



**A G E N D A**

National Collegiate Athletic Association

Division III Management Council

Grant Ballroom A  
NCAA national office

July 22-23, 2019

1. Welcome and announcements. (Stevie Baker-Watson)
2. Roster, future meeting schedule and monthly updates. [Supplement Nos. 1a, 1b and 1c] (Baker-Watson)
- @ 3. Review of summary and minutes. (Baker-Watson)
  - a. Summary of spring 2019 quarterly meetings. [Supplement No. 2a]
  - b. Administrative Committee, July 10, 2019 report. [Supplement No. 2b]
4. Division III Philosophy Statement and Strategic Positioning Platform. [Supplement Nos. 3a and 3b] (Dan Dutcher)
5. Committee/subcommittee reports.
  - a. Division III Joint Presidents Council/Management Council committees and subcommittees.
    - @ (1) Convention-Planning Subcommittee. [Supplement No. 4] (Lori Mazza)
    - @ (2) Strategic-Planning and Finance Committee. [Supplement No. 5a] (Baker-Watson/Caryl West)
      - (a) 2018-19 budget-to-actual. [Supplement No. 5b]
      - (b) 2019-20 final approved budget. [Supplement No. 5c]
      - (c) Future projections. [Supplement No. 5d]
    - (3) Joint Legislative Steering Committee. (Baker-Watson)
  - b. Management Council subcommittees.
    - (1) Subcommittee for Legislative Relief. (Kate Roy)

- (2) Playing and Practice Seasons Subcommittee. (Brad Bankston)

c. Division III committees.

- (1) Championships Committee. [Supplement Nos. 6a, 6b and 6c] (Bankston)
  - (2) Committee on Infractions. (Sarah Feyerherm)
  - (3) Financial Aid Committee. (Kandis Schram)
  - (4) Infractions Appeals Committee. (Roy)
  - @ (5) Interpretations and Legislation Committee. [Supplement Nos. 7a, 7b, 7c and 7d (will be distributed at the meeting)] (Michelle Morgan)
  - (6) Membership Committee. [Supplement Nos. 8a and 8b] (Laura Mooney)
    - Maranatha Baptist Sports Sponsorship Appeals (NCAA Bylaw 20.11.3.8.10.1 - Minimum Contests and Participants). [Supplement No. 8c]
  - @ (7) Nominating Committee. [Supplement Nos. 9a and 9b] (Mazza)
  - (8) Student-Athlete Advisory Committee. [Supplement No. 10] (Sammy Kastner/Colby Pepper)
  - @ (9) Committee on Student-Athlete Reinstatement. [Supplement No. 11] (Heather Benning)
- d. Association-wide committees.
- (1) Committee on Competitive Safeguards and Medical Aspects of Sports. [Supplement No. 12] (Baker-Watson)
  - (2) Committee on Sportsmanship and Ethical Conduct. (Morgan)
  - @ (3) Committee on Women's Athletics. [Supplement No. 13] (Denise Udelhofen)
  - (4) Minority Opportunities and Interests Committee. [Supplement No. 14] (Gerard Bryant)
  - (5) Joint Committee on Women's Athletics and Minority Opportunities and Interests Committee. [Supplement No. 15] (Udelhofen/Bryant)
  - (6) Honors Committee. (Chuck Brown)

- (7) Olympic Sports Liaison Committee. (Joe Walsh)
- (8) Playing Rules Oversight Panel. [Supplement Nos. 16a and 16b] (Dan Calandro)
- (9) Postgraduate Scholarship Committee. (Chuck Brown)
- (10) Research Committee. (Tim Millerick)
- (11) Walter Byers Scholarship Committee. [Supplement No. 17] (Walsh)

6. Proposed Legislation for the 2020 Convention. (Jeff Myers/Bill Regan)

- @ a. Review of noncontroversial legislation approved by the Management Council. [Supplement No. 18]
- b. Review administrative regulations approved by the Management Council. [Supplement No. 19]
- @ c. Review of modifications of wording approved by the Management Council. [Supplement No. 20]
- @ d. Review of Membership-sponsored legislation. [Supplement No. 21 will be distributed at the meeting.]
- @ e. Review of Governance-sponsored legislation. [Supplement No. 22]

@ 7. Chair and vice chair elections. (Dutcher/Louise McCleary)

8. Division III Initiatives and updates.

- a. Faculty Athletics Representative (FAR) Advisory Group. [Supplement No. 23] (Brown)
- b. LGBTQ Working Group. [Supplement No. 24] (McCleary)
- c. Gameday the DIII Way. [Supplement No. 25] (McCleary)
- d. Technology Users Group. [Supplement No. 26] (McCleary)
- e. Division III Identity Initiative. [Supplement No. 27] (McCleary)
- f. 360 Proof. [Supplement No. 28] (McCleary)
- g. International Ice Hockey Pilot. [Supplement No. 29] (Myers)

- h. Strategic Communications Working Group. (Mazza)
  - i. Division III Conference Office Business Management Resource. (McCleary)
  - j. 2019 Governance Scorecard Report. [Supplement No. 30] (Dutcher)
  - k. Feedback from conference meetings. [Supplement Nos. 31a, 31b, 31c, 31d, 31e, 31f, 31g, 31h, 31i and 31j] (McCleary)
  - l. Injury Surveillance Program. [Supplement No. 32] (McCleary)
  - m. Division III Health and Safety Survey. (Regan)
9. Association-wide updates and issues.
- a. Board of Governors update. [Supplement Nos. 33a and 33b] (Mark Emmert/Donald Remy/Cari Van Sensus)
    - (1) Sports Wagering Working Group. [Supplement Nos. 33c and 33d]
    - (2) Federal and State Legislation Working Group. [Supplement Nos. 33e and 33f]
    - (3) NCAA strategic plan.
    - (4) Coaches credentialing.
    - (5) Student-Athlete Engagement Committee. [Supplement No. 33g]
    - (6) Committee to Promote Cultural Diversity & Equity. [Supplement No. 33h]
    - (7) Governmental Relations report. [Supplement No. 33i]
    - (8) Affiliated membership. [Supplement No. 33j]
  - b. Sports Science Institute updates. (Brian Hainline/John Parsons)
    - (1) SSI Strategic Priorities Timeline. [Supplement No. 34a]
    - (2) Independent Medical Care: Athletic Training Liability.
    - (3) Preventing Catastrophic Injury & Death in Collegiate Athletics. [Supplement Nos. 34b, 34c and 34d]
  - c. Litigation update. (Scott Bearby)
  - d. Attestation of Compliance. [Supplement Nos. 35a, 35b and 35c] (Dutcher/Myers)
10. Other business and open forum. (Baker-Watson)
11. Adjournment.

**@ Denotes action items.**





## 2019 NCAA Division III Management Council

### **Stevie Baker-Watson (Chair)**

Associate Vice President for Campus Wellness  
and Director of Athletics  
DePauw University [North Coast Athletic  
Conference]  
Lilly Center  
702 South College Avenue  
Greencastle, IN 46135  
Phone: 765/658-6075  
FAX: 765/658-4964  
Cell Phone: 630/292-4009  
Email: [StevieBaker-Watson@depauw.edu](mailto:StevieBaker-Watson@depauw.edu)  
Assistant: Asaundra Pickett  
Phone: 765/658-4934  
Email: [asaundrapickett@depauw.edu](mailto:asaundrapickett@depauw.edu)  
Term Expiration: January 2020

### **Brad Bankston**

Commissioner  
Old Dominion Athletic Conference  
P.O. Box 2604  
Forest, Virginia 24551  
Phone: 540/537-5943  
FAX: 540/389-6196  
Email: [brad@odaconline.com](mailto:brad@odaconline.com)  
Term Expiration: January 2020

### **Heather Benning (Vice Chair)**

Executive Director  
Midwest Conference  
821 5<sup>th</sup> Avenue, Suite 405  
P.O. Box 150  
Grinnell, IA 50112  
Phone: 920/430-0934  
Cell Phone: 920/229-0934  
Email: [benningh@midwestconference.org](mailto:benningh@midwestconference.org)  
Term Expiration: January 2021

### **Stephen Briggs**

President  
Berry College [Southern Athletic Association]  
P.O. Box 39  
Mount Berry, Georgia 30149  
Phone: 706/236-2281  
FAX: 706/236-2238  
Email: [sbriggs@berry.edu](mailto:sbriggs@berry.edu)  
Assistant: Diane Clonts  
Email: [dclonts@berry.edu](mailto:dclonts@berry.edu)  
Term Expiration: January 2023

### **Charles (Chuck) Brown**

Faculty Athletics Representative  
Pennsylvania State University Erie, the Behrend  
College [Allegheny Mountain Collegiate  
Conference]  
Black School of Business  
5101 Jordan Road  
Erie, Pennsylvania 16563-1400  
Phone: 814/898-6432  
Cell Phone: 814/460-9048  
FAX: 814/898-6223  
Email: [cab51@psu.edu](mailto:cab51@psu.edu)  
Assistant: Amanda Eller  
Phone: 814/898-6560  
Email: [ale19@psu.edu](mailto:ale19@psu.edu)  
Term Expiration: January 2023

### **Gerard Bryant**

Faculty Athletics Representative  
John Jay College of Criminal Justice [City  
University of New York Athletic Conference]  
524 West 59<sup>th</sup> Street, L68  
New York, New York 10019  
Phone: 646/557-4552  
Cell phone: 917/207-3225  
Email: [gwbryant@jjay.cuny.edu](mailto:gwbryant@jjay.cuny.edu)  
Assistant: Christina Mujica  
Email: [cmujica@jjay.cuny.edu](mailto:cmujica@jjay.cuny.edu)  
Term Expiration: January 2022

**Jason Fein**

Director of Athletics  
Bates College [New England Small College  
Athletic Conference]  
130 Central Avenue  
Lewiston, ME 07940  
Cell Phone: 917/882-1970  
Email: [jfein@bates.edu](mailto:jfein@bates.edu)  
Assistant: Paige Cooke  
Email: [pcooke@bates.edu](mailto:pcooke@bates.edu)  
Phone: 207/786-6341  
Term Expiration: January 2022

**Sarah Feyerherm**

Vice President/Student Affairs/Athletics Direct  
Report  
Washington College (Maryland) [Centennial  
Conference]  
300 Washington Avenue  
Chestertown, Maryland 21620  
Phone: 410/778-7228  
FAX: 410/778-7741  
Email: [sfeyerherm2@washcoll.edu](mailto:sfeyerherm2@washcoll.edu)  
Assistant: Lisa Jones  
Phone: 410/778-7752  
Email: [ljones6@washcoll.edu](mailto:ljones6@washcoll.edu)  
Term Expiration: January 2023

**Samantha "Sammy" Kastner (SAAC)**

Notre Dame of Maryland University [Colonial  
States Athletic Conference]  
4701 North Charles Street  
Baltimore, Maryland 21210  
Phone: 443/714-1814  
Email: [skastner1@live.ndm.edu](mailto:skastner1@live.ndm.edu)  
Term Expiration: January 2020

**Lori Mazza**

Director of Athletics  
Western Connecticut State University [Little  
East Conference]  
181 White Street  
Danbury, Connecticut 06810  
Phone: 203/837-9013  
Cell Phone: 814/331-1886  
Email: [mazzal@wcsu.edu](mailto:mazzal@wcsu.edu)  
Assistant: Kim Moffett  
Email: [moffettk@wcsu.edu](mailto:moffettk@wcsu.edu)  
Term Expiration: January 2022

**Scott McGuinness**

Director of Athletics  
Washington and Jefferson College [Presidents  
Athletic Conference]  
60 South Lincoln Street  
Washington, PA 15301  
Phone: 724/250-3308  
FAX: 724/503-1012  
Cell Phone: 724/554-5302  
Email: [smcguinness@washjeff.edu](mailto:smcguinness@washjeff.edu)  
Assistant: Michelle Wybranowski  
Email: [mwybranowski@washjeff.edu](mailto:mwybranowski@washjeff.edu)  
Term Expiration: January 2023

**Tim Millerick**

Vice President for Student Affairs  
Austin College [Southern Collegiate Athletic  
Conference]  
Suite 61595  
900 North Grand  
Sherman, Texas 75090-4440  
Phone: 903/815-0079  
FAX: 903/813-2038  
Email: [tmillerick@austincollege.edu](mailto:tmillerick@austincollege.edu)  
Assistant: Kristi Lucchese  
Phone: 903/813-2228  
Email: [klucchese@austincollege.edu](mailto:klucchese@austincollege.edu)  
Term Expiration: January 2022

**Laura Mooney**

Director of Athletics  
Massachusetts College of Liberal Arts [MASCAC]  
375 Church Street  
North Adams, MA 01247  
Phone: 413/662-5412  
FAX: 413/662-5357  
Cell Phone: 315/559-7200  
Email: [laura.mooney@mcla.edu](mailto:laura.mooney@mcla.edu)  
Term Expiration: January 2021

**Michelle Morgan**

Director of Athletics  
John Carroll University [Ohio Athletic Conference]  
1 John Carroll Boulevard  
University Heights, Ohio 44118-4581  
Phone: 216/397-1525  
Cell Phone: 708/655-9622  
Email: [mmorgan@jcu.edu](mailto:mmorgan@jcu.edu)  
Assistant: Candace Pluhar  
Phone: 216/397-4661  
Email: [cpluhar@jcu.edu](mailto:cpluhar@jcu.edu)  
Term Expiration: January 2023

**Colby Pepper (SAAC)**

Covenant College [USA South Athletic Conference]  
14049 Scenic Highway  
Mailbox #300  
Lookout Mountain, GA 30750  
Phone: 717/599-8848  
Email: [Colby.pepper@covenant.edu](mailto:Colby.pepper@covenant.edu)  
Term Expiration: January 2021

**Kate Roy**

Associate Commissioner  
North Atlantic Conference  
44 Main Street, Suite 206  
Waterville, ME 04901  
Cell Phone: 207/749-7029  
Office: 207/616-0571  
Email: [kroy@nacathletics.com](mailto:kroy@nacathletics.com)  
Term Expiration: January 2020

**Kandis Schram**

Director of Athletics  
Maryville College (Tennessee) [USA South Athletic Conference]  
502 E. Lamar Alexander Parkway  
Maryville, TN 37804  
Phone: 865/981-8290  
Cell Phone: 865/406-5154  
Email: [Kandis.schram@maryvillecollege.edu](mailto:Kandis.schram@maryvillecollege.edu)  
Assistant: Gail Poeppelman  
Phone: 865/981-8280  
Email: [Gail.poeppelman@maryvillecollege.edu](mailto:Gail.poeppelman@maryvillecollege.edu)  
Term Expiration: January 2021

**Dennis Shields**

Chancellor  
University of Wisconsin, Platteville [Wisconsin Intercollegiate Athletic Conference]  
1 University Plaza  
2508 Ullsvik Hall  
Platteville, Wisconsin 53818-3099  
Phone: 608/342-7321  
Cell Phone: 480/250-6018  
Email: [shieldsd@uwplatt.edu](mailto:shieldsd@uwplatt.edu)  
Assistant: Joyce Burkholder  
Email: [burkholj@uwplatt.edu](mailto:burkholj@uwplatt.edu)  
New Assistant: Lauren Ganzer  
Email: [parkinsonl@uwplatt.edu](mailto:parkinsonl@uwplatt.edu)  
Term Expiration: January 2022

**Denise Udelhofen**

Director of Athletics  
Loras College [American Rivers Conference]  
1450 Alta Vista  
Box 146  
Dubuque, IA 52001-0178  
Phone: 563/588-7742  
Cell Phone: 563/543-0724  
Email: [denise.udelhofen@loras.edu](mailto:denise.udelhofen@loras.edu)  
Assistant: Jenna Ness  
Phone: 563/588-7459  
Email: [jenna.ness@loras.edu](mailto:jenna.ness@loras.edu)  
Term Expiration: January 2021

**Michael Vienna**

Assistant Vice President/Clyde Partin Sr.  
Director of Athletics  
Emory University [University Athletic Association]  
26 Eagle Row  
Atlanta, GA 30322  
Phone: 404/727-6532  
Cell Phone: 404/922-8991  
Email: [mvienna@emory.edu](mailto:mvienna@emory.edu)  
Term Expiration: January 2021

**Joseph Walsh**

Commissioner  
Great Northeast Athletic Conference  
One Seal Harbor Road  
Winthrop, MA 02152  
Phone: 617/519-0008  
Email: [joewalsh@thegnac.com](mailto:joewalsh@thegnac.com)  
Term Expiration: January 2021

## **Presidents Council**

### **Sue Henderson [Chair]**

President  
New Jersey City University [New Jersey Athletic Conference]  
2039 Kennedy Boulevard  
Jersey City, New Jersey 07305  
Phone: 201/200-3111  
FAX: 201/200-2353  
Email: [shenderson@njcu.edu](mailto:shenderson@njcu.edu)  
Assistant: Virginia Melendez  
Email: [vmelendez@njcu.edu](mailto:vmelendez@njcu.edu)  
Term Expiration: January 2020

### **Murden-McClure, Tori [Vice chair]**

President  
Spalding University [St. Louis Intercollegiate Athletic Conference]  
845 South Third Street  
Louisville, Kentucky 40203  
Phone: 502/588-7164  
FAX: 502/992-2404  
Email: [tmccclure@spalding.edu](mailto:tmccclure@spalding.edu)  
Assistant: Jackie Howard  
Email: [jhoward@spalding.edu](mailto:jhoward@spalding.edu)  
Term Expiration: January 2021

## **NCAA Staff Liaisons**

### **Dan Dutcher**

Vice-President of Division III  
Email: [ddutcher@ncaa.org](mailto:ddutcher@ncaa.org)

### **Louise McCleary**

Managing Director of Division III  
Email: [lmccleary@ncaa.org](mailto:lmccleary@ncaa.org)

### **Ali Teopas Spungen**

Associate Director of Division III  
Email: [aspungen@ncaa.org](mailto:aspungen@ncaa.org)

### **Adam Skaggs**

Assistant Director for Division III Governance  
Communications  
Email: [askaggs@ncaa.org](mailto:askaggs@ncaa.org)

### **Jeff Myers**

Director of Academic and Membership Affairs  
for Division III  
Email: [jmyers@ncaa.org](mailto:jmyers@ncaa.org)

### **Bill Regan**

Associate Director of Academic and  
Membership Affairs for Division III  
Email: [bfregan@ncaa.org](mailto:bfregan@ncaa.org)

### **Eric Hartung**

Associate Director of Research for Division III  
Email: [ehartung@ncaa.org](mailto:ehartung@ncaa.org)

### **Debbie Kresge**

Executive Assistant of Division III  
Email: [dkresge@ncaa.org](mailto:dkresge@ncaa.org)

### **Debbie Brown**

Administrative Assistant for Division III  
Email: [dbrown@ncaa.org](mailto:dbrown@ncaa.org)

### **Brian Burnsed**

Assistant Director of Communications  
Email: [bburnsed@ncaa.org](mailto:bburnsed@ncaa.org)

## **US MAIL ADDRESS**

NCAA  
P.O. Box 6222  
Indianapolis, IN 46206-6222

## **FEDEX ADDRESS**

NCAA Distribution Center  
1802 Alonzo Watford Sr. Drive  
Indianapolis, IN 46202  
**Telephone:** 317/917-NCAA (6222)  
**Facsimile:** 317/917-6972

## FUTURE MEETING SCHEDULE

Attending	Dates	Meeting	Location
<b>2019</b>			
	July 20-21	SAAC	Indianapolis, IN
	July 21-23	Management Council	Indianapolis, IN
	August 6-7	Presidential Leadership Meeting	Indianapolis, IN
	August 7	Presidents Council	Indianapolis, IN
	August 19-20	NADIII and D3CA Executive Meeting	Indianapolis, IN
	Sept. 9-10	Championships Committee	Indianapolis, IN
	Sept. 10	Nominating Committee	Indianapolis, IN
	Sept. 11-12	Committee on Women's Athletics (CWA)	Indianapolis, IN
	Sept. 11-12	Minority Opportunities and Interest Committee (MOIC)	Indianapolis, IN
	Sept. 12	New Member Orientation	Indianapolis, IN
	Sept. 16	Honors Committee	Indianapolis, IN
	Sept. 16-17	Research Committee	Indianapolis, IN
	Sept. 24-25	Interpretations and Legislative Committee (ILC)	Indianapolis, IN
	October 18-20	FAR Institute	Indianapolis, IN
	October 21-22	Management Council	Indianapolis, IN
	October 29-30	Presidents Council	Atlanta, Georgia
	November 10-11	SAAC	Indianapolis, IN
	November 11-12	Financial Aid Committee	Indianapolis, IN
	November 19-20	Student-Athlete Reinstatement (SAR) Committee	Indianapolis, IN
<b>2020</b>			
	Jan. 21-25	SAAC	Anaheim, California
	Jan. 22-25	NCAA Convention	Anaheim, California
	Jan. 22	Management Council	Anaheim, California
	Jan. 23	Presidents Council	Anaheim, California
	February 4-5	Championships Committee	Indianapolis, IN
	February 18-19	Membership Committee	Indianapolis, IN
	February 20-21	Interpretations and Legislative Committee (ILC)	Indianapolis, IN
	April 18-19	SAAC	Indianapolis, IN
	April 20-21	Management Council	Indianapolis, IN
	April 28-29	Presidents Council	Indianapolis, IN
	May 13-14	Student-Athlete Reinstatement Committee	Indianapolis, IN
	May 18-20	Regional Rules Seminar	Columbus, Ohio
	June 1-3	Regional Rules Seminar	Los Angeles, CA
	June 10-11	CSMAS	Indianapolis, IN
	June 15-16	Championships Committee	Indianapolis, IN
	June 24-25	Membership Committee	Indianapolis, IN
	July 18-19	SAAC	Indianapolis, IN
	July 20-21	Management Council	Indianapolis, IN
	August 4	Presidents/Chancellors Advisory Group	Indianapolis, IN
	August 5	Presidents Council	Indianapolis, IN
	October 19-20	Management Council	Indianapolis, IN
	October 27-28	Presidents Council	Indianapolis, IN
	November 15-16	SAAC	Indianapolis, IN

\*Dates subject to change.

## FUTURE MEETING SCHEDULE

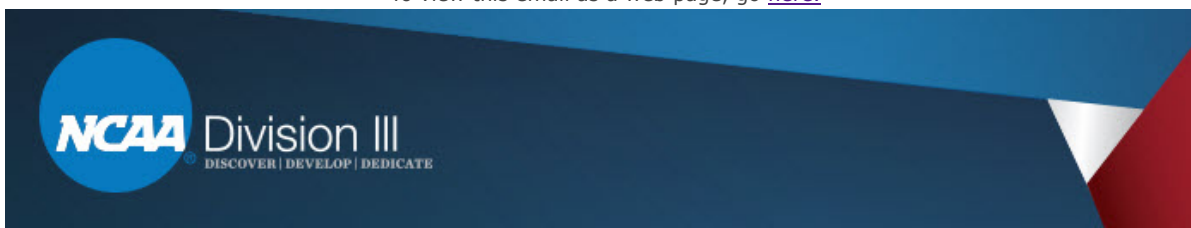
### **Future Dates for 2021**

**SAAC**    **January 12-16 – Washington, D.C.**  
            **April 10-11 – Indianapolis, IN**  
            **July 17-18 – Indianapolis, IN**  
            **November 14-15 – Indianapolis, IN**

### **Future Dates for 2022**

**SAAC**    **January 18-22 – Indianapolis, IN**  
            **April 9-10 – Indianapolis, IN**  
            **July 16-17 – Indianapolis, IN**  
            **November 13-14 – Indianapolis, IN**

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## MONTHLY UPDATE // ISSUE NO. 163 // JULY 2019

This update is a tool to enhance communication between the NCAA national office and the Division III membership, with distribution to athletics directors, senior woman administrators, faculty athletics representatives, presidents, national SAAC, conference commissioners and the NADIIIAA listserv. **We encourage athletics directors to share this communication with their athletics department members.** Please contact [Louise McCleary](#) to include an item or share comments, and remember to check out the Division III governance [homepage](#) for the latest news and information.

### HIGHLIGHTS

[Hot Topics](#)  
[ADR Updates](#)  
[360 Proof Updates](#)  
[SSI Updates](#)  
[Athletic Communication Updates](#)  
[Diversity Spotlight](#)  
[Special Olympics](#)  
[AMA Updates](#)  
[Educational Information](#)  
[PROP Updates](#)  
[Committee Updates](#)  
[Key Dates](#)

### HOT TOPICS

#### Attestation of Rules Compliance

The Management and Presidents Councils have approved noncontroversial legislation, effective Aug. 1, amending Constitution 3.3.4 (conditions and obligations of membership) and Bylaw 31.2.1 (institutional eligibility) clarifying that:

1. An institution's chancellor or president shall attest, annually by Oct. 15, to understanding the institutional obligations and personal responsibilities imposed by Constitution 2.1 (principle of institutional control and responsibility) and Constitution 2.8 (principle of rules compliance); and
2. An institution's director of athletics shall certify, annually by Oct. 15, that specified conditions for entry of individuals and teams in NCAA championship competition have been satisfied, including an attestation of understanding the institutional obligations and personal responsibilities imposed by Constitution 2.1 and Constitution 2.8 and that all athletics department staff members (full-time, part-time, clerical and volunteer) are aware of the institutional obligations and personal responsibilities imposed by Constitution 2.1 and Constitution 2.8.

Specifically, the proposal shifts some compliance certification requirements related to the athletics program from the chancellor or president to the athletics director while maintaining that the chancellor or president affirms understanding the institutional and personal obligations. Additionally, this proposal relieves the undue administrative requirement of having each staff member provide a written attestation of rules compliance. Institutions must still inform staff of their obligations but will have the discretion to determine the best way to ensure this is completed.

Failure to complete the attestation will subject the institution to removal from and/or ineligibility of individuals to serve on an NCAA board, council or committee. The institution also will be ineligible to compete in individual and team NCAA championships.



The attestation course (video and form) will be released by Aug. 1 and the deadline to complete it is Oct. 15. The courses will be completed through the NCAA Learning Management System (LMS). Your institution's Single-Source Sign-On administrator will give you access to the system and assign the course.

Staff will hold informational webinars throughout the summer. Dates and times will be emailed directly to athletics directors, presidents and chancellors.

### Division III University

Check out Division III University, a new online learning platform that provides educational modules to Division III athletics directors, senior woman administrators, head coaches and conference commissioners. Division III University contains educational modules related to the following areas: NCAA Division III overview; student-athlete well-being; and compliance. Each chapter contains an interactive course or series of courses that will educate users on everything from compliance and governance to improving student-athlete health and safety. The NCAA Sport Science Institute developed the sexual violence prevention and mental health modules — two student-athlete health and safety priority areas for Division III.

Access to Division III University is through NCAA MyApps on [ncaa.org](http://ncaa.org). To access Division III University, ask your athletics director to assign it to your MyApps. After it is assigned, go to [ncaa.org](http://ncaa.org) and click on MyApps (upper right corner). Click on the NCAA Learning Portal app and click on the Division III University icon. Please note that you can launch the Division III University modules with any web browser, except Internet Explorer.

### **DEADLINE: July 12** Division III Voluntary Student-Athlete Graduation Rates

The NCAA staff recommends that those institutions that didn't submit their voluntary student-athlete graduation rates information consider submitting the data with the deadline extension of **Friday, July 12**. Division III members are strongly encouraged to provide the voluntary academic success rate data for the 2012-13 student-athlete cohort. With the adoption of 2019 Convention legislation, Division III institutions will be required to begin reporting student-athlete graduation data in 2020. Participation in the 2019 reporting cycle provides an excellent opportunity for institutions to become familiar with the process. Please contact [Maria DeJulio](#) or [Eric Hartung](#) with any questions.

### **DEADLINE: July 26** Sport Sponsorship and Demographic Form

The Sport Sponsorship and Demographic Form is now available. Completing the form is a membership requirement, and the form must be submitted not later than July 26. Access to the site is through the NCAA Single-Source Sign-On. The instructions and frequently asked questions documents found within the site will guide the user through each area of the form. If you have additional questions, please contact [Maria DeJulio](#), [Lynn Dickey](#) or [Erin Irick](#).

### NCAA Compliance Forms Now Available

The 2019-20 NCAA Division III compliance forms are now available. The hard-copy forms can be found at [ncaa.org](http://ncaa.org) under "Division III," "Compliance." The NCAA Compliance Forms Database is also available and will allow an institution to administer and monitor the forms electronically. To access the NCAA Compliance Forms Database, go to [ncaa.org](http://ncaa.org) and click the "My Apps" link.

### Next Steps Program

Before the recent Career in Sports Forum in Indianapolis, Division III successfully held the third annual [Next Steps Program](#). The program provided a day and a half of professional development programming for 24 Division III students of color who



previously had attended the [Student Immersion Program](#). The participants heard from current athletics administrators and NCAA staff on topics such as networking, interview techniques, and business etiquette leadership. After the program, the participants attended the Career in Sports Forum. Next year's program will be held in conjunction with the 2020 Career in Sports Forum, Indianapolis.

### **Institute for Administrative Advancement**

During the recent Regional Rules Seminar held in Indianapolis, Division III, in coordination with the office of inclusion and the Minority Opportunities Athletic Association, successfully held the seventh annual [Institute for Administrative Advancement](#). The institute provided a day and a half of professional development programming for 25 Division III midlevel administrators of color. The participants heard from current athletics administrators on topics such as leadership, mentoring, resume development and preparing for the next career move. Next year's institute will be held in conjunction with the 2020 Regional Rules Seminar scheduled May 18-20 in Columbus, Ohio.

### **Funding Available: Identity Initiative Purchasing Website**

Have you used your \$500 purchasing website credit? The Division III Identity Initiative purchasing website now features new Gameday the DIII Way and LGBTQ OneTeam items. Log in through [My Apps](#) and select the Division III Purchasing icon or click [here](#). Do not forget that a \$500 credit is available for each institution and conference through August for purchases from the site. Access the site by entering the email address of your school's director of athletics (or for conferences, the commissioner's email address) as the username, and the password you created. For assistance, contact [Amy Byrnes](#) at Source One Digital.

### **NCAA Division III Webinars**

The next Division III webinar will be 1:30 to 2 p.m. Eastern time, **Tuesday, Aug. 6**. The topic will be an overview of the NCAA's Transfer Portal.

Future webinars will be conducted from 1:30 to 2 p.m. Eastern time on the following dates:

- Sept. 3 – Championships: How To Submit a Championships Bid.
- Oct. 1 – Navigating ncaa.org.

Each webinar will be limited to the first 250 participants. All past webinar [PowerPoints](#) are on the Division III website.

### **NCAA Championships Site Selection and Bid Process**

The NCAA recently announced the start of its 2022-26 championship site selection campaign. The NCAA is seeking hosts and sites for 86 of the 90 NCAA championships each year over four academic years, spanning 2022-23 through 2025-26. The timeline for the bid process is as follows:

- |             |  |
|-------------|--|
| Aug. 26:    | Bid specifications will be published on <a href="#">ncaa.org/bids</a> .<br>Bid portal opens. |
| Feb. 3:     | Bid responses due.   |
| Mar.-Sept.: | Committee deliberations, recommendations and approvals.                                      |
| Oct. 23:    | Hosts/sites announced.   |

### **New Division III Governance Intern**

In mid-June, the Division III governance team welcomed Kiana Verdugo, a 2019-20 NCAA Postgraduate Intern. Verdugo earned her undergraduate degree in secondary education and English from Hamline in St. Paul, Minnesota. Before joining the NCAA, she completed an internship with the Minnesota Intercollegiate Athletic Conference office.

During her time at Hamline, Verdugo competed in track and field, specializing in the weight and hammer throw. Verdugo took home an individual conference championship in the weight throw in March 2018 and finished second in the hammer in May 2018. She earned academic all-conference accolades twice before being named an Academic All-America honoree by the U.S. Track and Field and Cross Country Coaches Association as a senior.

While at Hamline, Verdugo served as first-year student mentor and president of the Student-Athlete Advisory Committee. She also founded a student development and wellness group called Piper's CARE. Verdugo was a member of the national Division III SAAC, where she served on the championships and strategic communication committees and represented student-athletes of the Minnesota Intercollegiate Athletic Conference and the Upper Midwest Athletic Conference.

## ATHLETICS DIRECT REPORT (ADR) UPDATES

Applications are now being accepted for the fifth annual Athletics Direct Report (ADR) Institute, which will take place Jan. 22-23 at the NCAA Convention in Anaheim, California. Each institution and conference office may nominate an ADR via the [NCAA Program Hub](#). Nominations opened June 15 and close at 5 p.m. Eastern time Aug. 15. Eligible nominators include commissioners, presidents/chancellors and directors of athletics. ADRs also may self-nominate for the institute. The purpose of the program is to engage Division III ADRs in best practices to oversee and manage athletics departments and to improve the relationships between ADRs and their presidents, athletics directors and conference commissioners. The two-day program includes both networking opportunities and interactive discussions with ADR peers and other expert presenters. Event details are available [here](#). ADR participants will receive a registration fee waiver to the NCAA Convention to continue their professional development. The institute also provides travel, meals and lodging for all participants. Please contact [Leah Kareti](#) with any questions.

## 360 PROOF UPDATES

Have you been thinking about implementing 360 Proof next year? We offer phone orientations to explore the program. Contact [Leah Kareti](#) with any questions about 360 Proof or to schedule an orientation. Don't miss us on Twitter for 360 Proof highlights, tips and tutorials: [@Real360Proof](#).

