII Attributes

What we stand for

Proportion: appropriate relation of academics with opportunities to pursue athletics & other passions.	Comprehensive Learning: opportunity for broad-based education and success.	Passion: playing for the love of the game, competition, fun and self-improvement.	Responsibility: development of accountability through personal commitment and choices.	Sportsmanship: fair and respectful conduct toward all participants and supporters.	Citizenship: dedication to developing responsible leaders and citizens in our communities.
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NCAA Brand Attributes

Balance

Learning

Spirit

Character

Fair Play

Community

Audiences

Who we are addressing

Student-Athletes / Parents

DIII Internal Constituencies

General Public / Media

Audience Benefits

Key benefits of the DIII experience

- | | | |
|--|---|--|
| <ul style="list-style-type: none">- Continue to compete in a highly competitive athletics program and retain the full spectrum of college life.- Focus on academic achievement while graduating with a comprehensive education that builds skills beyond the classroom.- Access financial aid for college without the obligations of an athletics scholarship.- Opportunities to play more than one sport.- Be responsible for your own path, discover potential through opportunities to pursue many interests. | <ul style="list-style-type: none">- Academics are the primary focus for student-athletes. Shorter practice and playing seasons, no red-shirting and regional competition minimize time away from their academic studies and keep student-athletes on a path to graduation.- Student-athletes are integrated on campus and treated like all other members of the general student-body, keeping them focused on being a student first.- Participation in athletics provides valuable “life lessons” for student-athletes (teamwork, discipline, perseverance, leadership, etc) which often translate into becoming a better student and more responsible citizen. | <ul style="list-style-type: none">- Division III institutions develop student-athlete potential through a comprehensive educational approach.- Division III institutions offer athletics for the educational value and benefit to the student-athlete, not for the purposes of revenue generation or entertainment.- Participation in athletics provides valuable “life lessons” for student-athletes (teamwork, discipline, perseverance, leadership, etc) which often translate into becoming a better student and more responsible citizen.- Student-athletes compete in a highly competitive athletics program and retain the full spectrum of college life.- Student-athletes do not receive monetary incentive to play sports but rather participate for the love of the game. |
|--|---|--|

Reasons to Believe

Supporting features of DIII

- 1. Comprehensive educational experience.** Division III institutions develop student-athlete potential through a holistic educational approach that includes rigorous academics, competitive athletics and opportunity to pursue other interests and passions.
- 2. Integrated campus environment.** 26% of all students at Division III institutions participate in athletics. Those participating in athletics are integrated into the campus culture and educational missions of their colleges or universities:
 - Student-athletes are subject to admission and academic performance standards consistent with the general student body;
 - Student-athletes are not provided any special housing, services or support from their institution different from other students or student groups;
 - Athletics departments are regulated and managed through the same general procedures and practices as other departments of the institution.This integration of athletics allows the student-athletes to take full advantage of the many opportunities of campus life and their entire collegiate experience.
- 3. Academic focus.** Student-athletes most often attend a college or university in Division III because of the excellent academic programs, creating a primary focus on learning and achievement of their degree. The division minimizes the conflicts between athletics and academics through shorter playing and practicing seasons, the number of contests, no red-shirting or out-of-season organized activities and a focus on regional in-season and conference play.

- 4. Available financial aid.** 75% of all student-athletes in Division III receive some form of grant or non-athletics scholarship. Student-athletes have equal opportunity and access to financial aid as the general student body – but are not awarded aid based on athletics leadership, ability, performance or participation.
 - Division III does not award athletics scholarships. Without the obligation of an athletics scholarship, student-athletes can emphasize academics, athletics and other opportunities of college life appropriate to the necessary commitment and their own passions.
- 5. Competitive athletics programs.** Student-athletes do not receive any monetary incentive (athletics scholarship) to play sports in college. They play for the love and passion of the game and to push themselves to be their best, creating an intense, competitive athletics environment for all who participate.
- 6. National championship opportunities.** Division III has over 190,000 student-athletes competing annually in 37 different national championships. These competitions provide an opportunity for student-athletes to compete at the highest level and fulfill their athletics potential.
- 7. Commitment to athletics participation.** Division III institutions are committed to a broad-based program of athletics because of the educational value of participation for the student-athlete. The division has a higher number and wider variety of athletics opportunities on average than any other division in the NCAA, emphasizing both competitive men’s and women’s sports.





**REPORT OF THE
DIVISION III CONVENTION PLANNING SUBCOMMITTEE
SEPTEMBER 4, 2018, TELECONFERENCE**

ACTION ITEMS.

- **None.**

INFORMATIONAL ITEMS.

1. **Welcome.** The chair, Heather Benning, commenced the teleconference at 2 p.m. Eastern time, and welcomed the subcommittee.
2. **Minutes of the June 12, 2018, teleconference.** The subcommittee reviewed and approved the minutes.
3. **Educational Session topics.** The subcommittee reviewed the final descriptions of the Division III educational sessions for the 2019 Convention and provided areas of emphasis and education:
 - a. Sexual Violence Prevention: Promoting a Safe Culture on Division III Campuses. This session will provide a panel discussion of the NCAA Board of Governors sexual violence prevention policy, specifically focusing on its impact and implementation on Division III campuses. The panel will share resources and best practices.
 - b. Fundraising: A Roadmap to Success. This session will explore the essentials of fundraising, stakeholder engagement, and fundraising models to assist athletics departments in fulfilling institutional/department goals. Content areas will include strategic facility fundraising; athletics annual operational fundraising; electronic fundraising; and collaboration best practices between athletics and campus development offices.
 - c. The Athletic Program in Transition: Adding and Dropping Sports and Other Changes. (NADIII AA) Athletic programs are becoming more entwined with the institution's strategic planning processes. For many tuition-driven institutions, it can mean being a part of campus growth plans by attracting a specific cohort of prospective students. For some, it may mean assuming a significant role in retrenchment efforts or the redirection of campus resources to meet changing priorities or financial challenges. Add the evolution of Division III programs toward more full-time head and assistant coaches, with administrative or teaching duties, the landscape is changing and impacting institutional and departmental visions. This panel will address strategic approaches to these decision-making and planning challenges, drawing upon the experiences of experts in the field and administrators who have met challenges – such as adding or dropping sports, growing or contracting staff, redirecting the mission of their program – head-on within their own institutions.

Overall, the subcommittee noted that the presenters need to fully engage the audience and provide a question and answer period.

4. **Association-wide Educational Sessions.** The subcommittee reviewed the descriptions of the Association-wide educational sessions.
5. **The Association-Wide Business Session.** There will be an Association-wide vote immediately following the Plenary Session at 5:45 p.m. Delegates will receive a voting unit upon arrival.
6. **Division III Governance Related Sessions.**
 - a. Athletics Direct Report Institute. This session is closed (by nomination only). The selection committee chose approximately 40 athletics direct reports. The Institute will take place Wed., Jan. 23, from 2 to 5 p.m. and Thurs., Jan. 24, from 8 a.m. to 5 p.m.
 - b. Division III Student Immersion Program. Nominations will close at 5 p.m. Eastern time September 30 and staff anticipates 90 to 100 applications. A subgroup of the Diversity and Inclusion Working Group will select 40 participants in early October. This program will begin Wed., Jan. 23, from 3 to 5:30 p.m. and have events each day, with a debrief session Saturday, Jan. 26, from 11 a.m. to noon.
 - c. Chancellors/Presidents Programming.
 - (1) Presidents and Chancellors Engagement Programming. This session is open to all chancellors and presidents in Divisions I, II and III. The session will take place Thurs., Jan. 24, from 8:30 to 10 a.m. All presidents and chancellors within their first 12 months of their presidency will receive a \$200 honorarium.
 - (2) Presidents and Chancellors Forum and Luncheon. This session is open to all Division III chancellors and presidents and will take place Thurs., Jan. 24, from 11:30 a.m. to 1 p.m.
 - (3) Educational Programming. Similar to last year, the NCAA will host an educational session Thurs., Jan. 24, from 2:30 to 4 p.m. specifically for presidents and chancellors.
 - d. Student-Athlete Advisory Committee (SAAC) Programming.
 - (1) Special Olympics Unified Sports Activity. This activity is scheduled for Thurs., Jan. 24, from 1 to 3 p.m. It is open to all Convention attendees. Special Olympics Florida is working with the Division III National SAAC to determine the sport activity.
 - (2) Programming for Students. SAAC has identified several sessions that will be most beneficial to Division III student attendees [Attachment]. Staff noted the NCAA Convention registration fee for students is \$50.

e. Issues Forum. Friday, Jan. 25.

- The subcommittee confirmed the Issues Forum format will include a brief presentation with 10- to 15-minute roundtable discussions followed by Q&A. The subcommittee reviewed and confirmed the discussion topics and provided feedback on the content.
 - (a) 2018 Division III Membership Survey Results. The subcommittee discussed addressing the following topics from the membership survey results:
 - (1) Reconciliation of an affirmation that the Division III budget allocation stay at 75/25 but a strong desire to increase “enhancements” of the championship experience within a finite revenue source.
 - (2) Student-athlete health and safety as a nonchampionships priority. What specifically does the membership seek in this area?
 - i. Risk management for institutions and conferences.
 - ii. SSI strength and conditioning education.
 - (3) Permissive/restrictive legislative approach.
 - i. What philosophical tenets inform whether Division III is more or less permissive with legislation?
 - ii. While a majority of the membership believes Division III is legislatively “at the right spot,” survey results also show that there is majority support to be more permissive. In what areas does the membership feel this way?
 - iii. Consideration of athletics leadership in awarding of financial aid.
 - (4) Leveraging athletics enrollment and impact on budgeting. Also look at the impact on personnel and facilities.

The subcommittee will complete a survey on these proposed topics and have the final list of three preferred topics for review by the Division III Management Council at its October meeting. The subcommittee will discuss and finalize during its November 5 teleconference.

During its November teleconference, the subcommittee will divide into three subgroups to assist Research in the development of each roundtable session.

- (b) Convention Legislative Proposals Q&A/Open Forum. The subcommittee determined it preferred a format that provided pros and cons followed by an open Q&A. On the Nov. 5 teleconference the subcommittee will earmark proposals that should be discussed in greater detail.
 - (c) Debrief on the Association-Wide Vote. The subcommittee discussed and suggested reserving time to discuss the Association-wide vote outcome.
- f. Business Session: Saturday, Jan. 26. The subcommittee discussed and endorsed the following format:
 - 8 to 8:15 a.m. Welcome.
 - 8:15 to 8:30 a.m. Division III Identity Video.
 - 8:30 to 11 a.m. Voting on 2019 Legislative Proposals.
 - (1) Division III Identity Video.
 - (2) Voting – 2019 Legislative Proposals – there are six proposals, five sponsored by the governance structure and one from the membership. While there are only a few proposals, staff anticipates a healthy discussion and debate. Staff advised the subcommittee members to book their return flight no earlier than 2 p.m.
- 7. **2019 NCAA Division III Convention schedule.** The subcommittee reviewed the draft schedule noting the Division III specific programming that will take place during Convention.
- 8. **2019 Convention-Planning Timeline.** The subcommittee reviewed the timeline and noted the Nov. and Dec. teleconference dates.
- 9. **Teleconferences.** The next teleconferences are scheduled for:
 - a. 3 p.m. Eastern time Mon., Nov. 5.
 - b. 1 p.m. Eastern time Tues., Dec. 11.
- 10. **Other business:** The subcommittee noted the opening of the NCAA Convention registration is Wed., Sept. 12. Early bird registration ends October 9 at which time the registration fees increase from \$275 to \$400. Transportation will be available between the hotels and additional meeting space has been reserved at the Gaylord Palms. All menu sessions will be held at the Marriott World Center.

11. Adjournment. The teleconference was adjourned at 2:50 p.m. Eastern time.

Committee Chair: Heather Benning, Midwest Conference
Staff Liaisons: Louise McCleary, Division III Governance
 Debbie Brown, Division III Governance

NCAA Division III Convention Planning Subcommittee September 4, 2018, Teleconference	
Attendees:	
Heather Benning, Midwest Conference	
Sean Cain, Adrian College, Michigan Intercollegiate Athletic Association	
Tiffany Franks, Averett University, USA South Athletic Conference	
Brian Granata, Arcadia University, Middle Atlantic Conference	
Joe Hakes, Illinois Institute of Technology, Northern Collegiate Athletic Association	
Shantey Hill, St. Joseph's College (Long Island), Skyline Conference	
Greg Johnson, North Central University, Upper Midwest Athletic Conference	
Scott Kilgallon, Webster University, St. Louis Intercollegiate Athletic Conference	
Lori Mazza, Western Connecticut State University, Little East Conference	
Lisa Melendy, Williams College, New England Small College Athletic Conference	
Joe Onderko, Presidents' Athletic Conference	
Lori Runksmeier, Eastern Connecticut State University, Little East Conference	
Kandis Schram, Maryville College (Tennessee), USA South Athletic Conference	
Kent Trachte, Lycoming College, Middle Atlantic Conference	
Kellen Wells-Mangold, University of Wisconsin-River Falls,	
Absentees	
Julie Kline, Earlham College, Heartland Collegiate Athletic Conference	
Guests in Attendance	
Jessi Faulk, Mike King, Stacey Preston, Crystal Reimer and Emily Tisdale.	
NCAA Staff Support in Attendance	
Debbie Brown and Louise McCleary.	

2019 NCAA Convention
Division III Student Schedule

<u>SESSION</u>	<u>TIME</u>
<u>WEDNESDAY, JANUARY 23</u>	
Honors Celebration (Requires ticket)	6 to 9 p.m.
<u>THURSDAY, JANUARY 24</u>	
SAAC sponsored luncheon with Division III student attendees	11:30 a.m. to 1 p.m.
Special Olympics Unified Sports Experience, sponsored by Division III	1:15 to 3 p.m.
NCAA Plenary Session: State of College Sports	4:30 to 6 p.m. (doors open at 4:15 PM)
Delegates Reception	6 to 7:30 p.m.
Division III student social mixer hosted by Division III National SAAC	7:30 to 9:30 p.m.
<u>FRIDAY, JANUARY 25</u>	
Division III Issues Forum	8 to 11:15 a.m.
Association Luncheon (Requires ticket)	11:30 a.m. to 1 p.m.
<u>SATURDAY, JANUARY 26</u>	
Division III Business Session (students sit with their school's conference)	8 to 11 a.m.

	FY 2016 - 2017			FY 2017 - 2018			FY 2018 - 2019		
	Actual	Budget	Variance	Actual	Budget	Variance	Actual	Budget	Variance
Revenue									
DII/DIII Allocation-Based Revenue	\$32,276,861	\$29,695,153	\$2,581,708	\$31,431,970	\$31,155,829	\$276,141	\$4,083,095	\$32,237,370	(\$28,154,275)
Additional Revenue from Membership Dues Increase								\$519,000	(\$519,000)
Other Non-DII/DIII Revenue	\$77,485	\$0	\$77,485	\$76,135	\$46,250	\$29,885	\$0	\$0	\$0
Revenue Total	\$32,354,346	\$29,695,153	\$2,659,193	\$31,508,105	\$31,202,079	\$306,026	\$4,083,095	\$32,756,370	(\$28,673,275)
Expenses									
Championship Expenses									
Men's Championships									
DIII Men's Baseball	\$1,654,685	\$1,963,071	\$308,386	\$2,214,380	\$2,218,000	\$3,620	\$1,399	\$2,332,000	\$2,330,601
DIII Men's Basketball	\$1,136,845	\$1,039,241	(\$97,604)	\$1,284,977	\$1,142,000	(\$142,977)	\$1,113	\$1,169,000	\$1,167,887
DIII Men's Cross Country	\$550,629	\$560,320	\$9,691	\$595,832	\$576,500	(\$19,332)	\$0	\$592,500	\$592,500
DIII Men's Football	\$1,754,917	\$1,906,585	\$151,668	\$2,305,524	\$1,982,500	(\$323,024)	\$0	\$2,036,500	\$2,036,500
DIII Men's Golf	\$536,584	\$563,541	\$26,957	\$602,252	\$607,500	\$5,248	\$5,649	\$621,500	\$615,851
DIII Men's Ice Hockey	\$271,667	\$340,417	\$68,750	\$418,395	\$370,000	(\$48,395)	\$0	\$376,000	\$376,000
DIII Men's Lacrosse	\$600,594	\$480,824	(\$119,770)	\$647,071	\$622,000	(\$25,071)	\$0	\$634,000	\$634,000
DIII Men's Soccer	\$1,251,282	\$1,101,694	(\$149,588)	\$1,248,150	\$1,225,400	(\$22,750)	\$0	\$1,244,400	\$1,244,400
DIII Men's Swimming and Diving	\$636,166	\$531,072	(\$105,094)	\$598,095	\$619,000	\$20,905	\$0	\$629,000	\$629,000
DIII Men's Tennis	\$584,248	\$631,301	\$47,053	\$691,143	\$680,500	(\$10,643)	\$0	\$698,000	\$698,000
DIII Men's Track Indoor	\$515,085	\$625,267	\$110,182	\$774,526	\$682,500	(\$92,026)	\$540	\$840,500	\$839,960
DIII Men's Track Outdoor	\$809,508	\$795,214	(\$14,294)	\$922,434	\$907,500	(\$14,934)	\$458	\$929,500	\$929,042
DIII Men's Volleyball	\$186,131	\$180,281	(\$5,850)	\$277,130	\$235,000	(\$42,130)	\$0	\$315,000	\$315,000
DIII Men's Wrestling	\$387,882	\$391,535	\$3,653	\$434,908	\$440,500	\$5,592	\$75	\$451,500	\$451,425
Total	\$10,876,223	\$11,110,363	\$234,140	\$13,014,818	\$12,308,900	(\$705,918)	\$9,234	\$12,869,400	\$12,860,166
Women's Championships									
DIII Women's Basketball	\$1,062,826	\$1,350,348	\$287,522	\$1,202,747	\$1,231,000	\$28,253	\$551	\$1,253,000	\$1,252,449
DIII Women's Cross Country	\$581,093	\$581,323	\$230	\$595,000	\$597,000	\$2,000	\$0	\$614,000	\$614,000
DIII Women's Field Hockey	\$362,313	\$447,830	\$85,517	\$575,621	\$494,000	(\$81,621)	\$0	\$504,000	\$504,000
DIII Women's Golf	\$343,523	\$333,377	(\$10,146)	\$417,563	\$389,550	(\$28,013)	\$3,000	\$407,550	\$404,550
DIII Women's Ice Hockey	\$316,469	\$296,004	(\$20,465)	\$219,181	\$271,000	\$51,819	\$0	\$314,000	\$314,000
DIII Women's Lacrosse	\$759,179	\$746,444	(\$12,735)	\$914,629	\$834,000	(\$80,629)	\$150	\$851,000	\$850,850
DIII Women's Rowing	\$210,989	\$317,709	\$106,720	\$345,238	\$413,000	\$67,762	\$0	\$427,000	\$427,000
DIII Women's Soccer	\$1,146,681	\$1,263,436	\$116,755	\$1,219,224	\$1,305,000	\$85,776	\$0	\$1,327,000	\$1,327,000
DIII Women's Softball	\$1,349,031	\$1,453,315	\$104,284	\$1,776,627	\$1,563,000	(\$213,627)	(\$809)	\$1,597,000	\$1,597,809
DIII Women's Swimming and Diving	\$535,043	\$543,662	\$8,619	\$639,708	\$683,000	\$43,292	\$0	\$695,000	\$695,000
DIII Women's Tennis	\$628,389	\$664,143	\$35,754	\$695,575	\$720,500	\$24,925	(\$810)	\$740,000	\$740,810
DIII Women's Track Indoor	\$482,769	\$626,450	\$143,681	\$701,113	\$649,500	(\$51,613)	\$0	\$762,500	\$762,500
DIII Women's Track Outdoor	\$762,570	\$823,161	\$60,591	\$922,958	\$915,500	(\$7,458)	(\$673)	\$937,500	\$938,173
DIII Women's Volleyball	\$913,876	\$1,031,544	\$117,668	\$1,096,389	\$1,127,500	\$31,111	(\$510)	\$1,153,500	\$1,154,010
Total	\$9,454,752	\$10,478,746	\$1,023,994	\$11,321,573	\$11,193,550	(\$128,023)	\$900	\$11,583,050	\$11,582,150
Championship Expenses	\$20,330,975	\$21,589,109	\$1,258,134	\$24,336,391	\$23,502,450	(\$833,941)	\$10,134	\$24,452,450	\$24,442,316
Overhead Allocation	\$374,000	\$343,000	(\$31,000)	\$410,000	\$428,000	\$18,000		\$422,000	\$422,000
Total Championship Expense	\$20,704,975	\$21,932,109	\$1,227,134	\$24,746,391	\$23,930,450	(\$815,941)	\$10,134	\$24,874,450	\$24,864,316

	FY 2016 - 2017			FY 2017 - 2018			FY 2018 - 2019		
	Actual	Budget	Variance	Actual	Budget	Variance	Actual	Budget	Variance
Non-Championship Expenses									
Conference Grants	\$2,537,821	\$2,541,000	\$3,179	\$2,791,532	\$2,795,100	\$3,568	\$3,042,605	\$3,042,600	(\$5)
Intern Program	\$1,088,724	\$1,130,000	\$41,276	\$1,089,884	\$1,130,000	\$40,116	\$1,118,102	\$1,130,000	\$11,898
Strategic Alliance Matching Grant	\$608,420	\$708,600	\$100,180	\$589,725	\$708,600	\$118,875	\$566,422	\$708,600	\$142,178
Leadership Conference	\$344,949	\$365,000	\$20,051	\$366,642	\$365,000	(\$1,642)	\$0	\$365,000	\$365,000
Identity Initiative	\$342,743	\$360,000	\$17,257	\$312,697	\$300,000	(\$12,697)	\$25,900	\$300,000	\$274,100
Diversity Initiatives	\$284,742	\$231,000	(\$53,742)	\$255,519	\$250,000	(\$5,519)	\$28,335	\$250,000	\$221,665
Injury Surveillance and Testing							\$0	\$104,500	\$104,500
Coaches and Administrators Diversity							\$0	\$100,000	\$100,000
360 Proof	\$124,744	\$176,000	\$51,256	\$111,264	\$125,000	\$13,736	\$21,344	\$125,000	\$103,656
Sportsmanship	\$523,325	\$50,000	(\$473,325)	\$228,694	\$250,000	\$21,306	\$39,163	\$250,000	\$210,838
ADR Institute	\$93,769	\$107,500	\$13,732	\$80,877	\$90,000	\$9,123	(\$124)	\$90,000	\$90,124
FAR Orientation/Institute	\$91,882	\$86,500	(\$5,382)	\$58,850	\$85,000	\$26,150	\$0	\$85,000	\$85,000
Leadership Development Initiatives DIII	\$80,000	\$80,000	\$0	\$86,662	\$80,000	(\$6,662)	\$0	\$100,000	\$100,000
LGBTQ							\$0	\$100,000	\$100,000
AD and Commissioner Orientation	\$71,942	\$60,000	(\$11,942)	\$78,886	\$85,000	\$6,114	\$1,500	\$85,000	\$83,500
NCAA Annual Convention	\$37,443	\$70,000	\$32,557	\$72,485	\$70,000	(\$2,485)	\$0	\$70,000	\$70,000
Athletics Administrator Partnership (NADIIIAA)	\$52,023	\$52,000	(\$23)	\$75,000	\$75,000	\$0	\$0	\$75,000	\$75,000
Administrative	\$145	\$4,000	\$3,855	\$49,303	\$85,300	\$35,997	\$0	\$95,300	\$95,300
Academic All-America Program (Co-SIDA)	\$39,500	\$44,000	\$4,500	\$39,500	\$44,000	\$4,500	\$0	\$44,000	\$44,000
Insurance	\$48,460	\$41,000	(\$7,460)	\$40,960	\$41,000	\$40	\$0	\$41,000	\$41,000
Special Olympics	\$38,847	\$35,000	(\$3,847)	\$38,341	\$35,000	(\$3,341)	\$0	\$35,000	\$35,000
Other Initiatives	\$24,994	\$25,000	\$7	\$35,262	\$35,000	(\$262)	\$0	\$35,000	\$35,000
Female Athletics Administrator Support (NACWAA)	\$28,000	\$28,000	\$0	\$30,000	\$30,000	\$0	\$0	\$30,000	\$30,000
Membership Learning Management							\$278	\$25,000	\$24,722
Working Groups	\$16,301	\$16,000	(\$301)	\$14,565	\$35,000	\$20,435	\$0	\$20,000	\$20,000
SAAC April and Associate Member Meetings	\$15,716	\$15,000	(\$716)	\$16,039	\$25,000	\$8,961	\$0	\$90,000	\$90,000
Conference Commissioner Meetings	\$16,237	\$20,000	\$3,763	\$10,497	\$20,000	\$9,503	\$0	\$20,000	\$20,000
Administrator and Commissioner Mtg (NADIIIAA and	\$9,594	\$10,000	\$406	\$19,711	\$10,000	(\$9,711)	\$391	\$10,000	\$9,609
CoSIDA DIII Day				\$22,537	\$0	(\$22,537)	\$150	\$15,000	\$14,850
Staff Professional Development							\$0	\$7,000	\$7,000
Exploratory/Provisional Membership				\$9,442	\$0	(\$9,442)	\$47	\$0	(\$47)
Non-Championship Expenses	\$6,520,320	\$6,255,600	(\$264,720)	\$6,524,876	\$6,769,000	\$244,124	\$4,844,111	\$7,448,000	\$2,603,889
Overhead Allocation	\$944,000	\$1,054,000	\$110,000	\$1,031,000	\$1,128,000	\$97,000		\$1,062,000	\$1,062,000
Total Non-Championship Expense	\$7,464,320	\$7,309,600	(\$154,720)	\$7,555,876	\$7,897,000	\$341,124	\$4,844,111	\$8,510,000	\$3,665,889
Total Division III Expenses	\$28,169,295	\$29,241,709	\$1,072,414	\$32,302,266	\$31,827,450	(\$474,816)	\$4,854,246	\$33,384,450	\$28,530,204
Surplus (Deficit)	\$4,185,051	\$453,444		(\$794,161)	(\$625,371)		(\$771,151)	(\$628,080)	
Add: Prior Year Reserve Balance	\$25,819,680	\$25,819,680		\$30,004,731	\$30,004,731		\$29,210,570	\$29,210,570	
Estimated Reserve Balance	\$30,004,731	\$26,273,124		\$29,210,570	\$29,379,360		\$28,439,419	\$28,582,490	

DIII Future Projections

Assumptions:

- Game Operations increases by X% each fiscal year based on FY2009-10 thru FY2015-16 average increases. Actual growth rate is 4.6%
- Committee expenses increase by X% each fiscal year based on FY2011-12 thru FY2015-16 average increases. Actual growth rate is -2.1%
- Team Transportation increases by X% each fiscal year based on cost per traveler analysis for FY2008-09 thru FY2016-17.
- Maintain 75%/25% ratio of championships to non-championships spending thru 2024 with draw on reserve to cover certain champs and non-championships enhancements over the same period.

3.00%
0.00%
3.75%

[DIII Champs Assumption Analysis 16-17](#)

[DIII Champs Assumption Analysis 16-17](#)

Presentation to CFO on Travel Models by division

The National Collegiate Athletic Association

Division III Budget Projections

	2017-18 Actual	2018-19 Budget	2019-20 Projection	2020-21 Projection	2021-22 Projection	2022-23 Projection	2023-24 Projection
Revenue:							
Division III 3.18% Revenue Allocation	\$ 31,431,970	\$ 32,237,370	\$ 33,012,370	\$ 33,789,370	\$ 34,472,370	\$ 34,617,370	\$ 34,668,370
Draw from DIII Reserve							
Additional Revenue from Membership Dues Increase	\$ -	\$ 519,000	\$ 519,000	\$ 519,000	\$ 519,000	\$ 519,000	\$ 519,000
Division III Other Revenue	76,135	-	-	-	-	-	-
Total Revenue	\$ 31,508,105	\$ 32,756,370	\$ 33,531,370	\$ 34,308,370	\$ 34,991,370	\$ 35,136,370	\$ 35,187,370
Projected Revenue Increase	-2.6%	2.6%	2.4%	2.4%	2.0%	0.4%	0.1%
Expenses:							
Championships Game Operations	4,528,254	4,777,150	4,920,465	5,068,078	5,220,121	5,376,724	5,538,026
Championships Committee	347,580	401,400	401,400	401,400	401,400	401,400	401,400
Championships Team Transportation	10,666,519	10,240,000	10,624,000	11,022,400	11,435,740	11,864,580	12,309,502
Championships Per Diem	7,014,220	7,207,200	7,207,200	7,636,200	7,636,200	7,636,200	7,636,200
Championships Overhead Allocation ⁴	410,000	422,000	435,000	448,000	461,000	475,000	489,000
<i>Total Championship Expenses</i>	22,966,573	23,047,750	23,588,065	24,576,078	25,154,461	25,753,905	26,374,128
Non-Championships Base Budget	6,458,872	6,929,000	7,149,000	7,320,000	7,457,000	7,458,000	7,435,000
Non-Championships Initiatives	-	-	-	-	-	-	-
Non-Championships Overhead Allocation ⁴	1,031,000	1,062,000	1,094,000	1,127,000	1,161,000	1,196,000	1,232,000
<i>Total Non-Championship Expenses</i>	7,489,872	7,991,000	8,243,000	8,447,000	8,618,000	8,654,000	8,667,000
Total Division III Expenses (before supplemental spending)	\$ 30,456,445	\$ 31,038,750	\$ 31,831,065	\$ 33,023,078	\$ 33,772,461	\$ 34,407,905	\$ 35,041,128
Net Change in Fund Balance (before supplemental spending)	\$ 1,051,660	\$ 1,717,620	\$ 1,700,306	\$ 1,285,292	\$ 1,218,909	\$ 728,465	\$ 146,242
Supplemental items:							
Local ground transportation for individual sports	580,426	602,000	625,000	648,000	672,000	697,000	723,000
Local ground transporation for team sports	493,864	512,000	531,000	551,000	572,000	593,000	615,000
Increase in travel party size for team sports	705,528	712,700	720,700	756,000	764,000	772,000	781,000
Supplemental Championships Spending from reserve ²	1,779,818	1,826,700	1,876,700	1,955,000	2,008,000	2,062,000	2,119,000
Supplemental Non-Championships Spending from reserve	66,000	519,000	519,000	519,000	519,000	519,000	519,000
<i>Total Supplemental Spending</i>	1,845,818	2,345,700	2,395,700	2,474,000	2,527,000	2,581,000	2,638,000
Division III Membership Dues Credit	-	-	-	-	-	-	-
Total Division III Expenses (after supplemental spending)	\$ 32,302,263	\$ 33,384,450	\$ 34,226,765	\$ 35,497,078	\$ 36,299,461	\$ 36,988,905	\$ 37,679,128
Net Change in Fund Balance (after supplemental spending)	\$ (794,158)	\$ (628,080)	\$ (695,395)	\$ (1,188,708)	\$ (1,308,091)	\$ (1,852,535)	\$ (2,491,758)
Projected Expense Increase	14.7%	3.4%	2.5%	3.7%	2.3%	1.9%	1.9%
Beginning Fund Balance (Projected Reserve and Unallocated Funds)	\$ 30,004,731	\$ 29,210,573	\$ 28,582,493	\$ 27,887,099	\$ 26,698,391	\$ 25,390,300	\$ 23,537,765
Less:							
Net Change in Fund Balance	(794,158)	(628,080)	(695,395)	(1,188,708)	(1,308,091)	(1,852,535)	(2,491,758)
Ending Fund Balance (Projected Reserve and Unallocated Funds)	\$ 29,210,573	\$ 28,582,493	\$ 27,887,099	\$ 26,698,391	\$ 25,390,300	\$ 23,537,765	\$ 21,046,007
Fund Balance	\$ 29,210,573	\$ 28,582,493	\$ 27,887,099	\$ 26,698,391	\$ 25,390,300	\$ 23,537,765	\$ 21,046,007
Less allocated funding:							
Championship Contingency (Note 4)	-	-	-	-	-	-	-
Encumbered for future year programs	-	-	-	-	-	-	-
Available Fund Balance	\$ 29,210,573	\$ 28,582,493	\$ 27,887,099	\$ 26,698,391	\$ 25,390,300	\$ 23,537,765	\$ 21,046,007
Event Cancellation Insurance Policy	-	-	-	-	-	-	-
<i>Total Reserve Funding Available</i>	\$ 29,210,573	\$ 28,582,493	\$ 27,887,099	\$ 26,698,391	\$ 25,390,300	\$ 23,537,765	\$ 21,046,007
Mandated Reserve ¹	(15,715,985)	(16,118,685)	(16,506,185)	(16,894,685)	(17,236,185)	(17,308,685)	(17,334,185)
Cash available in excess of reserve policy	\$ 13,494,588	\$ 12,463,808	\$ 11,380,914	\$ 9,803,706	\$ 8,154,115	\$ 6,229,080	\$ 3,711,822

Notes:

- ¹ Mandated reserve is 50% of the annual DIII revenue allocation in cash beginning in fiscal year 2017-18. The division also holds a separate event cancellation insurance policy with a \$5M limit.
- ² Supplemental championships spending is earmarked for individual/team local ground transportation and returning travel party sizes to 2013-14 levels. This supplemental spending would be evaluated first for elimination in the event of an operating deficit.
- ³ Amount includes inflationary increase from prior year amount (light blue highlight).
- ⁴ All amounts for 2017-18 are unaudited amounts. Overhead estimates were updated September 2018 based on current information.

Annual TO SOLVE value for Championships	425,382	9,790	(401,625)	(870,871)
Change in Annual TO SOLVE value	(374,444)	(415,592)	(411,415)	(469,246)
Cumulative Impact on Cash Reserve if not resolved	3,056,807	3,066,597	2,664,972	1,794,101
Percentage DIII Spend - Championships	75%	74%	74%	74%
Percentage DIII Spend - Non-Championships	25%	26%	26%	26%



**REPORT OF THE
NCAA DIVISION III PRESIDENTS COUNCIL AND MANAGEMENT COUNCIL
JOINT LEGISLATIVE STEERING SUBCOMMITTEE
JULY 24, 2018, TELECONFERENCE**

KEY ITEM.

- **Review of 2019 NCAA Convention Proposals.** The NCAA Division III Presidents Council and Management Council Joint Legislative Steering Subcommittee reviewed seven potential Convention proposals. One of the proposals is a membership-sponsored proposal (which has been properly co-sponsored). The remaining six proposals have been sponsored by the Management Council and will be reviewed by the Presidents Council in August.

The subcommittee identified the one membership-sponsored proposal as requiring Presidents Council review. The Presidents Council may co-sponsor the proposal. If the Presidents Council chooses not to co-sponsor the proposal, it will then at its October meeting review feedback from committees and either support the proposal, oppose the proposal or take no position on the proposal. (See Addendum A.)

The subcommittee identified three Management Council proposals for Presidents Council review (two of which have already been sponsored by Presidents Council). For the proposal not previously sponsored by Presidents Council, the Presidents Council shall do one of the following: (1) Sponsor the proposal. The Presidents Council would do this if it agrees the issues are presidential, approves of the proposal and is willing to advocate for it at the Convention. (2) Oppose the proposal. The Presidents Council would do this if it did not want the proposal to go to the membership for a vote. The Presidents Council's opposition would rescind the Management Council's sponsorship. (3) Take no action on the proposal. The Presidents Council does not have to sponsor or oppose the proposal if it determines that the issues are not presidential. With this option, the Presidents Council would be deferring to the Management Council and the proposal would go forward for a Convention vote as sponsored by the Management Council. (See Addendum B.)

No action is necessary on the two remaining proposals already sponsored by Presidents Council provided the Presidents Council agrees with the subcommittee that the issues remain presidential.

ACTION ITEMS.

- **None.**

INFORMATIONAL ITEMS.

- **Review of Guiding Principles.** The subcommittee reviewed the guiding principles for evaluating proposals.

Committee Chair: Chris Kimball, California Lutheran University

Staff Liaisons: Dan Dutcher, Governance

Louise McCleary, Governance

Jeff Myers, Academic and Membership Affairs

NCAA Division III Presidents Council and Management Council Joint Legislative Steering Subcommittee July 24, 2018, Teleconference	
Attendees:	
Mary Beth Cooper, Springfield College.	
Jeffrey Docking, Adrian College.	
William J. Fritz, College of Staten Island.	
Shantey Hill, St. Joseph's College (Long Island).	
Tim Millerick, Austin College.	
Dennis Shields, University of Wisconsin, Platteville.	
Absentees:	
Robert Davis, Jr., The University of Scranton.	
Chris Kimball, California Lutheran University.	
NCAA Staff Liaisons in Attendance:	
Louise McCleary and Jeff Myers.	
Other NCAA Staff Members in Attendance:	
Jay Jones and Kaitlyn Purcell.	



**July 2018 Joint Legislative Steering Subcommittee
2019 NCAA Convention Membership-Sponsored Legislation**

The NCAA Division III Presidents Council and Management Council Joint Legislative Steering Subcommittee identified the one membership-sponsored proposal for the NCAA Division III Presidents Council review. The Presidents Council may co-sponsor the proposal. If the Presidents Council chooses not to co-sponsor the proposal, it will then at its October meeting review feedback from committees and either support the proposal, oppose the proposal or take no position on the proposal.

Title and Intent	Rationale
<p>PLAYING & PRACTICE SEASONS -- FIELD HOCKEY AND SOCCER PRESEASON -- ESTABLISHING A THREE-DAY ACCLIMATIZATION PERIOD</p> <p><u>Intent:</u> To amend preseason practice in the sports of field hockey and soccer as follows: (1) to add three additional days to the preseason practice period; (2) to require an acclimatization period during the first three days of the preseason practice period, during which a team would be limited to one single practice session no longer than three hours in duration, followed by a one-hour walk through, with a minimum of three hours of rest required in between the two activities; and (3) to mandate that on every preseason practice day following the three-day acclimatization period, a team would be limited to conducting no more than two on-field practices per day and a maximum of six hours of athletically related activity total during the two practices combined, with a minimum of three hours of rest required in between practice sessions.</p> <p><u>Source:</u> New Jersey Athletic Conference.</p> <p><u>Effective Date:</u> August 1, 2019.</p>	<p>Under current NCAA legislation, the preseason practice time that is allotted in the sports of field hockey and soccer is insufficient in regard to the time needed to properly prepare student-athletes for intercollegiate competition. Due to the time constraints that coaches are faced with in the preseason practice period, programs are forced to engage in strenuous practice activities, including multiple training sessions often in extreme conditions. The additional three practice opportunities will provide programs extra time to prepare for competition, resulting in a reduced workload during each practice day in the preseason. In addition, the implementation of an acclimatization period for health and safety reasons will allow student-athletes who are returning from summer break to adjust to a return to strenuous play in potentially extreme temperatures.</p>



**July 2018 Joint Legislative Steering Subcommittee Teleconference
2019 NCAA Governance-Sponsored Convention Legislation**

The NCAA Division III Presidents Council and Management Council Joint Legislative Steering Subcommittee identified the following three proposals for the NCAA Division III Presidents Council review. Consequently, the Presidents Council shall do one of the following:

1. Sponsor the proposals. The Presidents Council would do this if it agrees the issues are presidential, approves of the proposals and is willing to advocate for them at the NCAA Convention.
2. Oppose the proposals. The Presidents Council would do this if it did not want the proposals to go to the membership for a vote. The Presidents Council's opposition would rescind the NCAA Division III Management Council's sponsorship.
3. Take no action on the proposals. The Presidents Council does not have to sponsor or oppose the proposals if it determines that the issues are not presidential. With this option, the Presidents Council would be deferring to the Management Council and the proposals would go forward for a Convention vote as sponsored by the Management Council.

Title and Intent	Rationale
<p>MEMBERSHIP -- CONDITIONS AND OBLIGATIONS OF MEMBERSHIP -- STUDENT-ATHLETE GRADUATION RATE REPORTING</p> <p><u>Intent:</u> To include as a condition and obligation of Division III active membership, that an institution submit on an annual basis student-athlete graduation rate reporting data for the academic success rate in a form prescribed by the Management Council; further to establish that annual championships eligibility is contingent upon submission of the ASR.</p> <p><u>Source:</u> NCAA Division III Presidents Council [Management Council (Diversity and Inclusion Working Group)].</p> <p><u>Effective Date:</u> August 1, 2019 [First report is due June 1, 2020].</p>	<p>Currently, institutions are required to annually submit student-body enrollment and graduation information to the NCAA. Voluntary reporting of Division III student-athlete graduation rates during the last eight years has annually reflected lower rates for male African-American student-athletes, and in particular football players, than their student-athlete peers, as well as rates lower than the student-body. This proposal allows for the private identification and communication of student-athlete graduation rates at each member school. A mandatory collection also provides the data to develop Division III evidence-based best practices to improve the retention and graduation of all student-athletes, including male African-Americans and football student-athletes. Institution-specific rates will not be publicized; rather, institutions will be able to view their student-athlete graduation rates privately in the NCAA Institutional Performance Program for assessment and bench-marking. In addition, a mandated division-wide graduation rate submission program also allows for the better promotion of Division III's overall positive academic success story.</p>

Title and Intent	Rationale
<p data-bbox="201 386 810 488">AWARDS AND BENEFITS -- HOUSING AND MEALS -- EXCEPTIONS -- SNACKS INCIDENTAL TO PARTICIPATION</p> <p data-bbox="201 532 810 711"><u>Intent:</u> To allow institutions to provide snacks, but not nutritional supplements, to student-athletes as a benefit incidental to participation in intercollegiate athletics at any time during the academic year.</p> <p data-bbox="201 755 810 857"><u>Source:</u> NCAA Division III Management Council (Interpretations and Legislation Committee)</p> <p data-bbox="201 901 548 930"><u>Effective Date:</u> Immediate.</p>	<p data-bbox="831 386 1896 894">This proposal allows institutions greater flexibility to provide for the nutritional needs of its student-athletes. With the demands on college students, proper nutrition may not always be at the forefront of their thoughts. The physical demands of college student-athletes, makes proper nutrition all the more important. Allowing institutions to provide reasonable snacks (e.g., milk, granola bars) to student-athletes throughout the academic year will assist in making proper nutrition a priority and is in the best interest of student-athletes. Institutions would have the discretion to provide snacks in a manner the institution deems appropriate and thus would not be overburdened with significant NCAA compliance obligations. However, institutions would not be allowed to provide nutritional supplements as a snack as supplements should not be the default to meet the nutritional needs of student-athletes. Since this is permissive legislation that is in the best interest of student-athletes an immediate effective date is appropriate. This proposal recognizes the unique demands of Division III student-athletes and further supports their health and well-being.</p>

Title and Intent	Rationale
<p data-bbox="191 407 821 597">PLAYING AND PRACTICE SEASONS -- FOOTBALL ESTABLISHING PRESEASON START DATE 23 DAYS BEFORE THE INSTITUTION'S FIRST PERMISSIBLE CONTEST</p> <p data-bbox="191 667 821 964"><u>Intent:</u> To amend the football preseason legislation as follows: (1) Establish the first permissible practice date as 23 days before the institution's first regular season contest; and (2) Require a day off from physical athletically related activity during each week of the preseason following the five-day acclimatization period.</p> <p data-bbox="191 992 821 1110"><u>Source:</u> NCAA Division III Presidents Council [Management Council (Playing and Practice Seasons Subcommittee)].</p> <p data-bbox="191 1138 821 1187"><u>Effective Date:</u> August 1, 2019.</p>	<p data-bbox="821 407 1906 1036">The Interassociation Consensus: Year-Round Football Practice Contact for College Student-Athletes Recommendations include the discontinuation of traditional two-a-day contact practices. Pursuant to those recommendations, the Division III Management Council adopted noncontroversial legislation in April 2017 to eliminate multiple on-field traditional contact practices on the same day. The noncontroversial legislation, however, did not change the calculation method for determining the first permissible preseason practice date, which assumes the permissibility of two-a-day practices. Consequently, the current calculation method could produce inequitable start dates and lost practice opportunities. This proposal seeks to rectify those potential inequities by providing consistent practice opportunities for all football sponsoring institutions. Institutions could start 23 days before their first contest, resulting in up to 21 practice days, while still providing student-athletes with two days off from physical athletically related activity during the preseason (during the off days, film review, team meetings, leadership and entertainment activities are permissible). This proposal maintains the health and safety provisions outlined in the Interassociation Recommendations and provides more equitable practice opportunities.</p>



**REPORT OF THE
NCAA DIVISION III MANAGEMENT COUNCIL SUBCOMMITTEE FOR
LEGISLATIVE RELIEF AUGUST 27, 2018, TELECONFERENCE**

ACTION ITEMS.

- None

INFORMATIONAL ITEMS.

1. **Welcome and introductions.** The NCAA Division III Management Council Subcommittee for Legislative Relief acting chair, Katherine M. Roy, welcomed the subcommittee members and requested that subcommittee members review the roster for accuracy.
2. **Approval of March 2018, report.** The subcommittee reviewed and approved the report from its March 16 teleconference.
3. **Review cases decided from February 1 through July 31 by the NCAA staff and subcommittee.** From February 1 through July 31 the subcommittee and/or staff received a total of 34 Division III waiver requests, of which 25 were decided. The following is a summary of the decisions made by the staff and subcommittee:
 - a. Staff approved 16 waivers. Of the 16 waivers approved, five waivers were approved with conditions and 11 waivers were approved based on totality of circumstances and/or student-athlete well-being and the remaining five were approved based on the Subcommittee for Legislative Relief Information Standards, Guidelines and Directives. The subcommittee reviewed the 11 waivers approved based on totality of the circumstances and/or student-athlete well-being and requested that staff archive one waiver (see Attachment).
 - b. Staff denied nine waivers. One institution appealed a staff denial to the subcommittee, and the subcommittee affirmed the decision.
 - c. Seven waivers were cancelled.
 - d. Two waivers were withdrawn.
 - e. No waivers were directly reviewed by the subcommittee.
4. **Review of Division III Previously Approved Waivers list.** The subcommittee reviewed the Division III Subcommittee for Legislative Relief Previously Approved Waivers Checklist [Reference: October 5, 2016, Item Ref: 2 Educational Column], which provides flexibility for an institution to grant relief of NCAA legislation and submit a report to its conference office rather than filing a formal legislative relief waiver request.

5. **Review of Subcommittee for Legislative Relief Policies and Procedures.** The subcommittee reviewed the policies and procedures.
6. **Review of Subcommittee for Legislative Relief Guidelines.** The subcommittee reviewed and the guidelines.
7. **Future Meetings.** The acting subcommittee chair reminded the subcommittee of the upcoming March 2019 meeting.
8. **Other business.** The subcommittee reviewed a waiver appealed by an institution and affirmed the decision.
9. **Adjournment.** The subcommittee adjourned at 2:54 p.m. Eastern time.

Committee Chair: Stevie Baker-Watson, DePauw University; North Coast Athletic Conference
Staff Liaisons: Kaitlyn Purcell, Academic and Membership Affairs
Bill Regan, Academic and Membership Affairs

NCAA Division III Management Council Subcommittee for Legislative Relief August 27, 2018, Teleconference	
Attendees:	
Jason Fein, Bates College; Centennial Conference.	
Lori Mazza, Western Connecticut State University, Little East Conference.	
Katherine M. Roy, North Atlantic Conference.	
Karen Tompson-Wolfe, Westminster College; St. Louis Intercollegiate Athletic Conference.	
Denise Udelhofen, Loras College; Iowa Athletic Conference.	
Absentees:	
Stevie Baker-Watson, DePauw University; North Coast Athletic Conference.	
NCAA Staff Support in Attendance:	
Kaitlyn Purcell and Bill Regan.	
Other NCAA Staff Members in Attendance:	
Jeff Myers and Shannon Blevins.	



Case Summary

General Case Information

Case Number	Case Type	Sub Case Type	Release to Database
1008408	Legislative Relief Waiver	Request for Any Other Individual, Entity or Event	Yes
Division	Sport(s)		
III			
Legislative Cite(s)			
16.02.3 - Extra Benefit. 16.11.2.1 - General Rule.			

Decision Information

Decision	Decision Date	Decision Level
Approved	05/25/2018	Staff

Conditions

Rationale

Student-athlete well-being.: Specifically, staff noted: (1) The unique and extraordinary circumstances surrounding the event (i.e., a national championship celebration is a very rare event); and (2) SA's family would not be able to attend the event due to financial constraints.

Case Summary

Specific Case Information

Name of the NCAA Governance Committee submitting the
waiver

Describe the institution's request for relief. Waive the normal application of extra benefits legislation and allow applicant institution to provide travel and lodging expenses for student-athlete's (SA's) family to be present for SA's team's official national championship celebratory event. Assertions: (1) SA is from the Czech Republic; (2) SA's family does not have the financial means to attend the official celebratory event; (3) This request is specific to SA as she is the only member of the team not from North America; and (4) A national championship celebratory event is rare.

SUPPLEMENT NO. 06

PLAYING AND PRACTICE
SEASONS SUBCOMMITTEE

REPORT

WILL BE DISTRIBUTED

AT THE MEETING



**REPORT OF THE
NCAA DIVISION III CHAMPIONSHIPS COMMITTEE
AUGUST 6, 2018, EMAIL CORRESPONDENCE**

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

- **Sport and sport rules committee appointments.** The committee approved the following sport and sport rules committee appointments:
 - Division III Football Committee. North region – Michael Schulist, sports information director/assistant director of athletics, Carroll University (Wisconsin), College Conference of Illinois & Wisconsin. East region – Edward Hottle, head football coach, Stevenson University, Middle Atlantic Conferences.
 - Division III Men's Golf Committee. Butch Edge, men's and women's golf coach, University of Texas at Dallas, American Southwest Conference.
 - Division III Women's Ice Hockey Committee. East region – Deborah Steward, director of athletics, William Smith College, Liberty League. West region – Jackie MacMillan, head women's ice hockey coach, The College of St. Scholastica, Upper Midwest Athletic Conference.
 - Division III Men's Lacrosse Committee. Gene Peluso, head men's lacrosse coach, Stevens Institute of Technology, Empire 8 Conference.
 - Men's and Women's Track and Field Rules Committee. Kate Goupee, head men's and women's cross country and track and field coach, Husson University, North Atlantic Conference.

Committee Chair: Jennifer Chuks, Williams College; New England Small College Athletic Conference.

Staff Liaison: Liz Turner Suscha, Championships and Alliances

Division III Championships Committee August 6, 2018, Email Correspondence	
Attendees:	
Brad Bankston, Old Dominion Athletic Conference.	
Jennifer Chuks, Williams College.	
Tim Fitzpatrick, United States Coast Guard Academy.	
Susan Fumagalli Mahoney, Gettysburg College.	
Shantey Hill, St. Joseph's College (Long Island).	
Julie Johnson, Ripon College.	
Bill Stiles, Alvernia University.	
Michael Vienna, Emory University.	
Absentees:	
Kiana Verdugo, Hamline University.	
Guests in Attendance:	
None.	
NCAA Staff Support in Attendance:	
None.	
Other NCAA Staff Members in Attendance:	
None.	



REPORT OF THE
NCAA DIVISION III CHAMPIONSHIPS COMMITTEE
AUGUST 22, 2018, TELECONFERENCE

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

- 1. Chair replacement.** The Division III Championships Committee noted that Jennifer Chuks, the assistant director of athletics at Williams College and chair of the committee, recently resigned her position to take a new job at Dartmouth College. As such, Bill Stiles agreed to fulfill the role of chair for this teleconference as well as for the committee's September in-person meeting, after which the committee will elect a permanent replacement.
- 2. Management Council/Presidents Council update.** Shantey Hill provided an update on items addressed during the July/August Division III Management and Presidents Council meetings, including (1) an effort to increase participation from Division III institutions in the Injury Surveillance Program; (2) funding for the LGBTQ working group to distribute a toolkit to member institutions that includes a nondiscrimination policy guide; (3) recommendations from the FAR working group to increase FAR participation at Division III institutions; (4) approval of the alcohol policy the Championships Committee recommended at joint championships that include Division I, provided they meet current required parameters and are held in the same facility; (5) review of 2019 Convention legislation; and (6) the Association-wide policy regarding sexual violence prevention.
- 3. Swimming and diving sites.**
 - a. 2019 diving regional sites.** The committee approved the following sites to serve as hosts for the 2019 Division III Men's and Women's Swimming and Diving Championships Regionals:

<u>Region</u>	<u>Host</u>	<u>Location/Facility</u>
Northeast/South	Rochester Institute of Technology	Rochester, New York; Judson Pool
Northeast/North	Massachusetts Institute of Technology	Cambridge, Massachusetts; Zesiger Center Pool
Central	Wabash College	Crawfordsville, Indiana; Class of 1950 Natatorium
Midwest	Grinnell College	Grinnell, Iowa; Russel K. Osgood Pool

- b. 2021 and 2022 championships sites.** The Championships Committee considered a recommendation from the swimming and diving committee that Pacific Lutheran University and the Seattle Sports Commission host the 2021 and 2022 Division III Men's

and Women's Swimming and Diving Championships in the Weyerhaeuser King Country Aquatic Center. The sport committee acknowledged how this selection would affect membership travel but asserted that it was the best choice from a student-athlete experience perspective among the four sites that submitted bids. In addition, projected travel cost estimates are similar to those experienced when the championships were held in Shenandoah, Texas in 2017. After weighing several factors, the Championships Committee approved the recommendation for 2021 and asked the sport committee to reconsider a recommendation for a location in 2022.

- 4. September meeting agendas.** The committee reviewed draft agendas for its September in-person meeting as well as the meeting with sport committee chairs that will be held at that time. Staff asked that committee members submit any suggested changes by August 30.

Committee Chair: Bill Stiles, Alvernia University.
Staff Liaison: Liz Turner Suscha, Championships and Alliances

Division III Championships Committee August 22, 2018, Teleconference	
Attendees:	
Brad Bankston, Old Dominion Athletic Conference.	
Tim Fitzpatrick, United States Coast Guard Academy.	
Susan Fumagalli Mahoney, Gettysburg College.	
Shantey Hill, St. Joseph's College (Long Island).	
Julie Johnson, Ripon College.	
Bill Stiles, Alvernia University.	
Kiana Verdugo, Hamline University.	
Michael Vienna, Emory University.	
Absentees:	
None.	
Guests in Attendance:	
Gary Brown, NCAA Contractor.	
NCAA Staff Support in Attendance:	
Laura Peterson-Mlynski, Championships and Alliances.	
Liz Turner Suscha, Championships and Alliances.	
Other NCAA Staff Members in Attendance:	
Kevin Alcox, Championships and Alliances.	
Jessica Jones, Championships and Alliances.	



**REPORT OF THE
NCAA DIVISION III CHAMPIONSHIPS COMMITTEE
SEPTEMBER 9-10, 2018, MEETING WITH SPORT COMMITTEE CHAIRS**

ACTION ITEMS.

- **None.**

INFORMATIONAL ITEMS.

- 1. Welcome, introductions and review of schedule and agenda.** The chair of the Division III Championships Committee facilitated introductions among committee members, chairs and representatives of Division III sport committees and NCAA staff. The chair also reviewed the meeting schedule and highlighted key discussion items.
- 2. Championships orientation and Division III governance update.** The Championships Committee chair outlined key areas of responsibility for the Championships Committee and noted the Division III Championships Committee Policies and Operating Procedures Manual (available online) as a valuable resource for sport committee members. NCAA staff described the Championships Committee's role within the Division III governance structure, particularly with regard to overseeing sport committees and submitting quarterly reports to the Division III Management Council. Staff also outlined the Division III budgeting process, noted key championships-related action from the Management and Presidents Councils' summer meetings, updated initiatives from the Sport Science Institute, explained relevant recommendations from the Commission on College Basketball (including one to add five independent members to the NCAA Board of Governors, which will require an Association-wide vote at the 2019 NCAA Convention), and summarized recent action from various Division III working groups.
- 3. NCAA Division III Student-Athlete Advisory Committee.** The group received an update from the Division III Student-Athlete Advisory Committee's July meeting.
- 4. Updates from sport committee chairs/representatives.** Sport committee chairs and representatives noted key issues in their sport, discussed action items submitted for Championships Committee consideration and reviewed emerging topics that may be relevant to other committees. The chair noted that the sport committee representatives would be asked to participate in a budget priorities exercise later in the meeting to help inform Championships Committee deliberations for the coming biennial cycle (see Informational Item 6-c).
- 5. 2019 legislative proposals.** NCAA staff provided an overview of the six legislative proposals that the membership will consider during the 2019 Convention.
- 6. Championships issues and discussion.** Championships Committee members and NCAA staff led a series of discussions on the following topics:

- a. Social media, web streaming enhancements and NCAA.com updates. Staff noted a number of updates and enhancements made since the last time the Championships Committee met with sport committee representatives in 2016, including improved graphics and information/statistics delivery, additional cameras in several sports, upgrades in selection shows, and providing Snapchat geofilters at championship sites. Staff also noted a guide created to provide institutions with social media parameters to follow in their own streaming efforts, in addition to new promotional identity videos to incorporate into webcasts.
 - b. 2018 Division III Membership Survey. A member of the NCAA research staff presented highlights from the survey related to championships, particularly those that are likely to coincide with or be affected by the budgeting priorities exercise in Informational Item 6-c.
 - c. Championships budget recap and future planning. NCAA staff reviewed a budget-to-actuals report by sport (committee expense, game expense, team per diem, and team travel) for 2017-18. Staff also updated the group on the championships budgeting process and reviewed related concepts and issues. Following those presentations, the sport committee representatives participated in an exercise to prioritize the top three areas in their sport for future budget consideration. Among recurring themes were increasing access to the bench area for team sports, expanding brackets in team sports per access ratios, adding a day of rest between rounds at the finals site for team sports, and increasing per diem beyond the scheduled bump increase to \$100 in 2020-21 for all sports. Staff led a follow-up discussion on squad/bench size, strength-of-schedule team sheets for rankings/selections, and results vs. ranked opponents as a selection criterion to inform sport committee representatives not only about the issues involved with each topic but also to explain previous Championships Committee discussion and garner sport committee feedback for future deliberations.
 - d. NCAA LiveStats. Staff updated the group on a new partnership with Genius Sports to provide statistical collection software in all team sports over the next several years.
 - e. Sport region alignment project. Championships Committee members updated the group on a project the Division III Commissioners Association has undertaken to evaluate potential regional alignment alternatives, particularly in light of increased sponsorship in several sports. The commissioners are discussing three models: (1) the current model that relies on the sport committees to address regional alignment within their committee discussions and handle any adjustments annually; (2) a model that divides the membership into 10 consistent regions so that conferences participate in the same regions no matter the sport; and (3) creating a scale for regional numbers based on divisional sport sponsorship. The commissioners are leaning toward the third model but want to explore additional information before making a formal recommendation.
7. **General championships information.** Staff reviewed items related to championships policy and administration. Staff also updated the group about active institutions and conferences, and provisional/exploratory and reclassifying institutions.

Committee Chair: Bill Stiles, Alvernia University.
Staff Liaisons: Liz Turner Suscha, Championships and Alliances

Division III Championships Committee September 9-10, 2018, Meeting with Sport Committee Chairs	
Attendees:	
Brad Bankston, Old Dominion Athletic Conference.	
Shantey Hill, St. Joseph's College.	
Julie Johnson, Ripon College.	
Bill Stiles, Alvernia University.	
Mike Vienna, Emory University.	
Kiana Verdugo, Hamline University.	
Absentees:	
Tim Fitzpatrick, U.S. Coast Guard Academy.	
Susan Fumagalli Mahoney, Gettysburg College.	
Guests in Attendance:	
Sam Atkinson, Gallaudet University (representing the men's basketball committee).	
Jerry Bergsma, Calvin College (representing the women's golf committee).	
Brandon Biamco, Case Western Reserve University (representing the men's soccer committee).	
Lauren Brownrigg, Pacific University (Oregon) (representing the women's rowing committee).	
Jim Catanzaro, Lake Forest College (representing the football committee).	
Jennifer Dubow, Southern California Intercollegiate Athletic Conference (representing the women's tennis committee).	
Susan Hoffman, State University of New York at Brockport (representing the women's lacrosse committee).	
Kris Huffman, DePauw University (representing the women's basketball committee).	
David Fritz, Grove City College (representing the men's and women's swimming and diving committee).	
John Garrett, Hunter College (representing the men's volleyball committee).	
Crystal Lanning, University of Wisconsin-River Falls (representing the women's ice hockey committee).	
Paul Murphy, Gwynedd Mercy University (representing the baseball committee).	
Jenn Noon, Fairleigh Dickinson University-Florham (representing the field hockey committee).	
Marty Perry, Virginia Wesleyan University (representing the men's tennis committee).	
Jared Phillips, Gustavus Adolphus College (representing the men's ice hockey committee).	
Bob Simms, St. John Fisher College (representing the men's golf committee).	
Derek Stanley, University of Wisconsin-La Crosse (representing the men's and women's cross country and track and field committee).	
Marie Stroman, Middle Atlantic Conferences (representing the women's volleyball committee).	
Kim Tatro, Lawrence University (representing the softball committee).	
Brianne Weaver, Bowdoin College (representing the women's soccer committee).	
Eric Van Kley, Central College (Iowa) (representing the wrestling committee).	
Sean Woods, Colorado College (representing the men's lacrosse committee).	

NCAA Staff Support in Attendance:
Jessica Jones, Championships and Alliances.
Laura Peterson-Mlynski, Championships and Alliances.
Liz Turner Suscha, Championships and Alliances.
Other NCAA Staff Members in Attendance:
Kevin Alcox, Championships and Alliances.
Morgan DeSpain, Championships and Alliances.
Dan Dutcher, Governance.
Jan Gentry, Championships and Alliances.
Will Hopkins, Championships and Alliances.
Alaina Keller, Championships and Alliances.
John Kuzio, Championships and Alliances.
Louise McCleary, Governance.
Alex Mortillaro, Championships and Alliances.
Jeff Myers, Academic and Membership Affairs.
Jeff O'Barr, Administrative Services.
Nancy O'Hara, Championships Services.
Melissa Piening, Administrative Services.
Anjellica Rospond, Championships and Alliances.
Marie Scovron, Championships and Alliances.
Adam Skaggs, Governance.
Mickie Spears, Administrative Services.
Oliver Wells, Championships and Alliances.
Caryl West, Administrative Services.
Kelly Whitaker, Championships and Alliances.
Jeff Williams, Championships and Alliances.
JP Williams, Championships and Alliances.
Dave Worlock, Championships and Alliances.



REPORT OF THE
NCAA DIVISION III CHAMPIONSHIPS COMMITTEE
SEPTEMBER 10-11, 2018, MEETING

ACTION ITEMS.

1. Legislative item.

• **Secondary criteria – Non-Division III Strength-of-Schedule.**

- a. Recommendation. Amend NCAA Bylaw 31.3.4.2.2 (Secondary Criteria – Ranking and Selection) as follows:

31.3.4.2.2 Secondary Criteria -- Ranking and Selection.

- (a) Non-Division III won-lost percentage; (*Revised: 7/24/12 effective 8/1/13*)
- (b) Results versus common non-Division III opponents; (*Revised: 7/24/12 effective 8/1/13, 10/18/16 effective 8/1/17*)
- ~~(c) Non-Division III strength of schedule; and (*Revised: 7/24/12 effective 8/1/13, 10/18/16 effective 8/1/17*)~~
- (~~c~~) Division III nonconference strength of schedule. (*Adopted: 10/18/16 effective 8/1/17*)
- b. Effective date. January 2019.
- c. Rationale. Non-Division III strength-of-schedule was added to secondary criteria in 2013 at the point when secondary criteria changed from “out-of-region” Division III opponents to “non-Division III.” However, the metric has not been included in the data to this point and, if it were, is not considered a relevant metric due to the small sample size of non-Division III opponents. As such, the Championships Committee supports eliminating the language from the legislated criteria.
- d. Estimated budget impact. None.
- e. Student-athlete impact. None.

2. Nonlegislative items.

a. Joint men’s and women’s basketball championships.

- (1) Recommendation. The Division III Championships Committee supports the Division III Men’s Basketball Championship and the Division III Women’s Basketball Championship being held in conjunction with the Division I Men’s Final

Four and the Division I Women's Final Four, respectively, once each during the remaining period of the current NCAA broadcast agreement (through 2024).

- (2) Effective date. Immediate, though years for the joint championships will be determined pending future sites selected for the Division I Men's and Women's Final Fours.
- (3) Rationale. The recommendation is in response to support from the Division III Men's and Women's Basketball Committees to conduct joint championships twice for each gender over the next 10 years. However, the Division III Championships Committee believes it is prudent, given the financial implications, to conduct one joint championship for each gender during the remaining years of the current broadcast agreement before re-evaluating additional commitments.
- (4) Estimated budget impact. Approximately \$250,000 per gender for each joint championship. (The Division III Championships Committee recommends asking the Division III Strategic Planning and Finance Committee to consider committing dollars from the Division III identity initiatives fund to assist with expenses given the marketing and exposure benefits from the joint championships to the division overall.)
- (5) Student-athlete impact. The most recent joint championships in 2013 for men and 2016 for women garnered significant positive feedback regarding the student-athlete experience and increased attendance for the championship games versus when the championships are conducted as singular events.

INFORMATIONAL ITEMS.

1. **Opening remarks and review of schedule and agenda.** The committee chair facilitated introductions, reviewed the meeting schedule and highlighted key discussion items.
2. **New Committee Chair.** Bill Stiles, director of athletics at Alvernia University, is the new chair of the Division III Championships Committee, replacing Jennifer Chuks. Mr. Stiles has past sport committee experience and has been a valuable member of the Championships Committee, serving as interim chair during the August teleconference and the September in-person meeting after Ms. Chuks left Williams College for a position at Dartmouth College in July.
3. **Recent reports.** The committee approved reports from its June meeting, June 25 and August 8 email correspondence, and August 22 teleconference as presented.

4. **Championships issues and updates.** Joni Comstock, NCAA senior vice president of championships, updated the group on relevant issues that affect NCAA championships operations.
5. **Legislative action – secondary criteria – non-Division III strength-of-schedule.** The Championships Committee voted to eliminate the non-Division III strength of schedule from the secondary criteria. (See Action Item No. 1)
6. **Recap of the meeting with sport committee chairs.** Championships Committee members summarized key takeaways from the meeting with sport committee chairs and representatives, including recurring themes that emerged from the budgeting priorities exercise in which sport committee members ranked items that carry a budget impact. Championship Committee members reiterated the value of the in-person communication with sport committee members and supported continuing these sessions biannually in the future.
7. **Bench size survey.** Noting feedback from the sport committee representatives regarding increased bench size as a priority in several sports, Championships Committee members asked staff to survey directors of athletics at Division III institutions regarding interest in expanding the bench size to accommodate the average roster size in given team sports provided the institution covers expenses for the additional bench personnel to attend the championship finals. The committee noted that the travel party and squad size limits would remain the same, but that there is interest in accommodating sport committee requests to provide more student-athlete access to the championship experience.
8. **Conference requirements for automatic qualification eligibility.** The committee voted to form a working group composed of Championships Committee members and conference commissioners to take a deeper look at the concept of establishing requirements for a conference to be eligible to earn an automatic qualification to NCAA championships. The 2018 Division III membership survey indicated membership support on this concept. The committee would also like for sport committees to provide feedback to aid in the discussion.
9. **Sport regional realignment project.** The committee received an update on the commissioners group project proposing new regional alignments in most sports. This project has focused on a more consistent approach to balancing sport regions considering like sports and sport sponsorship as the main factors. Some of the challenges a project of this magnitude face include regions where sponsorship is limited (e.g., the West region typically spanning from Texas to Washington state) and sports such as cross country and wrestling where regional alignment has a potential to impact qualifying to the national championships as it is based on regional finish.