## SPORT SCIENCE INSTITUTE (SSI) UPDATES

### Mind Matters Summit

The Sport Science Institute team hosted a productive Mind Matters Summit on June 10-11. Mind Matters, part of the NCAA and U.S. Department of Defense Grand Alliance partnership, is an education and research grand challenge aimed at changing important concussion safety behaviors. In addition to grantee presentations by funded researchers, the main focus of the meeting was to build consensus on common themes and data elements for evaluating concussion education programming. A Delphi process was initiated with the participants to identify consensus statements that will become the foundation for a consensus document, peer-reviewed journal article, practical applications for the membership, and recommendations for future research.

## Committee on Competitive Safeguards and Medical Aspects of Sports Meeting

SSI hosted the summer CSMAS meeting. One of the major outcomes of the meeting was that the current THC threshold for adverse analytical findings in an NCAA drug test was increased from 15 to 35 nanograms per milliliter. The committee made the decision to prevent those who have inhaled marijuana via secondhand smoke from triggering a positive test and facing the related penalties. The committee wanted to be fair and to eliminate, as best as possible, penalizing students who receive passive smoke.

## ATHLETIC COMMUNICATION UPDATES

### Guide to Strategic Athletics Communication on Campus

In 2012, the Division III College Sports Information Directors of America executive board conducted a survey of Division III sports information and athletics communication directors. The survey results were the foundation of the NCAA Division III Guide to Strategic Athletics Communication on Campus. NCAA staff created a 2018-19 working group to oversee a new survey and update the resource. Based on feedback and data collection, the Division III governance staff and the Strategic Communications Working Group compiled key data, analytics and best practices that will positively impact athletics communication and sports information directors while simultaneously having a positive impact on athletics departments. After 12 months of hard work, this new resource is now available. To view the guide, click [here](#).

## DIVERSITY SPOTLIGHT INITIATIVE

The U.S. Coast Guard Academy is the recipient of the June Division III Diversity Spotlight Initiative. To foster a more inclusive environment to better prepare cadets as future leaders of the diverse Coast Guard men and women, the academy's office of diversity and inclusion created a seven-day Seeking Educational Equity and Diversity workshop, which included gathering 65 education professionals to be immersed in diversity topics, exercises and self-reflection sessions, preparing attendees to return to the academy to host their own SEED seminars. Click [here](#) for more information.

The Diversity Spotlight Initiative recognizes and promotes outstanding diversity-related projects, programming and initiatives occurring on Division III campuses and in conference offices. All selected recipients receive \$500 toward their next diversity initiative. To submit an initiative for consideration for June, email [Louise McCleary](#), with a brief statement (no more than 500 words) as to why your institution or conference office deserves to be the spotlight recipient. Attach a video or photo if applicable. The nomination deadline is **July 21**.

### Diversity Tip of the Month — LGBTQ OneTeam Facilitator Training

For two days in May, 30 individuals participated in the inaugural Division III LGBTQ OneTeam Facilitator Training at the NCAA national office. The training was for any nonstudent, full-time employee in Division III athletics who wanted to be certified to facilitate an LGBTQ OneTeam Program on a Division III campus or in a conference. The Division III LGBTQ OneTeam Program is a free two-hour interactive session on a campus or in a conference, for coaches and administrators, led by one of the certified facilitators. The program has four objectives:

- To understand the involvement of the NCAA and Division III in LGBTQ issues.
- To recognize the importance of LGBTQ inclusion in Division III athletics.
- To learn common LGBTQ terms, definitions and concepts.
- To identify strategies and best practices for institutions and conferences to ensure all individuals may participate in an athletics climate of respect and inclusion, regardless of sexual orientation, gender identity or gender expression.

The process to request a facilitator for your campus or conference will be available on the Division III LGBTQ OneTeam webpage later this summer.

A second group of facilitators will be trained in December at the NCAA national office. Look for an announcement about applications in late summer or early fall. Questions should be directed to [Jean Merrill](#).

## SPECIAL OLYMPICS

### Spotlight Poll

The NCAA Division III Special Olympics Spotlight Poll is a storytelling initiative located on [ncaa.org/D3SpecialOlympics](https://ncaa.org/D3SpecialOlympics). It features new stories each month that highlight a Division III and Special Olympics joint activity or event. The story with the highest number of votes on the 25th day of each month is the winner. That institution or conference receives \$500 to use for its next Special Olympics event. Written and digital submissions both are accepted. Featured stories are selected based on inclusion of the student-athlete perspective and Division III messaging. To submit a story for consideration, email [d3specialolympics@ncaa.org](mailto:d3specialolympics@ncaa.org).

### June Winner

After 559 total votes, [Salem State Vikings Give Back: Special Olympics Sports Clinic](#) won the June Special Olympics Spotlight Poll by gathering 56% (397) of the total votes. The Vikings will receive \$500 to use for their next Special Olympics event. To submit a story for consideration, email [d3specialolympics@ncaa.org](mailto:d3specialolympics@ncaa.org).

### July Nominees

- [Marymount University, Special Olympics of Virginia partner for 24th annual Northern Virginia Special Olympics Basketball Tournament](#)
- [Marietta Pioneers host 8th annual Special Olympics Sports Extravaganza](#)
- [Lake Forest College Hosts Special Olympics Aquatics Meet](#)

Click [here](#) to vote starting Monday, July 1. The winner will be announced July 26.

## ACADEMIC AND MEMBERSHIP AFFAIRS

### Rule of the Month

Bylaw 17 provides both general playing and practice and sport-specific legislation. This month, we will focus on some of the playing and practice season legislation that are common to all sports.

Definition. The playing and practice season encompasses the period of time between your first practice and the date of your last practice or competition, whichever is later. Athletically related activities may only take place during your declared playing and practice season. (Bylaw 17.1.1)

Weeks of a Playing and Practice Season. A week during the declared season is made up of any seven consecutive days, regardless of first day you choose. A week may run from Wednesday through Tuesday, or a more traditional Monday through Sunday. Practice or competition that takes place during any part of a week is counted as a full week. For example: if your team practices for two weeks and three days, that is considered practicing for three weeks. (Bylaw 17.1.2-(a))

The total amount of weeks for a playing and practice season is as follows:

Fall Sports — 18 weeks. (Bylaw 17.1.1.3.1)

Winter Sports — 19 weeks. (Bylaw 17.1.1.3.2)

Spring Sports — 19 weeks. (Bylaw 17.1.1.3.3 and 17.1.1.3.4)

Indoor and Outdoor Track and Field — 24 weeks (or 19 weeks if the institution only

sponsors one or the other). (Bylaw 17.23.1)

Segments and Periods of a Playing and Practice Season. For most fall and spring sports, the playing season is broken into playing segments. The traditional segment is the portion of the season that concludes with the NCAA championship. The remainder of the playing season is called the nontraditional segment, sometimes also known as the "offseason." Bylaw 17.1.4.4 details the specific criteria governing nontraditional segments. Golf, rowing and tennis have playing periods instead of segments. For sports with a winter championship and institutions that sponsor indoor and outdoor track, there are no segments or periods and no breaks in their season unless they take full breaks during an official vacation or final-examination period. [Bylaws 17.1.2-(c) and (d)] Regardless of whether a sport has segments or periods, or if they are a sport with the winter championship, the combined total number of weeks for both segments can't be more than the maximum number of weeks for the season.

Start Date Calculator. There is an online start date calculator available for your use at the following [link](#). When using the calculator, please keep in mind any additional rules on campus that restrict what you can and cannot do on various days, as that may vary your start date.

## **Student-Athlete Reinstatement**

After a reinstatement request is submitted through NCAA Requests/Self-Reports Online, it is assigned to a reinstatement staff member who reviews the request and supporting documentation. The staff member may contact the institution to request additional information before reaching a decision. While the reinstatement staff often gathers additional information relevant to the reinstatement request, the staff's primary purpose is not to act as a fact-finding body, but rather to help ensure that the facts are fully developed to present the best possible case for the involved student-athlete.

## **Division III Rules Test**

The 2019-20 NCAA Division III Rules Test will be available to the membership Aug. 1. Simultaneously, the 2018-19 test will no longer be available. The 2019-20 test is administered online and may be accessed through the MyApps page on [ncaa.org](#). Administering the test online allows users and the NCAA national office the ability to view incorrect answers and use these results to gauge educational needs. The 2019-20 NCAA Division III Rules Test PowerPoint also will be available Aug. 1 and may be accessed using the same link as the rules test. The PowerPoint may be used as a resource to supplement educational needs. As a reminder, all head coaches and any athletics administrators with compliance responsibilities must take the rules test annually. Institutions must file a secondary violation for failure to adhere to this annual requirement. Institutions are encouraged to complete the Rules Test at the beginning of the academic year as opposed to the end, as the test covers information related to legislation passed at the 2019 NCAA Convention.

## **New AMA Intern**

The Division III team welcomes Anyssa Barbosa to academic and membership affairs for the 2019-20 academic year. Barbosa is interning with the team as part of the NCAA Postgraduate Internship Program. She earned her bachelor's in history from Oklahoma Panhandle State, where she was a four-year starter for the Aggie softball team. She served on the Student-Athlete Advisory Committee for three years before becoming president in her final year. She was selected by the Heartland Conference to attend the Student-Athlete Leadership Forum. In her time at Oklahoma Panhandle State, she was a staff member in the sports information department and president of the Hispanic American Leadership Organization, as well as co-editor of her school paper and yearbook. After graduation, Barbosa entered the Texas Tech School of Law, where she is a dual-degree student pursuing her J.D. and an M.S. in sport management. She serves on the boards of both the Sports and Entertainment Law Society and the Hispanic Law Student Association.



## EDUCATIONAL INFORMATION

### NCAA Student-Athlete Sportsmanship Awards

The NCAA Committee on Sportsmanship and Ethical Conduct is seeking nominations for the 2018-19 [NCAA Student-Athlete Sportsmanship Awards](#) and the [Bob Frederick Sportsmanship Award](#). These awards recognize student-athletes and coaches who have demonstrated the highest levels of integrity and sportsmanship during competition. To submit a nomination, go to the [NCAA Program Hub](#). The deadline for nominations for both awards is Friday, July 12. If you have any questions, please contact [Ben Brownlee](#).

### Today's Top 10 Award Nominations

Nominate your outstanding 2018-19 senior student-athletes for the 2020 NCAA Today's Top 10 Award, which honors students who have brought distinction to themselves, their schools and college sports. Nominations are accepted for senior college athletes who completed their athletics eligibility in the 2018-19 academic year. Award nominations are submitted through the [NCAA Program Hub](#) and are due by 5 p.m. Eastern time Friday, Aug. 2. Click [here](#) to view the Today's Top 10 Award selection criteria. The awards will be presented at the Honors Celebration during the 2020 NCAA Convention in Anaheim, California. Questions? Contact [Melody Lawrence](#).

### NCAA Leadership Development Programming

The NCAA provides a wide array of professional development opportunities for the membership. Check out the recent program highlights and upcoming opportunities for involvement and engagement below. To learn more about NCAA Leadership Development and browse its full program offerings, click [here](#). Engage with the NCAA leadership development department using #LearnLead on social media.

[Leadership Academy Workshop](#): The NCAA Leadership Academy Workshop educates and trains athletics administrators on the ins and outs of developing effective, comprehensive leadership curriculum for student-athletes and department staff. Participants in the workshop learn how to structure activities, facilitate discussions and structure effective sessions. The workshop takes place in two parts, where participants discuss structures and frameworks for a leadership academy on campus, as well as explore effective implementation, evaluation and stakeholder investment strategies. The application period has opened and will close at noon Eastern time July 19.

[NCAA Pathway Program](#): The NCAA leadership development office, in partnership with the Board of Governors Committee To Promote Cultural Diversity and Equity, has invited 22 senior administrators to participate in the NCAA Pathway Program with a singularly focused goal: preparing these individuals to excel as directors of athletics or conference commissioners. This yearlong program incorporates a diverse mix of scenario assignments, higher education and athletics leadership engagement, and a thorough exploration of the NCAA governance process. To read the full release, click [here](#). The individuals representing Division III in this esteemed program include Sarah Dehring (Alma), Kevin Fenstermacher (Presidents Athletic Conference), Miriam Merrill (Hamilton), Ashley Reid (Wooster) and Michael Schulist (Carroll [Wisconsin]).

[Postgraduate Internship Program](#): The official start date for the 2019-20 cohort of the NCAA Postgraduate Internship Program was June 17. Selected from thousands of applicants, the 30 members of the incoming intern class represent diverse backgrounds and perspectives that will allow them to thrive during their time at national office. The Postgraduate Internship Program represents a tremendous opportunity for young professionals to develop and grow, connect with individuals across the membership and acquire tangible experiences that will be transferrable to any career path they choose. The application for membership in the 2020-21 Postgraduate Internship Program will open Aug. 5 with a deadline of 5 p.m. Eastern time Sept. 6.

## PLAYING RULES OVERSIGHT PANEL UPDATES

### Men's Basketball Rules Changes

The 2019-21 men's basketball rules changes are available [here](#).

### Women's Basketball Rules Changes

The 2019-21 women's basketball rules changes are available [here](#).

### Men's and Women's Swimming and Diving Rules Survey

The 2018-19 men's and women's swimming and diving rules survey results are available [here](#).

### Women's Lacrosse Rules Survey

The 2019 women's lacrosse rules survey results are available [here](#).

## COMMITTEE UPDATES

The following committees conducted an in-person meeting in June.

Committee on Student-Athlete Reinstatement, June 11-12

[Championships Committee](#), June 17-18

Membership Committee, June 19-20

## KEY DATES FOR JULY THROUGH SEPTEMBER

2019		
Dates	Meeting/Championships	Location
Jul. 16-18	Baseball Rules Committee Meeting	Indianapolis, IN
Jul. 20-21	National Student-Athlete Advisory Committee Meeting	Indianapolis, IN
Jul. 21-23	Management Council Meeting	Indianapolis, IN
Aug. 6-7	Presidential Leadership Meeting	Indianapolis, IN
Aug. 7	Presidents Council Meeting	Indianapolis, IN
Aug. 13-15	Men's Lacrosse Rules Committee Meeting	Indianapolis, IN
Sept. 9-10	Championships Committee Meeting	Indianapolis, IN
Sept. 10	Nominating Committee Meeting	Indianapolis, IN
Sept. 11-12	Committee on Women's Athletics Meeting	Indianapolis, IN
Sept. 11-12	Minority Opportunities and Interests Committee Meeting	Indianapolis, IN
Sept. 12	New Member Orientation	Indianapolis, IN
Sept. 16	Honors Committee Meeting	Indianapolis, IN
Sept. 16-17	Research Committee Meeting	Indianapolis, IN
Sept. 24-25	Interpretations and Legislative Committee Meeting	Indianapolis, IN

*This email was sent to NCAA Division III chancellors and presidents currently serving on committees, athletics direct reports, athletics directors, faculty athletics representatives, senior woman administrators, sports information directors, senior compliance officers, commissioners, assistant/associate commissioners, provisional/reclassifying members, Division III SAAC, based on contact information in the [NCAA Directory](#).*

# DIII: Follow your passions and discover your potential

<b>Proportion</b> Appropriate relation of academics with opportunities to pursue athletics and other passions.	<b>Comprehensive Learning</b> Opportunity for broad-based education and success.	<b>Passion</b> Playing for the love of the game, competition, fun and self-improvement.	<b>Responsibility</b> Development of accountability through personal commitment and choices.	<b>Sportsmanship</b> Fair and respectful conduct toward all participants and supporters	<b>Citizenship</b> Dedication to developing responsible leaders and citizens in our communities.
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National Collegiate Athletic Association 700 W. Washington St., Indianapolis, IN, 46204 US

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**NCAA DIVISION III**  
**PRESIDENTS AND MANAGEMENT COUNCILS**  
**SUMMARY OF SPRING 2019**  
**QUARTERLY MEETINGS**

**KEY ACTION/DISCUSSION ITEMS:**

NCAA Transfer Portal.....	Page Nos. 3-4
Graduate Student/Postbaccalaureate Eligibility Waiver Criteria.....	Page No. 7
Noncontroversial Legislation – Attestation and Certification of Rules Compliance.....	Page No. 15
Convention Legislation – Eligibility – Composition of Conference.....	Page Nos. 17-18
Convention Legislation - Diversity and Inclusion Athletics Designation.....	Page No. 22
International Ice Hockey Pilot.....	Page No. 26

<b>Division III Management Council</b> <b>April 15-16, 2019</b> <b>Indianapolis, IN</b>	<b>Division III Presidents Council</b> <b>April 30-May 1, 2019</b> <b>Indianapolis, IN</b>
ATTENDEES	ATTENDEES
<p>Stevie Baker-Watson, DePauw University, chair  Brad Bankston, Old Dominion Athletic Conference  Heather Benning, Midwest Conference, vice chair  Steve Briggs, Berry College  Chuck Brown, Pennsylvania State University Erie, the Behrend  Gerard Bryant, John Jay College of Criminal Justice  Jason Fein, Bates College  Sarah Feyerherm, Washington College (Maryland)  Sammy Kastner, Notre Dame of Maryland University, SAAC representative  Lori Mazza, Western Connecticut State University  Scott McGuinness, Washington and Jefferson College  Tim Millerick, Austin College  Laura Mooney, Massachusetts College of Liberal Arts  Michelle Morgan, John Carroll University  Colby Pepper, Covenant College, SAAC representative  Kate Roy, North Atlantic Conference  Kandis Schram, Maryville College (Tennessee)  Dennis Shields, University of Wisconsin, Platteville  Denise Udelhofen, Loras College  Michael Vienna, Emory University  Joe Walsh, Great Northeast Athletic Conference</p>	<p>Teresa Amott, Knox College  Stevie Baker-Watson, DePauw University, MC chair  Heather Benning, Midwest Conference, MC vice chair  Kate Conway-Turner, Buffalo State, State University of New York  Mary Beth Cooper, Springfield College  Stuart Dorsey, Texas Lutheran University  Richard Dunsworth, University of the Ozarks  Tiffany Franks, Averett University  Troy Hammond, North Central College  Sue Henderson, New Jersey City University, chair  Robert Lindgren, Randolph-Macon College  Fayneese Miller, Hamline University  Tori Murden McClure, Spalding University, vice chair  Kathleen Murray, Whitman College  Elsa Nunez, Eastern Connecticut State University  Kent Trachte, Lycoming College  Bill Tsutsui, Hendrix College</p>
ABSENTEES	ABSENTEES
	<p>Javier Cevallos, Framingham State University  Margaret Drugovich, Hartwick College  William Fritz, College of Staten Island</p>
OTHER PARTICIPANTS	OTHER PARTICIPANTS
<p>Scott Bearby, NCAA  Brian Burnsed, NCAA  Dan Calandro, NCAA  Meredith Cleaver, NCAA  Sandy Hatfield Clubb, Pictor Group  Dan Dutcher, NCAA  Mark Emmert, NCAA president  Brian Hainline, NCAA  Eric Hartung, NCAA  Jay Jones, NCAA  Debbie Kresge, NCAA, recording secretary  Louise McCleary, NCAA</p>	<p>Scott Bearby, NCAA  Brian Burnsed, NCAA  Dan Dutcher, NCAA  Mark Emmert, NCAA president  Brian Hainline, NCAA  Eric Hartung, NCAA  Jay Jones, NCAA  Debbie Kresge, NCAA, recording secretary  Louise McCleary, NCAA  Lorne McManigle, NCAA  Jeff Myers, NCAA  John Parsons, NCAA</p>

OTHER PARTICIPANTS, continued...	OTHER PARTICIPANTS, continued...
Jeff Myers, NCAA Binh Nguyen, NCAA Bill Regan, NCAA Donald Remy, NCAA Adam Skaggs, NCAA Liz Suscha, NCAA Cari Van Senus, NCAA Caryl West, NCAA	Bill Regan, NCAA Donald Remy, NCAA Cari Van Senus, NCAA Caryl West, NCAA

[Note: This summary reflects only actions (formal votes or “sense of meeting”) in accordance with the established policy governing minutes of all NCAA entities. The only discussion included is that ordered by the chair or a member of the group.]

**1. WELCOME AND ANNOUNCEMENTS.**

April 15-16 Management Council. The meeting was called to order at 7:54 a.m. April 15 and 8:02 a.m. April 16, by the chair, Stevie Baker-Watson. With seven new Council members, the chair had everyone introduce themselves.

April 30 and May 1 Presidents Council. The meeting was called to order at 6:33 p.m., April 30, and 8:57 a.m. May 1, by the chair, President Sue Henderson. The chair acknowledged the first meeting for Presidents Dunsworth, Hammond and Tsutsui and the final meeting for President Dorsey, who will be retiring at the end of the academic year.

**2. REVIEW OF RECORDS OF PREVIOUS MEETINGS.**

**a. Management Council Meetings – January 23 and January 26, 2019.**

Management Council. The Management Council approved the summary of its January 23 and January 26, 2019 meetings, with two edits.

Presidents Council. No action was necessary.

**b. Presidents Council Meeting – January 24, 2019.**

Management Council. No action was necessary.

Presidents Council. The Presidents Council approved the summary of its January 24, 2019, meeting.

**c. Administrative Committee Actions.**

Management Council. The Management Council approved a recommendation from the Administrative Committee’s February 27, 2019, report to voluntarily include Division III student-athletes in the NCAA Transfer Portal beginning with the 2019-20 academic year. Division III coaches and administrators currently have read-only access to the portal, which includes student-athletes from only Divisions I and II. It is used as a compliance tool that provides academic and athletics information relevant to the transfer process. The Division

III self-release legislation will remain intact. Student-athletes requesting a transfer may also request their compliance office input relevant transfer information into the portal. A benefit to using the portal is that it will create a standardized transfer tracer for compliance officers. At its July meeting, the Council will discuss if use of the Transfer Portal should be mandatory. The Interpretations and Legislation Committee will provide feedback, and staff will solicit feedback during the Regional Rules Seminars and Division III Commissioners Association meeting.

The Management Council ratified the March 20, 2019, Administrative Committee report.

Presidents Council. The Presidents Council approved the recommendation from the Administrative Committee to permit the division's student-athletes to use the current Transfer Portal beginning in the 2019-20 academic year.

The Council also ratified the March 20, 2019, Administrative Committee report.

### **3. COMMITTEE RECOMMENDATIONS AFFECTING DIVISION III.**

#### **a. Division III Joint Presidents Council/Management Council Committees or Subcommittees.**

##### **(1) Convention-Planning Subcommittee.**

###### **(a) Review of 2019 Convention Feedback.**

Management Council. The Council reviewed feedback from the 2019 Convention membership survey noting the following: 90 percent rated the Convention experience as very good or good (slightly lower than 2018 at 93 percent) and 97 percent said they would recommend attendance at a future Convention.

Presidents Council. No action was necessary.

###### **(b) 2020 NCAA Convention – Educational Session Topics.**

Management Council. The Council reviewed the subcommittee's report regarding potential future educational session topics. It noted the following recommendations from the 2019 Convention survey, as well as prior suggestions:

- Student-athlete wellness – mental health [sessions in 2018 and 2019].
- Fundraising [session in 2019].
- Leadership and mentoring (e.g., associate to director of athletics, mentoring new coaches).
- Esports.
- Compliance (e.g., available resources, best practices) [session in 2018].

Staff Liaisons:  
Brown,  
McCleary

Council Reps:  
Baker-Watson,  
Franks, Mazza,  
Mooney,  
McGuinness,  
Pepper, Schram,  
Tsutsui

- Diversity (e.g., disability, LGBTQ, international, SWA).
- Game management and sportsmanship.
- Crisis management.
- Presidential Panel – Insights on athletics and expectations.

Staff will survey the membership via the May Monthly Update and the NADIII AA and D3CA listservs. The surveys will highlight the subcommittee's recommended educational sessions; indicate a 60- or 90-minute time preference; and denote the preference for the session to be a workshop, a Division III educational session, an Issues Forum topic or an Association-wide session. During the subcommittee's next teleconference, it will narrow the list of session topics to three.

Presidents Council. No action was necessary.

**(2) Strategic Planning and Finance Committee.**

**Staff Liaisons:**  
Dutcher, Hartung,  
Jones, Kresge,  
McCleary, Myers,  
West

**Council Reps:**  
Baker-Watson,  
Benning, Dorsey  
Drugovich,  
Murden-McClure,  
Pepper, Roy,  
Shields, Trachte,  
Vienna, Walsh

**(a) Noncontroversial Legislation – NCAA Bylaw 21.9.6.2 and Figure 21.1 – Committee Membership.**

Management Council. The Council sent back to the Championships Committee a recommendation to revise sports regions to encourage a more focused review by sport committees.

The noncontroversial legislation seeks to amend Figure 21.1 as incorporated by Bylaw 21.9.6.2, to adjust sport committee rosters to coincide with a proposed new regional alignment. The Championships Committee supported a proposal from the Division III Commissioners Association to create a scale for regional alignment based on sports sponsorship (e.g., sports with sponsorship from 40-149 would have two regions; 150-374, 5-8 regions; 375+, 9-10 regions) and other key principles, including: (1) Maintaining conference members in same regions; (2) Importance of geographic proximity in regional placement; and (3) Balancing the number of institutions across all regions (optimally, close to 40 per region). The new model provides flexibility based on the number of schools sponsoring the sport in the division (allowing for growth with a prescribed solution for sports that change over time) and recognizing that the "one size fits all" philosophy may not work for regional alignment.

Presidents Council. No action was necessary.

**(b) Nonchampionship Budget Initiatives.**

Management Council. The Council approved the nonchampionships budget priority initiatives for the 2019-21 budget cycle [See Attachment A].

Key changes include increases to the Conference Grant Program (5%); an increase to the Women & Minority Internship Program (funding 23 internships annually for two years); and more funding for the learning management system “Division III University.”

Presidents Council. The Presidents Council also approved the budget recommendation.

**(c) Championships Budget Initiatives.**

Management Council. The Council approved changes in bracket sizes and incorporated the championship’s budget priority initiatives for the 2019-21 budget cycle [See Attachment B].

The Council noted that these recommendations will enhance the championship experience for student-athletes and improve championship administration.

Presidents Council. The Presidents Council also approved the budget recommendation.

**(d) 2018-19 Division III Budget.**

Management Council. The Council reviewed the 2018-19 budget-to-actual summary as of March 2019. Currently, staff anticipates spending all championships funding, with nonchampionships having a surplus of approximately \$225,000.

Presidents Council. No action was necessary.

**(e) Strategic Positioning Platform Review.**

Management Council. The Council noted the committee discussed the current existing Division III strategic positioning platform and recommended the creation of a working group to review and make recommendations for updates. To align with the current update of the NCAA Association-wide strategic plan, the working group will begin its work after the October 2019 Board of Governors (BOG) meeting.

Presidents Council. No action was necessary.

**(f) Update on NCAA Audit Process.**

Management Council. The Council noted that following the fall review of the Conference Grant Impact Forms, the Conference Grant Review Subcommittee submitted three conferences for a Level Two review (i.e., two for-cause and one randomly selected). NCAA internal audit staff are conducting the audits this spring.

Presidents Council. No action was necessary.

(3) **Joint Legislative Steering Subcommittee.**

Management Council. The subcommittee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

b. **Management Council Subcommittees.**

(1) **Subcommittee for Legislative Relief.**

(a) **Amended Waiver Criteria - Management Council Subcommittee for Legislative Relief - Graduate Student/Postbaccalaureate Eligibility.**

Management Council. The Council approved the amended graduate student/postbaccalaureate waiver criteria:

a. The student-athlete graduated with an undergraduate degree ~~*ahead of schedule (i.e., in less than four academic years)*~~ **within four academic years**. Such documentation shall be in the form of the student-athlete's academic transcript and/or a written statement from an appropriate academic official from the previous institution (e.g., registrar);

b. **The student-athlete has seasons of participation remaining. A season of participation shall be determined based on Division III Bylaw 14.2.4.1 (Minimum Amount of Participation), regardless where (e.g., other NCAA division, NAIA) the participation occurs.**

~~b.c.~~ The student-athlete continued/maintained his or her education as a full-time student rather than having "breaks" (e.g., part time) in enrollment for no reason/circumstances beyond his or her control; and

~~e.d.~~ The student-athlete possesses a minimum 3.000 cumulative grade-point average.

The membership's desire to create greater graduate/postbaccalaureate student opportunities was demonstrated as recently as 2018 when legislation passed to allow for participation after graduation from any Division III institution. By relaxing the graduation timeline standard to not more than four years, and by adding the requirement that the student-athlete not have used four seasons of participation per Division III legislation, the revised directive promotes the Division III philosophy of increasing opportunities for participation. The amended directive also provides an alternative solution to historical concerns of "redshirted" student-athletes participating as graduate/postbaccalaureate students at Division III institutions.

Presidents Council. The Presidents Council approved the Management Council's recommendation.

Staff Liaisons:

Berg,  
Purcell

Council Reps:

Fein, Mazza,  
McGuinness,  
Roy,  
Udelhofen,  
Vienna

**(b) Review of Subcommittee for Legislative Relief Guidelines and policies and Procedures.**

Management Council. The subcommittee reviewed its guidelines and policies and procedures and approved edits reflecting gender neutral language.

Presidents Council. No action was necessary.

**(c) Continuous Full-Time Enrollment Waivers Asserting Education-Impacting Disability.**

Management Council. The subcommittee reviewed the current staff position on providing conditioned approvals for waivers of Bylaw 14.1.8.1 (full-time enrollment – requirement for practice or competition), in which the student-athlete has a documented education-impacting disability and is receiving the accommodation of less-than-full-time enrollment from their institution. The subcommittee determined that waivers of this type may be granted in perpetuity, provided: (1) The student-athlete continues to receive the less-than-full-time enrollment accommodation from their institution; and (2) The institution maintains documentation of the student-athlete's accommodation.

Presidents Council. No action was necessary.

**(2) Playing and Practice Seasons Subcommittee.**

**(a) Review of NCAA Interassociation Recommendations: Preventing Catastrophic Injury and Death in College Student-Athletes.**

Management Council. The subcommittee reviewed the NCAA interassociation recommendations related to transitioning periods and strength and conditioning sessions relative to Division III legislative provisions. The subcommittee stressed the importance of education for the membership to foster successful implementation of the recommendations.

Presidents Council. No action was necessary.

**(b) Review of NCAA Division III Proposal 2019-3 – Field Hockey and Soccer Preseason – Establishing a Three-Day Acclimatization Period.**

Management Council. The proposal was referred back to the Council and the Committee on Competitive Safeguards and Medical Aspects of Sport (CSMAS) for further review. The subcommittee noted there is value in the proposal; however, the subcommittee recommended CSMAS review the issue of acclimatization for all fall sports, including defining the appropriate number of days and practice limitations. The subcommittee will review that feedback during its July meeting.

Presidents Council. No action was necessary.

Staff Liaisons:  
Alford, Peterson,  
Romash

Council Reps:  
Bankston,  
Kastner, Mooney,  
Morgan, Schram,  
Vienna, Walsh



**(c) Review of 2019 Convention Issues Forum Feedback – Starting and Ending Dates for Sports Seasons.**

Management Council. Feedback from the 2019 Convention Issues Forum indicated support for further research and development on the concept of adjusting the beginning and ending dates of the playing seasons. The subcommittee determined that it would revisit the issue after CSMAS's review of fall sport acclimatization.

Presidents Council. No action was necessary.

**c. Division III Committees.**

Staff Liaison:  
Peterson-  
Mylinski,  
Suscha

Council Reps:  
Baker-Watson,  
Bankston,  
Fein

**(1) Championships Committee.**

**(a) Noncontroversial Legislation – NCAA Bylaw 21.9.6.2 and Figure 21.1 – Committee Membership.**

Management Council. [See Page No. 5, (2) (a) – Strategic Planning and Finance Committee Report].

Presidents Council. No action was necessary.

**(b) Administrative Regulation – NCAA Bylaw 31.1.6 – Executive Regulations – Administration of NCAA Championships – Playing Rules – Non-NCAA Rules.**

Management Council. The Council adopted an administrative regulation to amend Bylaw 31.1.6 (executive regulations – administration of NCAA championships – playing rules – non-NCAA rules) to specify that rules modifications for sports in which the Association does not publish rules must be consistent among divisions.

Presidents Council. No action was necessary.

**(c) Bracket Size and Championship Administrative Changes.**

Management Council. [See Page No. 6, (2) (c) – Strategic Planning and Finance Committee Report.]

Presidents Council. The Presidents Council approved the Management Council's recommendation.

**(d) Bench Size Policies.**

Management Council. The Council noted the committee reviewed results of a survey sent to athletics directors to gauge interest in expanding bench size policies to accommodate additional student-athletes (at the institution's expense, and without corresponding increases to the travel party size). The survey results indicate support for expansion and include

reaction to ideas of how to manage it (e.g., place limits, allow schools to decide, etc.). While the survey targeted broad-based application, the committee acknowledged that recommendations for change may require sport-specific consideration.

Presidents Council. No action was necessary.

**(e) Men's and Women's Golf Date Formula Survey.**

Management Council. The Council noted the committee approved a draft survey that the Division III Men's and Women's Golf Committees want to send to their memberships regarding whether institutions would prefer to have the date formulas structured so that the men's and women's championships fall on different weeks.

Presidents Council. No action was necessary.

Staff Liaisons:  
Cooper, Elworth  
Kleppel

Council Rep:  
Feyerherm

**(2) Committee on Infractions.**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:  
Alford, Hartung,

Council Rep:  
Schram

**(3) Financial Aid Committee.**

**(a) Noncontroversial Legislation – Financial Aid – Elements of Financial Aid – Government Grants – NCAA Bylaw 15.2.2.**

Management Council. The Council agreed to sponsor noncontroversial legislation to replace the current government grants legislation in Bylaw 15.2.2 and the list of exempted governments grants in Bylaw 15.2.2.1 with legislation entitled "Estimated Financial Assistance," which will utilize the Department of Education definition of "overaward" for purposes of determining whether financial aid has exceeded the student-athlete's cost of attendance.

Presidents Council. No action was necessary.

**(b) Approval of the Revised Division III Financial Aid Reporting Program Users' Manual – Graduate Transfer Student-Athletes.**

Management Council. The Council approved the Financial Aid Reporting Program Users' Manual as revised.

The committee determined with the adoption of Bylaw 14.1.9 (Graduate Student/Postbaccalaureate Participation) in January 2018, institutions would be required to report student financial aid information for graduate/postbaccalaureate student-athletes as part of the Financial Aid Reporting Program beginning in 2019. These student-athletes meet the definition

for inclusion in the required cohort as they are full-time student-athletes enrolling at the Division III institution for the first time. The committee noted the unique financial aid packaging for graduate/postbaccalaureate students. In order to properly capture the financial aid information for these student-athletes, the committee developed a parallel data collection procedure.

Presidents Council. No action was necessary.

(c) **Level II Reviews.**

Management Council. The Council noted the committee reviewed 33 Level II financial aid reporting cases; four cases were referred to enforcement and one case to the Membership Committee.

Presidents Council. No action was necessary.

Staff Liaison:  
Walters

Council Reps:  
Fritz, Roy

(4) **Infractions Appeals Committee.**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:  
Myers, Purcell,  
Regan

Council Rep:  
Morgan

(5) **Interpretations and Legislative Committee (ILC).**

(a) **Noncontroversial Legislation – NCAA Division III Bylaw 17.29.1.9.1 – Playing and Practice Seasons – Institutionally Certified Foreign Tours – Increase Incidental Expense Per Diem to \$30.**

Management Council. The Council approved in concept noncontroversial legislation increasing, from \$20 to \$30, the amount an institution may provide a student-athlete per day to cover unitemized incidental expenses incurred in connection with an institutional foreign tour.

Presidents Council. No action was necessary.

(b) **Noncontroversial Legislation – Bylaw 13.1.4.1 – Contact Restrictions at Prospective Student-Athlete’s Educational Institution – Elimination of Required Permission from Institution’s Executive Officer.**

Management Council. The Council approved, in concept, noncontroversial legislation eliminating the requirement that an institutional staff member or any representative of the institution’s athletics interests seeks permission from the executive officer (or designee) of a prospective student-athlete’s educational institution prior to contacting that prospective student-athlete during the portion of the day when classes are being conducted.

Presidents Council. No action was necessary.

(c) **Modification of Wording – Bylaw 17.1.1.2 – Playing and Practice Seasons – Clarifying that Winter Sports Do Not Have Segment Limitations.**

Management Council. The Council approved, in concept, a modification of wording clarifying that sports with a winter championship do not have a nontraditional segment.

Presidents Council. No action was necessary.

(d) **Noncontroversial Legislation – Bylaw 14.2.5 – Hardship Waiver – Exempted Exhibitions.**

Management Council. The Council approved, in concept, noncontroversial legislation clarifying that participation in an exempted scrimmage, exhibition or joint practice (before or after the first regularly scheduled contest) does not count as a competition when determining the first half of the playing season or whether the student-athlete participated in more than one-third of the standard number of contest/dates of competition for purpose of the hardship waiver analysis.

Presidents Council. No action was necessary.

(e) **Division III Health and Safety Survey Pilot.**

Management Council. The Council approved the administration of the Sport Science Institute health and safety survey as a Division III pilot for 2019-20. At the recommendation of the ILC, the Council believes there is merit in having a better understanding of the care that is provided to student-athletes. Administering the health and safety survey as a pilot would be useful in determining whether the survey instrument was suitable and beneficial for Division III institutions in assessing the medical care they provide to their student-athletes. The Council requested that questions not applicable to Division III be removed from the survey.

Presidents Council. No action was necessary.

(f) **Division III University.**

Management Council. The Council supported the recommended growth of Division III University, the online learning system, with the creation of new compliance modules and access to more Division III constituent groups (e.g., FARs, athletics trainers, compliance directors), pending budget and personnel requests.

Presidents Council. No action was necessary.

(g) **Approve Official Interpretation – Prospective Student-Athlete’s Prospect-Aged Sibling Receiving Benefits While Accompanying the Prospective Student-Athlete on an Official Visit.**

Management Council. The Council approved the following official interpretation:

Prospective Student-Athlete’s Prospect-Aged Sibling Receiving Benefits While Accompanying the Prospective Student-Athlete on an Official Visit. The committee confirmed that it is permissible for an institution to provide all the benefits a sibling is permitted to receive (e.g., meals, lodging, transportation and/or entertainment) during an official visit to a sibling of a prospective student-athlete who is also prospect-aged and/or being recruited by the institution without the visit constituting an official visit for the prospective student-athlete’s sibling, provided no direct recruitment of the sibling occurs during the visit.

(h) **Amend Official Interpretation – Athletics Department Staff Involvement with Financial Aid Offices (III).**

Management Council. The Council approved the amendments to the following official interpretation:

The committee confirmed that institutional athletics staff members (e.g., athletics directors, coaches, senior woman administrators, etc.) are precluded from being involved, in any manner, in the review of the institutional financial aid to be awarded to a student-athlete per Bylaw 15.4.5 (athletics staff involvement) and Bylaw 15.4.6 (matrix-rating system). Specifically, institutional athletics staff shall not influence a student-athlete’s financial aid package (as assembled by the financial aid officer or financial aid committee) directly or indirectly.

Athletics department staff members’ involvement in or interaction with its institution’s financial aid office should be directed by the following:

- The athletics department may communicate with ~~the clerical/support~~ staff in the financial aid office in order to determine whether a particular prospective student-athlete’s financial aid forms have been submitted;
- The athletics department may send a list of names of student-athletes to the financial aid office solely for the purpose of facilitating the annual Division III financial aid reporting process;
- The initial communication of a student-athlete’s financial aid package amount should occur between the student-athlete or his or her parents or guardian and the institution’s financial aid office, admissions office, or enrollment management services department;
- Athletics staff shall not serve on a member institution’s financial aid committee;

- A faculty member (e.g., chemistry professor) would not be precluded from serving on both an institutional faculty athletics committee (e.g., faculty athletics representative) and any institutional financial aid committee as long as they are not a coach or athletics department staff member; and
- An athletics department staff member who also works in the financial aid office may continue to work in the financial aid office only if the individual clearly is not involved in the financial aid decision-making process or in the packaging of financial aid for students.

Presidents Council. No action was necessary.

**(i) Athletics Department Staff Involvement with Admissions Office and Role of Admissions Office with Financial Aid Packaging.**

The committee confirmed that athletics department staff involved in any capacity with the institutional admissions office shall not administer, oversee or otherwise influence any admissions matrix system or other admissions rating formula that directly or indirectly impacts institutional financial aid packaging decisions. For example, an institution that uses an admissions matrix-rating system to evaluate and rank incoming student applicants shall not allow athletics department staff to score, rank, or to otherwise influence any admissions rating of any student, including student-athletes, if the admissions matrix-rating score is used in any way to determine the financial aid package of any student, including student-athletes.

Permissible involvement of athletics department staff with its institution's admissions office should be directed by the following:

- Enrollment management personnel, including admissions office staff, are permitted to be employed by the athletics department provided those individuals have no role in the administration of financial aid (e.g., need analysis, aid packaging);
- It is permissible for an athletics department staff member who is working in the admissions office to be involved in off-campus admissions programs directed at prospective students in general, provided any contact made with prospective student-athletes prior to the completion of the prospective student-athlete's sophomore year in high school is not for the purpose of athletics recruitment (e.g., athletics recruiting presentation);
- An athletics department staff member who also serves as an admissions officer is precluded from having any input regarding the recipients of any institutionally administered grants or scholarships (e.g., presidentail, merit, academic, leadership, etc.); and

- Any factors of athletics ability or participation (e.g., high school athletics participation, outside club MVP awards, all-tournament honor, high school letter winner, all-state/all-conference selection, athletics ability of any kind, etc.) that are considered in the admissions matrix-rating system shall be removed from the rating system prior to the review of the student-athlete's application by the financial aid office.

Management Council. No action was necessary.

Presidents Council. No action was necessary.

**(j) Noncontroversial Legislation – NCAA Division III Constitution 2.1 – Institutional Control and Responsibility – Constitution 2.8 – Attestation and Certification of Rules Compliance.**

Management Council. The Council approved, in concept and final format, noncontroversial legislation amending Constitution 3.3.4 (conditions and obligation of membership) and Bylaw 31.2.1 (institutional eligibility) clarifying that: (1) An institution's chancellor or president shall attest, annually by October 15, to understanding the institutional obligations and personal responsibilities imposed by Constitution 2.1 (principle of institutional control and responsibility) and Constitution 2.8 (principle of rules compliance); and (2) An institution's director of athletics shall certify, annually by October 15, that specified conditions for entry of individuals and teams in NCAA championship competition have been satisfied, including an attestation of understanding the institutional obligations and personal responsibilities imposed by Constitution 2.1 and Constitution 2.8 and that all athletics department staff members (full-time, part-time, clerical, and volunteer) are aware of the institutional obligations and personal responsibilities imposed by Constitution 2.1 and Constitution 2.8.

Presidents Council. No action was necessary.

**(k) Approve Official Interpretation – Definition of Physical Athletically Related Activities.**

Management Council. The Council approved the following official interpretation, amending the title to read "Definition of Physical Athletically Related Activities for Football Preseason" as it refers specifically to the required two days off during football:

Definition of Physical Athletically Related Activities for Football Preseason. The Division III Interpretations and Legislation Committee confirmed that physical athletically related activities include (but are not limited to) weight training, strength and conditioning and on-field activities. Leadership programs that include physical activity such as rope course, SEAL training, etc. are also considered physical athletically related activities. Other leadership programming, film review and team

meetings are not considered physical athletically related activities and therefore, may occur any day of the preseason before classes are in session. Medical treatments, including rehabilitative exercises, are permitted.

Furthermore, medical and athletics training staff should exercise professional discretion when determining whether a leadership or team activity would be associated with a typical football practice event and contrary to the intent of facilitating physical and mental rest during that day.

Presidents Council. No action was necessary.

**(l) Approve Official Interpretation – Permissible Limitations for Participation in Camps, Clinics and Other Athletics Events.**

Management Council. The Council approved the following official interpretation:

Permissible Limitations for Participation in Camps, Clinics and Other Athletics Events. The Division III Interpretations and Legislation Committee confirmed that a camp, clinic or other athletics event is considered open to the general public even if participation is limited by number, age, gender and grade level. Participation limited by academic criteria (e.g., grade-point average, standardized test score) is not considered open to the general public.

Presidents Council. No action was necessary.

**(m) Approve Official Interpretation – Participation on a Major Junior Ice Hockey Team.**

Management Council. The Council approved the following interpretation:

Participation on a Major Junior Ice Hockey Team. The Division III Interpretations and Legislation Committee determined that an individual that participates in a tryout or minimal practice with a Major Junior ice hockey team prior to collegiate enrollment does not constitute participation on a Major Junior ice hockey team. Participation on a Major Junior ice hockey team prior to collegiate enrollment results in the individual using a season of participation for each calendar year in which the participation occurs. Additionally, the individual would have to fulfill an academic year in residence prior to competing in intercollegiate hockey.

The following constitutes participation on a Major Junior ice hockey team:

- Competing with a Major Junior team, including scrimmages and exhibitions. Intra-squad scrimmages are not competitions.
- Practicing during the regular or post-season in excess of 48-hours.
- Appearing on a team roster at any time during the regular or post season.



The following would not constitute participation on a Major Junior ice hockey team:

- Participating in preseason (i.e., period before first regularly scheduled contest) provided no competition against another team occurs.
- Engaging in a tryout activity not exceeding 48-hours at any time.
- Participating in spring/development minicamps.

Presidents Council. No action was necessary.

(6) **Membership Committee.**

Staff Liaisons:  
Alford, Berg,  
Jones

Council Rep:  
Mooney

(a) **Noncontroversial Legislation – NCAA Constitution 3.3.1.2.2 – NCAA Membership – Member Conference – Eligibility – Composition of Conference – Single-Sport Conference.**

Management Council. The Council approved noncontroversial legislation to indicate that in National Collegiate Championship sports, the number of active member institutions needed to be granted single-sport conference status is controlled by the National Collegiate automatic qualification number.

Presidents Council. No action was necessary.

(b) **Convention Legislation – Constitution 3.3.1.2 – NCAA Membership – Member Conference – Eligibility – Composition of Conference.**

Management Council. The Council agreed to sponsor 2020 Convention legislation to allow the use of provisional institutions in years three and four of the new member process to establish the seven institutions required to comprise a conference. Of the minimum seven institutions necessary to be granted conference status, at least four shall be active Division III member institutions.

Proposal No. 2016-9 modified how provisional schools can be utilized towards earning a conference's automatic qualification bid. That proposal was narrowly focused and did not modify the language related to years three and four provisionals in Constitution 3.3.1.2 (composition of conference). The result is that a provisional/reclass school in year three or four of the new member process can count for the automatic qualification waiting period, but not for the seven core members needed to form a conference from the start. Conference instability may be lessened if provisional schools are able to be used by a conference in meeting its minimum number of member institutions.

Presidents Council. The Presidents Council approved the Management Council's recommendation.

(c) **Convention Legislation – Bylaw 20.7.1.1 – Multi-Division Membership – Applying Division I Legislation for Division I Sports.**

Management Council. The Council agreed to sponsor 2020 Convention legislation to allow Division III institutions with Division I sports to apply Division I legislation in all areas, except Bylaw 15 (financial aid).

The current legislation requires Division III member institutions with Division I sports to apply the rules of both divisions, or the more stringent rule if both divisions have a rule concerning the same issue. It is often difficult for institutions to distinguish which of the rules is more stringent and tracking the bylaws for two divisions can burden administrative staff. The number of affected schools will not increase, as Division I legislation currently prohibits additional institutions from becoming multidivisional. Currently, 10 Division III institutions sponsor a Division I sport.

Presidents Council. No action was necessary.

(d) **Division III Provisional and Reclassifying Membership.**

Management Council. The Council reviewed and approved the following exploratory, provisional and reclassifying membership applications:

Exploratory Membership:

- Bob Jones University (South Carolina);
- Lindenwood University – Belleville (Illinois); and
- Warren Wilson College (North Carolina).

Provisional and Reclassifying Membership:

- St. Thomas University (Texas) – provisional;
- Pratt University (New York) – provisional; and
- Mississippi University for Women – provisional.

Presidents Council. No action was necessary.

(e) **Two New Single Sport Conferences Approved.**

Management Council. The Council noted that the committee reviewed the applications for the following single-sport conferences and took the following action:

- Northeast Women’s Golf Conference – This conference with ten-member institutions was approved for the Division III Women’s Golf Championship.
- Central Intercollegiate Bowling Conference – This conference with six-member institutions was approved for a National Collegiate Bowling Championship pending a waiver by the Subcommittee for Legislative Relief.

Presidents Council. No action was necessary.

**(f) Departing Division III Institutions.**

Management Council. The Council noted that the College of Staten Island and Frostburg State University submitted applications to transition to Division II. If approved, the institutions would begin the Division II membership process in fall 2019. Also noted was that Thomas Moore College announced its departure from the NCAA for the NAIA and that Newbury College will no longer operate an athletics program after this academic year due to institutional closure. As a result, it is anticipated that overall Division III membership will decrease for the 2019-20 academic year.

Presidents Council. No action was necessary.

**(g) Review Potential Legislative Proposal – Timeline for Reclassifying Schools.**

Management Council. The Council noted as a result of discussions during the committee's February meeting, staff outlined potential legislative options for a year-four waiver for reclassifying schools. The committee reviewed the legislative proposal options and the differences in the reclassification timelines for Divisions I, II and III, and took no action.

Presidents Council. No action was necessary.

**(7) Nominating Committee.**

**(a) Governance Committee Reappointment.**

Management Council. The Council approved the following committee reappointment, effective September 1, 2019, for an additional two-year term:

- Committee on Women's Athletics – Marjorie Hass, president, Rhodes College, Southern Athletic Association (two-year term).

Presidents Council. No action was necessary.

**(b) Governance Committee Appointments.**

Management Council. The Council approved the following committee appointments, effective September 1, 2019:

- Minority Opportunity and Interests Committee. Immediate vacancy replacing Dawn Redd – Marquetta Dickens, senior woman administrator/assistant director of athletics/head women's basketball coach, College of Saint Elizabeth, North Eastern Athletic Conference. September 2019 vacancy – Tony Gaskew, faculty athletics representative, University of Pittsburgh, Bradford, Allegheny Mountain Collegiate Conference.

Staff Liaison:  
Tufano

Council Rep:  
Mazza

- Research Committee. Sashi Gayadeen, faculty athletics representative, Buffalo State, State University of New York, State University of New York Athletic Conference.
- Committee on Women's Athletics – Renee Bostic, director of athletics, Notre Dame of Maryland University, Colonial States Athletic Conference.

Presidents Council. No action was necessary.

**Staff Liaisons:**  
Barnhart,  
Berg , Jones,  
Peterson,

**Council Reps:**  
Kastner,  
Pepper

**(8) Student-Athlete Advisory Committee (SAAC).**

Management Council. The Council reviewed the committee's report noting that at the conclusion of the recent Division III Week celebration, national SAAC distributed mental health cards to 200 participating Division III institutions. The cards for student-athletes include their institution's personalized mental health contact information to assist with a crisis or emergency. A template of the card will be available on ncaa.org.

SAAC's initiatives for the coming year (2019-20) are sustainability, inclusion and continued promotion of the Special Olympics partnership.

Presidents Council. No action was necessary.

**Staff Liaisons:**  
Grace,  
Romash

**Council Rep:**  
Benning

**(9) Student-Athlete Reinstatement Committee.**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

**d. Association-Wide Committees.**

**Staff Liaisons:**  
Parsons,  
Rohlman

**Council Rep:**  
Baker-Watson

**(1) Competitive Safeguards and Medical Aspects of Sports (CSMAS).**

**(a) Playing Rules.**

Management Council. The Council endorsed three playing rules changes recommended by the committee: (1) Require hydration breaks at a set time during each half of soccer competition in extreme heat; (2) Require chest protectors in lacrosse and baseball be certified at the current NOCSAE standards; and (3) Create a formal exception for the athletics healthcare administrator to be exempted from the football sideline maximum of 60 people.

Presidents Council. No action was necessary.

**(b) Student-Athlete Mental Health Services and Resources.**

Management Council. The Council approved, in concept, noncontroversial legislation requiring an institution to make mental health services and resources available to its student-athletes. The Council noted some concerns with the proposal's intent statement and related process. It recommended edits to the language to make it more Division III focused while maintaining the conceptual intent of the proposal. The Council will review the proposal in final format during its July meeting.

Presidents Council. No action was necessary.

**(c) Independent Medical Care - Health Care for Visiting Teams.**

Management Council. The Council noted that CSMAS recently reviewed and provided feedback on a white paper regarding the provision of health care to visiting teams traveling without primary athletics health care providers or athletics trainers. The concerns relate to who is in charge of athletics injuries during a home contest (e.g., the home athletics trainer, visiting athletics trainer, team physician) and athletics trainer licensure coverage with visiting teams. The Sports Science Institute will request the Board of Governors endorse a further study of this issue.

Presidents Council. No action was necessary.

**(2) Committee on Sportsmanship and Ethical Conduct.**

Management Council. The Council reviewed the committee's report. No action was necessary.

Presidents Council. No action was necessary.

**(3) Committee on Women's Athletics (CWA).**

Management Council. The Council reviewed the committee's report. No action was necessary.

Presidents Council. No action was necessary.

**(4) Honors Committee**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:  
Brownlee,  
Roxbury,  
Ziegler

Council Rep:  
Morgan

Staff Liaisons:  
Gentry,  
Fasbender,  
Wilson

Council Rep:  
Udelhofen

Staff Liaison:  
Tufano

Council Rep:  
Benning

Staff Liaisons:  
Abdur-Rashid,  
Crawford,

Council Rep:  
Bryant

**(5) Minority Opportunities and Interests Committee (MOIC).**

• **Diversity and Inclusion Athletics Designation.**

Management Council. The Council agreed to sponsor 2020 Convention legislation to amend Constitution 3.3.4 (Conditions and Obligations of Membership) to specify that all active member institutions and conference offices shall designate an Athletics Diversity and Inclusion Designee to be the primary contact and conduit for diversity and inclusion-related information.

The designation symbolically and practically represents the Association's recognition of inclusion as a core value. It supports the 2016 Board of Governors Presidential Pledge and Commitment to Promoting Diversity and Gender Equity in Intercollegiate Athletics. It also is the responsibility of each member institution to establish and maintain an environment that values cultural diversity and gender equity as acknowledged in Constitution 2.2.2 (Cultural Diversity and Gender Equity). While the NCAA national office provides valuable resources and programming to support the membership's efforts toward creating and maintaining inclusive environments, a constant complaint received is that institution and conference personnel are not aware of available resources or opportunities. This proposal seeks to create a network of colleagues who will serve as the conduit for consistent and thorough dissemination of diversity and inclusion-related information between conference offices, campuses, the athletics departments and the NCAA. The proposal suggests that the designation be given to an existing staff member who, at minimum, would be responsible for receiving periodic informational digests and sharing the information with the necessary parties within the athletics department, the campus or conference office. The staff member given the designation may be either internal or external to the athletics department, as determined by the president/chancellor/commissioner or their proxy. Specifically, this recommendation requests that the contact information for the designated staff member be entered on each member's NCAA Sports Sponsorship and Demographics Form.

Presidents Council. The Presidents Council approved the Management Council's recommendation to sponsor 2020 Convention legislation.

**(6) Honors Committee.**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:  
Tufano

Council Rep:  
Brown

Staff Liaisons:  
Smith,  
Suscha, Walters

Council Rep:  
Walsh

**(7) Olympic Sports Liaison Committee (OSLC).**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

**(8) Playing Rules Oversight Panel.**

Management Council. The Council reviewed the committee's reports. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:  
Calandro,  
Halpin

Staff Liaisons:  
O'Barr, Thomas

Council Rep:  
Brown

**(9) Postgraduate Scholarship Committee.**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaison:  
Bell

Council Rep:  
Millerick

**(10) Research Committee.**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaison:  
Thomas

Council Rep:  
Walsh

**(11) Walter Byers Scholarship Committee.**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

**4. PROPOSED LEGISLATION FOR THE 2020 CONVENTION.**

- Review of Noncontroversial Legislation for 2020 NCAA Convention.**

**(1) Committee Membership – Women's Lacrosse – Increase from Five to Seven Members.**

Management Council. The Council approved noncontroversial legislation to increase the composition of the women's lacrosse committee from five to seven. The additional committee members will represent each of the two new sport regions.

Presidents Council. No action was necessary.

**(2) Amateurism – Employment – Criteria Governing Compensation to Student-Athletes - Restitution.**

Management Council. The Council approved noncontroversial legislation to amend Bylaw 14.2.1 to designate violations as restitution violations, which do not affect eligibility if the value of the benefit is \$200 or less, provided the student-athlete makes restitution to a charity of their choice prior to competing.

Presidents Council. No action was necessary.

**(3) Executive Regulations – Eligibility for Championships – Ineligibility for Use of Banned Drugs and Procedures Subject to Restrictions – Tampering with and Manipulation of Urine Samples.**

Management Council. The Council approved noncontroversial legislation to clarify that tampering with an NCAA drug-test sample includes urine substitution and related methods; further, to clarify that manipulation of urine samples includes the use of substances and methods that alter the integrity and/or validity of urine samples provided during NCAA drug testing.

Presidents Council. No action was necessary.

**(4) Membership and Championship – Conditions and Obligations of Membership – Eligibility for Championships – Attestation and Certification of Compliance Obligations.**

Management Council. [See Page No. 15, Item c (5) (j) – Interpretations and Legislative Committee report]

Presidents Council. No action was necessary.

**5. *DIVISION III INITIATIVES AND UPDATES.***

**a. *Diversity and Inclusion Working Group.***

Management Council. The Council reviewed the working group's report noting its efforts leading up to the adoption of legislation to mandate student-athlete graduation rate reporting at the 2019 NCAA Convention. Institutions will be required to report their student-athlete data beginning in the 2020 reporting cycle, which runs from March 1 to June 2020. Staff strongly encourages institutions not currently reporting to use the 2019 reporting cycle (March 1 and closes June 1, 2019) as a test-run. Numerous educational resources will be available.

In addition, the Council noted the overwhelmingly positive survey results from the Division III Student Immersion Program in January, and that twenty-four participants will attend the Next Steps Program in early June in Indianapolis.

Presidents Council. No action was necessary.



**b. Faculty Athletics Representative Engagement Working Group.**

Management Council. The Council approved the creation of a Faculty Athletics Representative (FAR) Advisory Group. The advisory group will help guide the development of relevant education models and resources, engagement opportunities and potential Division III legislation.

Presidents Council. No action was necessary.

**c. LGBTQ Working Group.**

Management Council. Staff noted that LGBTQ national experts, Dr. Pat Griffin and Nevin Caple, have been hired to create the LGBTQ facilitator training program as well as the One Team Program for campuses and conference offices. The inaugural facilitator training will occur in late May in Indianapolis. Approximately 32 individuals will participate in the training.

Presidents Council. No action was necessary.

**d. Gameday the DIII Way.**

Management Council. Currently over 3,500 individuals have received the sportsmanship and game environment training. During the next two years, four Ambassador Training sessions, two each year, will occur throughout the country. These trainings will focus on regional-based ambassador training for senior athletics administrators and ‘super facilitator’ training for the most active existing Division III facilitators.

Presidents Council. No action was necessary.

**e. Division III Identity Initiative.**

Management Council. The Council received a status report on the Division III Identity Initiative including an update on the purchasing website, DIII/D3SIDA recognition award, social media, Special Olympics, Division III Week and website content, which continues to provide Division III with additional opportunities to use its home page to share stories portraying its unique student-athlete experiences.

Presidents Council. No action was necessary.

**f. Technology Users Group.**

Management Council. The Council received an update on the work of the Technology Users Group noting updates to single-source sign on, Division III University, program hub functionality and the compliance database.

Presidents Council. No action was necessary.

**g. 360 Proof.**

Management Council. The Council received an update on the continued work of 360 Proof noting the creation of “smaller paths,” which allow institutions using 360 Proof without committing to all the steps in the program. In addition, the steering committee has corresponded with the Sports Science Institute (SSI) to discuss the potential benefits of SSI managing 360 Proof.

Presidents Council. No action was necessary.

**h. International Ice Hockey – Eligibility Certification Pilot.**

Management Council. The Council reviewed the feedback from institutions and conference offices that participated in this year’s pilot. The Council approved continuing the pilot for the 2019-20 academic year with the following modifications: (1) Sharing the \$150 Eligibility Center’s (EC) fee with student-athletes; and (2) Following the EC’s current Division I and II certification process. The Council noted it did not intend to approve another pilot beyond 2019-20.

Presidents Council. No action was necessary.

**i. Injury Surveillance Program (ISP).**

Management Council. Staff provided an overview of the ISP usage numbers noting that this academic year, participation has risen from nine percent to 20%. Further, an additional 11% have signed up to participate. Submission of injury surveillance data will remain a priority for the division.

Presidents Council. No action was necessary.

**j. Division III Commissioner Business Management Resource Subgroup.**

Management Council. The Council reviewed a draft resource document for Division III Commissioners entitled “Conference Office Business Management Resource.” This document should be available by June 2019.

Presidents Council. No action was necessary.

**k. Strategic Communications Working Group.**

Management Council. The Council reviewed the updated Guide to Strategic Athletics Communication on Campus for sports information and athletics communication directors and noted it will be available at the CoSIDA Convention.

Presidents Council. No action was necessary.

**I. Division III University.**

Management Council. [See Page No. 12, (5) (f).]

Presidents Council. No action was necessary.

**6. ASSOCIATION-WIDE UPDATES AND ISSUES.**

**a. Board of Governors (BOG) Update.**

Management and Presidents Councils. The Councils received an update on the BOG initiatives including: (1) Adding five independent members to BOG; (2) Sports Wagering Working Group; (3) Esports; (4) NCAA strategic plan; (5) Coaches credentialing; (6) Student-Athlete Engagement Committee; and (7) Committee to Promote Cultural Diversity & Equity.

**b. Sport Science Institute (SSI) updates.**

Management and Presidents Council. The Councils received an update on current SSI initiatives. Key updates included the following: (1) Catastrophic Injury and Death Prevention Interassociation Guideline; (2) Sports Wagering and Well-Being Summit; and (3) Football Concussion Data Taskforce.

The Presidents Council noted the BOG adopted the Interassociation Recommendations: Preventing Catastrophic Injury and Death during its recent meeting. The guidelines include six areas: sportsmanship, protective equipment, acclimatization and conditioning, emergency action plans, responsibilities of athletics personnel and education and training.

**c. Women's Basketball Strategic Plan.**

Management Council. The Council endorsed the NCAA women's basketball strategic plan that develops a roadmap for the future of the sport. The vision is to unify and grow the women's basketball community; empower student-athletes to achieve their full potential; celebrate and elevate the game and create an inspiring experience. The strategic plan also includes five key pillars that highlights goals and action items.

Presidents Council. No action was necessary.

**d. Litigation Update.**

Management and Presidents Council. The Councils received a litigation update. No action was necessary.

**7. *OTHER BUSINESS AND OPEN FORUM.***

- **Departing Division III Staff Member.**

Management and Presidents Councils. The Councils acknowledged and thanked Jay Jones, associate director for Division III, for his service to the NCAA and Division III governance and wished him luck as the next Heartland Collegiate Athletic Conference commissioner.

**8. *ADJOURNMENT.***

Management Council. The Council meeting adjourned at 4:05 p.m. Monday, April 15 and 11:35 a.m. Tuesday, April 16.

Presidents Council. The Presidents Council meeting adjourned at 9:04 p.m. Tuesday, April 30 and 11:24 p.m. Wednesday, May 1.