The next steps in this project will be for the commissioners' group to seek feedback from NCAA staff committee liaisons on the work done thus far to see if the changes hit the mark to help alleviate some of the current challenges' committees face when evaluating teams. The committee also noted that should this move forward it could require changes to some sport committee's composition thus requiring budget support and a potential change in legislation.

10. Sport committee recommendations.

a. Baseball.

- Automatic qualification. The committee approved that the following 40 conferences receive automatic qualification for the 2019 Division III Baseball Championship: American Rivers Conference; Allegheny Mountain Collegiate Conference; American Southwest Conference; Capital Athletic Conference; Centennial Conference; College Conference of Illinois and Wisconsin; Colonial States Athletic Conference; Commonwealth Coast Conference; Empire 8; Great Northeast Athletic Conference; Heartland Collegiate Athletic Conference; Landmark Conference; Liberty League; Little East Conference; Massachusetts State Collegiate Athletic Conference; Michigan Intercollegiate Athletic Association; Middle Atlantic Conference Commonwealth; Middle Atlantic Conference Freedom; Midwest Conference; Minnesota Intercollegiate Athletic Conference; New England Collegiate Conference; New England Small College Athletic Conference; New England Women's and Men's Athletic Conference; New Jersey Athletic Conference; North Atlantic Conference; North Coast Athletic Conference; North Eastern Athletic Conference; Northern Athletics Collegiate Conference; Northwest Conference; Ohio Athletic Conference; Old Dominion Athletic Conference; President's Athletic Conference; Skyline Conference; St. Louis Intercollegiate Athletic Conference; Southern California Intercollegiate Athletic Conference; Southern Athletic Association; Southern Collegiate Athletic Conference; State University of New York Athletic Conference; USA South Athletic Conference; and Upper Midwest Athletic Conference.

b. Men's basketball.

- Committee chair. The committee approved that Sam Atkinson, associate athletics director at Gallaudet University, serve as the Division III Men's Basketball Committee chair.

c. Women's basketball. The committee revisited a request from the women's basketball committee to adopt a team sheet depicting strength-of-schedule as opposed to the

current team sheet showing data according to the Rating Percentage Index. The championships committee considered the feedback received from other sport committee chairs and agreed that it would be important to review an example of such a team sheet and incorporate it on a pilot basis before taking any final action.

d. Men's and women's basketball.

- Joint championships. The Championships Committee supported a concept from the Division III Men's and Women's Basketball Committees to recommend that the Division III Men's Basketball Championship and the Division III Women's Basketball Championship be conducted in conjunction with the Division I Men's Final Four and the Division I Women's Final Four, respectively, in the near future. (See Action Item No. 2-a).

e. Field hockey.

- (1) Automatic qualification. The committee approved that the following 17 conferences receive automatic qualification for the 2018 Division III Field Hockey Championship: Capital Athletic Conference (year one grace period); Centennial Conference; Colonial States Athletic Conference; Commonwealth Coast Conference; Empire 8; Great Northeast Athletic Conference; Landmark Conference; Liberty League; Little East Conference; Middle Atlantic Conference Commonwealth; Middle Atlantic Conference Freedom; New England Small College Athletic Conference; New England Women's and Men's Athletics Conference; New Jersey Athletic Conference; North Coast Athletic Conference; Old Dominion Athletic Conference; and State University of New York Athletic Conference.
- (2) Committee chair. The committee approved that Jennifer Noon, director of athletics at Fairleigh Dickinson University, Florham, serve as chair of the Division III Field Hockey Committee.

f. Football. The committee reviewed several items from the annual report.

g. Men's golf.

- (1) Automatic qualification. The committee approved that the following 32 conferences receive automatic qualification for the 2019 Division III Men's Golf Championships: American Rivers Conference; Allegheny Mountain Collegiate Conference; American Southwest Conference; Capital Athletic Conference; Centennial Conference; College Conference of Illinois and Wisconsin; Colonial States Athletic Conference; Commonwealth Coast Conference; Empire 8; Great

Northeast Athletic Conference; Heartland Collegiate Athletic Conference; Landmark Conference; Liberty League; Michigan Intercollegiate Athletic Association; Middle Atlantic Conference Commonwealth; Middle Atlantic Conference Freedom; Minnesota Intercollegiate Athletic Conference; New England Small College Athletic Conference; North Atlantic Conference; North Coast Athletic Conference; North Eastern Athletic Conference; Northern Athletics Collegiate Conference; Northwest Conference; Ohio Athletic Conference; Old Dominion Athletic Conference; Presidents' Athletic Conference; Skyline Conference; St. Louis Intercollegiate Athletic Conference; Southern Athletic Association; Southern California Intercollegiate Athletic Conference; Upper Midwest Athletic Conference; and USA South Athletic Conference.

- (2) Committee chair. The committee approved that Bob Simms, head men's and women's golf coach at St. John Fisher College, serve as chair of the Division III Men's Golf Committee.
- (3) Access ratio. The committee tabled a request to move from a 1:7.0 access ratio to 1:6.5 and agreed to consider it again along with other recommendations with budget impact during the committee's February 2019 meeting.

h. Women's golf.

- (1) Automatic qualification. The committee approved that the following 21 conferences receive automatic qualification to the 2019 Division III Women's Golf Championships: American Rivers Conference; American Southwest Conference; Centennial Conference; College Conference of Illinois and Wisconsin; Heartland Collegiate Athletic Conference; Landmark Conference; Liberty League; Michigan Intercollegiate Athletic Association; Middle Atlantic Conference; Minnesota Intercollegiate Athletic Conference; New England Small College Athletic Conference; North Coast Athletic Conference; Northern Athletics Collegiate Conference; Northwest Conference; Ohio Athletic Conference; Old Dominion Athletic Conference; Presidents' Athletic Conference; Southern Athletic Association; Southern California Intercollegiate Athletic Conference; Upper Midwest Athletic Conference; and Wisconsin Intercollegiate Athletic Conference.

Note: Confirmation of AQs and pool numbers will be determined based on sport sponsorship information available at the time of championship selections.

- (2) Committee chair. The committee noted that Jerry Bergsma, head women's golf coach at Calvin College, will continue to serve as chair of the Division III Women's Golf Committee.

- (3) Access ratio. The committee tabled a request to move from a 1:7.0 access ratio to 1:6.5 and agreed to consider it again along with other recommendations with budget impact during the committee's February 2019 meeting.
 - (4) Regional alignment. The committee tabled a request to realign the regions to more evenly distribute the schools across the regions. With the ongoing work of the commissioners (see Informational Item No. 8), the committee preferred to review the alignment plan during an upcoming teleconference scheduled to follow the commissioners in-person meeting.
- i. Men's ice hockey.
 - Automatic qualification. The committee approved that the following eight conferences receive automatic qualification for the 2019 Division III Men's Ice Hockey Championship: Commonwealth Coast Conference; Massachusetts State Collegiate Athletic Conference; Minnesota Intercollegiate Athletic Conference; New England Hockey Conference; New England Small College Athletic Conference; Northern Collegiate Hockey Association; State University of New York Athletic Conference; and the United Collegiate Hockey Conference.
 - j. Women's ice hockey.
 - (1) Automatic qualification. The committee approved that the following six conferences receive automatic qualification for the 2019 Division III Women's Ice Hockey Championship: Colonial Hockey Conference; Minnesota Intercollegiate Athletic Conference; New England Hockey Conference; New England Small College Athletic Conference; Northeast Women's Hockey League; and Women's Northern Collegiate Hockey Association.
 - (2) Committee chair. The committee approved that Crystal Lanning, director of athletics at the University of Wisconsin-River Falls, serve as chair of the Division III Women's Ice Hockey Committee.
 - (3) Championship date formula. The committee approved that the women's ice hockey championship format be revised to include two first-round games played Wednesday before the quarterfinals. Quarterfinals will be played Saturday before the finals. The finals will be contested Friday and Saturday on the third weekend in March. This formula change accommodates the women's ice hockey bracket increase from nine to 10 teams for the 2019 championship.
 - k. Men's and women's ice hockey.
 - Selection – pilot program with PairWise system. The committee approved that the men's and women's ice hockey committees use the PairWise selection system

to rank and select teams during a two-year pilot program. PairWise calculates rankings based on a comparison matrix that applies an equal weight to the selection criteria to compare each team to all other teams. The system is currently used by the Division I Men's Ice Hockey Committee and the NCAA Women's Ice Hockey Committee, which has provided the basis for exploration and study over the course of the last two years. Most recently, the Division III committees reviewed survey results gathered through the American Hockey Coaches Association indicating the overwhelming support of Division III men's and women's coaches to use the PairWise process. The committees will evaluate the results after the first year and may adjust the formula for the second year as needed.

l. Men's lacrosse.

- (1) Automatic qualification. The committee approved that the following 27 conferences receive automatic qualification to the 2019 NCAA Division III Men's Lacrosse Championship: Capital Athletic Conference; Centennial Conference; College Conference of Illinois and Wisconsin; Colonial States Athletic Conference; Commonwealth Coast Conference; Empire 8; Great Northeast Athletic Conference; Heartland Collegiate Men's Lacrosse Conference; Landmark Conference; Liberty League; Little East Conference; Michigan Intercollegiate Athletic Association; Middle Atlantic Conference Commonwealth; Middle Atlantic Conference Freedom; Midwest Lacrosse Conference; New England Small College Athletic Conference; New England Women's and Men's Athletic Conference; North Atlantic Conference; North Coast Athletic Conference; North Eastern Athletic Conference; Ohio Athletic Conference; Old Dominion Athletic Conference; Presidents' Athletic Conference; Skyline Conference; Southern Athletic Association and State University of New York Athletic Conference; and USA South Athletic Conference.
- (2) Regional alignment. The committee endorsed the recommendation to realign schools into five regions as presented by the men's lacrosse committee. However, before finalizing the changes, the committee encouraged the men's lacrosse committee to review an alternate proposal for six regions that is currently under review by the women's lacrosse committee. The committee requested that the men's lacrosse committee submit its final recommendation to be reviewed at an upcoming teleconference or in-person meeting.

m. Women's lacrosse.

- (1) Automatic qualification. The committee approved that the following 31 conferences receive automatic qualification to the 2019 NCAA Division III

Women's Lacrosse Championship: Capital Athletic Conference; Centennial Conference; College Conference of Illinois and Wisconsin; Colonial States Athletic Conference; Commonwealth Coast Conference; Empire 8; Great Northeast Athletic Conference; Landmark Conference; Liberty League; Little East Conference; Massachusetts State Collegiate Athletic Conference; Michigan Intercollegiate Athletic Association; Middle Atlantic Conference Commonwealth; Middle Atlantic Conference Freedom; Midwest Women's Lacrosse Conference; New England Collegiate Conference; New England Small College Athletic Conference; New England Women's and Men's Athletic Conference; New Jersey Athletic Conference; North Atlantic Conference; North Coast Athletic Conference; North Eastern Athletic Conference; Northwest Conference; Ohio Athletic Conference; Ohio River Women's Lacrosse Conference; Old Dominion Athletic Conference; Presidents' Athletic Conference; Skyline Conference; Southern Athletic Association; State University of New York Athletic Conference; and USA South Athletic Conference.

- (2) Selection criteria at time of selection – record versus ranked opponents. The committee took no action on the request that the record versus ranked opponents only include the record of opponents that are ranked at the time of selection noting the history of this particular selection criterion and its recent change to include teams ranked as established by the final ranking and the ranking preceding the final ranking [Bylaw 31.3.4.2.1 (d)], which went into effect August 1, 2017.
- (3) Day off between semifinals and finals. The committee tabled a request to add a day of rest between the semifinal and final rounds at the championship site and agreed to consider it again along with other recommendations with budget impact during the committee's February 2019 meeting.
- (4) Committee chair. The committee approved that Susan Hoffman, associate director of athletics/senior woman administrator at College at Brockport, State University of New York, serve as chair of the Division III Women's Lacrosse Committee.

n. Softball.

- (1) Automatic qualification. The committee approved that the following 42 conferences receive automatic qualification for the 2018 Division III Softball Championship: Allegheny Mountain Collegiate Conference; American Southwest Conference; Capital Athletic Conference; Centennial Conference; City University of New York Athletic Conference; College Conference of Illinois and Wisconsin; Colonial States Athletic Conference; Commonwealth Coast Conference; Empire 8; Great Northeast Athletic Conference; Heartland Collegiate Athletic

Conference; Iowa Intercollegiate Athletic Conference; Landmark Conference; Liberty League; Little East Conference; Massachusetts State Collegiate Athletic Conference; Michigan Intercollegiate Athletic Association; Middle Atlantic Conference Commonwealth; Middle Atlantic Conference Freedom; Midwest Conference; Minnesota Intercollegiate Athletic Conference; New England Collegiate Conference; New England Small College Athletic Conference; New England Women's and Men's Athletic Conference; New Jersey Athletic Conference; North Atlantic Conference; North Coast Athletic Conference; North Eastern Athletic Conference; Northern Athletics Conference; Northwest Conference; Ohio Athletic Conference; Old Dominion Athletic Conference; Presidents' Athletic Conference; Skyline Conference; Southern California Intercollegiate Athletic Conference; Southern Athletic Association; Southern Collegiate Athletic Conference; St. Louis Intercollegiate Athletic Conference; State University of New York Athletic Conference; Upper Midwest Athletic Conference; USA South Athletic Conference; and Wisconsin Intercollegiate Athletic Conference.

- (2) Committee chair. The committee approved that Kim Tatro, associate director of athletics and head softball coach at Lawrence University, serve as Division III Softball Committee chair.
 - (3) Regional alignment. The committee approved that the Great Northeast Athletic Conference be realigned from the Northeast region to the New England region and the Allegheny Mountain Collegiate Conference be realigned from the East region to the Central region effective for the 2018-19 championship. The committee believes that making this change before further regional realignment discussion will help the softball committee effectively manage the rankings and selections processes.
 - (4) Bench size – number of student-athletes in uniform. The championships committee took no action on the request that any student-athlete included within the current bench size be permitted to dress in uniform. This request is different from other requests in that it does not increase the current number of individuals in the bench area. The championships committee preferred to evaluate this request as it conducts its review of bench size across all sports. (See Informational Item No. 6.)
- o. Men's and women's tennis.
- (1) Men's tennis automatic qualification. The committee approved that the following 36 conferences receive automatic qualification for the 2019 Division III Men's Tennis Championships: Allegheny Mountain Collegiate Conference; American Southwest Conference; American Rivers Conference; Capital Athletic

Conference; Centennial Conference; City University of New York Athletic Conference; College Conference of Illinois & Wisconsin; Colonial States Athletic Conference; Empire 8; Great Northeast Athletic Conference; Heartland Collegiate Athletic Conference; Landmark Conference; Liberty League; Little East Conference; Michigan Intercollegiate Athletic Association; Middle Atlantic Conference Commonwealth; Middle Atlantic Conference Freedom; Midwest Conference; Minnesota Intercollegiate Athletic Conference; New England Men's and Women's Athletic Conference; New England Small College Athletic Conference; North Atlantic Conference; North Coast Athletic Conference; Northern Athletics Conference; Northwest Conference; Ohio Athletic Conference; Old Dominion Athletic Conference; Presidents' Athletic Conference; Skyline Conference; Southern Athletic Association; Southern California Inter. Athletic Conference; Southern Collegiate Athletic Conference; The Commonwealth Coast Conference; Upper Midwest Conference; University Athletic Association; and USA South Athletic Conference.

- (2) Women's tennis automatic qualification. The committee approved that the following 40 conferences receive automatic qualification for the 2019 Division III Women's Tennis Championships: Allegheny Mountain Collegiate Conference; American Southwest Conference; American Rivers Conference; Capital Athletic Conference; Centennial Conference; City University of New York Athletic Conference; College Conference of Illinois and Wisconsin; Colonial States Athletic Conference; Empire 8; Great Northeast Athletic Conference; Great South Athletic Conference; Heartland Collegiate Athletic Conference; Landmark Conference; Liberty League; Little East Conference; Michigan Intercollegiate Athletic Association; Middle Atlantic Conference Commonwealth; Middle Atlantic Conference Freedom; Midwest Conference; Minnesota Intercollegiate Athletic Conference; New England Small College Athletic Conference; New England Women's and Men's Athletics Conference; New Jersey Athletic Conference; North Coast Athletic Conference; North Eastern Athletic Conference; Northern Athletics Conference; Northwest Conference; Ohio Athletic Conference; Old Dominion Athletic Conference; Presidents' Athletic Conference; Skyline Conference; Southern Athletic Association; Southern California Inter. Athletic Conference; Southern Collegiate Athletic Conference;); State University of New York Athletic Conference; The Commonwealth Coast Conference; University Athletic Association; Upper Midwest Conference; USA South Athletic Conference; and Wisconsin Intercollegiate Athletic Conference.
- (3) Access ratio. The committee tabled a request to move from a 1:7.5 access ratio to 1:7.0 and agreed to consider it again along with other recommendations with budget impact during the committee's February 2019 meeting.

- (4) Committee chairs. The committee approved that Jennifer Dubow, commissioner of the Southern California Intercollegiate Athletic Conference, and Marty Perry, director of men's and women's tennis at Virginia Wesleyan University, be named chair of the women's committee and men's committee, respectively.
 - (5) Format change. The committee approved a change to the match format to eliminate the warm-up between opponents, establish a five-minute intermission between doubles and singles, and establish a tiebreaker at 7-7 in doubles.
- p. Men's and women's track and field and cross country.
- (1) United States Track & Field and Cross-Country Coaches Association All-America awards. The committee took no action on the request to allow the 40 USTFCCCA All-America awards to be presented in conjunction with the NCAA top 15 place finishers at the championships award ceremony. The committee understands that cross country is a unique from others in that the All-America awards are not determined until after the championship has concluded since the awards are based on the student-athlete's place finish. The committee also believes that the bylaws clearly state that this practice is not permitted, and that coaches' associations will need to find a way to present their awards that satisfy the bylaw.
 - (2) Cross-country selection criteria. The Championships Committee referred the request to modify the cross-country selection criteria to include "results against common non-Division III opponents and regular-season success" back to the cross country and track and field committee to explore with DirectAthletics what information can be made available. The Championships Committee would also like for the cross country and track and field committee to further define "regular-season performance" as it seems that all contests, not just those during the qualifying window are regular-season performances. The championships committee would be open to reviewing a new proposal that addresses some of these concerns.
 - (3) Regional champion trophies for cross country. The committee tabled a request to provide regional champion trophies and agreed to consider it again along with other recommendations with budget impact during the committee's February 2019 meeting.
 - (4) Cross country regional alignment waiver – Alfred State College. The committee approved Alfred State College to compete in the Mideast region for the 2018 Division III men's and women's regional cross-country competition to align with the Allegheny Mountain Collegiate Conference. Alfred State is currently a member of the American Collegiate Athletic Association but will become a

member in the AMCC effective for the 2019-20 academic year. In its first year sponsoring the sport, Alfred State as been approved to compete with its future conference members effective with the 2018-19 championships.

q. Wrestling.

- (1) Committee chair. The committee approved that Eric Van Kley, director of athletics and head wrestling coach at Central College (Iowa), serve as Division III Wrestling Committee chair.
- (2) Field size. The committee noted the informational item concerning the potential to expand the number of wrestlers at the championship. The committee agreed to consider an expansion later if growth in sponsorship results in an access ratio for selected participants to fall outside of the 1:16 to 1:24 range for access in individual sports.
- (3) 2019 regional site selection. The committee approved the following 2019 regional site selections:
 - Northeast region – Johnson and Wales University (Rhode Island); Wildcat Center in Providence, Rhode Island.
 - Southeast – Lycoming College; Keiper Recreation Center in Williamsport, Pennsylvania.
 - Mideast – College at Brockport, State University of New York and the Monroe County Sports Commission; SERC Brockport in Brockport, New York.
 - Central regional – Wabash College; Knowling Fieldhouse in Crawfordsville, Indiana.
 - Upper Midwest regional – University of Wisconsin-Eau Claire; McPhee Physical Education center in Eau Claire, Wisconsin.
 - Lower Midwest regional – Loras College; Five Flags Center in Dubuque, Iowa.

r. Men's volleyball.

- (1) Automatic qualification. The committee approved that the following 10 conferences receive automatic qualification for the 2019 Division III Men's Volleyball Championship: City University of New York Athletic Conference; Continental Volleyball Conference; Great Northeast Athletic Conference; Middle Atlantic Conferences; Midwest Collegiate Volleyball League; New England Collegiate Conference; North Eastern Athletics Conference (year 1 grace period);

Northern Athletics Collegiate Conference; Skyline Conference (year two grace period); and United Volleyball Conference.

- (2) Committee chair. The committee approved that John Garrett, associate athletics director at Hunter College, serve as Division III Men's Volleyball Committee chair.

11. Playing rules and officiating updates.

- a. General update. The Championships Committee reviewed reports from recent Playing Rules Oversight Panel teleconferences and meetings as information only.
- b. Arbiter and background checks. Staff updated the committee on the background check program conducted through Arbiter Sports.
- c. Soccer rules discussion. Staff updated the committee on a rule in men's and women's soccer requiring a postgame forfeit to be imposed if a student-athlete or coach participates in a match when he or she should have been serving a suspension for a red card or accumulation of yellow cards. Soccer is unique in that it is the only NCAA sport for which a postgame forfeit is provided within the playing rules. While instances of playing a student-athlete who should be serving a suspension are rare, they do occur (whether intentionally or due to administrative oversight). The matter is complicated by another rule stipulating that all statistics remain the same if a game is forfeited after it has concluded.

The NCAA Men's and Women's Soccer Rules Committee during its March 2018 meeting considered eliminating the statistical provision (i.e., altering the score to reflect a 1-0 loss for the team in violation and not counting the statistics from that game) because it wants the penalty to apply to the regular season in addition to affecting postseason selection. However, the rules committee tabled the matter until its March 2019 meeting to seek feedback from the soccer community and other governance groups regarding whether any changes being considered would have unintended consequences.

The Division III Men's and Women's Soccer Committees supported enforcing the forfeit rule (as did the sport committees in Divisions I and II) as well as altering the score and statistics accordingly. Feedback from the soccer coach's association was similar. After lengthy discussion, however, the Division III Championships Committee decided to not support enforcing the existing forfeit rule, and to not support a forfeit being reflected in the score reporting system (i.e., that the score would be changed, and the statistics not counted). Championships Committee members acknowledged the seriousness of the matter (and its uniqueness to soccer) and advised the soccer rules committee to consider a different approach regarding

how a penalty in these instances should affect the regular season (e.g., fines, additional suspensions, etc.), and they advised the men's and women's soccer committees to explore using the existing nullification process as a tool to address these situations as they relate to postseason selection.

- 12. 2017-18 broadcast figures.** The committee reviewed broadcast figures for the 2017-18 championship seasons.
- 13. In-region competition requirement waiver requests.** The committee took the following action regarding waiver requests for the 2018-19 academic year:
 - a. Concordia University Wisconsin field hockey. The committee took no action to waive the 70 percent in-region requirement for Concordia Wisconsin's field hockey for the 2018-19 academic year noting that a previously undetermined opponent was subsequently scheduled against an in-region opponent allowing Concordia Wisconsin to meet the 70 percent in-region scheduling threshold.
 - b. Emory University women's tennis. The committee approved Emory's request for an in-region waiver in women's tennis, noting Emory's scheduling difficulty due to the lack of proximity to in-region opponents.
- 14. Future meeting dates.** The committee noted the following dates for in-person meetings through September 2019:
 - February 5-6, 2019.
 - June 17-18, 2019.
 - September 9-10, 2019 meeting (committee only, will not include sport committee chairs).

- 14. Adjournment.** Before adjournment, the committee thanked Julie Johnson and Shantey Hill for their service to the Division III Championships Committee.

Committee Chair: Bill Stiles, Alvernia University.

Staff Liaison: Liz Turner Suscha, Championships and Alliances

Division III Championships Committee September 10-11, 2018, Meeting	
Attendees:	
Brad Bankston, Old Dominion Athletic Conference.	
Tim Fitzpatrick, U.S. Coast Guard Academy (via teleconference).	
Susan Fumagalli Mahoney, Gettysburg College (via teleconference).	
Shantey Hill, St. Joseph's College.	
Julie Johnson, Ripon College.	
Bill Stiles, Alvernia University.	
Mike Vienna, Emory University.	
Guests in Attendance:	
Gary Brown, NCAA Contractor.	
NCAA Staff Support in Attendance:	
Jessica Jones, Championships and Alliances.	
Laura Peterson-Mlynski, Championships and Alliances.	
Liz Turner Suscha, Championships and Alliances.	
Other NCAA Staff Members in Attendance:	
Dan Calandro, Championships and Alliances.	
Joni Comstock, Championships and Alliances.	
Dan Dutcher, Governance.	
Ashlee Follis, Championships and Alliances.	
Jan Gentry, Championships and Alliances.	
Matt Holmes, Championships and Alliances.	
John Kuzio, Championships and Alliances.	
Louise McCleary, Governance.	
Jeff Myers, Academic and Membership Affairs.	
Nancy O'Hara, Championships and Alliances.	
Adam Skaggs, Governance.	
JP Williams, Championships and Alliances.	
Dave Worlock, Championships and Alliances.	



REPORT OF THE
NCAA DIVISION III CHAMPIONSHIPS COMMITTEE
SEPTEMBER 21, 2018, EMAIL CORRESPONDENCE

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

- **Sport and sport rules committee appointments.** The committee approved the following sport and sport rules committee appointments:
 - Division III Women's Golf Committee – Midwest region: Mary Sweeney, women's golf coach, St. Catherine University, Minnesota Intercollegiate Athletic Conference.
 - Division III Women's Soccer Committee – East region: Michael Mooney, director of athletics, State University of New York at Geneseo, State University of New York Athletic Conference.
 - Division III Men's Tennis Committee – Central region: Mark Riley, head men's tennis coach, Kalamazoo College, Michigan Intercollegiate Athletic Association.

Committee Chair: Bill Stiles, Alvernia University

Staff Liaison: Liz Turner Suscha, Championships and Alliances

Division III Championships Committee September 14, 2018, Email Correspondence	
Attendees:	
Brad Bankston, Old Dominion Athletic Conference.	
Tim Fitzpatrick, United States Coast Guard Academy.	
Susan Fumagalli Mahoney, Gettysburg College.	
Shantey Hill, St. Joseph's College (Long Island).	
Julie Johnson, Ripon College.	
Bill Stiles, Alvernia University.	
Michael Vienna, Emory University.	
Absentees:	
Kiana Verdugo, Hamline University.	
Guests in Attendance:	
None.	
NCAA Staff Support in Attendance:	
None.	
Other NCAA Staff Members in Attendance:	
None.	



REPORT OF THE
NCAA DIVISION III CHAMPIONSHIPS COMMITTEE
SEPTEMBER 21, 2018, EMAIL CORRESPONDENCE

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

1. **Sport and sport rules committee appointment.** The committee approved the following sport and sport rules committee appointments:
 - Division III Women's Soccer Committee – Mid-Atlantic region: Sean Sullivan, director of athletics, Catholic University, Landmark Conference.
2. **In-Region competition waiver requirements – Hendrix College field hockey.** The committee approved Hendrix's request for an in-region waiver in field hockey, noting their scheduling difficulty due to the lack of proximity to in-region opponents.

Committee Chair: Bill Stiles, Alvernia University

Staff Liaison: Liz Turner Suscha, Championships and Alliances

Division III Championships Committee September 21, 2018, Email Correspondence	
Attendees:	
Brad Bankston, Old Dominion Athletic Conference.	
Tim Fitzpatrick, United States Coast Guard Academy.	
Susan Fumagalli Mahoney, Gettysburg College.	
Shantey Hill, St. Joseph's College (Long Island).	
Julie Johnson, Ripon College.	
Bill Stiles, Alvernia University.	
Kiana Verdugo, Hamline University.	
Michael Vienna, Emory University.	
Absentees:	
None.	
Guests in Attendance:	
None.	
NCAA Staff Support in Attendance:	
None.	
Other NCAA Staff Members in Attendance:	
None.	



**REPORT OF THE
NCAA DIVISION III INTERPRETATIONS AND LEGISLATION COMMITTEE
July 19, 2018, TELECONFERENCE**

ACTION ITEMS.

1. Legislative items.

- None.

2. Nonlegislative items.

- **Approval of Official Interpretation – Student-Athlete Participation in an Institutionally Sponsored Recreation League.**

- (1) Recommendation. Approve the following official interpretation:

Student-Athlete Participation in an Institutionally Sponsored Recreation League. The NCAA Division III Interpretations and Legislation Committee determined that student-athletes may participate in a recreation league specific to their sport that is sponsored by their institution provided the institution conducts the league wholly outside of the athletics department and the league does not benefit the athletics department.

[References: NCAA Division III Bylaws 13.11.3.2 (sports camps and clinics and other athletics events), 17.02.1.1 (athletically related activities), 17.02.1.1.1.2 (fundraising activities as follows), 17.1.5 (out-of-season athletically related activities), 17.1.5.2.1 (involvement of coaching staff), 17.1.5.3 (sports camps and clinics and other athletics events), official interpretations (03/27/14, Item No. 2-d), (02/25/13, Item No. 2-b), (04/22/16, Item No. 2-a) and educational columns (01/14/16, Item No. 6) and (06/17/11, Item No. 3)]

- (2) Effective date. Immediate.

- (3) Rationale. The interpretive issue stemmed from the question of whether soccer student-athletes could participate in a recreation soccer league that was administered by the institution's athletics department facilities manager. The committee applied the current analysis regarding fundraising events and whether student-athletes may participate. Specifically, student-athletes may not compete as part of a team in their sport if the fundraising event is organized, administered by or benefits the athletics department. The committee viewed this situation as no different and issued this interpretation clarifying that determination.

- (4) Budget impact. None.

- (5) Student-athlete impact. None.

INFORMATIONAL ITEMS.

1. **Review of May report.** The committee reviewed and approved the report from its May 17 teleconference.
2. **Review of prospective student-athlete seating arrangement on official/unofficial visits.** The committee discussed whether to recommend a legislative amendment to allow prospective student-athletes to sit on the team's bench during an intercollegiate contest. Currently, while on an official or unofficial visit, institutions may provide student-athletes complimentary admission to an athletics event but may only provide seating in the general seating area. The committee agreed that the rationale underlying that legislation (which was to provide the prospective student-athlete the same experience as a general student) remains relevant. Therefore, the committee decided not to recommend a legislative change.
3. **Future meetings.** The committee reviewed dates and times for upcoming meetings and teleconferences.
4. **Other business.** The committee received an update from the Management Council representative on the committee regarding the Management Council's July 16-17 meeting, including its discussion of the legislative recommendation coming from this committee in its May 17, 2018, teleconference report.
5. **Adjournment.** The committee adjourned at 1:46 p.m. Eastern time.

Committee Chair: Chuck Brown, Pennsylvania State University Erie
Staff Liaisons: Jeff Myers, Academic and Membership Affairs
Kaitlyn Purcell, Academic and Membership Affairs

NCAA Division III Interpretations and Legislation Committee July 19, 2018, Teleconference	
Attendees:	
Chuck Brown, Pennsylvania State University Erie, the Behrend College.	
Jim Cranmer, St. Mary's College of Maryland.	
Jason Fein, Bates College.	
Alexandra Fox, Mills College.	
Angela Morenz, Blackburn College.	
Parker Hammel, Wartburg College.	
Absentees:	
Amy Backus, Case Western Reserve University.	
Gregg Kaye, Commonwealth Coast Conference.	
NCAA Staff Liaisons in Attendance:	
Jeff Myers, Kaitlyn Purcell.	
Other NCAA Staff Member in Attendance:	
Shannon Blevins.	



**REPORT OF THE
NCAA DIVISION III INTERPRETATIONS AND LEGISLATION COMMITTEE
SEPTEMBER 20-21, 2018, MEETING**

ACTION ITEMS.

1. Legislative items.

a. Noncontroversial Legislation – Playing and Practice Seasons – Definitions and Applications – Athletically Related Activities -- NCAA Bylaw 17.02.1.1.

- (1) Recommendation. Sponsor noncontroversial legislation to revise the definition of a captain's practice in NCAA Bylaw 17.02.1.1-(g) by eliminating the provision that a captain's practice is "confined primarily to members of that team."
- (2) Effective date. Immediate.
- (3) Rationale. The membership has regularly indicated that the legislation regulating when and how student-athletes engage in sport-specific activities outside the season with their teammates is confusing, difficult to monitor and not practical. It is understood that student-athletes are going to engage in sport-specific activities with their teammates outside the season. Consequently, the membership, including the NCAA Division III National Student-Athlete Advisory Committee, advocated for greater latitude to allow these interactions to occur, but, to maintain prohibitions on athletics staff involvement and making the activities mandatory. Under the current legislation, the element that is most concerning is that the activity must be open and cannot be limited to members of a team. The NCAA Division III Interpretations and Legislation Committee indicated the focus should not be on who engages in the activity, but is the activity required for participation. This legislative amendment would clarify that student-athletes could participate in an activity limited to members of that team provided there is no coach involvement and the activity is voluntary.
- (4) Estimated budget impact. None.
- (5) Student-athlete impact. None.

2. Nonlegislative items.

a. Convention Proposal Grouping for 2019.

- (1) Recommendation. That Management Council recommend NCAA Division III Presidents Council designate that votes for all proposals at the 2019 NCAA Convention be taken using the roll-call method, regardless of

grouping (presidential or general) and approve the voting order as set forth in the attached document. (Attachment)

2. Effective date. Immediate.
3. Rationale. While Presidents Council is responsible for establishing the order of proposal voting as well as the method for conducting those votes, the committee conducts an initial review and makes a recommendation on both issues. Since the electronic voting units and technology permit the recording of all votes in an expeditious manner, the committee agreed that votes for all proposals (presidential and general grouping) should be taken using the roll-call method as it provides transparency for the membership. Further, the committee submits a proposed voting order as set forth in the Attachment.
4. Estimated budget impact. None.
5. Student-athlete impact. None.

b. Approve Official Interpretation – Four-Year College Prospective Student-Athletes – Notice to Transfer in NCAA Transfer Portal (III).

- (1) Recommendation. Approve the official interpretation to clarify that the notification of transfer within the NCAA Transfer Portal equates to a permission to contact; thereby allowing Division III coaches to contact student-athletes that are in the NCAA Transfer Portal.

Notice to Transfer in NCAA Portal (III). The committee determined that Division III athletics staff may contact student-athletes that appear in the NCAA Transfer Portal as the notification of transfer constitutes a written permission to contact for purposes of Division III.

[References: NCAA Division III Bylaw 13.1.1.2]

- (2) Rationale. Division III athletics staff and coaches have access to search the NCAA Transfer Portal database that allows student-athletes to provide a notification of transfer to their current institution. Once notified, the student-athlete is placed in the database that is searchable by NCAA coaches. This interpretation would not change Division III permission-to-contact requirements, but rather, permit the student-athlete's notification of

transfer in the NCAA Transfer Portal to satisfy the written permission requirement in the Division III legislation.

- (3) Estimated budget impact. None.
- (4) Student-athlete impact. Student-athletes in the transfer portal are subject to the same contact requirements regardless of the division of the coach wanting to contact the four-year prospective student-athlete.

c. Amend Official Interpretation – Offers and Inducements – Providing a Digital Photograph to a Prospective Student-Athlete (III).

- (1) Recommendation. Approve the amendment of the official interpretation [Reference: 2/23/18, Item No. 2a] to remove "unaltered" from the description of the type of digital photograph an institution may provide a student-athlete after a campus visit.

Providing a Digital Photograph to a Prospective Student-Athlete (III). The committee confirmed that it is permissible for an institution to provide a prospective student-athlete with ~~an unaltered~~ digital photograph taken during a campus visit. Digital photographs provided in this manner would not constitute an impermissible offer or inducement.

[References: NCAA Division III Bylaws 13.2.1 (general regulation), 13.6 (official visit), 13.7 (unofficial visit), 13.10.6 (photograph of prospective student-athlete), and a staff interpretation (12/12/2017, Item No. a, which has been archived)]

- (2) Rationale. The amendment of this official interpretation provides consistency with Bylaw 13.4.1 (recruiting materials), which permits a coach to send photographs/graphics to prospective student-athletes and to personalize materials produced by the coaching staff or institution, provided those materials are on official athletics publications (e.g., institutional letterhead, institutional email, institutional postcard) published or produced by the institution.
- (3) Estimated budget impact. None.
- (4) Student-athlete impact. None.

INFORMATIONAL ITEMS.

1. **Welcome and announcements.** The chair, Chuck Brown, noted this was his last in-person meeting and welcomed new members to the NCAA Division III academic and membership affairs team including Shannon Blevins (intern), Kaitlyn Purcell (assistant director) and Bill Regan (associate director).
2. **Committee roster.** The committee reviewed its roster and agreed to submit any corrections to staff.
3. **Review July report.** The committee reviewed and approved the report from its July 19, 2018, teleconference.
4. **Review NCAA Division III Strategic Positioning Platform.** The committee reviewed the NCAA Division III Strategic Positioning Platform.
5. **Update on governance issues.** The Division III governance staff provided the committee an update on the primary topics within the Division III governance structure for the 2018-19 academic year. The topics included:
 - NCAA Board of Governors: Commission on College Basketball Association-Wide Vote and Division III Sexual Violence Prevention Policy;
 - Sports wagering;
 - Alcohol sales at joint championships;
 - Joint basketball championship;
 - Injury surveillance program;
 - Transfer portal;
 - International ice hockey pilot;
 - Faculty athletics representatives working group;
 - LGBTQ working group;
 - Gameday the DIII way working group;

- Diversity and inclusion working group; and
 - DIII identity video.
6. **Review NCAA Divisions I, II and III staff interpretations.** The committee reviewed staff interpretations issued in Divisions I and III between February 1 and August 31, 2018, and took the following action: (There were no staff interpretations issued for Division II during this period.)
- Directed staff review Division I staff interpretation [Reference: 8/31/2018, Item No. a] permitting an institution to provide meals, lodging and/or entertainment during an official visit to a sibling of a prospective student-athlete who is also prospect-aged and/or being recruited by the institution without the visit constituting an official visit for the sibling. The committee instructed staff to review this issue from a legislative and interpretive perspective for consideration at a future meeting.
7. **Review Division III official interpretations.** The committee reviewed official interpretations issued in Division III between February 1 and August 31, 2018, and took the following action:
- Directed staff to amend Division III official interpretation [Reference: 8/13/2018, Item No. a] to distinguish intramurals from an institutionally sponsored recreation league for future review by this committee.
8. **Review Divisions I and II official interpretations.** The committee reviewed official interpretations issued in Divisions I and II between February 1 and August 31, 2018, and did not identify any official interpretations for further review.
9. **Review Divisions I and II legislative actions.** The committee reviewed legislative actions in Divisions I and II between February 1 and August 31, 2018, and specifically discussed the following:
- **Division I Proposals Nos. 2017-27 and 2017-109:** Directed staff to review these proposals incorporating more inclusive legislative language for discussion at a future meeting.
10. **Review Division III editorial revisions.** The committee reviewed editorial revisions issued in Division III between February 1 and August 31, 2018.
11. **Review Division III educational columns.** The committee did not review any educational columns, as none were issued between February 1 and August 31, 2018.

12. Review of 2019 NCAA Convention Division III legislation. The committee reviewed the Division III proposals under consideration for the Convention and offered suggestions for the question and answer document. The committee did not recommend any amendments to the proposals.

13. Division III interpretative issues.

- a. Update on Division I health and safety survey.** The committee reviewed information about the Division I health and safety survey to determine if the committee should recommend a similar required survey for Division III. The committee did not make a recommendation but requested an update at its February 2019 meeting regarding the NCAA Committee on Competitive Safeguards and Medical Aspects of Sports' review of the survey data and Division II's 2019 proposal to require a similar survey.
- b. NCAA Sports Science Institute recommendation.** A member of the Sports Science Institute staff reviewed the draft Interassociation Recommendations: Preventing Catastrophic Injury and Death in College Student-Athletes with the committee. Staff provided an update on the timeline of potential approval and legislative implications of the recommendations.
- c. Letters of recommendation for student-athletes from institutional athletics staff.** The committee reviewed whether institutional athletics staff providing a letter of recommendation on behalf of a student-athlete constituted an extra benefit. Further, the committee reviewed whether that recommendation could be for a benefit that constituted financial aid as athletics staff members may not be involved in influencing the financial aid decision, nor may athletics be considered in the awarding process. The committee agreed that an institutional staff member may provide a letter of recommendation for a student-athlete but requested further discussion at a future meeting regarding the financial aid implications.
- d. Institutional staff member "re-tweeting" a student-athlete's out-of-season social media post.** The committee reviewed whether an institutional athletics staff member or coach may "re-tweet" a student-athlete's post of activities the staff member or coach could permissibly observe under Bylaw 17.02.1.1.1.3-(b). The committee agreed that it would be permissible for the coach to use the team's twitter page to "re-tweet" a student-athletes post from an activity the coach is permitted to observe out-of-season, provided the coach did not direct or supervise the activity and the opportunity to observe the activity was open to all.
- e. Update regarding day off when contest is postponed or cancelled.** The committee reviewed the comments from Division III conference commissioners

regarding Division I legislation allowing a day when a contest is cancelled or postponed to satisfy the mandatory day off in Bylaw 17.1.4.1 even if the student-athletes engaged in athletically related activities prior to the contest being cancelled. Based on the comments the committee took no further action.

- f. Student-athlete employment in athletics department.** The committee reviewed the staff responses to questions involving student-athlete employment in the athletics department. The committee instructed the staff to continue to address these on a case-by-case basis consistent with previous staff responses and took no further action.
 - g. Appeal of staff interpretation: student housing as compensation for student host service to only prospective student-athletes.** The committee reviewed whether a student-athlete may receive a reduced housing rate in exchange for serving as a campus student host to prospective student-athletes only. Considering the extra benefit and financial aid legislation (Bylaws 16.02.3 and 15.4.1), the committee agreed that institutions are not permitted to provide reduced housing rates for student-athletes who serve as student hosts unless (1) all student hosts on the campus are provided a reduction in housing fees; and (2) all student hosts in the program provide hosting duties for any prospective student on campus (i.e., student-athlete hosts may not host exclusively prospective student-athletes).
- 14. Policies and Procedures.** The committee reviewed its policies and procedures and did not recommend any changes.
 - 15. Requests/Self-Reports Online update.** The committee reviewed Division III interpretive requests that have been submitted to staff using RSRO, including response time, bylaw cites and urgency status. The committee requested that the previous year's comparison data be submitted when the committee reviews this data at its February 2019 meeting.
 - 16. Review of Division II coaches' education initiative.** The committee reviewed the Division II educational program, Division II University, that provides educational modules for coaches and discussed the cost of the initiative with NCAA Division III governance staff. The committee advised staff to continue to provide updates on the program in future meetings, as appropriate.
 - 17. Future meetings.** The committee reviewed its future meeting schedule. The committee confirmed February 11-12, 2019, and September 17-18, 2019, for its future in-person meetings and decided to keep the teleconference meeting time at noon Eastern Time.

18. Other business.

- a.** The committee reviewed whether it is permissible for an institution's certified strength and conditioning coach to conduct voluntary, out-of-season strength and conditioning workouts for hockey student-athletes (or those desiring to be hockey student-athletes) on ice using skates and other protective equipment. The committee instructed staff to provide a permissive response, provided it is for strength and conditioning and does not include skill instruction. Protective equipment may be worn as necessary but sport-specific equipment such as a stick and a puck would not be permitted.
- b.** The committee thanked Chuck Brown for his service as committee chair.
- c.** A committee member recommended a review of NCAA bylaws to remove gender-specific language in the legislation. Staff indicated that there has been a similar request from another Division III committee and will communicate the request to the other group as well as the NCAA Office of Inclusion.
- d.** The committee recommended that the SAAC review the concept of complete deregulation of social media in the recruiting legislation.

19. Adjournment. The committee adjourned at 11:40 a.m. Eastern time September 21, 2018.

Committee Chair: Chuck Brown, Pennsylvania State University Erie, the Behrend College

Staff Liaisons: Jeff Myers, Academic and Membership Affairs

Kaitlyn Purcell, Academic and Membership Affairs

Bill Regan, Academic and Membership Affairs

NCAA Division III Interpretations and Legislation Committee September 20-21, 2018, Meeting	
Attendees:	
Amy Backus, Case Western Reserve University.	
Chuck Brown, Pennsylvania State University Erie, the Behrend College.	
Jim Cranmer, St. Mary's College of Maryland.	
Jason Fein, Bates College.	
Alexandra Littlefox, Mills College.	
Gregg Kaye, Commonwealth Coast Conference.	
Angela Morenz, Blackburn College.	
Parker Hammel, Wartburg College.	
Absentee:	
None.	
NCAA Staff Liaisons in Attendance:	
Jeff Myers, Kaitlyn Purcell and Bill Regan.	
Other NCAA Staff Member in Attendance:	
Shannon Blevins, Dawn Buth, Amanda Conklin, Dan Dutcher, Eric Hartung and Louise McCleary.	

Proposed Voting Grouping and Order

Board of Governors Grouping.

1. ORGANIZATION -- BOARD OF GOVERNORS -- INDEPENDENT MEMBERS.

Presidents Grouping.

1. PLAYING AND PRACTICE SEASONS -- FOOTBALL -- ESTABLISHING PRESEASON START DATE 23 DAYS BEFORE THE INSTITUTION'S FIRST ACTUAL CONTEST.
2. PLAYING AND PRACTICE SEASONS -- FIELD HOCKEY AND SOCCER PRESEASON -- ESTABLISHING A THREE-DAY ACCLIMATIZATION PERIOD.
3. MEMBERSHIP -- CONDITIONS AND OBLIGATIONS OF MEMBERSHIP -- STUDENT-ATHLETE GRADUATION RATE REPORTING.

General Grouping.

4. AMATEURISM -- PRE-ENROLLMENT EDUCATIONAL EXPENSES.
5. ELIGIBILITY -- FINAL TERM BEFORE EXPERIENTIAL LEARNING REQUIREMENT.
6. RECRUITING -- ELECTRONIC TRANSMISSIONS AND PUBLICITY -- COMMENTS BEFORE ACCEPTANCE -- SOCIAL MEDIA.



**REPORT OF THE
NCAA DIVISION III MEMBERSHIP COMMITTEE
JULY 19, 2018, TELECONFERENCE**

ACTION ITEMS.

- None.

LEGISLATIVE ITEMS.

- None.

INFORMATIONAL ITEMS.

1. **Welcome and Announcements.** The chair commenced business at 1:04 p.m. Eastern time Thursday, July 19, 2018.
2. **Roster and Conflict of Interest.** Committee members were reminded of the NCAA Conflict of Interest Policy and proper procedures for recusal if a conflict of interest might arise. Committee members followed the recusal procedures during all deliberations.
3. **Review June 2018 Meeting Report.** The committee reviewed and approved its June 2018 meeting report.
4. **Sports-Sponsorship Waiver Request – State University of New York Institute of Technology.** The committee denied a request from the State University of New York Institute of Technology for a waiver of NCAA Bylaw 20.11.3.8 (minimum contests and participants). Specifically, the institution failed to meet minimum sports-sponsorship requirements in softball. In denying the waiver, the committee noted the institution failed to sufficiently demonstrate good faith effort to reschedule contests. Due to the waiver denial, the institution will be placed on probation for a five-year period beginning September 1, 2018.
5. **Review of City College of New York Athletics Program Assessment.** The committee reviewed additional information from the City College of New York regarding completion of its athletics program assessment report. The committee noted recent transition in athletics leadership and that the institution hired consultants to conduct a comprehensive review of the institution's athletics operations. Noting that it was pleased with the progress toward substantial and beneficial changes, the committee agreed to provide the institution an additional year to complete an updated program assessment, which will be due May 15, 2019. It was noted that the institution remains in a probationary period during which the institution must adhere to all membership requirements to avoid additional penalties. This institution's probationary period will conclude September 1, 2022.

6. **Review of Annual Report – Pfeiffer University.** The committee reviewed and accepted the annual report from Pfeiffer University and voted to advance the institution to Year Two of the reclassifying member process.
7. **Regional Rules Seminar Attendance Update.** The staff informed the committee the Division III membership required attendees achieved 100 percent attendance at the Regional Rules Seminars for the 2017-18 academic year.
8. **Other Business.** None.
9. **Adjournment.** The committee concluded its business and adjourned at 1:57 p.m. Eastern time.

Committee Chair: Julie Kline, Earlham College, Heartland Collegiate Athletic Conference
Staff Liaisons: Jay Jones, Division III Governance, primary liaison
Kristin DiBiase, Academic and Membership Affairs

July 19, 2018, Teleconference	
Attendees:	
William Fell, United States Merchant Marine Academy.	
Jonathan Harper, Newbury College.	
Charles Harris, Averett University.	
Jessica Huntley, Centennial Conference.	
Kristyn King, Rockford University.	
Julie Kline, University of La Verne.	
Rob Larson, Luther College.	
Laura Mooney, Massachusetts College of Liberal Arts.	
Steven Rackley, Alma College.	
Susan Stuebner, Colby-Sawyer College.	
Absentees:	
None.	
Guests in Attendance:	
None.	
NCAA Staff Support in Attendance:	
Kristin DiBiase and Jay Jones.	
Other NCAA Staff Members in Attendance:	
Tiffany Alford and Shannon Blevins.	



**REPORT OF THE
NCAA DIVISION III MEMBERSHIP COMMITTEE
AUGUST 16, 2018, TELECONFERENCE**

ACTION ITEMS.

- None.

LEGISLATIVE ITEMS.

- None.

INFORMATIONAL ITEMS.

1. **Welcome and Announcements.** In the absence of the chair, Management Council member Laura Mooney led the call. The call commenced at 1:06 p.m. Eastern time Thursday, August 16, 2018.
2. **Roster and Conflict of Interest.** Committee members were reminded of the NCAA Conflict of Interest Policy and proper procedures for recusal if a conflict of interest might arise. Committee members followed the recusal procedures during all deliberations.
3. **Review July 2018 Teleconference Report.** The committee reviewed and approved its July 2018 meeting report.
4. **Review Alfred State College's Exit Survey.** The committee reviewed comments and feedback on the exit form from Alfred State College, a recent membership process graduate. The committee noted a constructive recommendation about checking sports sponsorship more often within the year for schools that might be struggling to meet the requirements. The committee agreed to review each applicant institution's sports sponsorship compliance during the initial review of the exploratory application and, if applicable, add a staff review after the conclusion of each sport season. This review would be the same sport sponsorship review that is now conducted for schools on restricted membership status.
5. **Sports-Sponsorship Waiver Request – Sage Colleges.** The committee approved a waiver of Bylaw 20.11.3 (sports sponsorship) for the 2017-18 academic year for failure to satisfy overall sports-sponsorship requirements based on shortfalls in men's track and field and men's cross country. In its approval, the committee noted the institution provided documentation that it had scheduled more than the minimum number of contests, opponents canceled contests that they had agreed to play that academic year, and the institution demonstrated a good faith effort to rearrange its schedule to meet the competition minimums. In addition, the committee noted the challenges unique to the sport of track and field in finding replacement contests.

6. **Impact of Association-Wide Vote on Division III Membership Policy Related to Convention Vote Requirement.** Staff informed the committee of an Association-wide legislative vote that will occur at the 2019 NCAA Convention. The vote will occur immediately following the Convention plenary session. Because members can cast a legislative vote during that session, there is a possibility that the institution might believe it can depart the Convention prior to the Division III business session, and still meet the requirements of Bylaw 3.2.4.15 (Convention and Regional Rules Seminar Attendance). The committee noted that the legislation states the vote must be done “at the NCAA Convention Division III Business Session” and reiterated the intent that the vote be recorded during the Saturday morning Division III Business Session. The committee asked staff to include this information in the 2019 Convention Question and Answer document. The committee agreed to discuss the language of the legislation during its February meeting to see if it needed amendment and further clarification.
7. **Other Business.** None.
8. **Adjournment.** The committee concluded its business and adjourned at 1:38 p.m. Eastern time.

Committee Chair: Julie Kline, Earlham College, Heartland Collegiate Athletic Conference
Staff Liaisons: Jay Jones, Division III Governance, primary liaison

August 16, 2018, Teleconference	
Attendees:	
William Fell, United States Merchant Marine Academy.	
Jonathan Harper, Newbury College.	
Kristyn King, Rockford University.	
Rob Larson, Luther College.	
Laura Mooney, Massachusetts College of Liberal Arts, led call.	
Steven Rackley, Alma College.	
Susan Stuebner, Colby-Sawyer College.	
Absentees:	
Charles Harris, Averett University.	
Jessica Huntley, Centennial Conference.	
Julie Kline, University of La Verne.	
Guests in Attendance:	
None.	
NCAA Staff Support in Attendance:	
Jay Jones.	
Other NCAA Staff Members in Attendance:	
Tiffany Alford and Shannon Blevins.	



REPORT OF THE
NCAA DIVISION III MEMBERSHIP COMMITTEE
SEPTEMBER 20, 2018, TELECONFERENCE

ACTION ITEMS.

- None.

LEGISLATIVE ITEMS.

- None.

INFORMATIONAL ITEMS.

1. **Welcome and Announcements.** The call commenced at 1:05 p.m. Eastern time Thursday, September 20, 2018.
2. **Roster and Conflict of Interest.** Committee members were reminded of the NCAA Conflict of Interest Policy and proper procedures for recusal if a conflict of interest might arise. Committee members followed the recusal procedures during all deliberations.
3. **Review August 16, 2018, Teleconference Report.** The committee reviewed and approved its August 16, 2018, teleconference report.
4. **Review Centenary University (New Jersey) Sports-Sponsorship Case.** The committee denied a request from Centenary University (New Jersey) for a waiver of NCAA Bylaw 20.11.3.8 (minimum contests and participants). Specifically, the institution failed to meet minimum sports-sponsorship requirements in women's cross country. In denying the waiver, the committee noted that a lack of monitoring participant minimums based on staff turnover is not a mitigating circumstance that warrants relief of the legislation. The committee further noted that proper monitoring of the team's roster could have prevented the shortfall in this case.

The committee further noted that a model Division III athletics program shall feature a designated compliance coordinator whose primary responsibility is the oversight of the institution's compliance system, the coordination of the institution's rules education and the monitoring of rules compliance and further noted that the primary compliance coordination role is not handled by the director of athletics.

5. **Review of New Sports-Sponsorship Information Submitted by State University of New York Polytechnic Institute.** The committee reconsidered a sports-sponsorship waiver request on behalf of State University of New York Polytechnic Institute and overturned its original decision to deny the waiver of Bylaw 20.11.3.8 (minimum contests and participants) for the 2017-18 academic year for failure to satisfy minimum sports-sponsorship requirements in women's softball. In its decision to overturn the original denial and grant the waiver of sport-sponsorship minimums, the committee noted that the

institution was able to provide additional documentation that demonstrated a good faith effort to reschedule contests canceled or delayed due to inclement weather.

6. **Report on Outcomes of the 2017-18 Sports-Sponsorship Audit.** Staff informed the committee that 10 institutions were randomly selected to be audited to insure satisfaction of sports-sponsorship requirements for the 2017-18 academic year, and none were found to have fallen short of sports-sponsorship minimums.

Following submission of the annual sports-sponsorship data, the NCAA research staff identified seven institutions that appeared to not meet sports-sponsorship minimums. These seven institutions were reviewed “for cause” by staff. Six of the seven institutions had previously submitted or received a waiver by the Membership Committee. Of the seven institutions, only one appeared to have not met the sports-sponsorship minimum requirements nor sought relief through a waiver. The staff will follow-up with that institution.

7. **Discuss Plans for 2019 NCAA Convention Social Reception.** The committee discussed the format for the new member social reception to be held at the 2019 NCAA Convention. It was agreed that the feedback from last year’s reception was more positive than in the past and so the committee agreed to mirror that same format this year.
8. **Update on Membership Status of Benedictine College (Illinois).** The staff informed the committee that Benedictine College (Illinois) has decided to remain in Division III after having applied to begin the Division II membership process. The staff is working with the institution to determine if any violations of Division III rules occurred as the institution was exploring reclassification.
9. **Adjournment.** The committee concluded its business and adjourned at 1:39 p.m. Eastern time.

Committee Chair: Julie Kline, Earlham College, Heartland Collegiate Athletic Conference

Staff Liaisons: Jay Jones, Division III Governance, primary liaison

Tiffany Alford, Academic and Membership Affairs

Corey Berg, Academic and Membership Affairs

September 20, 2018, Teleconference
Attendees:
William Fell, United States Merchant Marine Academy.
Jessica Huntley, Centennial Conference.
Charles Harris, Averett University.
Kristyn King, Rockford University.
Julie Kline, Earlham College.

Rob Larson, Luther College.
Laura Mooney, Massachusetts College of Liberal Arts, led call.
Steven Rackley, Alma College.
Susan Stuebner, Colby-Sawyer College.
Absentees:
Jonathan Harper, Newbury College.
Guests in Attendance:
None.
NCAA Staff Support in Attendance:
Tiffany Alford.
Corey Berg.
Jay Jones.
Other NCAA Staff Members in Attendance:
Shannon Blevins.



**REPORT OF THE
NCAA DIVISION III STUDENT-ATHLETE ADVISORY COMMITTEE
JULY 14-15, 2018, MEETING**

ACTION ITEMS.

- None.

LEGISLATIVE ITEMS.

- None.

INFORMATIONAL ITEMS.

1. **Welcome.** The committee welcomed new members who were unable to attend the April meeting and went through introductions with the entire group.

2. **Administrative Items.**

- a. Roster. The committee reviewed and updated its roster.

The committee held an election to replace acting chair, Matt Knigge, who will be rolling off the committee prior to his term's expiration. Parker Hammel, former football student-athlete at Wartburg College and current vice chair, will assume the chair duties at the conclusion of the July meeting.

A second election was held to replace Mr. Hammel's vacant vice chair position. NJ Kim, baseball student-athlete at Emory University, will assume the vice chair duties following the July meeting.

- b. April 2018 Meeting Report. The committee approved its April 2018 meeting report as presented.
- c. Policies and Procedures. The committee reviewed its policies and procedures and approved as amended to include specifics regarding communication with the new SAAC Associate Members moving forward.
- d. SAAC Expectations Document. The committee reviewed the SAAC expectations document and approved changes as they relate to SAAC Associate Members.
- e. SAAC Goals. The committee revisited its goals established during its April meeting. Specifically, the committee's aim is to refocus the working groups and see its current projects to the finish line.

- f. Working Groups – Social Oversight Role. While finalizing the roster for each of its working groups, the committee also discussed a newly created position, to head up social oversight, and appointed Fran Capaldi, Mika Costello and Julia Higgins to this role. The committee recognized the need for this position as the committee is set to change to a larger group in the coming months with the addition of SAAC Associate Members. The social oversight role will be charged with organizing ice breakers at meetings, working with staff in planning the annual Convention SAAC social activity and coordinating social plans to engage the entire group.
3. **SAAC Associate Member discussion.** The committee discussed the SAAC Associate Member role with specifics surrounding post-meeting communication, in-person meeting structure (July and Convention), and substitution policies to be followed when an Associate Member attends on behalf of a national SAAC member. Attendance, involvement and voting policies will be captured in a document outlining the role and expectations of SAAC Associate Members. Committee members also reviewed a document outlining the new conference and partner-conference match-ups that will go into effect following the 2019 Convention.
4. **NCAA Division III Management Council Update.** Division III SAAC Management Council representatives Sean Cain and Madison Burns provided an overview of the April 2018 Management Council report. The committee also reviewed the agenda for its joint Management Council/SAAC meeting to prepare for discussions on current SAAC initiatives and the upcoming committee structure change.
5. **LGBTQ Working Group – Banner and Identity Initiative.** The committee received a second draft of the logo design elements on the new banner and identity initiative coming from the Division III LGBTQ Working Group. This material was developed with input from the SAAC provided during its April meeting. The committee provided further input, and recommended changes, to the logo design and endorsed moving forward with the campaign.
6. **Preparation for fall conference meetings.** The committee reviewed its primary goals for committee members who attend fall conference SAAC meetings: to communicate national SAAC initiatives; and receive feedback on proposed legislation. The committee shared best practices for effective communication and timely feedback on legislation from institutions. The committee also discussed how this dynamic may change with the SAAC Associate Member's involvement. The importance of timely and thorough communication was prioritized to build the relationship between the National SAAC member, the SAAC Associate Member and the individuals at each institution.

7. **2019 Convention Schedule.** NCAA staff reviewed the 2019 Convention schedule specifically discussing the following:
 - Special Olympics Unified Sports Experience;
 - The student-athlete luncheon and how to be effective in the new committee structure; and
 - Timing for conference and partner conference meetings.
8. **NCAA Committee Reports.** Committee members provided relevant updates from their service on various Association-wide and Division III committees.
9. **Special Olympics partnership update.**
 - a. Reporting – broken down by conference. The committee reviewed a report of Special Olympics activities, sorted by conference, as of June 2018.
 - b. Special Olympics event at the 2019 NCAA Convention. The committee discussed its plan for the unified sports experience that will take place at Convention. The activity will be hosted and led by the Division III National SAAC but will be open to all Convention attendees to participate.
 - c. Sunday activity with Management Council. The committee, along with members of Management Council, hosted Special Olympics athletes for a field day at the NCAA national office in the White River State Park. Participants rotated through stations including volleyball, soccer, football and wiffleball and concluded the afternoon with a water balloon toss competition and an ice cream social.
10. **Division III SAAC Working Groups.** The committee broke into its working groups and reported out on the following:
 - a. Special Olympics. The working group discussed the current 50 for 50th campaign highlighting Special Olympics' 50th anniversary. There are two components:
 - (1) 50 for 50th Profiles: This weekly social media campaign in partnership with Special Olympics North America aims to showcase individuals and institutions that participate in activities with Special Olympics athletes and have been impacted by the organization.
 - (2) 50 for 50th Challenge: Starting August 1, 2018, and continuing through February 28, 2019, this campaign challenges all Division III institutions to have at least 50 student-athletes participate in Special Olympics activities.

- (3) Institutions should report their activities on the Special Olympics website on ncaa.org. All institutions who complete the challenge will receive recognition as well as a grand prize for one of the three institutions with the highest percentage of participation.

The Special Olympics Working Group also discussed the Generation Unified website and brainstormed how institutions can be informed to attempt to start an “inclusion revolution.”

- b. Mental Health. The working group is finalizing a script for a mental health education video that will aim to break the stigma attached to mental health. The working group also finalized plans to create a pop-up card template that institutions can edit to provide institution specific information and made available as a campus resource. The group also discussed the idea of campuses hosting mental health workshops to equip individuals on campus with knowledge and support to combat the mental health stigma.
 - c. Communications. The working group discussed how it can most efficiently and effectively provide communication to all constituents in the SAAC structure (e.g., National SAAC members, SAAC Associate Members, conference and campus SAAC, etc.). The group also discussed starting a Division III SAAC Twitter account to try to be more visible and to contribute to enhanced communication efforts. The group is also working to update the campus SAAC best practices guide with nuances pertaining to the changing committee structure.
- 11. **Legislation.** NCAA staff provided the committee with an overview of the legislative process and timeline, as well as Division III SAAC’s role and responsibilities regarding proposed legislation. The committee also initially discussed several proposals to be voted on at the Convention and took initial feedback and straw poll positions on these proposals.
 - 12. **Division III Updates and SAAC Input on National Issues.** Louise McCleary, managing director of Division III, joined the committee to give a general divisional update and allowed the group to ask any questions relevant to the work of the division.

NCAA staff also updated the committee on an initiative from the Sport Science Institute related to stress management and a targeted interdisciplinary approach. The initiative will focus on improving student-athlete well-being and providing effective care.

13. Future meetings.

- a. September/October teleconference, to be determined.
- b. November 11-12, 2018; Indianapolis.
- c. January 22-26, 2019; NCAA Convention; Orlando.

14. Adjournment.

Committee Chair: Matt Knigge, Vassar College; Liberty League
Staff Liaisons: Jay Jones, Governance
 Brynna Barnhart, Enforcement
 Laura Peterson-Mlynski, Championships

NCAA Division III Student-Athlete Advisory Committee July 14-15, 2018, Meeting	
Attendees:	
Ryan Booth, Norwich University, New England Women's and Men's Athletic Conference.	
Madison Burns, Randolph Macon College, Old Dominion Athletic Conference.	
Sean Cain, Adrian College, Michigan Intercollegiate Athletic Association.	
Fran Capaldi, Bethany College (West Virginia), Presidents' Athletic Conference.	
Zach Cook, College at Brockport, State University of New York, State University of New York Athletic Conference.	
Mika Costello, Willamette University, Southern California Intercollegiate Athletic Conference	
Annabelle Feist, Williams College, New England Small College Athletic Conference.	
Cameron Gardner-Nicholson, Penn State University, Altoona, Allegheny Mountain Collegiate Conference.	
Matthew Gillette, Texas Lutheran University, Southern Collegiate Athletic Conference.	
Emily Goodwin, Massachusetts Maritime Academy, Massachusetts State Collegiate Athletic Conference.	
Mikayla Greenwood, Illinois College, Midwest Conference.	
Parker Hammel, Wartburg College, Iowa Intercollegiate Athletic Conference.	
Julia Higgins, The College of Wooster, North Coast Athletic Conference.	
Samantha Kastner, Notre Dame of Maryland University, Colonial States Athletic Conference.	
NJ Kim, Emory University, University Athletic Conference.	

Matthew Knigge, Vassar College, Liberty League.
Nicole Monick, Johnson State College, North Atlantic Conference.
Kelsey Morrison, University of Valley Forge, American Collegiate Athletic Conference.
Colby Pepper, Covenant College, USA South Athletic Conference.
Kiana Verdugo, Hamline University, Minnesota Intercollegiate Athletic Conference.
Absentees
Cedrick Fry, Carthage College, College Conference of Illinois & Wisconsin.
CJ Pakeltis, MacMurry College, St. Louis Intercollegiate Athletic Conference.
Guests in Attendance
None
NCAA Staff Liaisons:
Brynna Barnhart, Enforcement.
Jay Jones, Division III governance.
Laura Peterson-Mlynski, Championships and alliances.
Other NCAA Staff Members in Attendance:
Tiffany Alford, Division III governance intern.
Katelyn Fischer, Finance intern.
Louise McCleary, Division III governance.
Jeff Myers, Academic and membership affairs.
Kaitlyn Purcell, Academic and membership affairs.

REPORT OF THE
NCAA COMMITTEE ON COMPETITIVE SAFEGUARDS
AND MEDICAL ASPECTS OF SPORTS
JUNE 13-14, 2018, MEETING

ACTION ITEMS.

1. Legislative items.

- None.

2. Nonlegislative Items.

- **Withdraw Noncontroversial Legislation – NCAA Bylaw 18.4.1.4.1 – Championships and Postseason Football – Eligibility for Championships Penalty – Banned Drug Classes Other Than Illicit Drugs.**

(1) Recommendation. Withdraw the committee's recommendation for noncontroversial legislation to clarify that a student-athlete who tests positive for use of a substance in a banned drug class other than illicit drugs shall:

- a. Be charged with the loss of one season of competition in all sports, in addition to the use of a season, pursuant to Bylaw 12.8.3.1;
- b. Be ineligible for the entirety of one season (i.e., the maximum number of regular-season contests or dates of competition per Bylaw 17), which must be served while the student-athlete is otherwise eligible for competition; and
- c. Be ineligible for at least one calendar year (i.e., 365 days) after the collection of the student-athletes positive drug-test specimen and until he or she tests negative.

(2) Effective date. Immediate.

(3) Rationale. At its December 2017 meeting, the committee recommended noncontroversial legislation to ensure the drug testing penalty for banned drug classes other than illicit drugs is applied consistently and equitably to all student-athletes, regardless of transfer or enrollment status. Since that recommendation, additional scenarios were identified that require further clarification. To avoid confusion, the committee requested that the NCAA Division I Council and Divisions II and III Management Councils withdraw the previous recommendation until a more

- (4) comprehensive legislative solution can be finalized. The committee will continue to discuss the issue at its September teleconference, when it expects to have a new legislative recommendation.
- (5) Estimated budget impact. None.
- (6) Student-athlete impact. Ensures consistent and equitable application of the drug-testing penalty for all student-athletes, regardless of transfer or enrollment status.

INFORMATIONAL ITEMS.

1. **Report of the March 26, 2018, CSMAS teleconference.** The committee approved the report of its March 26, 2018, teleconference.
2. **NCAA chief medical officer update.** The committee received an update and overview of the current initiatives of the NCAA Sport Science Institute, including discussion on the broader landscape for health and safety since the committee's December 2017 meeting. The topics of concussion, specific legal issues and uniform standards of care were highlighted.
3. **CARE Consortium Study update.** The committee received an update of the CARE Consortium Study, which currently has 40,000 student-athletes and cadets enrolled. To date, nearly 4,000 concussions have been studied and 10 peer-reviewed scientific manuscripts have been published based on these results. The first phase of the study has ended. The second phase, which will last two years, will focus on intermediate and cumulative effects of concussion, and will work to integrate research protocols from both the ongoing CARE study as well as an active study (i.e., SALTOS) from the Department of Defense.
4. **Concussion protocol review process.** The committee was briefed on the 2018 Division I concussion protocol review process, which at the time of the meeting, was still underway. In 2018, 262 non-Autonomy protocols were submitted, which is a slight decrease from the 275 submitted in 2017. The committee also received an update on the status of the Divisions II and III concussion protocol template initiative. Both divisions have approved noncontroversial legislation that requires an institution to have a concussion management plan that adheres to the process prescribed by the NCAA Board of Governors. In addition, the new legislation requires annual review of the concussion management plan by the institution's athletics health care administrator. At the time of this meeting, the template was in final production phase, and membership communication was being readied.

- 5. Independent medical care – update from the IMC working group.** The committee reviewed a report from the Independent Medical Care working group. The working group confirmed that the independent medical care legislation does apply to situations where visiting teams travel without primary athletics health care providers. However, the committee noted that gaps exist around its understanding of the implementation of health care provisions to visiting teams. Due to these gaps, and to the potential medicolegal issues related to these situations, the committee agreed that additional guidance should be provided to the membership. To that end, the working group, in collaboration with appropriate NCAA staff, will continue the development of a white paper to address relevant issues surrounding host and visitor care provisions, independent medical care, risk management and other pertinent concerns. A draft of that document is anticipated for the committee’s September conference call.
- 6. Educational program support.** The committee received an overview of the CHOICES, APPLE and Step UP! Bystander Intervention programs. The committee supported continued financial and staff support for all the programs. The committee also supported the NCAA staff to pursue funding options for future cycles of CHOICES and to explore the transfer of administrative responsibility for Step UP! to the University of Virginia Gordie Center.
- 7. NATA-NCAA Summit.** In January 2017, the NCAA and NATA hosted a summit on the organization and administration of athletics health care services in the college/university environment. During this event, three breakout groups were established to draft specific sections of a best practices document. The best practices document will be forthcoming later this year.
- 8. Catastrophic Injury.**

 - a. The first annual reports arising from the NCAA catastrophic reporting mandate, which was legislated in August 2014 and implemented in August 2017, was submitted to the SSI in May by the National Center for Catastrophic Sport Injury Research at the University of North Carolina at Chapel Hill. The NCAA contracts with the NCCSIR to manage the reporting system and to provide regular reports. Between September 2017 and April 2018, 78 member institutions reported at least one catastrophic injury, resulting in 110 individual reports representing catastrophic events occurring between the 2013-14 and 2017-18 academic years.
 - b. The committee referred the document, *Interassociation Recommendations: Preventing Catastrophic Injury and Death in College Student-Athletes*, to the CSMAS standing review committee for review and consideration of input from other membership bodies. This is

the last of four interassociation documents arising from the 2016 Safety in College Football Summit. The document addresses the prevention of catastrophic injury and death in college athletes. It is currently undergoing a comprehensive membership review under the procedures established by the Uniform Standards of Care policy.

- 9. Update on the sexual violence prevention attestation process.** The committee received a governance update about the sexual violence prevention attestation process, which began on March 1, 2018, and ended June 8, 2018. Across all three divisions, 98.9 percent of schools submitted complete attestation forms via Program Hub. A complete list of schools will be shared with the NCAA Board of Governors during its August 2018 meeting.
- 10. Biannual injury epidemiology report.** Dr. Erin Wasserman, director of the NCAA Injury Surveillance Program at the Datalys Center, updated the committee on the current work of the Datalys Center, and provided a review of injury and illness trends as provided by the NCAA Injury Surveillance Program.
- 11. National Federation of State High School Associations April 2018 Sports Medicine Advisory Committee report.** The committee received a report on health and safety initiatives at the National Federation of State High School Associations by Bob Colgate, director of sports and sports medicine at the NFHS.
- 12. Government relations update.** The committee received a written report from the NCAA office of government relations.
- 13. Review of deliverables from the Mental Health Task Force 2.0.** The committee received an overview of the Mental Health Best Practices Implementation Tools, including the Mental Health Workshop Planning Kit and the Mental Health Interdisciplinary Planner, which are tools designed to help athletics department staff, conference offices and campus stakeholders understand and support student-athlete mental wellness. These tools were released to the membership on June 6 and can be found on the SSI website at ncaa.org/mentalhealth.
- 14. Research grant update.** The committee received an update on two SSI-supported research projects. The Cardiac Autopsy Study began in early fall 2016 and aims to help understand genetic and structural causes underlying sudden cardiac death. The research team has one year of funding remaining. The University of Connecticut Soccer Periodization Study explores the relationship between injury and the Division I soccer practice and competition schedule. The second and final season of the study, will take place during the fall 2018 competitive season. Full results will be available in spring 2019.

- 15. SSI mobile application demonstration.** The committee received a demonstration of the SSI mobile publishing platform, which includes both a mobile and website application. Once complete, this platform will serve as the primary vehicle for distribution of health and safety resources to both the membership and to the general public. The platform is currently under development and is scheduled to be released in late fall 2018. A revised and restructured NCAA Sports Medicine Handbook will be the first document delivered through this platform.
- 16. Legal and litigation update.** The committee received a privileged and confidential update regarding several matters of ongoing litigation from the NCAA office of legal affairs.
- 17. Substance Use Survey final report.** The committee received the results of the 2017 NCAA Student-Athlete Substance Use Study, completed in the spring of 2017.
- 18. Drug Education and Testing/THC report.** The committee received a report from Michelle Dorsey, senior director of NCAA drug-testing at Drug Free Sport, on the portion of the research project that involved analyzing year-round samples for THC, with no reporting or sanction. The data also was correlated with membership survey responses about institutional drug testing and education. Further review of these data will be conducted by staff to assess implications for deterring marijuana use.
- 19. NCAA Institutional Performance Program.** The committee received, for the first time, a demonstration of the health and safety section of the IPP website from research staff members. There are 78 data points available for viewing for Division I schools who complete the IPP survey. The tool will help NCAA institutions compare themselves with peer institutions on issues related to athletics health care delivery and administration.
- 20. Biannual Drug Free Sport report.** Mark Bockelman and Michelle Dorsey from Drug Free Sport gave an update on drug-testing results from NCAA year-round and championships drug testing. Specifically, they noted the significant increase in positive tests for selective androgen receptor modulators, or SARMs, which fall under the anabolic agents class, and selective estrogen receptor modulators, or SERMs, which fall under the anti-estrogen class of drugs.
- 21. NCAA governance update.** The committee received a governance update from the three divisional representatives. The committee expressed concern regarding the Division I Council's recent adoption of legislation that would permit student-athletes in the sport of football to participate in up to four games in a season without using a season of competition, as the proposal provided health and safety rationale, but was not forwarded to CSMAS for input. The committee asked that any proposal, governance or membership sponsored, that has health and safety ties or language within the rationale, should be routed to CSMAS for review and comment before it is presented for a vote. The committee also reviewed and did not support

a potential legislative concept from the Division II Management Council that would remove the prohibition on the use of tobacco products by student-athletes during practice and competition. The committee supported a more thorough examination of contemporary issues involving tobacco use amongst college student-athletes.

22. Drug testing appeals. The committee supported the following recommendations from the Drug Testing Appeals Working Group:

- a. The Sport Science Institute should develop a process for appeals of drug-testing penalties that involve unresolved interpretive questions (e.g. application of penalty, impact of transfer, etc.) that includes appropriate staff review; this process would include subject matter experts (e.g., NCAA academic and membership affairs staff) to address the factors presented in the appeal.
- b. When appropriate, AMA staff should be invited to sit in on drug-testing appeal hearings to develop familiarity with the process and considerations of the appeal committee, and provide guidance to CSMAS on interpretive questions that may arise during the hearing, and
- c. CSMAS staff liaisons should engage with AMA staff, when pertinent, in review of any waiver request of the drug-testing penalty that identifies grounds other than mitigation relating to a student-athlete's responsibility for use of the banned drug.

Additionally, the committee received an overview of the Tulane University preparatory service for student-athletes requesting a drug test appeal, which currently is in the development phase.

23. Operationalizing drug testing policy for WADA alignment. At its December 2017 meeting, the committee recommended legislation to amend all legislated references to NCAA banned-drug classes to align with the World Anti-Doping Agency list of prohibited classes, with the exception of the glucocorticoid class. To identify and address potential issues with these pending changes, the committee agreed to create a working group to operationalize impacted drug testing policy.

In addition, the committee agreed to maintain NCAA policy on a number of substances within the banned drug classes. Specifically, the committee agreed to continue to include caffeine as a banned substance and agreed to continue to exclude insulin and Synthroid as banned substances. Finally, the committee agreed to maintain the current threshold for an NCAA positive test for THC at 15 ng/mL.

24. Drug-testing penalty. The committee was provided an overview of the current legislated drug-testing penalty for banned classes other than illicit drugs. Currently, the penalty includes the loss of one season of competition in all sports in addition to the use of a season and withholding from competition for the next 365 days from the date of the positive drug test. The committee identified that the timing of the drug-test (e.g., before or during the season) may lead to inconsistent penalty outcomes. The committee instructed SSI and AMA staff to draft legislative language to be reviewed during the CSMAS fall teleconference.

25. Testing at College Football Playoff. The committee reviewed the current timeline used for testing at the College Football Playoff, as well as common issues that arise during this current schedule. The committee supported moving the testing of each semi-final team prior to travel to the semi-final sites and allowing Drug Free Sport to determine the appropriate schedule for testing to meet the principles of deterrence and clean competition.

The committee also directed NCAA and Drug Free Sport staff to look at more information around testing options needed for the College Football Playoff, as well as the possible options to determine testing of the B-sample, not later than the CSMAS December 2018 meeting.

26. Medical exceptions. The committee supported amending its policy to include anti-estrogens in the pre-approval requirement, for both men and women. The committee reaffirmed its position to continue to prohibit medical exceptions for medical marijuana. In support of this decision, the committee considered medical and research literature and determined there is no current medical indication for treatment with marijuana in current standards of care in this subpopulation, and will monitor the issue for any change in treatment standards. The committee also reaffirmed its position that when a positive test involving prescribed dronabinol occurs, it could be considered on appeal and not through the NCAA Medical Exception policy.

27. IRMS testing policy. The committee supported changing the Isotope Ratio Mass Spectrometry testing threshold ratio from 6:1 to 10:1, as confirmation at a higher ratio will maintain a testing focus on those using endogenous hormones for doping purposes, compared to those who have naturally elevated testosterone levels.

28. Initiatives update. NCAA staff provided updates on several initiatives to the committee.

- a. All three divisions are in various states of action involving efforts to increase membership participation in the NCAA Injury Surveillance Program. Division II has started to implement a comprehensive communication plan, including targeted emails, a web presence, talking points and FAQs. Between January and June 2018, Division II membership participation has tripled, from eight percent to almost 24 percent. Division I

will begin a similar approach in the summer of 2018 and Division III currently is exploring similar options.

- b. The NCAA SSI will host the NCAA Summit on Pain Management in the Collegiate Athlete, July 10-11, at the NCAA national office. The summit will use a Delphi approach to produce consensus statements that will be used in the development of interassociation recommendations on pain management.
- c. The Delphi voting process, a form of consensus voting that narrows down selections from each round of voting, from the Interassociation Task Force on Sleep and Wellness will be completed in August 2018 and an article incorporating the Delphi process and consensus statements will be submitted in August to the British Journal of Sports Medicine. Educational resources will be developed starting in the fall.

29. Interassociation events needing committee review and endorsement. The committee recommended the creation of a new NCAA Interassociation Concussion Advisory Group to be composed of representatives from the NCAA membership, as well as leading medical and scientific organizations, and that its work be overseen by CSMAS and the NCAA Chief Medical Officer.

30. Future meeting dates.

- a. December 9-11, 2018, Indianapolis.
- b. June 11-13, 2019, Indianapolis.
- c. December 8-10, 2019, Indianapolis.
- d. June 9-11, 2020, location TBD.

Committee Chair: Douglas Ramos, Creighton University, Big East Conference
Staff Liaisons: John Parsons, Sport Science Institute
Anne Rohlman, Academic and membership affairs
Mary Wilfert, Sport Science Institute

NCAA Committee on Competitive Safeguard and Medical Aspects of Sports June 13-14, 2018, Meeting	
Attendees:	
Stevie Baker-Watson, DePauw University.	
Randy Bird, University of Virginia.	
Grace Calhoun, University of Pennsylvania.	
John Chandler, Coe College.	
Bob Colgate, National Federation of State High School Associations.	
Jeff Dugas, Troy University.	
Joshua Ellow, Swarthmore College.	
Gabe Feldman, Tulane University.	
R.T. Floyd, University of West Alabama.	
Aja Grant, Winston-Salem State University.	
Joseph Hannant, University of North Carolina, Pembroke.	
Samantha Kastner, Notre Dame of Maryland University.	
Jessica Mohler, U.S. Naval Academy.	
Steve Murray, Pennsylvania State Athletic Conference.	
Douglas Ramos, Creighton University.	
Amy Schafer, Thiel College.	
Enna Selmanovic, University of Cincinnati.	
Mark Stovak, University of Nevada, Reno.	
Jeff Williams, East Central University.	
Maureen White, U.S. Merchant Marine Academy.	
Absentees:	
Kimberly Patterson Walpert, University of Georgia.	
Guests in Attendance:	
Mark Bockelman, Drug Free Sport.	
Christy Collins, Datalys Center.	
Michelle Dorsey, Drug Free Sport.	
Erin Wasserman, Datalys Center.	

NCAA Staff Liaisons in Attendance:
John Parsons, Anne Rohlman and Mary Wilfert.
Other NCAA Staff Members in Attendance:
Scott Bearby, Dawn Buth, Jackie Campbell, Markie Cook, Jenn Fraser, Brian Hainline, Brian Hendrickson, Nicole Hollomon, Maritza Jones, Cassie Langdon, Todd Petr, Adam Skaggs, Jared Tidemann and Karen Wolf.



REPORT OF THE
NCAA PLAYING RULES OVERSIGHT PANEL
JULY 25, 2018, TELECONFERENCE

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

1. **Welcome and announcements.** The chair welcomed those on the call and thanked everyone for their time.
2. **Review of the PROP June 12, 2018, teleconference report and July 19, 2018, email vote report.** The panel approved the June 12 teleconference report and the July 19 email vote.
3. **NCAA Women's Lacrosse Rules Committee annual meeting report and comment period report.** The panel reviewed the rules committee's June 5-7 annual meeting report. Although this is a non-rules change year for women's lacrosse, the panel approved one rules change since it is an alteration to a rule that was implemented during the 2018 season. The rules change eliminates Rule 5-39.a and permits substitutions when the game clock is stopped, unless otherwise specified in Rule 5-39. This change increases the pace of play, supports the game's recent progression to free movement, dissipates concerns relating to congestion and inadvertent physical contact in the substitution area, and allows for better and more consistent administration and enforcement of substitution procedures.
4. **NCAA Softball Rules Committee annual meeting report and comment period report.** The panel reviewed the rules committee's June 18-20 annual meeting report. Although this is a non-rules change year for softball, the panel approved one rules change due to a change by a national governing body. The rules change allows for an additional bat certification mark to accommodate Amateur Softball Association (ASA) rebranding to USA Softball. Manufacturers may begin testing and certifying bats with the new fastpitch USA Softball certification mark as early as the 2019 season. Both the old ASA 2004 and new fastpitch USA Softball certification marks will be permitted to indicate compliance for NCAA competition in the 2019 season. Bats still will need to also be on the current NCAA Approved Softball Bat List.
5. **NCAA Men's and Women's Rifle Committee annual meeting report and comment period report.** The panel reviewed the rifle committee's June 4-5 annual meeting report and approved four rules modifications. Three of the modifications apply to the standard match format. The modifications will provide clarity and establishes a penalty for failure to follow the standard match format after repeated misapplications of the format in recent years. Institutions are now required to submit squadding plans to the rifle rules interpreter and NCAA championship manager by February 1 of each season ahead of qualifier weekend as a check to ensure that the standard match format is followed. Failure to submit

squadding plans by the deadline will result in a \$400 fine. The final modification provides flexibility to the strict USA Shooting/International Shooting Sport Federation (ISSF) rules which run counter to current NCAA apparel trends in the sport of rifle.

6. **NCAA Women's Bowling Committee annual meeting rules report, comment period report and July 18, 2018, teleconference report.** The panel reviewed the bowling committee's April 30–May 1 annual meeting rules report and approved seven rules changes. Three of the rules changes pertain to the bowling ball and three pertain to competition uniforms and apparel including one that requires a minimum length of a participant's skirt. The final rules change incorporates the championship format into the rules book. This change permits teams to participate in a maximum of six matches a day during conference tournaments, the NCAA National Collegiate Championship and exhibition contests.
7. **NCAA Men's and Women's Ice Hockey Rules Committee annual meeting report, comment period report and July 18, 2018, teleconference report.** The panel reviewed the ice hockey committee's June 11-14 annual meeting rules report and the committee's July 18 teleconference report. Fifteen changes were approved overall. Two of the final proposals were approved on the ice hockey committee's teleconference and replaced two that were initially distributed for comment. These adjustments relate to the rules when a game is tied. Several conferences utilized alternative methods after the traditional overtime period to award points in their standings. The committee initially removed those options to create a more standard approach nationally. However, during the comment period, it was clear this action was not supported by the Division I men's ice hockey membership, so the committee adjusted and voted to allow reduced manpower and/or a shootout. It was clarified that these options are allowed only during conference games or in-season tournaments that require a winner for advancement. The committee's other changes were well supported in the membership comment period. One item of note procedurally: The committee created separate points of emphasis for men's and women's ice hockey in order to focus specifically on each gender's issues.
8. **Future meeting dates.** The panel noted that in addition to the teleconferences scheduled for August 15 and September 12 this year, the panel's annual in-person meeting will be Wednesday, January 23, 2019 (from 8 a.m. to 2 p.m.) at the 2019 NCAA Convention in Orlando, Florida.
9. **Adjournment.** The teleconference was adjourned at 1:38 p.m. Eastern time.

Report of the NCAA Playing Rules Oversight

Panel July 25, 2018, Teleconference

Page No. 3

Committee Chair: Jeff Hurd, Western Athletic Conference

*Staff Liaisons: Ben Brownlee, Championships and Alliances, Playing Rules and Officiating
Dan Calandro, Championships and Alliances, Playing Rules and Officiating
Ashlee Follis, Championships and Alliances, Playing Rules and Officiating
Ty Halpin, Championships and Alliances, Playing Rules and Officiating
Rachel Seewald, Championships and Alliances, Playing Rules and Officiating
Andy Supergan, Championships and Alliances, Playing Rules and Officiating*

NCAA Playing Rules Oversight Panel July 25, 2018, Teleconference	
Attendees:	
Jennifer Heppel, Patriot League.	
Jeff Hurd, Western Athletic Conference.	
Julie Johnson, Ripon College.	
Chris Schneider, Big East Conference.	
Ronda Seagraves, Concordia University Texas.	
Gary Williams, Wittenberg University.	
Kevin White, Truman State University.	
Matt Wilson, Gulf South Conference.	
Absentees:	
Pat Britz, South Atlantic Conference.	
Dave Roach, Fordham University.	
Larry Scott, Pac-12 Conference.	
Angie Torain, University of Notre Dame.	
NCAA Staff Support in Attendance:	
Ben Brownlee, Dan Calandro, Ashlee Follis, Ty Halpin, Rachel Seewald and Andy Supergan.	
Other NCAA Staff Members in Attendance:	
Greg Johnson and Aaron King.	



REPORT OF THE
NCAA PLAYING RULES OVERSIGHT PANEL
JUNE 12, 2018, TELECONFERENCE

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

1. **Welcome and announcements.** The chair welcomed those on the call and thanked everyone for their time.
2. **Review of the PROP May 11, 2018, teleconference report.** The panel reviewed and approved the May 11 teleconference report as amended to add the following sentence to the end of the second paragraph in Item No. 3: "The panel also encouraged those groups charged with defining the details of the approved 20 headset rule to allow FCS (and Divisions II and III, if appropriate) flexibility to apply the headset limitations in a manner that takes into account differences in legislative restrictions on the number of countable coaches and graduate assistants, while not exceeding the overall maximum limit of 20 headsets."
3. **NCAA Men's and Women's Swimming and Diving Committee annual meeting report.** The panel reviewed the rules committee's May 14-17 annual meeting report. No rules changes were recommended since it is a non-rules change year.
4. **NCAA Wrestling Rules Committee annual meeting report.** The panel reviewed the rules committee's April 9-11 annual meeting report. No rules changes were recommended since it is a non-rules change year.
5. **NCAA Men's Basketball Rules Committee annual meeting report and comment period report.** The panel reviewed the rules committee's May 9-11 annual meeting report. Although this is a non-rule change year for men's basketball, the panel approved two rules changes due to extenuating circumstances. One rules change relates to health and safety and permits elbow, hand, finger, wrist or forearm guards, casts or braces made of fiberglass, plaster, metal or other non-pliable substance to be used by players during competition as long as the protective device is completely covered with not less than ½-inch thickness of slow-rebounding foam. The second rules change is an adjustment to a rule that was implemented the previous season by further delineating the types of delays of games caused by a coach from types of delays caused by a player.
6. **NCAA Women's Basketball Rules Committee annual meeting report and comment period report.** The panel reviewed the rules committee's May 9-11 annual meeting report. While it was not a rules change year for women's basketball, the panel approved one rule change proposal related to health and safety. The change permits elbow, hand, finger, wrist or forearm guards, casts or braces made of fiberglass, plaster, metal or other non-pliable

substance to be used by players during competition as long as the protective device is completely covered with not less than ½-inch thickness of slow-rebounding foam.

7. **NCAA Divisions I, II and III Women's Field Hockey Committees joint annual meeting report and comment period.** The panel reviewed and approved one rule modification, which shortens overtime to two 10-minute "sudden victory" periods.
8. **NCAA Volleyball Rules Committee email vote report and experimental rule request.** The panel reviewed and approved an experimental rule request by the Southeastern Conference which allows the third referee to review all challenges in lieu of the second referee for all 2018 regular season conference matches. The third referee would have the final decision to confirm or reverse the original ruling, or determine if the review was inconclusive.
9. **Secretary-rules editor/rules interpreter performance evaluation process.** Staff reviewed the draft of an annual performance evaluation form for secretary-rules editors/rules interpreters. The staff will begin using the form to assist the rules committees and staff in assessing the performance of the secretary-rules/rules interpreters.
10. **Adjournment.** The teleconference was adjourned at 1:35 p.m. Eastern time.

Committee Chair: Jeff Hurd, Western Athletic Conference

Staff Liaisons: Ben Brownlee, Championships and Alliances, Playing Rules and Officiating
Dan Calandro, Championships and Alliances, Playing Rules and Officiating
Ashlee Follis, Championships and Alliances, Playing Rules and Officiating
Ty Halpin, Championships and Alliances, Playing Rules and Officiating
Rachel Seewald, Championships and Alliances, Playing Rules and Officiating
Andy Supergan, Championships and Alliances, Playing Rules and Officiating

NCAA Playing Rules Oversight Panel June 12, 2018, Teleconference	
Attendees:	
Pat Britz, South Atlantic Conference.	
Jennifer Heppel, Patriot League.	
Jeff Hurd, Western Athletic Conference.	
Dave Roach, Fordham University.	
Chris Schneider, Big East Conference.	
Angie Torain, University of Notre Dame.	
Matt Wilson, Gulf South Conference.	
Absentees:	
Julie Johnson, Ripon College.	

Larry Scott, Pac-12 Conference.
Ronda Seagraves, Concordia University Texas.
Kevin White, Truman State University.
Gary Williams, Wittenberg University.
NCAA Staff Support in Attendance:
Ben Brownlee, Dan Calandro, Ashlee Follis, Ty Halpin, Rachel Seewald and Andy Supergan.
Other NCAA Staff Members in Attendance:
Greg Johnson.

REPORT OF THE
NCAA POSTGRADUATE SCHOLARSHIP COMMITTEE
JULY 10-11, 2018, MEETING

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

1. **Previous meeting report.** The committee approved the report of its May 9-10, 2018, meeting.
2. **Review of correspondence sent to the committee.**
 - a. The committee considered an extension request for Joseph Sofia, a 2013-14 awardee. The request for the extension was denied, as the request was made after the 2017 deadline. The committee noted that Mr. Sofia should reapply when he is ready to enroll in a graduate program.
 - b. The committee considered an extension request for Ashley Grossman, a 2014-15 awardee. The request for the extension was denied. The committee noted that Ms. Grossman should reapply when she is ready to enroll in a graduate program
3. **Approve meeting dates and deadlines.** The committee approved the following meeting dates for the 2018-19 academic year: February 7 to 8, 2019; May 9 to 10, 2019; and July 11-12, 2019.
4. **Vote for chair.** The committee voted Julie Partridge, faculty athletics representative from Southern Illinois University at Carbondale, as the next committee chair.
5. **Review of scholarship nominations.** The committee reviewed 83 spring sport nominations (37 men and 46 women). The committee awarded 29 postgraduate scholarships for men's sports and 29 postgraduate scholarships for women's sports.

Committee Chair: Mattie White, Indiana University, Bloomington
Staff Liaison: Lori Thomas, Administrative Services, Accounting

**Report of the NCAA Postgraduate Scholarship
Committee July 10-11, 2018, Meeting
Page No. 2**

NCAA Postgraduate Scholarship Committee July 10-11, 2018, Meeting	
Attendees:	
Aaron Braun, Adams State University.	
Bert Carter, Sun Belt Conference.	
Gail Cummings-Danson, Skidmore College.	
Joshua Doody, Notre Dame de Namur University.	
Julie Partridge, Southern Illinois University at Carbondale.	
Mattie White, Indiana University, Bloomington.	
Absentees:	
Kimberly Ross-Watkins, Morgan State University.	
Guests in Attendance:	
None.	
NCAA Staff Support in Attendance:	
None.	
Other NCAA Staff Members in Attendance:	
None.	



NCAA Division III Management Council and Presidents Council
Convention Legislation for 2019 NCAA Convention
Speaker Assignment Chart

This chart will serve two purposes during the October NCAA Division III Management Council meeting:

1. It details the feedback on positions from various committees which were assigned to review the proposals sponsored by the membership. The Management Council should use the committee feedback to develop the Council's official position on the membership sponsored proposals. For those proposals previously identified as presidential proposals, a majority vote of the Management Council (present and voting) is required to recommend that the NCAA Division III Presidents Council (PC) support or oppose a specific legislative proposal sponsored by the membership.
2. It details the tentative speaker assignments for all proposals that will be voted upon during the business session at the 2019 NCAA Convention. The assignments are tentative and the Council members should verify their willingness to speak the governance structure's official position on the assigned proposal. If a Council member chooses not to speak on the proposal assigned, another member will be chosen.

ORGANIZATION -- BOARD OF GOVERNORS -- INDEPENDENT MEMBERS	
<p>Intent. To amend legislation related to the NCAA Board of Governors, as follows: (1) Increase the number of members from 20 to 25 by adding five independent voting members; (2) Define an independent member; (3) Specify that an independent member shall be appointed to a three-year term that is renewable for an additional three-year term, and that an independent member who has served two terms shall not serve further; (4) Specify that the Board of Governors shall issue a call for nominations when a vacancy for an independent member occurs; and (5) Specify that the Board of Governors shall serve as the final authority for the selection of and additional duties assigned to independent members.</p> <p>Source. NCAA Board of Governors (Commission on College Basketball Association-Wide Issues Topical Working Group)</p> <p>Effective Date. August 1, 2019.</p> <p>Rationale. The Commission on College Basketball recommended that the NCAA restructure its highest governance body, the Board of Governors, to include at least five independent members with the experience, stature and objectivity to assist the NCAA in re-establishing itself as an effective, respected leader and regulator of college sports. One</p>	<p><u>Proposed Speakers:</u></p> <p>PC (support) Jeff Docking</p> <p>PC (support) Mary Beth Cooper</p>

<p>independent member will also serve on the Board of Governors Executive Committee. The current Board of Governors includes 16 institutional presidents or chancellors representing each division as voting members, the chairs of the Division I Council and the Division II and III Management Councils as ex-officio nonvoting members, and the NCAA president (who may vote in case of a tie). Like public companies, major nonprofit associations typically include outside board members to provide objectivity, relevant experience, perspective and wisdom. Board members with those qualities will provide valuable insight to the NCAA as it works towards the restoration of public confidence in college basketball and college sports in general. The Board of Governors will issue a formal call for nominations to fill vacancies; appoint the Board of Governors Executive Committee as the nominating committee; and serve as the final authority for the selection of and additional duties assigned to independent members.</p>	
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MEMBERSHIP -- CONDITIONS AND OBLIGATIONS OF MEMBERSHIP -- STUDENT-ATHLETE GRADUATION RATE REPORTING	
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Intent. To include as a condition and obligation of Division III active membership, that an institution submit on an annual basis student-athlete graduation rate reporting data for the academic success rate (ASR) in a form prescribed by the Management Council; further to establish that annual championships eligibility is contingent upon submission of the ASR.

Source. NCAA Division III Presidents Council [Management Council (Diversity and Inclusion Working Group)]

Effective Date. August 1, 2019 [First report is due June 1, 2020].

Rationale. Currently, institutions are required to annually submit student-body enrollment and graduation information to the NCAA. Voluntary reporting of Division III student-athlete graduation rates during the last eight years has annually reflected lower rates for male African-American student-athletes, and in particular football players, than their student-athlete peers, as well as rates lower than the student-body. This proposal allows for the private identification and communication of student-athlete graduation rates at each member school. A mandatory collection also provides the data to develop Division III evidence-based best practices to improve the retention and graduation of all student-athletes, including male African-Americans and football student-athletes. Institution-specific rates will not be publicized; rather, institutions will be able to view their student-athlete graduation rates privately in the NCAA Institutional Performance Program (IPP) for assessment and bench-marking. In addition, a mandated division-wide graduation-rate submission program also allows for the better promotion of Division III's overall positive academic success story.

Proposed Speakers:

PC (move and support): Javier Cevallos

PC (support): Theresa Amott

MC (support): Gerard Bryant

MC (support): Heather Benning

AMATEURISM -- PRE-ENROLLMENT EDUCATIONAL EXPENSES	
<p>Intent. To permit individuals to accept educational expenses (e.g. tuition, fees, room, board and books) prior to collegiate enrollment from any individual or entity other than an agent, professional sports team/organization or representative of an institution's athletics interests, provided such expenses are disbursed directly through the recipient's educational institution (e.g., preparatory school, high school).</p> <p>Source. NCAA Division III Management Council (Interpretations and Legislation Committee).</p> <p>Effective Date. August 1, 2019.</p> <p>Rationale. Current legislation precludes prospective student-athletes from receiving educational expenses for secondary education when athletics participation is considered. This standard is more restrictive than the standard that is imposed on current student-athletes who are permitted to receive such assistance in recognition of high school athletics leadership, ability, participation or performance from an established and continuing program. It seems inconsistent that prospective student-athletes should be held to a higher standard than current student-athletes as it relates to the receipt of educational expenses to attend a secondary institution. This proposal would allow for consistency in application between prospective student-athletes and current student-athletes.</p>	<p><u>Proposed Speakers:</u></p> <p>MC (move and support): Gail Cummings-Danson</p> <p>MC (support): Karen Thompson-Wolf</p> <p>MC (support): Jason Fein</p>

RECRUITING -- SOCIAL MEDIA AND PUBLICITY -- EXCEPTIONS -- CONNECT WITH PROSPECTIVE STUDENT-ATHLETES

Intent. To establish an exception to the restrictions on electronic transmissions and publicity before commitment legislation to permit athletics department staff members to (1) connect with (e.g., "friend," "follow," etc.) prospective student-athletes on social media platforms, and (2) take actions (e.g., "like," "favorite," republish, etc.) on social media platforms that indicate approval of content generated by users of the platforms other than institutional staff members or representatives of an institution's athletics interests.

Source. NCAA Division III Management Council (Interpretations and Legislation Committee).

Effective Date. Immediate.

Rationale. This proposal provides continuity among the three divisions regarding public social media communication, thereby minimizing confusion for prospective student-athletes and ensuring equitable access for Division III coaches. First, the proposal allows prospective student-athletes to receive electronic notifications automatically generated by the social media platform (e.g., the platform notifies a prospective student-athlete that he or she has received a friend request); coaches may not include any additional language in either the request or the reply. Next, the proposal permits coaches to respond to content produced by prospective student-athletes. By limiting coaches' initial social media correspondence to a response, this proposal allows prospective student-athletes to control a level of privacy and the extent to which they would like to engage in the recruiting process on social media platforms. Under current legislation, it is difficult to monitor all coaches and their social media activities (e.g., "likes," "favorites," republishing, etc.). This proposal creates an exception to publicity related to recruiting on social media platforms and attempts to maintain pace with the frequent creation and/or enhancement of social media applications. The immediate effective date will provide relief from the current application of the legislation without detrimentally impacting prospective student-athlete well-being.

Proposed Speakers:

MC (move and support): Kate Roy

MC (support): Bobby Davis

MC (support): Lori Mazza

ELIGIBILITY -- FINAL TERM BEFORE EXPERIENTIAL LEARNING REQUIREMENT	
<p>Intent. To extend the existing final term exception to the full-time enrollment requirement to include student-athletes that are carrying (for credit) all courses necessary to complete degree requirements but have an outstanding experiential learning requirement.</p> <p>Source. NCAA Division III Management Council (Interpretations and Legislation Committee).</p> <p>Effective Date. August 1, 2019</p> <p>Rationale. This proposal provides flexibility for student-athletes who are unable to satisfy an experiential learning requirement in the same term in which they complete the other credits necessary for graduation. The proposal would save student-athletes from incurring the additional expense of taking courses unnecessary for their degree solely for the purpose of playing their final season.</p>	<p><u>Proposed Speakers:</u></p> <p>MC (move and support): Laura Mooney</p> <p>MC (support): Tim Millerick</p>

PLAYING AND PRACTICE SEASONS -- FIELD HOCKEY AND SOCCER PRESEASON -- ESTABLISHING A THREE-DAY ACCLIMATIZATION PERIOD

Intent. To amend preseason practice in the sports of field hockey and soccer as follows: (1) to add three additional days to the preseason practice period; (2) to require an acclimatization period during the first three days of the preseason practice period, during which a team would be limited to one single practice session no longer than three hours in duration, followed by a one-hour walk through, with a minimum of three hours of rest required in between the two activities; and (3) to mandate that on every preseason practice day following the three-day acclimatization period, a team would be limited to conducting no more than two on-field practices per day and a maximum of six hours of athletically related activity total during the two practices combined, with a minimum of three hours of rest required in between practice sessions.

Source. City University of New York Athletic Conference and New Jersey Athletic Conference

Effective Date. August 1, 2019

Rationale. Under current NCAA legislation, the preseason practice time that is allotted in the sports of field hockey and soccer is insufficient in regard to the time needed to properly prepare student-athletes for intercollegiate competition. Due to the time constraints that coaches are faced with in the preseason practice period, programs are forced to engage in strenuous practice activities, including multiple training sessions often in extreme conditions. The additional three practice opportunities will provide programs extra time to prepare for competition, resulting in a reduced workload during each practice day in the preseason. In addition, the implementation of an acclimatization period for health and safety reasons will allow student-athletes who are returning from summer break to adjust to a return to strenuous play in potentially extreme temperatures.

Proposed Speakers:

PC (support/oppose): Margaret Drugovich

PC (support/oppose): Elsa Nunez

MC (support/oppose): Stevie Baker Watson

MC (support/oppose) Brad Bankston

Committee Positions:

Playing and Practice Seasons Subcommittee: (TBD)

Committee on Competitive Safeguards and Medical Aspects of Sports:

The Committee on Competitive Safeguards and Medical Aspects of Sports opposes this proposal. The proposal is in conflict with existing legislation and interassociation recommendations that define the acclimatization period as five days. The health and safety benefits of a three-day acclimatization period are also not supported by scientific literature.

Fall Sport Committees. (See attachment)

PLAYING AND PRACTICE SEASONS -- FOOTBALL -- ESTABLISHING PRESEASON START DATE 23 DAYS BEFORE THE INSTITUTION'S FIRST PERMISSIBLE CONTEST

Intent. To amend the football preseason legislation as follows: (1) Establish the first permissible practice date as 23 days before the institution's first regular season contest; and (2) Prohibit physical athletically related activity one day each week of the preseason following the five-day acclimatization period.

Source. NCAA Division III Presidents Council [Management Council (Playing and Practice Seasons Subcommittee)].

Effective Date. August 1, 2019.

Rationale. The Interassociation Consensus: Year-Round Football Practice Contact for College Student-Athletes Recommendations include the discontinuation of traditional two-a-day contact practices. Pursuant to those recommendations, the Division III Management Council adopted noncontroversial legislation in April 2017 to eliminate multiple on-field traditional contact practices on the same day. The noncontroversial legislation, however, did not change the calculation method for determining the first permissible preseason practice date, which assumes the permissibility of two-a-day practices. Consequently, the current calculation method could produce inequitable start dates and lost practice opportunities. This proposal seeks to rectify those potential inequities by providing consistent practice opportunities for all football sponsoring institutions. Institutions could start 23 days before their first contest, resulting in up to 21 practice days, while still providing student-athletes with two days off from physical athletically related activity during the preseason (During the off days, film review, team meetings, leadership and entertainment activities are permissible). This proposal maintains the health and safety provisions outlined in the Interassociation Recommendations and provides more equitable practice opportunities.

Proposed Speakers:

PC (move and support): Stuart Dorsey

PC (support): Robert Lindgren

MC (support): Kandis Schram.

MC (support): Denise Udelhofen



**NCAA Division III Management Council and Presidents Council
Convention Legislation for 2019 NCAA Convention
Division III Sport Committees Proposal Feedback**

Sport	Recommendation	Rationale
Cross Country/Track & Field	Support	The cross country and track and field committee would recommend that Division III Management Council supports the proposal and would like the possibility to explore adding cross country to be considered for an acclimatization period similar to what field hockey and soccer are proposing. The committee's reasoning was that it could potentially lighten the load for some of their incoming freshman and benefit returning student-athletes. Currently on a few of the campuses, freshman report and start practice and orientation activities on the same day. Being able to bring in student-athletes a few days prior could help with acclimatization both academically and athletically.
Men's Soccer	Support	Three additional days for acclimation added to preseason would be tremendously beneficial to student-athlete health/wellness and development. Soccer has one of the highest injury rates of all the NCAA sports with many of these injuries being due to accumulation of fatigue and overuse. Allowing for three additional days and restricting activities over that period would allow coaches and training staffs to better prepare student-athletes to handle the physical and mental rigor of the fall college soccer season by having a standardized schedule that eases the players into preseason.
Women's Volleyball	Support	<p>The women's volleyball committee SUPPORTS the proposal but strongly believes that women's volleyball also should be included in the recommendation to extend the preseason by three days, for the following reasons:</p> <ul style="list-style-type: none">• The current rationale for the proposal applies to women's volleyball for the same reasons it applies to soccer and field hockey. Women's volleyball teams also have insufficient time to properly prepare student-athletes for competition and have a training overload at the beginning of the season, and student-athletes are reporting to practice after a prolonged time of relative inactivity. Asking them to perform at their peak performance within two weeks of reporting to campus is unsafe. Freshmen, in particular, are not used to this type of intense activity. In addition, many women's volleyball teams do tryouts the first few days of preseason.

		<ul style="list-style-type: none"> The issue of acclimatization is not limited to outdoor sports. Many Division III volleyball facilities do not have air conditioning. (These numbers can be verified by a simple survey of the membership.) In these facilities, student-athletes deal not only with extreme temperatures but also with slick floors and potentially unsafe footing and exposure to injury. (Note: This issue is not limited to Division III facilities. The University of Minnesota canceled its home women's volleyball match against Kansas State University September 15, 2018, due to "extreme heat and unsafe conditions inside the unairconditioned Maturi Pavilion.") All fall sports should be treated equitably regarding opportunities to adequately and safely prepare for their season.
Women's Soccer	Support	Most seem to be in support of the proposal and believe the acclimation period would benefit student safety. The few that are wavering on supporting it are mainly concerned with the budget impact it could have.
Field Hockey	Oppose	<p>While the committee agrees to the addition of days to preseason for acclimatization and student-athlete well being, there are concerns associated with this proposal.</p> <p>The committee raised the following concerns: Budgetary, facility availability, and institutions/conferences that already have stricter preseason models than the NCAA designates.</p> <p>We would like to offer a friendly amendment to the proposal as follows:</p> <p>To amend preseason (1) add three additional days to the preseason practice period; (2) to require an acclimatization period during the first three days of the preseason practice period, during which a team would be limited to one single practice session no longer than three hours in duration, followed by a one-hour walk through, with a minimum of <i>two</i> hours of rest required between the two activities; and (3) to mandate that every preseason practice day following the three-day acclimatization period, a team would be limited to conducting</p>

		<p>no more than two on-field practices per day and a maximum of six hours of <i>sport specific</i> athletically related activity total during the two practices combined with a minimum of <i>two hours</i> of rest required in between practice sessions.</p> <p><i>Team bonding and non-sport related meetings also may occur during the acclimatization and preseason periods. These team related activities do not count as sport-specific practice time during preseason.</i></p> <p><i>In addition, the committee suggests that institutions and conferences which restrict preseason to later than the date of the NCAA's preseason practice formula start date consider starting closer to that date for student-athlete acclimatization and overall program success.</i></p>
Football	Take no action.	<p>Because football proposal and guidelines were a direct response from the Sport Science Institute and earlier football summit meetings, the committee feels an "acclimatization period" for all sports would be beneficial, however, since fall sports come to campus before school begins, as compared to most other sports where student-athletes are already on campus and conduct conditioning sessions in preparation for the start of their formal activities, the committee would like all fall sports (football, soccer, field hockey, and volleyball) to have comparable preseason practice periods as it relates to acclimatization, number of practices per day, hours of practice, required rest, etc. Specifically, this would improve safety measures create consistency among fall sports.</p> <p>Common theme among the committee: football has five acclimation days, with no double day practices, only walk throughs and meetings. Meanwhile, soccer, on an adjacent field, has different restrictions (no limits on practice times, no required rest), but often times has a higher number of concussions compared to other fall sports. Volleyball, has another set of restrictions, and many on the committee noted that many institutions don't have air conditioned gyms for practice which is often warmer than the outside temperatures in August.</p>

Division: III

Proposal Number: BOG-2019-1

Title: ORGANIZATION -- BOARD OF GOVERNORS -- INDEPENDENT MEMBERS

Convention Year: 2019

Date Submitted: August 21, 2018

Status: Ready for Convention Vote

Effective Date: August 1, 2019

IPOPL Number:

SPOPL Number: 1

Source: NCAA Board of Governors (Commission on College Basketball Association-Wide Issues Topical Working Group)

Category: Board of Governors

Topical Area: Organization

Intent: To amend legislation related to the NCAA Board of Governors, as follows: (1) Increase the number of members from 20 to 25 by adding five independent voting members; (2) Define an independent member; (3) Specify that an independent member shall be appointed to a three-year term that is renewable for an additional three-year term, and that an independent member who has served two terms shall not serve further; (4) Specify that the Board of Governors shall issue a call for nominations when a vacancy for an independent member occurs; and (5) Specify that the Board of Governors shall serve as the final authority for the selection of and additional duties assigned to independent members.

A. Constitution: Amend 4.02, as follows:

[Dominant provision, all divisions, common vote]

4.02 Definitions and Applications.

[4.02.1 through 4.02.3 unchanged.]

4.02.4 Independent Member of the Board of Governors. An independent member of the Board of Governors shall be an individual who is not salaried by an NCAA member institution, conference or affiliated member, and shall be verified as independent by the Board of Governors.

[4.02.4 through 4.02.5 renumbered as 4.02.5 through 4.02.6, unchanged.]

B. Constitution: Amend 4.1, as follows:

[Dominant provision, all divisions, common vote]

4.1 Board of Governors.

4.1.1 Composition. The Board of Governors shall consist of 20 members. The NCAA president and the chairs of the Division I Council and the Division II and Division III Management Councils shall be ex officio nonvoting members, except that the NCAA president is permitted to vote in the case of a tie among the voting members of the Board of Governors present and voting. The other 16 voting members of the Board of Governors shall include:

[4.1.1-(a) through 4.1.1-(c) unchanged.]

(d) Two Division II presidents or chancellors from the Division II Presidents Council; ~~and~~

(e) Two Division III presidents or chancellors from the Division III Presidents Council; and

(f) Five independent members (see Constitution 4.02.4).

4.1.2 Duties and Responsibilities. The Board of Governors shall:

[4.1.2-(a) through 4.1.2-(k) unchanged.]

(l) Review and coordinate the catastrophic-injury and professional career insurance (disability injury/illness) programs; ~~and~~

(m) Compile the names of those individuals associated with intercollegiate athletics who died during the year immediately preceding the annual Convention;

(n) Issue a call for nominations when a vacancy for an independent member occurs on the Board of Governors; and

(o) Serve as the final authority for the selection of and additional duties assigned to independent members of the Board of Governors.

4.1.3 Election/Term of Office.

4.1.3.1 Election. Division I members of the Board of Governors shall be appointed by the Division I Board of Directors. Divisions II and III members of the Board of Governors shall be appointed by the Divisions II and III Presidents Councils, respectively.

4.1.3.2 ~~Terms~~**Term of Office.** ~~The terms of service of members of the Board of Governors shall coincide with their service on the applicable divisional presidential governing body, unless otherwise specified by that governing body.~~

(a) President or Chancellor Members. The terms of office of president or chancellor members of the Board of Governors shall coincide with their service on the applicable divisional presidential governing body, unless otherwise specified by that governing body.

(b) Independent Members. An independent member of the Board of Governors shall be appointed to a three-year term that is renewable for an additional three-year term. An independent member who has served two terms shall not serve further on the Board of Governors.

4.1.3.3 Committee Chair. The Board of Governors shall elect one of its members to serve for a two-year period as chair.

Rationale: The Commission on College Basketball recommended that the NCAA restructure its highest governance body, the Board of Governors, to include at least five independent members with the experience, stature and objectivity to assist the NCAA in re-establishing itself as an effective, respected leader and regulator of college sports. One independent member will also serve on the Board of Governors Executive Committee. The current Board of Governors includes 16 institutional presidents or chancellors representing each division as voting members, the chairs of the Division I Council and the Division II and III Management Councils as ex-officio nonvoting members, and the NCAA president (who may vote in case of a tie). Like public companies, major nonprofit associations typically include outside board members to provide objectivity, relevant experience, perspective and wisdom. Board members with those qualities will provide valuable insight to the NCAA as it works towards the restoration of public confidence in college basketball and college sports in general. The Board of Governors will issue a formal call for nominations to fill vacancies; appoint the Board of Governors Executive Committee as the nominating committee; and serve as the final authority for the selection of and additional duties assigned to independent members.

Budget Impact: \$25,000 each fiscal year [committee expenses (e.g., travel, hotel, per diem) for the five independent members to attend Board of Governors in-person meetings (four times per year)].

Co-sponsorship - Conference:

None

Co-sponsorship - Institution:

None

Position Statements:

Review History:

Aug 8, 2018: Approved in Legislative Format - Board of Governors

Additional Information:

Question: What entity will serve as the nominating committee to vet the nominated individuals and recommend a slate of independent members for full approval by the Board of Governors?

Answer: The Board of Governors Executive Committee.

Question: What is the composition of the Executive Committee?

Answer: The Executive Committee includes representation of all three divisions. Specifically, the committee includes the chair and vice chair of the Board of Governors (who must represent different divisions) and the members of the Governors who are the chairs of each divisional presidential body (Division I Board of Directors, Division II Presidents Council, Division III Presidents Council). When the chair or vice chair of the Board of Governors also serves as the divisional chair, then the vice chair from that division also serves on the Executive Committee. It is also the intent that the lead independent member will serve on the Executive Committee.

Question: What will be the duties and responsibilities of independent members?

Answer: Independent members will have the same duties and responsibilities of all governors. See Constitution 4.1.2 (duties and responsibilities). In addition, independent members will be responsible for meeting current Board of Governors policies and procedures regarding the duty of care, duty of loyalty, and duty of obedience.

Question: Will each independent member have voting rights?

Answer: Yes.

Question: What is the time and date for the vote on this proposal?

Answer: The discussion and vote will occur at the 2019 NCAA Convention on Thursday, January 24, immediately after the NCAA Plenary Session: State of College Sports. The Association-wide Business Session will begin at 5:45 p.m. in Grand Ballroom 7-8 at the Orlando World Center Marriott.

Legislative References

Legislative Cite	Title
4.02	Definitions and Applications.
4.1	Board of Governors.
4.1.1	Composition.
4.1.2	Duties and Responsibilities.
4.1.3	Election/Term of Office.
4.1.3.1	Election.
4.1.3.2	Terms.
4.1.3.3	Committee Chair.

Division: III

Proposal Number: 2019-

Title: MEMBERSHIP -- CONDITIONS AND OBLIGATIONS OF MEMBERSHIP -- STUDENT-ATHLETE GRADUATION RATE REPORTING

Convention Year: 2019

Date Submitted: May 31, 2018

Status: SPOPL

Effective Date: August 1, 2019 [First report is due June 1, 2020]

IPOPL Number:

SPOPL Number: 2

Source: NCAA Division III Presidents Council [Management Council (Diversity and Inclusion Working Group)]

Category: Presidents Council

Topical Area: Membership

Intent: To include as a condition and obligation of Division III active membership, that an institution submit on an annual basis student-athlete graduation rate reporting data for the academic success rate (ASR) in a form prescribed by the Management Council; further to establish that annual championships eligibility is contingent upon submission of the ASR.

A. Constitution: Amend 3.2.4, as follows:

[Division III, roll call]

3.2.4 Conditions and Obligations of Membership.

[3.2.4.1 through 3.2.4.20 unchanged.]

3.2.4.21 Academic Success Rate. Active members shall annually submit student-athlete graduation rate data, by the applicable deadline, for the Academic Success Rate (ASR) in a form prescribed by the Management Council.

3.2.4.21.1 Failure to Submit Data. An active member institution that fails to submit student-athlete graduation rate data for the ASR by the applicable deadline shall not be eligible to enter a team or individual competitors in an NCAA championship (See Bylaw 31.2.1.9) and may be subject to additional membership penalties.

B. Administrative: Amend 31.2.1, as follows:

[Division III, roll call]

31.2.1 Institutional Eligibility. To be eligible to enter a team or an individual in NCAA championship competition, an institution shall recognize the sport involved as a varsity intercollegiate sport (see Bylaw 17.02.13) and shall:

[31.2.1-(a) through 31.2.1-(h) unchanged.]

[31.2.1.1 through 31.2.1.8 unchanged.]

31.2.1.9 Academic Success Rate. An institution shall not be eligible to enter a team or individual competitors in an NCAA championship unless it has submitted, by the applicable deadline, student-

athlete graduation rate data for the Academic Success Rate (ASR), in a form prescribed by the Management Council.

[31.2.1.9 renumbered as 31.2.1.10, unchanged.]

Rationale: Currently, institutions are required to annually submit student-body enrollment and graduation information to the NCAA. Voluntary reporting of Division III student-athlete graduation rates during the last eight years has annually reflected lower rates for male African-American student-athletes, and in particular football players, than their student-athlete peers, as well as rates lower than the student-body. This proposal allows for the private identification and communication of student-athlete graduation rates at each member school. A mandatory collection also provides the data to develop Division III evidence-based best practices to improve the retention and graduation of all student-athletes, including male African-Americans and football student-athletes. Institution-specific rates will not be publicized; rather, institutions will be able to view their student-athlete graduation rates privately in the NCAA Institutional Performance Program (IPP) for assessment and bench-marking. In addition, a mandated division-wide graduation-rate submission program also allows for the better promotion of Division III's overall positive academic success story.

Budget Impact: Minimal initial campus-based reporting expenses for schools currently not reporting student-athlete graduation rates. Currently, institutional financial aid directors submit annual data on student-athletes, and consequently are already coding the student-athlete cohort within institutional software on an annual basis. By sharing such information across institutional departments, this data collection and submission will not prove overly burdensome.

Co-sponsorship - Conference:

None

Co-sponsorship - Institution:

None

Position Statements:

Review History:

Apr 10, 2018: Approved in Concept - Management Council

Apr 24, 2018: Approved in Concept - Presidents Council

Additional Information:

Legislative References

Legislative Cite	Title
3.2.4	Conditions and Obligations of Membership.
31.2.1	Institutional Eligibility.

Division: III

Proposal Number: 2019-

Title: AMATEURISM -- PRE-ENROLLMENT EDUCATIONAL EXPENSES

Convention Year: 2019

Date Submitted: January 25, 2018

Status: SPOPL

Effective Date: August 1, 2019

IPOPL Number:

SPOPL Number: 3

Source: NCAA Division III Management Council (Interpretations and Legislation Committee).

Category: Management Council

Topical Area: Amateurism

Intent: To permit individuals to accept educational expenses (e.g. tuition, fees, room, board and books) prior to collegiate enrollment from any individual or entity other than an agent, professional sports team/organization or representative of an institution's athletics interests, provided such expenses are disbursed directly through the recipient's educational institution (e.g., preparatory school, high school).

A. Bylaws: Amend 12.1.3.1, as follows:

[Division III, roll call]

12.1.3.1 Permissible Activities -- Before Initial, Full-Time Collegiate Enrollment. Before initial, full-time collegiate enrollment, an individual may engage in the following without jeopardizing his or her amateur status:

[12.1.3.1-(a) through 12.1.3.1-(q) unchanged.]

(r) Educational Expenses. May accept educational expenses (e.g. tuition, fees, room, board and books) from any individual or entity other than an agent, professional sports team/organization or a representative of an institution's athletics interests, provided such expenses are disbursed directly through the recipient's educational institution (e.g., high school, preparatory school).

B. Bylaws: Amend 12.1.7, as follows:

[Division III, roll call]

12.1.7 Prohibited Forms of Pay. "Pay" as used in the sections above includes, but is not limited to, the following:

[12.1.7.1 through 12.1.7.2 unchanged.]

12.1.7.3 Educational Expenses. Except as provided for in Bylaws **s 12.1.3.1-(r) and** 12.1.6-(a), educational expenses not permitted by the governing legislation of this Association (see Bylaw 15 regarding permissible financial aid to enrolled student-athletes) that includes those provided to an individual by an outside sports team or organization that are based in any degree on the recipient's athletics ability (except as specified in Bylaw 15.2.3.5), even if the funds are given to the institution to administer to the recipient.

[12.1.7.4 unchanged.]

Rationale: Current legislation precludes prospective student-athletes from receiving educational expenses for secondary education when athletics participation is considered. This standard is more restrictive than the standard that is imposed on current student-athletes who are permitted to receive such assistance in recognition of high school athletics leadership, ability, participation or performance from an established and continuing program. It seems inconsistent that prospective student-athletes should be held to a higher standard than current student-athletes as it relates to the receipt of educational expenses to attend a secondary institution. This proposal would allow for consistency in application between prospective student-athletes and current student-athletes.

Budget Impact: None

Co-sponsorship - Conference:

None

Co-sponsorship - Institution:

None

Position Statements:

Review History:

Jan 17, 2018: Approved in Concept - Management Council

Additional Information:

Legislative References

Legislative Cite	Title
12.1.3.1	Permissible Activities -- Before Initial, Full-Time Collegiate Enrollment.
12.1.7	Prohibited Forms of Pay.
12.1.7.3	Educational Expenses.

Division: III

Proposal Number: 2019-

Title: RECRUITING -- SOCIAL MEDIA AND PUBLICITY -- EXCEPTIONS -- CONNECT WITH PROSPECTIVE STUDENT-ATHLETES

Convention Year: 2019

Date Submitted: April 23, 2018

Status: SPOPL

Effective Date: Immediate

IPOPL Number:

SPOPL Number: 4

Source: NCAA Division III Management Council (Interpretations and Legislation Committee).

Category: Management Council

Topical Area: Recruiting

Intent: To establish an exception to the restrictions on electronic transmissions and publicity before commitment legislation to permit athletics department staff members to (1) connect with (e.g., "friend," "follow," etc.) prospective student-athletes on social media platforms, and (2) take actions (e.g., "like," "favorite," republish, etc.) on social media platforms that indicate approval of content generated by users of the platforms other than institutional staff members or representatives of an institution's athletics interests.

A. Bylaws: Amend 13.02.11, as follows:

[Division III, roll call]

13.02.11 Electronic Transmissions. Any electronically transmitted correspondence (e.g., electronic mail, Instant Messenger, private communication through ~~social networking site~~ **social media platforms**, text messages or facsimiles) may be sent to a prospective student-athlete (or his or her parents or legal guardians) provided the communication is private between only the sender and recipient (e.g., no use of public chat rooms, message boards, public communication through ~~a social networking site~~ **social media platforms**). An enrolled student-athlete may send private electronic correspondence (e.g., electronic mail, Instant Messenger, private communication through ~~social networking site~~ **social media platforms**, text messages or facsimiles) to a prospective student-athlete for recruitment purposes. [D]

[13.02.11.1 through 13.02.11.4 unchanged.]

13.02.11.5 Exception—Actions on Social Media Platforms. An athletics department staff member may connect with (e.g., "friend," "follow," etc.) a prospective student-athlete on social media platforms. Further, an athletics department staff member may take actions (e.g., "like," "favorite," republish, etc.) on social media platforms that indicate approval of social media content generated by users of the platforms other than institutional staff members or representatives of an institution's athletics interests.

B. Bylaws: Amend 13.10, as follows:

[Division III, roll call]

13.10.2 Comments Before Acceptance. An institution may comment publicly only to the extent of confirming its recruitment of the prospective student-athlete. [D]

[13.10.2.1 unchanged.]

13.10.2.2 Exception—Actions on Social Media Platforms. An athletics department staff member may connect with (e.g., "friend," "follow," etc.) a prospective student-athlete on social media platforms. Further, an athletics department staff member may take actions (e.g., "like," "favorite," republish, etc.) on social media platforms that indicate approval of social media content generated by users of the platforms other than institutional staff members or representatives of an institution's athletics interests.

Rationale: This proposal provides continuity among the three divisions regarding public social media communication, thereby minimizing confusion for prospective student-athletes and ensuring equitable access for Division III coaches. First, the proposal allows prospective student-athletes to receive electronic notifications automatically generated by the social media platform (e.g., the platform notifies a prospective student-athlete that he or she has received a friend request); coaches may not include any additional language in either the request or the reply. Next, the proposal permits coaches to respond to content produced by prospective student-athletes. By limiting coaches' initial social media correspondence to a response, this proposal allows prospective student-athletes to control a level of privacy and the extent to which they would like to engage in the recruiting process on social media platforms. Under current legislation, it is difficult to monitor all coaches and their social media activities (e.g., "likes," "favorites," republishing, etc.). This proposal creates an exception to publicity related to recruiting on social media platforms and attempts to maintain pace with the frequent creation and/or enhancement of social media applications. The immediate effective date will provide relief from the current application of the legislation without detrimentally impacting prospective student-athlete well-being.

Budget Impact: None.

Co-sponsorship - Conference:
None

Co-sponsorship - Institution:
None

Position Statements:

Review History:

Apr 10, 2018: Approved in Concept - Management Council

Additional Information:

Legislative References

Legislative Cite	Title
13.02.11	Electronic Transmissions.
13.10.2	Comments Before Acceptance.

Division: III

Proposal Number: 2019-

Title: ELIGIBILITY -- FINAL TERM BEFORE EXPERIENTIAL LEARNING REQUIREMENT

Convention Year: 2019

Date Submitted: April 12, 2018

Status: SPOPL

Effective Date: August 1, 2019

IPOPL Number:

SPOPL Number: 5

Source: NCAA Division III Management Council (Interpretations and Legislation Committee).

Category: Management Council

Topical Area: Eligibility

Intent: To extend the existing final term exception to the full-time enrollment requirement to include student-athletes that are carrying (for credit) all courses necessary to complete degree requirements but have an outstanding experiential learning requirement.

Bylaws: Amend 14.1.8, as follows:

[Division III, roll call]

14.1.8 Full-Time Enrollment.

[14.1.8.1 unchanged.]

14.1.8.1.6 Exceptions.

14.1.8.1.6.1 Final Semester/Quarter -- Practice or Competition. A student-athlete may practice or compete while enrolled in less than a minimum full-time program of studies, provided the student is enrolled in the final semester or quarter of the baccalaureate or graduate program and the institution certifies that the student is carrying (for credit) the courses necessary to complete degree requirements. For a student-athlete who competes while eligible pursuant to this exception, the student-athlete shall forfeit eligibility in all sports, unless the student completes all degree requirements during that semester or quarter and is eligible to receive the baccalaureate or graduate diploma on the institution's next degree-granting date.

14.1.8.1.6.1.1 Final Term Before Experiential Learning Requirement. A student-athlete may practice or compete while enrolled in less than a minimum full-time program of studies in the final semester or quarter of the student's baccalaureate degree program before participating in an experiential learning requirement (e.g., student teaching, internship, clinical, capstone project) in the following term, provided the student-athlete is carrying (for credit) all courses necessary to complete degree requirements as determined by the faculty of the institution, other than the experiential learning requirement. For a student-athlete who competes while eligible pursuant to this exception, the student-athlete shall forfeit eligibility in all sports, unless the student completes all other degree requirements during that semester or quarter and participates in the experiential learning requirement no later than the next regular academic term. A student-athlete who uses this exception is not permitted to use the final semester/quarter exception the following semester or quarter.

[14.1.8.1.6.2 through 14.1.8.1.6.7 unchanged.]

[14.1.8.1.7 unchanged.]

Rationale: This proposal provides flexibility for student-athletes who are unable to satisfy an experiential learning requirement in the same term in which they complete the other credits necessary for graduation. The proposal would save student-athletes from incurring the additional expense of taking courses unnecessary for their degree solely for the purpose of playing their final season.

Budget Impact: None

Co-sponsorship - Conference:
None

Co-sponsorship - Institution:
None

Position Statements:

Review History:

Apr 10, 2018: Approved in Concept - Management Council

Additional Information:

Legislative References

Legislative Cite	Title
14.1.8	Full-Time Enrollment.
14.1.8.1.6	Exceptions.
14.1.8.1.6.1	Final Semester/Quarter -- Practice or Competition.

Division: III

Proposal Number: 2019-

Title: PLAYING AND PRACTICE SEASONS -- FIELD HOCKEY AND SOCCER PRESEASON -- ESTABLISHING A THREE-DAY ACCLIMATIZATION PERIOD

Convention Year: 2019

Date Submitted: June 27, 2018

Status: SPOPL

Effective Date: August 1, 2019

IPOPL Number: 1

SPOPL Number: 6

Source: City University of New York Athletic Conference and New Jersey Athletic Conference

Category: Membership Proposal

Topical Area: Playing and Practice Seasons

Intent: To amend preseason practice in the sports of field hockey and soccer as follows: (1) to add three additional days to the preseason practice period; (2) to require an acclimatization period during the first three days of the preseason practice period, during which a team would be limited to one single practice session no longer than three hours in duration, followed by a one-hour walk through, with a minimum of three hours of rest required in between the two activities; and (3) to mandate that on every preseason practice day following the three-day acclimatization period, a team would be limited to conducting no more than two on-field practices per day and a maximum of six hours of athletically related activity total during the two practices combined, with a minimum of three hours of rest required in between practice sessions.

A. Bylaws: Amend 17.02.12, as follows:

[Division III, roll call]

17.02.12 Fall Preseason Practice Formula -- Sports Other Than Football, **Field Hockey, Soccer and Water Polo**. In cross country, ~~field hockey~~, golf, rowing, rugby, ~~soccer~~, tennis and women's volleyball, the first permissible date for preseason practice shall be determined by the application of 16 units in the preseason practice formula, as specified in this section.

17.02.12.1 Unit -- Defined. A "unit" is a numerical value assigned to a particular calendar day within the application of the preseason practice formula that is used to determine the first permissible practice date for fall sports other than football. The number of units assigned to a particular day does not determine or limit the number of practices that may be conducted on that day.

17.02.12.2 ~~Determining the First Permissible Practice Date for Fall Sports Other Than Football~~ -- Application of the 16 Units. To determine the first permissible practice date, an institution shall count back a total of 16 units on a calendar starting with the day before the first scheduled intercollegiate competition (see Bylaw 17.02.12.2.1), as follows:

- (a) Never assign any units to Sundays on the calendar; it is permissible to practice on Sundays;
- (b) Never assign any units to calendar days during the preseason when all institutional dormitories are closed, the institution's team must leave campus, and practice is not conducted;
- (c) The first six units: assign one unit to each of the six calendar days (not including Sunday) before the first scheduled intercollegiate competition (see Bylaw 17.02.12.2.1);

(d) The remaining 10 units:

- (1) Assign one unit to each calendar day (not including Sundays) that occurs on or after the opening day of classes for the fall term (see Bylaw 17.02.12.2.2), and
- (2) Assign two units to each calendar day before the opening day of classes for the fall term (not including Sundays) until the sum of units on the calendar reaches 16;

(e) The date on which the total count of units on the calendar reaches 16 is the first permissible date for preseason practice (see Bylaw 17.02.12.2.3).

[17.02.12.2.1 through 17.02.12.2.5 unchanged.]

17.02.12.2.6 Field Hockey and Soccer. In the sports of field hockey and soccer, the first permissible date for preseason practice shall be determined by the application of 19 units in the preseason practice formula as follows:

(a) The first 16 units shall be applied in the same manner as set forth in Bylaw 17.02.12.2 (a)-(e); and

(b) The remaining three units shall be applied one unit per day for three days.

B. Bylaws: Amend 17.1.1.3, as follows:

[Division III, roll call]

17.1.1.3 Length of Playing Season.

17.1.1.3.1 Sports with a Fall NCAA Championship. The length of an institution's playing season shall be limited to a maximum of 18 weeks.

17.1.1.3.1.1 Segment Limitations. Except for the sport of football (see Bylaw 17.10) and unless otherwise noted in the applicable sport section, the playing season shall be conducted according to the following:

(a) Traditional Segment.