	2017-18 Budget	2018-19 Budget	2019-20 Proposed Budget	2020-21 Proposed Budget	Notes
<b>Expenses (continued):</b>					
Non-Championship Expenses					
Strategic Initiative Conference Grants	2,795,100	3,042,600	3,194,730	3,194,730	5% increase
Other Division III Strategic Initiatives					
Women & Minority Intern Program	1,130,000	1,209,920	1,300,000	1,300,000	Funding 23 internships each year, more attending orientation
Strategic Alliance Matching Grant	708,600	708,600	708,600	708,600	
Student-Athlete Leadership Conference	365,000	365,000	365,000	365,000	
Division III Identity Program	300,000	300,000	300,000	300,000	
DIII Diversity Initiatives	250,000	250,000	250,000	250,000	
Sportsmanship Initiative	250,000	250,000	225,000	225,000	Regional based, Disney led ambassador training, contractor
360 Proof	125,000	125,000	115,000	115,000	
360 Membership Engagement Program				85,000	Delay until 2020-21 to vet SSI involvement
Campus-based Student-Athlete Leadership Programs (DiSC)	80,000	100,000	100,000	100,000	
LGBTQ Inclusion Program	-	100,000	100,000	100,000	
Institute for Coaching Advancement	-	100,000	100,000	100,000	11 matching grants at \$7,500 annually for 2 years plus \$1,500 professional development annually
ADR Institute	90,000	90,000	90,000	90,000	
SAAC April Meeting and Associate Member Travel	25,000	90,000	90,000	90,000	
FAR Institute and Orientation	85,000	85,000	85,000	85,000	
New AD and Commissioner Orientation	85,000	85,000	85,000	85,000	
NADIII AA Partnership	75,000	75,000	75,000	75,000	
Injury Surveillance and Testing	-	74,500	0	5,000	
Annual Convention	70,000	70,000	70,000	70,000	
Co-SIDA Partnership	44,000	44,000	44,000	44,000	
Division III Event Cancellation Insurance	41,000	41,000	41,000	41,000	
Special Olympics Partnership	35,000	35,000	35,000	35,000	
Academic Reporting Honorarium	35,000	35,000	0	0	
SWA Enhancement Grant Program (WLCS)	30,000	30,000	30,000	30,000	
Membership Learning Management System	-	55,000	80,670	94,000	Add FAR, ATC and compliance as users (\$6,000) and develop 13 new modules at \$6,000 each (\$78K)
Conference Commissioners Meeting	20,000	20,000	20,000	20,000	
Other Working Groups	20,000	20,000	20,000	20,000	
Miscellaneous Division III Initiatives	85,300	15,380	0	67,670	Monies currently not earmarked moved to misc.
CoSIDA D3 Day	15,000	15,000	15,000	15,000	
Administrator and Commissioner Meeting (NADIII AA and D3CA)	10,000	10,000	10,000	10,000	
Staff Professional Development	-	7,000	7,000	7,000	
Division III Governance Staff (Reclass and FTE)			112,000	112,000	AMA FTE to oversee DIII University (\$94K includes benefits) plus money to have asst. director position be full-time (\$18K includes benefits)
Non-Championships Expense	6,769,000	7,448,000	7,668,000	7,839,000	
Overhead Allocation	1,128,000	1,062,000	1,094,000	1,127,000	
Total Non-Championships Expenses	7,897,000	8,510,000	8,762,000	8,966,000	

**2019-2021 Proposed Championships Budget Initiatives**

 Division III Championships  
 FINAL 2019-21 Budget Priorities

**ATTACHMENT B**

Request Type	Sport	Item	2019-20	2020-21	2021-22	2022-23
Bracket expansion supported by legislation	Baseball	Increase bracket from 58 to 60^	\$ 112,000	\$ 2,000		
	Men's Soccer	Increase bracket from 62 to 64^	\$ 67,000	\$ 1,000		
	Men's Volleyball	Increase bracket from 14 to 16^	\$ 43,000	\$ 1,000		
	Women's Lacrosse	Increase bracket from 42 to 44^	\$ 42,000	\$ 1,000		
	Men's Golf	Increase field from 42 to 43^	\$ 12,100	\$ 200		
	Women's Golf	Increase field from 25 to 29^	\$ 52,400	\$ 700		
	Men's Tennis	Increase bracket from 43 to 44^	\$ 10,400	\$ 200		
Committee Composition	Various - 24 positions	Increase sport committee composition to coincide with regional realignment proposal (note: MLAX and WLAX in year one of budget cycle; remainder of sports in year two); refer to tab "Committee"	\$ 10,800	\$ 75,600		
Officiating	All	Increase officiating fees - by 5% over two years	\$ 31,300			
Sport-specific requests	Men's Basketball	Increase in stipend for national coordinator from \$5,000 to 10,000, and increase travel/per-diem expenses to \$5,000 + \$1,000 Technology Fee	\$ 11,000			
	Men's Basketball	Officials' evaluators at preliminary-round sites	\$ 4,800			
	Women's Basketball	Television broadcast coverage of championship final in conjunction with 2023 joint championship (one-time expense)				\$ 35,000
	Women's Basketball	Increase in stipend for national coordinator from \$5,000 to 10,000, and increase travel/per-diem expenses to \$5,000 + \$1,000 Technology Fee	\$ 8,650			
	Football	Increase in stipend for national coordinator from \$5,000 to 10,000, and fund travel/per-diem expenses up to \$5,000	\$ 10,000			
	Baseball	Increase in stipend for national coordinator from \$5,000 to 10,000, and fund travel/per-diem expenses up to \$5,000	\$ 10,000			
	Men's and Women's XC	Regional trophies	\$ 10,096			
	Men's Lacrosse	Bracket format change	\$ 53,000			
Per Diem	All	Per diem for hosts of nonpredetermined preliminary round (increase by another \$5 to \$40)		\$ 65,000		
Game Operations	All	Reinstate host honorarium for non-predetermined preliminary round hosts		\$ 161,000		
Game Operations	Individual Sports	National champion award watch for individual event champions		\$ 28,130		
Total New Priorities			\$ 488,546	\$ 335,830	\$ -	\$ 35,000
Bracketing	All Team Sports	Guarantee conference opponents do not meet in first round	\$ 336,000	\$ 336,000		
Supplemental Funding - New Priorities			\$ 336,000	\$ 336,000	\$ -	\$ -
Per Diem	Team Sports	Per diem for hosts of nonpredetermined preliminary round (increase by \$5 to \$35)		\$ 62,000		
Per Diem	All	Increase per diem to \$100		\$ 367,000		
Total Previously Approved Priorities			\$ -	\$ 429,000	\$ -	\$ -



**REPORT OF THE NCAA DIVISION III ADMINISTRATIVE COMMITTEE  
JULY 10, 2019, ELECTRONIC MAIL**

**ACTION ITEMS.**

- **None.**

**INFORMATIONAL ITEMS.**

- **Temporary Substitute for Division III Infractions Case (Summary Disposition).** The Administrative Committee, on behalf of the Management Council, approved the temporary substitution of former Division III Committee on Infractions member Amy Hackett to participate in the resolution of the subject infractions case due to the recusal of committee member Effel Harper of the University of Mary Hardin-Baylor.

Ms. Hackett, the director of athletics at the University of Puget Sound, is a former chair of the committee and meets the criteria set forth in NCAA Bylaw 19.1.2.3, Temporary Substitutes.

*Committee Chair: Sue Henderson, New Jersey City University, New Jersey Athletic Conference*

*Staff Liaisons: Dan Dutcher, Division III Governance*

*Debbie Kresge, Division III Governance*

*Louise McCleary, Division III Governance*

*Ali Spungen, DIII Governance*

<b>NCAA Division III Administrative Committee July 10, 2019, Electronic Mail</b>	
<b>Attendees:</b>	
Stevie Baker-Watson, DePauw University	
Heather Benning, Midwest Conference	
Sue Henderson, New Jersey City University	
Tori Murden-McClure, Spalding University	
Dennis Shields, University of Wisconsin, Platteville	
<b>NCAA Staff Support in Attendance:</b>	
Dan Dutcher, Debbie Kresge, Louise McCleary and Ali Spungen	

### **DIVISION III PHILOSOPHY STATEMENT**

Colleges and universities in Division III place the highest priority on the overall quality of the educational experience and on the successful completion of all students' academic programs. They seek to establish and maintain an environment in which a student-athlete's athletics activities are conducted as an integral part of the student-athlete's educational experience, and an environment that values cultural diversity and gender equity among their student-athletes and athletics staff.

- (a) Expect that institutional presidents and chancellors have the ultimate responsibility and final authority for the conduct of the intercollegiate athletics program at the institutional, conference and national governance levels;
- (b) Place special importance on the impact of athletics on the participants rather than on the spectators and place greater emphasis on the internal constituency (e.g., students, alumni, institutional personnel) than on the general public and its entertainment needs;
- (c) Shall not award financial aid to any student on the basis of athletics leadership, ability, participation or performance;
- (d) Primarily focus on intercollegiate athletics as a four-year, undergraduate experience;
- (e) Encourage the development of sportsmanship and positive societal attitudes in all constituents, including student-athletes, coaches, administrative personnel and spectators;
- (f) Encourage participation by maximizing the number and variety of sport offerings for their students through broad-based athletics programs;
- (g) Assure that the actions of coaches and administrators exhibit fairness, openness and honesty in their relationships with student-athletes;
- (h) Assure that athletics participants are not treated differently from other members of the student body;
- (i) Assure that student-athletes are supported in their efforts to meaningfully participate in nonathletic pursuits to enhance their overall educational experience;
- (j) Assure that athletics programs support the institution's educational mission by financing, staffing and controlling the programs through the same general procedures as other departments of the institution. Further, the administration of an institution's athletics program (e.g., hiring, compensation, professional development, certification of coaches) should be integrated into the campus culture and educational mission;



- (k) Assure that athletics recruitment compiles with established institutional policies and procedures applicable to the admission process;
- (l) Exercise institutional and/or conference autonomy in the establishment of initial and continuing eligibility standards for student-athletes;
- (m) Assure that academic performance of student-athletes is, at a minimum, consistent with that of the general student body;
- (n) Assure that admission policies for student-athletes comply with policies and procedures applicable to the general student body.
- (o) Provide equitable athletics opportunities for males and females and give equal emphasis to men's and women's sports;
- (p) Support ethnic and gender diversity for all constituents;
- (q) Give primary emphasis to regional in-season competition and conference championships; and
- (r) Support student-athletes in their efforts to reach high levels of athletics performance, which may include opportunities for participation in national championships, by providing all teams with adequate facilities, competent coaching and appropriate competitive opportunities.

The purpose of the NCAA is to assist its members in developing the basis for consistent, equitable competition while minimizing infringement on the freedom of individual institutions to determine their own special objectives and programs. The above statement articulates principles that represent a commitment to Division III membership and shall serve as a guide for the preparation of legislation by the division and for planning and implementation of programs by institutions and conferences.

NCAA Mission

What the brand wants to accomplish

To govern competition in a fair, safe, equitable and sportsmanlike manner, and to integrate intercollegiate athletics into higher education so that the educational experience of the student-athlete is paramount.

DIII Positioning Statement

Who we are

**Follow your passions and discover your potential.** The college experience is a time of learning and growth – a chance to follow passions and develop potential. For student-athletes in Division III, this happens most importantly in the classroom and through earning an academic degree. The Division III experience provides for passionate participation in a competitive athletics environment, where student-athletes push themselves to excellence and build upon their academic success with new challenges and life skills. And student-athletes are encouraged to pursue the full spectrum of opportunities available during their time in college. In this way, Division III provides an integrated environment for student-athletes to take responsibility for their own paths, follow their passions and find their potential through a comprehensive educational experience.

DIII Attributes

What we stand for	<b>Proportion:</b> appropriate relation of academics with opportunities to pursue athletics & other passions.	<b>Comprehensive Learning:</b> opportunity for broad-based education and success.	<b>Passion:</b> playing for the love of the game, competition, fun and self-improvement.	<b>Responsibility:</b> development of accountability through personal commitment and choices.	<b>Sportsmanship:</b> fair and respectful conduct toward all participants and supporters.	<b>Citizenship:</b> dedication to developing responsible leaders and citizens in our communities.
NCAA Brand Attributes	Balance	Learning	Spirit	Character	Fair Play	Community

Audiences

Who we are addressing	Student-Athletes / Parents	DIII Internal Constituencies	General Public / Media
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Audience Benefits

Key benefits of the DIII experience	<ul style="list-style-type: none"><li>- Continue to compete in a highly competitive athletics program and retain the full spectrum of college life.</li><li>- Focus on academic achievement while graduating with a comprehensive education that builds skills beyond the classroom.</li><li>- Access financial aid for college without the obligations of an athletics scholarship.</li><li>- Opportunities to play more than one sport.</li><li>- Be responsible for your own path, discover potential through opportunities to pursue many interests.</li></ul>	<ul style="list-style-type: none"><li>- Academics are the primary focus for student-athletes. Shorter practice and playing seasons, no red-shirting and regional competition minimize time away from their academic studies and keep student-athletes on a path to graduation.</li><li>- Student-athletes are integrated on campus and treated like all other members of the general student-body, keeping them focused on being a student first.</li><li>- Participation in athletics provides valuable “life lessons” for student-athletes (teamwork, discipline, perseverance, leadership, etc) which often translate into becoming a better student and more responsible citizen.</li></ul>	<ul style="list-style-type: none"><li>- Division III institutions develop student-athlete potential through a comprehensive educational approach.</li><li>- Division III institutions offer athletics for the educational value and benefit to the student-athlete, not for the purposes of revenue generation or entertainment.</li><li>- Participation in athletics provides valuable “life lessons” for student-athletes (teamwork, discipline, perseverance, leadership, etc) which often translate into becoming a better student and more responsible citizen.</li><li>- Student-athletes compete in a highly competitive athletics program and retain the full spectrum of college life.</li><li>- Student-athletes do not receive monetary incentive to play sports but rather participate for the love of the game.</li></ul>
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Reasons to Believe

Supporting features of DIII	<div><div><div><b>1. Comprehensive educational experience.</b> Division III institutions develop student-athlete potential through a holistic educational approach that includes rigorous academics, competitive athletics and opportunity to pursue other interests and passions.</div><div><b>2. Integrated campus environment.</b> 26% of all students at Division III institutions participate in athletics. Those participating in athletics are integrated into the campus culture and educational missions of their colleges or universities:<ul style="list-style-type: none"><li>- Student-athletes are subject to admission and academic performance standards consistent with the general student body;</li><li>- Student-athletes are not provided any special housing, services or support from their institution different from other students or student groups;</li><li>- Athletics departments are regulated and managed through the same general procedures and practices as other departments of the institution.</li></ul>This integration of athletics allows the student-athletes to take full advantage of the many opportunities of campus life and their entire collegiate experience.</div><div><b>3. Academic focus.</b> Student-athletes most often attend a college or university in Division III because of the excellent academic programs, creating a primary focus on learning and achievement of their degree. The division minimizes the conflicts between athletics and academics through shorter playing and practicing seasons, the number of contests, no red-shirting or out-of-season organized activities and a focus on regional in-season and conference play.</div></div><div><div><b>4. Available financial aid.</b> 75% of all student-athletes in Division III receive some form of grant or non-athletics scholarship. Student-athletes have equal opportunity and access to financial aid as the general student body – but are not awarded aid based on athletics leadership, ability, performance or participation.<ul style="list-style-type: none"><li>- Division III does not award athletics scholarships. Without the obligation of an athletics scholarship, student-athletes can emphasize academics, athletics and other opportunities of college life appropriate to the necessary commitment and their own passions.</li></ul></div><div><b>5. Competitive athletics programs.</b> Student-athletes do not receive any monetary incentive (athletics scholarship) to play sports in college. They play for the love and passion of the game and to push themselves to be their best, creating an intense, competitive athletics environment for all who participate.</div><div><b>6. National championship opportunities.</b> Division III has over 190,000 student-athletes competing annually in 37 different national championships. These competitions provide an opportunity for student-athletes to compete at the highest level and fulfill their athletics potential.</div><div><b>7. Commitment to athletics participation.</b> Division III institutions are committed to a broad-based program of athletics because of the educational value of participation for the student-athlete. The division has a higher number and wider variety of athletics opportunities on average than any other division in the NCAA, emphasizing both competitive men’s and women’s sports.</div></div></div>
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**REPORT OF THE  
DIVISION III CONVENTION-PLANNING SUBCOMMITTEE  
JUNE 17, 2019, TELECONFERENCE**

**ACTION ITEMS.**

- **Division III LGBTQ Recognition Event and Reception at NCAA Convention.**
  - (1) Recommendation. Approve, in concept, an event at the NCAA Convention Division III Business Session to announce the inaugural recipients of the Division III LGBTQ awards. Awards will be given to one LGBTQ student-athlete, one LGBTQ administrator or coach, and one team, athletics department or athletics conference (three total awards) for improving the culture and climate for LGBTQ people and their allies in Division III at the campus, conference or national levels. In addition to the announcement, promote the new LGBTQ award and the process to nominate individuals for the following year. [NOTE: See Supplement No. 25, Action Item 2.]
  - (2) Effective Date. 2020 or 2021 NCAA Convention.
  - (3) Rationale. Visibility and recognition are central components to the LGBTQ Working Group's mission to make Division III safer for, inclusive of and welcoming to LGBTQ individuals and allies. Consequently, the working group will establish awards to one LGBTQ student-athlete, one LGBTQ administrator or coach, and one team, athletics department or athletics conference (three total awards) for improving the culture and climate for LGBTQ people and their allies in Division III at the campus, conference or national levels. The NCAA Convention is an optimal location to host an awards event and recognition reception because it is the largest platform and symbolically means LGBTQ inclusion efforts in Division III are important and valued. It also presents more opportunities for straight and cisgender allies to engage in and support LGBTQ-inclusive initiatives.
  - (4) Estimated budget impact. The reception and awards will cost approximately \$15,000 and has already been approved by the Councils for the 2019-21 budget cycle.
  - (5) Estimated student-athlete impact. The recommendations provide expanded visibility for LGBTQ student-athletes and allies.

**INFORMATIONAL ITEMS.**

1. **Welcome and Review of Roster.** The chair, Lori Mazza, commenced the teleconference at 11 a.m. Eastern time, welcomed the subcommittee and reviewed the roster.
2. **March 20, 2019, Teleconference Report.** The subcommittee reviewed and approved the report.

3. **Educational Session Topic Ideas.** The subcommittee reviewed the Division III membership survey feedback (573 responses compared to last year's responses of 350) and recommends the following Division III educational session topics for the 2020 NCAA Convention:
  - Division III sessions.
    - (1) Leadership and mentoring (e.g., associate to director of athletics, mentoring new coaches). NADIII AA will present this session.
    - (2) Crisis management. The session will focus on establishing emergency action plans in the areas of mental health crisis and catastrophic injury and death in addition to social media protocols.
    - (3) Diversity (e.g., disability, LGBTQ, international, SWA). This session will address student-athlete overall mental health issues with a particular focus on assisting LGBTQ student-athletes and student-athletes with disabilities.
4. **Review 2020 NCAA Convention Schedule.** The subcommittee reviewed the draft schedule and had no changes.
5. **Review Division III Sessions – Wednesday, January 22.** Staff noted that both the Athletics Direct Report (ADR) Institute and the Student Immersion Program will begin on Wednesday. There also will be an LGBTQ OneTeam Program on Wednesday, 3:30 to 5:30 p.m. Delegates will register for this first-come-first serve event for approximately 50 people.
6. **Review Tentative Division III Governance Related Sessions – Thursday, January 23.** The subcommittee noted that four luncheons (president/chancellor, ADR, New AD and SAAC) will be held on Thursday. There also will be a Special Olympics activity in the early afternoon.
7. **Issues Forum – Friday, January 24.** The subcommittee recommended following the same legislative format as the 2019 Convention, in which staff will highlight key proposals and allow time for a more in-depth Q&A discussion.

Similar to the education session, staff received almost 575 survey responses related to the Issues Forum proposed topics. Time didn't allow the subcommittee to finalize a recommendation for the Issues Forum. The subcommittee discussed a presidents/chancellor's panel as well as Lenore Billings Harris, a diversity speaker on bias, as two possible topics. The subcommittee will discuss this further during its September teleconference.

**8. Business Session – Saturday, January 25.** The subcommittee endorsed the following format:

8 to 8:30 a.m.	Welcome and updates.
8:30 to 11 a.m.	Voting on 2020 legislative proposals.

**9. Convention Management Updates.** Convention management reviewed the following:

- a. Hotel property update and rate. The Division III Issues Forum and Business Session will be held at the Anaheim Marriott Hotel . The hotel rate is \$204 per night plus taxes, there are a few overflow hotels with lower rates ranging from \$159 to \$189.
- b. Registration open date. Registration will open September 10 at approximately 11 a.m. Eastern time.
- c. Honors Celebration ticket cost. Tickets will be available through the registration site at \$20 per ticket.
- d. Meeting portal. The meeting room portal opens June 25. Space is limited so staff will encourage commissioners to secure their meeting space as soon as possible.
- e. Transportation back to the airport will be provided on Saturday only.

**10. 2020 Convention Timeline.** The subcommittee reviewed the timeline and had no changes.

**11. Teleconferences.** The subcommittee will have teleconferences on the following dates:

- a. September 6, 11 a.m. Eastern time;
- b. November 13, 11 a.m. Eastern time; and
- c. December 13, noon Eastern time.

**12. Other Business.** There was no other business.

**13. Adjournment.** The teleconference adjourned at noon Eastern time.

*Committee Chair: Lori Mazza, Western Connecticut State University, Little East Conference*  
*Staff Liaisons: Louise McCleary, Division III Governance*  
*Debbie Brown, Division III Governance*

<b>NCAA Division III Convention Planning Subcommittee June 17, 2019, Teleconference</b>	
<b>Attendees:</b>	
Stevie Baker-Watson, DePauw University.	
Tiffany Franks, Averett University.	
Joe Hakes, Illinois Institute of Technology.	
Mariann Ingraham, Elms College.	
Gregg Kaye, Commonwealth Coast Conference.	
Marcus Manning, Centenary College (Louisiana).	
Scott McGuinness, Washington and Jefferson College.	
Laura Mooney, Massachusetts College of Liberal Arts.	
Lori Mazza, Western Connecticut State University.	
Lisa Melendy, Williams College.	
Colby Pepper, Covenant College.	
Lori Runksmeier, Eastern Connecticut State University, NADIII AA.	
Kandis Schram, Maryville College (Tennessee).	
Patrick Summers, New England Women's and Men's Athletic Conference, D3CA.	
William Tsutsui, Hendrix College.	
<b>Absentees</b>	
Greg Johnson, North Central University.	
Kellen Wells-Mangold, University of Wisconsin – River Falls.	
<b>Guests in Attendance</b>	
Melissa Piening	
<b>NCAA Staff Support in Attendance</b>	
Debbie Brown, Louise McCleary, Stacey Preston and Crystal Reimer.	



**REPORT OF THE NCAA DIVISION III STRATEGIC  
PLANNING AND FINANCE COMMITTEE  
JUNE 20, 2019, TELECONFERENCE**

**ACTION ITEMS.**

**1. Legislative Items.**

- None.

**2. Nonlegislative.**

**a. Division III 2019-21 Strategic Plan.**

- (1) Recommendation. Approve the 2019-21 Strategic Plan, and specifically updates to year one (2019-20). [Attachment A]
- (2) Effective date. September 1, 2019.
- (3) Rationale. The committee reviewed the seven strategic priorities for 2019-20, which is the first year of the 2019-21 budget biennium. Initiatives highlighted include: (1) Create a working group to review, and update, the current Strategic Positioning Platform; (2) Evaluate and determine next steps for the International Ice Hockey Pilot; (3) Support the LGBTQ Working Group to develop a facilitator program to educate the membership on ways to create a more safe and inclusive environment; (4) Promote the NCAA Presidential Pledge and Division III committee service for women and ethnic minorities to support diversity and inclusion; (5) Maintain and promote the use of the Injury Surveillance Program and SSI's data collection system by the membership; (6) Partner with playing rules staff to monitor divisional challenges with officiating, including quality and pipeline; (7) Maintain and enhance Division III University; and (8) Develop an operating budget for 2019-21 and beyond that presents policy goals and program preferences that are fiscally responsible and sustainable.
- (4) Estimated budget impact. None.
- (5) Estimated student-athlete impact. None.

**INFORMATIONAL ITEMS.**

1. **Report from the March 5, 2019, in-person meeting.** The committee approved the report from its March 5, 2019, in-person meeting.
2. **Budget.** The committee reviewed the 2018-19 budget-to-actual as of May 2019, the 2019-20 approved budget and the future budget model. The budget-to-actual report reflects a two-year comparison with a column for charter expenses. While the championships expense totals did not reflect the entire 2019 spring championships, staff anticipates spending the entire championships budget, with the nonchampionships budget having a surplus of around \$225,000. The excess monies will move into the division's reserve. The 2018-19 approved budget reflects the committee's recommended championship and new nonchampionship initiatives. The future budget model projects expenses through 2023-24 and incorporates all new and approved budget initiatives.

The committee also reviewed the 2018-19 championship supplemental spending, established to support several championship enhancements funded through the division's excess reserve. Due to positive variances with the fall and winter championships, the actual expenses are less than budgeted cost; however, only a portion of transportation and per diem has been recorded for the spring championships.

3. **Division III 2017-19 Strategic Plan.** The committee reviewed the final analysis from Year Two: 2018-19 of the Strategic Plan [Attachment B], noting the outcome of each initiative: accomplished, on-going or priority for 2019-20.

Staff noted that the strategic plan will be posted on the Division III website, as well as included in the monthly update after final approval by the Councils.

4. **Division III Strategic Positioning Platform Working Group.** The committee will create a working group to review and update the Division III Strategic Positioning Platform. The working group will be established in October after the Board of Governors approves the new Association-wide Strategic Plan.
5. **Division III Initiatives with Budget Impact.** The committee received an update from the following Division III initiatives.
  - a. Ethnic Minority and Women's Internships Grant. There were 23 Ethnic Minority and Women's Internship Grants approved and supplemented for 2019-20. Staff highlighted the selected recipients from 52 applications for the 2018-19 budget cycle.



- b. Division III Strategic Alliance Matching Grant. The committee received an update noting the seven new recipients for 2019-20 cycle. In addition, the 14 recipients that were selected for the 2017-18 and 2018-19 cycles will continue to be funded in 2019-20.
- c. 2018-19 Student-Athlete Leadership Forum. The committee received an update on the Student-Athlete Leadership Forum noting 128 Division III student-athletes attended from the 157 applications. The forum continues to be successful in providing curriculum and experiences that are impactful to the participants. Student-athletes return to campus with invaluable leadership skills, the experience of exploring the relationship between personal values, core beliefs and behavioral styles, and a stronger understanding of the NCAA, the different divisional perspectives and the valuable role of the Student-Athlete Advisory Committee (SAAC).
- d. 360 Proof. The committee noted that 231 institutions are currently registered for 360 Proof, which is an increase of eleven since June 2018. Based on lower than desired levels of institutional involvement, the steering committee determined that the program should run at least two more years with a series of strategic updates before considering its long-term future.
- e. International Ice Hockey Pilot. The committee noted the Management Council approved extending the international ice hockey pilot for a second year based on 80% satisfaction survey results. As part of the second-year pilot, Division III governance will reimburse half of the estimated \$150 prospective student-athletes registration fee (\$75) no later than November 1.
- f. Division III Identity Initiative. The committee received an update on the identity initiative that highlighted the purchasing website, DIII/D3 SIDA Recognition Award, Social Media and website content. To date, approximately 26 percent (117) of Division III institutions and 56 percent (25) conference offices have used their purchasing website credit, which ends on August 31, 2019.
- g. CoSIDA Student Program. Staff noted that eight students who were either women or ethnic minorities, and interested in a career in Division III athletics communication, attended the annual CoSIDA convention and specifically Division III Day. The program's goal is to help diversify the Division III athletics communication landscape.
- h. Strategic Communication Guide. The committee discussed the development and publication of the revised Division III Guide to Strategic Athletics Communication on Campus, which was created as a result of a survey conducted by the Division III College Sports Information Directors of America (CoSIDA) executive board of Division III sports information and athletics communication directors. The resource compiles key data, analytics, and best practices that will positively impact athletics communication and sports information directors which simultaneously having a positive impact on athletics departments.

- i. Next Steps Program. The committee received an update on the Next Steps Program, an initiative developed by the Diversity and Inclusion Working Group to continue building the diversity pipeline. Twenty-four students of color who previously participated in the Division III Student Immersion Program attended this program prior to the NCAA Career in Sports Forum.
  - j. Senior Woman Administrator Program. Twenty-nine senior woman administrators attend the Senior Woman Administrator Program held in conjunction with the NCAA Inclusion Forum. The program spent \$78,594 of approximate \$80,000 budgeted.
  - k. Institute for Administrative Advancement. The committee noted 25 administrators participated in the recent Institute for Administrative Advancement. This year's institute was held in conjunction with the Indianapolis Regional Rules Seminar.
  - l. New Athletic Directors (AD) Orientation. The committee received an update noting 31 new ADs attended this year's orientation session held in conjunction with the NADIII AA Summer Forum in Orlando, Florida.
  - m. LGBTQ Facilitator Training. The Division III LGBTQ One Team, an initiative developed by the Diversity and Inclusion Working Group, held its first facilitator training May 22-23 in Indianapolis. Thirty-one Division III administrators participated in the training.
6. **Other Business.** Staff provided an update on the NCAA's budget process and Board of Governors updates related to esports, sports wagering, federal and state legislative working group and the independent member orientation.
7. **Future Meetings.** The committee noted its next teleconference will be in November and an in-person meeting in March 2020.
8. **Adjournment.** The meeting adjourned at 11:59 a.m.

*Committee Chair: Tori Murden McClure, Spalding University [St. Louis Intercollegiate Athletic Conference]*

*Staff Liaisons: Louise McCleary, Division III Governance  
Dan Dutcher, Division III Governance  
Eric Hartung, Research  
Jeff Myers, Academic and Membership Affairs  
Caryl West, Administrative*

<b>NCAA Division III Strategic Planning and Finance Committee June 20, 2019, teleconference</b>
<b>Attendees:</b>
Stevie Baker-Watson, DePauw University.
Angela Baumann, Massachusetts State Collegiate Athletic Conference.
Heather Benning, Midwest Conference.
Stuart Dorsey, Texas Lutheran University.
Margaret Drugovich, Hartwick College. [Acted as chair for this meeting]
David Ellis, Becker College.
Colby Pepper, Covenant College, SAAC.
Kate Roy, North Atlantic Conference.
Dennis Shields, University of Wisconsin, Platteville.
Bill Stiles, Alvernia University.
Michael Vienna, Emory University.
Joseph Walsh, Great Northeast Athletic Conference.
<b>Absentees:</b>
Tori Murden McClure, Spalding University.
Kent Trachte, Lycoming College
<b>NCAA Staff Support in Attendance:</b>
Dan Dutcher, Debbie Kresge and Louise McCleary.
<b>Other NCAA Staff Members in Attendance:</b>
Brian Burnsed, Eric Hartung, Jeff Myers, Adam Skaggs, Liz Suscha and Caryl West.

# Division III Strategic Plan

**2019-21 Budget Biennium [Year 1: 2019-20]**

**Vision Statement:** Division III will be a dynamic and engaging group of colleges, universities and conferences of varying sizes and missions committed to an environment that encourages and supports health and safety, diversity, values, fairness, and equity, and places the highest priority on the overall educational experience of its student-athletes in the conduct of intercollegiate athletics.



## INTRODUCTION

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The Division III strategic plan serves many purposes. It begins with the Division III Philosophy Statement to establish the framework from which the division's programs, resource allocations, and regulatory decisions are made. It highlights the Division III Strategic Positioning Platform to clarify the practical impact of the Division III philosophy and summarizes the division's strategic priorities by outlining what must be accomplished in the current budget biennium for the division to be successful.

The plan also serves to highlight the programs and services offered for the division's membership. This list of offerings is arranged in a way that demonstrates the connection of each Division III program to the NCAA Strategic Plan, and explains when a program or initiative is funded from Division III dollars or a different Association budget. To bring further transparency to the division's operations, the plan justifies every line of the Division III budget against the philosophy statement or NCAA Constitution. Finally, the plan includes a note on its history, which tracks the evolution of the division's entire strategic initiatives program.

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### DIVISION III PHILOSOPHY STATEMENT

Colleges and universities in Division III place the highest priority on the overall quality of the educational experience and on the successful completion of all students' academic programs. They seek to establish and maintain an environment in which student-athlete's athletics activities are conducted as an integral part of the student-athlete's educational experience, and an environment that values cultural diversity and gender equity among their student-athletes and athletics staff. To achieve this end, Division III institutions:

- (a) Expect that institutional presidents and chancellors have the ultimate responsibility and final authority for the conduct of the intercollegiate athletics program at the institutional, conference and national governance levels;
- (b) Place special importance on the impact of athletics on the participants rather than on the spectators and place greater emphasis on the internal constituency (e.g., students, alumni, institutional personnel) than on the general public and its entertainment needs;
- (c) Shall not award financial aid to any student on the basis of athletics leadership, ability, participation or performance;
- (d) Primarily focus on intercollegiate athletics as a four-year, undergraduate experience;
- (e) Encourage the development of sportsmanship and positive societal attitudes in all constituents, including student-athletes, coaches, administrative personnel and spectators;

- (f) Encourage participation by maximizing the number and variety of sport offerings for their students through broad-based athletics programs;
- (g) Assure that the actions of coaches and administrators exhibit fairness, openness and honesty in their relationships with student-athletes;
- (h) Assure that athletics participants are not treated differently from other members of the student body;
- (i) Assure that student-athletes are supported in their efforts to meaningfully participate in nonathletic pursuits to enhance their overall educational experience;
- (j) Assure that athletics programs support the institution's educational mission by financing, staffing and controlling the programs through the same general procedures as other departments of the institution. Further, the administration of an institution's athletics program (e.g., hiring, compensation, professional development, certification of coaches) should be integrated into the campus culture and educational mission;
- (k) Assure that athletics recruitment complies with established institutional policies and procedures applicable to the admission process;
- (l) Exercise institutional and/or conference autonomy in the establishment of initial and continuing eligibility standards for student-athletes;

- (m) Assure that academic performance of student-athletes is, at a minimum, consistent with that of the general student body;
- (n) Assure that admission policies for student-athletes comply with policies and procedures applicable to the general student body.
- (o) Provide equitable athletics opportunities for males and females and give equal emphasis to men's and women's sports;
- (p) Support ethnic and gender diversity for all constituents;
- (q) Give primary emphasis to regional in-season competition and conference championships; and
- (r) Support student-athletes in their efforts to reach high levels of athletics performance, which may include opportunities for participation in national championships, by providing all teams with adequate facilities, competent coaching and appropriate competitive opportunities.

The purpose of the NCAA is to assist its members in developing the basis for consistent, equitable competition while minimizing infringement on the freedom of individual institutions to determine their own special objectives and programs. The above statement articulates principles that represent a commitment to Division III membership and shall serve as a guide for the preparation of legislation by the division and for planning and implementation of programs by institutions and conferences.

## Division III Positioning Statement

**Follow your passions and discover your potential.** The college experience is a time of learning and growth – a chance to follow passions and develop potential. For student-athletes in Division III, all of this happens most importantly in the classroom and through earning an academic degree. The Division III experience provides for passionate participation in a competitive athletic environment, where student-athletes push themselves to excellence and build upon their academic success with new challenges and life skills. And student-athletes are encouraged to pursue the full spectrum of opportunities available during their time in college. In this way, Division III provides an integrated environment for student-athletes to take responsibility for their own paths, follow their passions and find their potential through a comprehensive educational experience.