- (1) First Permissible Practice. A member institution shall not commence practice before the date that permits a maximum of 16 units in the preseason practice formula (see Bylaw 17.02.12) before the first scheduled intercollegiate competition. This provision is not applicable to men's water polo [see Bylaw 17.26.2-(a)], **field hockey [see Bylaw 17.9.2] and soccer [see Bylaw 17.19.2].**

[17.1.1.3.1.1-(a)-(2) through 17.1.1.3.1.1-(a)-(3) unchanged.]

[17.1.1.3.1.1-(b) unchanged.]

[17.1.1.3.2 through 17.1.1.3.4 unchanged.]

C. Bylaws: Amend 17.9, as follows:

[Division III, roll call]

17.9 Field Hockey. Regulations for computing the field hockey playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figure 17-1)

17.9.1 Length of Playing Season. (See Bylaw 17.1.1.3.1 for fall NCAA championship sports.)

17.9.2 Preseason Practice. ~~(See Bylaw 17.1.1.3.1.1)~~ **A member institution shall not commence practice sessions in field hockey before the date that permits a maximum of 19 permissible units in the preseason practice formula (see Bylaw 17.02.12.2.6) before the first scheduled intercollegiate competition.**

17.9.2.1 Three-Day Acclimatization Period. Preseason practice shall begin with a three-day acclimatization period for both first-time participants (e.g., freshmen and transfers) and continuing

student-athletes. All student-athletes, including those who arrive to preseason practice after the first day of practice, are required to undergo a three-day acclimatization period. The three-day acclimatization period shall be conducted as follows:

17.9.2.1.1 Institutions may not conduct conditioning, speed, strength or agility tests before the start of the three-day acclimatization period.

17.9.2.1.2 During the three-day acclimatization period, an institution may only conduct one on-field practice session per day not to exceed three hours followed by a one-hour walk-through session. Student-athletes must be provided with at least three hours of continuous recovery time between the sessions. During the recovery time, student-athletes may not attend any meetings or engage in other athletically related activities (e.g., weight lifting); however, time spent receiving medical treatment and eating meals may be included as part of the recovery time.

17.9.2.1.3 Institutions are permitted to conduct weight training activities during the three-day acclimatization period without counting those activities toward the maximum hour limitations for on-field practices; however, weight training activities may not be conducted during the required three hours of continuous recovery time between sessions.

17.9.2.2 Preseason Activities After the Three-Day Acclimatization Period. For each preseason practice day following the three-day acclimatization period, an institution may only conduct two on-field practices not to exceed six hours total combined. Student-athletes must be provided with at least three hours of continuous recovery time between the sessions. During the recovery time, student-athletes may not attend any meetings or engage in other athletically related activities (e.g., weight lifting); however, time spent receiving medical treatment and eating meals may be included as part of the recovery time.

[17.9.3 through 17.9.6 unchanged.]

D. Bylaws: Amend 17.19, as follows:

[Division III, roll call]

17.19 Soccer. Regulations for computing the soccer playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figure 17-1)

17.19.1 Length of Playing Season. (See Bylaw 17.11.3.1 for fall NCAA championship sports.)

17.19.2 Preseason Practice. ~~(See Bylaw 17.11.3.1.1)~~ A member institution shall not commence practice sessions in soccer before the date that permits a maximum of 19 permissible units in the preseason practice formula (see Bylaw 17.02.12.2.6) before the first scheduled intercollegiate competition.

17.19.2.1 Three-Day Acclimatization Period. Preseason practice shall begin with a three-day acclimatization period for both first-time participants (e.g., freshmen and transfers) and continuing student-athletes. All student-athletes, including those who arrive to preseason practice after the first day of practice, are required to undergo a three-day acclimatization period. The three-day acclimatization period shall be conducted as follows:

17.19.2.1.1 Institutions may not conduct conditioning, speed, strength or agility tests before the start of the three-day acclimatization period.

17.19.2.1.2 During the three-day acclimatization period, an institution may only conduct one on-field practice session per day not to exceed three hours followed by a one-hour walk-through session. Student-athletes must be provided with at least three hours of continuous recovery time between the sessions. During the recovery time, student-athletes may not attend any meetings or engage in other athletically related activities (e.g., weight lifting); however, time spent receiving medical treatment and eating meals may be included as part of the recovery time.

17.19.2.1.3 Institutions are permitted to conduct weight training activities during the three-day acclimatization period without counting those activities toward the maximum hour limitations for

on-field practices; however, weight training activities may not be conducted during the required three hours of continuous recovery time between sessions.

17.19.2.2 Preseason Activities After the Three-Day Acclimatization Period. For each preseason practice day following the three-day acclimatization period, an institution may only conduct two on-field practices not to exceed six hours total combined. Student-athletes must be provided with at least three hours of continuous recovery time between the sessions. During the recovery time, student-athletes may not attend any meetings or engage in other athletically related activities (e.g., weight lifting); however, time spent receiving medical treatment and eating meals may be included as part of the recovery time.

[17.19.3 through 17.19.6 unchanged.]

Rationale: Under current NCAA legislation, the preseason practice time that is allotted in the sports of field hockey and soccer is insufficient in regard to the time needed to properly prepare student-athletes for intercollegiate competition. Due to the time constraints that coaches are faced with in the preseason practice period, programs are forced to engage in strenuous practice activities, including multiple training sessions often in extreme conditions. The additional three practice opportunities will provide programs extra time to prepare for competition, resulting in a reduced workload during each practice day in the preseason. In addition, the implementation of an acclimatization period for health and safety reasons will allow student-athletes who are returning from summer break to adjust to a return to strenuous play in potentially extreme temperatures.

Budget Impact: Would vary based on costs that institutions would need to incur for preseason housing and meals.

Co-sponsorship - Conference:

City University of New York Athletic Conference

Co-sponsorship - Institution:

None

Additional Information:

Legislative References

Legislative Cite	Title
17.02.12	Fall Preseason Practice Formula -- Sports Other Than Football.
17.02.12.1	Unit -- Defined.
17.02.12.2	Determining the First Permissible Practice Date for Fall Sports Other Than Football -- Application of the 16 Units.
17.1.1.3	Length of Playing Season.
17.1.1.3.1	Sports with a Fall NCAA Championship.
17.1.1.3.1.1	Segment Limitations.
17.9	Field Hockey.
17.9.1	Length of Playing Season.
17.9.2	Preseason Practice.
17.19	Soccer.

Legislative Cite	Title
17.19.1	Length of Playing Season.
17.19.2	Preseason Practice.

Division: III

Proposal Number: 2019-

Title: PLAYING AND PRACTICE SEASONS -- FOOTBALL -- ESTABLISHING PRESEASON START DATE 23 DAYS BEFORE THE INSTITUTION'S FIRST PERMISSIBLE CONTEST

Convention Year: 2019

Date Submitted: April 12, 2018

Status: SPOPL

Effective Date: August 1, 2019

IPOPL Number:

SPOPL Number: 7

Source: NCAA Division III Presidents Council [Management Council (Playing and Practice Seasons Subcommittee)].

Category: Management Council

Topical Area: Playing and Practice Seasons

Intent: To amend the football preseason legislation as follows: (1) Establish the first permissible practice date as 23 days before the institution's first regular season contest; and (2) Prohibit physical athletically related activity one day each week of the preseason following the five-day acclimatization period.

Bylaws: Amend 17.10.2, as follows:

[Division III, roll call]

17.10.2 Preseason Practice.

17.10.2.1 First Practice Date. A member institution shall not commence official preseason football practice sessions for the varsity, junior varsity or freshman team **earlier than 23 days** before **its first scheduled contest** ~~the date that will permit a maximum of 25 practice opportunities (see Bylaw 17.10.2.1.1) before its first scheduled intercollegiate game or before the Friday after the institution's first contest (game) if the first contest is scheduled for a Thursday.~~

~~17.10.2.1.1 Practice Opportunities-- Football. In football only, to establish the starting date for preseason practice, the institution shall count one practice opportunity for each day beginning with the opening day of classes and one practice opportunity for each day classes are not in session in the week of the first scheduled intercollegiate contest (see Bylaw 17.10.3). Next, the institution shall count practice opportunities on an alternating basis in a two-one-two-one format (i.e., the first of the remaining days is counted as two, the next day is counted as one, the next as two, etc.) up to and including the 20th opportunity. Finally, the institution shall count one practice opportunity for each of the five days before the day of the 20th opportunity. The institution shall not count any days during the preseason when all institutional dormitories are closed, the institution's team must leave campus and practice is not conducted.~~

~~17.10.2.1.1.1 Sunday. Sundays before the institution's opening day of classes are included in the counting. Sundays after the institution's opening day of classes are excluded from the counting.~~

~~17.10.2.1.1.2 Week. The "week" of the first scheduled intercollegiate contest is defined as the six days, including or excluding Sunday pursuant to Bylaw 17.10.2.1.1.1, before the first contest (or before Friday if the first contest is on a Thursday, see Bylaw 17.10.3) even if one or more of the days fall into different traditional calendar weeks.~~

~~17.10.2.1.1.3 Opening Day of Classes. The "opening day of classes" is defined as the first day of classes as listed in the institution's official catalog. Required freshman orientation is not considered to be the opening day of classes for the academic year.~~

17.10.2.2 Five-Day Acclimatization Period. Preseason practice shall begin with a five-day acclimatization period for both first-time participants (e.g., freshmen and transfers) and continuing student-athletes. All student-athletes, including those who arrive to preseason practice after the first day of practice, are required to undergo a five-day acclimatization period. The five-day acclimatization period shall be conducted as follows:

[17.10.2.2-(a) through 17.10.2.2-(e) unchanged.]

17.10.2.3 Preseason Activities After Five-Day Acclimatization Period. The remaining preseason practice period shall be conducted as follows:

[17.10.2.3-(a) unchanged.]

(b) Student-athletes shall not engage in more than one on-field practice and one walk-through session, which shall be limited to a combined total of four hours per day with the practice session not to exceed three hours; **and**

(c) All physical athletically related activity (e.g., on-field activity, weight lifting and conditioning) is prohibited during one calendar day per each defined week after the week including the acclimatization period (minimum of two days off prior to first contest) if classes are not in session during any portion of the defined week (See Bylaw 17.1.4.1 for day-off requirements when classes are in session).

[17.10.2.3.1 unchanged.]

Rationale: The Interassociation Consensus: Year-Round Football Practice Contact for College Student-Athletes Recommendations include the discontinuation of traditional two-a-day contact practices. Pursuant to those recommendations, the Division III Management Council adopted noncontroversial legislation in April 2017 to eliminate multiple on-field traditional contact practices on the same day. The noncontroversial legislation, however, did not change the calculation method for determining the first permissible preseason practice date, which assumes the permissibility of two-a-day practices. Consequently, the current calculation method could produce inequitable start dates and lost practice opportunities. This proposal seeks to rectify those potential inequities by providing consistent practice opportunities for all football sponsoring institutions. Institutions could start 23 days before their first contest, resulting in up to 21 practice days, while still providing student-athletes with two days off from physical athletically related activity during the preseason (During the off days, film review, team meetings, leadership and entertainment activities are permissible). This proposal maintains the health and safety provisions outlined in the Interassociation Recommendations and provides more equitable practice opportunities.

Budget Impact: The proposal provides a permissive first practice date and therefore institutions would not be obligated to incur additional expense by starting preseason before what is currently allowed. Regardless, by comparing the first permissible practice date under the proposed model with the current model, some institutions will have an earlier start date, others later and some the same. Therefore, the budget impact varies per institution.

Co-sponsorship - Conference:

None

Co-sponsorship - Institution:

None

Position Statements:

Review History:

Apr 10, 2018: Approved in Concept - Management Council

Additional Information:

Legislative References

Legislative Cite	Title
17.10.2	Preseason Practice.
17.10.2.1	First Practice Date.
17.10.2.1.1	Practice Opportunities -- Football.
17.10.2.1.1.1	Sunday.
17.10.2.1.1.2	Week.
17.10.2.1.1.3	Opening Day of Classes.
17.10.2.2	Five-Day Acclimatization Period.
17.10.2.3	Preseason Activities After Five-Day Acclimatization Period.



**NCAA Division III Management Council Meeting
Administrative Legislation for 2019 Convention**

Administrative Regulations – The NCAA Division III Management Council is empowered to adopt or revise administrative regulations consistent with the provisions of the constitution and bylaws, subject to amendment by the NCAA Division III membership, for the implementation of policy established by legislation governing the general activities of each division. These administrative bylaws become part of the NCAA Division III legislation and are considered adopted when approved in legislative format by the management council. They are not required to be ratified at the annual Convention business session.

Note – in the following proposals:

- Those letters and words that appear in ~~italics and strikethrough~~ are to be deleted.
- Those letters and words that appear in **bold and underlined** are to be added; and
- Those letters and words that appear in normal text are unchanged from the current Division III legislation.

Proposal Number	Title	Status	Source	Effective Date	Intent	Budget Impact
ADM-2019-1	EXECUTIVE REGULATION -- CHAMPIONSHIP MISCONDUCT -- PARAMETERS OF THE MISCONDUCT PERIOD	Ready for Consideration by Management Council	NCAA Division III Management Council (Championships Committee).	08/01/2019	To clarify throughout the championships legislation the parameters of the championships misconduct period.	None
ADM-2019-2	ADMINISTRATION OF NCAA CHAMPIONSHIPS -- AVAILABILITY OF ALCOHOLIC BEVERAGES -- EXCEPTION FOR JOINT CHAMPIONSHIPS	Ready for Consideration by Management Council	NCAA Division III Management Council (Championships Committee).	Immediate	To allow alcohol sales at future joint NCAA championships that include Division I, provided the required parameters for alcohol sales are met and the championships are held in the same facility.	Minimal

Division: III

Proposal Number: ADM-2019-1

Title: EXECUTIVE REGULATION -- CHAMPIONSHIP MISCONDUCT -- PARAMETERS OF THE MISCONDUCT PERIOD

Convention Year: 2019

Date Submitted: July 18, 2018

Status: Ready for Consideration by Management Council

Effective Date: August 1, 2019

IPOPL Number:

SPOPL Number:

Source: NCAA Division III Management Council (Championships Committee).

Category: Administrative Regulation

Topical Area: Championships/Postseason Events

Intent: To clarify throughout the championships legislation the parameters of the championships misconduct period.

A. Administrative: Amend 31.02, as follows:

31.02 Definitions and Applications.

[31.02.1 through 31.02.2 unchanged.]

31.02.3 Misconduct. Misconduct in an NCAA championship is any act of dishonesty, unsportsmanlike conduct, unprofessional behavior or breach of law, **that discredits the event or intercollegiate athletics and occurring occurs** from the time the championship field is announced through the end of the championship **(including travel en route to, from or in the locale of the competition or practice)**, ~~that discredits the event or intercollegiate athletics.~~

[31.02.4 unchanged.]

B. Administrative: Amend 31.1.8, as follows:

31.1.8 Misconduct. Each games committee shall hold a pre-tournament meeting with the coaches of participating institutions to review and explain the policies related to misconduct (as defined in Bylaw 31.02.3).

[31.1.8.1 through 31.1.8.2 unchanged.]

31.1.8.3 Penalty for Misconduct. A governing sports committee (or the games committee authorized to act for it) may impose any one or a combination of the following penalties on an institution or any student-athlete or representative of an institution guilty of misconduct that occurs **from the time the championship field is announced through the end of the championship (including travel** en route to, from or ~~at~~ **in** the locale of the competition or practice):

[31.1.8.3-(a) through 31.1.8.3-(i) unchanged.]

[31.1.8.4 unchanged.]

31.1.8.5 Review of Action. Any action related to misconduct **(as defined in Bylaw 31.02.3)** may be reviewed by the governing sports committee on request of any institution participating in the championship.

Rationale: The championships misconduct legislation as currently written has inconsistent language. When Bylaw 31.02.3 was amended in 2009 to extend the misconduct period to start when the championship field was announced, other areas of the legislation were not similarly amended. This proposal eliminates confusion.

Budget Impact: None

Co-sponsorship - Conference:
None

Co-sponsorship - Institution:
None

Position Statements:

Review History:

Jul 17, 2018: Approved in Concept - Management Council MC Supplement No. 5e, Item No. 1a

Additional Information:

Legislative References

Legislative Cite	Title
31.02	Definitions and Applications.
31.02.3	Misconduct.
31.1.8	Misconduct.
31.1.8.3	Penalty for Misconduct.
31.1.8.5	Review of Action.

Division: III

Proposal Number: ADM-2019-2

Title: ADMINISTRATION OF NCAA CHAMPIONSHIPS -- AVAILABILITY OF ALCOHOLIC BEVERAGES -- EXCEPTION FOR JOINT CHAMPIONSHIPS

Convention Year: 2019

Date Submitted: July 18, 2018

Status: Ready for Consideration by Management Council

Effective Date: Immediate

IPOPL Number:

SPOPL Number:

Source: NCAA Division III Management Council (Championships Committee).

Category: Administrative Regulation

Topical Area: Executive Regulations

Intent: To allow alcohol sales at future joint NCAA championships that include Division I, provided the required parameters for alcohol sales are met and the championships are held in the same facility.

Administrative: Amend 31.1.12, as follows:

[Division III]

31.1.12 Availability of Alcoholic Beverages. Alcoholic beverages shall not be sold or otherwise made available for public consumption at any championship event sponsored by or administered by the Association, nor shall any such beverages be brought to the site during the championship (i.e., during the period from the time access to the site is available to spectators until all patrons have left the facility or area used for competition).

31.1.12.1 Exception. Alcoholic beverages may be sold pursuant to Championships policy when a Division III championship is held in conjunction with the NCAA Division I championship in the same sport and conducted at the same venue as that championship.

Rationale: NCAA Division I allows the sale of alcohol at its championships under very specific conditions. Consequently, when a Division III championship is held jointly with a Division I championship in the same venue, it is appropriate to continue that allowance during the Division III championships to accommodate unique logistical and fan experience concerns. This is a limited exception and the selling of alcohol at singular Division III championships will continue to be prohibited as approximately, two-thirds of respondents to the 2018 membership survey agree that alcohol should not be sold at stand-alone Division III championships.

Budget Impact: Minimal

Co-sponsorship - Conference:
None

Co-sponsorship - Institution:
None

Position Statements:

Review History:

Jul 17, 2018: Approved in Concept - Management Council MC Supplement No. 5e, Item No. 1b

Additional Information:**Legislative References**

Legislative Cite	Title
31.1.12	Availability of Alcoholic Beverages.



**NCAA Division III Management Council Meeting
Noncontroversial Legislation for 2019 NCAA Convention**

Noncontroversial Proposals. These proposals are considered noncontroversial and necessary in the normal and orderly administration of the Association's legislation. They become effective when approved in legislative format by a three-fourths majority of the NCAA Division III Management Council and are ratified at the annual Convention business session.

Note. In the following proposals:

- Those letters and words that appear in ~~italics and strikethrough~~ are to be deleted;
- Those letters and words that appear in **bold and underlined** are to be added; and
- Those letters and words that appear in normal text are unchanged from the current Division III legislation.

Proposal Number	Title	Status	Source	Effective Date	Intent	Budget Impact
NC-2019-1	COMMITTEES -- DIVISION III COMMITTEES -- COMMITTEE MEMBERSHIP -- WOMEN'S ICE HOCKEY COMMITTEE COMPOSITION	Ready for Ratification Convention Vote	NCAA Division III Management Council (Championships Committee).	08/01/2019	To specify that the composition of the Women's Ice Hockey Committee shall include at least one coach from each region.	None.
NC-2019-2	COMMITTEES -- ASSOCIATION-WIDE COMMITTEES -- GENERAL COMMITTEES -- RESEARCH COMMITTEE -- DUTIES	Ready for Ratification Convention Vote	NCAA Division III Management Council (Research Committee)	Immediate	To amend the duties of the Research Committee to reflect the current scope of the committee's work.	None.

Proposal Number	Title	Status	Source	Effective Date	Intent	Budget Impact
NC-2019-3	NCAA MEMBERSHIP -- ACTIVE MEMBERSHIP -- CONDITIONS AND OBLIGATIONS OF MEMBERSHIP -- CONCUSSION MANAGEMENT PLAN -- PROCESS PRESCRIBED BY NCAA BOARD OF GOVERNORS	Ready for Ratification Convention Vote	NCAA Division III Management Council.	Immediate	To require, as a condition and obligation of NCAA membership, that an institution have a concussion management plan that adheres to the process and format (e.g., the concussion protocol checklist) prescribed by the NCAA Board of Governors or designee; further, to require annual review of the concussion management plan by the institution's athletics health care administrator.	None

Proposal Number	Title	Status	Source	Effective Date	Intent	Budget Impact
NC-2019-4	PLAYING AND PRACTICE SEASONS -- FOOTBALL -- FLEXIBILITY IN ON-FIELD PRACTICE TIME AND USE OF FOOTBALLS DURING WALK-THROUGH SESSIONS	Ready for Ratification Convention Vote.	NCAA Division III Management Council (Playing and Practice Seasons Subcommittee).	Immediate.	To amend the football preseason legislation as follows: (1) Allow the use of footballs during walk through sessions that occur after the five-day acclimatization period; and (2) Limit on-field activity (i.e., a practice session and a walk-through session) to a combined total of four hours in length per day with a practice session not to exceed three hours.	None.

Proposal Number	Title	Status	Source	Effective Date	Intent	Budget Impact
NC-2019-5	LEGISLATIVE AUTHORITY AND PROCESS -- ENFORCEMENT POLICIES AND PROCEDURES -- INFRACTIONS PROGRAM -- DUTIES OF COMMITTEE ON INFRACTIONS -- ESTABLISHMENT AND REVISION OF ENFORCEMENT POLICIES AND INTERNAL OPERATING PROCEDURES	Ready for Ratification Convention Vote.	NCAA Division III Management Council (Committee on Infractions).	Immediate.	To clarify that the NCAA Division III Committee on Infractions has the authority to adopt, formulate and revise its internal operating procedures and review and approve the enforcement staff's internal operating procedures, including amendments by the enforcement staff to its procedures, subject to Division III Management Council approval; further, to specify that amendments to the committee's and enforcement staff's internal operating procedures are immediately effective when approved by the committee and subject to review and approval by the Management Council.	None.

Proposal Number	Title	Status	Source	Effective Date	Intent	Budget Impact
NC-2019-6	PLAYING AND PRACTICE SEASONS -- OBSERVATION OF ENROLLED STUDENT-ATHLETES IN ORGANIZED ACTIVITIES -- OPEN TO ALL	Ready for Ratification Convention Vote	NCAA Division III Management Council (Interpretations and Legislation Committee).	Immediate.	To clarify that an institutional coach is only permitted to observe student-athletes in organized activities outside of the playing season if the opportunity to observe is open to all.	None.

Proposal Number	Title	Status	Source	Effective Date	Intent	Budget Impact
NC-2019-7	INFRACTIONS PROGRAM - - PENALTIES -- PENALTIES, DISCIPLINARY MEASURES AND CORRECTIVE ACTIONS FOR MAJOR VIOLATIONS -- REVIEW OF PENALTY -- NEW INFORMATION OR PREJUDICIAL ERROR -- INSTITUTION OR CONFERENCE DISCIPLINE AS NEW INFORMATION	Ready for Consideration by Management Council	NCAA Division III Management Council (Committee on Infractions).	Immediate	To require that parties to an infractions case submit any new information directly related to the NCAA Division III Committee on Infractions' findings or conclusions in the case no later than one year following the issuance of the committee decision to the parties, or for a party that appeals a decision, the issuance of the Infractions Appeals Committee decision to the appealing party; further, to specify that disciplinary measures prescribed by the institution or its conference after an infractions decision may not be considered new information.	None.

Proposal Number	Title	Status	Source	Effective Date	Intent	Budget Impact
NC-2019-8	RECRUITING -- RECRUITING ADVERTISEMENTS -- LOCATION OF ADVERTISEMENT	Ready for Consideration by Management Council	NCAA Division III Management Council (Interpretations and Legislation Committee).	Immediate	To clarify that an institution is permitted to produce any athletically related recruiting advertisements, provided the advertisements are not placed at athletics events featuring prospective student-athletes.	None.
NC-2019-9	AWARDS AND BENEFITS -- HOUSING AND MEALS -- EXCEPTIONS -- MEALS MISSED DUE TO PRACTICE	Ready for Consideration by Management Council	NCAA Division III Management Council (Interpretations and Legislation Committee).	Immediate	To specify that an institution may provide meals missed due to participation in institutional practice.	Would vary among institutions.
NC-2019-10	RECRUITING -- CONTACTS AND EVALUATIONS -- DE MINIMIS VIOLATIONS	Ready for Consideration by Management Council	NCAA Division III Management Council (Committee on Student-Athlete Reinstatement).	Immediate	To specify that violations of Bylaw 13.1 and its subsections shall be considered "de minimis" and do not impact a prospective student-athlete's eligibility.	None.

Proposal Number	Title	Status	Source	Effective Date	Intent	Budget Impact
NC-2019-11	RECRUITING -- ENTERTAINMENT, REIMBURSEMENT AND EMPLOYMENT OF HIGH SCHOOL/COLLEGE-PREPARATORY SCHOOL/TWO-YEAR COLLEGE COACHES -- DE MINIMIS VIOLATIONS	Ready for Consideration by Management Council	NCAA Division III Management Council (Committee on Student-Athlete Reinstatement).	Immediate	To specify that violations of Bylaws 13.8.1 and 13.8.2 shall be considered "de minimis" and do not impact a prospective student-athlete's eligibility.	None.
NC-2019-12	EXECUTIVE REGULATIONS -- ELIGIBILITY FOR CHAMPIONSHIPS -- INELIGIBILITY FOR USE OF BANNED DRUGS -- BANNED DRUGS -- ALIGNMENT OF NCAA BANNED DRUG CLASSES WITH WORLD ANTI-DOPING AGENCY'S LIST OF PROHIBITED DRUG CLASSES	Ready for Consideration by Management Council	NCAA Division III Management Council (Committee on Competitive Safeguards and Medical Aspects of Sports).	08/01/2019	To amend all legislated references of NCAA banned drug classes to align with the World Anti-Doping Agency (WADA) list of prohibited classes with the exception of the glucocorticoid class, as specified.	None.

Proposal Number	Title	Status	Source	Effective Date	Intent	Budget Impact
NC-2019-13	NCAA MEMBERSHIP AND CHAMPIONSHIPS AND POSTSEASON FOOTBALL -- CONDITIONS AND OBLIGATIONS OF MEMBERSHIP -- ELIGIBILITY FOR CHAMPIONSHIPS -- ATTESTATION OF COMPLIANCE OBLIGATIONS	Ready for Ratification Convention Vote	NCAA Division III Presidents Council.	08/01/2019	To specify that an institution's president or chancellor and all athletics department staff members (full time, part time, clerical, volunteer) shall attest that the obligations of Constitution 2.1 and Constitution 2.8 have been met; further, to specify that an institution that fails to complete the annual institutional attestation by September 15 shall be subject to removal from and/or ineligibility of individuals to serve on an NCAA board, council or committee and its teams and individual student-athletes shall continue to be ineligible to participate in NCAA championships.	None.

Proposal Number	Title	Status	Source	Effective Date	Intent	Budget Impact
NC-2019-14	COMMITTEES -- ASSOCIATION-WIDE COMMITTEES -- GENERAL COMMITTEES -- WALTER BYERS SCHOLARSHIP COMMITTEE -- COMPOSITION -- STUDENT-ATHLETE REPRESENTATION	Ready for Consideration by Management Council	NCAA Division III Management Council (Walter Byers Scholarship Committee)	Immediate	To increase the size of the Walter Byers Scholarship Committee from six to seven members; further, to specify one position shall be allocated for a former student-athlete.	

Noncontroversial Legislation

Title: COMMITTEES -- DIVISION III COMMITTEES -- COMMITTEE MEMBERSHIP -- WOMEN'S ICE HOCKEY COMMITTEE COMPOSITION

Convention Year: 2019

Effective Date: August 1, 2019

Proposal Number: NC-2019-1

Source: NCAA Division III Management Council (Championships Committee).

Category: Noncontroversial

Topical Area: Committees

Status: Ready for Ratification Convention Vote

Intent: To specify that the composition of the Women's Ice Hockey Committee shall include at least one coach from each region.

Bylaws: Amend Figure 21-1, as follows:

Figure 21-1 Committee Membership

FIGURE 21-1
Committee Membership

Committee	Number of Members
Baseball Committee	8, including one member from each region.
Basketball Committee, Men's	8, including one member from each region.
Basketball Committee, Women's	8, including one member from each region.
Field Hockey Committee	6, including one member from each region.
Football Committee	8, consisting of two representatives from each of the four Division III football regions.
Golf Committee, Men's	6, including one member from each region.
Golf Committee, Women's	5, including one member from each region.
Ice Hockey Committee, Men's	4, including two members from both the East and West Regions (one administrator and one coach).
Ice Hockey Committee, Women's (<i>Revised: 1/15/14 effective 9/1/14</i>)	5, consisting of including three members from the East Region and two members from the West Region/Independents (one coach from each region) .
Lacrosse Committee, Men's	4, including two members from each of the North and South Regions (one administrator and one coach). Two representatives serving on the committee shall be athletics administrators, and two shall be coaching-staff members.
Lacrosse Committee, Women's	5, including one member from each region.
Rowing Committee, Women's	6, including one member from each region.
Soccer Committee, Men's	8, including one member from each region.
Soccer Committee, Women's	8, including one member from each region.
Softball Committee, Women's	8, including one member from each region.
Swimming and Diving Committee, Men's and Women's (<i>Revised: 1/14/12 effective 8/1/12</i>)	8, three members shall represent men's swimming and diving interests and four members shall represent women's swimming and diving interests with two positions allocated for a man and three allocated for a woman and two unallocated with one additional member representing diving interests.
Tennis Committee, Men's	4, including one member from each region.
Tennis Committee, Women's	4, including one member from each region.
Track and Field and Cross Country Committee, Men's and Women's (<i>Revised: 1/14/12 effective 8/1/12</i>)	8, four members shall represent men's track and field interests and four members shall represent women's track and field interests with four positions allocated for men and four allocated for women. There shall be one representative elected from each of the Division III track and field regions.*
Volleyball Committee, Men's	4, including two members from each region.
Volleyball Committee, Women's	8, including one member from each region.
Wrestling Committee (<i>Revised: 1/14/12 effective 8/1/12</i>)	6, including one member from each region.

* The Men's and Women's Track and Field and Cross Country Committee shall be responsible for the Division III cross country, indoor track and field, and outdoor track and field championships.

Additional Information:

Bylaw 21.9.1.4.2 mandates that at least 50 percent of the positions on each team sport committee be filled by athletics administrators. The proposed change to the composition of the women's ice hockey committee maintains this requirement while recognizing the importance of the perspective of coaches. The delayed effective date will allow the current coaches on the committee, who represent the same region, to complete their two year terms.

Review History:

Oct 17, 2017:	Approved in Concept - Management Council	Supplement No. 7, Item No. 1
Jan 17, 2018:	Approved in Legislative Format - Management Council	Supplement No. 22

Title: COMMITTEES -- ASSOCIATION-WIDE COMMITTEES -- GENERAL COMMITTEES -- RESEARCH COMMITTEE -- DUTIES

Convention Year: 2019

Effective Date: Immediate

Proposal Number: NC-2019-2

Source: NCAA Division III Management Council (Research Committee)

Category: Noncontroversial

Topical Area: Committees

Status: Ready for Ratification Convention Vote

Intent: To amend the duties of the Research Committee to reflect the current scope of the committee's work.

Bylaws: Amend 21.2.7, as follows:

21.2.7.2 Duties. The committee shall:

- (a) ~~Evaluate, supervise and coordinate the Association's research activities~~ **Promote and encourage graduate student research on psychosocial aspects of intercollegiate athletics by administering the Association's Graduate Student Research Grant Program;**
- (b) ~~Make recommendations to the Board of Governors regarding expenditures of Association funds for research projects; and~~ **Assist staff in the evaluation of data-sharing requests submitted by the membership, as needed;**
- (c) ~~Make recommendations to the Presidents Council concerning research topics in intercollegiate athletics.~~ **Study and make recommendations to the NCAA research staff and governance committees concerning opportunities for Association or collaborative research at the nexus of higher education and athletics;**
- (d) **Confer with research staff on issues related to the research Review Board, its policies and standard operating procedures; and**
- (e) **Monitor progress of the most significant research endeavors undertaken by the NCAA research staff at the behest of the Association.**

Additional Information:

The Research Committee duties were last updated in 1998. Since that time, the NCAA has begun to gather and analyze an enormous amount of student-athlete, institutional and financial data. The speed at which such data are collected has increased rapidly over the last two decades. As such, the role of the Research Committee has changed, and the revised duties more accurately reflect the current scope of the committee's work.

Review History:

Jan 27, 2018: Approved in Concept - Management Council

Apr 10, 2018: Approved in Legislative Format - Management Council Item 4-(1)

Title: NCAA MEMBERSHIP -- ACTIVE MEMBERSHIP -- CONDITIONS AND OBLIGATIONS OF MEMBERSHIP -- CONCUSSION MANAGEMENT PLAN -- PROCESS PRESCRIBED BY NCAA BOARD OF GOVERNORS

Convention Year: 2019

Effective Date: Immediate

Proposal Number: NC-2019-3

Source: NCAA Division III Management Council.

Category: Noncontroversial

Topical Area: Membership

Status: Ready for Ratification Convention Vote

Intent: To require, as a condition and obligation of NCAA membership, that an institution have a concussion management plan that adheres to the process and format (e.g., the concussion protocol checklist) prescribed by the NCAA Board of Governors or designee; further, to require annual review of the concussion management plan by the institution's athletics health care administrator.

Constitution: Amend 3.2.4.16, as follows:

3.2.4.16 Concussion Management Plan. An active member institution shall have a concussion management plan for its student-athletes. The plan shall **adhere to the process and format (e.g., the concussion protocol checklist) prescribed by the Board of Governors or designee and** include, but is not limited to, the following: [D]

- (a) An annual process that ensures student-athletes are educated about the signs and symptoms of concussions. Student-athletes must acknowledge that they have received information about the signs and symptoms of concussions and that they have a responsibility to report concussion-related injuries and illnesses to a medical staff member;
- (b) A process that ensures a student-athlete who exhibits signs, symptoms or behaviors consistent with a concussion shall be removed from athletics activities (e.g., competition, practice, conditioning sessions) and evaluated by a medical staff member (e.g., sports medicine staff, team physician) with experience in the evaluation and management of concussions;
- (c) A policy that precludes a student-athlete diagnosed with a concussion from returning to athletics activity (e.g., competition, practice, conditioning sessions) for at least the remainder of that calendar day; and
- (d) A policy that requires medical clearance for a student-athlete diagnosed with a concussion to return to athletics activity (e.g., competition, practice, conditioning sessions) as determined by a physician (e.g., team physician) or the physician's designee.

3.2.4.16.1 Annual Review and Retention Requirement. The concussion management plan shall be annually reviewed by and be kept on file in the office of the athletics health care administrator.

Additional Information:

In April 2017, the NCAA Board of Governors reviewed a recommendation from the Committee on Competitive Safeguards and Medical Aspects of Sports that identified concussion safety as an issue that should have uniform standards of care for institutions across the three divisions. This change will bring consistency to concussion management plans across the three divisions by having plans consistent with the concussion protocol checklist, which is an evolution of the concussion management plan legislation adopted by the three divisions in 2010. Further, with the establishment of the athletics health care administrator position in all three divisions via legislation, the review of the concussion management plan by the athletics health care administrator is consistent with current legislation that requires each active member institution to establish an administrative structure that provides independent medical care and affirms the

unchallengeable autonomous authority of primary athletics health care providers (team physicians and athletic trainers) to determine medical management and return-to-play decisions related to student-athletes. These changes further demonstrate the NCAA's continued commitment to the prevention, identification, evaluation and management of concussions, consistent with industry and medical norms.

Review History:

Apr 10,
2018:

Approved in Concept and Adopted in Final Legislative Format -
Management Council

Item 4-
(2)

Title: PLAYING AND PRACTICE SEASONS -- FOOTBALL -- FLEXIBILITY IN ON-FIELD PRACTICE TIME AND USE OF FOOTBALLS DURING WALK-THROUGH SESSIONS

Convention Year: 2019

Effective Date: Immediate

Proposal Number: NC-2019-4

Source: NCAA Division III Management Council (Playing and Practice Seasons Subcommittee).

Category: Noncontroversial

Topical Area: Playing and Practice Seasons

Status: Ready for Ratification Convention Vote

Intent: To amend the football preseason legislation as follows: (1) Allow the use of footballs during walk through sessions that occur after the five-day acclimatization period; and (2) Limit on-field activity (i.e., a practice session and a walk-through session) to a combined total of four hours in length per day with a practice session not to exceed three hours.

A. Bylaws: Amend 17.10.2, as follows:

17.10.2.2 Five-Day Acclimatization Period. Preseason practice shall begin with a five-day acclimatization period for both first-time participants (e.g., freshmen and transfers) and continuing student-athletes. All student-athletes, including those who arrive to preseason practice after the first day of practice, are required to undergo a five-day acclimatization period. The five-day acclimatization period shall be conducted as follows:

[17.10.2.2-(a) through 17.10.2.2-(c) unchanged.]

(1) ~~One on-field practice, not to exceed three hours in length. The institution may also conduct a one-hour walk-through session.~~ **One on-field practice and one walk-through session, which shall be limited to a combined total of four hours per day with the practice session not to exceed three hours.** No protective equipment (e.g., helmet and shoulder pads) may be worn, no equipment related to football (e.g., football and blocking sled) may be used and conditioning activities may not occur during the walk-through session. Student-athletes must be provided with at least three hours of continuous recovery time between any sessions (e.g., on-field practice or walk-through). During the recovery time, student-athletes may not attend any meetings or engage in other athletically related activities (e.g., weight lifting); however, time spent receiving medical treatment and eating meals may be included as part of the recovery time; or

(2) One on-field testing session (e.g., speed, conditioning or agility tests), not to exceed one hour in length, and one on-field practice, not to exceed two hours in length. The institution may also conduct a one-hour walk-through session. No protective equipment (e.g., helmet and shoulder pads) may be worn, no equipment related to football (e.g., football and blocking sled) may be used and conditioning activities may not occur during the walk-through session. Student-athletes must be provided with at least three hours of continuous recovery time between any sessions (e.g., testing, on-field practice or walk-through). During the recovery time, student-athletes may not attend any meetings or engage in other athletically related activities (e.g., weight lifting); however, time spent receiving medical treatment and eating meals may be included as part of the recovery time.

(d) During the remainder of the five-day period, participants shall not engage in more than one on-field practice, ~~not to exceed three hours in length~~, and one ~~one-hour~~ walk-through session, **which shall be limited to a combined total of four hours per day with the practice session not to exceed three hours.** No protective equipment (e.g., helmet and shoulder pads) may be worn, no equipment related to football (e.g., football and blocking sled) may be used and conditioning activities may not occur during the walk-through session. Student-athletes must be provided with at least three hours of continuous recovery time between any sessions (e.g., on-field practice session or walk-through). During the recovery time,

student-athletes may not attend any meetings or engage in other athletically related activities (e.g., weight lifting); however, time spent receiving medical treatment and eating meals may be included as part of the recovery time.

[17.10.2.2-(e) unchanged.]

B. Bylaws: Amend 17.10.2.3, as follows:

17.10.2.3 Preseason Activities After Five-Day Acclimatization Period. The remaining preseason practice period shall be conducted as follows:

[17.10.2.3-(a) unchanged.]

- (b) Student-athletes shall not engage in more than ~~three hours of on-field practice activities per day~~ **one on-field practice and one walk-through session, which shall be limited to a combined total of four hours per day with the practice session not to exceed three hours**:

17.10.2.3.1 Exception -- Walk-Through Sessions. During the preseason practice period only, on-field walk-through sessions are not considered an on-field activity under Bylaw 17.10.2.3, provided protective equipment (e.g., helmets and shoulder pads) is not worn, equipment related to football (e.g., ~~footballs~~, blocking sleds) is not used and conditioning activities do not occur. **Following the five-day acclimatization period, an institution may use footballs during the walk-through session.** ~~Walk-through sessions shall be limited to one hour in length.~~ Student-athletes must be provided with at least three hours of continuous recovery time between an on-field practice session and a walk-through. During this time, student-athletes may not attend any meetings or engage in other athletically related activities (e.g. weightlifting); however, time spent receiving medical treatment and eating meals may be included as part of the recovery time.

Additional Information:

The governance structure included the use of footballs during walk through sessions after the acclimatization period in the 2017 and 2018 football preseason blanket waivers as well as the 2018 convention proposal. Similarly, the Division III Administrative Committee included the flexible use of four hours of on-field sessions in the 2018 football preseason blanket waiver after it being a component of the 2018 Convention proposal as well. Neither of these provisions were a controversial component of the 2018 proposal. Rather, these provisions are consistent with the Interassociation Consensus Recommendations on Year-Round Football Practice Contact for College Student-Athletes. do not have an adverse budget impact and provide additional learning opportunities for football student-athletes.

Review History:

Apr 10, 2018: Approved in Concept - Management Council

Jul 16, 2018: Approved in Legislative Format - Management Council

Title: LEGISLATIVE AUTHORITY AND PROCESS -- ENFORCEMENT POLICIES AND PROCEDURES -- INFRINGEMENTS PROGRAM -- DUTIES OF COMMITTEE ON INFRINGEMENTS -- ESTABLISHMENT AND REVISION OF ENFORCEMENT POLICIES AND INTERNAL OPERATING PROCEDURES

Convention Year: 2019

Effective Date: Immediate

Proposal Number: NC-2019-5

Source: NCAA Division III Management Council (Committee on Infractions).

Category: Noncontroversial

Topical Area: Enforcement

Status: Ready for Ratification Convention Vote

Intent: To clarify that the NCAA Division III Committee on Infractions has the authority to adopt, formulate and revise its internal operating procedures and review and approve the enforcement staff's internal operating procedures, including amendments by the enforcement staff to its procedures, subject to Division III Management Council approval; further, to specify that amendments to the committee's and enforcement staff's internal operating procedures are immediately effective when approved by the committee and subject to review and approval by the Management Council.

A. Bylaws: Amend 19.1.3, as follows:

19.1.3 Duties of Committee. The duties of the committee shall be as follows:

[19.1.3-(a) unchanged.]

(b) ~~Adopt.~~ **Formulate** and revise, in accordance with the requirements of Bylaw 19.3, a statement of its established **internal operating procedures and enforcement** policies and procedures, including investigative guidelines (see Bylaw 32);

(c) Review and approve the enforcement staff's internal operating procedures, including amendments by the enforcement staff to the procedures.

[19.1.3-(c) through 19.1.3-(e) relettered as 19.1.3-(d) through 19.1.3-(f), unchanged.]

B. Bylaws: Amend 19.3.1, as follows:

19.3.1 Amendment by Committee and Approval by Management Council. The Committee on Infractions may ~~establish or amend~~ **formulate and revise its internal operating procedures and** the enforcement policies and procedures **(See Bylaw 32) and review and approve the enforcement staff's internal operating procedures, including amendments by the enforcement staff to the procedures,** in regard to issues other than those concerning institutional penalties, restitution, and committee duties and structure. **Amendments to the committee's and enforcement staff's internal operating procedures shall be immediately effective when approved by the committee, but are subject to review and approval by the Division III Management Council.** A member institution shall be provided notice of alleged NCAA rules violations for which it is charged before any penalty is prescribed, as well as the opportunity to appear before the committee and the opportunity to appeal the committee's conclusions of major violations or penalties (see Bylaws 19.4 and 19.5). The **committee's and enforcement staff internal operating procedures and** enforcement policies and procedures governing the administration of the Association's infractions program, as set forth in Bylaw 32, are subject to review and approval by the Management Council.

19.3.1.1 Notification to Membership. To the extent that the **committee's or the enforcement staff's internal operating procedures and the enforcement** policies and procedures are revised, any member institution involved in the processing of an infractions case shall be notified immediately of the change and the general membership shall be advised through the NCAA website.

~~19.3.1.2 Review by Management Council. Policies and procedures established by the Committee on Infractions, per Bylaw 19.3.1, are subject to review and approval in accordance with the legislative process.~~

Additional Information:

NCAA Division III legislation permits the Division III Committee on Infractions to formulate and revise internal operating procedures. The legislation, however, does not clarify or consistently reference this authority throughout the legislation. The legislation should be modified to clarify that the committee may adopt, formulate and revise its internal operating procedures and review and approve the enforcement staff's internal operating procedures, including amendments by the enforcement staff to the procedures, subject to the review and approval of the Division III Management Council. Clarification will allow for consistency in the legislation and facilitate membership understanding of the infractions process. In addition, because future amendments to the internal operating procedures may be time sensitive and the Management Council is scheduled to meet only quarterly, the legislation should specify that amendments to the committee's and enforcement staff's internal operating procedures are immediately effective when approved by the committee, but subject to review and approval by the Management Council. Finally, the duplicate, standalone reference to the Management Council's review and approval of the internal operating procedures and enforcement policies and procedures should be removed as it is no longer necessary with these modifications.

Review History:

Apr 10, 2018: Approved in Concept - Management Council

Jul 16, 2018: Approved in Legislative Format - Management Council

Title: PLAYING AND PRACTICE SEASONS -- OBSERVATION OF ENROLLED STUDENT-ATHLETES IN ORGANIZED ACTIVITIES -- OPEN TO ALL

Convention Year: 2019

Effective Date: Immediate

Proposal Number: NC-2019-6

Source: NCAA Division III Management Council (Interpretations and Legislation Committee).

Category: Noncontroversial

Topical Area: Playing and Practice Seasons

Status: Ready for Ratification Convention Vote

Intent: To clarify that an institutional coach is only permitted to observe student-athletes in organized activities outside of the playing season if the opportunity to observe is open to all.

Bylaws: Amend 17.02.1.1.1, as follows:

17.02.1.1.1 Exceptions. The following activities shall not be considered athletically related activities:

[17.02.1.1.1.1 through 17.02.1.1.1.2 unchanged.]

17.02.1.1.1.3 Observation of the following:

[17.02.1.1.1.3-(a) unchanged.]

(b) Enrolled student-athletes in organized competition (e.g., summer league), provided institutional athletics personnel do not direct or supervise the organized activity **and the opportunity to observe the activity is open to all.**

[17.02.1.1.1.3-(c) unchanged.]

[17.02.1.1.1.4 unchanged.]

Additional Information:

The legislation permits an institutional coach to observe his or her student-athletes outside of the playing season if they are participating in organized activities. If the activity is not, however, open to all to observe, then that may provide a competitive advantage and questions whether the activity is voluntary. Therefore, this legislative change is necessary to clarify that an institutional staff member is only permitted to observe his or her student-athletes in organized activities outside the playing season if the activity is open to all to observe.

Review History:

Apr 10, 2018: Approved in Concept - Management Council

Jul 16, 2018: Approved in Legislative Format - Management Council

Title: INFRACTIONS PROGRAM -- PENALTIES -- PENALTIES, DISCIPLINARY MEASURES AND CORRECTIVE ACTIONS FOR MAJOR VIOLATIONS -- REVIEW OF PENALTY -- NEW INFORMATION OR PREJUDICIAL ERROR -- INSTITUTION OR CONFERENCE DISCIPLINE AS NEW INFORMATION

Convention Year: 2019

Effective Date: Immediate

Proposal Number: NC-2019-7

Source: NCAA Division III Management Council (Committee on Infractions).

Category: Noncontroversial

Topical Area: Infractions Program

Status: Ready for Consideration by Management Council

Intent: To require that parties to an infractions case submit any new information directly related to the NCAA Division III Committee on Infractions' findings or conclusions in the case no later than one year following the issuance of the committee decision to the parties, or for a party that appeals a decision, the issuance of the Infractions Appeals Committee decision to the appealing party; further, to specify that disciplinary measures prescribed by the institution or its conference after an infractions decision may not be considered new information.

Bylaws: Amend 19.5.2.8, as follows:

19.5.2.8 Review of Penalty.

19.5.2.8.1 New Information or Prejudicial Error. When a penalty has been prescribed and publicly announced and the appeal opportunity has been exhausted, there shall be no review of the penalty except on a showing of new information (per Bylaw 19.02.3) that is directly related to the conclusions or findings in the case or that there was a prejudicial error in the procedure that was followed in the processing of the case by the committee. **Such new information may be submitted no later than one year following the conclusion of the case.**

[19.5.2.8.1.1 unchanged.]

19.5.2.8.1.2 Institution or Conference Discipline as New Information. Disciplinary measures imposed by the institution or its conference, after the NCAA's action, may **not** be considered ~~to be~~ "new information" for the purposes of this section.

[19.5.2.8.1.3 unchanged.]

[19.5.2.8.2 unchanged.]

Additional Information:

After the Committee on Infractions issues a decision and the opportunity to appeal has exhausted, parties may submit new information to request that the committee review a penalty. Disciplinary measures prescribed by the institution or conference after the decision release may be considered new information. There is no time limit on when parties may submit new information. The breadth of the legislation; however, could unnecessarily delay final case resolution. Requiring parties to submit new information within one year after a case concludes (i.e., issuance of committee decision or, for an appealing party, issuance of Infractions Appeals Committee decision) will ensure that any necessary review of penalties occurs within a reasonable time. Otherwise, parties may request that penalties be reviewed based on new information years after a case concludes, including when the committee is comprised of entirely different members. A one-year limit is not prejudicial and provides sufficient time to obtain new information. Likewise, no longer defining penalties prescribed by the institution or conference as new information prevents institutions from manipulating the process by self-imposing preferable penalties after a case concludes to avoid what may be

perceived as more severe penalties from the committee. The enhancements will prevent unnecessary delays in the case resolution.

Review History:

Jul 17, 2018: Approved in Concept - Management Council MC Supplement No. 6a, Item No. 1

Title: RECRUITING -- RECRUITING ADVERTISEMENTS -- LOCATION OF ADVERTISEMENT

Convention Year: 2019

Effective Date: Immediate

Proposal Number: NC-2019-8

Source: NCAA Division III Management Council (Interpretations and Legislation Committee).

Category: Noncontroversial

Topical Area: Recruiting

Status: Ready for Consideration by Management Council

Intent: To clarify that an institution is permitted to produce any athletically related recruiting advertisements, provided the advertisements are not placed at athletics events featuring prospective student-athletes.

Bylaws: Amend 13.4.2.1, as follows:

13.4.2.1 Recruiting Advertisements. ~~The publication of advertising or promotional material, by or on behalf of a member institution, designed to solicit the enrollment of a prospective student-athlete is not permitted. Accordingly, a member institution may not buy or arrange to have space in game programs or other printed materials published to provide information concerning the athletics participation or evaluation of prospective student-athletes (e.g., recruiting publications and multi-media such as list-serves, or other electronic media) for any purpose whatsoever, including advertisements, a listing of prospective or enrolled student-athletes who will attend the institution and informative materials related to the institution.~~ **A member institution is permitted to produce any athletically related recruiting advertisement or promotion, provided the advertisement or promotion is not placed or conducted at an athletics event featuring prospective student-athletes (or during broadcasts of such events).** [D]

13.4.2.1.1 Exception -- Nonathletics Institutional Advertisements. An institution (or a third party acting on behalf of the institution) may ~~publish~~ **produce a** nonathletics institutional advertisements ~~in nonathletics high school or two-year college publications (e.g., yearbooks, newspapers, music programs, prom programs) and other nonathletics publications or produce nonathletics institutional promotional material (e.g., use of signs, kiosks, distribution of printed materials, television and radio advertisements, electronic advertisements) for use at high school or two-year college athletics events~~ **or promotion at an athletics event featuring prospective student-athletes** (or during broadcasts of such events), provided: [D]

[13.4.2.1.1-(a) through 13.4.2.1.1-(c) unchanged.]

13.4.2.1.2 Exception -- College/High School Shared Home Facility. A member institution may advertise and upgrade its home facility even if that facility is also the home facility for prospective student-athletes (e.g., high school football stadium).

13.4.2.1.3 ~~Summer Camp Advertisements. Advertisements of an institution's summer camp or clinic in such recruiting publications are permissible if placed in a periodical (other than a high school or two-year college game program) that includes a camp directory.~~ [D]

Additional Information:

The recruiting advertisement legislation precludes an institution from creating publications and advertisements designed to solicit the enrollment of a prospective student-athlete. As currently written, it can be difficult for an institution to distinguish between an impermissible recruiting advertisement and a permissible institutional promotion. The legislative history of the bylaw indicates that the location of the advertisement is the distinguishing factor. As such, this noncontroversial legislation clarifies that athletics advertisements or promotions are only prohibited if placed at athletics events, including broadcasts featuring prospective student-athletes (e.g., two-year or high school contests).

Review History:

Jul 17, 2018: Approved in Concept - Management Council MC Supplement No. 7a, Item No. 1a

Title: AWARDS AND BENEFITS -- HOUSING AND MEALS -- EXCEPTIONS -- MEALS MISSED DUE TO PRACTICE

Convention Year: 2019

Effective Date: Immediate

Proposal Number: NC-2019-9

Source: NCAA Division III Management Council (Interpretations and Legislation Committee).

Category: Noncontroversial

Topical Area: Awards and Benefits

Status: Ready for Consideration by Management Council

Intent: To specify that an institution may provide meals missed due to participation in institutional practice.

Bylaws: Amend 16.5, as follows:

16.5 Housing and Meals. An institution may finance housing and meal benefits incidental to a student-athlete's participation in intercollegiate athletics provided such housing and benefits are available to students in general. [R]

16.5.1 Exceptions.

[16.5.1-(a) through 16.5.1-(d) unchanged.]

(e) Meals Missed Due to Practice Activities. An institution may provide a student-athlete with a meal when one is missed due to practice activities. The meal must be one that a student-athlete has previously paid for through board allowance and an institution must certify that institutional dining facilities are unavailable.

[16.5.1.1 unchanged.]

Additional Information:

This proposal allows institutions greater flexibility to provide for the nutritional needs of its student-athletes. Under current legislation, if a student-athlete is on a meal plan and misses one of those meals due to practice, institutions cannot provide that student-athlete a meal. This proposal would allow the institution to provide the student-athlete a replacement meal under those circumstances.

Review History:

Jul 17, 2018: Approved in Concept - Management Council MC Supplement 7b, Item No. 1a.

Title: RECRUITING -- CONTACTS AND EVALUATIONS -- DE MINIMIS VIOLATIONS

Convention Year: 2019

Effective Date: Immediate

Proposal Number: NC-2019-10

Source: NCAA Division III Management Council (Committee on Student-Athlete Reinstatement).

Category: Noncontroversial

Topical Area: Recruiting

Status: Ready for Consideration by Management Council

Intent: To specify that violations of Bylaw 13.1 and its subsections shall be considered "de minimis" and do not impact a prospective student-athlete's eligibility.

Bylaws: Amend 13.1, as follows:

13.1 Contacts and Evaluations. Recruiting contacts with a prospective student-athlete (or the prospective student-athlete's relatives or guardians) by institutional staff members and/or representatives of the institution's athletics interests are subject to the provisions set forth in this bylaw. **[D]**

13.1.1 Contactable Prospective Student-Athletes.

13.1.1.1 High School Prospective Student-Athletes. In-person, off-campus recruiting contacts shall not be made with a prospective student-athlete or the prospective student-athlete's relatives or guardian(s) until the prospective student-athlete has completed his or her sophomore year in high school. U.S. service academy exceptions to this provision are set forth in Bylaw 13.15.1. **[D]**

13.1.1.1.1 Contact by Coach Employed in Admissions Office. It is permissible for a coach who is employed in the admissions office of the institution to be involved in off-campus admissions programs directed at prospective students in general, provided contact made with a prospective student-athlete before the completion of his or her sophomore year in high school is not for the purpose of athletics recruitment (e.g., athletics recruiting presentation). **[D]**

13.1.1.2 Four-Year College Prospective Student-Athletes. An athletics staff member or other representative of the institution's athletics interests shall not make contact in any manner (e.g., in-person contact, telephone calls, electronic communication, written correspondence) with the student-athlete of another NCAA or NAIA four-year collegiate institution, directly or indirectly, without first obtaining written permission to do so, regardless of who makes the initial contact. If permission is not granted, the second institution shall not encourage the transfer. If permission is granted, all applicable NCAA recruiting rules apply. If an institution receives a written request from a student-athlete to permit another institution to contact the student-athlete about transferring, the institution shall grant or deny the request within seven business days (see Bylaw 13.02.1) of receipt of the request. If the institution fails to respond to the student-athlete's written request within seven business days, permission shall be granted by default and the institution shall provide written permission to the student-athlete. Written permission may be granted by: **[D]**

[13.1.1.2-(a) through 13.1.1.2-(b) unchanged.]

13.1.1.2.1 Self-Release. Using a form made available by the NCAA national office, a student-athlete who attends a Division III institution may issue, on his or her own behalf, permission for another Division III institution to contact the student-athlete about a potential transfer. The student-athlete shall forward this form to the director of athletics at the institution of interest. Contact between the student-athlete and institution may occur during the 30-day period beginning with the date the permission to contact form is signed by the student-athlete. An additional form must be issued for contact to occur or continue beyond the initial 30-day period. **[D]**

13.1.1.2.1.1 Privacy and Disclosure Requirements. During the initial 30-day period, the institution in receipt of the form shall not notify the student-athlete's current institution of the contact. At the completion of the initial 30-day period, if the student-athlete decides to transfer to the institution in receipt of the form, the institution in receipt of the form shall notify the student-athlete's current institution within a seven-day period of the form's expiration date that the self-release was issued. At the completion of the initial 30-day period, if the student-athlete decides not to pursue the transfer, the institution in receipt of the form shall not notify the student-athlete's current institution of the contact at any time. If the student-athlete is undecided at the end of the 30-day period, the initial contact must remain private unless and until the student-athlete issues a second permission to contact form. Upon receipt of the second permission to contact form, the institution in receipt of the form shall notify the student-athlete's current institution within seven days that a second release was issued. **[D]**

13.1.1.2.2 Hearing Opportunity. If the institution decides to deny a student-athlete's request to permit any other institution to contact the student-athlete about transferring, the institution shall inform the student-athlete in writing that he or she, on request, shall be provided a hearing conducted by an institutional entity or committee outside of the athletics department (e.g., the office of student affairs; office of the dean of students; or a committee composed of the faculty athletics representative, student-athletes and nonathletics faculty/staff members). The student-athlete shall be informed of this hearing opportunity within seven business days of the request for permission to contact. Within 15 business days (see Bylaw 13.02.1) of receipt of the student-athlete's written request for a hearing, the institution shall conduct and provide written results of the hearing to the student-athlete. The student-athlete shall be provided the opportunity to actively participate (e.g., in-person, via telephone) in the hearing. If the institution fails to conduct the hearing or provide the written results to the student-athlete within 15 business days, permission to contact the student-athlete shall be granted by default and the institution shall provide written permission to the student-athlete. **[D]**

13.1.1.2.3 Student-Athlete Withdrawn from Four-Year College. A member institution may contact a student-athlete who has withdrawn officially from a four-year collegiate institution without obtaining permission from the first institution. **[D]**

13.1.1.2.4 Transfer from Institution on Probation. It is not necessary for an institution to obtain permission in writing to recruit a student-athlete at an institution that has been placed on probation with sanctions that preclude it from competing in postseason competition during the remaining seasons of the student-athlete's eligibility. However, the student-athlete's institution must be notified of the recruitment and may establish reasonable restrictions related to the contact (e.g., no visits during class time), provided such restrictions do not preclude the opportunity for the student-athlete to discuss transfer possibilities with the other institution [see Bylaw 14.13.1.2-(c)]. **[D]**

13.1.1.2.5 Transfer While Ineligible Due to Positive Drug Test. If a student-athlete who is declared ineligible due to a positive drug test administered by the NCAA transfers to another NCAA institution, the institution from which the student-athlete transferred must notify the new institution of the student-athlete's ineligibility (see Bylaw 18.4.1.5.4). **[D]**

13.1.2 Permissible Recruiters.

13.1.2.1 Talent Scout. An institution may not pay any costs incurred by an athletics talent scout or a representative of its athletics interests in studying or recruiting prospective student-athletes. An institution may not provide any such person a fee or honorarium and thereby claim the person as a staff member entitled to expense money. **[D]**

13.1.2.1.1 Employment Prohibition. An institution may not employ an individual for the primary purpose of recruiting or evaluating prospective student-athletes and designate the individual as a coach if he or she does not reside in the institution's general locale. Such an individual would be considered an athletics talent scout rather than a regular institutional staff member. **[D]**

13.1.2.1.2 Expense Prohibition. An institution may not pay expenses (other than meals provided in the institution's home community) for representatives of its athletics interests to visit its campus for the purpose of becoming familiar with the institution's academic and athletics programs and campus facilities in order to represent the institution better when recruiting prospective student-athletes. The

provision of such expenses would be considered payment of costs incurred by athletics talent scouts. [D]

13.1.3 Telephone Calls to Prospective Student-Athletes. An institution may permit a student-athlete, a staff member, or a representative of athletics interests to telephone a prospective student-athlete at the institution's expense at any time, provided the call is only for the purpose of recruitment and is with full knowledge of the athletics department (see Bylaw 13.02.5.1). [D]

13.1.4 Contact Restrictions at Specified Sites.

13.1.4.1 Prospective Student-Athlete's Educational Institution. Any staff member and any representative of the institution's athletics interests desiring to contact a prospective student-athlete at the prospective student-athlete's high school, college preparatory school or two-year college first shall obtain permission for such contact from that institution's executive officer (or designee). Contact may be made only when such permission is granted. Permission for such contact is not required if the contact with the prospective student-athlete is made after official school hours. Member institutions also are bound by this provision when recruiting international athletes. [D]

13.1.4.2 Practice or Competition Site. Recruiting contact may not be made with a prospective student-athlete before any athletics competition in which the prospective student-athlete is a participant on that day of competition until the prospective student-athlete is released for that day by the appropriate institutional authority (e.g., prospective student-athlete's coach or comparable authority). Further, telephone calls or electronic communications may not be made with a prospective student-athlete at the site of organized competition involving the prospective student-athlete by athletics personnel who are attending the competition or who are aware of the competition. [D]

13.1.4.2.1 Exception -- Official/Unofficial Visit -- Contact on Institution's Campus on Day of Competition Prior to Competition. Athletics staff members are permitted to have on campus contact prior to competition with a prospective student-athlete, provided the prospective student-athlete is not scheduled to compete on that day on the institution's campus. [D]

13.1.4.2.2 Contact with Prospective Student-Athlete's Relatives or Guardians at Practice or Competition Site. Recruiting contact may be made with the relatives, guardian(s) or individual of a comparable relationship of a contactable prospective student-athlete (see Bylaw 13.1.1) at the site of the prospective student-athlete's practice or competition, including during the conduct of a competition. [D]

13.1.5 Evaluations. There are no restrictions on the number or timing of evaluations (see Bylaw 13.02.4). [D]

Additional Information:

This proposal would eliminate any impact on a prospective student-athlete's eligibility when an institutional staff member and/or representative of the institution's athletics interests is involved in the impermissible recruiting contacts or telephone calls with the prospective student-athlete (or his/her relatives or guardians). The NCAA Division III Committee on Student-Athlete Reinstatement believes prospective student-athletes lack involvement in and culpability for these violations. Additionally, given the prospective student-athlete's eligibility is typically reinstated without conditions, eliminating the need for institutions to request reinstatement would permit institutional personnel and the student-athlete reinstatement committee and staff to focus on recruiting violations the Division III membership believes are significant enough to impact a prospective student-athlete's eligibility. Impermissible contacts and evaluations would remain institutional violations, which must be reported to the NCAA enforcement staff.

Review History:

Jul 17, 2018: Approved in Concept - Management Council MC Supplement No. 11, Item No. 1a

Title: RECRUITING -- ENTERTAINMENT, REIMBURSEMENT AND EMPLOYMENT OF HIGH SCHOOL/ COLLEGE-PREPARATORY SCHOOL/TWO-YEAR COLLEGE COACHES -- DE MINIMIS VIOLATIONS

Convention Year: 2019

Effective Date: Immediate

Proposal Number: NC-2019-11

Source: NCAA Division III Management Council (Committee on Student-Athlete Reinstatement).

Category: Noncontroversial

Topical Area: Recruiting

Status: Ready for Consideration by Management Council

Intent: To specify that violations of Bylaws 13.8.1 and 13.8.2 shall be considered "de minimis" and do not impact a prospective student-athlete's eligibility.

Bylaws: Amend 13.8, as follows:

13.8 Entertainment, Reimbursement and Employment of High School/College-Preparatory School/Two-Year College Coaches.

13.8.1 Entertainment Restrictions. Entertainment of a high school, college-preparatory school or two-year college coach or any other individual responsible for teaching or directing an activity in which a prospective student-athlete is involved shall be limited to providing a maximum of two complimentary admissions to a home athletics event at any facility in which the institution's intercollegiate team regularly practices or competes, not to exceed full-season passes or tickets. Such entertainment shall not include food and refreshments, room expenses, or the cost of transportation to and from the campus. ~~IR/~~ **[D]**

13.8.1.1 Transportation Reimbursement. An institution shall not reimburse a high school, preparatory school or two-year college coach for transportation expenses of any kind. ~~IR/~~ **[D]**

13.8.1.2 Purchase of Game Tickets. Tickets in addition to the permissible complimentary admissions may be purchased only in the same manner as any other member of the general public. ~~IR/~~ **[D]**

13.8.1.3 Noncoaching-Related Organization. If a high school, preparatory school or two-year college coach is a member of a noncoaching-related organization (e.g., state high school principals association, college fraternity alumni organization, institution's alumni association), an institution may entertain the group, provided there is no direct involvement by the institution's athletics department. ~~IR/~~ **[D]**

13.8.2 Material Benefits. Arrangements by an institution that involve a material benefit for a high school, preparatory school or two-year college coach, or for any other individual responsible for teaching or directing an activity in which a prospective student-athlete is involved (e.g., the provision of a gift such as a tangible item bearing the institution's insignia, the offer to pay a portion of the coach's or other individual's personal expenses or an arrangement to provide transportation for the coach or other individual) are prohibited. ~~IR/~~ **[D]**

13.8.2.1 Alumni Exception. An institution may provide a high school, preparatory school or two-year college coach who is an alumna or alumnus of that institution with a material benefit (e.g., meal, plaque, certificate) comparable to a benefit provided to non-coaches for recognition of a special achievement. **[D]**

[13.8.3 unchanged.]

Additional Information:

Violations of Bylaws 13.8.1 and 13.8.2 are currently designated as restitution [R] violations in the NCAA Manual. As a result, if an institutional coaching staff member provides a prospective student-athlete's high school, college-preparatory school or two-year college coach with an impermissible benefit, the prospective student-athlete's coach must donate the value of the benefit to a charity of his or her choice. In many cases, the prospective student-athlete's coach is unwilling to donate the value of the benefit and, therefore, the institution must request reinstatement for the involved prospective student-athlete(s). In addition, if the value of the benefit is greater than \$200, the involved prospective student-athlete's eligibility is impacted, and the institution must request reinstatement. This proposal would eliminate any impact on a prospective student-athlete's eligibility when an institutional coaching staff member provides an impermissible benefit to a prospective student-athlete's coach on or off campus. The committee believes prospective student-athletes lack involvement in and culpability for these violations. Provision of impermissible benefits to a high school/college-preparatory school/two-year college coach would remain institutional violations, which must be reported to the NCAA enforcement staff.

Review History:

Jul 17, 2018: Approved in Concept - Management Council MC Supplement 11, Item No. 1b

Title: EXECUTIVE REGULATIONS -- ELIGIBILITY FOR CHAMPIONSHIPS -- INELIGIBILITY FOR USE OF BANNED DRUGS -- BANNED DRUGS -- ALIGNMENT OF NCAA BANNED DRUG CLASSES WITH WORLD ANTI-DOPING AGENCY'S LIST OF PROHIBITED DRUG CLASSES

Convention Year: 2019

Effective Date: August 1, 2019

Proposal Number: NC-2019-12

Source: NCAA Division III Management Council (Committee on Competitive Safeguards and Medical Aspects of Sports).

Category: Noncontroversial

Topical Area: Executive Regulations

Status: Ready for Consideration by Management Council

Intent: To amend all legislated references of NCAA banned drug classes to align with the World Anti-Doping Agency (WADA) list of prohibited classes with the exception of the glucocorticoid class, as specified.

A. Bylaws: Amend 18.4.1.5, as follows:

18.4.1.5 Ineligibility for Use of Banned Drugs. A student-athlete who, as a result of a drug test administered by the NCAA, tests positive for use of a substance in a banned drug class, as set forth in Bylaw 31.2.3.1, shall be declared ineligible for further participation in postseason and regular-season competition in accordance with the ineligibility provisions in this bylaw.

18.4.1.5.1 Penalty -- Banned Drug Classes Other Than *"Illicit Drugs."* **Cannabinoids and Narcotics.** A student-athlete who, as a result of a drug test administered by the NCAA, tests positive for use of a substance in a banned drug class other than *"illicit drugs"* **cannabinoids and narcotics** (in accordance with the testing methods authorized by the Board of Governors), shall be charged with the loss of one season of participation in all sports, in addition to the use of a season of participation, pursuant to Bylaw 14.2.4.1, during the same academic year. The student-athlete shall remain ineligible for all regular-season and postseason competition during the time period ending one calendar year (i.e., 365 days) after the collection of the student-athlete's positive drug-test specimen and until he or she tests negative (in accordance with the testing methods authorized by the Board of Governors).

18.4.1.5.1.1 Second Positive Test. If a student-athlete who previously tested positive for the use of a substance in a banned drug class other than *"illicit drugs"* **cannabinoids and narcotics** tests positive a second time for the use of a substance in a banned drug class other than *"illicit drugs,"* **cannabinoids and narcotics**, he or she shall lose all remaining regular-season and postseason eligibility in all sports. If a student-athlete who previously tested positive for the use of a substance in a banned drug class other than *"illicit drugs"* **cannabinoids and narcotics** tests positive for the use of a substance in the banned drug *class "illicit drugs,"* **classes cannabinoids or narcotics**, he or she shall be ineligible for competition for 50 percent of a season in all sports (i.e., 50 percent of the Bylaw 17 maximum regular-season contests or dates of competition). The student-athlete shall remain ineligible from the time the institution is notified of the test result until the prescribed penalty is fulfilled and he or she tests negative (in accordance with the testing methods authorized by the Board of Governors).

18.4.1.5.2 Penalty -- *"Illicit Drugs."* **Cannabinoids or Narcotics.** A student-athlete who, as a result of a drug test administered by the NCAA, tests positive for use of a substance in the banned drug *class "illicit drugs"* **classes cannabinoids or narcotics** (in accordance with the testing methods authorized by the Board of Governors) shall be ineligible for competition during 50 percent of a season in all sports (i.e., 50 percent of the Bylaw 17 maximum regular-season contests or dates of competition). The student-athlete shall remain ineligible from the time the institution is notified of the test result until the prescribed

penalty is fulfilled and he or she tests negative (in accordance with the testing methods authorized by the Board of Governors).

18.4.1.5.2.1 Second Positive Test. If a student-athlete who previously tested positive for the use of a substance in the banned drug ~~class "illicit drugs,"~~ **classes cannabinoids or narcotics** tests positive a second time for the use of a substance in the banned drug ~~class "illicit drugs,"~~ **classes cannabinoids or narcotics**, he or she shall be charged with the loss of one additional season of participation in all sports, in addition to the use of a season of participation, pursuant to Bylaw 14.2.4.1, during the same academic year. The student-athlete shall remain ineligible for all regular-season and postseason competition during the time period ending one calendar year (i.e., 365 days) after the collection of his or her second positive drug-test specimen or until the period of ineligibility for any prior positive drug tests has expired, whichever occurs later. If a student-athlete who previously tested positive for the use of a substance in the banned drug ~~class "illicit drugs,"~~ **classes cannabinoids or narcotics** tests positive for use of a substance in a banned drug class other than ~~"illicit drugs,"~~ **cannabinoids and narcotics**, he or she shall be ~~charged with the loss of~~ **ineligible for** one season of participation in all sports, in addition to the use of a season of participation, pursuant to Bylaw 14.2.4.1, during the same academic year. The student-athlete shall remain ineligible for all regular-season and postseason competition during the time period ending one calendar year (i.e., 365 days) after the collection of the student-athlete's positive drug test specimen and until he or she tests negative (in accordance with the testing methods authorized by the Board of Governors).

18.4.1.5.3 Breach of NCAA Drug-Testing Program Protocol. A student-athlete who is in breach of the NCAA drug-testing program protocol (e.g., no show) shall be considered to have tested positive for the use of ~~a substance in a banned~~ **any** drug class other than ~~"illicit drugs,"~~ **a cannabinoid or narcotic**.

[18.4.1.5.3.1 unchanged.]

[18.4.1.5.4 through 18.4.1.5.7 unchanged.]

B. Administrative: Amend 31.2.3.1, as follows:

31.2.3.1 Banned Drugs. The following is the list of banned-drug classes, **which aligns with the World Anti-Doping Agency (WADA) list of prohibited classes, with the exception of the glucocorticoid class**. The Committee on Competitive Safeguards and Medical Aspects of Sports (or a designated subcommittee) has the authority to identify specific banned drugs and exceptions within each class. The institution and student-athletes shall be held accountable for all drugs within the banned-drug classes regardless of whether they have been specifically identified.

[31.2.3.1-(a) through 31.2.3.1-(d) unchanged.]

(e) ~~Illicit drugs;~~ **Cannabinoids (marijuana and THC);**

[31.2.3.1-(f) unchanged.]

(g) ~~Anti-estrogens~~ **Hormone and metabolic modulators;** ~~and~~

(h) Beta-2 agonists; **and**

(i) Narcotics.

[31.2.3.1.1 through 31.2.3.1.2 unchanged.]

C. Administrative: Amend 31.2.3.2, as follows:

31.2.3.2 Medical Exceptions. Exceptions to the prohibition on the use of any substance in the banned-drug classes of stimulants, anabolic agents, alcohol and beta blockers (for rifle only), diuretics and other masking agents, peptide hormones and analogues, ~~anti-estrogens,~~ **hormone and metabolic modulators**, and beta-2 agonists may be made by the Board of Governors for those student-athletes with a documented medical history demonstrating the need for regular use of such a drug.

Additional Information:

The recommendation to align NCAA banned drug classes with WADA's prohibited classes, with the exception of the glucocorticoid class, allows the NCAA to defer updates to this list to WADA experts and helps avoid confusion for student-athletes competing in both NCAA and international competition. The committee determined that glucocorticoids are anti-inflammatory agents, used commonly in sports medicine, and have very low risk as performance enhancing drugs.

Review History:

Apr 10, 2018: Approved in Concept - Management Council

Jul 17, 2018: Approved in Concept - Management Council Changed from a convention proposal to a noncontroversial proposal

Title: NCAA MEMBERSHIP AND CHAMPIONSHIPS AND POSTSEASON FOOTBALL -- CONDITIONS AND OBLIGATIONS OF MEMBERSHIP -- ELIGIBILITY FOR CHAMPIONSHIPS -- ATTESTATION OF COMPLIANCE OBLIGATIONS

Convention Year: 2019

Effective Date: August 1, 2019

Proposal Number: NC-2019-13

Source: NCAA Division III Presidents Council.

Category: Noncontroversial

Topical Area: Membership

Status: Ready for Ratification Convention Vote

Intent: To specify that an institution's president or chancellor and all athletics department staff members (full time, part time, clerical, volunteer) shall attest that the obligations of Constitution 2.1 and Constitution 2.8 have been met; further, to specify that an institution that fails to complete the annual institutional attestation by September 15 shall be subject to removal from and/or ineligibility of individuals to serve on an NCAA board, council or committee and its teams and individual student-athletes shall continue to be ineligible to participate in NCAA championships.

A. Constitution: Amend 3.2, as follows:

3.2 Active Membership.

[3.2.1 through 3.2.3 unchanged.]

3.2.4 Conditions and Obligations of Membership.

3.2.4.1 General. The active members of this Association agree to administer their athletics programs in accordance with the constitution, bylaws and other legislation of the Association.

[3.2.4.2 through 3.2.4.12 unchanged.]

3.2.4.13 Compliance-Related *Forms Certification*. A member institution shall not be eligible to enter a team or individual competitors in an NCAA championship **and shall be subject to removal from and/or ineligibility of individuals to serve on an NCAA board, council or committee** unless its president or chancellor makes an annual institutional eligibility certification [see Bylaw 31.2.1-(d)] attesting that the conditions specified have been satisfied.

[3.2.4.14 through 3.2.4.20 unchanged.]

[3.2.5 through 3.2.6 unchanged.]

B. Administrative: Amend 31.2, as follows:

31.2 Eligibility for Championships.

31.2.1 Institutional Eligibility. To be eligible to enter a team or an individual in NCAA championship competition, an institution shall recognize the sport involved as a varsity intercollegiate sport (see Bylaw 17.02.13) and shall:

[31.2.1-(a) through 31.2.1-(c) unchanged.]

(d) Certify through its president or chancellor on a form approved by the Management Council, the institution's compliance with NCAA legislation. The certification of compliance shall be completed not later than September 15, **shall be kept on file at the institution**, and shall adhere to the requirements set forth in Bylaw 31.2.1.7;

[31.2.1-(e) through 31.2.1-(h) unchanged.]

[31.2.1.1 through 31.2.1.6 unchanged.]

31.2.1.7 Certification of Compliance -- Requirements. The following conditions shall be satisfied.

31.2.1.7.1 NCAA Rules Review. The president or chancellor, or a designated representative, has reviewed with all athletics department staff members the rules and regulations of the NCAA as they apply to the administration and conduct of intercollegiate athletics.

31.2.1.7.2 Attestation of Compliance Obligations. The president or chancellor and all athletics department staff members (full time, part time, clerical, volunteer) shall attest that the obligations in Constitution 2.1 (Principle of Institutional Control and Responsibility) and Constitution 2.8 (Principle of Rules Compliance) have been met. (See Constitution 3.2.4.13.)

31.2.1.7.2~~3~~ Coaching Staff Disciplinary Actions. At the time of such certification, and as a result of involvement in a violation of the Association's legislation as determined by the Committee on Infractions or the Management Council, no current member of the institution's coaching staff:

- (a) Shall have been temporarily or permanently suspended from coaching duties by another member institution within the last two years; or
- (b) Shall have been prohibited within the last two years, as a result of violations occurring while employed by another member institution, from participating in identified coaching-related activities, unless the prohibition has been equally applied by the certifying institution with respect to the individual's coaching-related activities on behalf of it; or
- (c) Shall have been permitted within the last two years to perform any coaching-related activities for the certifying institution that were prohibited after determination by the Committee on Infractions of an "appropriate disciplinary action" for the individual in accordance with the show-cause provision of Bylaw 19.5.2 of the NCAA enforcement procedures.

31.2.1.7.2~~3~~.1 Period of Suspension or Prohibition. The period of suspension or prohibition established by the Committee on Infractions or the Management Council must be in effect for the provisions set forth in Bylaw 31.2.1.7.2 to apply.

31.2.1.7.2~~3~~.2 Due-Process Requirement. The affected coaching staff member must be given through the appropriate institution notice of an opportunity to be heard at both the NCAA hearing resulting in the finding of involvement in the violation and the institutional hearing resulting in suspension or prohibition.

31.2.1.7.3~~4~~ Certification of Policies, Procedures and Practices. The policies, procedures and practices of the institution, its staff members and representatives of its athletics interests are in compliance at the present time with the Association's legislation insofar as the president or chancellor can determine.

31.2.1.7.4~~5~~ Maintenance of Compliance. It is the intention of the institution to maintain such compliance.

31.2.1.7.5~~6~~ Report of NCAA Violation Involving Institution. A current statement has been filed with the president or chancellor, as a part of the institution's annual certification, which is signed by each athletics department staff member and by the institutional financial aid officer or comparable campus official, attesting that the individual has reported any knowledge of an involvement in any violations of NCAA legislation involving the institution.

[31.2.1.8 through 31.2.1.9 unchanged.]

[31.2.2 through 31.2.3 unchanged.]

Additional Information:

Current legislation requires that active members of the Association administer their athletics programs in accordance with the constitution, bylaws and other legislation of the Association, and certify, through its

president or chancellor, the institution's compliance with NCAA legislation to be eligible to enter a team or individual competitors in an NCAA championship. As part of the certification requirement, an institution's president or chancellor and all athletics department staff members should also attest that they have met the fundamental obligations of the principle of institutional control and responsibility, and the principle of rules compliance. Specifically, this proposal will require attestation that the institution has control of its intercollegiate athletics program in compliance with the rules and regulations of the Association, that its programs are monitored to assure compliance, and that instances in which compliance has not been achieved are identified and reported to the Association. In addition, the president or chancellor and athletics staff must attest that in instances of noncompliance, the institution will cooperate fully with the Association and take appropriate corrective actions. Moreover, while institutions will continue to be ineligible to participate in NCAA championships for failure to complete the annual certification, an enhanced penalty eliminating the opportunity for individuals to serve in the governance structure highlights the importance of the annual certification.

Review History:

Aug 8, 2018: Approved in Concept and Adopted in Final Legislative Format - Presidents Council

Title: COMMITTEES -- ASSOCIATION-WIDE COMMITTEES -- GENERAL COMMITTEES -- WALTER BYERS SCHOLARSHIP COMMITTEE -- COMPOSITION -- STUDENT-ATHLETE REPRESENTATION

Convention Year: 2019

Effective Date: Immediate

Proposal Number: NC-2019-14

Source: NCAA Division III Management Council (Walter Byers Scholarship Committee)

Category: Noncontroversial

Topical Area: Committees

Status: Ready for Consideration by Management Council

Intent: To increase the size of the Walter Byers Scholarship Committee from six to seven members; further, to specify one position shall be allocated for a former student-athlete.

Bylaws: Amend 21.2.9 , as follows:

[Common provision, all divisions, divided vote]

21.2.9 Walter Byers Scholarship Committee.

21.2.9.1 Composition. The Walter Byers Scholarship Committee shall consist of ~~six~~**seven** members, including one position allocated for a man, one allocated for a woman, **one allocated for a former student-athlete** and four unallocated.

[21.2.9.2 unchanged.]

Additional Information:

This recommendation supports the NCAA's continued efforts to increase student-athlete engagement and the student-athlete voice within the governance structure. Adding a former student-athlete to the committee will provide a valuable perspective in the selection of scholarship recipients.

Review History:

Apr 30, 2018: Recommends Approval - Walter Byers Scholarship Committee

Jul 17, 2018: Approved in Concept - Management Council

Jul 17, 2018: Approved in Concept - Management Council



NCAA Division III Management Council Meeting
Modifications of Wording for 2019 NCAA Convention

Modifications of Wording. These proposals are consistent with the intent of the membership in adopting the original legislation and sufficient documentation and testimony exists to establish clearly that the original wording of the legislation was inconsistent with that intent. They become effective and are considered adopted when approved in legislative format by a two-thirds majority of the Management Council. Further, they are subject to ratification at the annual Convention business session.

Note. In the following proposals:

- Those letters and words that appear in ~~italics and strikethrough~~ are to be deleted;
- Those letters and words that appear in **bold and underlined** are to be added; and
- Those letters and words that appear in normal text are unchanged from the current Division III legislation.

Proposal Number	Title	Status	Source	Effective Date	Intent	Budget Impact
M-2019-1	RECRUITING -- SPORTS CAMPS AND CLINICS AND OTHER ATHLETICS EVENTS -- HOSTING AN EVENT INVOLVING PROSPECTIVE STUDENT-ATHLETES WITHOUT INSTITUTIONAL INVOLVEMENT	Ready for Ratification Convention Vote	NCAA Division III Management Council (Interpretations and Legislation Committee).	Immediate	To clarify that an institution may rent its facilities for an event involving prospective student-athletes even if the event does not satisfy the provisions of the sports camps and clinics and other athletics events legislation, provided institutional personnel are not involved with working, directing or administering the camp and the institution is not involved with promoting the event.	None.

Title: RECRUITING -- SPORTS CAMPS AND CLINICS AND OTHER ATHLETICS EVENTS -- HOSTING AN EVENT INVOLVING PROSPECTIVE STUDENT-ATHLETES WITHOUT INSTITUTIONAL INVOLVEMENT

Convention Year: 2019

Effective Date: Immediate

Proposal Number: M-2019-1

Source: NCAA Division III Management Council (Interpretations and Legislation Committee).

Category: Modification of Wording

Topical Area: Recruiting

Status: Ready for Ratification Convention Vote

Intent: To clarify that an institution may rent its facilities for an event involving prospective student-athletes even if the event does not satisfy the provisions of the sports camps and clinics and other athletics events legislation, provided institutional personnel are not involved with working, directing or administering the camp and the institution is not involved with promoting the event.

Bylaws: Amend 13.11.3.2, as follows:

13.11.3.2 Sports Camps and Clinics and Other Athletics Events. An institution or an athletics department staff member may host, conduct or be employed by an event involving prospective student-athletes, provided:

[13.11.3.2-(a) through 13.11.3.2-(d) unchanged.]

13.11.3.2.1 Institutional Camps/Clinics and Other Athletics Events. Institutional camps and clinics may provide results of an evaluation only to the specific prospective student-athlete to which that evaluation pertains.

13.11.3.2.2 Service or Religious Organization Camps and Conferences. Events conducted by service or religious organizations are exempt from NCAA restrictions on events involving prospective student-athletes.

13.11.3.2.3 Exception -- Hosting Events Involving Prospective Student-Athletes Without Institutional Involvement. A member institution's facilities may be made available for a camp, clinic or other athletic event involving prospective student-athletes that does not meet the criteria outline in Bylaw 13.11.3.2, provided institutional staff members are not involved in any manner with the event and the institution does not promote the event.

Additional Information:

The modification of wording is necessary based on confusion surrounding the application of the sports camps and clinics and other athletics events legislation in instances in which an outside group rents an institution's facility without the involvement of institutional personnel. While NCAA Division III Proposal No. 2017-7 served to deregulate the camps and clinics legislation, an unintended consequence of the proposal was that it imposed greater restrictions on events occurring at institutions when institutional personnel are not involved. The rationale statement tied to Proposal No. 2017-7 indicates that the intent of the proposal was to broaden institutional opportunities. Further, the 2017 Convention Question and Answer document (Education Column 1/23/17) sets forth the specific changes of the proposal and does not include a greater restriction on renting institutional facilities. As such, this modification of wording aligns the legislation with the intent of the proposal and clarifies that renting one's facility does not constitute hosting and therefore does not need to meet the requirements of Bylaw 13.11.3.2.

Review History:

Apr 10, 2018: Approved in Concept - Management Council

Jul 16, 2018: Approved in Legislative Format - Management Council

Good morning:

An email blast regarding registering for the 2019 NCAA Convention in Orlando, Florida will be sent **Wednesday, September 12 at approximately 11 a.m. (Eastern)**. Please keep in mind that, as a member of the Division III Management Council, you must register by **October 10** to obtain the early bird registration fee and to reserve your hotel room, both of which are paid for by the NCAA.

There are a couple of things you must do in order to be recognized as a "Management Council" member.

1. When you click on the link to register, please make sure that you select Division III as your division. Please select 'Committee' as your choice when the next screen appears, after which you will enter the password: **2019committee**. Please do not share this password with other institutional members, as it is for our Management Council members only. Once you enter the password, please proceed to enter all information as requested by the system.
2. Please note that room reservations will be accepted **ONLY** through the online system. As a member of the Division III Management Council, your room and tax for Tuesday, January 22, through Friday night, January 25, will be charged to the NCAA master account. **I will not be sending a separate room-reservation form later so please make your room reservations when you register.**

[NOTE: All Council members are expected to depart Saturday afternoon/evening. If you plan on staying Saturday night, you need to receive prior approval.]

3. I entered you into the Short's Travel Portal for travel. I encourage you to make your flights by calling the toll-free number at 866/655-9215 no later than October 10. Your flight to and from Orlando will be charged to the NCAA master account.
4. As you are making your reservations, please note that you will need to be in Orlando no later than Tuesday, January 22, as our Management Council meeting begins bright and early Wednesday morning, January 23. The business session is scheduled for Saturday, January 26, and if you are not a departing member of the Council, our post-Convention Management Council meeting is scheduled for Saturday from noon to 2 p.m. We anticipate that most of you will be able to depart Orlando Saturday afternoon.

Please note that the registration fee includes all Convention programming and one ticket to the Delegates Reception on Thursday, January 24, and Delegates Breakfasts on Friday, January 25, and Saturday, January 26. Additionally, attendees will have the opportunity to purchase one ticket to the Honors Celebration on a first-come, first-served basis and to receive one complimentary ticket to the Association Luncheon on a first-come, first-served basis. Available tickets can be reserved during the Convention registration process. Note that these tickets are limited, so the sooner that you register, the better your chance to be able to purchase/secure tickets to the events noted above.

If you should have any additional questions, please let me know.



**2019 NCAA CONVENTION
DIVISION III DELEGATES SCHEDULE
ORLANDO, FLORIDA
JANUARY 22-26, 2019**

**SUPPLEMENT NO. 19b
DIII Mgmt Council 10/18 DIVISION III OFFICE
Caribe Royale Orlando
TBD**

TIME	DIVISION III	ASSOCIATION-WIDE	ROOM LOCATION
Tuesday, January 22			
7:30 a.m. to 10 p.m.	SAAC Meeting [Must be a member of this committee to attend]		
Wednesday, January 23			
7:30 a.m. to 5 p.m.	SAAC Meeting [Must be a member or an Associate Member of this committee to attend]		
8 a.m. to 3 p.m.	Management Council [Must be a member of this committee to attend]		
1 to 5 p.m.		Workshop Sessions (5 Sessions)	
2 to 5 p.m.	ADR Institute [Invitation Only]		
3 to 5:30 p.m.	Division III Student Immersion Program Welcome [Invitation Only]		
5 to 6 p.m.	ADR Institute Reception [Invitation Only]		
6 to 9 p.m.		Honors Celebration [Ticket Required]	
Thursday, January 24			
7 to 8:30 a.m.	Division III Student Immersion Program Breakfast [Invitation Only]		
7:30 to 9 a.m.	PC/MC/SAAC Breakfast [Must be a member or an Associate Member of these committees to attend]		
8 to 9:30 a.m.		Chancellors and Presidents Engagement	
8 a.m. to 4 p.m.	ADR Institute [Invitation Only]		
8:30 to 9:30 a.m.		Association-wide Programming (5 sessions)	
9:15 to 11:15 a.m.	Division III Presidents Council Meeting [Must be a member of this committee to attend]		
9:45 to 11:15 a.m.		Association-wide Programming (5 sessions)	
11:30 a.m. to 1 p.m.	SAAC Sponsored Luncheon with Division III Student Attendees		
11:30 a.m. to 1 p.m.	Division III Chancellors/Presidents Luncheon		
11:30 a.m. to 1 p.m.	ADR Luncheon [open to all ADRs, including ADR Institute]		
11:30 a.m. to 1 p.m.	Division III New AD Meet & Greet Luncheon [Invitation Only]		
1 to 3 p.m.	Special Olympics Unified Sports Activity		
1:15 to 4:30 p.m.	Division III Student Immersion Program [Invitation Only]		
1:15 to 2:15 p.m.		Association-wide Programming (5 sessions)	

TIME	DIVISION III	ASSOCIATION-WIDE	ROOM LOCATION
Thursday, January 24 continued...			
2:30 to 4 p.m.		Association-wide Programming (5 sessions)	
4:30 to 5:30 p.m.		NCAA Plenary Session: State of College Sports [Doors open at 4:15 p.m.]	
5:45 to 6:45 p.m.		Associate-Wide Business Session	
6:45 to 8 p.m.		Delegates Reception	
7:30 to 9:30 p.m.	Division III Student Social Mixer hosted by Division III National SAAC		
Friday, January 25			
7 to 8 a.m.	Division III Delegate Breakfast		
8 to 11 a.m.	Division III Issues Forum		
11:30 a.m. to 1 p.m.		Association Luncheon [Ticket Required]	
1 to 5:30 p.m.	Conference Meetings		
4 to 5:30 p.m.	Division III Provisional & Reclassifying Educational Session		
6 to 7 p.m.	Division III SWA Reception		
6 to 7 p.m.		Presidents & Chancellors Reception	
6 to 7 p.m.		FARA Reception	
Saturday, January 26			
6:45 to 7:45 a.m.	SAAC Meeting [Must be a member or an Associate Member of this committee to attend]		
7 to 8 a.m.	Division III Delegates Breakfast		
8 a.m. to 11 a.m.	Division III Business Session		
11 a.m. to Noon	Division III Student Immersion Program Debrief and Luncheon		
11 a.m. to 1 p.m.	NADIIIAA Reception		
Noon to 2 p.m.	Division III Post-Convention Management Council Meeting [Must be a member of this committee to attend]		



**REPORT OF THE NCAA DIVISION III
DIVERSITY AND INCLUSION WORKING GROUP
SEPTEMBER 24, 2018, TELECONFERENCE**

ACTION ITEM.

- None.

INFORMATIONAL ITEMS.

- 1. Welcome and Roster.** The chair, Gerard Bryant, commenced the NCAA Division III Diversity and Inclusion Working Group teleconference at 2:03 p.m. Eastern time Monday, September 24, 2018. He welcomed the group and conducted a roll call.
- 2. Report of June 12, 2018, Teleconference.** The working group reviewed the report and had no changes.
- 3. Division III Mandatory Student-Athlete Graduation Rate Reporting.** The working group discussed the student-athlete graduation rate reporting legislative proposal and in particular, if there are specific membership discussions related to the proposal. The working group noted the following:
 - a. The concern related the administrative burden (e.g., collecting the cohort names) appears to be diminished since the membership learned that campus financial aid directors were already annually identifying the cohort.
 - b. There is a lingering fear that the information will become public despite the data being private. Institutions will be able to view the data and conduct a self-assessment, as well as benchmark, via the Institutional Performance Program.
 - c. Staff noted that a persistent concern is that student-athletes who are no longer playing will be incorporated in the data. Student-athletes who practice after the first contest are included in the cohort; same as the current financial aid collection cohort. However, the data is private and will allow institutions to evaluate how many student-athletes, including those who stop playing, are leaving the institution in poor academic standing.
 - d. A new concern is institutions not understanding that students who transfer in good academic standing do not negatively impact an institution's graduation rate data.

Staff noted that the Division III October webinar will discuss the graduation rate processes in an effort to educate the membership prior to the vote in January.

4. **Student Immersion Program.** Staff noted that it anticipates 85 completed applications for the 40 spots for this year's Student Immersion Program. Four working group members volunteered to serve on the selection committee. The selection timeline is the following:
 - a. Sept. 25 – staff will send the ranking instructions.
 - b. Sept. 30 to Oct. 3 – review the applications and rank applicants.
 - c. Fri., Oct. 5 – selection teleconference.
5. **Presidential Pledge.** The working group discussed how it can assist the NCAA Office of Inclusion's efforts to enhance the NCAA's Presidential Pledge. President Javier Cevallos noted that in August, the Division III Presidents Advisory Group had an engaged roundtable discussion on this topic. The presidents encouraged staff to engage the athletics direct reports as this constituent group typically has direct oversight to athletics and can assist in upholding the Presidential Pledge. The working group also suggested staff include a diversity tip in the Division III Monthly Update, as well as send the Division III Diversity and Inclusion Quarterly newsletter to campus chief diversity officers.
6. **Other Business.** Staff noted that the Office of Inclusion is conducting a diversity and inclusion social media campaign the first week of October. Division III will support via its social media platforms. Staff also noted the release of a senior woman administrator (SWA) resource in October. The resource will clarify the designation and provide model dialogue best practices for athletics directors and SWAs.
7. **Next Steps.** The chair summarized the working group's next steps that include continuing to educate the membership on the student-athlete graduation rate reporting proposed legislation, and in particular, the October educational webinar. At the request of the working group and in support of the Presidential Pledge, staff will provide a diversity tip in the Division III Monthly Update and expand the distribution of the Division III Diversity and Inclusion Quarterly newsletter to chief diversity officers. The working group subcommittee will select the 2019 Student Immersion Program cohort in early October.
8. **Future teleconferences.** Staff will send out a doodle for a December teleconference.
9. **Adjournment.** The call adjourned at 2:53 p.m. Eastern time.

*Staff Liaisons: Louise McCleary, Division III Governance
Sonja Robinson, Office of Inclusion
Amy Wilson, Office of Inclusion*

Teleconference date: September 24, 2018
Attendees:
Nnenna Akotaobi, Swarthmore College
Javier Cevallos, Framingham State University
Heather Benning, The Midwest Conference
Gerard Bryant, John Jay College of Criminal Justice
Kelsey Morrison, University of Valley Forge
Joe Onderko, Presidents Athletic Conference
Jason Verdugo, Hamline University
Natalie Winkelfoos, Oberlin College
Nicolle Wood, Salem State University
Absentees:
Jason Fein, Bates College
Dan Schumacher, University of Wisconsin, Eau Claire
NCAA Staff Support in Attendance:
Louise McCleary, Sonja Robinson, Amy Wilson.
Other in Attendance:
None.



BETTER ENGAGE YOUR FACULTY ATHLETICS REPRESENTATIVE

A photograph of a professor in a classroom, seen from the back, gesturing while speaking to a group of students. The students are seated in rows, looking towards the professor. The image has a reddish tint and is overlaid with a blue geometric shape in the top left corner. A pink box with the text "FPO" is positioned in the lower right area of the image.

FPO



TABLE OF CONTENTS

INTRODUCTION	1
Why do we need FARs in the first place?	2
History of the FAR	2
CAMPUS RECOMMENDATIONS	3
CONFERENCE RECOMMENDATIONS	7
MODEL FOR A HIGHLY ENGAGED FAR	11
Connection to Campus Administration	12
Connection to Athletics Department	14
Connection to the Faculty	15
Connection to the NCAA	15
Connection to Student-Athletes	16
Connection to the Conference	18
Connection to the Faculty Athletics Representatives Association	18



I strongly believe in the role of the FAR as the hub of the athletic-academic connection for an institution. Although the AD hires all coaches and the coaches must carry out the academic focus of their players, the FAR is my central coordinator and communicator among all groups at the college — each athletics team, new faculty as a part of faculty orientation, the Student-Athlete Advisory Committee, the college president, the president's cabinet, and faculty.

Kenneth Garren, president, University of Lynchburg



INTRODUCTION

The Division III Faculty Athletics Representatives (FAR) Engagement Working Group was created in 2017 to develop recommendations and resources to increase the engagement of Division III FARs at the institutional, conference and national levels. Members of the working group included FARs, directors of athletics, conference commissioners and a student-athlete. Utilizing historical and contemporary data and the expertise of the working group and others, the group developed three documents, which are contained in this report.

- Campus Recommendations for a Highly Engaged Division III FAR
- Conference Recommendations for a Highly Engaged Division III FAR
- Model for a Highly Engaged Division III FAR

THE DIVISION III FAR...

- Helps to ensure a quality student-athlete experience and promote student-athlete well-being.
- Serves as an independent advocate for student-athletes.
- Assists in the oversight of intercollegiate athletics at the campus and conference levels to assure that they are conducted in a manner designed to protect and enhance the physical, psychological, and educational well-being of student-athletes.
- Oversees the nominations of student-athletes for NCAA grant, scholarship and recognition programs.
- Helps promote student-athlete success in the classroom, in athletics, and in the community by striking a balance among academic excellence, athletics competition, and social growth as they prepare for lifelong success.

WHY DO WE NEED FARs IN THE FIRST PLACE?

- NCAA regulations require intercollegiate athletics programs to be designed as a vital part of the educational system and the student-athlete to be an integral part of the student body.
- Those same regulations require intercollegiate athletics programs to be conducted to protect and enhance the educational and physical welfare of the student-athletes.
- These areas of student life traditionally have involved significant faculty participation and oversight.
- Because student-athletes are to be students first, faculty voices and perspectives in the administration and in oversight of intercollegiate athletics programs have been recognized with the NCAA as legitimate and necessary.

HISTORY OF THE FAR

Faculty voices and influence have been present in the affairs of the NCAA for as long as the NCAA has been in existence. The Carnegie Foundation Report on American College Athletics in 1928 attests to the presence of faculty views in the operation of the NCAA up to that time. In 1980, the NCAA released a study written by former NCAA president Earl Ramer, (1971-73), that outlined the history of the significant and continuing roles played by faculty in the NCAA in the decades before 1980.

Shortly after the Ramer Report was published, the NCAA produced the first Faculty Athletics Representative Handbook. These reports indicate that faculty athletics representatives have long been thoroughly integrated into the infrastructure of the NCAA. Faculty athletics representatives are prominent in all levels of NCAA governance, excepting those reserved for chief executive officers, and they continually serve as points of contact between their campuses and the NCAA in the regular conduct of intercollegiate athletics programs. Articles 4, 5 and 6 of the NCAA Constitution recognize the involvement of faculty athletics representatives in the organization, legislative authority and legislative process of the NCAA and the important role of faculty athletics representatives in the local institutional control of intercollegiate athletics programs. Division III Bylaw 6.1.3, adopted in 1989, requires each member institution to designate an individual to serve as FAR.





A highly engaged FAR improves the quality of an athletics department and, more importantly, the student-athlete experience.

The FAR serves as an important bridge between the academic and athletic components on campus, providing support and guidance for faculty, staff, and students regarding the athletics experience. Student-athletes have an important advocate in the FAR on academic issues and can ask questions and seek advice in balancing both their academic and athletic pursuits.

Similarly, faculty members have a resource to better understand the student-athlete experience.

Robert Davis Jr., athletics direct report at the University of Scranton



CAMPUS RECOMMENDATIONS

FOR A HIGHLY ENGAGED DIVISION III FACULTY ATHLETICS REPRESENTATIVE

These recommendations are endorsed by the Division III Presidents Council, Management Council, the Division III FAR Engagement Working Group and the Faculty Athletics Representative Association (FARA) Executive Committee. These groups firmly believe a highly engaged FAR will contribute to furthering the central goal of a Division III athletics department — ensuring the best student-athlete experience possible. Despite differences in institutional resources and missions, all Division III campuses can benefit from enhancing the role of the FAR and incorporating the suggestions contained in this document.

THE DIVISION III FAR...

- Helps to ensure a quality student-athlete experience and promote student-athlete well-being.
- Serves as an independent advocate for student-athletes.
- Helps promote student-athlete success in the classroom, in athletics, and in the community by striking a balance among academic excellence, athletics competition, and social growth as they prepare for lifelong success.
- Assists in the oversight of intercollegiate athletics at the campus and conference levels to assure that they are conducted in a manner designed to protect and enhance the physical, psychological, and educational well-being of student-athletes.
- Oversees the nominations of student-athletes for NCAA grant, scholarship and recognition programs.

WHAT STANDS IN THE WAY OF A HIGHLY ENGAGED DIVISION III FAR?

- Approximately 50 percent of Division III FARs lack a clear position description with enumerated responsibilities and support. They also lack endorsement by key stakeholders (e.g. presidents and chancellors, conference commissioners, directors of athletics) which is not conducive to an engaged FAR.
- FAR compensation and/or time-release is rare in Division III with approximately 5 percent receiving release time from teaching obligations and 11 percent receiving some financial compensation. A highly engaged FAR provides value to the institution. Compensation and/or time release helps affirm that value.
- Approximately three-quarters of Division III FARs spend between one and five hours per week on their FAR duties. More than 90 percent spend 10 hours or less. Half of their time is spent on academically related issues and approximately one-quarter of their time on student-athlete well-being issues. If recommendations in this document are implemented, the amount of time FARs spend on their duties may increase.
- Approximately half of all Division III FARs have been in their position for four years or less. High turnover rates inhibit the effectiveness of FARs. There are multiple reasons including term limits, rotating appointments, and that the role of FAR may not count towards the service requirement for faculty. This lack of consistency has broad impacts at the campus, conference, and national levels in terms of engagement.
- Nearly half of FARs are not involved in the campus-level Student-Athlete Advisory Committee. There are a number of potential reasons: 1) scheduling conflicts with meetings, 2) the director of athletics being reluctant to ask FARs to be more engaged, 3) coaches taking a more prominent role in the campus-level Student-Athlete Advisory Committee, and 4) Student-Athlete Advisory Committee involvement not being a defined role for the FAR on some campuses.
- There is no consistency in the reporting lines for Division III FARs. Current reporting lines include the president or chancellor (38%), the director of athletics (22%), chair of the faculty governance body (11%), the chief academic affairs administrator (9%) and the chief student affairs administrator (8%), among others. This stands in contrast to Division I and II. where 80 percent or more of FARs report to the president or chancellor.

RECOMMENDATIONS FOR DIVISION III MEMBER INSTITUTIONS

The institution is called on to establish clearly stated expectations, best practices, potential policies and education for the FAR. It involves ensuring presidential leadership and a commitment to provide necessary support for the position (e.g., release time and a stipend), both of which are vital to the success of these efforts. Directors of athletics and conference commissioners also can help to support more FAR engagement.

Specifically, institutions are encouraged to:

1. Ensure the FAR has a detailed position description. Using already established position descriptions and the model position description advocated by FARA.
2. Ensure the FAR reports to the president/chancellor or the athletics direct report (ADR). To carry the authority necessary to fulfill the position's obligations, the FAR should report to the highest-level campus leader possible. Considering the need for the FAR's independence as an advocate for student-athletes, the FAR should report to someone other than the director of athletics, although it is important for FARs to have strong working relationships with the director of athletics.
3. Review support for the FAR position on campus and evaluate options related to course release, financial compensation, and recognition of FAR service as fulfillment of campus service requirements. Support creates an additional incentive for faculty to pursue the position, increase the length of service, and increase the level of engagement.
4. Ensure the FAR maintains a high level of visibility on campus. To increase visibility in the athletics sphere, FARs should regularly attend athletics competitions, participate in special events, and contribute regularly to athletics department meetings. To ensure recognition of the FAR role among the faculty, FARs should participate and/or present at shared governance meetings (e.g., Faculty Senate) and engage in activities that facilitate communication between the faculty, the student-athletes, and the athletics department. Student-athletes, faculty, athletics staff and campus administrators should be able to easily identify the FAR and know how to contact him or her.
5. Commit to sustained FAR involvement with the Student-Athlete Advisory Committee on campus. It is an appropriate means for FARs to be better advocates for student-athletes.
6. Consider potentially appointing two FARs at your institution. This model has the potential to increase effectiveness, address attendance issues at key meetings and events, and lengthen tenure.
7. Commit to continuing professional development by supporting and encouraging your FAR to take advantage of educational and engagement opportunities via your conference and at the national level (e.g., the annual NCAA Convention, FARA fall meetings, the Division III FAR Institute).



It's extremely beneficial to have faculty athletic representatives engaged at the institutional, conference and national level. We value their role in the Southern California Intercollegiate Athletics Conference governance structure, giving them oversight of academic matters related to student-athletes. We encourage them to promote community between athletics and academics through education and communication. Engaging our FARs with consistent communication from the conference office and regular meetings throughout the year is integral to their impact in our conference and for our student-athletes.

Jennifer Dubow, executive director,
Southern California Intercollegiate Athletics Conference



CONFERENCE RECOMMENDATIONS

FOR A HIGHLY ENGAGED DIVISION III FACULTY ATHLETICS REPRESENTATIVE

These recommendations are endorsed by the Division III Presidents Council, Management Council, the Division III FAR Engagement Working Group and the Faculty Athletics Representative Association (FARA). These groups firmly believe each Division III multisport conference should provide FARs with opportunities to formally express their thoughts and opinions with regards to conference policy and operations, especially as they impact the academic and personal well-being of student-athletes. The level and extent of the formal involvement of FARs in the activities of athletics conferences will vary. FARs should be considered for service as conference officers, have a role in the conference committee structure, and involved in conference-level decision making.

THE DIVISION III FAR...

- Helps to ensure a quality student-athlete experience and promote student-athlete well-being.
- Serves as an independent advocate for student-athletes.
- Helps promote student-athlete success in the classroom, in athletics, and in the community by striking a balance among academic excellence, athletics competition, and social growth as they prepare for lifelong success.
- Assists in the oversight of intercollegiate athletics at the campus and conference levels to assure that they are conducted in a manner designed to protect and enhance the physical, psychological, and educational well-being of student-athletes.
- Oversees the nominations of student-athletes for NCAA grant, scholarship and recognition programs.

WHAT STANDS IN THE WAY OF A HIGHLY ENGAGED DIVISION III FAR AT THE CONFERENCE LEVEL?

- An estimated two-thirds of Division III conferences identify FARs as a key constituent per the conference constitution or bylaws. All Division III conferences should identify FARs as a key constituent.
- Sixty percent (60%) of conferences identify a specific role for the FARs in the governance of the conference where they actively assist in the establishment of conference policy (e.g., propose policy, vote). Without an explicit role and expectations, it will be challenging to ensure the sustained impact of FARs at the conference level.
- In approximately 40 percent of conferences, there is a FAR Committee or Council with varying roles and responsibilities. The Committee or Council's role varies ranging from advisement of academic eligibility, integrity, and compliance issues to holding voting power on all conference matters, including representation by the chair of the Committee or Council on an executive-level conference group. Recognizing the diversity of Division III conferences, those that do want to improve engagement and the impact of their FARs should examine and delineate their roles and responsibilities.
- In 40 percent of Division III conferences, FARs hold a position at the same level or higher than directors of athletics and serve as chairpersons within the conference committee structure. To serve effectively as an independent advocate for student-athletes, all conferences should examine and consider the position FARs currently hold in conference affairs and strive for a proper balance.

RECOMMENDATIONS FOR DIVISION III MULTISPORT CONFERENCES

The conference is called on to establish clearly stated expectations, best practices, potential policies and education for the FAR. This involves ensuring the conference commissioner and conference executive committee leadership are committed to providing necessary support for the FAR's role in the conference, both of which are vital to the success of these efforts. Directors of athletics and presidents and chancellors can help to support more FAR engagement at the conference level.

1. Codify in the conference bylaws the FAR's role in the conference governance structure.
2. Develop a model of information-sharing for conference FARs.
3. Develop a model to establish regular opportunities for conference FARs to meet whether in-person or remotely.
4. Develop expectations related to the role the conference office should play in facilitating FAR engagement in conference affairs.
5. Ensure funds from the Strategic Initiative Conference Grant program are effectively being utilized for the professional development of FARs within the conference.



Our conference has a long history of FAR involvement. That group is a vital part of the governance structure of the American Rivers Conference. The faculty often has a different view of how a piece of legislation will affect a student-athlete from the academic side. As such, their vote is a formal one that is passed on to our Presidents Council to provide a multi-level view of the legislation. The FARs also can be called upon, through an Executive Committee, to help the commissioner rule on issues.

Chuck Yrigoyen, commissioner, American Rivers Conference



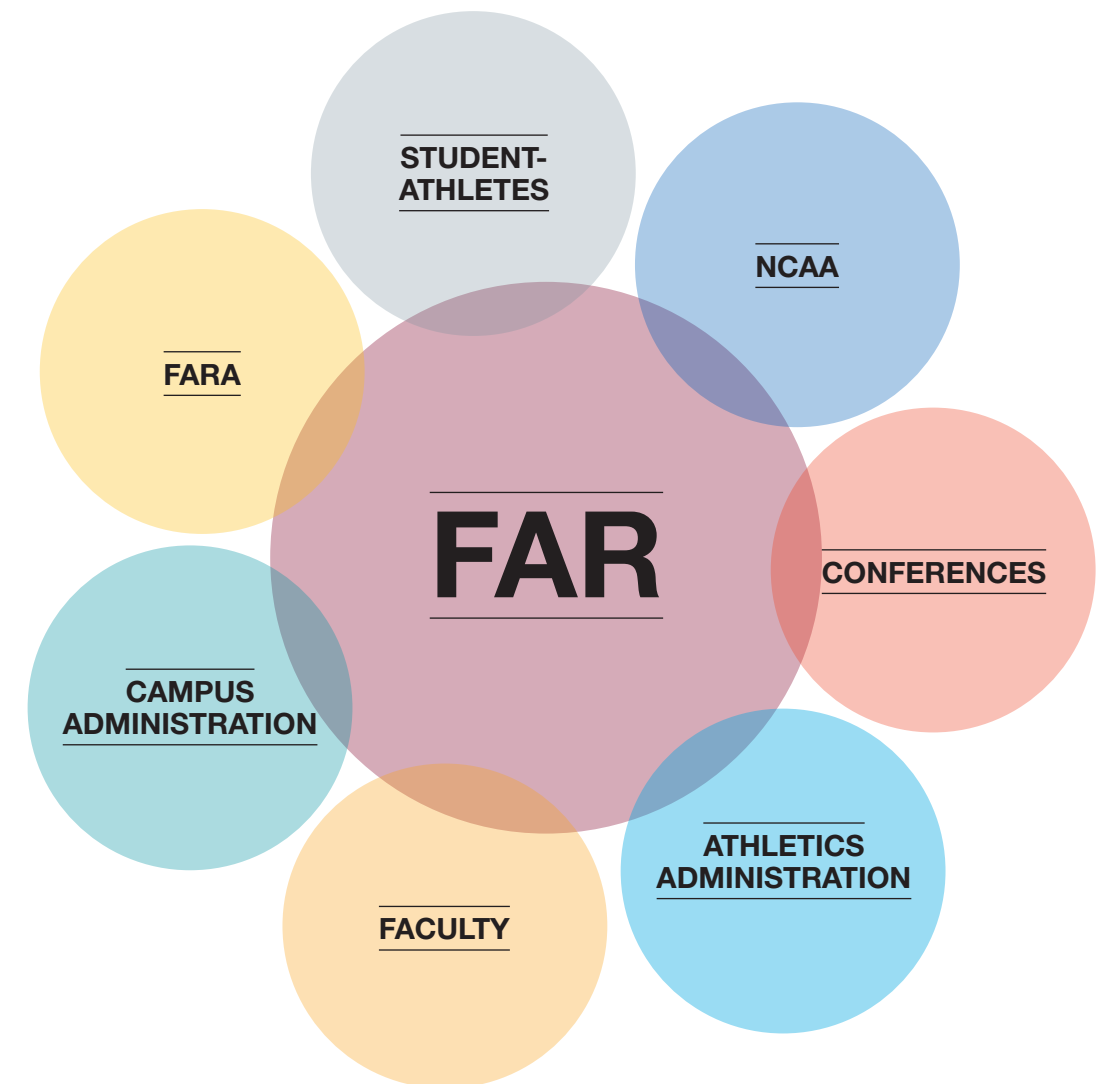


A highly engaged FAR is critical for many reasons with the primary goal to support our student-athletes. The FAR's input is invaluable and comes in many forms ranging from academic support to providing crucial insight for administrators regarding student-athlete issues and concerns. Additionally, FARs provide input from an academic perspective when helping to shape policy and voting at the conference and NCAA levels. FARs help our student-athletes be successful academically, on the fields of play, and ensure their overall well-being.

Scott Kilgallon, director of athletics at Webster University



MODEL FOR A HIGHLY ENGAGED DIVISION III FAR



CONNECTION TO THE CAMPUS ADMINISTRATION

The FAR, as Senior Faculty Advisor, provides advice to the president/chancellor and/or athletics direct report (ADR) that reflects the traditional values of the faculty and is rooted in the academic ethic of the institution.

- To be an effective advisor, the FAR must be knowledgeable regarding the athletics program and must devote the time and attention required to attain this familiarity.
- To ensure the FARs relationship with athletics and ability to be an effective advisor, the FAR needs to carry authority from the president/
- chancellor and/or athletics direct report, and this authority should be recognized on all campus venues.
- The FAR must have access to the president/ chancellor and/or athletics direct report on a regular basis.

STRUCTURE OF THE POSITION

Above all, together with the president/chancellor, ADR and athletics director, two key pieces must be in place. First, a comprehensive plan for the institutional control of intercollegiate athletics and second, appropriate and explicit assignments of both responsibility and authority must be ensured.

Specifically, campuses are encouraged to:

1. Develop a written position description, which accurately and fully describes the duties and responsibilities. Obtain approval of the position description from the president/chancellor, ADR and faculty governance structure.
2. Deans, department chairs and other institutional administrators must acknowledge that the FAR's activities described in the position description require a significant commitment of time and energy. As a result, campuses should:
 - » Strongly consider release time from teaching responsibilities (with compensation to the affected academic unit, as appropriate).
 - » Strongly consider service-time recognition.
3. There should be no predetermined limit to the length of time that FARs may serve.
4. Strongly consider summer support, including the possibility of a fiscal-year contract.
5. Identify the resources needed to successfully meet the responsibilities of the FAR and arrange for these resources to be made available.
6. Maintain a travel budget sufficient to support professional development opportunities such as the FARA meetings, the annual NCAA Convention and any additional or special NCAA meetings. FARs also should receive travel support to attend athletics conference meetings.
7. Consider the appointment of two FARs per institution. This model has the potential to increase effectiveness, address attendance issues at key meetings and events and lengthen tenure.

WAYS FOR THE FAR TO ENGAGE WITH THE CAMPUS ADMINISTRATION

- The FAR should ensure, either directly or indirectly, that student-athletes meet all NCAA, conference and institutional eligibility requirements for practice and intercollegiate competition. Eligibility checks should be periodically reviewed and audited by the FAR. Academic eligibility certifications should be performed by persons outside of the department of athletics.
- The FAR should be a senior advisor outside of the athletics department to the president/ chancellor and/or ADR on matters related to intercollegiate athletics. Together, with the AD, the FAR should formulate and recommend institutional positions on NCAA legislation and other matters affecting, or related to, intercollegiate athletics on the campus.
- The FAR should develop, or arrange to have developed, periodic statistical reports on the academic preparation and performance of student-athletes for each sports team. This information should be provided to the president/chancellor and/or ADR. The FAR should be knowledgeable about the academic preparation and performance of each sports team and should use such reports to uphold high academic standards and expectations for these team members.
- The FAR must have solid working relationships with the AD, the athletics compliance coordinator, the director of admissions, the registrar and director of student financial aid.
- The FAR should provide periodic reports related to matters of academic integrity, academic preparation and performance of student-athletes, rules compliance or violations, and other matters related to the intercollegiate athletics program. To this end, FARs should have appropriate independent access to the systems to generate these reports.





CONNECTION TO THE ATHLETICS DEPARTMENT

The working relationship between the FAR and the AD is very important. Regular interaction between them is necessary, and wide-ranging discussion of all aspects of the athletics program is encouraged. This will help the FAR to develop the knowledge base needed to make effective contributions to local athletics administration and will be useful to the AD in influencing the academic and personal well-being of the student-athletes. It's important to note that this is a working relationship, not a reporting line.

WAYS FOR THE FAR TO ENGAGE WITH THE ATHLETICS ADMINISTRATION

- Work in concert with the AD and the compliance officer to ensure a comprehensive and effective rules education and compliance program on the campus.
- Participate in annual NCAA rules education, athletics staff meetings, and initial team meetings.
- Serve as a member of search committees for head coaches and athletics administrators to ensure commitment to the educational mission of the institution and the guiding principles of Division III.
- Review travel and competition schedules to minimize missed class time.
- Work with coaches and student-athletes to minimize conflicts between practice and class schedules, and advocate for a climate of respect between coaches, faculty, and student-athletes.
- Conduct periodic reviews of the mechanisms used to monitor the hourly and weekly limitations on athletically related activities.
- Oversees the nominations of student-athletes for NCAA grant, scholarship and recognition programs.
- Have access to complete budgetary information about the athletics department.
- Attend sporting events when possible.
- Perform or review exit interviews to discern the success of the student-athlete experience.
- Become involved with the institution's Institutional Self-Study Guide (ISSG) and other compliance tools, including being broadly knowledgeable about the institution-wide implementation of athletics procedures and the institution's commitment to compliance.

CONNECTION TO THE FACULTY

An efficient link between the FAR and the faculty senate is useful in assuring the faculty of accurate and timely information regarding the athletics program. Whether appointed by the president/chancellor or elected directly by the faculty, the FAR commonly serves as a conduit of information to and from the faculty and the athletics program. It is common for the FAR to report periodically to the faculty senate regarding the operation of the athletics program.

WAYS FOR THE FAR TO ENGAGE WITH FACULTY

- Report regularly to the faculty senate (or appropriate faculty governance body) on athletics points of interest or information, including Academic Success Rate, academic awards, and participation by student-athletes in research/honors projects.
- Highlight the academic successes of student-athletes.
- Consider a team-based faculty mentor program to foster a better faculty understanding of the intercollegiate athletics program.

CONNECTION TO THE NCAA

The FAR should represent the institution as a delegate to the annual NCAA Convention and attend any special meetings of the NCAA. The FAR may be designated as the voting delegate in the absence of the President/Chancellor. He or she should be involved in discussions with the president/chancellor and the AD in which the institution's voting position on NCAA legislation is established.

WAYS FOR THE FAR TO ENGAGE WITH THE NCAA

- Attend national and regional meetings and conferences that provide professional development opportunities for rules education (e.g., NCAA Regional Rules Seminar, FARA Annual Meeting and Symposium, NCAA Convention, conference compliance meetings).
- Charge the FAR with the nominations of student-athletes for NCAA grant, scholarship and recognition programs.
- Nominate FARs for service on NCAA committees where appropriate.

CONNECTION TO STUDENT-ATHLETES

As members of the faculty, it is appropriate that FARs be involved in the monitoring and maintenance of the personal welfare of the student-athletes. Many of the FARs’ activities directly influence the personal well-being of student-athletes. This aspect of their activities should be recognized by all campus constituencies and the FAR should offer themselves as independent sources of support and advice to student-athletes.

For example, they should know that missed-class time policies are being honored and that graduations or cancellations of financial aid are made for appropriate reasons. They should know when student-athletes encounter difficulties with class scheduling and should be of assistance when the student-athlete has occasion to be involved in waiver or appeals procedures at the institution. FARs also should be alert to conditions that affect the health of student-athletes, being ready to aid in referral to university resources that provide advice and counsel on all types of physical and psychological problems. FARs should strive to be seen by the student-athletes as independent advocates for their well-being. Stressing that independence should be a cornerstone of FARs’ interactions with student-athletes.

The Importance of the Student-Athlete Advisory Committee (SAAC): SAACs, mandated by the NCAA for every member institution, play a significant role in promoting the academic, health, social and athletic welfare of student-athletes. FARs should be actively involved with institutional SAACs. They should regularly attend committee meetings and consult with committee officers. Such direct and personal exchanges are useful in obtaining current first-hand assessments of student attitudes and experiences and reinforce the understanding that the FAR is first and foremost a faculty member interested in the welfare of athletes as students. FARs should facilitate the inclusion of student-athlete participation on institutional athletics boards and committees.

WAYS TO ENGAGE WITH STUDENT-ATHLETES

- The FAR should have direct contact with student-athletes on a systematic and periodic basis. He or she should participate in new student-athlete orientation activities and should interact frequently with the SAAC. Student-athletes should recognize the FAR as a source of information, support and counseling, which is located administratively outside of the athletics department.
- The FAR must be visible to the student-athletes. They should participate in orientation activities at the beginning of the year and exit-interview activities at the end of the year.
- The FAR must work to maintain a balance between student-athletes’ academic and athletic goals. Considerations include scheduling to minimize missed class time, monitoring student-athletes’ choice of major to ensure that athletics participation is not limiting student-athletes’ choice of major, and encouraging student-athletes to graduate in a reasonable amount of time.
- At the beginning of each academic year, the FAR should address student-athletes as a group, or in individual team meetings, to emphasize the primacy of the academic mission of the institution and the responsibilities of student-athletes within that setting.
 - » The FAR should ensure that testing, counseling, evaluation and other career-planning services are made available to student-athletes.
 - » The FAR should meet with each team and with the SAAC to explain the role of the FAR as it relates to the academic success of student-athletes. Meeting topics could include eligibility, good academic standing, progress-towards-degree, ethical and behavioral conduct, classroom responsibility, course scheduling, and communication with professors.

WAYS TO ENGAGE WITH STUDENT-ATHLETES CONTINUED

- The FAR should serve as a liaison between faculty, administration and student-athletes and assist in the mediation of conflicts between these groups.
- The FAR should inform student-athletes ...
 - » Of campus support services, including academic tutoring, advising and career development, counseling and health care, disability, and financial aid.
 - » About available scholarship opportunities and application processes and work directly with student-athletes throughout the application process.
- The FAR should encourage student-athletes ...
 - » To participate in community service projects (reaching out to help those in the community).
 - » To participate in community engagement projects (building lasting relationships with the community).
 - » To represent the institution at social, civic and academic events.
 - » To be good citizens, leaders and contributors in their community.
- The FAR should be available for post-graduation advisement and collaboration with career services.
- The FAR should encourage student-athlete participation in leadership academies and/or other professional development opportunities at the campus, conference and national level.



CONNECTION TO THE CONFERENCE

Each Division III multisport conference should provide FARs with opportunities to formally express their thoughts and opinions with regard to conference policy and operations, especially as they impact the academic and personal well-being of student-athletes. The level and extent of the formal involvement of FARs in the activities of athletics conferences vary. FARs may serve as conference officers, have a role in the conference committee structure and cast the votes by which conference business is conducted.

WAYS FOR FARs TO ENGAGE AT THE CONFERENCE LEVEL

- The FAR should be knowledgeable about conference rules related to academic eligibility, transfer requirements and restrictions, and enforcement procedures.
- FARs should be engaged in conference-level discussions that promote better understanding of NCAA regulations and how they affect conference members.
- FARs should be engaged in conference-level discussions regarding NCAA violations that involve conference members and discuss how best to maintain high ethical standards of conduct among conference members.
- FARs should be engaged in conference-level discussions related to conference schedules of contests and help to assess their impact on the academic welfare of student-athletes. Special attention should be paid to final examination schedules of conference institutions.
- FARs should be engaged in conference-level decisions related to waivers of conference eligibility requirements, especially academic requirements.
- FARs should be engaged in discussions that determine the voting position of the conference at NCAA Conventions.
- FARs should be involved in determining recipients of conference academic honors.

CONNECTION TO THE FACULTY ATHLETICS REPRESENTATIVES ASSOCIATION (FARA)

Connection to The Faculty Athletics Representatives Association (FARA) had its genesis in the mid-1980s when a group of FARs initiated a series of forums. The purpose of these forums, which were held in conjunction with the annual NCAA Convention, was to provide for discussion of issues that were of concern to the NCAA membership.

The first of these meetings was held in Nashville in 1985, with other forums following on an annual basis through 1988. At the special NCAA Convention held in Dallas in June 1987, a FAR task force was established to facilitate contributions by FARs to the reform agenda of the newly formed NCAA Presidents Commission. In November 1987, the task force created the FAR Academic Review Committee to assess the academic implications of legislation to be voted on at the subsequent NCAA Convention. The committee, which included representation from NCAA Divisions I, II and III, produced the first of what has become a continuing series of printed reports that are distributed to the NCAA membership to promote an understanding of the academic impact of proposed legislation.

The work of the task force reached its culmination in 1989 in the ratification of the bylaws of a new national organization for faculty athletics representatives. This organization, FARA, was designed to promote greater cohesion among faculty athletics representatives and to enhance their usefulness within the NCAA and at their respective institutions.

Organization of FARA: The membership of FARA includes all persons who hold appointments at their institutions as FARs. The work of FARA is facilitated by standing committees, including the nominating committee and legislative review committees for each division. The legislative review committee reviews issues affecting the welfare of the student-athlete, in addition to academic implications of proposed legislation. Other committees of FARA are formed on an ad hoc basis.

Purpose of FARA: Under the auspices of the NCAA and in concert with the Executive Committee, FARA’s purpose is to enhance the FARs effectiveness in pursuing these important goals. With support from the NCAA staff, FARA provides a collective voice for FARs on collegiate athletics. FARA is an active participant in the national dialogue on the importance of academic values in the conduct of athletics programs and is frequently solicited by various NCAA committees and constituent organizations for a faculty perspective on a variety of topics.

FARA Programs and Activities: To enhance the utility and effectiveness of FARs on campuses and with the NCAA, FARA sponsors a variety of programs and activities. Each fall, the legislative review committees conduct a review of proposed legislation to be voted on at the subsequent NCAA Convention, pertaining to academic standards or that which may otherwise impact the student-athlete, and circulates their written reports to the membership. FARA also conducts an annual meeting, as well as a meeting in conjunction with the NCAA Convention. The agenda of each meeting fosters the professional development of FARs through discussion of topics pertinent to their campus responsibilities. Training and orientation sessions for newly appointed FARs are available at both meetings. This aspect of FARA activities is particularly important given the substantial turnover in FARs.

WAYS FOR FARs TO ENGAGE WITH FARA

- FARs should be encouraged to attend the FARA Annual Meeting and provided with adequate academic release and financial support to enable attendance.
- When appropriate, institutions should encourage FARs to stand for election to the FARA Executive Committee or serve on the Legislative Review Committee.
- Conferences should designate a Conference Liaison to help facilitate communication between the FARs and the FARA Executive Committee.

NOTES





NCAA is a trademark of the National Collegiate Athletic Association.

September 2018



**REPORT OF THE
NCAA DIVISION III LGBTQ WORKING GROUP
AUGUST 21, 2018, TELECONFERENCE**

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

- 1. Welcome and roster.** The chair, Neil Virtue, welcomed the group, and staff conducted a roll call. Virtue informed the working group that Crystal Lanning, director of athletics at the University of Wisconsin – River Falls, and Kathleen Murray, president of Whitman College recently replaced former members Malcolm Huggins and Margaret Drugovich, respectively. Virtue welcomed Lanning and Murray to their first teleconference.
- 2. Report of June 8, 2018, teleconference report.** The working group reviewed and approved the teleconference report.
- 3. Mission statement.** The working group reviewed its mission statement. The mission statement is a living document and henceforth may be modified at any time.
- 4. Budget requests.** Staff provided an update on the working group's two budgets requests noting that the Division III Management and Presidents Councils approved both requests: (1) \$100,000 annually for new LGBTQ initiatives, including programming and a recognition event; and (2) A \$100,000 one-time allocation for the LGBTQ identity kit. Staff noted that the actual cost to provide and distribute the LGBTQ identity kits is between \$65,000 and \$70,000.
- 5. Nondiscrimination policy guide.** Staff reviewed the final version of the Division III LGBTQ Nondiscrimination Policy Guide. As part of the review, staff thanked members of the policy guide subgroup for their additional service in developing the resource. The guide was reviewed by the Division III Presidents Council, Management Council and Student-Athlete Advisory Committee, as well as national office staff to ensure accuracy of content and context. The guide will be published online the week of August 20, and one hard copy of the guide will be mailed to every Division III institution and conference before the end of August. The guide also will be distributed at the 2019 NCAA Convention.
- 6. LGBTQ identity kit.** Staff provided an update on the LGBTQ identity kit, including a review of an informational letter to be included in the kit and the Division III ONETEAM logo. One promotion kit containing a banner, 12 posters and 50 stickers adorning the ONETEAM logo will be mailed to every Division III institution and conference. The kit will be mailed approximately one week after the policy guide.
- 7. Communication plan.** Staff reviewed a comprehensive communication plan for the 2018-19 academic year based on the working group's identified communication priorities. The plan includes communication on Division III-specific platforms (e.g., Monthly Update),

association-wide platforms (e.g., NCAA Champion magazine article) and national office platforms (e.g., NCAA Daily) to ensure a broad and overlapping communication effort. Staff noted the communication plan is nimble and will likely be adjusted throughout the academic year to maximize messaging and timing.

- 8. Other working group initiatives.** The working group turned its attention to its fourth priority initiative: Division III LGBTQ programming. The delegates at the 2018 NCAA Convention indicated that facilitator training (e.g., train-the-trainer) for coaches would most assist their LGBTQ inclusion efforts. The working group noted that head coaches would be an optimal audience for the programming because of their central positioning with and influence on student-athletes, peer coaches and athletics administrators.

The working group suggested the training for head coaches should have three learning objectives:

- To understand the involvement of the NCAA (and its member institutions and conferences) in LGBTQ issues on the national, regional and local levels;
- To learn common LGBTQ terms and definitions; and
- To develop best practices for creating safe spaces in athletics departments and on teams.

The working group recommended that the training be facilitated once per budget cycle, but twice an academic year to have the greatest impact. Proposed dates may include June 2019 for the 2018-19 cycle and December 2019 in the 2019-20 budget cycle. The June training will be available for half of the Division III conferences, 22 in total, to two head coaches of fall or winter sports per conference (44 total). The December training will be available for the remaining conferences for two head coaches of winter or spring sports per conference (44 total).

This proposal would allow all conferences to send two head coaches to receive the training within an 18-month period. The working group noted an expectation that all participating head coaches will be expected to present information at their next conference coaches meeting as part of the train-the-trainer concept.

As the working group continues to consider elements of the programming the following questions remain to be answered during its October and November teleconferences:

- Should the working group use existing training program formats, such as the Safe Zone Project, LGBT SportSafe, Game Day the DIII Way, the Division III FAR Fellows Institute or the Division III Athletics Direct Report Institute as models for this program?

- How long should the program be (e.g., half-day; full day; multi-day)?
- How does the working group ensure a diverse representation of participants?
- Should working group members assist and participate in conference-level presentations/trainings?
- Should there be a standardized application process for head coaches to participate in the training?

9. Future teleconferences. Staff will send a Doodle request to determine availability for the next two teleconferences (e.g., mid-to-late October and mid-November).

Staff Liaisons: Jean Merrill, Office of Inclusion

Louise McCleary, Division III Governance

NCAA Division III LGBTQ Working Group June 08, 2018, Teleconference	
Attendees:	
Mikayla Costello, Willamette University.	
R. Brit Katz, Millsaps College.	
Kyrstin Krist, Methodist University.	
Crystal Lanning, University of Wisconsin – River Falls.	
Kathleen Murray, Whitman College.	
Julie Shaw, Women’s Sports Foundation.	
Michael Vienna, Emory University.	
Neil Virtue, Mills College.	
Absentees:	
Christopher Kimball, California Lutheran University.	
Donna Ledwin, Allegheny Mountain Collegiate Conference.	
Emet Marwell, Mount Holyoke College.	
NCAA Staff in Attendance:	
Louise McCleary, Jean Merrill.	