## Division III Attributes

**Proportion:** Appropriate relation of academics with opportunities to pursue athletics & other passions.

**Comprehensive Learning:** Opportunity for broad-based education and success.

**Passion:** Playing for the love of the game, competition, fun and self-improvement.

**Responsibility:** Development of accountability through personal commitment and choices.

**Sportsmanship:** Fair and respectful conduct toward all participants and supporters.

**Citizenship:** Dedication to developing responsible leaders and citizens in our communities.

## Reasons to Believe

1. **Comprehensive educational experience.** Division III institutions develop student-athlete potential through a holistic educational approach that includes rigorous academics, competitive athletics and opportunity to pursue other interests and passions.
2. **Integrated campus environment.** Approximately twenty percent of all students at Division III institutions participate in athletics. Those participating in athletics are integrated into the campus culture and educational missions of their colleges or universities.
3. **Academic focus.** Student-athletes most often attend a college or university in Division III because of the excellent academic programs, creating a primary focus on learning and achievement of their degree.
4. **Available financial aid.** Three-quarters of all student-athletes in Division III receive some form of grant or non-athletic scholarship. Student-athletes have equal opportunity and access to financial aid as the general student body – but are not awarded aid based on athletics leadership, ability, performance or participation.
5. **Competitive athletic programs.** Student-athletes do not receive any monetary incentive (athletics scholarship) to play sports in college. They play for the love and passion of the game and to push themselves to be their best, creating an intense, competitive athletics environment for all who participate.
6. **National championship opportunities.** Division III has over 192,000 student-athletes competing annually in 28 Division III and nine national collegiate championships. These competitions provide an opportunity for student-athletes to compete at the highest level and fulfill their athletic potential.
7. **Commitment to athletics participation.** Division III institutions are committed to a broad-based program of athletics because of the educational value of participation for the student-athlete. The division has a higher number and wider variety of athletic opportunities on average than any other division in the NCAA, emphasizing both competitive men's and women's sports.

**NOTE:** Text in red highlights a new initiative during the 2019-21 biennium. Strategic initiatives are not listed in priority order; all are of equal value.

### **DIVISION III STRATEGIC PRIORITIES FOR 2019-21 BUDGET BIENNIUM [YEAR 1: 2019-20]**

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- 1. Clarify the Values of Division III athletics.** The division continues to serve as a conscious alternative to the sport-specialization youth culture, and as an accessible and fulfilling educational and athletics destination. It also emphasizes a fuller, more integrated academic experience in all divisional endeavors. This included communicating the Division III philosophy, attributes, and strategic plan to high school prospective student-athletes, parents and high school admissions counselors, collaborating with the NCAA Eligibility Center (EC), supporting membership activation of the Division III Identity Initiatives and other Division III social media platforms.
  - Communicate the distinct Division III philosophy as articulated in the Strategic Positioning Platform.
    - Create a working group to review, and update accordingly, the current Strategic Positioning Platform.
    - Evaluate and determine next steps regarding the international ice hockey pilot.
    - Continue the strategic partnership with Special Olympics. Maintain the activity reporting program to better tell the partnership's story from a division-wide perspective. Maintain the Monthly Spotlight Poll that recognizes Special Olympic events.
    - Elevate the current part-time assistant director to full-time to more effectively oversee and enhance the division's communication efforts, including social media platforms and website management.
  - Support integration activities that bring together key institutional and/or conference partners to discuss ways each institution (and the conference as a group) might best support the integration of athletics within the campus environment, consistent with the division's unique philosophy, identity and strategic positioning platform.
  - Maintain and enhance the partnership with the College Sports Information Directors Association (CoSIDA) by providing professional development funding and opportunities (e.g. Division III Day at the CoSIDA Convention and CoSIDA Student Program), overseeing a recognition system awarded three times per year, and providing funds to support the Division III-specific Academic All-America program.
  - Strengthen the advocacy of Division III faculty for the values of the athletics experience. The division continues to enhance the Faculty Athletic Representative (FAR) Fellows Institute by offering professional development training and networking opportunities to FARs. Maintain the FAR Orientation at the FARA Fall Meeting for new FARs and hold every other year. Transition the FAR Working Group into a FAR Advisory Group.
  - Emphasize the values of Division III to effectively manage its membership growth. Partner with Divisions I and II to accomplish membership growth management on behalf of the entire Association.
  - Maintain in-person, full-day orientation programs for new athletics directors and commissioners to assist these individuals in understanding and promoting the division.
- 2. Appropriately Leverage Presidential and Athletics Direct Reports Leadership in the Division III Governance Structure.** Continue to selectively forward issues of presidential importance to the division's presidents. Improve and pursue full conference participation in the Presidents Advisory Group and



significant NCAA Convention attendance. Enhance communication between the NCAA and Athletics Direct Reports on campus to effectively engage and educate as well as leverage these individuals serving in the governance structure (e.g. ADR Institute).

- In partnership with the NCAA Executive Staff and divisional Association-wide governance staffs, enhance Division III specific presidential programming at the NCAA Convention and promote the Chancellors and Presidents Outreach Program to educate and engage all Division III chancellors and presidents.
- Build external partnerships. Do not rely solely on presidents attending the NCAA Convention; send NCAA representatives to existing higher education meetings for presidents.
- Continue to inform presidents and chancellors, in a transparent manner of, the Sport Science Institute's efforts, new initiatives and interassociation guidelines.

### **3. Ensure the Division is Effectively Managing Diversity and Inclusion Issues.**

- Partner with the Office of Inclusion, Student-Athlete Leadership staff and the Minority Opportunities Athletic Association (MOAA) to review the objectives and establish meaningful goals for the division's programs supporting equity and inclusion. Partner with Division III conferences and institutions to support innovative programs that promote inclusion (e.g. Monthly Diversity Spotlight).
  - Continue professional development and networking opportunities for women and ethnic minorities (e.g. SWA Program, the Institute for Administrative Advancement, Student Immersion Program and Next Steps).
  - **Support an LGBTQ Working Group to develop a facilitator program to educate the membership on ways to create a safe and inclusive environment for LGBTQ individuals and allies. Continue to promote the LGBTQ non-discrimination policy guide and OneTeam identity kit.**
- Monitor NCAA emerging sports and sponsorship trends (e.g., women's wrestling, esports, stunt, equestrian).
  - Collaborate with the office of inclusion.
- Establish strategies to increase and diversify the pool of candidates for Division III committee service and membership job searches.
  - In coordination with the Office of Inclusion and Student-Athlete Leadership, maintain the division's database of all women and ethnic minorities that have participated in an NCAA program. Continue to distribute and promote "The Diverse Workforce", a resource to assist institutions and conference offices to diversify its athletics searches. Continue to send out a quarterly Diversity and Inclusion newsletter.
  - Maintain the Diversity and Inclusion Working Group to evaluate the current diversity and inclusion landscape within Division III.
  - **Monitor and promote institutional/conference commitment to the NCAA Presidential Pledge to support diversity and inclusion.**
  - **Research strategies to promote committee service for women and ethnic minorities within the division.**

#### 4. Enhance the Well-Being of Prospects, Student-Athletes and Staff.

- Maintain and enhance 360 Proof, a web-based, evidence-informed and free alcohol and other drug resource, for Division III and NASPA small college institutions. In 2020-21 budget cycle, create a 360 Proof Implementation Workshop that will prepare teams to engage in comprehensive, best practice prevention efforts. Continue to discuss the potential transition of 360 Proof oversight to the Sport Science Institute (SSI).
- Continue to partner with the Sport Science Institute regarding priority health and safety issues, including mental health. Provide Division III representatives for on-going summits. Provide timely and consistent communication to the membership regarding new SSI interassociation guidelines and best practices. Maintain the promotion and use of the Injury Surveillance Program, SSI's data collection system, by the Division III membership. Assist in the implementation of SSI best practices and interassociation guidelines.
- Continue to partner with playing rules staff to monitor divisional challenges with officiating, including quality and pipeline. Maintain funding, via the conference grant program, to enhance officiating.
- Support Gameday the DIII Way, the Division III sportsmanship and game environment initiative. Promote existing tools and resources (e.g. facilitator training and on-line educational modules). Host two ambassador trainings annually in different regions of the country.
- Maintain the Coaching Enhancement Grant – a two-year, \$7,500 matching grant for new, full-time female and ethnic minority assistant coaches.
- Continue to maintain and enhance Division III University – an on-line learning management system.
  - Create a new full-time position, funded by Division III, in Academic and Membership Affairs to oversee Division III University, and assist with waivers and interpretations.
  - Add approximately ten new division-specific modules by 2019-21.
- Monitor and promote institutional commitment to BOG pledge on sexual assault violence prevention education.

#### 5. Promote the Division III Philosophical Principle that Student-Athletes' Academic Performance is consistent with that of the General Student Body.

- Continue to sponsor a regular and representative academic reporting program to compare the academic success of student-athletes and the general student body. Continue to emphasize the academic success of Division III student-athletes as compared to other students. Focus on graduation rates of teams and demographic groups that are lower than their counterparts who do not participate in intercollegiate athletics. Oversee the inaugural annual student-athlete graduation rate submission in 2020. Develop best practices.

**6. Enhance Formal Accountability of the Governance Structure.**

- Continue to annual distribute a performance scorecard for regular accountability of key Division III programs and committee staff liaisons.
- Continue to monitor the enrollment and related financial challenges within higher education, and the related challenges affecting the Division III membership.
- Work with conference commissioners to plan and implement a Conference Rules Seminar (CRS) in the summer as requested and needed to provide a more regionalized compliance educational opportunity for active Division III member institutions and conferences.
- Address relevant issues identified through evaluation of committee structure.

**7. Maintain Fiscal Integrity.**

- Develop a divisional operating budget for 2019-21 and beyond that presents policy goals and program preferences that are fiscally responsible and sustainable.
- Continue to address the long-term use of the budget's surplus (beyond the mandated reserve), including the Association's 2024-2032 broadcast agreement extension.

## **Appendix A**

### **NCAA Association Wide Goals and Related**

### **Division III Programs and Objectives**

**Association Wide Goal 1: Athletics as Integral to Higher Education. Student-athletes will be better educated and prepared for increased and lifelong achievement and success.**

**Objectives**

- Increase support of reform efforts that emerge from the governance structure.
- Increase the number of student-athletes who succeed academically.
- Increase opportunities for student-athletes to integrate their academic, athletics and social interests.
- Enhance the leadership role of athletics administrators and increase the role of coaches as advocates for the values of intercollegiate athletics.

<b><u>Division III Programs and Initiatives</u></b>	<b><u>Desired Outcomes</u></b>	<b><u>Resource Allocation from Division III Budget</u></b>
<b>Conference visits by Presidents Council, Management Council, Student-Athlete Advisory Committee (SAAC) members and staff.</b>	Improve communication between and among governance structure and membership as evidenced by a satisfaction survey.	Association-wide funding
<b>Strategic Initiatives Conference Grant Program: Tier Two Integration activities.</b>	All institutions will access strategic initiative conference grant dollars over a four-year period, and all conferences will optimally use the full allocation of funds each year. The integration activities should bring together key conference partners to discuss ways each school (and the conference as a group) might best support the integration concept, consistent with the division's unique philosophy, identity and Strategic Positioning Platform.	\$320,885
<b>Communication Initiatives: Inform membership of policy issues, governance updates and hot topics.</b>	Conference visits, quarterly presidential updates, monthly athletics updates and periodic educational columns shall be conducted or distributed on a regular schedule.	Overhead
<b>Annual Division III Commissioners meeting.</b>	All conferences will be represented annually; commissioners will be provided with the opportunity to discuss governance issues and Division III hot topics. Additional funding is provided through Tier One of the Strategic Initiative Conference Grant Program to supplement a portion the conference's travel costs.	\$20,000
<b>Student-athlete leadership forums and campus based leadership programming.</b>	At least 80 percent of eligible institutions will participate annually in DIII Student-Athlete Leadership forums, and the participating coaches and administrators will become stronger advocates for the values of Division III intercollegiate athletics.	\$365,000
<b>Strategic Initiatives Conference Grant Program: Tier One-Professional Development and SAAC support, Tier Two-Student-Athlete Well Being Initiatives.</b>	All institutions will access strategic initiative conference grant dollars over a four-year period, and all conferences will optimally use the full allocation of funds each year.	\$1,169,249
<b>National SAAC Outreach.</b>	Celebrate successful campus SAAC community outreach each quarter, engage in community outreach at each National SAAC meeting, and annually educate student-athletes about National Student-Athlete Day and other community initiatives. Support national SAAC's creation of a short video highlighting the Division III student-athlete experience to be shown at annual campus compliance meetings.	Association-wide funding
<b>National student-athlete outreach.</b>	Continue to create specific programming for student-athletes attending the annual Convention to help better engage and educate on the Division III philosophy.	\$20,000
<b>Student-Athlete Graduation Rate Reporting.</b>	Assist the membership in reporting, for the first time in 2020, student-athlete graduation rates. Develop best practices.	NA

**ASSOCIATION-WIDE GOAL 2: The Student-Athlete Experience. Student-athletes will be enriched by a collegiate athletics experience based on fair and reasonable standards and a commitment to sportsmanship.**

**Objectives**

- Increase the applications of fairer regulations that favor student-athletes.
- Increase the opportunities for women and minorities to participate in intercollegiate athletics at all levels.
- Increase sportsmanship in intercollegiate athletics among student-athletes, coaches and fans.

<u>Division III Programs and Initiatives</u>	<u>Desired Outcomes</u>	<u>Resource Allocation from Division III Budget</u>
<b>Women and Minority Internship Program</b>	The division will assess the original goals of this program to understand its legacy and to formulate future, long terms goals related to diversity of the athletics administrative and governance structures.	\$1,300,000
<b>Strategic Alliance Matching Grant</b>	The division will assess the original goals of this program to understand its legacy and to formulate future, long term goals related to diversity of the athletics administrative and governance structures.	\$708,600
<b>Strategic Initiatives Conference Grant Program: Tier Two-Diversity/Gender Equity and Sportsmanship Initiatives</b>	All conferences and at least 50% of institutions will engage in programming related to diversity, gender equity and sportsmanship initiatives within each four-year grant cycle.	\$457,335
<b>The Nominating Committee shall annually review the NCAA's gender and diversity audit and make personal contact with targeted groups to encourage committee service.</b>	Balance membership of Division III committees to ensure the interests of all Division III constituents are represented in the governance structure.	Association-wide funding
<b>Get in the Game Web site; Requests and Secondary Reports Online.</b>	The staff will maintain an online resource to provide consistent and complete compliance information to student-athletes for the certification of eligibility process.	Association-wide funding; Provisional/Reclassifying Membership fees
<b>Committees will exercise fair decision making when making waiver and interpretive decisions.</b>	Student-athletes will benefit from the receipt of more individual consideration of their issues in the waiver and interpretations process.	Association-wide funding
<b>Regional Rules Seminars (national program) and Conference Rules Seminar.</b>	Education sessions on Division III rules and regulations will be offered annually. The Conference Rules Seminar will be held as requested by conference offices.	Association-wide funding
<b>Rules Test.</b>	The Membership Committee shall annually make available a clear and fair rules test that all members can access on-line to comply with the condition and obligation of membership to administer the rules test.	Overhead
<b>Conduct quality championships with fair selection processes and appropriate access.</b>	The Championships Committee will continually assess policies and NCAA legislation related to the championships program including the appropriateness of bracket sizes, regional alignment and select criteria processes.	\$26,580,164
<b>Women Leaders Institute for Administrative Advancement.</b>	The division will fund professional development opportunities for female athletics administrators in a manner most accommodating to applicant individuals.	\$30,000
<b>Sportsmanship and Game Environment Initiatives.</b>	New initiatives based on a partnership with Disney and recommendations from the Division III Sportsmanship and Game Environment working group, with membership endorsement.	\$225,000

<u>Division III Programs and Initiatives</u>	<u>Desired Outcomes</u>	<u>Division III Programs and Initiatives</u>
<b>Examine demographic trends and consider whether Division III or Association-wide programming is appropriate to affect change in the division's student-athlete demographic profile.</b>	The percentage of minority individuals in the student-athlete population should be consistent with the percentage of minority individuals in the general student-body.	Association-wide funding
<b>Conference and Institutional Inclusion and Diversity programming.</b>	Partner with Division III conferences and institutions to support innovative programs that promote inclusion and diversity. (e.g., the NCAA's Institute for Administrative Advancement, ethnic minority student program at NCAA Convention, SWA professional development and the North Coast Conference's Branch Rickey Program). In collaboration with the Office of Inclusion, develop programming for LGBTQ students.	\$250,000
<b>Strategic Initiative Conference Grant Program: Tier III Officiating Improvement.</b>	Provide optional funding to conference offices through the Conference Grant Program and encourage support of officiating improvement.	\$176,855

### ASSOCIATION-WIDE GOAL 3: Informed Governance and Decision-Making.

Member institutions and conferences will have access to data, research and best practices that assist governance and management of intercollegiate athletics.

#### Objectives

- Increase opportunities and support for chief executive officers to participate and make more informed decisions about intercollegiate athletics.
- Increase opportunities for member institutions and conferences to share best practices in support of the Association's core values.
- Increase the number and quality of research initiatives on relevant issues to help member institutions and conferences make informed decisions.
- Increase opportunities for affiliated organizations to provide input for more informed decision-making.
- Enhance hiring practices for administrators, coaches and other athletics personnel, resulting in more inclusive leadership in intercollegiate athletics.

<u>Programs and Initiatives</u>	<u>Desired Outcomes</u>	<u>Resource Allocation from Division III Budget</u>
<b>Strategically engage presidents and athletics direct reports in the Division III governance structure</b>	Continue to promote greater strategic focus and more selective legislative engagement by presidents in the Division III governance structure, led by the Presidents Council in consultation with the Presidents and Chancellors Advisory Group (PAG).	Association-wide funding
<b>Quarterly Presidential Communication.</b>	The chair of the Presidents Council will reach out to all presidents on a quarterly basis via formal correspondence.	Association-wide funding
<b>Division III Governance Outreach to Affiliates.</b>	Governance structure representatives will engage with affiliates on an issue-specific basis (e.g., higher education association meetings, annual sports chairs and championships committee meeting, and FARA annual meeting, etc...).	Overhead
<b>Presidential Programming at the NCAA Convention.</b>	Presidential involvement at the NCAA Convention will be enhanced by presidentially-focused programming.	\$20,000
<b>Best Practices for Presidential-Commissioner Leadership.</b>	Work with the Division III Commissioners Association (D3CA) to develop and distribute best practices to enhance presidential-commissioner leadership at the conference level.	Overhead
<b>Institutional and Conference Self-Studies (ISSG/CSSG).</b>	All institutions and conferences will conduct regular reviews with active participation of campus/conference presidents. Presidential involvement shall promote an understanding of institutional control and the primary compliance role of presidents. Institutional reviews shall assess standards on recruiting, admissions, academic eligibility, student services, student-athlete profiles, personnel and a commitment to Division III philosophical priorities. Conference reviews shall include an assessment of conference alignments, values and priorities to support partnerships between conference members.	Overhead
<b>Sports sponsorship and Institutional Self-Study (ISSG) audits.</b>	The Membership Committee's annual review of member compliance with sports sponsorship requirements and completed Institutional Self-Study instruments to assess compliance with membership criteria and educational needs of the membership. Members placed on probation required to complete an athletics program assessment.	Provisional/Reclassifying membership fees
<b>Playing and Practices Seasons Comprehensive Review.</b>	Continue to implement through Management Council Playing and Practice Seasons Subcommittee a comprehensive review of the football playing and practice seasons.	Overhead
<b>360 Proof.</b>	Maintain and enhance 360 Proof, a web-based, evidence-informed and free alcohol and other drug resource for NCAA Division III and NASPA small college member campuses to reduce consequences of alcohol use.	\$115,000
<b>360 Proof Implementation Workshop</b>	Develop in FY 21 a program to enhance the implementation of 360 Proof on campus.	\$85,000
<b>Continually monitor Division III membership size and related access to championship and other services.</b>	The governance structure shall analyze data and collect feedback from institutions to continually develop a growth management strategy for Division III.	Overhead



<b>Athletics Direct Report (ADR) Institute.</b>	Conduct an annual ADR Institute in conjunction with the annual NCAA Convention. For Division III member institutions that have an ADR reporting structure, ADRs should be consulted for input on key institutional and conference operational and strategic issues facing the athletics program. The Institute will allow for an intentional level of engagement and professional development to assist ADRs in overseeing and managing the athletics department on campus.	\$90,000
<b>Financial Aid Reporting Process.</b>	All institutions will participate in the data-driven reporting process. Greater availability of historical data shall enable increased emphasis on institutional accountability (i.e., enforcement and penalties).	Overhead
<b>Faculty Athletics Representative (FAR) Fellows Institute.</b>	Conduct an FAR Fellows institute to offer professional development training to and provide FARs with tools to communicate the Division III story to their campus peers and enhance the level of engagement of FARs across the division. Re-engage FARs by maintaining a working group that will survey the membership and produce next steps.	\$85,000
<b>Strategic Initiative Conference Grant Program: Tier IV – Third Party Review.</b>	Continue to provide assistance for conference offices to provide documentation of a third-party external review of grant fund usage to the national office annually.	\$16,800
<b>Programming at the NCAA Convention.</b>	Continue to create specific programming for delegates attending the annual Convention (e.g. educational sessions, Issues Forum, and technology to support all sessions) to help better engage and educate the membership.	\$30,000
<b>LGBTQ Facilitator Program</b>	Continue to train facilitators to provide the LGBTQ OneTeam Program on campuses and in conference offices in an effort to provide safe and inclusive environments.	\$100,000
<b>Division III University.</b>	Continue to enhance Division III University – an on-line learning management system. Modules include NCAA overview, student well-being and compliance	\$80,670
<b>CoSIDA Division III Day</b>	Support a day-long professional development program for the division’s athletics communication and sports information directors in conjunction with the annual CoSIDA Convention.	\$15,000

**ASSOCIATION-WIDE GOAL 4: Effective National Office Administration.  
The National Office will be operated in an accountable, efficient manner.**

**Objectives**

- Increase partnership with the membership. Better define the national office's role.
- Increase flexibility, responsiveness and efficiency of interpretations, enforcement and appeals processes.
- Increase the timeliness, clarity, conciseness and effectiveness of membership communication.
- Increase use of technology to improve the effectiveness and efficiencies of Association processes.

<u>Programs and Initiatives</u>	<u>Desired Outcomes</u>	<u>Resource Allocation from Division III Budget</u>
<b>Implement use of available technology to regularly deliver NCAA messages and rules education.</b>	The governance structure will continually assess common needs and available technologies to increase the timeliness, clarity, conciseness and effectiveness of membership communication.	Overhead
<b>Partnership with National Association of Division III Athletics Administrators.</b>	The governance structure will provide financial support to the NADIII AA. National office staff will support this membership-led organization in its professional development offerings.	\$75,000
<b>Educate the membership on the role of the NCAA national office.</b>	Increase membership understanding of the role of the national office by including this information in governance presentations made at Leadership Conferences, Regional Seminars, conference meetings, and other appropriate venues.	Overhead
<b>Conference Contact program.</b>	Continue to service all conferences and ensure new conferences are accommodated according to program guidelines.	Overhead
<b>Strategic Initiative Conference Grant Program: Tier Three - Technology Grants.</b>	Provide funding to conferences offices to upgrade or maintain technical capabilities to access technical platforms used by the NCAA.	\$682,521
<b>New orientation programs to support athletics directors and commissioners.</b>	Create in-person, orientation programs for new athletics directors and commissioners to assist with the knowledge, resources and philosophy of the division.	\$85,000

**ASSOCIATION-WIDE GOAL 5: Perceptions of the Association and Intercollegiate Athletics.**  
**The public will gain a greater understanding of and confidence in the integrity of intercollegiate athletics**  
**and will more readily support its values.**

**Objectives**

- Increase awareness of and advocacy for the positive values of intercollegiate athletics among the media and the public and within the membership.
- Increase the public's confidence in the Association as a whole.

<u>Programs and Initiatives</u>	<u>Desired Outcomes</u>	<u>Resource Allocation from Division III Budget</u>
<b>Strategic Initiative Conference Grant Program: Tier Three-Promotions/Marketing/Division III Identity.</b>	Increase opportunities for promotion and marketing efforts on behalf of Division III institutions and conferences, consistent with the messages of the Strategic Positioning Platform.	\$324,885
<b>Division III Identity Initiative.</b>	Clarify and promote the unique Division III philosophy as articulated in the Division's Strategic Positioning Platform. Enable conferences and institutions to better tell the Division III story to a variety of target audiences. Support the following identity activation initiatives: Division III week, and mobile web site for coaches, national and customizable videos. Re-introduce a recognition award for directors of athletics communication to recognize the most outstanding written or video work that tells the Division III story.	\$300,000
<b>Special Olympics Partnership.</b>	Continue to grow the strategic partnership with Special Olympics. Maintain Special Olympics events as a signature element of the Division III championships program and continue to encourage campus and conference engagement with local Special Olympics chapters.	\$35,000
<b>Academic All-America Partnership with CoSIDA.</b>	Promote academic success of Division III student-athletes through financial support of a Division III Academic All-America Program.	\$44,000
<b>Strategic Initiative Conference Grant Program: Tier One - Professional development support for Sports Information Directors (SIDs).</b>	Continue to identify new ways to support the growth of SIDs as strategic communicators, advance the messages of the Division III platform, and communicate the story of Division III at the local level. Offer professional development support through the Conference Grant Program, and position support through the Strategic Alliance Matching Grant and Internship Program.	\$46,200

**Appendix B**

**Division III Budget Justification**

Projected NCAA Division III 2019-20 Budget Breakdown			Division III Philosophy Statement and Constitutional Principles Justifying Funded Programs	
<b>Revenue:</b>			<i>Division III Institutions....</i>	
Division III 3.18% Revenue	\$33,169,370			
Allocation (ESTIMATE)				
Membership Dues	\$519,000			
<b>Expenses:</b>				
Total Championships Expense (excluding overhead)	\$26,145,164		Support student-athletes in their efforts to reach high levels of athletics performance, which may include opportunities for participation in national championships, by providing all teams with adequate facilities, competent coaching and appropriate competitive opportunities. (Division III Philosophy Statement – section r))	
Strategic Initiative Conference Grant Program	\$3,194,730		Place special importance on the impact of athletics on the participants rather than on the spectators and place greater emphasis on the internal constituency (e.g., students, alumni, institutional personnel) than on the general public and its entertainment needs; (Bylaw 20.11-(b))	
NAD3AA Partnership	\$75,000		The purpose of the NCAA is to assist its members in developing the basis for consistent, equitable competition while minimizing infringement on the freedom of individual institutions to determine their own special objectives and programs. (Bylaw 20.11)	
Conference Commissioners/SID Meeting	\$20,000		The purpose of the NCAA is to assist its members in developing the basis for consistent, equitable competition while minimizing infringement on the freedom of individual institutions to determine their own special objectives and programs. (Bylaw 20.11)	
Conference Rules Seminar		Association-wide	The Association shall assist the institution in its efforts to achieve full compliance with all rules and regulations and shall afford the institution, its staff and student-athletes fair procedures in the consideration of an identified or alleged failure in compliance. (Constitution 2.8.2)	
Women Leaders Enhancement Grants	\$30,000		Support ethnic and gender diversity for all constituents; (Bylaw 20.11-(p))	
Division-wide Sportsmanship Initiative	\$225,000		Encourage the development of sportsmanship and positive societal attitudes in all constituents, including student-athletes, coaches, administrative personnel and spectators; (Bylaw 20.11-(e))	
Strategic Alliance Matching Grant	\$708,600		Support ethnic and gender diversity for all constituents; (Bylaw 20.11-(p))	
Women & Minority Intern Program	\$1,300,000		Support ethnic and gender diversity for all constituents; (Bylaw 20.11-(p))	
Student-Athlete Leadership Forum	\$365,000		Seek to establish and maintain an environment in which student-athlete's athletics activities are conducted as an integral part of the student-athlete's educational experience, and in which coaches play a significant role as educators. (Bylaw 20.11)	
Campus Based Student-Athlete Leadership Programming	\$100,000		The purpose is to provide DiSC behavioral assessments to student-athletes, coaches and administrators. The DiSC assessment aids participants with understanding their individual behavioral styles and preferences, a common language when addressing these topics and methods to better relate to others. It also provides additional strategies to build more effective relationships on teams and in the workplace.	
360 Proof	\$115,000		Intercollegiate athletics programs shall be conducted in a manner designed to protect and enhance the physical and educational well-being of student-athletes. (Constitution 2.2)	
FAR Fellows Institute/Orientation	\$85,000		Colleges and universities in Division III place highest priority on the overall quality of the educational experience and on the successful completion of all students' academic programs. They seek to establish and maintain an environment in which student-athlete's athletics activities are conducted as an integral part of the student-athlete's educational experience (preamble to philosophy statement)	
Division III Academic All-America (CoSIDA)	\$44,000		Colleges and universities in Division III place highest priority on the overall quality of the educational experience and on the successful completion of all students' academic program (preamble to philosophy statement).	
Other Working Groups/Task Forces	\$20,000		This initiative exists to provide opportunities for working groups/task forces in the future.	
CoSIDA D3 Day	\$15,000		This initiative supports 175-200 sports information directors to receive professional development.	

Projected NCAA Division III 2019-20 Budget Breakdown		Division III Philosophy Statement and Constitutional Principles Justifying Funded Programs
<b>Expenses, continued:</b>		
Identity Initiatives	\$300,000	This initiative is reflective of the entire Division III Philosophy Statement (Bylaw 20.11).
Special Olympics Partnership	\$35,000	Institutions seek to establish and maintain an environment in which student-athlete's athletics activities are conducted as an integral part of the student-athlete's educational experience (preamble to philosophy statement).
Inclusion and Diversity Partnership	\$250,000	Support ethnic and gender diversity for all constituents; (Bylaw 20.11-(I))
Division III University	\$ 80,670	Funding to create new modules for Division III University and purchase additional user licenses.
LGBTQ Programming	\$100,000	Funding for a train the trainer and/or programming, and a recognition program. Partner with office of inclusion.
Coaching Enhancement Grant	\$100,000	New grant program for female and ethnic minority asst. coaches. The two-year matching grant provides \$7,500 in salary and benefits and \$1,500 annually in professional development. Partner with Leadership Development.
Division III Cancellation Insurance	\$41,000	Intercollegiate athletics programs shall be administered in keeping with prudent management and fiscal practices to assure the financial stability necessary for providing student-athletes with adequate opportunities for athletics competition as an integral part of a quality educational experience. (Constitution 2.16)
Convention Programming including specific student-athlete programs	\$70,000	Continue to create specific programming for student-athletes attending the annual Convention to help better engage and educate on the Division III philosophy.
Athletics Direct Report (ADR) Institute	\$90,000	Conduct an inaugural ADR Institute in 2016 in conjunction with the annual NCAA Convention. For Division III member institutions that have an ADR reporting structure, ADRs should be consulted for input on key institutional and conference operational and strategic issues facing the athletics program. The Institute will allow for an intentional level of engagement and professional development to assist ADRs in overseeing and managing the athletics department on campus.
New Athletics Director Orientation	\$70,000	Create in-person, orientation programs for new athletics directors to assist with the knowledge, resources and philosophy of the division.
New Commissioner Orientation	\$15,000	Create in-person, orientation programs for new commissioners to assist with the knowledge, resources and philosophy of the division.
Additional SAAC in-person meeting	\$25,000	A planning meeting for the Division III national SAAC committee. At this meeting, SAAC provides an orientation for new members and sets its goals and objectives for the year.
SAAC Associate Members	\$65,000	Provide funds to pay expenses for conference partner liaison to attend NCAA Convention. Provide funds to pay expenses for partner conference liaison to attend the July national SAAC
NADIIIAA and D3CA leadership meeting	\$10,000	The executive leadership groups from NADIIIAA and D3CA come to Indianapolis in Sept. to discuss current hot topics in Division III and plan communication strategies for the upcoming year.
NCAA staff professional development	\$7,000	Allows annual professional development for NCAA Division III staff.
Other Division III Initiatives	\$0	This includes contracting costs, as well as money earmarked to support future initiatives.
Overhead Allocation (including National Office staffing) **	\$1,529,000	Includes request for new AMA FTE and reclass of assistant director of governance to full-time.
Total Division III Expenses	\$35,855,164	

\* The \$5 million event cancellation insurance protects the budget in case of a catastrophic event that would reduce or eliminate, for one year, the division's share of media rights revenue.

\*\*The \$1,529,000 overhead fee covers time and miscellaneous expenses related to Division III staff and programs.

\*\*\*Anticipate a \$1,692,794 draw from the reserve surplus.

**Appendix C**

**History of the**

**Division III Strategic Plan**

## History

The original Division III strategic plan was unveiled in 1998 as the division embarked on its new federated structure. The Division III Management Council Strategic Planning Subcommittee developed the framework for the plan with broad participation of the Presidents Council, Division III and Association-wide committee structure, and the Division III membership. The 2004 version of the Division III Strategic Plan was developed by a joint subcommittee of the Management and Presidents Councils consistent with the Association-wide Strategic Plan adopted by the NCAA Executive Committee in April 2004. The 2004 plan contained many of the existing initiatives and priorities to ensure that the programs and results remain consistent with Division III objectives. However, significant changes occurred as the 2005 and 2006 plans linked the relationship to the Division III philosophy with each Association-wide goal and outlined a series of outcome measures for each goal. Another significant change occurred in 2006-07 as the Division III Strategic Initiatives Grant Program resources were funneled directly to Division III Conferences and the Association of Independents. With this change, many strategic initiatives previously administered at the NCAA national office moved to the local control of conferences and institutions. The localized program encourages collaboration and involvement of all Division III constituent group representatives in the planning, decision-making and accountability of programming and funding to achieve the goals established in the Division's Strategic Plan. Presidential oversight and accountability with the process and budget allocations, consistent with the legislated leadership role of presidents within conference governance, is paramount.

In 2008-09, the strategic plan underwent a format change to create a forward-looking document that highlights the goals and expectations of a budget biennium. Much of the reporting done in previous plans was moved into a Division III Annual Report, and standard committee operations are now reflected in each committee's policy and procedure guide (available on each committee's home page on [ncaa.org](http://ncaa.org)). The plan clearly articulates the division's funding priorities, and explains when an initiative is funded by Division III, and when it is funded by a broader Association-wide budget. The plan also includes an appendix to show the philosophical or constitutional justification for all programs funded with Division III dollars.