2018 Division III Graduation Rates Report

October 2018

Background

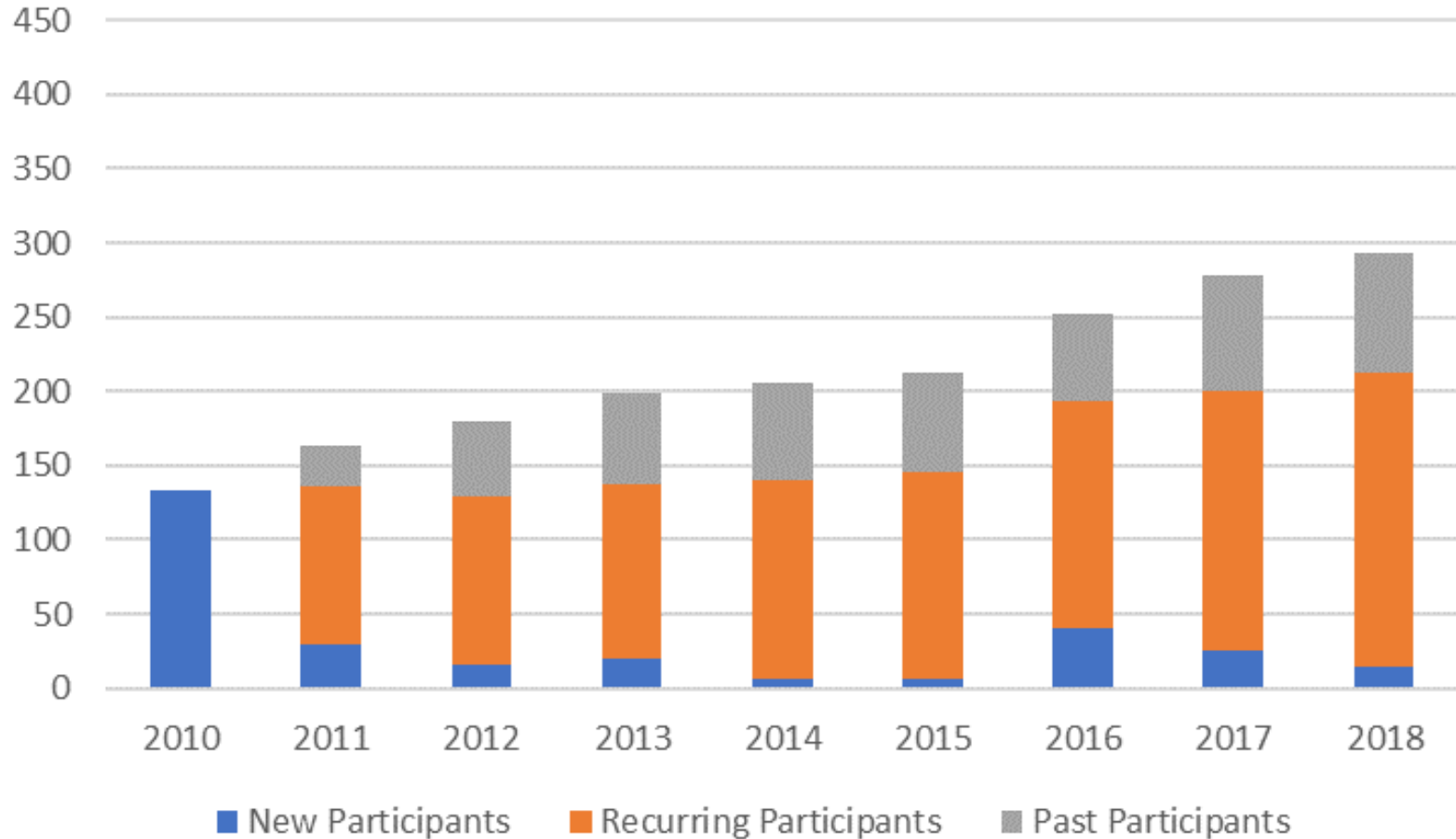
- ▶ 2018 is the 9th year of the voluntary collection of student-athlete graduation rates for Division III schools.
- ▶ Academic success defined as graduation.
 - ▶ Central goal of higher education and NCAA membership.
 - ▶ Allows for the comparison of student-athletes and the student-body.



The Rates - Federal & ASR

Student Groups	Federal Numerator	Federal Denominator	ASR Numerator	ASR Denominator
Freshmen (Fall)		✓		✓
Freshmen (Mid-Year)				✓
Incoming Transfers (2-Year and 4-Year)				✓
Those who left in good academic standing				✓
Allowable Exclusions		✓		✓
Graduates (Fall Freshmen)	✓		✓	
Graduates (Mid-Years/Transfers)			✓	

Number of Institutions Participating

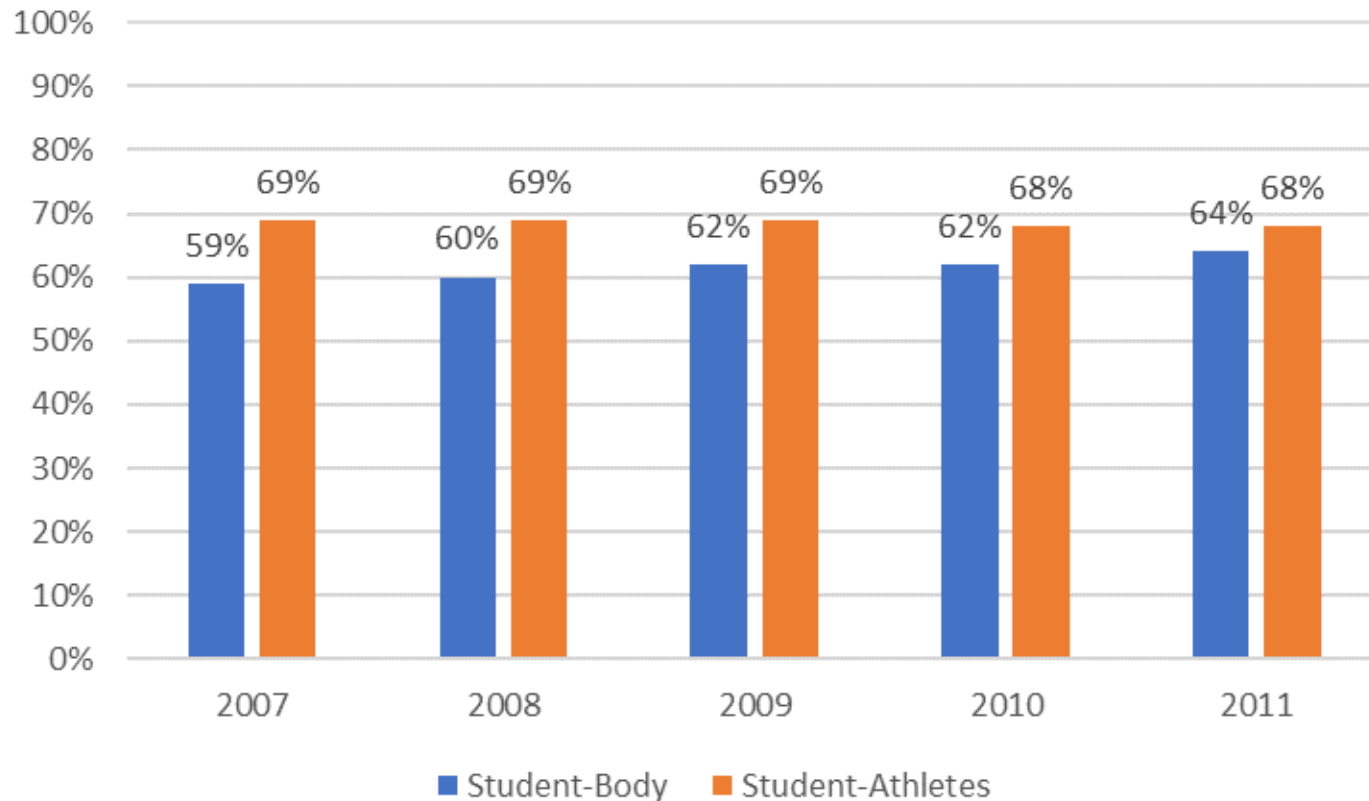


FINDING:

Student-athletes graduate at a higher rate than the student-body.



Federal Graduation Rates for Division III Student-Body and Student-Athletes

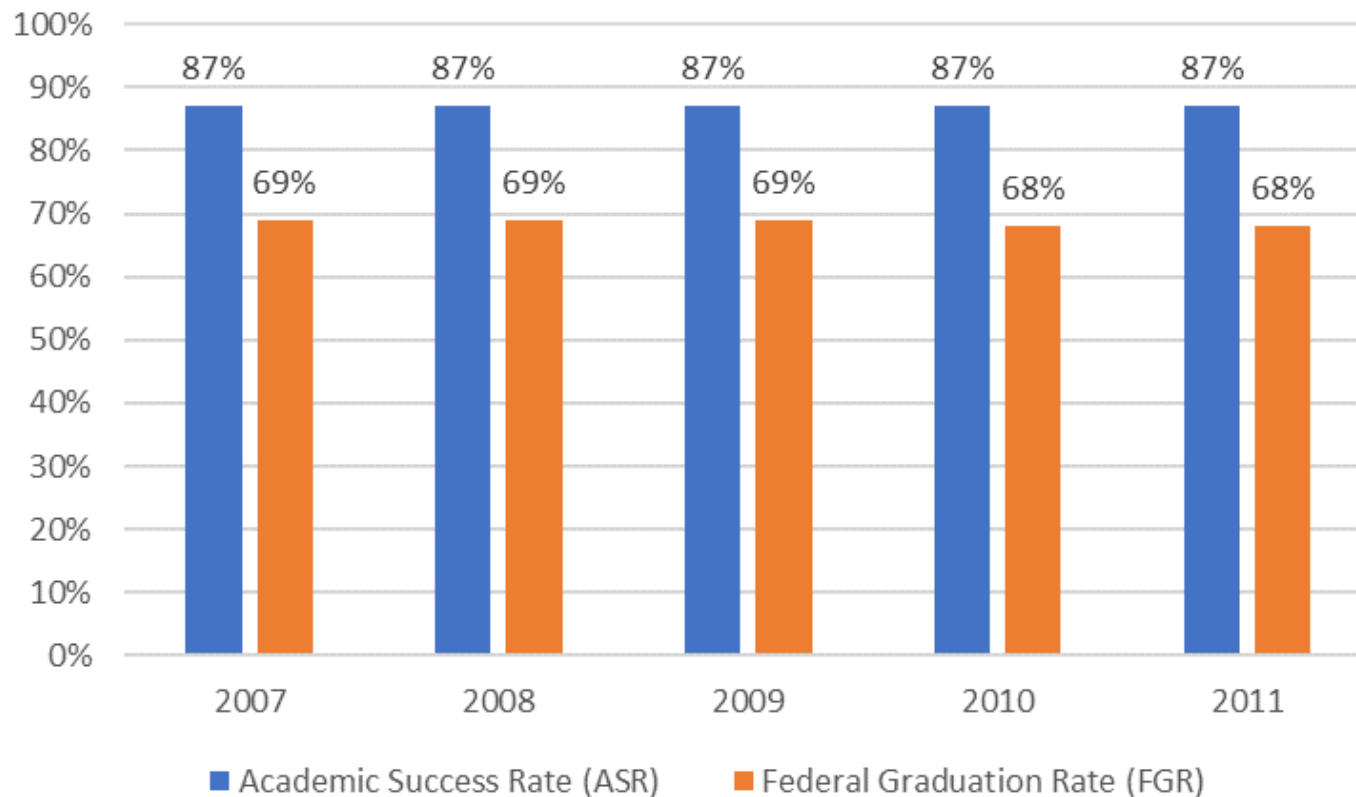


FINDING:

Nearly 90% of student-athletes graduate or leave in good academic standing.



Academic Success Rates and Federal Graduation Rates for Division III Student-Athletes



FINDING:

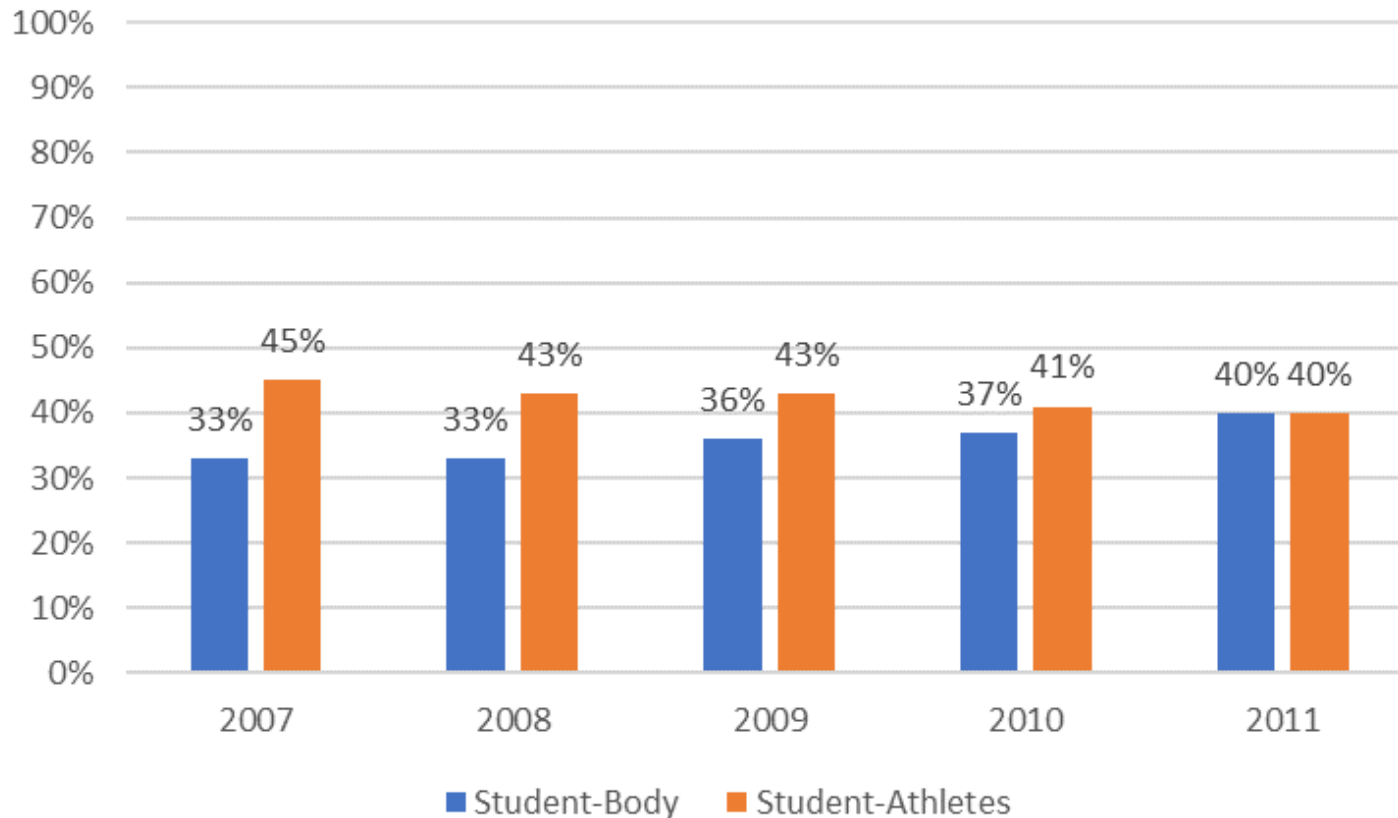
Male African-American students and student-athletes graduate at a lower rate than any other race/ethnicity group.



Federal Graduation Rate by Race/Ethnicity for Division III Men (2011)

Sport	Student-Body	Student-Athletes
American Indian / Alaska Native	47	56
Asian	61	71
Black	40	40
Hispanic	51	53
Native Hawaiian / Pacific Islander	55	42
Non-Resident Alien	63	63
Two or More	60	58
Unknown	56	55
White	62	66

Federal Graduation Rates for Division III Male African-American Student-Body and Student-Athletes



CONTEXT:

African-American men are overrepresented in football and basketball.



Division III

African-American Representation

Cohort	Male Student-Body (%)	Male Student-Athlete (%)	Football (%)	Men's Basketball (%)
2007	7.0	7.4	12.2	25.0
2008	6.4	8.0	13.2	23.9
2009	6.9	10.0	17.6	26.5
2010	6.9	10.2	17.6	26.8
2011	7.3	11.1	20.2	26.6

FINDING:

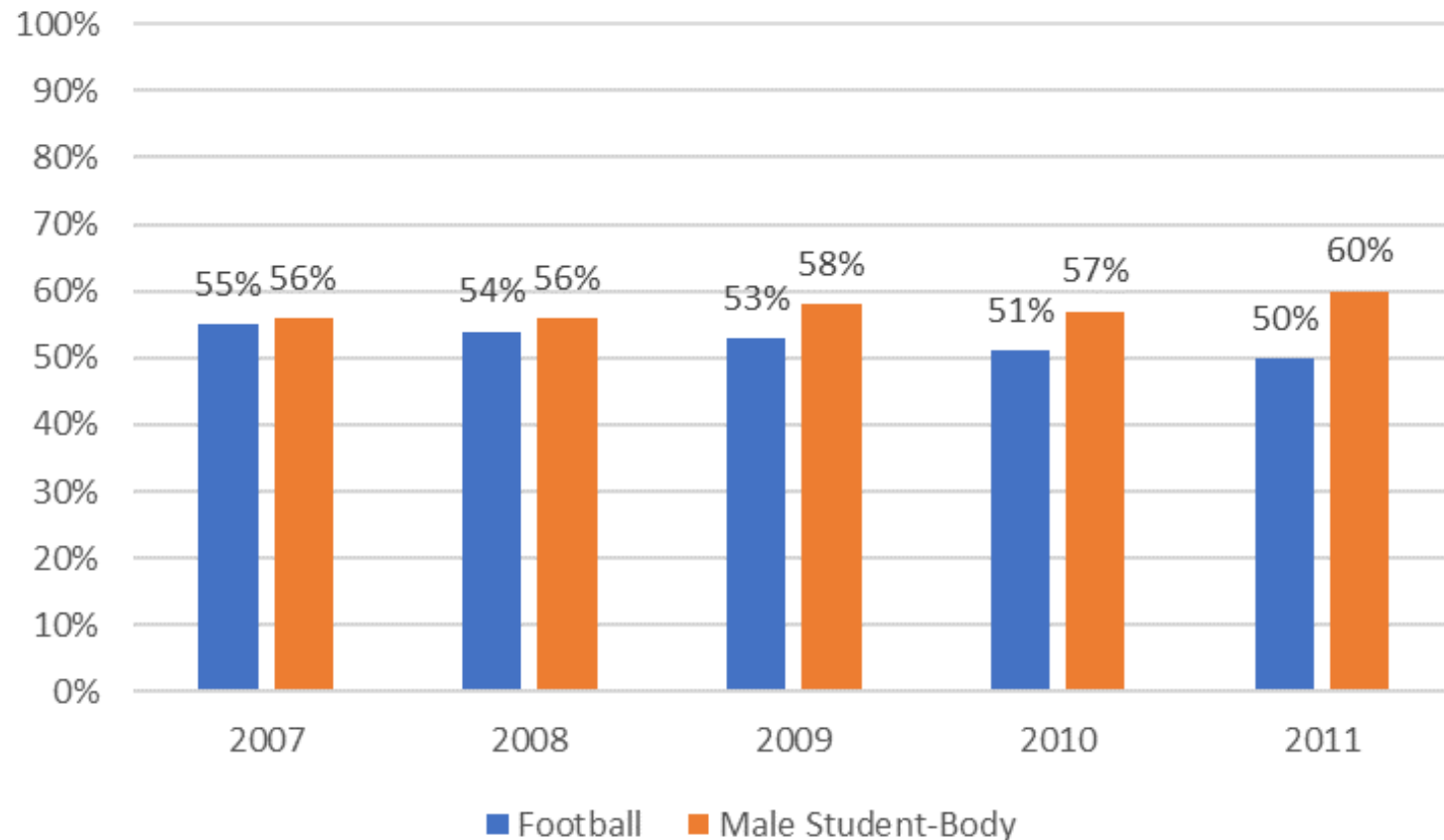
Half of football student-athletes do not graduate from the school they started at.



Division III Federal Graduation Rates by Team Sport (2011)

Sport	FGR
Field Hockey	80
Women's Lacrosse	79
Women's Soccer	75
Softball	75
Women's Volleyball	73
Women's Basketball	71
Men's Lacrosse	66
Baseball	66
Men's Soccer	64
Men's Basketball	58
Football	50

Division III Federal Graduation Rates for Football and Male Student-Body



FINDING:

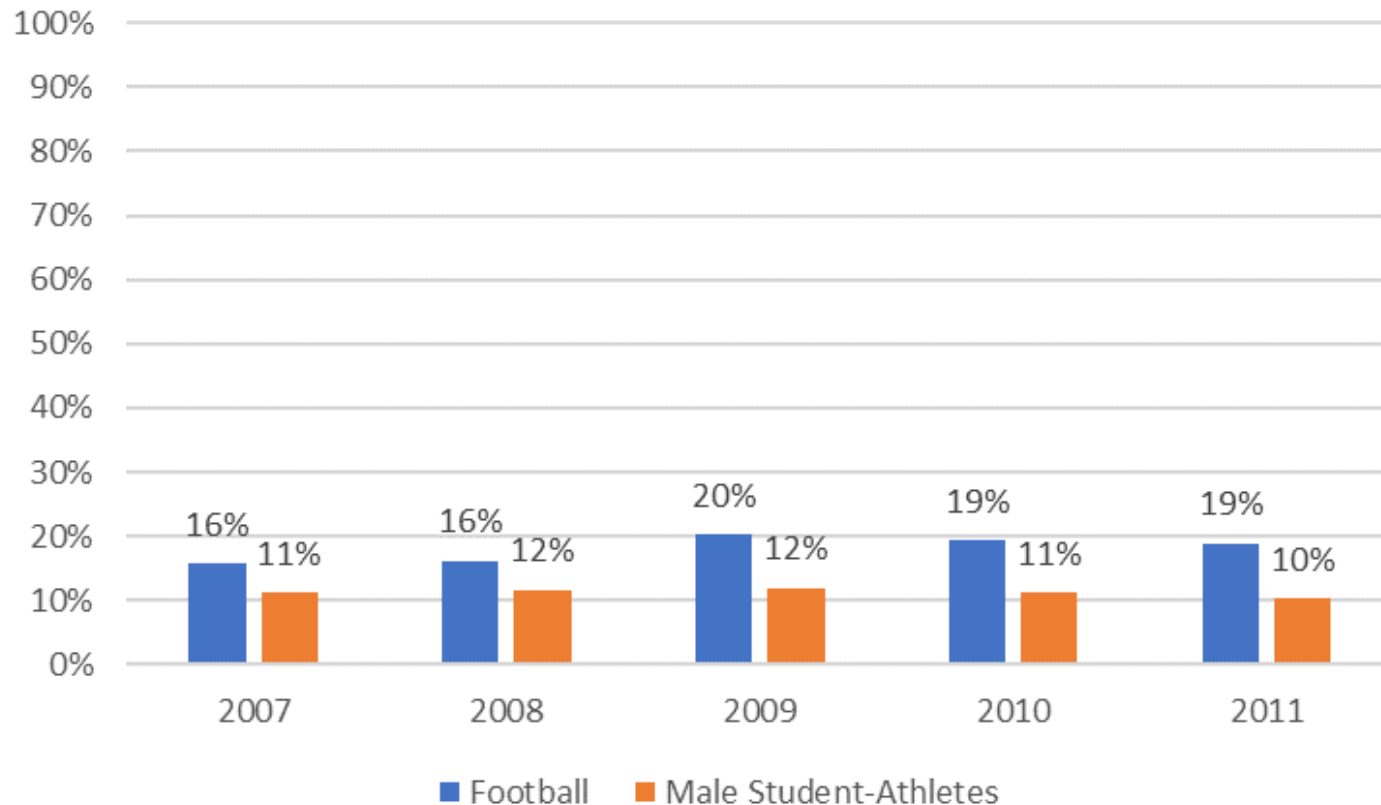
Nearly one out of five football student-athletes leave in bad academic standing.

Division III Percent Left in Bad Academic Standing by Team Sport (2011)

Sport	Percent
Field Hockey	5
Softball	5
Women's Lacrosse	5
Women's Volleyball	6
Women's Soccer	6
Women's Basketball	7
Baseball	10
Men's Lacrosse	10
Men's Soccer	13
Men's Basketball	14
Football	19



Division III Percent Left in Bad Academic Standing Football and Male Student-Athletes

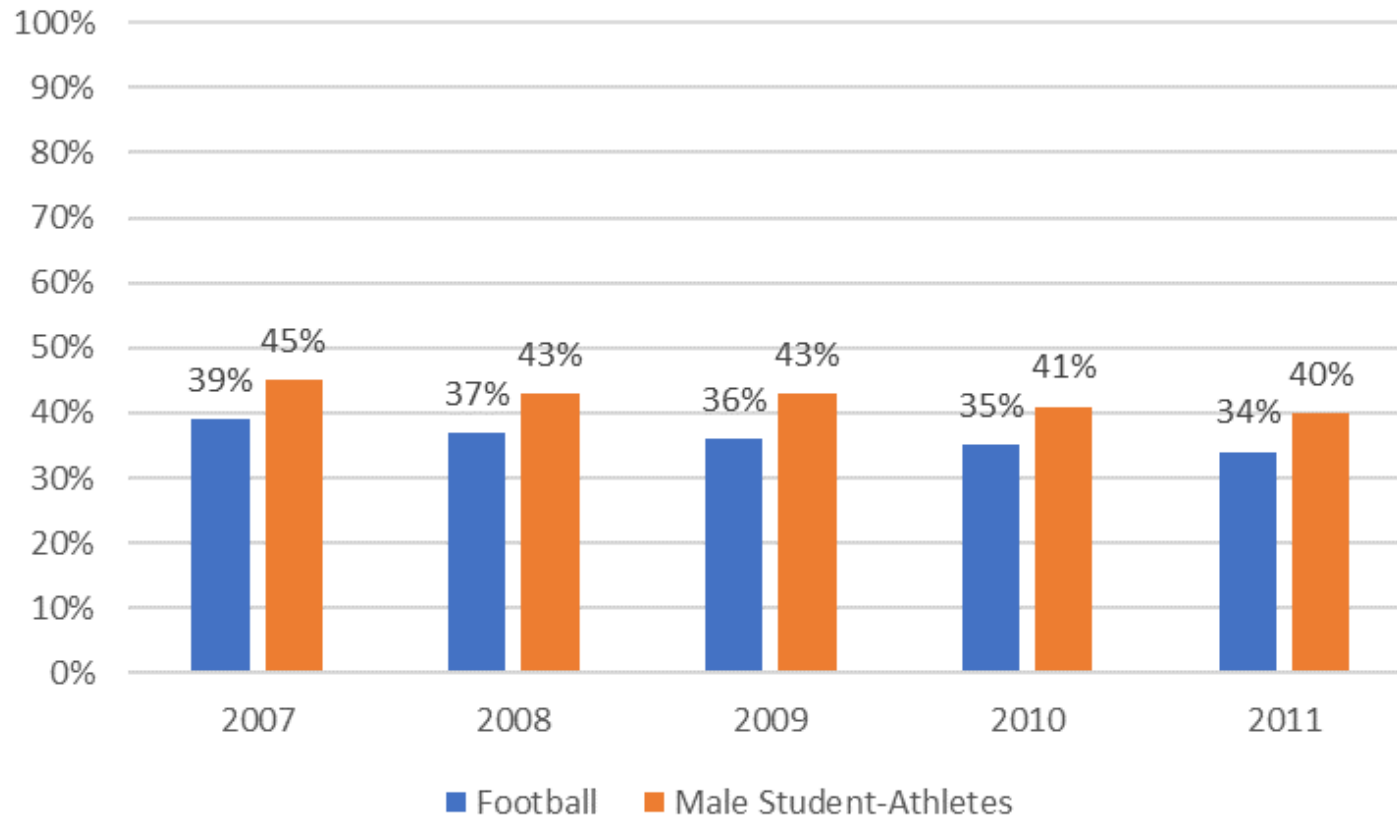


FINDING:

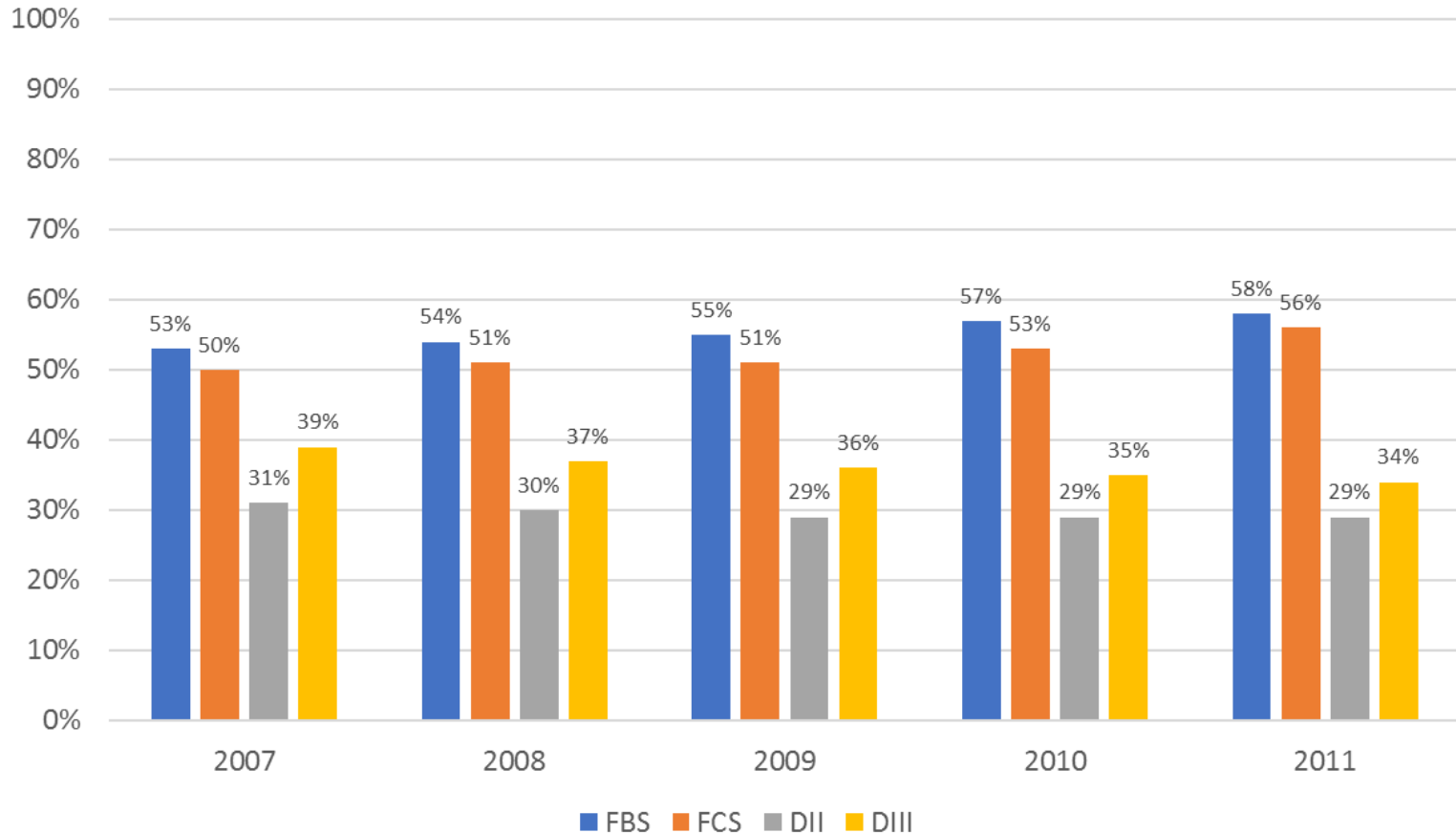
Approximately two-thirds of African-American football student-athletes do not graduate from the school they started at.



Division III Federal Graduation Rates for African-American Football and Male Student-Athletes



Federal Graduation Rates for African-American Football Student-Athletes

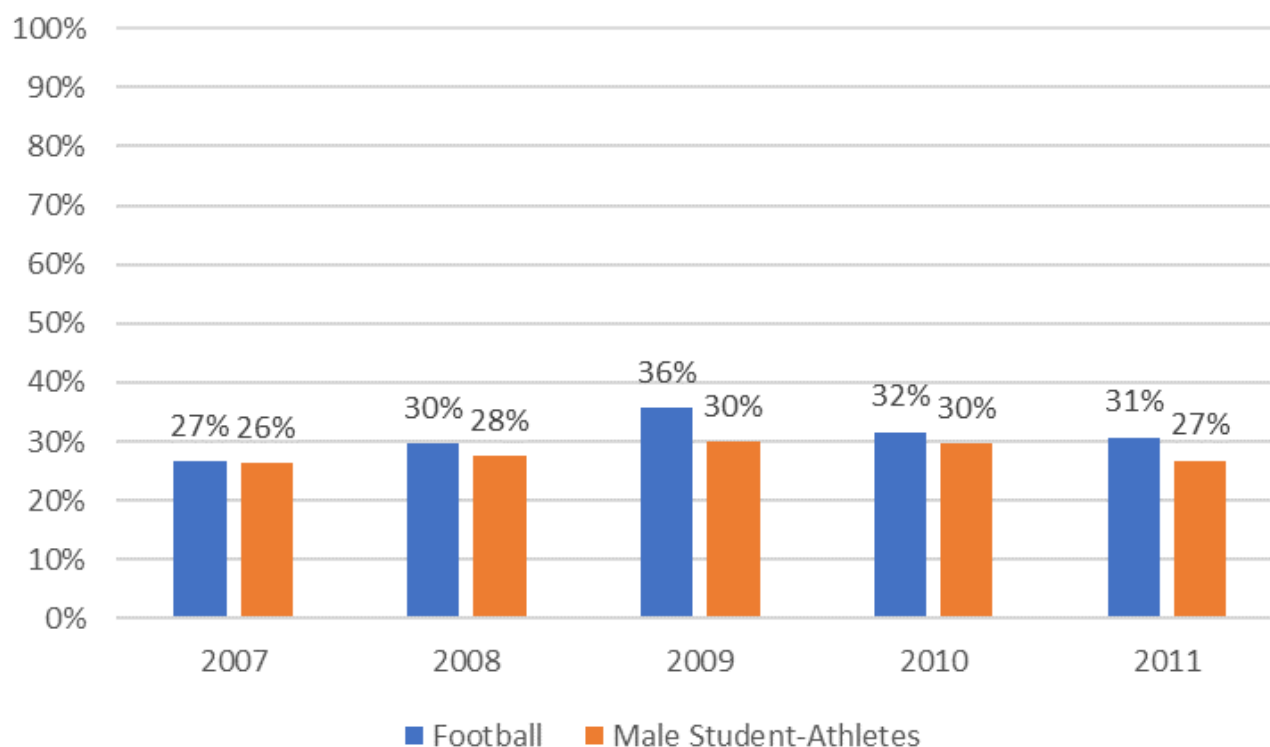


FINDING:

Nearly one out of three African-American football student-athletes leave in bad academic standing.



Division III Percent Left in Bad Academic Standing African-American Football and Male Student-Athletes



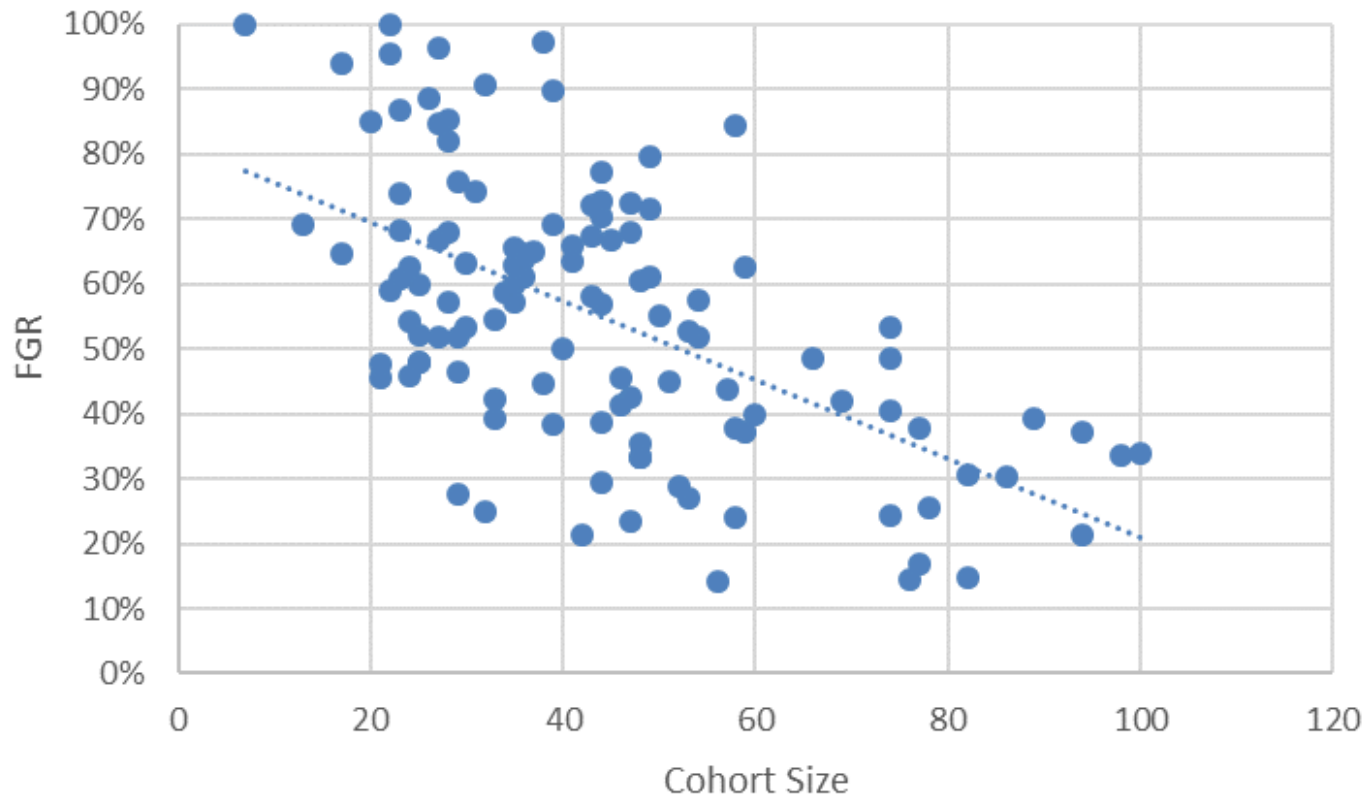
POTENTIAL FACTOR:

The larger the football cohort, the lower the Federal Graduation Rate.

Football Cohort Sizes

Cohort	Teams	Average Cohort	Minimum Cohort	Maximum Cohort	75 th Percentile
2007	75	37	10	70	48
2008	76	40	14	102	51
2009	103	42	10	110	52
2010	107	44	14	109	56
2011	116	44	7	100	53

Relationship Between Cohort Size and FGR (2011)



Division III Recap

- ▶ Student-athletes are graduating at a higher rate than the student-body.
- ▶ Nearly 90% of student-athletes are graduating or separating from their school prior to graduation in good academic standing.



Division III Recap

- ▶ Male African-American students and student-athletes graduate at a lower rate than any other race/ethnicity group.
- ▶ African-American men are overrepresented in football and basketball.



Division III Recap

- ▶ Half of football student-athletes do not graduate from the school they started at.
- ▶ Nearly one out of five football student-athletes leave in bad academic standing.
- ▶ Approximately two-thirds of African-American football student-athletes do not graduate from the school they started at.
- ▶ Nearly one out of three African-American football student-athletes leave in bad academic standing.



Division III Recap

- ▶ The larger the football recruiting class, the lower the Federal Graduation Rate.



Next Steps

- ▶ Public release of NCAA graduation rates November 14th.
- ▶ Vote on the 2019 Division III Convention Proposal to require the submission of student-athlete graduation rates data.
- ▶ 2019 data collection opens in March.
 - ▶ Continued focus will be given to increasing participation.
 - ▶ If proposal adopted, development of additional educational opportunities and materials.

2018 Division III Aggregate Graduation Rates Report for Voluntary Reporting Institutions (213 institutions)

FRESHMAN-COHORT GRADUATION RATES

2011-12 Graduation Rate	All Students 64%	Student-Athletes # 68%
Four-Class Average	64%	68%
Student-Athlete Academic Success Rate		87%

1. Graduation-Rates Data

a. All Students

	Men				Women				Total			
	2011-12		4-Class		2011-12		4-Class		2011-12		4-Class	
	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	176	49	789	47	211	53	1019	53	387	51	1808	51
Asian	2338	61	8943	61	2935	71	11474	71	5273	67	20417	67
Black	3148	39	11938	40	3708	53	14937	52	6856	47	26875	46
Hispanic	3431	52	12275	51	4893	61	17528	60	8324	57	29803	56
Nat. Haw./PI	65	43	252	55	55	69	233	61	120	55	485	58
N-R Alien	1306	61	4885	63	1245	73	5063	74	2551	67	9948	69
Two or More	1210	58	3335	60	1806	64	5035	67	3016	62	8370	64
Unknown	2175	56	9634	56	2534	65	11622	64	4709	61	21256	61
White	32724	64	137557	62	41230	70	173280	69	73954	67	310837	66
Total	46573	60	189608	60	58617	68	240191	67	105190	64	429799	64

b. Student-Athletes

	Men						Women						Total					
	2011-12		4-Class		ASR		2011-12		4-Class		ASR		2011-12		4-Class		ASR	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	79	53	237	56	165	80	33	58	155	62	111	86	112	54	392	58	276	82
Asian	204	75	813	71	646	89	194	83	706	80	591	95	398	79	1519	75	1237	92
Black	1593	38	4797	40	3240	59	438	61	1465	60	1054	82	2031	43	6262	44	4294	64
Hispanic	945	53	2718	53	1952	74	493	69	1514	71	1192	89	1438	58	4232	59	3144	79
Nat. Haw./PI	37	32	118	42	75	65	20	70	69	67	52	88	57	46	187	51	127	75
N-R Alien	215	63	704	63	507	87	95	77	298	81	251	95	310	67	1002	68	758	90
Two or More	411	56	979	58	728	78	283	73	644	75	514	93	694	63	1623	65	1242	84
Unknown	840	54	2598	55	1794	79	519	70	1730	72	1350	90	1359	60	4328	62	3144	84
White	10571	67	35705	66	27400	85	7548	79	26064	78	21442	94	18119	72	61769	71	48842	89
Total	14895	62	48669	62	36507	82	9623	77	32645	77	26557	93	24518	68	81314	68	63064	87

c. Student-Athletes by Sport Category

Baseball				Men's Basketball				Men's CC/Track			
% - N				% - N				% - N			
	2011-12	4-Class	ASR		2011-12	4-Class	ASR		2011-12	4-Class	ASR
Am. Ind./AN	73-c	70-e	95-d	Am. Ind./AN	67-a	73-c	85-c	Am. Ind./AN	56-b	59-e	93-c
Asian	93-c	81-e	96-e	Asian	91-c	71-e	88-e	Asian	68-e	74-e	89-e
Black	39-e	46-e	72-e	Black	42-e	44-e	63-e	Black	53-e	52-e	71-e
Hispanic	58-e	55-e	73-e	Hispanic	47-e	49-e	69-e	Hispanic	68-e	63-e	81-e
Nat. Haw./PI	100-a	42-c	56-b	Nat. Haw./PI	100-a	36-c	67-b	Nat. Haw./PI	33-a	30-b	100-a
N-R Alien	71-b	68-d	76-d	N-R Alien	25-b	60-e	80-e	N-R Alien	55-c	71-e	84-e
Two or More	66-e	70-e	93-e	Two or More	46-e	55-e	76-e	Two or More	68-e	68-e	81-e
Unknown	63-e	63-e	83-e	Unknown	43-e	49-e	72-e	Unknown	50-e	58-e	81-e
White	66-e	67-e	87-e	White	68-e	65-e	86-e	White	77-e	76-e	91-e
Total	66-e	66-e	86-e	Total	58-e	58-e	79-e	Total	71-e	72-e	88-e

Football				Men's Other			
% - N				% - N			
	2011-12	4-Class	ASR		2011-12	4-Class	ASR
Am. Ind./AN	39-e	49-e	78-e	Am. Ind./AN	57-e	55-e	73-e
Asian	59-e	55-e	83-e	Asian	78-e	75-e	90-e
Black	32-e	34-e	52-e	Black	47-e	48-e	70-e
Hispanic	44-e	41-e	63-e	Hispanic	56-e	60-e	80-e
Nat. Haw./PI	15-d	37-e	52-e	Nat. Haw./PI	50-c	50-e	79-e
N-R Alien	67-c	53-e	80-d	N-R Alien	65-e	63-e	89-e
Two or More	45-e	48-e	69-e	Two or More	67-e	66-e	84-e
Unknown	41-e	43-e	70-e	Unknown	64-e	62-e	84-e
White	57-e	56-e	79-e	White	71-e	70-e	87-e
Total	50-e	50-e	73-e	Total	68-e	68-e	86-e

Women's Basketball				Women's CC/Track				Women's Other			
% - N				% - N				% - N			
	2011-12	4-Class	ASR		2011-12	4-Class	ASR		2011-12	4-Class	ASR
Am. Ind./AN	0-a	26-d	63-b	Am. Ind./AN	83-b	82-e	90-d	Am. Ind./AN	61-e	64-e	87-e
Asian	83-d	78-e	94-e	Asian	77-e	82-e	97-e	Asian	84-e	80-e	94-e
Black	62-e	59-e	81-e	Black	67-e	63-e	83-e	Black	55-e	58-e	81-e
Hispanic	60-e	68-e	89-e	Hispanic	71-e	75-e	91-e	Hispanic	69-e	70-e	89-e
Nat. Haw./PI	100-a	56-b	63-b	Nat. Haw./PI	67-a	57-c	80-b	Nat. Haw./PI	67-c	72-e	97-e
N-R Alien	89-b	94-d	100-c	N-R Alien	80-a	84-e	96-e	N-R Alien	75-e	79-e	95-e
Two or More	60-e	62-e	86-e	Two or More	80-e	81-e	97-e	Two or More	75-e	77-e	93-e
Unknown	55-e	63-e	89-e	Unknown	74-e	72-e	89-e	Unknown	72-e	73-e	91-e
White	74-e	75-e	94-e	White	82-e	82-e	96-e	White	79-e	78-e	94-e
Total	70-e	71-e	92-e	Total	80-e	80-e	94-e	Total	77-e	77-e	93-e

Values for N (a. 1-5, b. 6-10, c. 11-15, d. 16-20, e. greater than 20)

Academic Success Rate Report

2008 - 2011 Cohorts: Overall Division III

The student-athlete rates in this report is based on data that was submitted across each requested cohort, 2008 through 2011. Please keep in mind that your school may not have participated in the voluntary reporting program each year.

Men's Sports

Sport

Baseball	86	66
Basketball	79	58
CC/Track	88	72
Fencing	90	81
Football	73	50
Golf	89	70
Gymnastics	96	92
Ice Hockey	88	65
Lacrosse	85	66
Mixed Rifle	-	-
Skiing	93	82
Soccer	83	64
Swimming	91	78
Tennis	91	75
Volleyball	80	59
Water Polo	87	75
Wrestling	82	61
Men's Non-NCAA Sponsor. Sports	91	77

Women's Sports

Sport

Basketball	92	71
Bowling	-	-
CC/Track	94	80
Crew/Rowing	96	86
Fencing	82	73
Field Hockey	95	80
Golf	94	79
Gymnastics	93	81
W. Ice Hockey	93	72
Lacrosse	95	79
Skiing	96	80
Soccer	93	75
Softball	92	75
Swimming	96	84
Tennis	93	76
Volleyball	93	73
Water Polo	99	85
Women's Non-NCAA Sponsor. Sports	91	72



INFORMATION ABOUT THE GRADUATION RATES REPORT

Introduction.

This information sheet and the NCAA Division III Academic Success Rate (ASR) Report have been prepared by the NCAA, based on data provided by the institution for the Division III voluntary graduation rates reporting program.

The ASR report provides information about two groups of students at the college or university identified at the top of the form: all undergraduate students who were enrolled in a full-time program of studies for a degree and student-athletes who were on an official team roster on or after the first date of competition during the championship season.

The report gives graduation information about the most recent graduating class of students and student-athletes entering as freshmen in 2011-12. The graduation rate (percent) is based on a comparison of the number (N) of students who entered a college or university and the number of those who graduated within six years. For example, if 100 students entered and 60 graduated within six years, the graduation rate is 60 percent.

Two different measures of graduation rates are presented in this report: Federal Graduation Rate and ASR. The freshman-cohort Federal Graduation Rate indicates the percentage of freshmen who entered during a given academic year and graduated within six years. The ASR adds to the first-time freshmen, those students who entered midyear, as well as student-athletes who transferred into an institution. Both the freshman-cohort rate and ASR subtracts students from the entering cohort who are considered allowable exclusions (i.e., those who either die or become permanently disabled, those who leave the school to join the armed forces, foreign services or attend a religious mission). The ASR also subtracts those who left the institution prior to graduation, had athletics eligibility remaining and would have been academically eligible to compete had they returned to the institution.

ASR Report.

- Graduation Rates Data. The box at the top of the ASR report provides freshman-cohort graduation rates for all students and for student-athletes at this college or university. Additionally, this box provides ASR data for the population of student-athletes. **[Note: When a cell containing cohort numbers includes only one or two students, the data in that cell and one other will be suppressed so that no individual can be identified.]**
 - a. All Students. This section provides the freshman-cohort graduation rates for all full-time, degree-seeking students by race or ethnic group. It shows the rate for men who entered as freshmen in 2011-12 and the four-class average, which includes those who entered as freshmen 2008-09, 2009-10, 2010-11 and 2011-12.

The same rates are provided for women. The total for 2011-12 is the rate for men and women combined, and the four-class average is for all students who entered in 2008-09, 2009-10, 2010-11 and 2011-12.

- b. Student-Athletes. This section provides the freshman-cohort graduation rates and the ASR for student-athletes in each race and ethnic group who received athletics aid. Information is provided for men and women separately and for all student-athletes.
- c. Student-Athletes by Sports Categories. This section provides the identified graduation rates as in 1-b for each of the eight sports categories. (The small letters indicate the value of N.)

Division III International Ice Hockey Pilot Program Status Report
October 2, 2018

Introduction

During 2018-19 academic year, at the request of the membership, staff created a pilot for all Division III schools that sponsor men's and/or women's ice hockey. The pilot parameters include the NCAA Eligibility Center reviewing the participation history of all international first year ice hockey players. For the purpose of the pilot, an international student is defined as any student who attended a secondary or postsecondary school outside the United States, participated in athletics outside the United States or whose permanent residence is outside the United States. A first-year student is defined as a student-athlete in their first year of enrollment at a Division III institution. This includes transfer students with no prior Division III enrollment.

Desired Outcomes:

The following are the outcomes for the Pilot Program as initially identified:

1. Track the institutions that submit names to the Eligibility Center (EC). These names will be compared to the master-list of ice hockey schools.
2. Track how many names are submitted from each institution.
3. Track the dates that institutions submit the roster lists.
4. Determine how many of the submitted names are already in the EC certification queue. They will have already paid the \$135. And of these, how many were on a Division I or Division II Institution Request List (IRL)?
5. Determine how long it takes the EC to complete each participation history review. This data will allow us to compare with Divisions I and II and give us an idea as to how much burden the process can remove from schools.
6. Determine how many international student-athletes are cleared to participate and how many are denied participation. If denied, why?
7. Identify how many calls were driven to the NCAA Customer Service Center from this population.
8. The Eligibility Center will provide summary information on the student-athletes including country of origin, age, and institution they're attending.

Findings as of September 27:

1. Participating Institutions.
 - Eighty-five Division III institutions sponsor men's and/or women's ice hockey. Eighty-three sponsor men's ice hockey and 66 sponsor women's ice hockey.
 - Fifty-four (64%) institutions have submitted student-athletes for review.
 - Eight institutions confirmed they do not have incoming international student-athletes and therefore are not eligible to participate in the Pilot Program.
 - A total of 62 institutions (73%) are either participating in the program or are not eligible to participate.

Participating Institutions, continued...

- Pertaining to the remaining 23 institutions, it is possible that they will participate in the program in the coming weeks or confirm they do not have international student-athletes. All 85 institutions sponsoring men's and/or women's ice hockey will be accounted for by the conclusion of the Pilot Program.
- An average of four student-athletes per institution were submitted for review ranging from one to 14.

2. Rosters Submitted by Sport.

- a. Men's ice hockey (83 Division III programs in total).
 - 50 rosters (60%) have submitted student-athletes for review.
 - Nine institutions confirmed they do not have incoming international men's ice hockey student-athletes.
 - Fifty-nine institutions (71%) have submitted student-athletes for review or confirmed they do not have incoming international student-athletes.
 - An average of three student-athletes per institution were submitted for review ranging from one to 11.
- b. Women's ice hockey (66 Division III programs in total).
 - 21 rosters (32%) have submitted student-athletes for review.
 - Ten institutions confirmed they do not have incoming international women's ice hockey student-athletes.
 - Thirty-one institutions (47%) submitted student-athletes for review or confirmed they do not have incoming international student-athletes.
 - An average of two student-athletes per institution were submitted for review ranging from one to six.

3. Status of Student-Athlete Reviews.

- a. Institutions have submitted 203 student-athletes for review.
 - Men's ice hockey – 156 student-athletes.
 - Women's ice hockey – 47 student-athletes.
- b. The status of the 203 reviews as of September 27:
 - 86 student-athletes have had their review completed.
 - 10 student-athletes either did not qualify for the pilot (due to participation at a Division III institution prior to the 2018-19 academic year) or withdrew from the requesting institution.

- 16 student-athletes have a pending request for information.
 - Seven student-athletes are being actively reviewed by staff (i.e., to determine next steps in the review).
 - 84 student-athletes are pending an initial review by staff.
- c. Final reviews completed.
- Staff determined no eligibility concerns existed in 84 of the 86 reviews completed.
 - For the two reviews with eligibility concerns, both were due to violations of Bylaw 14.2.4.4.3 (major junior ice hockey).
 - One PSA participated in a training camp with a major junior team.
 - The other PSA signed an agreement with, received educational expenses from and participated in contests with the major junior team.
4. Budget Impact.

The Division III Councils approved \$10,000 for the pilot. With 203 reviews, to date, and more reviews anticipated, the ultimate budget impact will be approximately \$30,000-35,000.

DIVISION III IDENTITY INITIATIVE

Division III Purchasing Website

After signing a three-year contract extension (2016-2019), the Division III Purchasing Website is in its eighth year of operation, and its seventh year of management by Source One Digital. In September, a new \$500 credit was offered to institutions and conferences for purchases from the site during the 2018-19 year. Thus far, approximately four percent (33) of the institutions and nine percent (four) conference offices have used their credit, which ends on August 31, 2019. Since the start of 2018, the Source One Digital website contains new items from both Gameday the DIII Way and Division III LGBTQ initiatives.

Division III/D3SIDA Recognition Award

The Division III College Sports Information Directors of America (D3SIDA) selection committee are in the midst of selecting a fall recipient for the Division III/D3SIDA Recognition Award. The selection committee will begin to review fall submissions beginning October 15 and will select a candidate by October 25. The Division III and D3SIDA Recognition Award is a partnership between the Division III governance staff and D3SIDA to honor the best work - including news releases, feature articles, videos, blogs and other materials - produced by Division III campus and conference athletics communication offices. Each top honoree, named three times annually, will receive a \$1,500 credit to attend Division III Day at the 2019 CoSIDA convention in Orlando, Florida.

Social Media

Division III Twitter and Facebook began the year participating in two social media campaigns; #d3oneteam and #NCAAInclusion. Through large amounts of participation from Division III institutions and conferences, @NCAADIII helped push #NCAAInclusion as Top10 trending topic in America through social media in early October. After a busy start to the year, the Division III Twitter audience growth increased to nearly 55, 700 followers by adding over 1,000 in the first 35 days, while Facebook had an impressive turnout landing a total of 400 new page followers. NCAA staff has continued to imply a social media strategic plan to leverage social media as a primary channel for sharing the Division III story with current student-athletes, administrators, potential student-athletes, parents, and supporters.

During the 2017-18 academic year, the Division III membership voiced their concerns regarding the change to longer hashtags. After multiple discussions with assistant commissioners, SIDs, and more, the NCAA Division III staff sent out a survey to provide an opportunity for members to vote on which hashtags they would prefer. NCAA's primary goal was to have all Division III members, who manage a Twitter athletics page, to use the same hashtags with the intention that this consistency will strengthen our message and coverage to the general public, prospective student-athletes, alumni and others. To see the new hashtags click [here](#).

Special Olympics

For the third straight year, Special Olympics bracelets were provided to all Division III championships to highlight the division's partnership. To date, four institutions and one conference office have reported Division III Special Olympics activities during the 2018-19 year. Activities thus far have involved approximately 254 Division III student-athletes and 102 Special Olympic athletes. The division, to date, has dedicated just over 200 volunteer hours and raised over \$170 as a result of these activities. Staff continues to encourage schools and conferences to report their events.

July 21, 2018 marked 50 years of Special Olympics! In honor of this milestone and in celebration of our Partnership, the Division III National Student-Athlete Advisory Committee has initiated the 50 for 50th Challenge. Overall, each Division III institution is challenged to have at least 50 student-athletes participate in a Special Olympics event(s) by February 28, 2019. For more on the challenge, click [here](#).

In honor of the 50th anniversary of Special Olympics and in celebration of the Division III partnership, Division III and Special Olympics have joined forces to present 50 profiles honoring the unified work of the NCAA, Division III and Special Olympics. We encourage members to use the hashtag #d3SO50for50th for more profiles on any Division III/Special Olympics activities.

Division III Identity Initiative Video

In the 2011-12 school year, Division III created an Identity Initiative video containing student-athletes demonstrating an understanding of the Division III philosophy and sharing with viewers what makes the division unique. Seven years later, Division III agreed to create a similar video with the help of new students, new institutions, and a new production company, but overall still sharing the same message. The release date for this video was August 1, 2018. To watch the video, click [here](#).

A month later, Division III released three separate 30-second videos, exemplifying the division's philosophy. To view each video, see below.

[Discover](#)

[Develop](#)

[Dedicate](#)

Website Content

NCAA.org continues to provide Division III with additional opportunities to use its home page to share more stories portraying its unique student-athlete experience. The page regularly highlights Division III feature stories from sources such as member websites and Champion magazine, and videos produced by the NCAA and by member schools and conferences. Sports information directors are encouraged to send human interest stories and record-breaking performances to d3identity@ncaa.org. As a reminder, the new FTP site is now titled Videos and Resources. Here you will be able to find logos, videos, EPSs, and other helpful resources. For more information, please click [here](#).

Division III Week

During the seventh annual Division III Week, staff launched its second celebratory video contest, shared over 50 DIII facts, and brought back a fundraising campaign to help raise money for Special Olympics. A communications kit for DIII Week available online in January of 2019. Click [here](#) for more information. The eighth annual Division III Week will occur **April 1-7, 2019**.

Kresge, Debra

From: benningh@midwestconference.org
Sent: Thursday, August 9, 2018 3:04 PM
To: Kresge, Debra
Subject: Form submission from: Division III Management Council Conference Visits

From:
Heather Benning

Email Address:
benningh@midwestconference.org

Conference Visited:
Upper Midwest Athletic Conference

Date:
August 8, 2018

Attendees:
ADs, SWAs, select Asst. ADs

Division III Campus Issues to Report:

Hot Topics/Initiatives

Board of Governors (BOG) Initiatives (e.g., Commission on College Basketball, Sexual Violence Prevention, Alcohol Sales at Championships)

No feedback. Presented the morning after the BOG voted on the inclusion of 5 independent members of the BOG and required attestation signature of the president with regard to NCAA compliance.

Sport Science Institute Initiatives

Part of the best practices recommendations for mental health includes pre-screening of student-athletes. Question: Will SSI or NCAA provide any further parameters/recommendations on this because it seems potentially carrying this out could actually place greater liability on an institution.

Injury Surveillance Program

Did not have time to cover with the group.

2019 Governance Proposals

Social Media Deregulation - Would like further clarification on parameters for "reposting" (Can the representative of the athletic department only "heart" a post on Twitter or can it "retweet" it meaning that the original post by the PSA now is placed in the Twitter feed of the representative of the athletic department. i.e. Must the content stay in its original social media platform and within the context or ownership of the PSA? A similar application in Facebook...can it only be "liked" or can it be "shared"?)

Working Groups

The Conference has a majority of SWAs who at a minimum coach one sport (several are faculty members). Great support for the shift in DIII SWA programming that alternates spring and fall.

Other General Comments:

Frustration was expressed about the process for playing rules coming through PROP without a genuine consideration of Division III resources.

Question about the dues increase and the perception that the application of funds is not consistent with initial messaging. After discussion, agreed that perhaps it is more of a current messaging of how funds were allocated than an incongruence with objective and reality.

Inquiry ID# 226131 submitted on: Thursday, August 9, 2018 - 15:03

From: denise.udelhofen@loras.edu
To: [Kresge, Debra](#)
Subject: Form submission from: Division III Management Council Conference Visits
Date: Monday, October 8, 2018 10:04:30 AM

From:
Denise Udelhofen

Email Address:
denise.udelhofen@loras.edu

Conference Visited:
Iowa Intercol. Athletic Conf.

Date:
August 10, 2018

Attendees:
AD and SWA

Division III Campus Issues to Report:
None

Hot Topics/Initiatives

Board of Governors (BOG) Initiatives

Sport Science Institute Initiatives

Injury Surveillance Program
Encouraged Institutions to participate

2019 Legislative Proposals
Reviewed - supported all as of August but would like to see Q & A

Working Groups

Other General Comments:
We had the formal announcement of Iowa Intercollegiate Athletic Conference moving to American Rivers Conference. Most of the meeting was to discuss American Rivers Conference brand and any items to cover before fall seasons begin.

Inquiry ID# 231226 submitted on: Monday, October 8, 2018 - 10:04



DIVISION III UNIVERSITY PROPOSAL
OCTOBER 1, 2018

Similar to Division II, the Division III governance staff is proposing the creation of “Division III University,” an on-line learning management system for Division III head coaches. Division II had an initial rollout of 15 modules ranging from general NCAA information to compliance to student-athlete well-being. The Division III rollout would be more limited in scope with its initial rollout anticipated for January 2019 to include the following modules:

NCAA Division III Overview.

How the NCAA works.

Division III Facts.

Student-Athlete Well-Being

Sexual assault prevention.

360 Proof coach modules.

Mental Health.

Compliance

Summary of Regulations.

SA reinstatement.

All of these modules currently exist so there would be no cost for content development. However, there is a cost for Division III head coaches and administrators to access the modules. The cost is approximately two to three dollars per license. With close to 8,000 head coaches and 1,000 administrators (e.g. athletics directors, senior woman administrators and commissioners), staff estimates the initial cost to be \$25-30,000. If endorsed by the Management and Presidents Councils, staff recommends moving these funds from the Injury Surveillance Program budget of \$104,000 in 2018-19.

In September, the Division III Interpretations and Legislation Committee (ILC) received an overview of the Division II University and agreed there were benefits to develop a Division III University. Due to the expense and staff time commitment to develop new modules, in February, ILC will create a priority list of new compliance modules, including budget impact, to forward to the Division III Strategic Planning and Finance Committee for consideration in 2019-20. There is an initial development cost of \$16,635 for a one-day design workshop, minimal learner analysis, creation of a starter design with topics, learning objectives, new program design and branded mockups. After this initial cost, modules are typically eight minutes in length and development costs \$6,500 per module.



In July 2018, the Division III Management Council endorsed a strategic plan to increase participation in the NCAA Injury Surveillance Program. At that time, 73 percent of Division III institutions had software compatible with NCAA ISP submission but only 9 percent participated in the program.

- On August 1, a request for participation appeared in the Division III Monthly Update
- On August 3, Division III Head Athletic Trainers received an email from Louise McCleary requesting participation, with conference commissioners, ADs and athletics health care administrators copied

Division III ISP participation has increased significantly since the communication campaign.

		Participating	Signed Up, waiting to receive data*	Not Participating, Compatible EMR**	Not Participating, Incompatible EMR	No EMR	Unknown EMR
June 30, 2018		9%	N/A	64%	15%	6%	6%
Sept. 27, 2018		11%	12%	55%	11%	6%	6%

*These institutions provided data in 2017/18 or have indicated they would like to participate, but we have not yet received a data submission. This includes one institution that uses Presagia Sports, which is finalizing its software updates to become compatible, five institutions that use Vivature NExTT, which will not submit data until October 1, and institutions that only plan to submit data for winter and spring sports. All other institutions in this group have received at least one reminder email to submit injury data.

**Presagia Sports was not compatible in 2017/18 but will be compatible for the 2018/19 academic year.

If every institution that signed up to participate submits data, participation for the 2018/19 academic year would be 23% for Division III. Additionally, the Datalys Center continues to receive communication from institutions wishing to participate.



NCAA Division III – NCAA Injury Surveillance Program Survey

1. Is your institution public or private?

Answer	%	Count
Private	81%	21
Public	19%	5
Total	100%	26

2. What is the number of NCAA sponsored intercollegiate varsity programs?

Answer	%	Count
Less than 20	58%	15
20 – 25	35%	9
26 or greater	7%	2
Total	100%	26

3. What is the number of full-time Athletic Trainers?

Answer	%	Count
3 or more	77%	20
2	23%	6
Total	100%	26

4. Are your Athletic Trainers institutional employees or contractors?

Answer	%	Count
Institutional employees	86%	19
Contractors	14%	3
Total	100%	22

5. Number of years participating in the ISP?

Answer	%	Count
5 years or less	69%	18
10 years or greater	23%	6
6 – 9 years	8%	2
Total	100%	26

6. Why did you start participating in the ISP program?

To help the NCAA gather injury data
We were participating when I arrived. I also believe we need to do a better job a looking at injury trends throughout the country as a whole
Thought it was a good idea to help monitor injury trends
To contribute to data collection to help with injury prevention of student-athletes
One of our coaches was on his NCAA rules committee and asked me to submit data for his sport as they were seeking more information for rule changes.
AD insisted we look into it and consider participating.

Why Started Participating CONTINUED

We started the year before I was the Head ATC, so I am unsure of why the initial decision was made. For me I think it helps see trends in injuries and is also an easy way to earn CEU's.

Interested to me to see statistics on injuries and how that information can be used to shape policy and practice.

Free injury tracking software. Eventually our funding increased so we began using different software and stopped reporting.

To help provide information to expand research and knowledge around the AT profession.

The ability to use SportsWare to collect the data

Initially it was required by our hockey conference. This year, we are adding more teams to the ISP.

Was a new AT. I thought it was something I should do to further the profession as well as assist in making sports participation more safe.

Staff thought it was a good program and wanted to be involved

Value the opportunity to provide data for research

Helping out the ISP

Good to do. Helps understand why injuries are happening in a particular sport.

Because we could, with the assistance of our EMR, which previously we did not have.

Need for reporting of injuries for the use of research, and improvement of prevention and care for these injuries.

7. Did you experience any barriers during the initial stages of participation in the ISP?

Answer	%	Count
No	73%	19
Yes	27%	7
Total	100%	26

8. What suggestions could make it better?

Issues were with the software programs I was using SIMS, now with ATS and undecided if that is easier as this is first year using it

It is very time consuming. I already feel overwhelmed with my day-to-day and this is very time consuming with the steps on Sportsware in order to submit the information.

It adds time to the documentation process which is already difficult to keep up with considering staff size vs number of sports/athletes. There are also glitches in the EMR system, at times, which typically causes ATs to skip that portion all together as it won't allow the injury to be saved so the only way to correct it is to bypass.

Roster entry is time consuming and there should be a way to import rosters from an excel file that can be generated by our current injury tracking software or frontrush

More information on how to connect Sportsware to the ISS

Time and making changes to existing variables was difficult

Setting up the roster needs to be easier. I had not done basketball in 8 years (sorry working on that) and the roster was still there. I had to go in and manually delete all of those athletes. There must be an easier way to do it. Make a group deactivate tab or something. It was very frustrating and time consuming to go in and deactivate before I could add in the new roster

9. Number of sports reported data on in 2017-18?

Answer	%	Count
Less than 10	63%	15
10 – 15	29%	7
20 or greater	8%	2
Total	100%	24

10. How many people are involved in collecting and reporting data for the ISP?

Answer	%	Count
2 – 3	50%	13
4 or more	42%	11
1	8%	2
Total	100%	26

11. Who are the people involved in collecting and reporting data for the ISP? (select all that apply)

Answer	%	Count
Head athletic trainer	48%	24
Associate/assistant athletic trainer	46%	23
Other	6%	3
Total	100%	50

12. Are any of the people you noted also your Athletics Healthcare Administrator?

Answer	%	Count
Yes	85%	22
No	15%	4
Total	100%	26

13. What are the benefits to participating in the ISP? (select all that apply)

Answer	%	Count
An opportunity to contribute to NCAA national health and safety policies and sport rule changes.	29%	24
Ten continuing education credits per year for athletic trainers who submit the data.	28%	23
Enhancing the quality of student-athlete care by furthering scientific research regarding top health and safety risks.	24%	20
Access to current data that can inform injury prevention practices.	19%	16
Total	100%	83

14. What is the perceived value of participating in the ISP?

Adding information on injuries and risk for further research on athlete safety and injury prevention
Ten free CEUs, helping shape policy
I do not think most ATs reference these numbers or are even aware of them
The 10 CEU's is a huge motivator.
none so far
Personally I feel participating is of high value. However, I cannot speak for athletic trainers at other institutions who may feel the barriers are higher than the perceived value.
I think it is an easy way to help with research by sharing information on what we are seeing here with our teams.
100% worth it !!
That the data is much more thorough and complete than it is. If there is only 10% participation, the numbers can't be accurate.
I think the overall perceived value of data collection is lost on the general membership
I believe most don't see a benefit to it. The benefits above, I didn't even know that all of them existed. I think that needs to be publicized/communicated better.
I see the value of the items mentioned in the previous question. It appears me others in my profession do not. As to why, i do not know. Ones I have spoken with see additional effort for little to no return.
I see the value in providing information for the broad needs of the student athletes.
To help get a better understanding of the trends in injuries.

Perceived Value CONTINUED

we were looking to add a sport by others collecting injury data it was helpful to us on knowing if we needed further staffing etc. I hope by my staff reporting we may be helpful to others

Labor intensive. Work load may outweigh the reward.

100%. Allows us to see what occurs at our conference and D-III level as opposed to D-I and D-II.

15. Would you recommend other Division III institutions participate in the ISP?

Answer	%	Count
Yes	96%	25
No	4%	1
Total	100%	26

16. Why would you recommend other institutions?

To enhance the greater good and safety of our athletes. It's easy

We need more schools to provide data in order to make changes.

So we have the evidence to improve our practice as athletic trainers. May need to be more specific in some data collected as it relates to rehab, bracing, nutrition, sleep. Data with some specific parameters could help us with funding and rule changes. ie allow Div 3 to provide protein supplements etc...

The more data there is to research the better the outcomes for everyone involved. More accurate information and research can lead to better prevention strategies, evaluation techniques, treatment options, etc.

It helps with research and is a good way to earn 10 CEU's

To help shape policy and see trends in their sport teams in their campus as well as overall sport teams across the divisions.

More accurate numbers with more participation. Downfall is the practice counts, schedule entry and inability to email coaches injury updates from the software. More programs such as rank one sport need to be added to being compliant. Most colleges are very understaffed and don't have the extra time in their day to report data if it is going to be come and added task and time waste in their day. This is the reason we can only participate with winter sports.

Can be helpful to get ncaa data for comparisons

because it provides valuable information to all of us

More data = more accurate information

It is relatively simple to enroll and complete the required information, it does not add a substantial workload to the athletic trainer. It can be of great assistance in providing more accurate information on athletes/treatment plans and enhance our ability to provide Evidence Based Practice.

It is an easy way to provide the data for everyone to use.

Evaluate whether you can take on the additional workload. We need to scale back our participation this year as 5 sports was too many.

Need to see what occurs at each conference, so that may raise dialogue on what might be recommended to improve care.

**REPORT OF THE
NATIONAL COLLEGIATE ATHLETIC ASSOCIATION
BOARD OF GOVERNORS MEETING
AUGUST 7, 2018**

ACTION ITEMS:

- **Certification of compliance penalties.** The NCAA Board of Governors voted to charge the NCAA Divisions I, II and III Committees on Infractions to determine the appropriate penalties for those individuals required to attest to certification of compliance if they knew or should have known of violations and did not address them.

INFORMATONAL ITEMS:

1. **Welcome and announcements.** NCAA Board of Governors Chair President Bud Peterson convened the meeting at approximately 2 p.m. and welcomed the Governors. He gave a special welcome to President Nayef, a new member of the Board of Governors who was attending his first meeting. NCAA staff confirmed that a quorum was present. Because they were participating in their last meeting, President Peterson recognized the following members with gifts of appreciation: Presidents Dianne Harrison and Samuel Stanley.
2. **Consent Agenda.** By way of a consent agenda, the Board of Governors approved the reports of its May 3, 2018, teleconference, and May 15-16, 2018, electronic vote, as well as the appointments of Sue Henderson, president of New Jersey City University, to the NCAA Board of Governors Student-Athlete Engagement Committee, and Dylan Gladney, a Prairie View A&M student-athlete, to the NCAA Board of Governors Committee to Promote Cultural Diversity and Equity. The Governors also approved revisions to its policies and procedures based on board action over the past year. (Unanimous voice vote)
3. **NCAA president's report.** President Mark Emmert reported that much of the meetings this week will focus on the work to address the Commission on College Basketball recommendations. President Emmert reviewed the timeline for how the Association arrived at this point of final action on the working groups' recommendations. He expressed thanks to the staff for all the work done in a very short time and in addition to their regular job responsibilities. He also noted that this will continue to be a work in progress as adjustments likely will need to be made as we move forward in implementing the recommended changes.
4. **NCAA strategic plan update.** President Glendell Jones, Board of Governors vice chair and lead for the strategic planning process, updated the Governors on the strategic planning process. President Jones noted that the process has been deliberately slowed to allow for membership focus on the initial phase of addressing the Commission on College Basketball recommendations. He also noted that earlier this year, consultants were brought on board and the initial meeting of the NCAA Strategic Planning Working Group was conducted in June, during which time the working group reviewed a draft workplan for the process and provided input on the workplan and a timeline moving forward. This fall will be used to gather feedback from various governance bodies, as well as the membership at large. The

goal remains that the final plan be presented to the Board of Governors during its August 2019 meeting.

5. NCAA Board of Governors Finance and Audit Committee report. Chancellor Philip DiStefano, chair of the Finance and Audit Committee, presented the committee's report.

a. Executive summary of NCAA president's recommendations for 2018-19 budget.

- (1) Evaluation criteria memorandum from NCAA President Mark Emmert. Chancellor DiStefano noted that President Emmert's budget recommendations for 2018-19 were guided by the following criteria:

- (a) Covering compensation increases and contract inflation.
- (b) Division II and Division III allocations based on legislated mandates.
- (c) Division I programming for championships.

President Emmert noted that pending the outcome of the men's basketball working groups' recommendations, he chose to reserve the rest of the allocations for future needs.

- (2) FY 2018-19 budget requests and recommendations. Chancellor DiStefano reported that the proposed FY 2018-19 total budget is \$1,000,854,922 which includes requests and recommendations in the amount of \$27,690,272. Chancellor DiStefano highlighted the following areas of the budget, indicating that full details were included in the written materials:

- (a) A \$14.4 million increase to the Division I Distribution.
- (b) Increases to the Division II and Division III allocations of \$2.4 million.
- (c) Division I Championship spend increased \$2.3 million.
- (d) Total compensation increases of \$4.5 million.
- (e) Contract inflationary increases of \$783,000.
- (f) A total of \$3.3 million set aside for future allocation needs based on recommendations that resulted from the Commission on College Basketball report.

Chancellor DiStefano reflected that the committee approved the budget as proposed and recommended approval by the full board.

It was VOTED

“That the Board of Governors approve the budget for the 2018-19 fiscal year as recommended.” (Unanimous voice vote)

- b. **FY 2017-18 external audit plan presented by Deloitte.** Chancellor DiStefano described the Deloitte external audit plan for the 2017-18 fiscal year and indicated that the committee had no concerns with the material presented.

It was VOTED

“To accept the external audit plan from Deloitte for the 2017-18 fiscal year.” (Unanimous voice vote)

- c. **FY 2018-19 NCAA internal audit plan.** Chancellor DiStefano noted the committee received a report of the internal audit plan for 2018-19 fiscal year from NCAA Director of Internal Audit Jim Brown. The plan is based on a risk assessment that is performed annually by staff. The committee had no concerns and accepted the report.

It was VOTED

“To approve the internal audit plan for the 2018-19 fiscal year.” (Unanimous voice vote)

- d. **Third quarter fiscal year 2017-18 budget-to-actual review.** Chancellor DiStefano reported that the committee reviewed a comparison of actual revenues and expenses versus budgeted revenues and expenses during the third quarter of the current fiscal year (2017-18) and advised that the Association is on track to meet budget. Chancellor DiStefano reported that the committee understood all significant variances and had no concerns.

6. **NCAA Board of Governors committee reports.**

- a. **Commission to Combat Campus Sexual Violence.** NCAA Chief of Staff Cari Van Senus

- (1) Report of institutions that have fulfilled the requirements of the campus sexual violence policy. The board received a list of all member institutions that appropriately completed the attestation form required by policy. It was noted that 11 institutions did not appropriately complete the form. President Peterson noted that the Executive Committee met earlier in the day and reviewed the list of schools that have not provided the appropriate certification under the NCAA Campus Sexual Violence Policy. The Executive Committee determined that the published list should include both schools that have certified appropriately and those that have not.

It was VOTED

“That the list of compliant schools be published immediately and prior to publishing the list of non-compliant schools, the Board of Governors instruct the NCAA president to send a letter to the president/chancellor of each school that has not properly certified and inform him or her that they have not properly certified and the entire list shall be published in two weeks.” (Unanimous voice vote)

- (2) Final report of the Commission to Combat Campus Sexual Violence. Van Senus reviewed with the board the final commission report, which included recommendations to amend the Association-wide sexual violence policy, to clarify the education requirement and to add a penalty for failure to complete the attestation form. In addition to including in the published list those schools that have not certified appropriately, the Commission recommended that the board approve the following:

- (a) Clarify that the education requirement is annual;
- (b) Change the “fully knowledgeable” language to “should be informed on;”
- (c) Modify the Title IX coordinator asterisk to apply for international members and schools that do not receive federal funding or are otherwise exempt from Title IX; and
- (d) Articulate in year two and beyond that failure to sign the annual attestation form will result in prohibition of hosting championships for the next applicable academic year.

It was VOTED

“That the Board of Governors approve the Commission recommendations outlined in Item No. 6-a-(2) above.” (Unanimous voice vote)

- (3) Final commission recommendations and dissolution of commission. Van Senus noted the additional informational items included in the final Commission report. The Commission suggested that NCAA staff provide enhanced education, resources and clarification to the membership to assist with full compliance with the policy. In addition, the commission provided guidance on strategic research areas that could be pursued by staff. Lastly, an issue on which the Commission spent considerable time was individual accountability of student-athletes involved in acts of sexual violence. The Commission encouraged the board to direct the divisional governance bodies to consider legislation that reflects an Association-wide approach to individual accountability.

President Peterson informed the board that the Executive Committee reviewed the work of the Commission and determined that it has completed its charge and

will be disbanded. He also noted that the Executive Committee discussed the Commission's recommendation related to individual accountability and agreed that together with NCAA legal counsel, the Executive Committee would continue to monitor and track on sexual violence issues considering differing state laws and institutional policies and will follow up with the board as necessary.

- b. **Student-Athlete Engagement Committee.** President Samuel Stanley reported that the Student-Athlete Engagement Committee participated in its first in-person meeting in July and much of its discussion centered around two issues: 1) A sexual violence recognition program; and 2) Mental health. The Committee discussed the desired elements of a program to recognize student-athletes that are leading the way in raising awareness and fostering an environment where sexual violence is not acceptable, with the goal of the first recognition occurring in spring 2019. The Committee also spent time discussing mental health issues among student-athletes. Mary Wilfert, recently retired NCAA associate director of prevention and health promotion, shared with the committee recently released resources to assist institutions and conferences in implementing mental health workshops. The Committee noted the importance of supporting student-athlete mental health and issued the following statement:

Student-athlete mental health should be a top priority on all NCAA member campuses, and the NCAA Board of Governors Student-Athlete Engagement Committee believes schools must commit more support and resources to this crucial part of overall student-athlete health and well-being, no matter the school's budget level. As a first step, campuses should implement NCAA best practices regarding mental health. Also, the committee urges schools to create and support intervention and treatment resources as well as other types of programming to help student-athletes. The Committee recommends the NCAA Board of Governors make additional resources available to schools to support this vital health initiative. The health and wellness of the whole student-athlete is a critical part of the higher education experience.

7. **Sports Wagering Update.** NCAA Senior Vice President of Championships Joni Comstock and NCAA Executive Vice President for Law, Policy and Governance/Chief Legal Officer Donald M. Remy provided an update on sports wagering issues after the Supreme Court of the United States decision invalidating the Professional and Amateur Sports Protection Act (PASPA). Comstock informed the Board of Governors that in an effort to examine the long-term impact of legal sports wagering on college sports, an internal task force has been created to explore how best to protect game integrity, monitor betting activity, manage sports data and expand educational efforts. Comstock noted that six focus areas have been identified: 1) Education; 2) Integrity services; 3) Information/data management; 4) NCAA legislation and policy; 5) Political landscape; and 6) Officiating. The key to success will be the task force working in partnership and collaboration with the membership.

Remy reminded the board that in the initial discussions following the United States Supreme Court decision, the Executive Committee agreed that the Association should work in concert

with other professional and amateur sports organizations to pursue a core federal regulatory framework for legalized sports betting to ensure student-athlete well-being and the integrity of amateur and professional sports. The NCAA government relations staff have been active in Washington, D.C. in pursuit of such regulation.

The Governors noted the significance of the legalization of sports wagering and the urgency to address several of the areas identified by the task force and voted to adopt the following resolution:

On May 14, 2018, the Supreme Court of the United States issued its opinion in Murphy vs National Collegiate Athletic Association, et. al, which struck down the Professional and Amateur Sports Act (PASPA), a federal ban on state-sponsored sports betting and legalized sports wagering, including single-game sports wagering on competitions between NCAA members in various sports.

On May 14, 2018, the NCAA Board of Governors Executive Committee convened to discuss the Supreme Court decision and the Association's championships policy related to sports wagering, which prohibited any championship competition from occurring in any state that allows single-game sports wagering. The Executive Committee was informed of the number of states that had enacted legislation to legalize sports wagering if PASPA were deemed unconstitutional, as well as the growing number of states considering similar legislation. The Executive Committee recommended that the NCAA championships policy related to sports wagering be suspended immediately.

On May 16, 2018, the Board of Governors voted to suspend the NCAA championships policy related to sports wagering and to allow for championships hosting in locations regardless of whether a state is considering, or has legalized, sports wagering. The board's decision provided championship location continuity as states contemplate whether to allow legalized sports betting.

WHEREAS the Board of Governors has suspended the NCAA championships policy related to sports wagering, the NCAA remains committed to protecting the integrity of college sports and is working with the NFL and other professional and amateur sports organizations to call on Congress to enact a core regulatory framework for legalized sports betting.

WHEREAS some have advocated that NCAA members financially benefit from new state laws, including integrity fees levied on sports wagering revenues, the NCAA chooses to focus its attention on education, the protection of student-athletes and staff, and a standard approach to game integrity through consistent national guidelines.

WHEREAS the landscape is changing, the NCAA must evolve and expand its long-standing efforts to protect both the integrity of competitions and the well-being of student-athletes.

WHEREAS student-athlete well-being is at the core of NCAA values, the Association will continue to build on its efforts related to policy, research and education around sports wagering to assist members as they adapt to legalized sports wagering in their states and regions.

NOW THEREFORE BE IT RESOLVED by unanimous vote that the Board of Governors reaffirm its support to maintain current NCAA legislation that prohibits NCAA student-athletes, member institutions' athletics staff and non-athletics staff with athletics responsibilities, and conference office staff from participating in sports wagering activities. Further, the Board directs the divisional governance bodies to examine existing legislation related to sports wagering and explore whether additional legislation is appropriate recognizing a change in environment that includes legalized sports wagering, but that also safeguards fair competition and ethical practices expected in intercollegiate athletics programs and benefits nearly 500,000 student-athletes.

8. Law, Policy and Governance Strategic Discussion.

- a. Government relations.** The Governors were informed of the quarterly government relations activity report included in their meeting materials.
- b. Legal and litigation update.** NCAA Vice President and General Counsel Scott Bearby facilitated a privileged and confidential discussion regarding several matters of ongoing litigation.

9. NCAA Board of Governors' Executive Committee report. President Peterson reported on matters considered in the Board's Executive Committee meeting earlier in the day.

10. Executive Session. The Governors convened in executive session to discuss various administrative matters.

Members of the Division I Board of Directors who do not serve on the Board of Governors joined the meeting at this time.

11. Commission on College Basketball Association-wide recommendations. President Ronald Machtley, chair of the Association-wide Issues Topical Working Group, presented to the Board of Governors the working group's recommendations to address the Association-wide issues included in the Commission on College Basketball report. The working group was charged with addressing two Commission recommendations:

- a. Adding independent members to the Board of Governors.** To add at least five independent Board of Governors members with the experience, stature and objectivity

to assist the NCAA in re-establishing itself as an effective and respected leader, and that one of the independent members shall also serve as a member of the Board of Governors Executive Committee.

- (1) Legislative recommendation. President Machtley informed the board that a change in the composition of the Board of Governors requires a two-thirds majority vote by all three divisions voting together in a joint session at the annual NCAA Convention and only the Board of Governors can sponsor such legislation. President Machtley noted that the working group recommended that the board sponsor legislation for the 2019 NCAA Convention that would:
 - (a) Increase the number of members from 20 to 25 by adding five independent voting members;
 - (b) Define an independent member;
 - (c) Specify that an independent member shall be appointed to a three-year term that is renewable for one additional three-year term; with the first group of five independent directors having a staggered initial term;
 - (d) Specify that the Board of Governors shall issue a call for nominations when a vacancy for an independent member occurs; with nominations from any source to the Executive Committee of the Board of Governors; and
 - (e) Specify that the Board of Governors shall serve as the final authority for the election of and additional duties assigned to the independent members

The Commission on College Basketball recommended that the NCAA restructure its highest governance body, the Board of Governors, to include at least five independent members with the experience, stature and objectivity to assist the NCAA in re-establishing itself as an effective and respected leader and regulator of college sports. One of these independent members will also serve on the Board of Governors Executive Committee. The current Board of Governors includes 16 institutional presidents or chancellors representing each division as voting members, the chairs of the Division I Council and the Division II and III Management Councils as ex-officio nonvoting members, and the NCAA president (who may vote in case of a tie). Like public companies, major non-profit associations typically include outside board members to provide objectivity, relevant experience, perspective and wisdom. Board members with those qualities will provide valuable insight to the NCAA generally as it works towards the restoration of public confidence in college basketball and college sports in general. The Board of Governors will issue a formal call for nominations to fill vacancies; appoint the Board of Governors Executive Committee as the nominating committee; and serve as the final authority for the selection of and additional duties assigned to the independent members.

It was VOTED

“It was voted that the Board of Governors sponsor legislation for the 2019 NCAA Convention to add five independent members to the board as specified above and that the legislation be effective not later than August 1, 2019.” (For 16, Against 0, Abstain 0)

- (2) Policy recommendations. President Machtley reported that the working group recommended the following Board of Governors policy changes to support the five independent members serving on the Board of Governors:
- (a) That its Executive Committee shall serve as the nominating committee for independent members and that the nominating committee shall vet and make recommendations on these individuals to serve as independent representatives for final approval by the Board of Governors. When possible, consideration should be given to ensure that these five new positions enhance the gender, diversity and ethnicity of the Board of Governors.
 - (b) That the composition of the Executive Committee be amended to add one independent member (lead independent member); that the independent members shall vote annually to determine the lead independent member; and that an independent member shall serve no more than three years as the lead independent member.
 - (c) That the terms of the first selected independent members be staggered as follows:
 - i. One independent Governor – one-year term (August 1, 2019, through August 31, 2020), **automatically** renewed for an additional three-year term (September 1, 2020, to August 31, 2023)
 - ii. Two independent Governors – two-year term (August 1, 2019, through August 31, 2021), **automatically** renewed for an additional three-year term (September 1, 2021, through August 31, 2024); and
 - iii. Two independent Governors – three-year term (August 1, 2019, through August 31, 2022), renewable for an additional three-year term (September 1, 2022, through August 31, 2025).

It was VOTED

“That the Board of Governors approve the recommended policy changes specified above, effective immediately following the adoption of legislation to add five independent members to the Board of Governors for Item No. 11-a-(2)-(a), which establishes the Executive Committee as the Nominating

Committee. Item Nos. 11-a-(2)-(b) and 11-a-(2)-(c) would be effective not later than August 1, 2019.”

- b. Annual certification of compliance.** To require coaches, athletics directors and college presidents to certify annually that their athletics programs comply with NCAA rules and that the NCAA rules should provide for significant penalties for those individuals if they knew or after appropriate due diligence should have known of violations and did not address them.

- Divisional Legislation. President Machtley reported that the working group recommended the Board of Governors direct each division to consider adopting legislation to specify that in order to enter a team or to enter an individual into a NCAA championship, an institution’s president or chancellor and all athletics department staff members (full time, part time, clerical, volunteer) shall attest that the membership obligations of Constitution 2.1 (institutional control and responsibility) and Constitution 2.8 (rules compliance) have been met; and to specify that an institution that fails to complete the annual institutional attestation by September 15 shall be subject to removal from and/or ineligibility of individuals to serve on an NCAA board, council or committee.

It was VOTED

“That the Board of Governors direct each division to consider adopting legislation as specified above to complete an annual certification of compliance attestation, effective August 1, 2019.” (For 16, Against 0, Abstain 0)

- Increased penalties. The working group recommended that the Board of Governors charge the NCAA Divisions I, II and III Committees on Infractions to determine the appropriate penalties for those individuals required to attest to certification of compliance if they knew or after appropriate due diligence should have known of violations and did not address them.

It was VOTED

“That the Board of Governors charge the NCAA Divisions I, II and III Committees on Infractions to determine the appropriate penalties for those individuals if they knew or should have known of violations and did not address them.” (For 16, Against 0, Abstain 0)

12. Adjournment. The meeting adjourned at approximately 5:33 p.m.

Board of Governors chair: G.P. “Bud” Peterson, Georgia Institute of Technology

Staff liaisons: Jacqueline Campbell, law, policy and governance

Donald M. Remy, law, policy and governance.

August 7, 2018, Meeting	
Attendees	
Eli Capilouto, University of Kentucky	
Philip DiStefano, University of Colorado, Boulder	
Jeffrey Docking, Adrian College	
Mark Emmert, NCAA	
Burns Hargis, Oklahoma State University	
Dianne Harrison, California State University, Northridge	
Sue Henderson, New Jersey City University	
Susan Herbst, University of Connecticut	
Shantey Hill, St. Joseph's College, Long Island	
Glendell Jones, Jr., Henderson State University	
Eric Kaler, University of Minnesota, Twin Cities	
Ronald K. Machtley, Bryant University	
Gary Olson, Daemen College	
Pennie Parker, Rollins College	
Bud Peterson, Georgia Institute of Technology	
Nayef Samhat, Wofford College	
Samuel Stanley, Stony Brook University	
Denise Trauth, Texas State University	
Satish Tripathi, University at Buffalo, The State University of New York	
Absentees	
Blake James, University of Miami	
Guests	
Frank Bonner, Gardner-Webb University	
Dean Bresciani, North Dakota State University	
Walter E. Carter, United States Naval Academy	
Christine Copper, United States Naval Academy	
Philip DuBois, University of North Carolina at Charlotte	
Anthony J. Frank, Colorado State University	
Andrew Hugine, Jr., Alabama A&M University	
Noah Knight, University of Missouri, Kansas City, Division I Student-Athlete Advisory Committee	
James J. Maher C.M., Niagara University	
Jane Miller, University of Virginia	
Michael Rao, Virginia Commonwealth University	
NCAA staff liaisons in attendance	
Jacqueline Campbell and Donald Remy.	
Other NCAA staff in attendance	
Katrice Albert, Scott Bearby, Joni Comstock, Diane Dickman, Dan Dutcher, Kimberly Fort, Jenn Fraser, Dan Gavitt, Terri Gronau, Michelle Hosick, Kevin Lennon, Felicia Martin, Kathleen McNeely, Stacey Osburn, Dave Schnase, Naima Stevenson, Cari Van Senus, Bob Williams and Leeland Zeller.	

Report is not final until approval of the Board of Governors.

From: [Jeff Docking](#)
To: [Kresge, Debra](#)
Subject: Association-wide vote at the 2019 NCAA Convention to add five public members to the NCAA Board of Governors
Date: Thursday, September 20, 2018 1:12:54 PM

To view this email as a web page, go [here](#).



At its 2019 Convention, the NCAA membership will vote on a proposal to add five (5) public members to the Association's Board of Governors. This proposal was part of a series of recommendations forwarded to the governors in April by the Commission on College Basketball (chaired by former U.S. Secretary of State Condoleezza Rice) and approved unanimously. You can access the commission's full report [here](#).

In particular, please note that the vote on this proposal will occur during a joint business session of the entire Association on Thursday, January 24, at 5:45 p.m. in Grand Ballroom 7-8 at the Orlando World Center Marriott, immediately following the NCAA Plenary Session: State of College Sports.

Enclosed are links to "[Key Points](#)," to consider regarding this proposal, as well as a thorough series of relevant "[Questions and Answers](#)." I believe you will find this information very informative. You can access the actual draft legislative proposal [here](#), as well as via the Second Publication of Proposed Legislation (SPOPL) which will be released via LSDBI on September 21.

As the commission's report and these materials suggest, this proposal is a unique opportunity to broaden the membership of the Association's highest policy-making body, consistent with best practices in nonprofit associations. It also will better position the Association to address its future challenges. As many of you know, this has been an expressed goal of the Division III Presidents Council and leadership for several years. For this reason, I encourage you to support the proposal.

I urge you to discuss this proposal during upcoming meetings on campus, within your athletics conference, and with other colleagues in higher education and intercollegiate athletics. If you have any questions or comments regarding the proposal, I encourage you to share them directly with me (jdocking@adrian.edu) or with Division III vice president Dan Dutcher (ddutcher@ncaa.org).

Thank you for your on-going commitment to the NCAA, and to Division III and its 190,000 student-athletes.

Jeff Docking

Division III Presidents Council chair
Adrian College
w: 517/264-3167
jdocking@adrian.edu

This email was sent to ALL DIVISION III PRESIDENTS AND CHANCELLORS; ATHLETICS DIRECTORS; SENIOR WOMAN ADMINISTRATORS; FACULTY ATHLETICS REPRESENTATIVES; ATHLETICS DIRECT REPORTS; AND CONFERENCE COMMISSIONERS.



National Collegiate Athletic Association 700 W. Washington St., Indianapolis, IN, 46204 US

This email was sent to: dkresge@ncaa.org

[View privacy policy](#)

2019 NCAA Convention -- Association-wide Vote
Board of Governors Sponsored Legislation

Key Points

- If adopted by the NCAA membership in January, five public members not affiliated with the NCAA or member schools will join the NCAA Board of Governors as voting members to bring fresh perspectives and independent judgment to the board's oversight of the entire Association.
- Adding independent members will not only help build public confidence in the NCAA, it also represents a governance best practice in the non-profit, higher education and corporate sectors while providing opportunity to add diversity to the board.
- By definition, an independent member is not employed by or serving on the board of any member school, conference or affiliate. Further, these individuals would have the background and professional experience to provide fresh perspective and insight to the board's decision-making and oversight function.
- Each of the five independent members would be nominated by the Board of Governors Executive Committee and approved by the full board. Each would serve a three-year term, which can be renewed once for an additional three-year term.
- All three divisions have representation on the Board of Governors Executive Committee. Specifically, the committee includes the chair and vice chair of the Board of Governors (who must represent different divisions) and the members of the board who are the chairs of each divisional presidential body (Division I Board of Directors, Division II Presidents Council, Division III Presidents Council). When the chair or vice chair is also a divisional chair, his or her division shall designate another president who is already a member of the board to serve on the Executive Committee.
- One independent member, voted on annually by all five of the independent members, would serve as the lead independent member on the Board of Governors Executive Committee and could serve in that role up to three years.
- The discussion and vote on the proposal will take place at the 2019 NCAA Convention on Thursday, Jan. 24, immediately after the NCAA Plenary Session: State of College Sports. The Association-wide Business Session will begin at 5:45 p.m. Eastern time in Grand Ballroom 7-8 at the Orlando World Center Marriott.

- The proposal will be voted on by roll call. Each active member institution and conference (all three divisions) present for the Association-wide Business Session will be permitted to register one vote on the proposal via an electronic voting unit.
- The terms of the five independent members will begin Aug. 1, 2019, if this change is adopted at the 2019 NCAA Convention.

Attached is a Q&A document.

ORGANIZATION – BOARD OF GOVERNORS – INDEPENDENT MEMBERS

Updated: September 14, 2018

Nominations

Q: When will the Board of Governors issue a call for nominations for independent members?

A: A call for nominations for the inaugural group of five independent members will be issued shortly after adjournment of the 2019 NCAA Convention. Thereafter, a call for nominations will occur when a vacancy for an independent member(s) is available on the board.

Q: Who is eligible to serve as an independent member?

A: The Board of Governors will develop formal policies and procedures before the Association vote in January 2019 to ensure and maintain that individuals nominated to serve as independent members are in fact independent and have the appearance of independence. As such, certain individuals will not be eligible to serve given a potential conflict of interest (e.g., individuals on the staff at an active member institution or conference).

Q: May any individual be nominated as an independent member of the Board of Governors?

A: Yes, as long as they meet the requirements as an independent member.

Q: May an individual self-nominate as an independent member?

A: Yes.

Q: Which entities (e.g., active member conference, governance council or committee) may nominate an individual as an independent member of the Board of Governors?

A: Any entity may nominate an individual(s).

Nominating Committee

Q: What entity will serve as the nominating committee to vet the nominated individuals and recommend a slate of independent members for full approval by the Board of Governors?

A: The Board of Governors Executive Committee.

Q: Is the Executive Committee a standing and functioning committee of the Board of Governors?

A: Yes.

Q: What is the composition of the Executive Committee?

A: The Executive Committee includes representation of all three divisions. Specifically, the committee includes the chair and vice chair of the Board of Governors (who must represent different divisions) and the members of the governors who are the chairs of each divisional presidential body (Division I Board of Directors, Division II Presidents Council, Division III Presidents Council).

Q: Are substitutes permitted on the Executive Committee?

A: No. However, in the event that the chair or vice chair of the governors is also a divisional chair, then that division shall designate another president who is already a member of the governors (e.g., Council vice chair) to be a member and serve on the Executive Committee.

Q: Will the composition of the Executive Committee be amended to add an independent member?

A: Yes. The lead independent member will serve on the Executive Committee.

Q: How will the lead independent member be selected to serve on the Executive Committee?

A: The five independent members will vote annually to determine the lead independent member.

Q: Will the lead independent member have voting rights on the Executive Committee?

A: Yes.

Terms of Service

Q: What will be the term of service of independent members?

A: An independent member shall be appointed to a three-year term that is renewable for an additional three-year term. An independent member who has served two terms shall not serve further on the Board of Governors.

Q: When will the term of service begin for the inaugural group of five independent members?

A: August 1, 2019.

Q: Will the inaugural group of five independent members have staggered terms for purposes of continuity?

A: Yes. The Board of Governors adopted a policy to create the following staggered terms for the inaugural group of five independent members:

One independent governor – one-year term (August 1, 2019, through August 31, 2020), automatically renewed for an additional three-year term (September 1, 2020, through August 31, 2023);

Two independent governors – two-year term (August 1, 2019, through August 31, 2021), automatically renewed for an additional three-year term (September 1, 2021, through August 31, 2024); and

Two independent governors – three-year term (August 1, 2019, through August 31, 2022), renewable for an additional three-year term (September 1, 2022, through August 31, 2025).

Q: What will be the term of service of the lead independent member?

A: An independent member shall serve no more than three years as the lead independent member.

Duties, Responsibilities and Obligations

Q: What will be the duties and responsibilities of independent members?

A: Independent members will have the same duties and responsibilities of all governors. See Constitution 4.1.2 (duties and responsibilities). In addition, independent members will be responsible for meeting current Board of Governors policies and procedures regarding the duty of care, duty of loyalty, and duty of obedience.

Q: Will independent members be required to adhere to the NCAA Conflict of Interest Policy?

A: Yes.

Q: Will each independent member have full voting rights on the Board of Governors?

A: Yes.

Q: Will independent members be eligible to serve on other committees of the Board of Governors, in addition to the Executive Committee?

A: Yes.

Q: Will independent members receive an orientation regarding duties, responsibilities and expectations before their service on the Board of Governors?

A: Yes.

Q: Will the NCAA cover limited expenses for independent members to travel to Board of Governors meetings?

A: Yes. Policies for reimbursement of travel expenses and per diem that apply to other Board of Governors members will also apply to independent members.

Prior Discussions

Q: What was the result of prior discussions to expand the composition of the Board of Governors?

A: In April 2017, the governors accepted a recommendation from the Ad Hoc Committee on Structure and Composition that no change be made to the composition of the Board of Governors at that time. The ad hoc committee recommended that at the conclusion of the Division I Board of Directors' review of its composition, and if the governors deem it appropriate, additional conversations occur to address the possibility of an "untethered" model for Board of Governors composition and to align the timing of appointments and term expirations of members of the three divisional bodies who serve on the Board of Governors.

- Q: How was the proposed structure and nominating process developed?
- A: A team of presidents representing all three divisions developed the process with the assistance of NCAA staff members.

Procedural Issues

- Q: What is a dominant provision?
- A: A rule that applies to all members of the Association and is of sufficient importance to the entire membership that it requires a two-thirds vote of all delegates present and voting in a joint session at an annual or special Convention.
- Q: How are dominant provisions identified in the NCAA Manual?
- A: The rule is accompanied by an asterisk (*).
- Q: Is the Board of Governors the only body that has the authority to sponsor an amendment to a dominant provision?
- A: Yes.
- Q: Does an active member institution or conference have the authority to sponsor an amendment-to-amendment of this proposal?
- A: No. The Board of Governors is the only body that may sponsor an amendment-to-amendment of a proposal amending a dominant provision.
- Q: How does an institution or conference appoint a delegate to vote on this proposal?
- A: Presidents, chancellors, directors of athletics and commissioners will receive emails in mid-November providing instructions to gain entry to the school- or conference-specific Appointment of Delegate Form.
- Q: What is the time and date for the vote on this proposal?
- A: The discussion and vote will occur at the 2019 NCAA Convention on Thursday, January 24, immediately after the NCAA Plenary Session: State of College Sports. The Association-wide Business Session will begin at 5:45 p.m. in Grand Ballroom 7-8 at the Orlando World Center Marriott.

Q: Will delegates be permitted to discuss the proposal during the session before the vote?

A: Yes. Delegates with speaking rights will be permitted to discuss the proposal on the floor before the vote. Delegates with speaking rights include the following individuals (see Constitution 5.1.3.6.1):

- The three or four accredited delegates representing an active member institution or conference with voting privileges (see Constitution 5.1.3.1.1).
- The single accredited delegate representing a member conference without voting privileges (see Constitution 5.1.3.1.2) or the single accredited delegate representing an affiliated or provisional member.
- Any member of the Board of Governors, the divisional governance entities in Constitution 4 (e.g., Board of Directors; Presidents Council; Management Council), and the respective chairs of the NCAA committees listed in Bylaw 21.
- Any member of a division's national Student-Athlete Advisory Committee.

Q: What is the process for voting?

A: The proposal will be voted on by roll call. Each active member institution and conference present for the Association-wide Business Session will be permitted to register one vote on the proposal via an electronic voting unit.

Q: Will each divisional Student-Athlete Advisory Committee have one vote respectively on this proposal?

A: No.

Q: Is a quorum required for the vote?

A: Yes. One hundred, active member institutions and conferences constitute a quorum for the transaction of the Association's business.

Q: May an active member institution or conference vote by proxy?

A: No.

Q: When and where does the voting delegate from an active member institution or conference obtain the smart card and voting unit?

A: The smart card will be included in the active member institution or conference voting delegate's packet upon pickup from Convention registration. The voting units will be available to the voting delegates on the day of the vote and before to entry in the ballroom where the vote will take place.

Q: Will reconsideration of the original vote on the proposal be permitted?

A: Yes. After an affirmative or negative vote on an amendment to a dominant provision, any member that voted on the prevailing side in the original consideration may move for reconsideration. Only one motion for reconsideration is permitted.

ORGANIZATION – BOARD OF GOVERNORS – INDEPENDENT MEMBERS

Convention Year: 2019

Date Submitted: August 7, 2018

Effective Date: August 1, 2019

Source: NCAA Board of Governors

Proposal Category: Board of Governors

Topical Area: Organization

Intent: To amend legislation related to the NCAA Board of Governors, as follows: (1) Increase the number of members from 20 to 25 by adding five independent voting members; (2) Define an independent member; (3) Specify that an independent member shall be appointed to a three-year term that is renewable for an additional three-year term, and that an independent member who has served two terms shall not serve further; (4) Specify that the Board of Governors shall issue a call for nominations when a vacancy for an independent member occurs; and, (5) Specify that the Board of Governors shall serve as the final authority for the selection of and additional duties assigned to the independent members.

A. Constitution: Amend 4.02, as follows:

[Dominant provision, all divisions, common vote]

4.02 Definitions and Applications.

[4.02.1 through 4.02.3 unchanged.]

4.02.4 Independent Member of the Board of Governors. An independent member of the Board of Governors shall be an individual who is not salaried by an NCAA member institution, conference or affiliated member, and shall be verified as independent by the Board of Governors.

[4.02.4 through 4.02.4.1 renumbered as 4.02.5 through 4.02.5.1, unchanged.]

B. Constitution: Amend 4.1, as follows:

[Dominant provision, all divisions, common vote]

4.1 Board of Governors.

4.1.1 Composition. The Board of Governors shall consist of ~~20~~ **25** members. The NCAA president and the chairs of the Division I Council and the Division II and Division III Management Councils shall be ex officio nonvoting members, except that the NCAA president is permitted to vote in the case of a tie among the voting members of the Board of Governors present and voting. The other ~~16~~ **21** voting members of the Board of Governors shall include:

[4.1.1-(a) through 4.1.1-(c) unchanged.]

(d) Two Division II presidents or chancellors from the Division II Presidents Council; ~~and~~

(e) Two Division III presidents or chancellors from the Division III Presidents Council; **and**

(f) Five independent members (see Constitution 4.02.4).

4.1.2 Duties and Responsibilities. The Board of Governors shall:

[4.1.2-(a) and 4.1.2-(k) unchanged.]

(l) Review and coordinate the catastrophic-injury and professional career insurance (disability injury/illness) programs; ~~and~~

(m) Compile the names of those individuals associated with intercollegiate athletics who died during the year immediately preceding the annual Convention;

(n) Issue a call for nominations when a vacancy for an independent member occurs on the Board of Governors; and

(o) Serve as the final authority for the selection of and additional duties assigned to independent members of the Board of Governors.

4.1.3 Election/Term of Office.

[4.1.3.1 unchanged.]

4.1.3.2 Terms **of Office**.

(a) President or Chancellor Members. The terms of ~~service~~ **office** of **president or chancellor** members of the Board of Governors shall coincide with their service on the applicable divisional presidential governing body, unless otherwise specified by that governing body.

(b) Independent Members. An independent member of the Board of Governors shall be appointed to a three-year term that is renewable for an additional three-year term. An independent member who has served two terms shall not serve further on the Board of Governors.

[4.1.3.3 unchanged.]

Rationale: The Commission on College Basketball, chaired by former Secretary of State Condoleezza Rice, recommended that the NCAA restructure its highest governance body, the Board of Governors, to include at least five independent members with the experience, stature and objectivity to assist the NCAA in re-establishing itself as an effective and respected leader and regulator of college sports. One of these independent members will also serve on the Board of Governors Executive Committee. The current Board of Governors includes 16 institutional presidents or chancellors representing each division as voting members, the chairs of the Division I Council and the Division II and III Management Councils as ex-officio nonvoting members, and the NCAA president (who may vote in case of a tie). Like public companies, major non-profit associations typically include outside board members to provide objectivity, relevant experience, perspective and wisdom. Board members with those qualities will provide valuable insight to the NCAA generally as it works towards the restoration of public confidence in college basketball and college sports in general. The Board of Governors will issue a formal call for nominations to fill vacancies; appoint the Board of Governors Executive Committee as the

nominating committee; and serve as the final authority for the selection of and additional duties assigned to the independent members.

Estimated Budget Impact: \$25,000 each fiscal year [committee expenses (e.g., travel, hotel, per diem) for the five independent members to attend Board of Governors in-person meetings (four times per year)].

Student-Athlete Impact: None.

Review History: August 8, 2018: Approved in Legislative Format – Board of Governors



The NCAA and Legalized Sports Wagering

Sports Wagering

Goal:

Undertake efforts to both support the well-being of NCAA student-athletes and protect the integrity of NCAA competition within the environment of increased legalized sports wagering.

Sports Wagering

Strategic Areas to Address:

1. Education.
2. Competition Integrity.
3. NCAA Legislation and Policy.
4. Information/Data Management.
5. Officiating.
6. Political Landscape (State and Federal Legislation).

Sports Wagering

➤ Education.

- Implement comprehensive educational programming related to sports wagering across constituencies.
 - FAQ document distributed to the membership in August.
 - New content to include information related to NCAA rules and student-athlete health and well-being considerations (gambling and addictive behavior).
 - Create a sports wagering fact sheet geared to student-athletes.
 - Refresh and expand the Don't Bet On It campaign materials.
 - Conduct a 2019 NCAA Convention session on sports wagering.
 - Consider delivery methods of educational programming, including an online platform.

Sports Wagering

➤ Competition Integrity.

- Monitoring NCAA competition.
 - Competition integrity to be prevention focused and include monitoring, detection and awareness elements.
 - Short-term strategy in place for the 2018-19 academic year.
 - Long-term strategy to be in place prior to the 2019-20 academic year.

➤ NCAA Legislation and Policies.

- Review NCAA legislation and policies.
 - Board of Governors to establish Association-wide committee to engage in review of current legislation. Identify changes and potential new legislation that may need to be considered.
 - Engagement with SAAC on review of legislation and policies.

Sports Wagering

➤ Information/Data Management.

- Player availability.
 - Association-wide committee to address within legislative review.
 - Student-athlete privacy considerations.

➤ Officiating.

- Review the background check program.
 - Enhancement of current program; sports and depth of check.
 - Collaboration with conferences.
 - Identify education opportunities for officials.

Sports Wagering

➤ **Political Landscape (State and Federal Legislation).**

- Continued collaboration with lawmakers regarding federal guidelines and other action.
- Monitor state legislative actions.



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NCAA Government Relations Report

(September 2018)

Congressional Overview

As Congress moves closer to the November midterm elections, a significant amount of time is being devoted to the confirmation process for Judge Brett Kavanaugh along with several lingering legislative priorities. With only a simple majority needed for confirmation, the next several weeks will be spent speculating on whether Judge Kavanaugh will garner the necessary votes for appointment. In addition to these votes, Congress is expected to work on opioid legislation, an FAA Reauthorization proposal, and spending bills for fiscal year 2019 prior to the September 30 government funding deadline. It is expected that Congress will leave Washington for the campaign trail by mid-October and return for a lame-duck session following the elections.

Members of Congress continue to have an interest in sports, particularly on health and safety matters related to amateur and professional athletics.

Federal Issues

Sports Wagering

Following the Supreme Court's decision in May, which allowed the possibility of legalized sports gambling nationwide, the NCAA worked with the NFL and others to urge Congress to pass legislation creating statutory and regulatory standards that protect the integrity of amateur and professional sports and athletes who compete at all levels.

Senator Hatch (R-UT) has taken the lead on this issue. He spoke on sports gambling on the Senate floor, noting his concern with legalized sports betting and the need for consistent minimum federal standards. Senator Hatch also publicly announced his intention to introduce legislation setting forth fundamental federal standards, which is expected to be released this legislative session. On August 29, 2018, Senate Minority Leader Chuck Schumer (D-NY) released a framework on sports betting to protect consumers and games from potential corruption. In line with the NCAA's approach, Senator Schumer encouraged the creation of strong national integrity standards for the sports gambling industry.

On September 27, 2018, the House Judiciary Subcommittee on Crime, Terrorism, Homeland Security, and Investigations held a hearing titled *Post-PASPA: An Examination of Sports Betting in America*. Witnesses addressed the Subcommittee, chaired by Representative Jim Sensenbrenner (R-WI), on a variety of issues surrounding legalized sports betting. Witnesses included Jocelyn Moore, NFL; Les Bernal, Stop Predatory Gambling; Sara Slane, American Gaming Association; Jon Bruning, Coalition to Stop Online Gambling; and Becky Harris, Nevada Gaming Control Board.

NCAA government relations staff will continue to communicate our priorities to a broad, bipartisan group of interest holders. We will also continue to closely monitor how other leagues and stakeholders respond to our approach and how state legislation on this topic progresses.

Health & Safety

On January 5, 2017, Representative Brett Guthrie (R-KY) introduced H.R. 302, the Sports Medicine Licensure Clarity Act of 2017. Shortly after introduction, the measure passed the House of Representatives. The proposal would allow sports medicine professionals traveling with teams across state lines to remain covered by their medical liability insurance. The bill would eliminate ambiguities with current law that place sports medicine professionals at risk of personal liability when providing care to athletes outside of their home state. On September 6, 2018, the bill passed the Senate with minor changes. The House of Representatives will need to approve the slightly amended version of the bill before it can be sent to the White House where it will await the President's signature. The NCAA has been supportive of this measure and will continue to work with sponsors, and a wide range of medical organizations, to seek final passage.

On September 7, 2018, Representative Al Lawson (D-FL) introduced H.R. 6749, the National Collegiate Athletics Advancement Act of 2018. By amending the Higher Education Act of 1965, the proposal, among other things, raises issues regarding scholarships and student-athlete health insurance. H.R. 6749 has not received any legislative attention and currently has no cosponsors.

The Commission on College Basketball

Since the creation of the Commission on College Basketball last fall, NCAA government relations staff has been proactive in educating interested policymakers on the recommendations set forth by the Commission and the Association's timeline for implementation. On August 8, 2018, the NCAA government relations office provided coordinated outreach to policymakers, informing them on the NCAA Board of Governors and Division I Board of Directors adoption of a series of policy and legislative changes stemming from the Commission's recommendations. With more recommendations scheduled to be considered at the 2019 NCAA Convention in January, we will continue to communicate updates to interested parties to keep them aware of actions that alter the structure of the NCAA and college basketball.

Earlier this year, the NCAA received a letter from the Congressional Black Caucus (CBC) NCAA Task Force, urging the Association to reexamine the NCAA's operating model to ensure fairness in the relationship between student-athletes and member institutions. In addition to responding to the letter, NCAA staff participated in a roundtable discussion on some of the challenges facing college athletics and ongoing efforts to ensure the well-being of student-athletes and fairness within college athletics. NCAA government relations staff has also updated the Task Force on the August vote by the NCAA Board of Governors and Division I Board of Directors, implementing the policy and legislative initiatives stemming from the Commission on College Basketball's recommendations.

State Issues

The majority of state legislatures have adjourned for the year with only Michigan, New Jersey, Ohio, and Pennsylvania remaining in regular session. The November elections have the potential to alter the political landscape in Congress and in state governments. Among the nation's governors, 16 are Democrats, 33 are Republicans, and one is Independent. This year, 36 gubernatorial elections will occur.

Sports Wagering

Following the Supreme Court's ruling in *Murphy v. NCAA et. al*, state legislatures have had an increased interest in sports wagering stemming from the possibility of new tax revenue. Currently, nine states have authorized legal sports betting. These states are Connecticut, Delaware, Mississippi, Nevada, New Jersey, New York, Pennsylvania, Rhode Island, and West Virginia. While over 20 states considered bills on whether to legalize sports betting this year, only two remain active – Michigan and Ohio. A bill is also being considered in Washington, D.C. Bets are currently being accepted in Nevada, Delaware, New Jersey, Mississippi, and West Virginia and are expected to occur in Rhode Island and Pennsylvania by the end of the year.

The Association supports strong regulatory standards and will continue to express the need to include these standards in proposals considered by state legislatures.

Student-Athlete Health & Well-Being

On June 26, 2018, North Carolina SB 335, a proposal that had been active since March of last year, became law without the signature of Governor Roy Cooper (D). Authored by North Carolina Senator Warren Daniel (R), the measure, among other things, creates the Legislative Commission on the Fair Treatment of College Student-Athletes, which will study a variety of issues related to college athletics. The Commission is made up of a dozen North Carolina lawmakers and is scheduled to submit its report on college athletics by March 1, 2019, whereby the Commission will be terminated. The Commission's first meeting is scheduled for October 3, 2018.

Daily Fantasy Sports

Over the last two years, nearly 20 states have passed bills legalizing daily fantasy sports; however, due to the Court's ruling in *Murphy*, very little has occurred on this topic over the last several months. We continue to work with member institutions and other stakeholders to ensure proposals introduced include a carveout prohibiting contests that include college, high school, or youth athletics.

Higher Education Associations

NCAA government relations staff continues to build strong relationships with various higher education associations. The American Council on Education (ACE), the Association of Public and Land-grant Universities (APLU) and the National Association of Colleges and University Business Officers (NACUBO), among others, continue to provide guidance and support on issues of common interest. The NCAA government relations office looks forward to continuing these mutually beneficial relationships to better formulate and further the NCAA's legislative goals.



NCAA Sport Science Institute and Committee for Competitive Safeguards and Medical Aspects of Sport

Strategic Priorities Timeline

Last Update: September 24, 2018

NOTE: All dates are estimates and may change in response to external factors

Strategic Priority	Initiative	Summary	Anticipated Deliverable	Estimated Timeline
Athletics Health Care Administration	NATA-NCAA Summit on the Organizational and Administrative Aspects of Athletic Health Care in College / University Settings	<p>Will result in an interassociation consensus statement or summary report about key organizational and administrative aspects of athletics health care delivery.</p> <p>This document will be intended to contribute to an NCAA member school's ability to meet evolving interassociation health and safety standards for college student-athletes.</p> <p>No public documents were produced during the meeting</p>	Interassociation recommendations*	<p>Event date: Jan. 2017</p> <p>Document drafting: through September 2018</p> <p>Membership & external review: Initiated in January 2019, expected to take several months.</p> <p>External review & endorsement: Initiated in March 2019</p> <p>CSMAS review and endorsement: June 2019</p> <p>BOG review and endorsement: August 2019</p> <p>Final deliverable: TBD</p>
Concussion	2 nd Annual Task Force on Football Data	A closed meeting to review emerging data from the		<p>Event date: February 25-26, 2019</p> <p>Document drafting: March 2019</p>

		CARE Consortium and NCAA ISP.		Membership review: Not necessary. CSMAS review and endorsement: March 2019 (no endorsement) BOG review and endorsement: N/A Final deliverable: April 2019
Data-driven Decisions	Integrated Technology in Coaching and Athletic Health Care	SSI will host a meeting to discuss issues arising for both coaching and the delivery of athletic health care from the use of wearable technologies (e.g., global positioning systems; heart rate monitors).	To Be Determined	Anticipated Event date: TBD Document drafting: Membership review: CSMAS review and endorsement: BOG review and endorsement: Final deliverable:
Doping & Substance Abuse	Pain Management in the Collegiate Athlete Task Force	SSI will host a discussion focused on pain management for the collegiate athlete.	Interassociation recommendations*	Event date: July 10-11, 2018 Document drafting: Thru December 2018 Membership review: Thru April 2019 CSMAS review and endorsement: June 2019 External review and endorsement: Thru November 2019 BOG review and endorsement: January 2020 Final deliverable: TBD
Mental Health	Task Force to Advance Mental Health Best Practice Strategies	SSI will host a task force that will serve as a follow-up to the 2013 Mental Health Task	Educational tools†	Event date: November 9-10, 2017

		Force. The 2017 task force will identify strategies and resources that support the implementation of the Mental Health Best Practices and identify models of mental health care and measures of effectiveness for the previously-published best practices.		<p>Document drafting: November – March 2018</p> <p>Membership review: April 2018</p> <p>CSMAS review and endorsement: June 2018</p> <p>BOG review and endorsement: August 2018</p> <p>Final deliverable: First deliverable of MH Workshop Planning Kit and MHBP implementation resources were released June 2018.</p> <p>Final deliverables expected in Winter 2019.</p>
	Sports Wagering Task Force	TBD	TBD	Event Date: Spring 2019
Overuse, Sleep, and Performance	Task Force on Sleep & Wellness	SSI hosted a task force on sleep and wellness May 1-2 2017, with representatives from scientific, higher education and sports medicine organizations to review current data and discuss existing best practices related to the sleep and wellness of student-athletes.	Educational tools [†]	<p>Event date: May 1-2, 2017</p> <p>Tool Development: TBD.</p> <p>Membership review:</p> <p>CSMAS review and endorsement: NA</p> <p>BOG review and endorsement: NA</p> <p>Final deliverable:</p>
			Peer-review journal article	Article submission: September 2018
Other	2016 Safety in College Football Summit		Interassociation Recommendations: Preventing Catastrophic Injury and Death in College Student-Athletes.	<p>Event date: February 2016</p> <p>Membership & external review: June 2018, expected to take several months.</p> <p>CSMAS preliminary review: Begin June 2018; Completed in September 2018</p> <p>External endorsement: Begin October 2018 thru March 2019</p> <p>CSMAS final review and endorsement: March 2019</p>

				BOG review and endorsement: April 2019 Final deliverable: Summer 2019
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*Will result in uniform standards of care for the Association; †Outcomes will be educational in nature, and will serve as a resource for member schools

Interassociation Recommendations: Preventing Catastrophic Injury and Death in College Student-Athletes

The *Second Safety in College Football Summit* resulted in interassociation consensus recommendations for four paramount safety issues in collegiate athletics:

1. Independent medical care for college student-athletes.
2. Diagnosis and management of sport-related concussion.
3. Year-round football practice contact for college student-athletes.
4. Preventing catastrophic injury and death in college student-athletes.

This document addresses the prevention of catastrophic injury, including traumatic and nontraumatic death, in college student-athletes. The final recommendations in this document are the result of presentations and discussions on key items. Following the presentations and discussions, endorsing organization representatives agreed on foundational statements that became the basis for a draft consensus paper that was reviewed further by relevant stakeholders and endorsing organizations. The final, endorsed document for preventing catastrophic injury and death in college athletes follows.

This document is divided into the following components:

1. *Background.* This section provides an overview of catastrophic injury and death in college athletes.
2. *Recommendations for preventing catastrophic injuries and death in college student-athletes.* This section provides the final recommendations of the medical organizations for preventing catastrophic injuries in college athletes.
3. *References.* This section provides the relevant references for this document.
4. *Checklist.* This section provides a checklist for each member school. The checklist statements were voted as foundational statements by representatives of medical organizations during the summit, and they serve as the primary vehicle for each member school to implement the prevention recommendations.
5. *Appendices.* This section lists the agenda, summit attendees, and medical organizations that endorsed this document.

BACKGROUND

Data about catastrophic injuries and illnesses in college athletes began with intermittent accounts from print media, and more formally in 1931 through the American Football Coaches Association initiation of the Annual Survey of Football Fatalities. Since 1982, the National Center for Catastrophic Sport Injury Research at the University of North Carolina at Chapel Hill¹ has been the nation's premier source of catastrophic injury and death related to participation in organized sports at all levels of competition, including college. The NCCSIR monitors, collects, and analyzes data on catastrophic injuries, illnesses and death, and provides publicly

available reports about football and other sports.¹

In order to create enhanced national surveillance abilities for catastrophic injuries, illness and death, the NCCSIR has partnered with the Consortium for Catastrophic Injury Monitoring in Sport (Consortium). The consortium includes: the division on traumatic injury at the Matthew Gfeller Sport-Related TBI Research Center - University of North Carolina at Chapel Hill; the division on exertional injury at the Korey Stringer Institute, the University of Connecticut; and the division on cardiac injury in sport at the University of Washington.¹

Researchers who study the epidemiology of catastrophic injury and death in sport identify two mechanisms by which these events occur. *Traumatic* catastrophic injuries, also called direct injuries, are bodily injury caused directly by participation in a sport activity.¹ An example of a traumatic catastrophic injury is a spinal cord injury caused by tackling in the sport of football. The three leading causes of death from traumatic injury are traumatic brain injuries, spinal cord injuries, heart (including commotio cordis), and organ injuries. *Nontraumatic* catastrophic injuries, also known as indirect or exertional injuries, are those "...with symptom onset during or within 1 hour following sport participation and not the result of direct bodily injury."² Indirect injuries are generally the "...result of exertion while participating in a sport activity or by a complication that was secondary to a non-fatal injury."¹ An example of a nontraumatic catastrophic injury is sudden cardiac arrest in a basketball athlete occurring during a basketball practice. The four leading causes of death from nontraumatic injury are sudden cardiac death (SCD), exertional heat stroke (EHS), exertional collapse associated with sickle cell trait (ECAST), and asthma.²

Enhancing a culture of safety in college sports in general, and college football in particular, is foundational to reducing the occurrence of catastrophic injury and death and the basis for bringing college athletics stakeholders to the first Safety in College Football Summit in 2014, and then reconvening in 2016. The goal of this and any sport safety initiative is protecting the life and the long-term well-being of all student-athletes.

Catastrophic Injury Patterns

Since 1982, the first year for which catastrophic injury/illness data were available across all collegiate sports (i.e. National Collegiate Athletic Association; National Association of Intercollegiate Athletics; National Junior College Athletic Association), there have been 503 all-cause catastrophic injuries or illnesses. Of these, 328 (65%) were traumatic events and 175 (35%) were nontraumatic events.¹ In 2015-2016, the last year for which data across all collegiate sports is available, 18 catastrophic events occurred, 2 of which were fatal.¹

Since 1931, the first year in which football-specific fatality data were collected, there have been 94 traumatic fatalities in college football and 127 nontraumatic fatalities.³ Since 1960, there are 99 nontraumatic versus 51 traumatic fatalities in football.³ Overall, football has the highest *number* of both traumatic and nontraumatic catastrophic injuries of any collegiate sport. After adjusting for the total number of participating athletes, football is joined by male gymnastics, female skiing, male ice hockey, and female skiing for the highest *rates* of traumatic catastrophic injury.¹ Traumatic events in football had fallen every decade since 1960 until 1994. That decline is associated with rule modifications based on research,^{4,5} enhanced medical care, and education.

Since 1994, the number of traumatic injury has varied, but at a level generally lower than those of the 1970s and 1980s.

Since 1970, in both high school and college football, nontraumatic fatalities have outnumbered traumatic fatalities. Nontraumatic deaths in American football have remained relatively steady for over 5 decades. Data from 2017³ reveal the current decade will continue this unfortunate and often preventable trend of nontraumatic death that occurs largely in out-of-season or preseason workouts. From 2001-2010, the ratio of nontraumatic to traumatic death in collegiate football was 7:1 – 22 nontraumatic deaths compared to 3 traumatic fatalities.³

While rule modification has the potential to decrease nontraumatic deaths in certain situations (e.g., verification of sickle cell trait decreasing ECAST in DI football), the policy and procedures to prevent nontraumatic catastrophic death have not kept pace with strength and conditioning sessions and practice sessions that continue to be the setting for record rates of high school and college athlete deaths. For example, of the nine nontraumatic deaths occurring to football players at all levels of the sport in 2017, six occurred during conditioning sessions and one occurred during a strengthening session.¹ For the 2015-2016 academic year, six (18%) of the 40 nontraumatic catastrophic injuries and illnesses that occurred across all sports and all levels of competition occurred during strength and conditioning sessions.¹

Policy Developments

Available research provides important insight into risk factors for catastrophic injury and have facilitated significant policy decisions meant to mitigate them. Established research demonstrates that NCAA DI football athletes with sickle-cell trait are at a higher risk of nontraumatic catastrophic events, including death.^{4,6,7} In response, the last decade has seen an increase in policy recommendations for the prevention of ECAST in collegiate sport. In 2007 the National Athletic Trainers' Association released a consensus statement on sickle-cell trait in the athlete.⁸ By 2013, all three NCAA divisions had adopted legislation requiring confirmation of student-athlete sickle-cell trait status prior to participation.⁹⁻¹¹ This policy, in tandem with targeted on-site precautions, has resulted in a statistically significant decrease in the number of ECAST deaths in college athletes.^{12,13}

Transition periods, defined below, are often associated with poor acclimatization and fitness levels.^{14,15} These concerns have prompted several policy developments. In 2003, the NCAA implemented pre-season acclimatization legislation for football.^{16,17} In the same year, NCAA Division I passed a bylaw¹⁸ that requires any strength and conditioning coach who conducts voluntary off-season weight-training or conditioning activities to be certified in first aid and cardiopulmonary resuscitation and to be accompanied by a member of the sports medicine staff who has unchallengeable authority to cancel or modify the workout for health and safety reasons. NCAA Division II passed similar legislation one year later.¹⁹ In 2012, NATA released inter-association best practices on the prevention of sudden death in collegiate student-athletes during strength and conditioning drills.¹⁴ As of 2016, all three NCAA divisions have legislation that requires strength and conditioning coaches to have a certification from an accredited strength and conditioning certification program.²⁰⁻²²

However, despite these policy developments, catastrophic injuries and fatalities continue to occur. In recent years, most of the fatalities are from nontraumatic causes, and can be mitigated at the member school if appropriate strategies are in place.

Prevention Strategies

Nontraumatic deaths can be mitigated at the on-site locale through consensus- and science-based recommendations. Yet, the number of nontraumatic fatalities are two-fold those of traumatic fatalities. There have been 99 nontraumatic deaths in collegiate football compared to 51 traumatic deaths since 1961. Just as the number of fatal head injuries and catastrophic cervical spine injuries occurring from 1960-1975 can be directly related to the skills that were being taught,²³⁻²⁵ nontraumatic, exertion-related death is directly related to the conduct and construct of workouts intended to prepare athletes to play sport.^{4,15,26,27} Whereas spearing is often the mechanism for traumatic catastrophic injury and death in football,^{24,25} intense, sustained exertion that is not sport-specific and does not include appropriate work-to-rest ratios coupled with modifications for individual risk and precautions, is too often the mechanism for exertion-related nontraumatic fatality.^{4,8,15,26-28}

Since 1970 traumatic deaths have a steep and steady decline; nontraumatic deaths, however, have remained steady since 1960. The current era, from 2000 to present, is notable for the following: year-round training for football coupled with the highest incidence of non-traumatic sport-related training deaths in recorded history. A proper combination of (1) strategies to prevent the condition from arising in the first place; (2) assuring optimal medical care delivery by key stakeholders on-site for the condition in the second place; and (3) transparency and accountability in workouts should help to eliminate nontraumatic deaths – a major goal of this document.

CONSENSUS FOUNDATIONAL STATEMENTS

Emerging information and available, peer-reviewed science were presented and discussed at the Second Safety in College Football Summit. Following presentations and discussions, all attendees were invited to weigh in on “foundational statements” about the prevention, mitigation, and response to catastrophic events. Foundational statements and recommendations were amended based on feedback, and when more than 80 percent consensus was reached among the participants, the statements and recommendations were then voted on by representatives of medical endorsing organization representatives (see Appendix X for endorsing organizations). Only those statements that were agreed upon by 100 percent of the endorsing organization representatives were then placed into this document for further review and final endorsement.

The following eighteen foundational statements were unanimously approved:

1. All football practices and competitions must adhere to safety standards, including:
 - Keeping the head out of blocking and tackling;
 - Prohibiting the use of the helmet as a weapon;
 - Not deliberately inflicting injury on another player.
2. In contact sports, all practices and competitions must adhere to safety standards, including:
 - Prohibiting the use of equipment as a weapon;
 - Not deliberately inflicting injury on another player.
3. All equipment, as warranted, must meet applicable certification requirements.
4. Football helmets must be certified and properly maintained.
5. All schools must adhere to the NCAA Concussion Safety Protocol Checklist.
6. There must be a well-rehearsed Emergency Action Plan, consistent with the NCAA Concussion Safety Protocol Checklist, for the following:
 - All suspected concussions;
 - All suspected moderate or severe traumatic brain injuries;
 - All suspected cervical spine injuries.
7. Provide education and prevention strategies about catastrophic injuries to all relevant stakeholders, including all sport coaches, strength and conditioning coaches, primary athletics healthcare providers, and student-athletes.
8. Conditioning periods should be phased in gradually and progressively to encourage proper exercise acclimatization and to minimize the risk of adverse effects on health.
9. All workouts shall have a written plan that is exercise-science-based, physiologically sport-specific, and tailored to the individual, as warranted;
 - a. Workout plan shall be approved by a credentialed strength and conditioning coach;

- b. Components of the workout plan include volume, intensity, mode and duration;
 - c. Activity location must be stated in the workout plan to accommodate venue-specific emergency action planning;
 - d. All workout plans shall be submitted to athletics administration prior to implementation and publicly available, upon request;
 - e. Modification due to environmental conditions, scheduling considerations, etc., is supported. The amended workout plan shall maintain the above principles with documentation submitted to athletics administration.
10. The first 7 days of any new conditioning cycle are transition periods.
11. Examples of transition periods for athletes include, but are not limited to:
- Returning after an injury or illness;
 - Returning after school break, e.g., winter, spring, summer;
 - Beginning as a delayed start.
12. The first 4 days of transition periods must be separate-day workouts.
13. Introduce new conditioning activities gradually.
14. Exercise should never be used for purely punitive purposes.
15. Ensure proper education, experience, and credentialing of all strength and conditioning coaches.
16. Develop and rehearse Emergency Action Plans. This includes but is not limited to:
- Cardiac arrest;
 - Exertional Heat illness and heat stroke;
 - Rhabdomyolysis (may manifest over 1-2 days; ECAST)
 - Any exertional or non-exertional collapse.
17. Strength and conditioning venues should have EAPs specific to the venue, sport, and circumstances.
18. Each institution must adopt requirements for the education and training of strength and conditioning coaches, sport coaches, athletic trainers, and team physicians for the prevention of sudden death in sport. Such education and training should occur annually.

INTER-ASSOCIATION RECOMMENDATIONS: PREVENTING CATASTROPHIC INJURY AND DEATH IN COLLEGE STUDENT-ATHLETES

Best practices for preventing catastrophic injury in sport are organized into five (5) key areas that reflect the eighteen consensus foundational statements identified above.

Sportsmanship

The principle of sportsmanship is foundational to NCAA athletic competition and creates a moral and ethical framework within which athletic competition occurs. This framework rejects any intentional effort by an athlete to use any part of his or her body, uniform, or protective equipment as a weapon to injure another athlete.²⁹ This philosophical commitment is further amplified by express prohibitions of such behaviors in sport playing rules³⁰⁻³² The avoidance of on-field or on-court behaviors intended to cause injury to another athlete must become part of the cultural foundation from which all subsequent sport safety initiatives arise.