In 2009-10, the plan was updated to highlight the division's near-term strategic priorities. Most 2009-10 priorities resulted from a series of presidentially authored white papers on membership growth published in September 2008. For 2010-12, the plan was updated based on the Division's release of a Strategic Positioning Platform, and clearly defined the near- and medium-term goals the division needed to accomplish to be successful in embodying the platform.

For 2012-15, the plan was updated to reflect the Association's move to a three-year budget cycle.

After the 2012-15 budget cycle, the plan returned to a two-year budget cycle and emphasizes budget accountability and management. With the start of the budget cycle in 2021, the division and governance structure will determine if it wants to stay with a two-year cycle or move to a three-year cycle to finish out the current CBS/Turner broadcast agreement that ends in 2023-24 (i.e. 2021-2024 budget cycle).



# Division III Strategic Plan

**2017-19 Budget Biennium [Year 2: 2018-19 – Final Analysis]**

**Vision Statement:** Division III will be a dynamic and engaging group of colleges, universities and conferences of varying sizes and missions committed to an environment that encourages and supports health and safety, diversity, values, fairness, and equity, and places the highest priority on the overall educational experience of its student-athletes in the conduct of intercollegiate athletics.



## INTRODUCTION

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The Division III strategic plan serves many purposes. It begins with the Division III Philosophy Statement to establish the framework from which the division's programs, resource allocations, and regulatory decisions are made. It highlights the Division III Strategic Positioning Platform to clarify the practical impact of the Division III philosophy and summarizes the division's strategic priorities by outlining what must be accomplished in the current budget biennium for the division to be successful.

The plan also serves to highlight the programs and services offered for the division's membership. This list of offerings is arranged in a way that demonstrates the connection of each Division III program to the NCAA Strategic Plan, and explains when a program or initiative is funded from Division III dollars or a different Association budget. To bring further transparency to the division's operations, the plan justifies every line of the Division III budget against the philosophy statement or NCAA Constitution. Finally, the plan includes a note on its history, which tracks the evolution of the division's entire strategic initiatives program.

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### DIVISION III PHILOSOPHY STATEMENT

Colleges and universities in Division III place the highest priority on the overall quality of the educational experience and on the successful completion of all students' academic programs. They seek to establish and maintain an environment in which student-athlete's athletics activities are conducted as an integral part of the student-athlete's educational experience, and an environment that values cultural diversity and gender equity among their student-athletes and athletics staff. To achieve this end, Division III institutions:

- (a) Expect that institutional presidents and chancellors have the ultimate responsibility and final authority for the conduct of the intercollegiate athletics program at the institutional, conference and national governance levels;
- (b) Place special importance on the impact of athletics on the participants rather than on the spectators and place greater emphasis on the internal constituency (e.g., students, alumni, institutional personnel) than on the general public and its entertainment needs;
- (c) Shall not award financial aid to any student on the basis of athletics leadership, ability, participation or performance;
- (d) Primarily focus on intercollegiate athletics as a four-year, undergraduate experience;
- (e) Encourage the development of sportsmanship and positive societal attitudes in all constituents, including student-athletes, coaches, administrative personnel and spectators;

- (f) Encourage participation by maximizing the number and variety of sport offerings for their students through broad-based athletics programs;
- (g) Assure that the actions of coaches and administrators exhibit fairness, openness and honesty in their relationships with student-athletes;
- (h) Assure that athletics participants are not treated differently from other members of the student body;
- (i) Assure that student-athletes are supported in their efforts to meaningfully participate in nonathletic pursuits to enhance their overall educational experience;
- (j) Assure that athletics programs support the institution's educational mission by financing, staffing and controlling the programs through the same general procedures as other departments of the institution. Further, the administration of an institution's athletics program (e.g., hiring, compensation, professional development, certification of coaches) should be integrated into the campus culture and educational mission;
- (k) Assure that athletics recruitment complies with established institutional policies and procedures applicable to the admission process;
- (l) Exercise institutional and/or conference autonomy in the establishment of initial and continuing eligibility standards for student-athletes;

- (m) Assure that academic performance of student-athletes is, at a minimum, consistent with that of the general student body;
- (n) Assure that admission policies for student-athletes comply with policies and procedures applicable to the general student body.
- (o) Provide equitable athletics opportunities for males and females and give equal emphasis to men's and women's sports;
- (p) Support ethnic and gender diversity for all constituents;
- (q) Give primary emphasis to regional in-season competition and conference championships; and
- (r) Support student-athletes in their efforts to reach high levels of athletics performance, which may include opportunities for participation in national championships, by providing all teams with adequate facilities, competent coaching and appropriate competitive opportunities.

The purpose of the NCAA is to assist its members in developing the basis for consistent, equitable competition while minimizing infringement on the freedom of individual institutions to determine their own special objectives and programs. The above statement articulates principles that represent a commitment to Division III membership and shall serve as a guide for the preparation of legislation by the division and for planning and implementation of programs by institutions and conferences.

## Division III Positioning Statement

**Follow your passions and discover your potential.** The college experience is a time of learning and growth – a chance to follow passions and develop potential. For student-athletes in Division III, all of this happens most importantly in the classroom and through earning an academic degree. The Division III experience provides for passionate participation in a competitive athletic environment, where student-athletes push themselves to excellence and build upon their academic success with new challenges and life skills. And student-athletes are encouraged to pursue the full spectrum of opportunities available during their time in college. In this way, Division III provides an integrated environment for student-athletes to take responsibility for their own paths, follow their passions and find their potential through a comprehensive educational experience.

## Division III Attributes

**Proportion:** Appropriate relation of academics with opportunities to pursue athletics & other passions.

**Comprehensive Learning:** Opportunity for broad-based education and success.

**Passion:** Playing for the love of the game, competition, fun and self-improvement.

**Responsibility:** Development of accountability through personal commitment and choices.

**Sportsmanship:** Fair and respectful conduct toward all participants and supporters.

**Citizenship:** Dedication to developing responsible leaders and citizens in our communities.

## Reasons to Believe

1. **Comprehensive educational experience.** Division III institutions develop student-athlete potential through a holistic educational approach that includes rigorous academics, competitive athletics and opportunity to pursue other interests and passions.
2. **Integrated campus environment.** Approximately twenty percent of all students at Division III institutions participate in athletics. Those participating in athletics are integrated into the campus culture and educational missions of their colleges or universities.
3. **Academic focus.** Student-athletes most often attend a college or university in Division III because of the excellent academic programs, creating a primary focus on learning and achievement of their degree.
4. **Available financial aid.** Three-quarters of all student-athletes in Division III receive some form of grant or non-athletic scholarship. Student-athletes have equal opportunity and access to financial aid as the general student body – but are not awarded aid based on athletics leadership, ability, performance or participation.
5. **Competitive athletic programs.** Student-athletes do not receive any monetary incentive (athletics scholarship) to play sports in college. They play for the love and passion of the game and to push themselves to be their best, creating an intense, competitive athletics environment for all who participate.
6. **National championship opportunities.** Division III has over 185,000 student-athletes competing annually in 28 Division III and nine national collegiate championships. These competitions provide an opportunity for student-athletes to compete at the highest level and fulfill their athletic potential.
7. **Commitment to athletics participation.** Division III institutions are committed to a broad-based program of athletics because of the educational value of participation for the student-athlete. The division has a higher number and wider variety of athletic opportunities on average than any other division in the NCAA, emphasizing both competitive men's and women's sports.

**NOTE:** Text in red highlights a new initiative during the 2017-19 biennium. Strategic initiatives are not listed in priority order; all are of equal value. Blue indicates progress/completion of the new initiative.

### **DIVISION III STRATEGIC PRIORITIES FOR 2017-19 BUDGET BIENNIUM [YEAR 2: 2018-19 – Final Analysis]**

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1. **Clarify the Values of Division III athletics.** The division continues to serve as a conscious alternative to the sport-specialization youth culture, and as an accessible and fulfilling educational and athletics destination. It also emphasizes a fuller, more integrated academic experience in all divisional endeavors. This included communicating the Division III philosophy, attributes, and strategic plan to high school prospective student-athletes, parents and high school admissions counselors, collaborating with the NCAA Eligibility Center, supporting membership activation of the Division III Identity Initiatives and other Division III social media platforms.
  - Communicate the distinct Division III philosophy as articulated in the Strategic Positioning Platform.
    - Engage in a one-year pilot with the Eligibility Center to conduct a participation history review of Division III new international ice hockey players. At the conclusion of the pilot, assess if it reduced the compliance burden. **ACCOMPLISHED.** Had 100% participation. The EC conducted 203 reviews. After the pilot, surveyed the participating institutions and conferences. Eighty (80) percent supported a second year of the pilot.
    - Maintain initiatives to educate the membership regarding the Eligibility Center's free registration process for Division III prospective student-athletes and its correlation to using the NCAA free on-line compliance form.
    - Continue to grow the strategic partnership with Special Olympics and in particular, celebrate its 50<sup>th</sup> Anniversary with year-long promotions and activities. Improve the activity reporting program to better tell the partnership's story from a division-wide perspective. Maintain the Monthly Spotlight Poll that recognizes Special Olympic events on member campuses and conference offices. **ACCOMPLISHED.** Fifty-two (52) institutions participated in the 50 for 50th Challenge. DeSales University was voted the champion. Staff also produced and promoted 50 Special Olympics stories on the Division III social media platforms throughout the year.
    - Elevate the current part-time assistant director to full-time to more effectively oversee and enhance the division's communication efforts, including social media platforms and website management. **TBD.** Submitted as a FY20 budget request. Supported by SPFC and Councils.
    - Reclassify the associate director to director to most effectively serve the membership and oversee new initiatives and programs and manage the Conference Strategic Grant Program – the division's highest funded nonchampionship program. **WITHDRAWN** with the departure of the current associate director.
  - Support integration activities that bring together key institutional and/or conference partners to discuss ways each institution (and the conference as a group) might best support the integration of athletics within the campus environment, consistent with the division's unique philosophy, identity and strategic positioning platform.
  - Maintain and enhance the partnership with the College Sports Information Directors Association (CoSIDA) by providing professional development funding and opportunities (e.g. Division III Day at the CoSIDA Convention and CoSIDA Student Program), overseeing a recognition system awarded three times per year, and providing funds to support the Division III-specific Academic All-America program.
    - Update the Sports Information Director resource and best practices guide for all Division III institutions and conferences. **ACCOMPLISHED.** Created a Strategic Communications Working Group. Surveyed the membership, collected data and updated the 2012 resource with new data, best practices and resources. Delivered to the membership at the 2019 CoSIDA and ECAC-SIDA Conventions.

- Strengthen the advocacy of Division III faculty for the values of the athletics experience. The division continues to enhance the Faculty Athletic Representative (FAR) Fellows Institute by offering professional development training and networking opportunities to FARs.
    - Identify strategies to re-engage FARs via an established FAR working group. Host the inaugural FAR Orientation at the annual FARA Symposium for new FARs, produce and distribute best practices, and explore legislation to codify FAR expectations. **ACCOMPLISHED.** The inaugural New FAR Orientation was held in October. Thirty FARs attended and feedback was positive. The FAR Working Group developed three resources that were distributed to the membership in December (electronically) as well as at the 2019 NCAA Convention (hard copies).
  - Emphasize the values of Division III to effectively manage its membership growth. Partnering with Divisions I and II to accomplish membership growth management on behalf of the entire Association. **ON-GOING.** Association-wide joint meetings with staff to address membership issues such as new membership and reclassification.
  - Maintain in-person, full-day orientation programs for new athletics directors and commissioners to assist these individuals in understanding and promoting the division. **ON-GOING.**
- 2. Appropriately Leverage Presidential and Athletics Direct Reports Leadership in the Division III Governance Structure.** Continue to selectively forward issues of presidential importance to the division's presidents. Improve and pursue full conference participation in the Presidents Advisory Group and significant NCAA Convention attendance. Enhance communication between the NCAA and Athletics Direct Reports on campus to effectively engage and educate as well as leverage these individuals serving in the governance structure (e.g. ADR Institute).
- In partnership with the NCAA Executive Staff and divisional Association-wide governance staffs, enhance Division III specific presidential programming at the NCAA Convention and promote the Chancellors and Presidents Outreach Program to educate and engage all Division III chancellors and presidents. **ON-GOING.** The past several years, President Emmert's office has provided a president-specific education session at the NCAA Convention. Further, the Chancellors and Presidents Outreach Program will continue in 2019-20.
  - Build external partnerships. Do not rely solely on presidents attending the NCAA Convention; send NCAA representatives to existing higher education meetings for presidents. **ON-GOING.** Conducted 2019 Division III-specific educational breakfast at CIC Presidents Institute. Attended by approximately 30 presidents.
- 3. Ensure the Division is Effectively Managing Diversity and Inclusion Issues.**
- Partner with the Office of Inclusion, Student-Athlete Leadership staff and the Minority Opportunities Athletic Association (MOAA) to review the objectives and establish meaningful goals for the division's programs supporting equity and inclusion. Partner with Division III conferences and institutions to support innovative programs that promote inclusion (e.g. Monthly Diversity Spotlight\_.
    - Continue professional development and networking opportunities for women and ethnic minorities (e.g. SWA Program, the Institute for Administrative Advancement, Student Immersion Program and Career Next Steps). **ON-GOING.** Support an LGBTQ working group that will collaborate with the Office of Inclusion to develop programming and resources at the campus, conference and national levels, and specifically policy template language for handbooks, creation of a LGBTQ-inclusion identity

promotional kit, programming and an annual recognition event. **ACCOMPLISHED.** In Sept., the working group sent an identity kit, including a banner, posters and stickers, to every campus and conference office. The working group also created an LGBTQ nondiscrimination policy guide and distributed it to the membership. Gender neutral language policy adopted by Councils in October. In May thirty-one (31) individuals attended the inaugural LGBTQ OneTeam Facilitator Training program. Another facilitator training will occur in December 2019.

- Establish strategies to increase and diversify the pool of candidates for Division III committee service and membership job searches. **ON-GOING.**
  - In coordination with the Office of Inclusion and Student-Athlete Leadership, maintain the division's database of all women and ethnic minorities that have participated in an NCAA program.
  - Continue to distribute and promote "The Diverse Workforce", a resource to assist institutions and conference offices to diversify its athletics searches.
  - Continue to send out a quarterly Diversity and Inclusion newsletter.
  - Continue to promote committee service to women and ethnic minorities within the division.
  - Monitor and promote institutional/conference commitment to the NCAA Presidential Pledge to support diversity and inclusion. **ON-GOING.** The Division III Diversity and Inclusion Working Group is collaborating with the NCAA Office of Inclusion to move the pledge into action.
- Maintain an existing working group to evaluate the current diversity and inclusion landscape within Division III. Focus on graduation rates of teams and demographic groups that are lower than their counterparts who do not participate in intercollegiate athletics. Develop best practices. **ACCOMPLISHED/ON-GOING.** At the 2019 NCAA Convention, the membership approved legislation to make student-athlete graduation rate reporting mandatory effective June 2020. The working group continues to monitor diversity and inclusion issues.

#### 4. Enhance the Well-Being of Prospects, Student-Athletes and Staff.

- Maintain and enhance 360 Proof, a web-based, evidence-informed and free alcohol and other drug resource, for Division III and NASPA small college institutions. **ON-GOING.**
- Continue to partner with the Sport Science Institute (SSI) regarding priority health and safety issues. Provide Division III representatives for on-going summits (e.g. mental health, pain management). Provide timely and consistent communication to the membership regarding new SSI interassociation guidelines and best practices. Partner on the promotion and use of the Injury Surveillance Program, SSI's data collection system, by the membership. **ON-GOING.** Participation in the Injury Surveillance Program (ISP) rose from nine (9) percent to 22% with another 9% signed up to participate.
- Provide additional funding, via the conference grant program, for athletic trainer professional development. **ACCOMPLISHED.** Funds were available via the conference grant program for athletic training professional development.
- Provide additional funding, via the conference grant program, to enhance officiating. **ACCOMPLISHED/ON-GOING.** Additional funds were added to the conference grant program for officiating. Continue to collaborate externally with the Division III Commissioners Association and internally with the director of playing rules and officiating to examine the issues and find solutions.

- Support Gameday the DIII Way, the Division III sportsmanship and game environment initiative. Promote existing tools and resources in addition to developing on-line educational modules. **ACCOMPLISHED/ON-GOING.** Trained approximately 110 facilitators and 3,000 administrators. Developed an online module and will release to the membership July 1. Hosting Gameday the DIII Way Ambassador Trainings twice a year in various geographic locations to provide additional facilitator trainings.
- Engage the newly created student-athlete associate members per 2018 NCAA Convention legislation that established a formal student-athlete partner conference engagement process. **ACCOMPLISHED.** The Associate members attended the 2019 NCAA Convention and will attend the July SAAC meeting.
- Create an Institute for Coaching Advancement to provide professional development for women and ethnic minority assistant coaches seeking to become head coaches. **REVISED.** Due to a shortage of staff oversight, instead of developing an Institute for Coaching Advancement, SPFC and the Councils approved the creation of a coaching enhancement grant. In April, staff awarded 11 two-year matching grants of \$7,500. Thirty-six (36) institutions applied for the inaugural grant given for female and/or ethnic minority assistant coach positions.
- Continue to create educational programs and distribute via the NCAA's Learning Management System. (e.g. committee training video, Gameday the DIII Way educational modules). **ACCOMPLISHED/ON-GOING.** Developed and launched Division III University that includes the following learning management modules: NCAA overview, student-athlete health and well-being, and compliance. In 2019-20, anticipate creating new modules pending approval of additional staff and resources. Also developed a Gameday the DIII Way module.
- Monitor and promote institutional commitment to BOG pledge on sexual assault violence prevention education. **ACCOMPLISHED.** Collaborated with the Sport Science Institute and President Emmert's office. Only three Division III institutions didn't attest to sexual assault violence prevention this year.

**5. Promote the Division III Philosophical Principle that Student-Athletes' Academic Performance is consistent with that of the General Student Body.**

- Continue to sponsor a regular and representative academic reporting program to compare the academic success of student-athletes and the general student body. Continue to emphasize the academic success of Division III student-athletes as compared to other students. **Focus on graduation rates of teams and demographic groups that are lower than their counterparts who do not participate in intercollegiate athletics. Develop best practices. ON-GOING.** With the 2019 Convention vote to require the annual submission of student-athlete graduation rates starting in June 2020, the working group will develop best practices, based on the collected data in 2021-22.



**6. Enhance Formal Accountability of the Governance Structure.**

- Continue to distribute an annual performance scorecard for regular accountability of key Division III programs and committee staff liaisons. **ON-GOING.**
- Evaluate the Division III Membership Survey distributed in the Spring of 2018, specific to key policies, initiatives and programs, strategic priorities and legislative standards. **ACCOMPLISHED.** Distributed survey results to the membership and all governance committees.
- Continue to monitor the enrollment and retention challenges within higher education and the unique challenges affecting the Division III membership. **ON-GOING.**
- Work with conference commissioners to plan and implement a Conference Rules Seminar (CRS) in the summer as requested and needed to provide a more regionalized compliance educational opportunity for active Division III member institutions and conferences. **ON-GOING.**
- Address relevant issues identified through evaluation of committee structure.

**7. Maintain Fiscal Integrity.**

- Develop a divisional biennium operating budget for 2017-19 and beyond that presents policy goals and program preferences that are fiscally responsible and sustainable. **ACCOMPLISHED.** SPFC and the Councils approved the 2019-21 proposed budget. Will continue to monitor in future years.
- Continue to address the long-term use of the budget's surplus (beyond the mandated reserve), including potential effects of the Association's new 2024-2032 broadcast agreement extension. **ON-GOING.**

## **Appendix A**

### **NCAA Association Wide Goals and Related**

### **Division III Programs and Objectives**

**Association Wide Goal 1: Athletics as Integral to Higher Education. Student-athletes will be better educated and prepared for increased and lifelong achievement and success.**

**Objectives**

- Increase support of reform efforts that emerge from the governance structure.
- Increase the number of student-athletes who succeed academically.
- Increase opportunities for student-athletes to integrate their academic, athletics and social interests.
- Enhance the leadership role of athletics administrators and increase the role of coaches as advocates for the values of intercollegiate athletics.

<b><u>Division III Programs and Initiatives</u></b>	<b><u>Desired Outcomes</u></b>	<b><u>Resource Allocation from Division III Budget</u></b>
<b>Conference visits by Presidents Council, Management Council, Student-Athlete Advisory Committee (SAAC) members and staff.</b>	Improve communication between and among governance structure and membership as evidenced by a satisfaction survey.	Association-wide funding
<b>Strategic Initiatives Conference Grant Program: Tier Two Integration activities.</b>	All institutions will access strategic initiative conference grant dollars over a four-year period, and all conferences will optimally use the full allocation of funds each year. The integration activities should bring together key conference partners to discuss ways each school (and the conference as a group) might best support the integration concept, consistent with the division's unique philosophy, identity and Strategic Positioning Platform.	\$295,530
<b>Communication Initiatives: Inform membership of policy issues, governance updates and hot topics.</b>	Conference visits, quarterly presidential updates, monthly athletics updates and periodic educational columns shall be conducted or distributed on a regular schedule.	Overhead
<b>Annual Division III Commissioners meeting.</b>	All conferences will be represented annually; commissioners will be provided with the opportunity to discuss governance issues and Division III hot topics. Additional funding is provided through Tier One of the Strategic Initiative Conference Grant Program to supplement a portion the conference's travel costs.	\$20,000
<b>Student-athlete leadership forums and campus based leadership programming.</b>	At least 80 percent of eligible institutions will participate annually in DIII Student-Athlete Leadership forums, and the participating coaches and administrators will become stronger advocates for the values of Division III intercollegiate athletics.	\$365,000
<b>Strategic Initiatives Conference Grant Program: Tier One-Professional Development and SAAC support, Tier Two-Student-Athlete Well Being Initiatives.</b>	All institutions will access strategic initiative conference grant dollars over a four-year period, and all conferences will optimally use the full allocation of funds each year.	\$1,143,894
<b>National SAAC Outreach.</b>	Celebrate successful campus SAAC community outreach each quarter, engage in community outreach at each National SAAC meeting, and annually educate student-athletes about National Student-Athlete Day and other community initiatives. Support national SAAC's creation of a short video highlighting the Division III student-athlete experience to be shown at annual campus compliance meetings.	Association-wide funding
<b>National student-athlete outreach.</b>	Continue to create specific programming for student-athletes attending the annual Convention to help better engage and educate on the Division III philosophy.	\$20,000
<b>Graduation Rate Reporting.</b>	Sponsor a regular and representative graduation rate reporting program to compare student-athletes and nonstudent-athletes using data currently provided to the Association and the Department of Education.	\$35,000

**ASSOCIATION-WIDE GOAL 2: The Student-Athlete Experience. Student-athletes will be enriched by a collegiate athletics experience based on fair and reasonable standards and a commitment to sportsmanship.**

**Objectives**

- Increase the applications of fairer regulations that favor student-athletes.
- Increase the opportunities for women and minorities to participate in intercollegiate athletics at all levels.
- Increase sportsmanship in intercollegiate athletics among student-athletes, coaches and fans.

<u>Division III Programs and Initiatives</u>	<u>Desired Outcomes</u>	<u>Resource Allocation from Division III Budget</u>
<b>Women and Minority Internship Program</b>	The division will assess the original goals of this program to understand its legacy and to formulate future, long terms goals related to diversity of the athletics administrative and governance structures.	\$1,130,000
<b>Strategic Alliance Matching Grant</b>	The division will assess the original goals of this program to understand its legacy and to formulate future, long term goals related to diversity of the athletics administrative and governance structures.	\$708,600
<b>Strategic Initiatives Conference Grant Program: Tier Two-Diversity/Gender Equity and Sportsmanship Initiatives</b>	All conferences and at least 50% of institutions will engage in programming related to diversity, gender equity and sportsmanship initiatives within each four-year grant cycle.	\$426,480
<b>The Nominating Committee shall annually review the NCAA's gender and diversity audit and make personal contact with targeted groups to encourage committee service.</b>	Balance membership of Division III committees to ensure the interests of all Division III constituents are represented in the governance structure.	Association-wide funding
<b>Get in the Game Web site; Requests and Secondary Reports Online.</b>	The staff will maintain an online resource to provide consistent and complete compliance information to student-athletes for the certification of eligibility process.	Association-wide funding; Provisional/Reclassifying Membership fees
<b>Committees will exercise fair decision making when making waiver and interpretive decisions.</b>	Student-athletes will benefit from the receipt of more individual consideration of their issues in the waiver and interpretations process.	Association-wide funding
<b>Regional Rules Seminars (national program) and Conference Rules Seminar.</b>	Education sessions on Division III rules and regulations will be offered annually. The Conference Rules Seminar will be held as requested by conference offices.	Association-wide funding
<b>Rules Test.</b>	The Membership Committee shall annually make available a clear and fair rules test that all members can access on-line to comply with the condition and obligation of membership to administer the rules test.	Overhead
<b>Conduct quality championships with fair selection processes and appropriate access.</b>	The Championships Committee will continually assess policies and NCAA legislation related to the championships program including the appropriateness of bracket sizes, regional alignment and select criteria processes.	\$24,371,445
<b>Women Leaders Institute for Administrative Advancement.</b>	The division will fund professional development opportunities for female athletics administrators in a manner most accommodating to applicant individuals.	\$30,000
<b>Sportsmanship and Game Environment Initiatives.</b>	New initiatives based on a partnership with Disney and recommendations from the Division III Sportsmanship and Game Environment working group, with membership endorsement.	\$250,000

<u>Division III Programs and Initiatives</u>	<u>Desired Outcomes</u>	<u>Division III Programs and Initiatives</u>
<b>Examine demographic trends and consider whether Division III or Association-wide programming is appropriate to affect change in the division's student-athlete demographic profile.</b>	The percentage of minority individuals in the student-athlete population should be consistent with the percentage of minority individuals in the general student-body.	Association-wide funding
<b>Conference and Institutional Inclusion and Diversity programming.</b>	Partner with Division III conferences and institutions to support innovative programs that promote inclusion and diversity. (e.g., the NCAA's Institute for Administrative Advancement, ethnic minority student program at NCAA Convention, SWA professional development and the North Coast Conference's Branch Rickey Program). In collaboration with the Office of Inclusion, develop programming for LGBTQ students.	\$250,000
<b>Strategic Initiative Conference Grant Program: Tier III Officiating Improvement.</b>	Provide optional funding to conference offices through the Conference Grant Program and encourage support of officiating improvement.	\$151,500

### ASSOCIATION-WIDE GOAL 3: Informed Governance and Decision-Making.

Member institutions and conferences will have access to data, research and best practices that assist governance and management of intercollegiate athletics.

#### Objectives

- Increase opportunities and support for chief executive officers to participate and make more informed decisions about intercollegiate athletics.
- Increase opportunities for member institutions and conferences to share best practices in support of the Association's core values.
- Increase the number and quality of research initiatives on relevant issues to help member institutions and conferences make informed decisions.
- Increase opportunities for affiliated organizations to provide input for more informed decision-making.
- Enhance hiring practices for administrators, coaches and other athletics personnel, resulting in more inclusive leadership in intercollegiate athletics.

<u>Programs and Initiatives</u>	<u>Desired Outcomes</u>	<u>Resource Allocation from Division III Budget</u>
<b>Strategically engage presidents and athletics direct reports in the Division III governance structure</b>	Continue to promote greater strategic focus and more selective legislative engagement by presidents in the Division III governance structure, led by the Presidents Council in consultation with the Presidents and Chancellors Advisory Group (PAG).	Association-wide funding
<b>Quarterly Presidential Communication.</b>	The chair of the Presidents Council will reach out to all presidents on a quarterly basis via formal correspondence.	Association-wide funding
<b>Division III Governance Outreach to Affiliates.</b>	Governance structure representatives will engage with affiliates on an issue-specific basis (e.g., higher education association meetings, annual sports chairs and championships committee meeting, and FARA annual meeting, etc...).	Overhead
<b>Presidential Programming at the NCAA Convention.</b>	Presidential involvement at the NCAA Convention will be enhanced by presidentially-focused programming.	\$20,000
<b>Best Practices for Presidential-Commissioner Leadership.</b>	Work with the Division III Commissioners Association (D3CA) to develop and distribute best practices to enhance presidential-commissioner leadership at the conference level.	Overhead
<b>Institutional and Conference Self-Studies (ISSG/CSSG).</b>	All institutions and conferences will conduct regular reviews with active participation of campus/conference presidents. Presidential involvement shall promote an understanding of institutional control and the primary compliance role of presidents. Institutional reviews shall assess standards on recruiting, admissions, academic eligibility, student services, student-athlete profiles, personnel and a commitment to Division III philosophical priorities. Conference reviews shall include an assessment of conference alignments, values and priorities to support partnerships between conference members.	Overhead
<b>Sports sponsorship and Institutional Self-Study (ISSG) audits.</b>	The Membership Committee's annual review of member compliance with sports sponsorship requirements and completed Institutional Self-Study instruments to assess compliance with membership criteria and educational needs of the membership. Members placed on probation required to complete an athletics program assessment.	Provisional/Reclassifying membership fees
<b>Playing and Practices Seasons Comprehensive Review.</b>	Continue to implement through Management Council Playing and Practice Seasons Subcommittee a comprehensive review of the football playing and practice seasons.	Overhead
<b>360 Proof.</b>	Maintain and enhance 360 Proof, a web-based, evidence-informed and free alcohol and other drug resource for NCAA Division III and NASPA small college member campuses to reduce consequences of alcohol use.	\$125,000
<b>Continually monitor Division III membership size and related access to championship and other services.</b>	The governance structure shall analyze data and collect feedback from institutions to continually develop a growth management strategy for Division III.	Overhead
<b>Athletics Direct Report (ADR) Institute.</b>	Conduct an annual ADR Institute in conjunction with the annual NCAA Convention. For Division III member institutions that have an ADR reporting structure, ADRs should be	\$90,000

	consulted for input on key institutional and conference operational and strategic issues facing the athletics program. The Institute will allow for an intentional level of engagement and professional development to assist ADRs in overseeing and managing the athletics department on campus.	
<b>Financial Aid Reporting Process.</b>	All institutions will participate in the data-driven reporting process. Greater availability of historical data shall enable increased emphasis on institutional accountability (i.e., enforcement and penalties).	Overhead
<b>Faculty Athletics Representative (FAR) Fellows Institute.</b>	Conduct an FAR Fellows institute to offer professional development training to and provide FARs with tools to communicate the Division III story to their campus peers and enhance the level of engagement of FARs across the division. Re-engage FARs by maintaining a working group that will survey the membership and produce next steps.	\$85,000
<b>Strategic Initiative Conference Grant Program: Tier IV – Third Party Review.</b>	Continue to provide assistance for conference offices to provide documentation of a third-party external review of grant fund usage to the national office annually.	\$16,800
<b>Programming at the NCAA Convention.</b>	Continue to create specific programming for delegates attending the annual Convention (e.g. educational sessions, Issues Forum, and technology to support all sessions) to help better engage and educate the membership.	\$30,000

**ASSOCIATION-WIDE GOAL 4: Effective National Office Administration.  
The National Office will be operated in an accountable, efficient manner.**

**Objectives**

- Increase partnership with the membership. Better define the national office's role.
- Increase flexibility, responsiveness and efficiency of interpretations, enforcement and appeals processes.
- Increase the timeliness, clarity, conciseness and effectiveness of membership communication.
- Increase use of technology to improve the effectiveness and efficiencies of Association processes.

<u>Programs and Initiatives</u>	<u>Desired Outcomes</u>	<u>Resource Allocation from Division III Budget</u>
<b>Implement use of available technology to regularly deliver NCAA messages and rules education.</b>	The governance structure will continually assess common needs and available technologies to increase the timeliness, clarity, conciseness and effectiveness of membership communication.	Overhead
<b>Partnership with National Association of Division III Athletics Administrators.</b>	The governance structure will provide financial support to the NADIII AA. National office staff will support this membership-led organization in its professional development offerings.	\$75,000
<b>Educate the membership on the role of the NCAA national office.</b>	Increase membership understanding of the role of the national office by including this information in governance presentations made at Leadership Conferences, Regional Seminars, conference meetings, and other appropriate venues.	Overhead
<b>Conference Contact program.</b>	Continue to service all conferences and ensure new conferences are accommodated according to program guidelines.	Overhead
<b>Strategic Initiative Conference Grant Program: Tier Three - Technology Grants.</b>	Provide funding to conferences offices to upgrade or maintain technical capabilities to access technical platforms used by the NCAA.	\$657,166
<b>New orientation programs to support athletics directors and commissioners.</b>	Create in-person, orientation programs for new athletics directors and commissioners to assist with the knowledge, resources and philosophy of the division.	\$85,000



**ASSOCIATION-WIDE GOAL 5: Perceptions of the Association and Intercollegiate Athletics.**  
**The public will gain a greater understanding of and confidence in the integrity of intercollegiate athletics**  
**and will more readily support its values.**

**Objectives**

- Increase awareness of and advocacy for the positive values of intercollegiate athletics among the media and the public and within the membership.
- Increase the public's confidence in the Association as a whole.