While acknowledging that football, like other contact/collision sports, is an aggressive, rugged, contact sport, the rules of football and of all other sports identify a responsibility shared by all involved to conduct themselves according to a shared ethical code.³² This code requires that the head and helmet not be used as a weapon, and that unsportsmanlike efforts to deliberately injure an opponent are outside the boundaries of fair and legal play. The act does not need to be purposeful to be considered an infraction.

Given such a code of ethics, coupled with the considerable safety implications of such a code, the following recommendations regarding deliberate injury to an opponent should be considered in football and other sports:

1. Immediate ejection from competition (in addition to particular penalty) for first infraction.
2. Video replay (when available) after the competition can verify missed calls and would cause ejection from the following competition.
3. Officials who fail to call such infractions are educated and/or disciplined appropriately.
4. Any uninterrupted tackle or block in football or other relevant sports that initiates contact with the helmet is an immediate ejection from the competition.

Protective Equipment

Protective equipment that is used in sport must typically be manufactured and maintained according to performance and safety standards promulgated by standards organizations such as the National Operating Committee on Standards for Athletic Equipment (NOCSAE)^{33,34} and ASTM International.³⁵ Where sport playing rules required equipment to comply with existing standards, the legality of the equipment is dependent on compliance, certification, or both with existing standards. For example, current playing rules in the sport of football require that helmets be manufactured and maintained according to standards established by NOCSAE. These standards have been demonstrated to reduce the occurrence of catastrophic brain injury.³⁶ In some cases, as with the helmet in the sport of football, equipment must be maintained through a reconditioning process. Where this responsibility exists, member institutions must remain

vigilant about ensuring necessary maintenance to ensure the continued safety and legality of protective equipment.

The following should be implemented across all sports:

- Every member school should have in place an annual policy to assure certification and compliance with all protective equipment.

Acclimatization and Conditioning

Many nontraumatic deaths take place during the first week of activity after a transition period in training.¹⁴ Given this fact, it is imperative to recognize the vulnerability during these periods and to assure that both proper exercise and heat acclimatization are implemented. Transition periods hold particular risk, but absent adherence to established standards, best practices, and precautions – student-athletes are at-risk at all points in the off-season regimen. For example, February and July typically are not transition times yet from 2000 to 2017, they are the deadliest months of winter and summer training in college football.²⁷ Acclimatization and physiologic progression with a basis of exercise science and sport specificity are the cornerstones of safe conditioning and physical activity. It takes approximately seven to ten days for the body to acclimatize to the physiologic and environmental stresses placed upon it at the start of a conditioning or practice period, especially during periods of warm or hot weather.^{14,37,38}

Acclimatization, especially heat acclimatization, can only occur through repeated exposure to a hot environment³⁹ while progressively increasing the volume and intensity of physical activity.⁴⁰ Unfortunately, perceived time pressures by coaches coupled with the culture of certain sports that excesses in training make athletes tough, disciplined, and accountable contribute to a tendency to overload athletes during transition periods.^{14,41}

A minimum expectation is that **all** conditioning sessions, regardless of when in the year they occur, are 1) evidence- or consensus-based; 2) sport-specific; 3) intentionally administered; 4) appropriately monitored, irrespective of the phase of training; and (5) are not punitive in nature

For acclimatization and conditioning, the following guidance should be implemented for all sports:

1. Conditioning periods should be introduced intentionally, gradually and progressively to encourage proper exercise acclimatization and to minimize the risk of adverse effects on health. This is especially important during the first seven (7) days of any new conditioning cycle, which should be considered a *transition period*. A lack of progression and sport-specificity in the volume, intensity, mode and duration of conditioning programs in transition periods has been noted as a primary factor in nontraumatic fatalities.¹⁵

Examples of transition periods for athletes include, but are not limited to:

Individual transitions:

- Athletes new to the program;
- Returning after an injury or illness;
- Any delayed participation relative to the team schedule

Team transitions:

- Resumption of training after an academic break, (e.g., winter, spring, summer breaks)
2. Workouts should be exercise-science based and physiologically representative of sport and the performance components. Conditioning programs should begin with a work-to-rest ratio of 1:4.¹⁴
 3. The first 4 days of transition periods must be separate-day workouts, and all workouts should be: well-documented (preferably in writing); intentional; and a progressive increase in the volume, intensity, mode and duration of physical activity. It is highly encouraged to use extra caution during transition periods. The athlete is most vulnerable during these times, and the data supports that modifications in these periods can greatly decrease risk.
 - Properly training during transition periods should also greatly reduce or eliminate rhabdomyolysis, which is largely preventable. Since 2007, 57 NCAA student-athletes have been reported as suffering from exertional rhabdomyolysis in 9 team outbreaks representing 8 different institutions, with 51 of the afflicted student-athletes requiring hospitalization.⁴² Novel overexertion is the single most common cause of exertional rhabdomyolysis and is characterized as too much, too soon, and too fast in a workout regimen. Team outbreaks of exertional rhabdomyolysis in NCAA athletes have similarities of irrationally intense workouts designed and conducted by coaches and/or strength and conditioning personnel.⁴²
 - The phasing in of activity during transition periods should consider:
 - A) Days/week;
 - B) Body part;
 - C) Activity/exercise;
 - D) Sets/ reps/distance;
 - E) Load (% one-repetition maximum, i.e. 1RM);
 - F) Work-rest ratio;
 - G) Modifications: position; individual; return from injury; environment.
 4. All workouts shall have a written plan that is exercise-science-based, physiologically sport-specific, and tailored to the individual, as warranted;
 - f. Workout plan shall be approved by a credentialed strength and conditioning coach;
 - g. Components of the workout plan include volume, intensity, mode and duration;
 - h. Activity location must be stated in the workout plan to accommodate venue-specific emergency action planning;
 - i. All workout plans shall be submitted to athletics administration prior to implementation and publicly available, upon request;
 - j. Modification due to environmental conditions, scheduling considerations, etc., is supported. The amended workout plan shall maintain the above principles with documentation submitted to athletics administration.

5. During the off-season and preseason, all written strength and conditioning plans should be posted publicly at least three days prior to the session in which those plans are to be used. Penalties should be considered for not following these policies, such as:
 - Suspension of the strength and conditioning coach for first infraction;
 - Termination of the strength and conditioning coach for second infraction;
 - Such infractions should be reportable offenses by the member school to the NCAA.

Emergency Action Plan

There is broad agreement that the most effective way to prevent catastrophic fatalities and manage non-fatal catastrophic events is through a well-rehearsed emergency action plan.^{12,14,28,43,44} Venue-specific EAPs are a cornerstone of emergency readiness for campus and athletics health care providers.^{37,45}

EAPs should be broadly available to all members of the athletics community, centrally located, and should be rehearsed with all relevant sports medicine and coaching staff at least once a year. Special attention should be given to EAPs for venues where strength and conditioning activities occur, since catastrophic events are known to occur with more likelihood in these circumstances.⁴⁶

At a minimum, well-rehearsed and venue-specific EAPs should be developed for the following nontraumatic catastrophic events:

- Cardiac arrest;
- Exertional heat stroke;
- Asthma;
- Exertional collapse associated with sickle cell trait;
- Any exertional or non-exertional collapse.

In addition, well-rehearsed and venue-specific EAPs should be consistent with the NCAA Concussion Safety Protocol Checklist.⁴⁷ This checklist was created in response to NCAA legislation passed by the Division I conferences with autonomy in January 2015.⁴⁷ The checklist facilitates the development of a comprehensive and coordinated set of policies to guide institutions in the diagnosis, management, and eventual return-to-play and return-to-classroom of student-athletes with concussion. Concussion EAPs should be created for the following suspected conditions:

- Concussion;
- Moderate or severe traumatic brain injury;
- Cervical spine injuries;

Special considerations:

Cardiac emergencies:

Research has shown that in sudden cardiac arrest, the probability of survival drops by 7-10% for every minute of active arrest, whereas the probability of survival is 89 percent in properly administered CPR and AED.^{48,49}

The location of automated external defibrillators (AEDs) should be documented and should reflect a strategy that ensures their arrival at the scene of a collapse within two minutes. All AEDs should be checked at least monthly to assure they are fully charged.

⁴⁸

Exertional heat injury emergencies:

Exertional heat stroke is a medical emergency that is characterized by extreme hyperthermia (>40.0 degrees C/>104 degrees F) and central nervous system dysfunction such as altered behavior or decreased consciousness. To differentiate heat stroke from other acute medical events, primary athletics health care providers should be prepared to measure core body temperature using rectal thermometry. Rectal temperature has been demonstrated as the most accurate method for measuring body temperature, whereas other methods such as axillary, tympanic (aural), temporal, oral, and skin measurements are not valid or reliable predictors of core temperature.⁵⁰

During warm-weather events, but especially preseason practices of fall season sports, resources (e.g., equipment and personnel) should be readily available to ensure that full-body ice water immersion can be conducted in a timely manner. Full body immersion in cold water (1.0 degrees C to 14.0 degrees C/35 degrees F to 57 degrees F) is the most effective immediate treatment of exertional heat stroke, with fatality rates close to zero if the body temperature is brought to less than 40.0 degrees C within 30 minutes after collapse. Full-body cold water immersion should be conducted prior to patient transport, and should be continued until the body has cooled to a temperature below 38.9 degrees C/102 degrees F. During cold water immersion, body temperature should be monitored through rectal thermometry. Required resources include a 56-gallon tub (or similar device), ice, water, a stirring implement to constantly circulate the cold water, and a minimum of two people.

Strength & Conditioning Personnel

Physical activity should never be used for purely punitive purposes. Exercise as punishment invariably abandons sound physiologic principles and elevates risk above any reasonable reward.¹⁴ This principle has been reinforced by the NCAA Committee on Competitive Safeguards and Medical Aspects of Sport (CSMAS) as stated in the 2014-2015 NCAA Sports Medicine Handbook.⁵¹ All athletics personnel, including both sport and strength and conditioning coaches, should intervene when they suspect that physical activity is being used as punishment.

All conditioning sessions, regardless of when in the year they occur, must be 1) evidence- or consensus-based; 2) sport-specific; 3) intentionally administered; and 4) appropriately monitored, irrespective of the phase of training. Sessions should be administered by personnel

with demonstrated competency in the safe and effective development and implementation of strength and conditioning activities, and with the necessary training to respond to emergency situations arising from those activities.

NCAA bylaws in all three divisions require that strength and conditioning coaches (S&CC) have a strength and conditioning certification from either a nationally accredited^{20,21} or nationally recognized²² strength and conditioning certification program. Additional NCAA bylaws^{18,19} require that in certain circumstances, strength and conditioning coaches must be accompanied by members of the sports medicine staff when conducting voluntary, off-season conditioning sessions, and that the sports medicine staff have unchallengeable authority to cancel or modify workouts for health and safety reasons.

The following questions about the strength and conditioning credential should be considered when hiring a strength and conditioning professional:

- Is the strength and conditioning credential one that reflects attaining of relevant competencies in the delivery of strength and conditioning services to college athletes and team?
- Is the credential conferred by a certification program/process that is nationally accredited?
- What are the requisite educational standards required for certification eligibility, as well as the continuing education requirements required by the certification program?
- Does the certification require CPR and AED certification?
- Does the certification require a baccalaureate degree or higher, and is it in a degree field with relevance to the provision of strength and conditioning services?

The current state of credentialing across the strength and conditioning profession makes it difficult to ensure that all strength and conditioning professionals have the requisite competency to safely and effectively conduct conditioning sessions. Many organizations currently offer “strength and conditioning” credentials, though there is significant variability in both the topic content represented by these credentials and the rigor required to attain them. The complete absence of state regulation further complicates this landscape because there is no clearly established strength and conditioning scope of practice, and therefore, there is no authoritative accounting of the knowledge and skill domains required for the safe and effective practice of a strength and conditioning professional. If carefully considered, the questions above can assist institutions in identifying strength and conditioning credentials reflecting the attainment of minimal competence in provision of strength and conditioning services.

An additional problem arises through the increasingly close alignment between sport coaches and strength and conditioning coaches, especially in the sport of football. Strength and conditioning professionals are frequently hired by the head football coach, and/or subject to his administrative oversight. This alignment is potentially problematic because it contributes to the perception that the strength and conditioning professional are members of the coaching staff rather than independent credentialed strength and conditioning professionals.

Education & Training

Beyond strength and conditioning professionals, each institution must adopt requirements for the education and training of all involved in athletics, including, but not limited to, strength and conditioning specialists, sport coaches, athletic trainers and team physicians. Education should focus on preventing catastrophic injury and sudden death in sport. Such education and training should occur annually. Regular education can not only serve to improve the recognition and response skills of those who may be involved in a catastrophic event, but can also contribute to a heightened state of organizational mindfulness that contributes to an environment of emergency readiness. Education and prevention strategies should be customized for the unique learning needs of relevant stakeholders and their roles on the athletic team.

Such training should include:

- Foundational information regarding Emergency Action Plans;
- Head and neck injuries;
- Cardiac events;
- Environmental monitoring (heat/humidity, lightning);
- Exertional heat illness and heat stroke;
- Exertional collapse associated with sickle cell trait;
- Asthma;
- Rhabdomyolysis;
- Any exertional or non-exertional collapse.

Checklist

Below is a checklist that will help the director of medical services/athletics health care administrator to ensure that the athletic department is compliant with *Interassociation Recommendations: Preventing Catastrophic Injury and Death in College Student-Athletes*.

1. Traumatic: General

- ☐ In all sports, all practices and competitions must adhere to existing ethical standards, including:
 - ☐ Prohibiting the use of playing or protective equipment as a weapon.
 - ☐ Not deliberately inflicting injury on another player.
- ☐ All playing and protective equipment, as applicable, must meet relevant equipment safety standards and related certification requirements.
- ☐ All schools must adhere maintain and implement concussion protocols that are consistent with the Concussion Safety Protocol Checklist.
- ☐ There must be a regularly-rehearsed emergency action plan (EAP) consistent with the Concussion Safety Protocol Checklist for the following:
 - ☐ All venues at which practices or competitions are conducted.
 - ☐ All suspected concussions.
 - ☐ All suspected moderate or severe traumatic brain injuries.
 - ☐ All suspected cervical spine injuries.
- ☐ Provide annual education and prevention strategies about catastrophic injuries to all relevant stakeholders, including:
 - ☐ All sports coaches.
 - ☐ Strength and conditioning professionals.
 - ☐ Primary athletics health care providers.
 - ☐ Student-athletes.

2. Traumatic: Football

- ☐ All football practices and competitions must adhere to existing ethical standards, including:
 - ☐ Keeping the head out of blocking and tackling.
 - ☐ Prohibiting the use of the helmet as a weapon.
 - ☐ Not deliberately inflicting injury on another player.
 - ☐ Football helmets must be maintained and certified to existing helmet safety standards.

3. Non-Traumatic: General

- ☐ Adherence to established scientific principles of acclimatization and conditioning:
 - ☐ Conditioning periods should be phased in gradually and progressively to encourage proper exercise acclimatization and to minimize the risk of adverse effects on health.
 - ☐ The first seven (7) days of any new conditioning cycle are to be considered transition periods and a time of physiologic vulnerability for athletes
 - ☐ Transition periods for athletes include, but are not limited to:
 - ☐ Returning after an injury or illness.
 - ☐ Returning after school break (e.g., winter, spring, summer)
 - ☐ Beginning as a delayed start.
 - ☐ The first four (4) days of transition periods must be separate-day workouts.
- ☐ All workouts shall have a written plan that is exercise-science-based, physiologically sport-specific, and tailored to the individual, as warranted;
 - a. Workout plan shall be approved by a credentialed strength and conditioning coach;
 - b. Components of the workout plan include volume, intensity, mode and duration;
 - c. Activity location must be stated in the workout plan to accommodate venue-specific emergency action planning;
 - d. All workout plans shall be submitted to athletics administration prior to implementation and publicly available, upon request;

- e. Modification due to environmental conditions, scheduling considerations, etc., is supported. The amended workout plan shall maintain the above principles with documentation submitted to athletics administration.

- ☐ Exercise should never be used for purely punitive purposes.
- ☐ Ensure proper education, experience, and credentialing for all strength and conditioning coaches.
- ☐ Develop and rehearse Emergency Action Plans (EAP) for the following:
 - ☐ All venues in which practices or competitions are conducted
 - ☐ Cardiac arrest.
 - ☐ Exertional Heat illness and heat stroke.
 - ☐ Exertional Rhabdomyolysis.
 - ☐ Exertional collapse associated with sickle cell trait.
 - ☐ Any exertional or non-exertional collapse.
- ☐ Strength and conditioning venues should have EAPs specific to the venue, sport, and circumstances.
- ☐ Each institution must adopt requirements for the annual education and training for the prevention of sudden death in sport for the following individuals:
 - ☐ Strength and conditioning coaches/specialists.
 - ☐ Sport coaches.
 - ☐ Athletic trainers.
 - ☐ Team physicians.

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This Consensus Best Practice, Catastrophic Injury, has been endorsed by:

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Section	Page	Membership Concern	Staff & Author Notes
Throughout Paper		General concern that by articulating these concepts and producing a checklist that we are creating liability where there wasn't before if a member school does not comply with this guidance.	This is a common opinion about interassociation recommendations documents. NCAA Office of Legal Affairs has been consistent in saying 1) risk already exists, so better to be aware and understand mitigation strategies, and 2) interassociation recommendations allow flexible solutions that are often difficult to achieve through legislation.
Education and training	13	Vague on specific kinds of education that are recommended.	
Acclimatization and conditioning	9-10	Concern that ADs are involved in receiving the conditioning workouts. ADs do not have the expertise to approve.	The intent of the recommendation is not to have ADs approve. The intent is to create 1) transparency, and 2) commitment, so as to avoid "in the moment" changes that increase risk.
Acclimatization and conditioning Strength & conditioning personnel	8, 11	How would an administrator know if a conditioning session was applied for punitive purposes? That would require an administrator attempting to determine intent. Also, it should be clearly stated that primary athletics health care providers have a duty to intervene if a conditioning session is being conducted punitively.	
Acclimatization and conditioning	9	Identified transition periods (first 4 days) have logistic and scheduling implications that need to be acknowledged.	

DII MC

Section	Page	Membership Concern	Staff & Author Notes
		No concerns were identified.	

DIII MC

Section	Page	Membership Concern	Staff & Author Notes
Throughout paper		What is meant by the term "workout"? How is it defined? If it is not formally defined, should it be?	
		Concern with the logistics of making workouts publically available on a regular basis. How will that be accomplished?	
Acclimatization and conditioning	9-10	Also, related question if the intent was to have ADs or other administrators "approve" the workouts. Is there any way to bring transparency and accountability to the planning and implementation of workouts without being so prescriptive in the process for doing so? Also, what constitutes public positing?	The intent of the recommendation is not to have ADs approve. The intent is to create 1) transparency, and 2) commitment, so as to avoid "in the moment" changes that increase risk.
Strength & conditioning personnel	11	Concern about the general applicability of the guidelines to small schools with small staffs - too much focus on strength & conditioning personnel. How do these guidelines apply to coaches and other non-strength & conditioning personnel involved in conditioning sessions, etc.	
Checklist - Section #3	15	Concern that the checklist requires workouts be approved by a credentialed strength & conditioning coach - DIII may not have such personnel.	
Emergency action plan	11	Ensure recommendation is accurate and consistent with legislated S&C personnel requirements for DIII. Concern with the specificity of the guidance under "exertional heat injury emergencies", especially reference to 56-gallon tub". Request is that the language become more flexible and less prescriptive.	
Throughout paper		Make it clearer that this document applies to all sports, and not just football	

DII PC

Section	Page	Membership Concern	Staff & Author Notes
		No concerns were identified	

DIII PC

Section	Page	Membership Concern	Staff & Author Notes
Strength and conditioning personnel	11	The statement about using physical activity for punitive purposes needs to be stated more directly (e.g., eliminate purely). There are also many subordinate issues in the same section, so we should be careful about clarity and purpose across that section.	
Strength and conditioning personnel	12	Make sure that credentialling information for S&CC is accurate and realistic for DIII. How will the same information be modified to speak to non-credentialed sport coaches who have responsibility for application of conditioning?	

FCS CCA

Section	Page	Membership Concern	Staff & Author Notes
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AMA - Legislative

Section	Page	Membership Concern	Staff & Author Notes
Throughout paper		Determine intentionality of "shoulds" vs. "musts". Musts are interpreted by AMA to have legislative implications.	
Foundational statements	5-6	Clarify the original and purpose of the foundational statements, and make clearer in the narrative that they do not represent formal recommendations.	
Protective Equipment	8	Legislative impact: Every member school should have in place an annual policy to assure certification and compliance with all protective equipment	
Acclimatization and Conditioning	8	Legislative impact: A minimum expectation is that all conditioning sessions, regardless of when in the year they occur, are 1) evidence- or consensus-based,	
Acclimatization and Conditioning	8	Legislative impact: The first seven days of any new conditioning cycle should be considered a transition period.	
Acclimatization and Conditioning	9	Legislative impact: The first 4 days of transition periods must be separate-day workouts,	If this is not football specific, it would be contrary to our legislation for other sports.
Acclimatization and Conditioning	9-10	Legislative impact: All workouts shall have a written plan that is exercise-science-based, physiological sport-specific, and tailored to the individual as warranted.....	
Acclimatization and Conditioning	10	Legislative impact: During the off-season and preseason, all written strength and conditioning plans.....	
Strength & Conditioning Personnel	11	Legislative impact: Physical activity should never be used for purely punitive purposes.	
Education and Training	13	Legislative impact: ...each institution must adopt requirements for the education and training of all involved in athletics....	

PRO

Section	Page	Membership Concern	Staff & Author Notes
Sportsmanship	7	<p>Update references 30-32 to latest version of rules books, and adjust sentence to reflect that those sports are offered only as examples.</p> <p>Consider updating phrasing of "code of ethics" to "code of behavior" or "behavioral code."</p> <p>Deliberate injury may be problematic. Several sports have removed reference to deliberate or intentional from rules because of difficulty interpreting when a behavior is truly intentional. "Flagrant" and "malicious" are frequently used substitutes.</p> <p>Consider adding sentence or two to note importance of conference in enforcing rules, especially video review, and in monitoring officiating performance, education and correction.</p> <p>Change "video replay" to "video review" - preferred phrasing.</p> <p>Replace "ejection" in #2 with "suspension".</p> <p>Eliminate first half of #4, and adjust sentence to amplify the importance of rules that prohibit initiation of contact with the head.</p>	

**Executive Summary of the
NCAA Football Data Task Force
February 26-27, 2018, Meeting**

- 1. Overview.** The Football Data Task Force was held February 26-27, 2018, at the NCAA national office. The purpose of the meeting was to: 1) review emerging information from the NCAA-DoD CARE Consortium, The Datalys Center and the Ivy League Conference regarding concussion and repetitive head impact exposure in football, and; 2) facilitate dialogue around how the emerging information may impact and help shape college football rules, policies and procedures going forward. Attendees included at least one representative from each NCAA Division I Autonomy 5 conference, one representative for the non-Autonomy 5 Football Bowl Subdivision conferences, football coaches, scientists and representatives from the NCAA Division I Football Oversight Committee, NCAA Division I Football Competition Committee, NCAA Division I Football Championship Committee, football officials, NCAA Football Rules Committee and other stakeholders from the membership. The meeting was co-chaired by Bob Bowlsby, commissioner of the Big 12 Conference, and Brian Hainline, NCAA chief medical officer.
- 2. Welcome and introduction.** Hainline welcomed the attendees and gave an overview of collaborative concussion projects within the NCAA, particularly for the sport of football.
- 3. The importance of data to the sport of college football.** Bowlsby welcomed attendees and spoke on the importance of data to the sport of college football and how supports data-driven decisions, policy and rules that may improve the safety of football.
- 4. Summary of changes to the 2017 football preseason.** NCAA Academic and Membership Affairs Director Kris Richardson summarized pre-season practice changes that were implemented across all three NCAA divisions prior to the 2017 football preseason.
- 5. Presentations.** The following presentations were given to the task force. Many of the presentations included emerging and other confidential pre-publication data and information.
 - a. *Overview of the NCAA Injury Surveillance Program and Football.* Datalys President Christine Collins and Datalys Director Erin Wasserman shared an overview of the NCAA Injury Surveillance Program and participation rates among the three divisions.
 - b. *The NCAA-Department of Defense CARE Consortium.* Tom McAllister, co-principal investigator of CARE, provided an overview and update on the multi-site study, currently being conducted at 30 NCAA schools, as well as with all cadets at the military service

academies. Hainline summarized the structure of the data review and spoke on the key questions the study is working to answer.

- c. *Preseason Injury and Concussion Rates.* Steve Broglio, CARE co-Principal Investigator, and Collins discussed emerging information related to preseason football injury data from both CARE and the NCAA ISP.
 - d. *The Ivy League -- Analyzing Football Data to Reduce Concussions.* Ivy League Deputy Executive Director Carolyn Campbell-McGovern discussed data related to certain football practice modifications that were implemented in the Ivy League, beginning June 2011.
 - e. *Injury and Concussion Rates: In-Season and Post-Season.* Broglio and Wasserman reviewed data collected from the CARE Consortium and the NCAA ISP during the 2017 football in-season and post-season.
 - f. *CARE Head Impact Measurement Data Across Season.* Michael McCrae, Medical College of Wisconsin professor; Stefan Duma, Virginia Tech professor; Medical College of Wisconsin professor Brian Stemper shared data related to daily head impacts that were recorded and reported during the 2017 football in-season.
 - g. *Comparative Data: NCAA 1999-2001 vs. CARE 2014-2016.* McCrae analyzed and compared data related to concussion recovery and return-to-play in college football players from the original NCAA Concussion Study (1999-2001) and the more recent CARE Consortium.
 - h. *Overview of All Injuries in Football.* Wasserman and Collins reviewed 2017 in-season football injury data from all three divisions, including specific injury data for varying body parts.
 - i. *Special Topics in Football.* Wasserman addressed specific topics of interest to the task force, including kickoffs, pace of play and the targeting rule.
- 6. Closing comments and next steps.** Bowlsby and Hainline thanked the attendees for their participation, as several attendees were also going to be attending the Division I Football Oversight Committee meetings in the next days. Key takeaways from the meeting included an emphasis on the need for high quality data and higher participation in the NCAA ISP as well as enhancing common data elements that are football specific. It was agreed that the current preseason policy in place for 2018-19 does not need to be altered based on the emerging

information that was shared during the task force. Bowsby suggested that the kickoff should be studied further in light of the emerging data reflecting overrepresentation of concussion and other injuries during this play. Additionally, this task force will likely occur on yearly basis, possibly in conjunction with the American Football Coaches Association annual meeting.



**Summary of the
NCAA Summit on Pain Management in College Athletes
July 10-11, 2018**

- 1. Welcome and announcements.** NCAA Chief Medical Officer Brian Hainline welcomed the attendees and gave an overview of the purpose of the summit. The purpose of the summit was: (1) to review consensus-and evidence-based strategies on pain management in elite and college athletes; (2) to present original data on pain management trends in college athletes; (3) to present Department of Defense perspectives and strategies on pain management in the military; and (4) to develop consensus-based foundational statements that will serve as a springboard for a peer-reviewed publication and educational tools.
- 2. Presentations.** The following presentations were given, during the course of the summit, to establish a scientific foundation of shared information. These presentations will later be incorporated into consensus statements and publications:
 - a. Injury and Pain (Hainline). The presentation focused on how to differentiate injury from pain and identified the three different types of pain: nociceptive/inflammatory pain, neuropathic pain and nociplastic pain.
 - b. Acute vs. Subacute/Chronic Pain (University of Washington professor Judith Turner). A review of the differences between acute and subacute/chronic pain, as well as the biopsychosocial model of pain.
 - c. Acute Pain: When Pain and Injury Recovery Coincide (A.T. Still University professor and independent consultant Sue Falsone). An overview of non-pharmacologic strategies for treating pain and injuries, including kinetic chain evaluation and intervention, as well as exercise, periodization and physical therapy.
 - d. Pharmacological Strategies (University of Washington professors David Tauben and Stanley Herring). A review of the role of NSAIDs and acetaminophen, injectable and topical medications and opioids.
 - e. When Pain Persists Beyond Expected Recovery.
 - (1) Hainline provided a broad overview of the topic.
 - (2) Falsone presented strategies on the kinetic chain/overload reassessment and intervention.
 - (3) Turner presented psycho-social and cognitive behavioral strategies, emphasizing that psychosocial assessment and intervention be normalized by the treatment and coaching team.

- (4) NCAA Sport Science Institute Director of Sports Medicine LaGwyn Durden presented strategies for physiologic recovery, sleep and nutrition.
- (5) Hainline informed the group that elective surgery should only address structural damage that is non-responsive to non-operative treatment and should never be performed to treat chronic pain simply because all other interventions have failed.
- (6) Hainline provided information on pharmacologic strategies and the limited use of acute pain medications.
- (7) Tauben provided information on anti-convulsant and anti-depressant medications and their value for treating neuropathic pain.
- f. NCAA Student-Athlete Survey Data. (Lydia Bell and Markie Cook, NCAA Research). Data from the NCAA Student-Athlete Survey on injury and pain medication usage was presented, as well as data from a survey conducted in June 2018 that addressed the team physician and athletic trainer perspective on pain management in college athletics.
- g. United States Olympic Committee Opiate Use Reduction Strategies (USOC vice president of sports medicine William Moreau). An overview of the USOC's purpose and role in preserving the health of its athletes, as well as strategies used to monitor and treat pain while decreasing opioid use in USOC athletes.
- h. Army Task Force on Pain Management Practices (U.S. Army physician COL Scott Griffith, USAMRMC Clinical & Rehabilitative Medicine Research Program's Peter Murray and Uniformed Services University's Defense & Veterans Center for Integrative Pain Management's Chester Buckenmaier).
 - (1) COL Griffith provided an overview of pain conditions and management approaches in the U.S. Military, highlighting the evolution of pain management approaches from 2007 to present.
 - (2) Murray presented research and investment strategies of the U.S. Army Medical Research and Materiel Command.
 - (3) Buckenmaier provided a summary of the 2010 Department of Defense Pain Management Task Force: Pain and Pain Measurement. The task force provided recommendations for a comprehensive pain management strategy that took a holistic approach, utilized state of the art/science technologies and aimed to provide an optimal quality of life for soldiers and others who develop acute and chronic pain.

- Cannabinoids and Musculoskeletal Pain Management (Hainline). The presentation differentiated marijuana (cannabis) from cannabidiol and emphasized the limited research support for the use of any cannabinoids for the treatment of musculoskeletal pain in athletes.
- 3. Consensus building.** All attendees reviewed and approved for further consideration 36 foundational statements that will become the springboard for subsequent peer-reviewed publications and educational documents. A modified Delphi process will be initiated with the summit attendees to further modify these foundational statements and to establish consensus around them. Summit attendees will be involved throughout the process, including in the dissemination of resulting resources. Membership engagement is expected to take place in spring 2019, with final materials being released fall 2019.

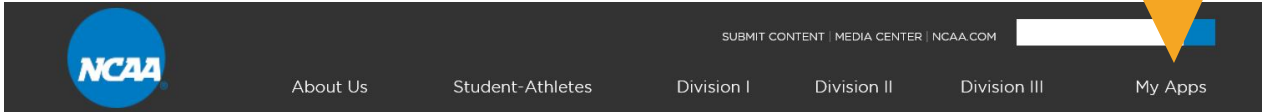


HOW TO USE THE TRANSFER PORTAL

NCAA Division III Coach Access

GET STARTED!

Go to ncaa.org and click on My Apps



EMAIL AND PASSWORD NEEDED TO LOG IN TO MY APPS

BE SURE YOUR ATHLETICS ADMINISTRATION HAS GIVEN YOU ACCESS TO SIGN-IN TO THE TRANSFER PORTAL



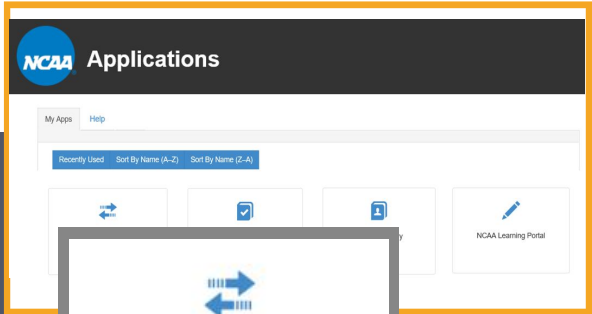
Log in to My Apps

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☐ Is this a public or shared computer? ⓘ

[Sign in](#) [Forgot Password?](#)



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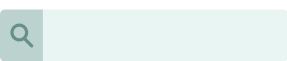
SEARCH STUDENT-ATHLETE



Review list of student-athletes



Type SPORT in search field



Student-athlete can be contacted after the listed initiated date.



Visible notation if student-athlete does not want to be contacted.



Transfer Tracer does not need to be completed to contact a student-athlete.

Review Transfer Tracer with your compliance office.



What does the Transfer Portal mean for Division III?



Division I and II transferring student-athletes are listed in the Transfer Portal.

Division III institutions can view and contact these student-athletes with no additional permission necessary.

Division III will not use the transfer portal to enter student-athletes.

Will the student-athlete be eligible upon transfer?



02

NCAA Student-Athlete Welcome Packet

In late July, the NCAA national office mailed welcome packets to 26,500 incoming student-athletes in Divisions I, II and III as part of the [Pathway to Opportunity](#) initiative. This year's packet included a congratulatory letter from NCAA President Mark Emmert, and a welcome card that encouraged them to follow the [NCAA's Instagram account](#) to check out a series of stories created by current student-athletes with advice for incoming freshmen. Also, the recipients were asked to opt in to receive periodic texts with additional tips and resources, and those who opted in to the text program were asked to provide additional contact information to receive a free water bottle. The typical response rate for a direct mail program is 3-5 percent, and our goal was 10 percent.

Nearly 3,500 recipients opted in to the texting program (13.1 percent opt-in rate), with 2,750 completing the full process to get a free water bottle by providing additional contact information (10.4 percent opt-in rate). The Instagram Stories received more than 1.7 million impressions and a 55 percent completion rate.

We did not have names and addresses for all Division III student-athletes because they do not go through the initial eligibility certification process, so we worked with the Management Council to identify first-year student-athletes at their institutions who could be part of the pilot effort. Below is a chart showing the total number of packets sent to the student-athletes from the six institutions that provided contact information, as well as the number of opt-ins.

Institution	Number of student-athletes who received packet	Number of student-athletes who opted in	Percent opt-in rate to get free water bottle
Austin College	177	24	14%
Bates College	134	4	3%
Cal Lutheran	174	20	11%
Emory	95	10	11%
Loras College	245	27	11%
University of Scranton	192	19	10%
TOTAL	1,017	104	10%

By providing these types of resources to help student-athletes transition to college life, we're strengthening the relationship established during the initial eligibility certification process and working to build lifelong advocates for college sports.



DIVISION III MANAGEMENT COUNCIL POLICIES AND PROCEDURES

NCAA Structure

The Board of Governors establishes and directs the general policy of the Association (see NCAA Constitution 4.1).

The Division III Presidents Council establishes and directs the general policy of Division III (see Constitution 4.4).

The Division III Management Council implements policies adopted by the Association's Board of Governors and the Division III Presidents Council and manage the Division III governance structure (see Constitution 4.8).

The Division III Administrative Committee is empowered in the interim between meetings of the Division III Presidents Council and Management Council to conduct business necessary to promote the normal and orderly administration of Division III (see Constitution 4.11).

Division III Management Council Structure

The Management Council is a 21-member body, with at least two members from each of the Division III geographical regions.

Among the 21 members, at least nine must be directors of athletics or senior woman administrators; two institutional presidents/chancellors; two athletics direct reports (non-presidents or chancellors with oversight of intercollegiate athletics); two faculty athletics representatives; three members of an ethnic minority; two student-athletes who are members of the Student-Athlete Advisory Committee; eight men and eight women. Council members serve terms of four years and are not eligible for reelection to another term on the Council until four years have elapsed. A member may not serve on the Council more than two terms.

Council members appointed to serve half of a term or less (due to interim vacancies) will not be considered to have served a full term and, thus, would be eligible to serve two full terms beyond their interim service.

Council members are elected at the annual NCAA Convention and are elected by the Division III membership present and voting. The Division III Management Council structure is specified in Constitution 4.8.

Officers

The officers of the Management Council are the chair and vice-chair. The officers are elected by the Management Council for a one-year renewable term with maximum two-years in position. The chair of the Management Council must be a senior member of the Management Council.

A senior member of the Management Council is a member who has served at least one year on the Management Council. After one year of service, the senior member would be eligible to serve as chair or vice-chair of the Management Council or as a senior member of the Board of Governors.

NCAA officers must observe all customary NCAA procedures when issues arise involving student-athletes or the athletics programs at their own institutions (or in the case of conference executives, the institutions within the conference) and should not participate during consideration of such issues by the Administrative Committee, Management Council, Presidents Council or Board of Governors.

When disseminating information to the media and the general public, only the chair of the Management Council and/or an appointed designee shall speak on behalf of the Management Council regarding Management Council actions.

Duties

The chair of the Management Council shall:

- ◇ Preside at Management Council meetings.
- ◇ Serve as an ex-officio, nonvoting member of the NCAA Board of Governors.
- ◇ Serve as a member of the Division III Administrative Committee.
- ◇ Serve as a member of the Division III Championships Committee.
- ◇ Serve as an ex officio, nonvoting member of the Division III Strategic Planning and Finance Committee.
- ◇ Report on activities of the Management Council and Division III committees to the membership at the annual NCAA Convention and preside over voting activities.
- ◇ Make appointments of Management Council representatives to all Division III and Association-wide committees.
- ◇ Attend, at his/her discretion, any Division III committee meeting that reports to the Management Council.

The vice-chair of the Management Council shall:

- ◇ Perform the duties of the chair in the chair's absence.
- ◇ Serve as a member of the Division III Administrative Committee.
- ◇ Assist the chair with appointments of Management Council representatives to all Management Council subcommittees, Division III and Association-wide committees.

NOTE: When the Board of Governors (BOG) Convention meeting occurs after the adjournment of the Division III Business Session, the outgoing Division III Presidents Council chair, vice chair and Management Council chair, will represent Division III at the BOG meeting, rather than the new incoming chairs and vice chair.

Election Procedures

The committee service term of the chair and vice-chair must be staggered to ensure that both positions are not vacated in the same year. Elected officer(s) will begin his or her official duties during the January post-Convention Management Council meeting.

The process to elect the Management Council chair and vice-chair will begin during the July Management Council meeting. During this meeting, the responsibilities for the applicable position will be reviewed. All eligible members for the position of chair and vice-chair will be considered nominees for the positions unless they decline the nomination by informing the national office staff by a predetermined date. The staff will conduct the in-person election for all Management Council members present during the first day of the July meeting by ballot. The staff will continue the balloting process, eliminating the lowest vote getter after each round, until a majority decision is reached. The process will begin with the election of the chair followed by the election of the vice-chair, if necessary. The announcement of chair and vice-chair will occur at the conclusion of the meeting.

Special Circumstances

- ◆ In the event that the chair of the Management Council leaves the position of chair prior to expiration of his or her term as chair, there will be an election to fill the vacancy. The vice-chair of the Management Council will assume the duties of the chair on an interim basis (and also will continue to serve as vice-chair) until the chair vacancy has been filled. [Note: The election to fill the vacancy may be immediate and occur either by mail or electronic ballot or telephone conference of the Management Council if the Administrative Committee agrees to expedite the process. Otherwise, the election would occur at the next regularly scheduled Management Council meeting.]
- ◆ In the event that the vice-chair of the Management Council leaves the position of vice-chair prior to expiration of his or her term as vice-chair, there will be an election to fill the vacancy. [Note: The election to fill the vacancy may be immediate and occur either

by mail or electronic ballot or telephone conference of the Management Council if the Administrative committee agrees to expedite the process. Otherwise, the election would occur at the next regularly scheduled Management Council meeting.]

Role and Purpose/Responsibilities

The Division III Management Council is responsible for implementing policies adopted by the Association's Board of Governors and the Division III Presidents Council.

In addition, Constitution 4.8.3 specifies the following responsibilities for the Management Council:

- ◇ Implement policies adopted by the Association's Board of Governors and the Division III Presidents Council;
- ◇ Resolve Division III issues;
- ◇ Make recommendations to the Division III Presidents Council related to Division III matters as it deems appropriate, including budgetary recommendations and recommendations related to championships;
- ◇ Adopt noncontroversial and intent-based amendments, administrative bylaws to govern Division III;
- ◇ Sponsor legislative proposals in accordance with Constitution 4.4.2-(h);
- ◇ Make interpretations of the bylaws of Division III;
- ◇ Delegate the resolution of Division III issues to the Division III substructure and consider relevant reports and recommendations from the Division III substructure;
- ◇ Review appeals by member institutions of decisions made by a Division III committee or the NCAA staff regarding the application of NCAA legislation to a particular situation when no other committee, subcommittee or conference has the authority to act. The Management Council shall review the complete record in order to determine whether there is sufficient basis to grant relief from the application of the legislation. All waiver decisions shall be reported to the Presidents Council for ratification; and
- ◇ Administer duties related to the Division III business session of the annual Convention, including arrangements, programs, rules, voting and the examination and approval of the voting credentials of Division III delegates.

Management Council Responsibilities

Management Council Representation on Committees

At least one Management Council member serves as a liaison on each Division III general committee (see Bylaw 21.9.5) and Association-wide committee (see Bylaw 21.2). Unless otherwise specified, Management Council members will serve as voting members of such

committees. A Management Council member's term of service shall commence in January following the adjournment of the annual Convention and shall be concurrent with his or her remaining term of service on the Management Council. A Management Council member must terminate service on the committee at the expiration of their term on the Management Council. Management Council members serving on Division III general committees shall be excluded from the total composition requirements of the respective committee. The Management Council chair will solicit interest for upcoming committee vacancies in October and confirm appointments at the January Post-Convention Management Council meeting. If no members choose to serve on a committee with a vacancy, the chair, with the guidance of the national office staff, will assign a Management Council member to serve on the committee.

Guidelines and information regarding the role of the Management Council representative are as follows:

Preparing for your committee meeting...

1. Develop a relationship and communicate with both the staff liaison and the committee chair on a regular basis depending on the work and issues of the committee.
2. Request and review meeting schedules, minutes/agendas and any strategic plans from the last few meetings to get a sense of the work of the committee.
3. Review the committee duties/responsibilities and composition requirements as identified in the NCAA Manual (Bylaw 21).
4. Review at the most recent committee reports, which are included as a supplement in a Management Council agenda packet.
5. Review the most recent Summary of Quarterly Governance Meetings as it relates to the committee requests.
6. Review the Division III Strategic Plan.
7. Communicate with the previous Management Council representative regarding the work of the committee.

Attending the committee meeting...

1. Bring copies of the "Summary of Quarterly Governance Meetings," which is the document provided after each Management Council/Presidents Council meeting cycle that identifies all actions taken on behalf of the governance structure as it relates to the committee's recommendations.

2. Be prepared to provide a summary on behalf of the Management Council on all issues related to the work of the committee. Always focus on the impact of issues/discussions/recommendations as they relate to the Division III strategic-planning priorities and Division III generally.
3. Always be prepared to provide insight and comments regarding the impact of any issue on Division III (for the Management Council and membership). Do not, however, feel compelled to provide the answer to how the Council will react.

Preparing for the Management Council meeting and reporting on behalf of the committee...

1. Always communicate with the staff liaison and chair regarding the content of the report, which is prepared by the staff liaison for the Management Council meeting. Whenever possible, this communication should occur prior to the submission of the report to the Management Council (normally about one month prior to the Management Council meeting).
2. Your name will appear next to the committee agenda item which indicates that you are responsible to provide the report and request action on any and all issues on which a Council decision is necessary (the staff liaison can assist with this).
3. If there is no written committee report, provide a brief “oral” update of the work of the committee.
4. No issue may be requested for action by the Management Council unless it is contained as part of a written report. The Management Council will not take action unless an issue is presented in writing.
5. The Management Council discourages the representative from reading the entire background of information to the Council. The Management Council has received a written report as part of the agenda/supplements and is responsible to be prepared for the report. You should highlight the key components related to the committee request, ask for a motion if necessary and then be prepared to answer questions regarding the issue. Knowledge of how Divisions I and II have acted or reacted may be helpful.

Management Council Procedures

The Management Council procedures are intended to achieve three goals:

- ◇ Assure emphasis on major policy issues in Management Council meetings.

- ◇ Promote thorough discussion of significant issues while curtailing discussions that become unproductive or unnecessary.
- ◇ Enhance efficiency and communication in the Presidents Council-Management Council relationship.

The following procedures will apply to all Management Council meetings:

- ◇ Management Council members will be asked to bring with them to meetings such basic reference materials as the meeting agenda and supplements.
- ◇ All supplements to the agendas are sent to all Management Council members in advance of the meeting, except in a rare circumstance where that is not possible. The Management Council receives the agenda and all available supplements via NCAA Committee Zone approximately five to seven days prior to the meeting. All Management Council members will then have the opportunity to peruse all materials prior to arrival at the meeting site.
- ◇ In the meeting, therefore, generally there should be no need to review item by item what is stated in the report unless a member of the Management Council raises a question, or an action needs to be clarified. The chair simply will call attention to the supplement involved, ask if there are any questions and allow sufficient time for such questions. The chair declares the material approved if no questions arise.
- ◇ During each meeting, the Management Council will review reports and recommendations from the various committees that exist in the Division III substructure, as well as the Association-wide committees, when applicable. These reports will be presented by the Management Council member who serves as the representative on that committee.
- ◇ All Management Council decisions will be reported in summary fashion to the Presidents Council for ratification.
- ◇ Management Council members must observe all customary NCAA procedures when issues arise involving student-athletes or the athletics programs at their own institutions (or in the case of conference administrators, the institutions within their playing conference) and should not participate during consideration of such issues by the Administrative Committee, Management Council, Presidents Council or Board of Governors.

Management Council Operating Policies

The following operating policies are not included in the NCAA Manual. They have been grouped under the following headings for convenience of reference.

Guiding Principle - Student-Athlete Well-Being

In support of the “Student-First” philosophy, consistent with NCAA Constitution Principles for Conduct of Intercollegiate Athletics including Student Athlete Well-Being, Rules Compliance and Competitive Equity and meeting Objective 2.1 in the Association’s Strategic Plan (to increase the application of fair and more flexible regulations that favor student-athletes), the following principle will serve to ensure consistency in the application of NCAA regulations and messaging.

The well-being of student-athletes is at the center of all we do:

1. Any process must be flexible and timely and include effective communication.
2. Decisions must be fair, reasonable and consider the potential impact on the student-athlete.

Management Council Committees and Subcommittee Issues

In order to carry out the functions of the Management Council, it may be necessary to appoint Management Council subcommittees. Subcommittees shall regularly report their actions to the full Management Council.

Service on a subcommittee will be considered in light of the Management Council members’ responsibilities and service on other committees.

Administrative Structure

The actions of the Management Council are subject to review and final approval by the Presidents Council. The Board of Governors has final authority over all Association-wide matters (e.g., the Association-wide budget).

The Management Council is committed to working closely with the Presidents Council to enhance the efficiency and administration of the Division III governance structure.

Management Council-Presidents Council relationships: Each receives the Summary of Quarterly Governance Meetings reporting on the action of both bodies. Both groups have authorized their respective chairs to communicate with each other between meetings.

Management Council officers will attempt to attend Presidents Council meetings and Presidents Council officers will attempt to attend Management Council meetings as often as possible. Council members, or staff, provide a report at each meeting on the activities of the other Council.

The Management Council and Presidents Council will conduct one joint meeting per year to further the communication efforts between the two governing bodies. This meeting also includes the Student-Athlete Advisory Committee (SAAC).

A joint subcommittee of Management Council and Presidents Council members has been established to identify key issues and evaluate potential legislative proposals that warrant Presidents Council review based on the division's philosophy, strategic plan or strategic positioning platform. This joint subcommittee is comprised of the two presidents or chancellors and the two athletics direct reports from the Management Council along with representatives from the Presidents Council.

Appeals

I. General Authority

The Management Council shall review membership appeals and decisions made by a Division III committee or the NCAA staff regarding the application of NCAA legislation to a particular situation when no other committee, subcommittee, or conference has the authority to act (Const. 4.8.3(h)). All Management Council decisions shall be reported to the Presidents Council which may ratify, amend or rescind the actions of Management Council (Const. 4.4.2(g)-(h)). The Presidents Council shall hear appeals of original Management Council actions (as opposed to Management Council appellate decisions). Presidents Council is not required to hear or act on any request for additional consideration in which an appellate opportunity has already been provided by the Management Council. Presidents Council may, however review any issue at its discretion.

Decisions of the Division III Committee on Infractions, Infractions Appeals Committee and the Committee on Student-Athlete Reinstatement are not reviewable by the Management Council or the Presidents Council.

II. Standard of Review

A Council shall not alter the decision of the committee, subcommittee or Council that initially decided the matter unless it concludes that the committee, subcommittee or Council erred in its decision in a manner that, in the judgment of the Council affected the decision. The finding of such an error shall be based upon a determination of one of the following:

- The committee, subcommittee or Council improperly applied NCAA legislation or official interpretations;

- The committee, subcommittee or Council took an action inconsistent with established precedent; or
- The committee, subcommittee or Council deviated from its approved procedures or that the decision is clearly erroneous.

III. Timing of Review

If an issue may be appealed to either of the Councils, the involved institution must submit a written notice of appeal to be received in the national office not later than 30 days after it has received notification of the adverse decision. The appeal must be submitted by at least two of the following institutional representatives: president/chancellor, faculty athletics representative, director of athletics, and senior woman administrator.

Each request for an appeal shall be reviewed by the officers of the applicable Council or Administrative Committee and placed on the appropriate agenda. Review of appeals shall take place only in conjunction with regularly scheduled meetings of the applicable council or the Administrative Committee. All supporting documentation from the involved institution shall be submitted no later than five business days before the meeting in which the appeal will be reviewed. Reports from the committee, subcommittee or council that detail the initial decision shall be submitted no later than 48 hours before the meeting in which the appeal will be reviewed.

IV. Record for Review

The Notice of Appeal must include the reasons the institution believes the decision was erroneous.

The involved committee, subcommittee or Council, shall provide a written report setting forth the basis for its decision. The report shall identify the involved NCAA rules and interpretations and shall specify the information and precedent relied upon in reaching the decision.

All appeals shall be based on the written record, unless the Council officers conclude that compelling reasons exist to conduct an in-person meeting.

V. Parliamentary Procedure and Recusals

For purposes of parliamentary procedure, the Presidents Council, Management Council or Administrative Committee shall constitute a “board,” rather than a “committee,” in applying the provisions of Robert’s Rules of Order, Newly Revised; therefore, parliamentary procedures shall be those specified for boards by Robert’s Rules in such

matters as appeals, rehearing or other appearances. [Note: This affects such matters as motions to reconsider, amend or rescind earlier actions.]

Members shall recuse themselves from participating in the complete review process (discussion and vote) if the matter involves their institution or conference.

Members shall recuse themselves from voting on a matter of a decision by a committee or subcommittee on which they serve or if the matter involves a member of their conference. However, these members may participate in discussions related to the matter.

VI. Decision

Upon conclusion of the review, the Presidents Council, Management Council, or Administrative Committee shall deliberate and notify the national office staff of its decision (*based on standard of review set forth above*). The national office staff shall notify the involved institution and shall provide a written confirmation of the decision. The notification should occur as soon as practical after the decision is reached and adjournment of the meeting. No notification, formal or informal, should occur before adjournment. The appellant also should be notified of any additional procedures available. No public announcement shall be made until the meeting has adjourned.

VII. Rehearing

Rehearing of an issue may be granted only on the basis of new, relevant and significant information directly related to the original findings. The applicable officers will review the submitted evidence to determine if it believes the new-evidence requirement has been met.

If, in reviewing the appeal, the Presidents Council, Management Council or Administrative Committee concludes that new information that was not made available to the involved committee or subcommittee at the time of its initial decision has been reported and that the information is of such importance, in the judgment of the Presidents Council, Management Council or Administrative Committee, to make a different result reasonably probable, the case shall be redirected to the committee or subcommittee that initially considered it for further review.

Confidentiality Policy

- Management Council members may not report the actions of the Management Council to individuals outside the Management Council until the meeting adjourns.

- Actions the Management Council takes that have to be ratified/approved by the Presidents Council should not be reported until ratification by the Presidents Council. These are issues that the chair, in consultation with the staff liaisons, should look for and identify throughout the meeting (e.g., those issues that are of such a sensitive nature that they should not be reported until after ratification by the presidents).
- When an issue is extremely sensitive, an "executive session" will be called, alerting the Management Council to the fact that the issue cannot be discussed at any time outside the meeting. Calling an "executive session" does not require the staff to leave the meeting.

Conflict of Interest Policy

The NCAA is a voluntary Association comprised of colleges, universities, conferences and other organizations, and governed through a membership-led committee structure. Within the governance structure, committee members must carefully balance their responsibilities to their respective institutions and/or conferences with the obligation to advance the interests of the Association, the division, or the sport, and ultimately enhance the student-athlete experience. While the fiduciary obligations of committee members to their own institution, their conference, and to the Association ordinarily are not in conflict, it is recognized that as a representative membership organization, committee members' fiduciary obligations are first to their institution, second to their conference, and third to the Association. NCAA committee service involves important ethical and moral obligations. Committee integrity is critical to the decision-making process and includes trust, confidentiality and honesty in all issues and aspects of service and representation. NCAA committee members shall disclose any conflict or potential conflict between their respective personal, professional, institutional, conference, or business interests and the interests of the Association that may affect or otherwise threaten such integrity, in any and all actions taken by them on behalf of the Association, for committee evaluation under this Statement.

In addition to any fiduciary obligation to their institution and conference, committee members also have a fiduciary duty to the Association not to use knowledge or information obtained solely due to service on that committee to the disadvantage of the Association during the term of committee service. Further, a Committee member shall not participate in the committee's discussion or vote on any action that might bring direct or indirect personal financial benefit to the member or any organization (other than the member's institution or conference) in which the member is financially interested. A committee member should also not participate in a discussion or vote for which the member's institution or conference is to be accorded a special benefit beyond benefits shared with other institutions or conferences or is to receive a penalty or disqualification. A violation of either of the above rules by a member of the committee shall not invalidate the action taken by the committee if, following disclosure of the conflict of interest,

the committee authorizes, ratifies or approves the action by a vote sufficient for the purpose, without counting the vote of the committee member with the conflict of interest, and the appropriate oversight body approves the action.

A committee member is responsible for advising the chair of any actual or potential conflicts of interest or obligations which he/she may have hereunder and should recuse him/herself from participating in proceedings, as may be warranted by this policy. Abuse of one's position as a member of a committee may result in dismissal from that position. Where such abuse appears evident, a committee member will be notified by the committee chair and will have the opportunity to present a rebuttal or details of the situation.

Policy Regarding Speaking Agents of the Association

The president of the Association and the chair of the Board of Governors are the only individuals authorized to speak on behalf of the Association except as outlined below.

An individual representing a member institution or conference who speaks or opines on an Association issue only has the authority to express the view of that individual or the member institution or conference unless the individual has been designated by the Board of Governors of the Association as a speaking agent of the Association on that issue.

Committee chairs are hereby designated as speaking agents of their committees regarding issues within their committees' jurisdiction on which there is consensus, except that positions of advocacy on behalf of the committee or the Association to be communicated in writing or orally to persons or entities external to the Association must have prior approval by the NCAA Board of Governors or the president of the Association.

The president of the Association is hereby granted authority to designate additional speaking agents of the Association. (*April 2001 Executive Committee minutes*)

Committees

The Management Council will receive quarterly reports of the meetings of all Division III committees. All committee minutes are available for review on the NCAA Web site.

Waivers under the provisions of Bylaw 21.9.1.1.4 (waiver of replacement requirement) shall be granted only in unusual circumstances, only for a limited period of time and not more than once for any individual.

The Division III Nominating Committee should not nominate any current member of the Nominating Committee for Management Council or any other-Association-wide or Division III committee service.

The identity of those persons nominating individuals for service on Division III or Association-wide committees shall be made known to the Nominating Committee (and to the Championships Committee related to sports committees) and the Management Council, unless the nominator requests that his or her name not be used, based on the premise that knowledge of the identity of the nominator can be of value in assessing candidates.

Per Constitution 4.8.4.1, the Nominating Committee's nominees for vacancies on the Management Council shall be reported to the Presidents Council for final ratification. Following the Presidents Council's ratification, the names shall be announced in The NCAA News and in a news release as soon as all nominees for those positions confirm their acceptance of the nominations. The slate then shall be presented to the Division III membership for approval during the Division III Business Session.

The Nominating Committee, Management Council and Administrative Committee should be cautious in appointing outgoing Management Council members to positions on standing committees, especially those that already include a representative of the Management Council.

Outgoing members of the Management Council must submit their nominations for service on Division III and Association-wide committees in the same manner (i.e., in writing) and under the same deadline provisions as the rest of the membership, rather than waiting until the Management Council meeting to present their nominations.

The Administrative Committee shall only make appointments to fill vacancies on Association-wide or Division III committees if there is an immediate need to make such an appointment.

Appointment of Special Committees/Consultants

Generally, no special committees shall be appointed by the Management Council. Rather than appointing special committees, subcommittees of the Management Council and/or existing committees should be used for special projects, with specialized consultants added as needed.

When an ad hoc or special subcommittee is appointed, a specific charge for each such committee should be established, as well as completion and dissolution dates for each. [Note: The Administrative Committee and/or Management Council will review all such committees, their charges and their "sunset" dates annually.]

Defense and Indemnification Policy

The Association shall defend and indemnify any present or former employee, committee member or agent of the Association who was or is a party or is threatened to be made a party to, or who is to be subpoenaed to be deposed or to give evidence in, any civil, criminal, administrative or investigative action or proceeding, including those brought by the Association, provided the conditions enumerated below are met. For purposes of this policy, “committee” shall include all Association committees, Boards, Cabinets, Councils, subcommittees and panels.

Conditions for Defense and Indemnification:

1. The person requesting defense and indemnification is being named as a party or subpoenaed to be deposed or to give evidence by reason of the fact that the person was or is an employee, committee member or agent of the Association or is or was serving at the request of the Association as a director, officer, employee or agent of another association, corporation, partnership, joint venture, trust or other enterprise.
2. The person is determined to have been acting within the scope of the person’s duties to the Association.
3. The person is determined to have been acting in good faith and in a manner the person reasonably believed to be in or not opposed to the best interests of the Association in the performance of the person’s duties to the Association. In respect to any alleged criminal action or proceeding, the person must also be determined to have had no reasonable cause to believe the alleged conduct was unlawful.

Note: The termination of any action or proceeding by judgment, order, settlement, conviction or upon a plea of nolo contendere or its equivalent, shall not, of itself, create a presumption in regard to these determinations. However, if a person is adjudged to be liable for negligence or misconduct in the performance of the person’s duty to the Association, there shall be no indemnification unless and only to the extent that the court in which such action or suit was brought shall determine that, despite the adjudication of liability but in view of all circumstances of the case, such person is fairly and reasonably entitled to indemnity for such expenses as the court shall deem proper.

4. The person promptly and timely notifies the Association’s general counsel of the actual or threatened service of process, subpoena, and notice of deposition or other legal process before incurring attorney fees or other expenses.
5. The person accepts counsel provided or approved by the Association and agrees to accede to the legal strategies approved by the Association’s general counsel, including any settlement determinations. In the event that the person wishes to hire other counsel or not accede to the Association’s legal strategies, the Association shall not be obligated

to defend or indemnify the person, except when it is determined that a conflict of interest exists with the Association such that retaining separate counsel is warranted.

6. The person agrees to repay any expenses, including attorney fees, incurred in bringing or defending a civil or criminal action or proceeding paid by the Association in advance of the final disposition of such action or proceeding if it is ultimately determined that the person is not entitled to be indemnified by the Association as authorized in this policy.

For purposes of this policy, “indemnification” shall consist of payment against expenses (including attorneys’ fees), judgments, fines and amounts paid in settlement actually and reasonably incurred by the person in connection with such action or proceeding.

Determinations as to whether indemnification is proper in the circumstances because the person has met the applicable standards of conduct set forth in this policy shall be made (1) by the NCAA Board of Governors by a majority vote of a quorum consisting of members who are not parties to such action or proceeding, or (2) if such a quorum is not obtainable, or, even if obtainable if a quorum of disinterested Board of Governors members so directs, by independent legal counsel in a written opinion, or (3) by the Association’s president if so delegated by the Board of Governors.

The indemnification provided by this policy is not exclusive of any other rights to which those indemnified may be entitled under any bylaw, agreement, vote of members or disinterested Board of Governors members or otherwise, both as to action in the person’s official capacity and as to action in another capacity while holding such office, and shall continue as to a person who has ceased to be an employee, committee member or agent and shall inure to the benefit of the heirs, executors and administrators of such a person.

The Association may purchase and maintain insurance on behalf of any person who is or was an employee, committee member or agent of the Association, or is or was serving at the request of the Association as a director, officer, employee or agent of another association, corporation, partnership joint venture, trust or other enterprise against any liability asserted against the person and incurred by the person in any such capacity or arising out of the person’s status as such, whether or not the Association would have the power to indemnify the person against such liability under the provisions of this policy.

Effect of Change in Indemnification Law. If any change in any applicable law, statute, or rule expands the power of the Association to indemnify a person, the change shall be within the purview of the person’s rights and the Association’s obligations under this policy. If any change in any applicable law, statute, or rule narrows the right of the Association to indemnify a person, the change shall have no effect on this policy or the parties’ rights and obligations under this policy, except to the extent otherwise required by law, statute, or rule to be applied to this policy.

Legislative Issues

The Management Council and Presidents Council serve a critical role in the legislative process. For legislation to be voted upon at the annual Convention the following shall apply:

- All proposed legislation must be reviewed by appropriate NCAA committees before it can be considered at an NCAA Convention. Such committees shall be required to conclude their review not later than October 7, thus assuring time for amendments-to-amendments to be submitted in accordance with the November 1 deadline.
- The Interpretations and Legislation Committee shall develop likely interpretations of proposed legislation for review by the Management Council in its pre-Convention meeting through the development of a Question and Answer document.
- The Presidents Council is obligated to fill a leadership role in Association activities; therefore, it takes positions regarding key legislative proposals, including opposition to some proposals, to fulfill that role, rather than to be “negative.” The Management Council forwards recommended positions to the Presidents Council in that regard, upon initial review by the Management Council/Presidents Council Joint Legislative Steering Committee. A majority vote of the Division III Management Council (present and voting) is required to support or oppose a specific legislative proposal sponsored by the membership or to recommend that the Presidents Council support or oppose a specific legislative proposal sponsored by the membership that is deemed presidential in nature.

A majority vote of Presidents Council members present and voting is required to sponsor Division III legislative proposals. The Management Council, by a two-thirds vote of those present and voting has the authority to sponsor Division III legislative proposals that are not deemed presidential in nature. The Presidents Council will consider any proposal that received a majority vote of Management Council but not the requisite two-thirds vote.

Management Council members should support the assigned presenters of Presidents Council-sponsored legislation as needed during presentation and discussion of the proposals on the Convention floor. However, Management Council members, on behalf of the Council, shall not participate in Convention floor debate on occasions where the Management Council's position on a proposal directly conflicts from the Presidents Council's position. In these cases, the Management Council shall not express statements of opposition or support and the committees in the governance structure will be encouraged to share positions when they are consistent with the position of the Presidents Council.

- In the event an institution seeks to change an interpretation of proposed legislation acted upon by the Management Council during its pre-Convention meeting, the chair of the business session may direct the Management Council to take under advisement the Convention discussion in the Management Council's post-Convention review of legislative actions. If the Management Council becomes aware of such concerns in advance of meeting during the Convention, the interpretation in question may be reviewed at that time.

Other important legislative dates include:

- July 15: Deadline for submission of amendments by primary sponsor(s).
- August 15: Posting of Initial Publication of Proposed Legislation.
- September 1: Deadline for submission of amendments by the Presidents Council or Management Council.
- September 1: Deadline for submission of amendments by co-sponsor(s).
- September 15: Deadline for submission of any modification to their original amendments.
- September 23: Posting of Second Publication of Proposed Legislation.
- November 1: Deadline for all amendments-to-amendments and resolutions to be received in the national office.
- November 15: Mailing of the Official Notice of the Convention.

Management Council Meetings

The Management Council will conduct all April, July and October meetings in the metropolitan area where the national office is located. The January meeting will be held in conjunction with the annual NCAA Convention. Generally, Management Council meetings will be scheduled for one and one-half days with subcommittee meetings held the evening prior, as necessary. One portion of the Management Council agenda will be dedicated to committee reports (i.e., Association-wide and Division III committees). The Management Council will conduct at least one joint meeting per year with the Presidents Council and the Student-Athlete Advisory Committee to further the communication efforts between the governing bodies. Reports of all NCAA meetings should include actions (formal votes or stated, "sense of meeting") and informational items reflective of the committee's discussions. The report functions as the formal NCAA record of the meeting.

Appendices to reports should be succinct. Rationale statements should be limited to essential information and the effective date, budget impact and student-athlete impact must be identified.

A smoke-free environment will be maintained during Management Council meetings.

Meetings of other NCAA committees should not be scheduled at the same time as (i.e., in conflict with) meetings of the Management Council.

The Management Council's post-Convention meeting shall be limited to essential items of business.

Management Council meetings are considered closed and not open to the membership or public at-large. The Administrative Committee has authority to approve requests for special guests or observers to attend Management Council meetings.

Accommodations: The NCAA is committed to diversity and inclusion. To support our committee members and invited guests, our National office offers a range of accommodations including – but not limited to – physical accessibilities, food allergies and dietary restrictions, gender neutral bathrooms, and private lactation spaces. Please reach out to your staff liaison for your accommodation needs or to inquire about the availability for other accommodations not noted above.

Meeting Information

The Management Council, as is the case with all entities in the NCAA, is bound by various Association meeting policies, including these:

- ◇ It must conduct its meetings (other than Convention) in the metropolitan area where the national office is located (i.e., Indianapolis).
- ◇ All members are expected to use Short's Travel, the Association's travel agency, for their transportation arrangements to Management Council meetings.

The Association's policies regarding meeting expenses are set forth in Bylaw 31.7.2 in the NCAA Manual. The NCAA pays for transportation to and from Management Council meetings, hotel room and tax charges at the meetings, and a \$75 per diem for each day or part thereof involved in traveling to and from and attendance at the meeting. The member may claim 53 cents per mile for the round trip based upon the most direct route between the two points if travel is by automobile.

Transportation and the hotel room and tax are billed directly to the NCAA; the member needs only to pay incidental charges to his or her room when leaving the meeting site. Members shall use the NCAA's Travel Expense System (TES) to file their requests for per diem and travel expense reimbursement electronically. Members are encouraged to receive their payments via electronic transmission. Also, early in each calendar year, each member will receive a Form

1099 reporting the amounts thus paid during the preceding year, if that amount exceeds \$600. In such instances, the member then will declare that amount in filing his or her income tax return for that year, so members will want to record their Council-related expenses in order to deduct the appropriate amount. Some Management Council members prefer to have their expense reimbursement paid directly to their institutions to eliminate the need for such personal record keeping.

In the usual Management Council meeting format (except for the Convention meeting), the Management Council meeting begins at 8 a.m. on the first day. At the Convention, the current Management Council meets prior to the Convention. The new Management Council then meets immediately after the Convention. In all cases, a continental breakfast is provided each morning and a luncheon on each full day of Management Council sessions.

Membership Notification

Proper notification of Management Council actions shall occur through The NCAA News. The Management Council also will use other notification vehicles, including the Division III home page and Monthly Update.