<u>Programs and Initiatives</u>	<u>Desired Outcomes</u>	<u>Resource Allocation from Division III Budget</u>
<b>Strategic Initiative Conference Grant Program: Tier Three-Promotions/Marketing/Division III Identity.</b>	Increase opportunities for promotion and marketing efforts on behalf of Division III institutions and conferences, consistent with the messages of the Strategic Positioning Platform.	\$299,530
<b>Division III Identity Initiative.</b>	Clarify and promote the unique Division III philosophy as articulated in the Division's Strategic Positioning Platform. Enable conferences and institutions to better tell the Division III story to a variety of target audiences. Support the following identity activation initiatives: Division III week, and mobile web site for coaches, national and customizable videos. Re-introduce a recognition award for directors of athletics communication to recognize the most outstanding written or video work that tells the Division III story.	\$300,000
<b>Special Olympics Partnership.</b>	Continue to grow the strategic partnership with Special Olympics. Maintain Special Olympics events as a signature element of the Division III championships program and continue to encourage campus and conference engagement with local Special Olympics chapters.	\$35,000
<b>Academic All-America Partnership with CoSIDA.</b>	Promote academic success of Division III student-athletes through financial support of a Division III Academic All-America Program.	\$44,000
<b>Strategic Initiative Conference Grant Program: Tier One - Professional development support for Sports Information Directors (SIDs).</b>	Continue to identify new ways to support the growth of SIDs as strategic communicators, advance the messages of the Division III platform, and communicate the story of Division III at the local level. Offer professional development support through the Conference Grant Program, and position support through the Strategic Alliance Matching Grant and Internship Program.	\$46,200

**Appendix B**

**Division III Budget Justification**

Projected NCAA Division III 2018-19 Budget Breakdown		Division III Philosophy Statement and Constitutional Principles Justifying Funded Programs
<b>Revenue:</b>		<i>Division III Institutions....</i>
Division III 3.18% Revenue Allocation (ESTIMATE)	\$31,897,829	
<b>Expenses:</b>		
Total Championships Expense (excluding overhead)	\$24,417,450	Support student-athletes in their efforts to reach high levels of athletics performance, which may include opportunities for participation in national championships, by providing all teams with adequate facilities, competent coaching and appropriate competitive opportunities. (Division III Philosophy Statement – section r))
Strategic Initiative Conference Grant Program	\$3,037,100	Place special importance on the impact of athletics on the participants rather than on the spectators and place greater emphasis on the internal constituency (e.g., students, alumni, institutional personnel) than on the general public and its entertainment needs; (Bylaw 20.11-(b))
NAD3AA Partnership	\$75,000	The purpose of the NCAA is to assist its members in developing the basis for consistent, equitable competition while minimizing infringement on the freedom of individual institutions to determine their own special objectives and programs. (Bylaw 20.11)
Conference Commissioners/SID Meeting	\$20,000	The purpose of the NCAA is to assist its members in developing the basis for consistent, equitable competition while minimizing infringement on the freedom of individual institutions to determine their own special objectives and programs. (Bylaw 20.11)
Conference Rules Seminar	Association-wide	The Association shall assist the institution in its efforts to achieve full compliance with all rules and regulations and shall afford the institution, its staff and student-athletes fair procedures in the consideration of an identified or alleged failure in compliance. (Constitution 2.8.2)
Women Leaders Enhancement Grants	\$30,000	Support ethnic and gender diversity for all constituents; (Bylaw 20.11-(p))
Division-wide Sportsmanship Initiative	\$250,000	Encourage the development of sportsmanship and positive societal attitudes in all constituents, including student-athletes, coaches, administrative personnel and spectators; (Bylaw 20.11-(e))
Strategic Alliance Matching Grant	\$708,600	Support ethnic and gender diversity for all constituents; (Bylaw 20.11-(p))
Women & Minority Intern Program	\$1,130,000	Support ethnic and gender diversity for all constituents; (Bylaw 20.11-(p))
Student-Athlete Leadership Forum	\$365,000	Seek to establish and maintain an environment in which student-athlete's athletics activities are conducted as an integral part of the student-athlete's educational experience, and in which coaches play a significant role as educators. (Bylaw 20.11)
Campus Based Student-Athlete Leadership Programming	\$100,000	The purpose is to provide DiSC behavioral assessments to student-athletes, coaches and administrators. The DiSC assessment aids participants with understanding their individual behavioral styles and preferences, a common language when addressing these topics and methods to better relate to others. It also provides additional strategies to build more effective relationships on teams and in the workplace.
360 Proof	\$125,000	Intercollegiate athletics programs shall be conducted in a manner designed to protect and enhance the physical and educational well-being of student-athletes. (Constitution 2.2)
FAR Fellows Institute/Orientation	\$85,000	Colleges and universities in Division III place highest priority on the overall quality of the educational experience and on the successful completion of all students' academic programs. They seek to establish and maintain an environment in which student-athlete's athletics activities are conducted as an integral part of the student-athlete's educational experience (preamble to philosophy statement)
Division III Academic All-America (CoSIDA)	\$44,000	Colleges and universities in Division III place highest priority on the overall quality of the educational experience and on the successful completion of all students' academic program (preamble to philosophy statement).
Other Working Groups/Task Forces	\$20,000	This initiative exists to provide opportunities for working groups/task forces in the future.
CoSIDA D3 Day	\$15,000	This initiative supports 175-200 sports information directors to receive professional development.

Projected NCAA Division III 2018-19 Budget Breakdown		Division III Philosophy Statement and Constitutional Principles Justifying Funded Programs
<b>Expenses, continued:</b>		
Identity Initiatives	\$300,000	This initiative is reflective of the entire Division III Philosophy Statement (Bylaw 20.11).
Special Olympics Partnership	\$35,000	Institutions seek to establish and maintain an environment in which student-athlete's athletics activities are conducted as an integral part of the student-athlete's educational experience (preamble to philosophy statement).
Inclusion and Diversity Partnership	\$250,000	Support ethnic and gender diversity for all constituents; (Bylaw 20.11-(I))
Injury Surveillance Program	\$110,000	Funding to assist schools in purchasing compatible electronic medical record software. Partner with SSI.
LGBTQ Programming	\$100,000	Funding for a train the trainer and/or programming, and a recognition program. Partner with office of inclusion.
Institute for Coaching Advancement	\$100,000	New program for female and ethnic minority asst. coaches to provide professional development to assist in becoming a head coach. Partner with Leadership Development.
Learning Management System programs	\$25,000	With the NCAA's new LMS, allow staff to hire an outside vendor to create program content. (e.g. committee training video). Partner with H.R.
Division III Cancellation Insurance	\$41,000	Intercollegiate athletics programs shall be administered in keeping with prudent management and fiscal practices to assure the financial stability necessary for providing student-athletes with adequate opportunities for athletics competition as an integral part of a quality educational experience. (Constitution 2.16)
Voluntary Grad Rate Report Stipend	\$35,000	Honorarium for institutions that submit data for the voluntary graduation rate reporting program to compare student-athletes and nonstudent-athletes using data currently provided to the Association and the Dept. of Educ.
Convention Programming including specific student-athlete programs	\$70,000	Continue to create specific programming for student-athletes attending the annual Convention to help better engage and educate on the Division III philosophy.
Athletics Direct Report (ADR) Institute	\$90,000	Conduct an inaugural ADR Institute in 2016 in conjunction with the annual NCAA Convention. For Division III member institutions that have an ADR reporting structure, ADRs should be consulted for input on key institutional and conference operational and strategic issues facing the athletics program. The Institute will allow for an intentional level of engagement and professional development to assist ADRs in overseeing and managing the athletics department on campus.
New Athletics Director Orientation	\$70,000	Create in-person, orientation programs for new athletics directors to assist with the knowledge, resources and philosophy of the division.
New Commissioner Orientation	\$15,000	Create in-person, orientation programs for new commissioners to assist with the knowledge, resources and philosophy of the division.
Additional SAAC in-person meeting	\$25,000	A planning meeting for the Division III national SAAC committee. At this meeting, SAAC provides an orientation for new members and sets its goals and objectives for the year.
SAAC Associate Members	\$65,000	Provide funds to pay expenses for conference partner liaison to attend NCAA Convention. Provide funds to pay expenses for partner conference liaison to attend the July national SAAC
NADIIIAA and D3CA leadership meeting	\$10,000	The executive leadership groups from NADIIIAA and D3CA come to Indianapolis in Sept. to discuss current hot topics in Division III and plan communication strategies for the upcoming year.
Other Division III Initiatives	\$102,300	This includes contracting costs, as well as money earmarked to support future initiatives.
Overhead Allocation (including National Office staffing) **	\$1,535,000	N/A
Total Division III Expenses	\$33,400,450	

\* The \$5 million event cancellation insurance protects the budget in case of a catastrophic event that would reduce or eliminate, for one year, the division's share of media rights revenue.

\*\*The \$1,535,000 overhead fee covers time and miscellaneous expenses related to Division III staff and programs.

\*\*\*Anticipate a \$983,621 draw from the reserve surplus.

**Appendix C**

**History of the**

**Division III Strategic Plan**

## History

The original Division III strategic plan was unveiled in 1998 as the division embarked on its new federated structure. The Division III Management Council Strategic Planning Subcommittee developed the framework for the plan with broad participation of the Presidents Council, Division III and Association-wide committee structure, and the Division III membership. The 2004 version of the Division III Strategic Plan was developed by a joint subcommittee of the Management and Presidents Councils consistent with the Association-wide Strategic Plan adopted by the NCAA Executive Committee in April 2004. The 2004 plan contained many of the existing initiatives and priorities to ensure that the programs and results remain consistent with Division III objectives. However, significant changes occurred as the 2005 and 2006 plans linked the relationship to the Division III philosophy with each Association-wide goal and outlined a series of outcome measures for each goal. Another significant change occurred in 2006-07 as the Division III Strategic Initiatives Grant Program resources were funneled directly to Division III Conferences and the Association of Independents. With this change, many strategic initiatives previously administered at the NCAA national office moved to the local control of conferences and institutions. The localized program encourages collaboration and involvement of all Division III constituent group representatives in the planning, decision-making and accountability of programming and funding to achieve the goals established in the Division's Strategic Plan. Presidential oversight and accountability with the process and budget allocations, consistent with the legislated leadership role of presidents within conference governance, is paramount.

In 2008-09, the strategic plan underwent a format change to create a forward-looking document that highlights the goals and expectations of a budget biennium. Much of the reporting done in previous plans was moved into a Division III Annual Report, and standard committee operations are now reflected in each committee's policy and procedure guide (available on each committee's home page on [ncaa.org](http://ncaa.org)). The plan clearly articulates the division's funding priorities, and explains when an initiative is funded by Division III, and when it is funded by a broader Association-wide budget. The plan also includes an appendix to show the philosophical or constitutional justification for all programs funded with Division III dollars.

In 2009-10, the plan was updated to highlight the division's near-term strategic priorities. Most 2009-10 priorities resulted from a series of presidentially authored white papers on membership growth published in September 2008. For 2010-12, the plan was updated based on the Division's release of a Strategic Positioning Platform, and clearly defined the near- and medium-term goals the division needed to accomplish to be successful in embodying the platform.

For 2012-15, the plan was updated to reflect the Association's move to a three-year budget cycle.

With the 2015-17 and 2017-19 budgets, the plan returns to a two-year budget cycle and emphasizes budget accountability and management to address recent championships budget overages.



**FY 2017 - 2018 DIII Budget to Actual (through 08/31/2018) and FY 2018 - 2019 DIII Budget to Actual (through 6/30/2019) unaudited**

**SUPPLEMENT NO. 05b  
DIII Mgmt Council 07/19**

	FY 2017 - 2018			FY 2018 - 2019		
	Actual	Budget	Variance	Actual	Budget	Variance
<b>Revenue</b>						
DII/DIII Allocation-Based Revenue	\$31,421,951	\$31,155,829	\$266,122	\$31,164,026	\$32,237,370	(\$1,073,344)
Additional Revenue from Membership Dues Increase				\$530,200	\$519,000	\$11,200
Other Non-DII/DIII Revenue	\$76,135	\$46,250	\$29,885	\$0	\$0	\$0
<b>Revenue Total</b>	<b>\$31,498,086</b>	<b>\$31,202,079</b>	<b>\$296,007</b>	<b>\$31,694,226</b>	<b>\$32,756,370</b>	<b>(\$1,062,144)</b>

<b>Expenses</b>						
<b>Championship Expenses</b>						
Men's Championships						
DIII Men's Baseball	\$2,214,380	\$2,218,000	\$3,620	\$1,292,193	\$2,332,000	\$1,039,807
DIII Men's Basketball	\$1,284,977	\$1,142,000	(\$142,977)	\$1,319,436	\$1,169,000	(\$150,436)
DIII Men's Cross Country	\$595,832	\$576,500	(\$19,332)	\$602,200	\$592,350	(\$9,850)
DIII Men's Football	\$2,305,524	\$1,982,500	(\$323,024)	\$1,828,104	\$2,036,500	\$208,396
DIII Men's Golf	\$602,252	\$607,500	\$5,248	\$590,256	\$621,500	\$31,244
DIII Men's Ice Hockey	\$418,395	\$370,000	(\$48,395)	\$371,763	\$376,000	\$4,237
DIII Men's Lacrosse	\$666,333	\$622,000	(\$44,333)	\$406,436	\$634,000	\$227,564
DIII Men's Soccer	\$1,248,150	\$1,225,400	(\$22,750)	\$1,106,399	\$1,244,400	\$138,001
DIII Men's Swimming and Diving	\$598,095	\$619,000	\$20,905	\$581,801	\$629,000	\$47,199
DIII Men's Tennis	\$691,143	\$680,500	(\$10,643)	\$573,892	\$698,000	\$124,108
DIII Men's Track Indoor	\$774,526	\$682,500	(\$92,026)	\$688,560	\$836,850	\$148,290
DIII Men's Track Outdoor	\$922,434	\$907,500	(\$14,934)	\$852,915	\$921,250	\$68,335
DIII Men's Volleyball	\$277,130	\$235,000	(\$42,130)	\$172,336	\$315,000	\$142,664
DIII Men's Wrestling	\$434,908	\$440,500	\$5,592	\$485,043	\$451,500	(\$33,543)
<b>Total</b>	<b>\$13,034,080</b>	<b>\$12,308,900</b>	<b>(\$725,180)</b>	<b>\$10,871,334</b>	<b>\$12,857,350</b>	<b>\$1,986,016</b>

Women's Championships						
DIII Women's Basketball	\$1,202,747	\$1,231,000	\$28,253	\$1,093,529	\$1,253,000	\$159,471
DIII Women's Cross Country	\$595,000	\$597,000	\$2,000	\$620,525	\$614,150	(\$6,375)
DIII Women's Field Hockey	\$575,621	\$494,000	(\$81,621)	\$451,420	\$504,000	\$52,580
DIII Women's Golf	\$417,563	\$389,550	(\$28,013)	\$397,260	\$407,550	\$10,290
DIII Women's Ice Hockey	\$219,181	\$271,000	\$51,819	\$253,714	\$314,000	\$60,286
DIII Women's Lacrosse	\$914,629	\$834,000	(\$80,629)	\$643,977	\$851,000	\$207,023
DIII Women's Rowing	\$345,238	\$413,000	\$67,762	\$244,415	\$427,000	\$182,585
DIII Women's Soccer	\$1,219,224	\$1,305,000	\$85,776	\$1,250,624	\$1,327,000	\$76,376
DIII Women's Softball	\$1,776,627	\$1,563,000	(\$213,627)	\$971,931	\$1,597,000	\$625,069
DIII Women's Swimming and Diving	\$639,708	\$683,000	\$43,292	\$652,253	\$695,000	\$42,747
DIII Women's Tennis	\$695,575	\$720,500	\$24,925	\$613,769	\$740,000	\$126,231
DIII Women's Track Indoor	\$701,113	\$649,500	(\$51,613)	\$596,845	\$766,150	\$169,305
DIII Women's Track Outdoor	\$922,958	\$915,500	(\$7,458)	\$870,048	\$945,750	\$75,702
DIII Women's Volleyball	\$1,096,389	\$1,127,500	\$31,111	\$1,120,380	\$1,153,500	\$33,120
<b>Total</b>	<b>\$11,321,573</b>	<b>\$11,193,550</b>	<b>(\$128,023)</b>	<b>\$9,780,690</b>	<b>\$11,595,100</b>	<b>\$1,814,410</b>

Championship Expenses	\$24,355,653	\$23,502,450	(\$853,203)	\$20,652,024	\$24,452,450	\$3,800,426
Overhead Allocation	\$410,000	\$428,000	\$18,000	\$351,667	\$422,000	\$70,333
<b>Total Championship Expense</b>	<b>\$24,765,653</b>	<b>\$23,930,450</b>	<b>(\$835,203)</b>	<b>\$21,003,691</b>	<b>\$24,874,450</b>	<b>\$3,870,759</b>

**FY 2017 - 2018 DIII Budget to Actual (through 08/31/2018) and FY 2018 - 2019 DIII Budget to Actual  
(through 6/30/2019) unaudited**

<b>Non-Championship Expenses</b>						
Conference Grants	\$2,791,532	\$2,795,100	\$3,568	\$3,042,605	\$3,042,600	(\$5)
Intern Program	\$1,089,884	\$1,130,000	\$40,116	\$1,129,700	\$1,209,920	\$80,220
Strategic Alliance Matching Grant	\$589,725	\$708,600	\$118,875	\$624,228	\$708,600	\$84,372
Leadership Conference	\$366,642	\$365,000	(\$1,642)	\$181,903	\$365,000	\$183,097
Identity Initiative	\$312,697	\$300,000	(\$12,697)	\$181,754	\$300,000	\$118,246
Diversity Initiatives	\$255,519	\$250,000	(\$5,519)	\$220,922	\$250,000	\$29,078
Sportsmanship - GameDay the DIII Way	\$228,694	\$250,000	\$21,306	\$171,503	\$250,000	\$78,497
360 Proof	\$111,264	\$125,000	\$13,736	\$78,947	\$125,000	\$46,053
Coaches and Administrators Diversity				\$0	\$100,000	\$100,000
Leadership Development Initiatives DiSC	\$86,662	\$80,000	(\$6,662)	\$100,000	\$100,000	\$0
LGBTQ				\$123,052	\$100,000	(\$23,052)
ADR Institute	\$80,877	\$90,000	\$9,123	\$55,763	\$90,000	\$34,237
SAAC April and Associate Member Meetings	\$16,039	\$25,000	\$8,961	\$63,462	\$90,000	\$26,538
FAR Orientation/Institute	\$58,850	\$85,000	\$26,150	\$66,139	\$85,000	\$18,861
AD and Commissioner Orientation	\$78,886	\$85,000	\$6,114	\$52,218	\$85,000	\$32,782
Athletics Administrator Partnership (NADIIIAA)	\$75,000	\$75,000	\$0	\$75,000	\$75,000	\$0
Injury Surveillance and Testing				\$0	\$74,500	\$74,500
NCAA Annual Convention	\$72,485	\$70,000	(\$2,485)	\$70,326	\$70,000	(\$326)
Membership Learning Management - DIII University				\$20,107	\$55,000	\$34,893
Academic All-America Program (Co-SIDA)	\$39,500	\$44,000	\$4,500	\$39,500	\$44,000	\$4,500
Insurance	\$40,960	\$41,000	\$40	\$40,960	\$41,000	\$40
Special Olympics	\$38,341	\$35,000	(\$3,341)	\$33,233	\$35,000	\$1,767
Academic Reporting Honorarium	\$35,262	\$35,000	(\$262)	\$34,996	\$35,000	\$4
Women Leaders in College Sports	\$30,000	\$30,000	\$0	\$945	\$30,000	\$29,055
Working Groups	\$14,565	\$35,000	\$20,435	\$22,157	\$20,000	(\$2,157)
Conference Commissioner Meetings	\$10,497	\$20,000	\$9,503	\$1,329	\$20,000	\$18,671
NADIIIAA and Commissioner Mtg	\$19,711	\$10,000	(\$9,711)	\$1,769	\$10,000	\$8,231
Administrative - Misc	\$49,303	\$85,300	\$35,997	\$17,789	\$15,380	(\$2,409)
CoSIDA DIII Day	\$22,537	\$0	(\$22,537)	\$13,341	\$15,000	\$1,659
Staff Professional Development				\$6,576	\$7,000	\$424
Exploratory/Provisional Membership	\$9,442	\$0	(\$9,442)	\$2,254	\$0	(\$2,254)
Non-Championship Expenses	<b>\$6,524,876</b>	<b>\$6,769,000</b>	<b>\$244,124</b>	<b>\$6,472,478</b>	<b>\$7,448,000</b>	<b>\$975,522</b>
Overhead Allocation	\$1,031,000	\$1,128,000	\$97,000	\$885,000	\$1,062,000	\$177,000
<b>Total Non-Championship Expense</b>	<b>\$7,555,876</b>	<b>\$7,897,000</b>	<b>\$341,124</b>	<b>\$7,357,478</b>	<b>\$8,510,000</b>	<b>\$1,152,522</b>
<b>Total Division III Expenses</b>	<b>\$32,321,528</b>	<b>\$31,827,450</b>	<b>(\$494,078)</b>	<b>\$28,361,168</b>	<b>\$33,384,450</b>	<b>\$5,023,282</b>

Surplus (Deficit)	(\$823,442)	(\$625,371)
Add: Prior Year Reserve Balance	\$30,004,731	\$30,004,731
Estimated Reserve Balance	\$29,181,289	\$29,379,360

\$3,333,058	(\$628,080)
\$29,181,289	\$29,181,289
\$32,514,347	\$28,553,209



The National Collegiate Athletic Association						SUPPLEMENT NO. 05c
						DIII Mgmt Council 07/19
<b>2019-21 Division III Budget</b>						
					<b>2018-19</b>	<b>2019-20</b>
					Budget	Budget
<b>Revenue:</b>						
	Division III 3.18% Revenue Allocation				31,024,658	31,974,820
	Division III Other Revenue				519,000	519,000
<b>Total Revenue</b>					<b>31,543,658</b>	<b>32,493,820</b>
<b>Expenses:</b>						
	Championship Expenses					
	Men's Championships					
				Baseball	2,332,000	2,514,092
				Basketball	1,169,000	1,466,966
				Cross Country	592,350	614,791
				Football	2,036,500	2,363,405
				Golf	621,500	647,835
				Ice Hockey	376,000	426,293
				Lacrosse	634,000	703,701
				Soccer	1,244,400	1,341,235
				Swimming & Diving	629,000	645,508
				Tennis	698,000	726,331
				Track, Indoor	836,850	860,974
				Track, Outdoor	921,250	946,925
				Volleyball	315,000	366,034
				Wrestling	451,500	463,976
				Championship Other		
				Total Men's Championships	<b>12,857,350</b>	<b>14,088,066</b>
	Women's Championships					
				Basketball	1,253,000	1,313,026
				Cross Country	614,150	637,162
				Field Hockey	504,000	515,007
				Golf	407,550	468,661
				Ice Hockey	314,000	321,472
				Lacrosse	851,000	920,888
				Rowing	427,000	439,373
				Soccer	1,327,000	1,359,961
				Softball	1,597,000	1,661,268
				Swimming & Diving	695,000	713,537
				Tennis	740,000	759,270
				Track, Indoor	766,150	789,892
				Track, Outdoor	945,750	972,169
				Volleyball	1,153,500	1,185,413
				Championship Other		
				Total Women's Championships	<b>11,595,100</b>	<b>12,057,099</b>
	Championships Expense				<b>24,452,450</b>	<b>26,145,165</b>
	Overhead				422,000	435,000
	Total Championships Expense				<b>24,874,450</b>	<b>26,580,165</b>

The National Collegiate Athletic Association							
2019-21 Division III Budget							
(continued)							
					2018-19	2019-20	2020-21
					Budget	Budget	Budget
Expenses (continued):							
	Non-Championship Expenses						
	Strategic Initiative Conference Grants				3,042,600	3,194,730	3,194,730
	Other Division III Strategic Initiatives						
		Women & Minority Intern Program			1,209,920	1,300,000	1,300,000
		Strategic Alliance Matching Grant			708,600	708,600	708,600
		Student-Athlete Leadership Conference			365,000	365,000	365,000
		Division III Identity Program			300,000	300,000	300,000
		DIII Diversity Initiatives			250,000	250,000	250,000
		Division-wide Sportsmanship Initiative			250,000	225,000	225,000
		360 Proof (formerly Drug Education and Research)			125,000	115,000	115,000
		Campus-based Student-Athlete Leadership Programs			100,000	100,000	100,000
		LGBTQ Inclusion Program			100,000	100,000	100,000
		Institute for Coaching Advancement			100,000	100,000	100,000
		Membership Learning Management System			55,000	80,670	94,000
		ADR Institute			90,000	90,000	90,000
		SAAC April Meeting and Associate Member Travel			90,000	90,000	90,000
		FAR Institute			85,000	85,000	85,000
		New AD and Commissioner Orientation			85,000	85,000	85,000
		360 Membership Engagement Program					85,000
		NAD3AA Partnership			75,000	75,000	75,000
		Annual Convention			70,000	70,000	70,000
		Miscellaneous Division III Initiatives			15,380	0	67,670
		Co-SIDA Partnership			44,000	44,000	44,000
		Division III Event Cancellation Insurance			41,000	41,000	41,000
		Special Olympics Partnership			35,000	35,000	35,000
		SWA Enhancement Grant Program (WLCS)			30,000	30,000	30,000
		Conference Commissioners Meeting			20,000	20,000	20,000
		Other Working Groups			20,000	20,000	20,000
		CoSIDA D3 Day (previously in other working groups)			15,000	15,000	15,000
		Administrator and Commissioner Meeting (NADIII AA and D3CA)			10,000	10,000	10,000
		Staff Professional Development			7,000	7,000	7,000
		Academic Reporting Honorarium			35,000	0	0
		Injury Surveillance and Testing			74,500	0	0
	Non-Championships Expense				7,448,000	7,556,000	7,722,000
	Overhead Allocation				1,062,000	1,206,000	1,206,000
	Total Non-Championships Expenses				8,510,000	8,762,000	8,928,000
Note: \$112,000 budgeted for two FTE requests.							
				If not approved, the funds will be moved from the overhead			
				budget to the miscellaneous budget.			

**Assumptions:**

- |       |   |
|-------|---|
| 1.00% | <a href="#">DIII Champs Assumption Analysis 16-17</a> |
| 0.00% | <a href="#">DIII Champs Assumption Analysis 16-17</a> |
| 4.94% | Presentation to CFO on Travel Models by division      |

### Division III Budget Projections

**Notes:**

- 1 Mandated reserve is 50% of the annual DIII revenue allocation in cash beginning in fiscal year 2017-18. The division also holds a separate event cancellation insurance policy with a \$5M limit.
- 2 Supplemental championships spending is earmarked for individual/team local ground transportation and returning travel party sizes to 2013-14 levels. This supplemental spending would be evaluated first for elimination in the event of an open event.
- 3 Amount includes inflationary increase from prior year amount (light blue highlight).
- 4 All amounts for 2017-18 are audited amounts. Overhead estimates were updated September 2018 based on current information.



REPORT OF THE  
NCAA DIVISION III CHAMPIONSHIPS COMMITTEE  
APRIL 10, 2019, TELECONFERENCE

**ACTION ITEMS.**

- None.

**INFORMATIONAL ITEMS.**

1. **California Lutheran University Men's Volleyball In-Region Waiver.** The committee approved an in-region competition waiver request for California Lutheran men's volleyball to be eligible for selection to the 2019 Division III Men's Volleyball Championship. The committee was sympathetic to the institution's difficulties in scheduling given its proximity to in-region opponents.

However, the committee emphasized the responsibility of the head coach and institutional staff to be aware of scheduling requirements and adhere to deadlines for waiver requests, noting that a waiver submitted at such a late date would not be acceptable in the future. Due to the emergency nature of the request, California Lutheran will be fined \$600 for failure to adhere to policy and procedure should it be selected to the championship.

2. **Men's Tennis Committee Chair.** The committee approved that Patrick Summers, commissioner of the New England Women's and Men's Athletic Conference, serve as the Division III Men's Tennis Committee chair.

*Committee Chair:* Bill Stiles, Alvernia University  
*Staff Liaisons:* Liz Turner Suscha, Championships and Alliances  
Laura Peterson-Mlynski, Championships and Alliances  
Julie Sargent, Academic and Membership Affairs

Division III Championships Committee April 10, 2019, Teleconference	
<b>Attendees:</b>	
Stevie Baker-Watson, DePauw University.	
Brad Bankston, Old Dominion Athletic Conference.	
Susan Fumagalli, Gettysburg College.	
Kiki Jacobs, Roger Williams University.	
Jake Santellano, University of Wisconsin-Whitewater.	
Penny Siqueiros, Wesleyan College (Georgia).	
Bill Stiles, Alvernia University.	

<b>Absentees:</b>
Jason Fein, Bates College.
Brian Jamros, The College of St. Scholastica.
<b>Guests in Attendance:</b>
None.
<b>NCAA Staff Support in Attendance:</b>
Laura Klee, Championships and Alliances
Laura Peterson-Mlynski, Championships and Alliances.
Liz Turner Suscha, Championships and Alliances.
<b>Other NCAA Staff Members in Attendance:</b>
None.



REPORT OF THE  
NCAA DIVISION III CHAMPIONSHIPS COMMITTEE  
APRIL 15, 2019, ELECTRONIC CORRESPONDENCE

**ACTION ITEMS.**

- None.

**INFORMATIONAL ITEMS.**

- **2020 Cross Country Championships Site Selection.** The committee approved Rose-Hulman Institute of Technology to host the 2020 NCAA Division III Men's and Women's Cross-Country Championships at the LaVerne Gibson Championship Cross Country Course at the Wabash Valley Family Sports Center in Terre Haute, Indiana, on Saturday, November 21, 2020.

*Committee Chair:* Bill Stiles, Alvernia University  
*Staff Liaisons:* Liz Turner Suscha, Championships and Alliances  
Laura Peterson-Mlynski, Championships and Alliances  
Julie Sargent, Academic and Membership Affairs

Division III Championships Committee April 15, 2019, Electronic Correspondence	
<b>Attendees:</b>	
Stevie Baker-Watson, DePauw University.	
Brad Bankston, Old Dominion Athletic Conference.	
Jason Fein, Bates College.	
Susan Fumagalli, Gettysburg College.	
Kiki Jacobs, Roger Williams University.	
Brian Jamros, The College of St. Scholastica.	
Penny Siqueiros, Wesleyan College (Georgia).	
Bill Stiles, Alvernia University.	
<b>Absentees:</b>	
Jake Santellano, University of Wisconsin-Whitewater.	
<b>Guests in Attendance:</b>	
None.	
<b>NCAA Staff Support in Attendance:</b>	
None.	
<b>Other NCAA Staff Members in Attendance:</b>	
None.	



REPORT OF THE  
NCAA DIVISION III CHAMPIONSHIPS COMMITTEE  
JUNE 17-18, 2019, MEETING

**ACTION ITEMS.**

- None.

**INFORMATIONAL ITEMS.**

1. **Opening Remarks and Review of Schedule and Agenda.** The committee chair, Bill Stiles, welcomed committee members and reviewed the meeting schedule and key discussion items.
2. **Recent Committee Reports.** The committee approved reports from its February 5-6 meeting; March 5, March 19 and April 10 teleconferences; and April 15 email correspondence, as submitted.
3. **Governance Update.** NCAA governance staff reviewed the following key items with the committee: (1) NCAA Board of Governors items (the addition of five independent members to the roster; tabling the discussion on esports; ongoing review of ramifications resulting from the U.S. Supreme Court ruling to allow state-by-state sports wagering; and endorsement of catastrophic-injury guidelines); (2) Sport Science Institute items (ongoing initiatives related to student-athlete mental wellness; discussions within the National Athletic Trainers' Association regarding potential liability for host institution athletic trainers treating student-athletes from the visiting team; participation in the Injury Surveillance Program; (3) Development of online learning modules regarding certification of compliance; (4) Potential legislative items for the 2020 Convention (conference composition requirements, athletics diversity and inclusion designation requirement); (5) Transfer Portal usage in Divisions I and II, and potentially in Division III; (6) DIII University modules; (7) Ongoing discussion regarding the International Ice Hockey Pilot Program; and (8) Working group updates (FAR Advisory Group, Sportsmanship, LGBTQ).
4. **NCAA Division III Management Council/Presidents Council Updates.** No additional updates were provided from the recent Management Council and Presidents Council meetings.
5. **NCAA Division III Student-Athlete Advisory Committee Update.** The SAAC liaison provided an update on behalf of the Division III SAAC from its April meeting.
6. **Championships and Alliances Updates.**
  - 2022-26 host site selection. NCAA staff provided an overview of the timeline and process for selecting hosts for championships from the fall of 2022 through the spring of 2026.

**7. Academic and Membership Affairs Update and Action.**

- Northeast Women's Golf Conference – waiver request. The committee deferred a waiver request from the Northeast Women's Golf Conference (NWGC) for AQ access to the 2021 NCAA Division III Women's Golf Championships until a future teleconference in order to obtain additional information regarding the circumstances that led to the NWGC missing the deadline to apply for single-sport membership in 2018 and for input by the women's golf committee.

**8. Playing Rules Oversight Panel (PROP).** The committee reviewed the panel's most recent reports and noted: (1) PROP's approval of moving the three-point line in men's basketball to the international distance beginning with the 2020-21 season in Divisions II and III (the 2019-20 season in Division I); (2) PROP's denial of a recommendation from the Men's and Women's Soccer Rules Committee to enforce a forfeiture policy; and (3) PROP's approval of a progressive penalty for targeting in football.

**9. Championships budget.**

- Fall and winter budget recap. NCAA staff reviewed game operations, team transportation and per diem expense for the 2018 fall and 2019 winter championships and noted that several charges are still outstanding.

**10. Conference Requirements for AQ Eligibility.** The committee reviewed a report from a working group appointed to explore establishing additional requirements for a conference to be eligible to earn automatic qualification to Division III championships. While the working group agreed that conference members should be required to compete against conference opponents in order to earn the AQ, members did not reach a consensus on the appropriate threshold. Accordingly, the Championships Committee agreed to ask the Division III Conference Commissioners Association to provide the current percentage of conference opponents that members play in order to determine an appropriate benchmark that could be incorporated into a legislative proposal for a future Convention (likely the 2021 Convention).

**11. Sport Committee Reports.**

a. Women's basketball.

- Automatic qualification. The committee approved the following 43 conferences for automatic qualification to the 2020 NCAA Division III Women's Basketball Championship: Alleghany Mountain Collegiate Conference; American Southwest Conference; Capital Athletic Conference; Centennial Conference; City University of New York Athletic



Conference; College Conference of Illinois and Wisconsin; Colonial State Athletic Conference; Commonwealth Coast Conference; Empire 8 Conference; Great Northeast Athletic Conference; Heartland Collegiate Athletic Conference; Iowa Intercollegiate Athletic Conference; Landmark Conference; Liberty League; Little East Conference; Middle Atlantic Conference Commonwealth; Middle Atlantic Conference Freedom; Massachusetts State Collegiate Athletic Conference; Michigan Intercollegiate Athletic Conference; Midwest Conference; Minnesota Intercollegiate Athletic Conference; New England Collegiate Conference; New England Small College Athletic Conference; New England Women's and Men's Athletic Conference; New Jersey Athletic Conference; North Atlantic Conference; North Coast Athletic Conference; North Eastern Athletic Conference; Northern Athletics Conference; Northwest Conference; Ohio Athletic Conference; Old Dominion Athletic Conference; Presidents' Athletic Conference; Skyline Conference; Southern Athletic Association; Southern California Intercollegiate Athletic Conference; Southern Collegiate Athletic Conference; St. Louis Intercollegiate Athletic Conference; State University of New York Athletic Conference; University Athletic Association; Upper Midwest Conference; USA South Athletic Conference; and Wisconsin Intercollegiate Athletic Conference.

- b. Field hockey. The committee received reports from the Field Hockey Committee's February 4-5 annual meeting and the joint Divisions I, II and III Field Hockey Committees' February 5 meeting as information only.
- c. Football. The committee received a report from the Football Committee's February 11-12 annual meeting as information only.
- d. Men's and women's golf.
  - Date formula. The Championships Committee deferred action on a request to modify the date formula so that the men's and women's golf championships begin the second and third Tuesday of May, effective with the 2023 championships (genders would rotate their start dates based on odd- or even-numbered years). While the committee was not necessarily opposed to the idea, members asked the Division III Men's and Women's Golf Committees to obtain more data from the golf membership regarding how many programs have one head coach for both genders and whether the proposal would have any unintended consequences on those programs or otherwise. The Championships Committee asked for feedback to be submitted before the sport committees engage in the next bid cycle.

- e. Men's and women's swimming and diving. The committee received a report from the Division III Men's and Women's Swimming and Diving Committee's April 16-17 annual meeting as information only.
- f. Men's volleyball.
  - Regional alignment. The Championships Committee approved moving the North Eastern Athletic Conference from the West evaluation region to the East to accommodate increases in sport sponsorship.
- g. Women's volleyball.
  - (1) Automatic qualification. The committee approved the following 43 conferences for automatic qualification to the 2019 NCAA Division III Women's Volleyball Championship: Allegheny Mountain Collegiate Conference; American Southwest Conference; Capital Athletic Conference; Centennial Conference; City University of New York Athletic Conference; College Conference of Illinois and Wisconsin; Colonial States Athletic Conference; Commonwealth Coast Conference; Empire 8; Great Northeast Athletic Conference; Heartland Collegiate Athletic Conference; Iowa Intercollegiate Athletic Conference; Landmark Conference; Liberty League; Little East Conference; Massachusetts State Collegiate Athletic Conference; Michigan Intercollegiate Athletic Association; Middle Atlantic Conference Commonwealth; Middle Athletic Conference Freedom; Midwest Conference; Minnesota Intercollegiate Athletic Conference; New England Collegiate Conference; New England Small College Athletic Conference; New England Women's and Men's Athletic Conference; New Jersey Athletic Conference; North Atlantic Conference; North Coast Athletic Conference; North Eastern Athletic Conference; Northern Athletics Collegiate Conference; Northwest Conference; Ohio Athletic Conference; Old Dominion Athletic Conference; Presidents' Athletic Conference; Skyline Conference; Southern Athletic Association; Southern California Intercollegiate Athletic Conference; Southern Collegiate Athletic Conference; St. Louis Intercollegiate Athletic Conference; State University of New York Athletic Conference; University Athletic Association; Upper Midwest Conference; USA South Athletic Conference; and Wisconsin Intercollegiate Athletic Conference.
  - (2) Committee chair. The committee approved that Moira Long, head women's volleyball coach at Springfield College, serve as chair of the Division III Women's Volleyball Committee.

h. Wrestling.

- (1) Regionals. The Championships Committee approved the following regional hosts for the 2020 Division III Wrestling Championships:

Region	Host	Venue
Central	Adrian College	Merillat Sport Center
Mideast	Ithaca College	Glazer Arena
Southeast	Messiah College	Hitchcock Arena

- (2) Regional adjustments. The committee approved the following adjustments in regional composition to accommodate changes in sponsorship:

- Move Pennsylvania College of Technology from the Southeast region to the Mideast region.
- Move the University of Pittsburg, Bradford, from the Southeast region to the Mideast region.
- Move Stevens Institute of Technology from the Mideast Region to the Southeast Region.
- Place first-year program Alvernia University in the Southeast Region.
- Place first-year program Fontbonne University in the Lower Midwest region.

12. **Regional Alignment Project.** The committee revisited an alignment model from the Division III Commissioners Association that the committee supported during its February meeting and sent to Division III sport committees for feedback. The proposal – a 10-region model (without consideration of the sponsorship numbers by sport) as well as a scaled model dependent on sport sponsorship – focused on three guiding principles: (1) the number of institutions across all regions; (2) emphasizing geographic proximity for regional placement; and (3) maintaining conference members in the same regions. The commissioners purposefully did not take competitive balance into account, noting strength changes from year to year and that regions should be primarily based on geography. However, much of the feedback from sport committees cited the proposal's affect on competitive equity as a significant concern. Accordingly, the Championships Committee agreed to reach out to sport committees again with the intent of soliciting two models – one that incorporates eight regions and one with 10 – that retain the three original guiding principles but also account for competitive equity as each sport committee sees fit. The committee also urged the two genders in various sports (e.g., men's and women's basketball, men's and women's soccer, etc.) to work together to ensure as

much as possible that their models are consistent as far as what institutions and conferences are in each region. Championships Committee members asked for these models to be submitted in time for the committee to review them during its September in-person meeting.

**13. Championships Topics for Feedback.**

- a. Selections – consideration of teams by region rank. The committee discussed issues regarding how the final published rankings from Regional Advisory Committees (RAC) are considered at the time of championship selection and agreed that those rankings should be maintained and honored when sport committees begin their selection deliberations.
- b. Nullification – application once championship has begun (i.e., when a sport reseeds teams at the final site). Due to an increase in the number of championships that reseed teams that advance to the final site, the committee agreed to change the wording in the nullification policy to state that nullification *begins* at the time of selections (rather than being applied *only* at the time of selections as is currently stated) and continues throughout the championship.

**14. 2019 Winter Championship Reports.** The committee reviewed reports from the 2019 winter championships.

**15. In-Region Competition Requirement Waiver Request.** The committee approved a request to waive the 70 percent in-region requirement for Occidental College's women's basketball program for the 2019-20 academic year. The committee noted, however, that Occidental could have resolved the issue through a relatively minor scheduling adjustment and cautioned that similar requests in the future may not be supported.

**16. Future Meetings Dates and Sites.**

- a. September 9-10, 2019 (Indianapolis).
- b. February 4-5, 2020 (Indianapolis).
- c. June 15-16, 2020 (Indianapolis), (tentative).

**17. Other Business.**

- Sport and sport rules committee appointments. The committee approved the following sport and sport rules committee appointments/reappointments:

- (1) Division III Women's Basketball Committee (reappointment) – Kristin Huffman, assistant director of athletics/head women's basketball coach, DePauw University, North Coast Athletic Conference.
- (2) Division III Baseball Committee – Mid-Atlantic region: Ira Thor, sports information director, New Jersey City University, New Jersey Athletic Conference. Midwest region: Nick Bursik, director of athletics, University of Wisconsin-Superior, Upper Midwest Conference.
- (3) Baseball Rules Committee – Doug Aiken, senior compliance administrator/associate director of athletics, Chapman University, Southern California Intercollegiate Athletic Conference.
- (4) Division III Men's Basketball Committee – South region: Sara J. Quatrocky, senior woman administrator, Rhodes College, Southern Athletic Association.
- (5) Division III Women's Basketball Committee – West region: Michelle Ferenz, director of athletics/women's basketball coach, Whitman College, Northwest Conference. East region: Jacey Brooks, head women's basketball coach, State University of New York at Cortland, State University of New York Athletic Conference.
- (6) Division III Field Hockey Committee – North Atlantic region: Megan Cross, Associate Commissioner, State University of New York Athletic Conference.
- (7) Division III Women's Golf Committee – East region: Joseph Ambrose, women's golf coach, Keystone College, Colonial State Athletic Conference.

*Committee Chair:* Bill Stiles, Alvernia University

*Staff Liaisons:* Liz Turner Suscha, Championships and Alliances

Laura Peterson-Mlynski, Championships and Alliances

Julie Sargent, Academic and Membership Affairs

<b>Division III Championships Committee June 17-18, 2019, Meeting</b>	
<b>Attendees:</b>	
Stevie Baker-Watson, DePauw University.	
Brad Bankston, Old Dominion Athletic Conference.	
Jason Fein, Bates College.	
Susan Fumagalli, Gettysburg College (via teleconference).	
Kiki Jacobs, Roger Williams University.	
Jake Santellano, University of Wisconsin-Whitewater.	
Penny Siqueiros, Wesleyan College (Georgia).	
Bill Stiles, Alvernia University.	
<b>Absentees:</b>	
None.	
<b>Guests in Attendance:</b>	
Gary Brown, NCAA Contractor.	
<b>NCAA Staff Support in Attendance:</b>	
Laura Peterson-Mlynski, Championships and Alliances.	
Liz Turner Suscha, Championships and Alliances.	
<b>Other NCAA Staff Members in Attendance:</b>	
Kevin Alcox, Brian Burnsed, Joni Comstock, Morgan DeSpain, Dan Dutcher, Jan Gentry, Laura Klee, John Kuzio, Louise McCleary, Jeff Myers, Julie Sargent, Micki Spears, Nick Strah, Caryl West, J.P. Williams.	



REPORT OF THE  
NCAA DIVISION III INTERPRETATIONS AND LEGISLATION COMMITTEE  
APRIL 18, 2019, TELECONFERENCE

**ACTION ITEMS.**

**1. Legislative items.**

- None.

**2. Nonlegislative items.**

- **Approve Official Interpretation -- Indoor/Outdoor Track and Field Playing Season Parameters.**

- (1) Recommendation. Approve the following official interpretation:

Indoor/Outdoor Track and Field Playing Season Parameters. The Division III Interpretations and Legislation Committee determined that an institution that sponsors both Indoor and Outdoor Track and Field may not divide the playing season into segments (i.e., traditional and nontraditional). The weeks of the playing season shall be conducted consecutively unless the institution does not practice or compete during any full week that includes a published vacation, holiday or exam period, which shall not be counted as part of the playing season.

[References: NCAA Division III Bylaw 17.1.2 (general regulations for computing playing seasons); Bylaw 17.23.1 (length of playing season)]

- (2) Effective date. Immediate.

Rationale. During its February 2019 meeting, the committee reviewed whether an institution that sponsors both indoor and outdoor track and field can conduct a nontraditional segment of the playing season. The committee agreed that an institution that sponsors both may not then conduct part of its 24-week season as a nontraditional segment. Rather, the weeks of the playing season would have to be conducted consecutively with only breaks for holiday, vacation and exam periods. The committee believes that issuing this interpretation will clarify the application of the current legislation for any institution that sponsors indoor and outdoor track and field.

- (3) Budget impact. None.

- (4) Student-athlete impact. None.

**INFORMATIONAL ITEMS.**

1. **Review of March and April Reports.** The committee reviewed and approved the reports from its March 21 teleconference and April 1 email exchange.
2. **Online Camps.** The committee reviewed an institution's request to determine whether an online camp would be permissible under Division III legislation. Specifically, the committee discussed whether the online camp should be defined as a traditional tryout, private lesson or a camp. The committee determined that online camps are not camps as defined in Bylaw 13.11.3.2. Additionally, the committee requested the staff develop additional information to help the committee understand how to distinguish between a private lesson (Bylaw 11.3.2) and a tryout (Bylaw 13.11.2.1) to assist the committee in determining the appropriate definition for online camps based on their structure.
3. **Providing Awards to Prospective Student-Athletes.** The committee considered a possible legislative concept that would permit an institution to provide an award of \$50 to a prospective student-athlete participating in an open event, including competition-only events, held by or on the campus of a Division III institution. The committee requested staff prepare the proposed legislation and anticipated impact of such legislation for review at a future meeting.
4. **Esports Educational Column.** Staff requested the committee review and provide the staff with feedback concerning an educational column that helps explain how Division III financial aid legislation applies to esports.
5. **Cover Letter Accompanying a Celebratory Signing Form.** Staff requested the committee review and provide the staff with feedback concerning a staff interpretation regarding cover letters that accompany a celebratory signing form.
6. **Future Meetings.** The committee reviewed dates and times for upcoming meetings and teleconferences.
7. **Other Business.** None.
8. **Adjournment.** The committee adjourned at 12:59 p.m. Eastern time.

*Committee Chair: Angie Morenz, Blackburn College*

*Staff Liaisons: Jeff Myers, Academic and Membership Affairs*

*Kaitlyn Purcell, Academic and Membership Affairs*

*Bill Regan, Academic and Membership Affairs*



NCAA Division III Interpretations and Legislation Committee April 18, 2019, Meeting	
<b>Attendees:</b>	
Amy Backus, Case Western Reserve University.	
Jim Cranmer, St. Mary's College of Maryland.	
Annabelle Feist, Williams College (student-athlete).	
Allie Littlefox, Mills College.	
Gregg Kaye, Commonwealth Coast Conference.	
Angie Morenz, Blackburn College.	
Michelle Morgan, John Carroll University.	
Mila C. Su, Plattsburgh State University of New York.	
<b>Absentees:</b>	
None.	
<b>NCAA Staff Liaisons in Attendance:</b>	
Jeff Myers, Kaitlyn Purcell and Bill Regan.	
<b>Other NCAA Staff Members in Attendance:</b>	
Shannon Blevins and Louise McCleary.	



**REPORT OF THE  
NCAA DIVISION III INTERPRETATIONS AND LEGISLATION COMMITTEE  
MAY 16, 2019, TELECONFERENCE**

**ACTION ITEMS.**

- None.

**INFORMATIONAL ITEMS.**

1. **Review of April 18 Report.** The committee reviewed and approved the report from its April 18 teleconference.
2. **Review of 2019-20 Compliance Forms.** The committee reviewed and approved the 2019-20 compliance forms.
3. **Online Camps/Private Lessons.** The committee continued its review of whether an online camp is consistent with Division III legislation. During its April meeting, the committee agreed that these were not camps because they are geared toward individual or small group instruction. After reviewing the legislative history for private lessons, the committee noted that when distinguishing a private lesson from a tryout, the intent of the activity and the approach of the coach conducting the activity are paramount. Additionally, private lessons were not intended as a means of evaluating prospective student-athletes, and they had to be made available to the general public, not just prospective student-athletes. The committee requested NCAA staff draft an educational column that would assist the membership in determining whether an activity is a permissible private lesson or an impermissible tryout for review at its September in-person meeting.
4. **Providing Awards to Prospective Student-Athletes.** The committee continued consideration of a legislative proposal that would permit an institution to provide an award to a prospective student-athlete participating in an open event, including competition-only events, held by or on the campus of a Division III institution. The committee determined that no change to the current legislation was necessary and will continue to review the issue as needed.
5. **Location Restriction for Practice Expenses.** The committee reviewed whether the current conditions allowing an institution to pay for practice expenses should be amended. Specifically, the committee considered whether a standard mileage limitation should apply in lieu of the current legislation allowing an institution to pay expenses for any in-state practice. The committee discussed the legislation and determined that the original intent to permit institutions in geographically dispersed states to service their state and promote their program within their state was still applicable. As such, the committee determined

no action was necessary at this time. Further, the committee noted this legislative concept would be more appropriate for a membership proposal.

6. **Future Meetings.** The committee reviewed dates and times for upcoming meetings and teleconferences.
7. **Other Business.** None.
8. **Adjournment.** The committee adjourned at 1:03 p.m. Eastern time.

*Committee Chair: Angie Morenz, Blackburn College*  
*Staff Liaisons: Jeff Myers, Academic and Membership Affairs*  
*Kaitlyn Purcell, Academic and Membership Affairs*  
*Bill Regan, Academic and Membership Affairs*

NCAA Division III Interpretations and Legislation Committee May 16, 2019, Meeting	
<b>Attendees:</b>	
Amy Backus, Case Western Reserve University.	
Jim Cranmer, St. Mary's College of Maryland.	
Annabelle Feist, Williams College (student-athlete).	
Gregg Kaye, Commonwealth Coast Conference.	
Allie Littlefox, Mills College.	
Angie Morenz, Blackburn College.	
Michelle Morgan, John Carroll University.	
<b>Absentees:</b>	
Mila C. Su, Plattsburgh State University of New York.	
<b>NCAA Staff Liaisons in Attendance:</b>	
Jeff Myers, Kaitlyn Purcell and Bill Regan.	
<b>Other NCAA Staff Members in Attendance:</b>	
Shannon Blevins and Louise McCleary.	



**REPORT OF THE  
NCAA DIVISION III INTERPRETATIONS AND LEGISLATION COMMITTEE  
JUNE 20, 2019, TELECONFERENCE**

**ACTION ITEMS.**

**1. Legislative items.**

- None.

**2. Nonlegislative items.**

- **Approve Official Interpretation – Application of Outside Competition Legislation to non-NCAA Sports Used for Sports Sponsorship and archive the January 6, 1992, Item No. 3, Official Interpretation.**

- (1) Recommendation. Approve the following official interpretation and archive the January 6, 1992, official interpretation:

**Application of Outside Competition Legislation to non-NCAA Sports Used for Sports Sponsorship.** The NCAA Division III Interpretations and Legislation Committee confirmed that the outside competition prohibition during the playing and practice season only applies to a non-NCAA sport when the institution uses the non-NCAA sport for sports sponsorship (e.g., if the institution uses sailing for sports sponsorship, its sailing student-athletes could not compete on an outside sailing team during the institution's playing season).

[References: NCAA Division III Bylaw 14.7.1 (outside competition); Bylaw 14.7.2.5 (competition as individual/not representing institution)]

- (2) Rationale. During its February 2019 meeting, the committee reviewed whether Bylaw 14.7.1 (outside competition) prohibits a student-athlete, during the defined playing season, from competing on any outside team or just teams in the same sport. As part of that review, the committee reviewed an Official Interpretation [Reference: 1/6/92, Item No. 3] that prohibited student-athletes who participate in sports not sponsored by the NCAA from competing on outside teams in a sport during the institution's playing season. The committee confirmed that the application for this interpretation was limited to student-athletes that participate in sports not sponsored by the NCAA but were used for sports-sponsorship purposes and directed that a new interpretation be issued to clarify the intent of the outside competition legislation. Additionally, the committee noted the need to archive the NCAA Official Interpretation (Reference: 01/06/92, Item No. 3).

**INFORMATIONAL ITEMS.**

1. **Review of May 16 Report.** The committee reviewed and approved the report from its May 16, 2019, teleconference.
2. **Providing Medical Expenses to Student-Athletes Competing as Individuals.** The committee continued consideration of a legislative concept that would permit a student-athlete competing as an individual/not representing the institution to receive medical expenses from their institution at the competition site. The committee directed staff to clarify the current parameters and issues associated with an institution providing medical expenses for a student-athlete who is competing as an individual/not representing their institution for review at its September in-person meeting.
3. **Athletics Staff Providing Letters of Recommendation for Student-Athletes.** The committee reviewed and offered feedback concerning an educational column that provides guidance to the membership when letters of recommendations may be provided to student-athletes.
4. **Future Meetings.** The committee reviewed dates and times for upcoming meetings and teleconferences.
5. **Other Business.** None.
6. **Adjournment.** The committee adjourned at 12:38 p.m. Eastern time.

*Committee Chair:* Angie Morenz, Blackburn College  
*Staff Liaisons:* Jeff Myers, Academic and Membership Affairs  
Kaitlyn Purcell, Academic and Membership Affairs  
Bill Regan, Academic and Membership Affairs

<b>NCAA Division III Interpretations and Legislation Committee June 20, 2019, Meeting</b>	
<b>Attendees:</b>	
Amy Backus, Case Western Reserve University.	
Jim Cranmer, St. Mary's College of Maryland.	
Annabelle Feist, Williams College (student-athlete).	
Gregg Kaye, Commonwealth Coast Conference.	
Allie Littlefox, Mills College.	
Angie Morenz, Blackburn College.	
Michelle Morgan, John Carroll University.	
<b>Absentees:</b>	
Mila C. Su, Plattsburgh State University of New York.	
<b>NCAA Staff Liaisons in Attendance:</b>	
Jeff Myers, Kaitlyn Purcell and Bill Regan.	
<b>Other NCAA Staff Members in Attendance:</b>	
<b>None.</b>	



**REPORT OF THE  
NCAA DIVISION III INTERPRETATIONS AND LEGISLATION COMMITTEE  
JULY 18, 2019, TELECONFERENCE**

**ACTION ITEMS.**

- None.

**INFORMATIONAL ITEMS.**

1. **Review of June 20 report.** The committee reviewed and approved the report from its June 20, 2019, teleconference.
2. **Review of NCAA Transfer Portal for Division III.** After reviewing feedback from the Division III membership at the 2019 NCAA Regional Rules Seminars, the Division III Conference Commissioners meeting as well as other meetings regarding use of the transfer portal, the committee maintained its initial recommendation that pursuing legislation mandating its use should not be introduced for the 2020 legislative cycle. Rather, the committee preferred initially allowing voluntary use of the portal so that the membership may become familiar with the process and, therefore, could provide informed feedback regarding its use for future consideration by this committee. The committee, however, expressed concern that if it is not mandatory then there may not be sufficient Division III user data, or meaningful feedback, due to lack of participation. Therefore, the committee requests staff and the governance structure develop and endorse an outreach plan to encourage and promote significant Division III participation.
3. **Review of social media and crowdfunding educational material.** The committee reviewed and offered feedback concerning the educational materials for social media and crowdfunding.
4. **Future meetings.** The committee reviewed dates and times for upcoming meetings and teleconferences.
5. **Other business.** None.
6. **Adjournment.** The committee adjourned at 12:54 p.m. Eastern time.

*Committee Chair: Angie Morenz, Blackburn College*  
*Staff Liaisons: Jeff Myers, Academic and Membership Affairs*  
*Kaitlyn Purcell, Academic and Membership Affairs*  
*Bill Regan, Academic and Membership Affairs*

<b>NCAA Division III Interpretations and Legislation Committee July 18, 2019, Meeting</b>	
<b>Attendees:</b>	
Jim Cranmer, St. Mary's College of Maryland.	
Annabelle Feist, Williams College (student-athlete).	
Gregg Kaye, Commonwealth Coast Conference.	
Allie Littlefox, Mills College.	
Angie Morenz, Blackburn College.	
Michelle Morgan, John Carroll University.	
Mila C. Su, Plattsburgh State University of New York.	
<b>Absentees:</b>	
Amy Backus, Case Western Reserve University.	
<b>NCAA Staff Liaisons in Attendance:</b>	
Jeff Myers, Kaitlyn Purcell and Bill Regan.	
<b>Other NCAA Staff Members in Attendance:</b>	
Anyssa Barbosa and Louise McCleary.	





REPORT OF THE  
NCAA DIVISION III MEMBERSHIP COMMITTEE  
MAY 16, 2019, TELECONFERENCE

**ACTION ITEMS.**

- None.

**LEGISLATIVE ITEMS.**

- None.

**INFORMATIONAL ITEMS.**

1. **Welcome and Announcements.** The chair commenced business at 1:05 p.m. Eastern time Thursday, May 16, 2019. It was announced that this would be the final committee meeting for Jonathan Harper, Newbury College.
2. **Roster and Conflict of Interest.** Committee members were reminded of the NCAA Conflict of Interest policy and proper procedures for recusal if a conflict of interest might arise. Committee members followed the recusal procedures during all deliberations.
3. **Review March 21, 2019, Teleconference Report.** The committee reviewed and approved the report.
4. **Update on Membership Committee Related Actions Taken by Division III Management Council During its April 15-16, 2019, In-Person Meeting.** Staff informed the committee of the actions taken by the Management Council during its April 15-16 in-person meeting.
5. **Update on NCAA Board of Governors Actions Regarding Affiliate Members.** Jay Jones informed the committee of a forthcoming request from the Board of Governors related to the potential elimination of the Affiliate Member category. The committee recognized that it would act on this request during its June in-person meeting.
6. **Review Initial Draft of ISSG Best Practices Guide.** The committee reviewed a draft of a best practices document to be provided each year to the institutions that must complete the ISSG report. The committee noted some minor changes to the document and asked to review it in its completed form during its June 19-20, in-person meeting.
7. **Review Draft of Agenda for June 19-20, 2019, In-Person Meeting.** The committee reviewed a draft of the agenda for its upcoming in-person meeting noting areas where each member needed to prepare in advance of the meeting.

8. **Invitation for Mentors to Participate in Annual Videoconferences.** Tiffany Alford reminded the committee that the mentors for each institution are invited and welcome to participate in the annual training teleconferences and that invitations had previously been sent.
9. **Other Business.** None.
10. **Adjournment.** The committee concluded its business and adjourned at 1:45 p.m. Eastern time.

*Committee Chair: Laura Mooney, Massachusetts College of Liberal Arts, Massachusetts State Collegiate Athletic Conference*  
*Staff Liaisons: Jay Jones, Division III Governance*  
*Tiffany Alford, Academic and Membership Affairs*  
*Corey Berg, Academic and Membership Affairs*  
*Eric Hartung, Research*

May 16, 2019, Teleconference
<b>Attendees:</b>
William Fell, United States Merchant Marine Academy.
Jonathan Harper, Newbury College.
Jessica Huntley, Atlantic East Conference.
Charles Jacobs, St. Norbert College
Kristyn King, Rockford University.
Laura Mooney, Massachusetts College of Liberal Arts.
Steven Rackley, Alma College.
Susan Stuebner, Colby-Sawyer College.
Megan Valentine, Hilbert College.
<b>Absentees:</b>
Charles Harris, Averett University.
<b>Guests in Attendance:</b>
None.
<b>NCAA Staff Support in Attendance:</b>
Tiffany Alford, Corey Berg, Eric Hartung and Jay Jones.
<b>Other NCAA Staff Members in Attendance:</b>
None.



REPORT OF THE  
NCAA DIVISION III MEMBERSHIP COMMITTEE  
JUNE 19, 2019, IN-PERSON MEETING

**ACTION ITEMS.**

- None.

**INFORMATIONAL ITEMS.**

1. **Welcome and Announcements.** The committee commenced business at 8:05 a.m. Eastern time Wednesday, June 19, 2019. The chair announced the resignations of Steven Rackley and Sue Steubner from the committee. The chair noted replacement members should be selected by the end of August.
2. **Membership Committee Roster and Conflict of Interest.** Committee members were reminded of the NCAA Conflict of Interest Policy and proper procedures for recusal if a conflict of interest might arise. Committee members reviewed the roster and conflict of interest documentation and updated as necessary. Committee members followed the recusal procedures during all deliberations.
3. **Review Policies and Procedures.** The committee reviewed the committee policies and procedures documents.
4. **Review May 16, 2019, Teleconference Report.** The committee reviewed and approved its May 16, 2019, teleconference report.
5. **Division III Governance Update.** NCAA staff reviewed the following key items with the committee:
  - a. Board of Governors: Independent Members;
  - b. Esports;
  - c. Sports Wagering;
  - d. Name, image and likeness working group;
  - e. Coaches credentialing;
  - f. Sports Science Institute;
  - g. Injury Surveillance Program;
  - h. Presidents/Chancellors responsibility for certifying rules compliance;
  - i. Division III University;

- j. NCAA Transfer Portal;
- k. The Gameday the DIII Way sportsmanship initiative; and
- l. LGBTQ “OneTeam” facilitator training.

**6. Division III Provisional/Reclassifying Membership.**

- a. Current Roster. The committee reviewed the current roster of provisional and reclassifying institutions and their mentors. While the committee was aware of Lindenwood University-Belleville’s intention to withdraw from the provisional membership process, they agreed to have the school remain on the roster as an exploratory member until September 1, 2019, in case there is a change in its decision. New mentors were also assigned to Bob Jones University and Pratt Institute.
- b. Process Advancement. 2018-19 Provisional and Reclassifying Institutions. The committee reviewed annual reports from the following provisional and reclassifying institutions and took the following actions:
  - (1) Year One to Year Two – Approval. The committee accepted the annual report from the following institutions with feedback in areas where the institutions could enhance their current practices and approved their advancement in the membership process from year one to year two.
    - (a) Delhi State University of New York; and
    - (b) Johnson and Wales University (Denver).
  - (2) Year Two to Three – Approval. The committee accepted the annual report from the following institutions with feedback in areas where the institutions could enhance their current practices and approved their advancement in the membership process from year two to year three.
    - (a) Brevard College;
    - (b) Dean College; and
    - (c) Pfeiffer University.
  - (3) Election to Active Membership. The committee accepted the annual report of Belhaven University and approved its election to active membership status per NCAA Constitution 3.2.3.3 (election).

- (4) Approval of Membership Process Acceleration. The committee approved the following requests to waive the four-year participation requirement in NCAA Bylaw 20.3.3 and bypass year three of the provisional membership process:
  - (a) Brevard College;
  - (b) Dean College; and
  - (c) Pfeiffer University.
- c. Discuss New Member Campus Visits. Staff reminded the committee of which members are scheduled to attend campus visits during fall 2019 and verified the travel dates.

## **7. Division III Active Membership.**

- a. Probation Institutions - Athletics Program Assessment Reviews. The committee reviewed and took action on the athletics program assessments from the following institutions, which they were required to complete during year one of their probationary period:
  - (1) Amherst College - accepted;
  - (2) Centenary University (New Jersey) – The committee determined the program assessment was inadequate and required the institution to submit a revised program assessment during year two of its probationary period.
  - (3) La Roche University – The committee determined the program assessment was inadequate and required the institution to submit a revised program assessment during year two of its probationary period; and
  - (4) Mount Aloysius College – The committee determined the program assessment was inadequate and required the institution to submit a revised program assessment during year two of its probationary period.

The committee also reviewed and took action on the athletics program assessment from the City College of New York, which was required to submit a program assessment in year two of its probationary period as a result of the committee's review following year one. The committee determined the program assessment was inadequate and required the institution to submit a revised program assessment during year three of its probationary period.

- b. Discussion on the handling of late requests for deadline extensions. The committee discussed staff permissions surrounding deadline extensions for the completion of athletics program assessments and institutional self-study guides. The committee determined that the current permissions are appropriate, and no additional permissions should be added. Currently staff may automatically grant extensions in cases where there is an athletics department leadership change within the previous academic year.

- c. Co-ed Transition Update –Bylaw 20.11.3.10.2 (single-gender institution transitioning to co-educational institution). The committee reviewed updates from two institutions that summarized their respective progress on transitioning from a single-gender institution to a co-educational institution:
  - (1) Wilson College. The waiver the institution previously received for the 2016-17, 2017-18 and 2018-19 academic years required the institution to submit an annual update through the conclusion of the 2018-19 academic year. The committee noted the institution provided an exemplary and thorough update to its transition plan and showed successful completion of its goal.
  - (2) College of Saint Elizabeth. The waiver the institution previously received for the 2016-17, 2017-18 and 2018-19 academic years required the institution to submit an annual update through the conclusion of the 2018-19 academic year. The committee noted the institution provided a thorough update to its transition plan and showed successful completion of its goal. The committee noted the institution continues to have success in meeting its men's sports-sponsorship minimum requirements.
- d. Sports-Sponsorship Waiver Requests. The committee reviewed two Bylaw 20.11.3 waiver requests from Maranatha Baptist University and made the following decisions:
  - (1) Softball. The committee denied a waiver of Bylaw 20.11.3 for the 2018-19 academic year for failure to satisfy sports-sponsorship requirements in softball. In its denial, the committee noted the significant overlap of student-athletes on multiple sports rosters and that the institution is accountable to maintain a viable roster from the outset and throughout a team's playing season.
  - (2) Baseball. The committee denied a waiver of Bylaw 20.11.3 for the 2018-19 academic year for failure to satisfy sports-sponsorship requirements in baseball. In its denial, the committee noted that the institution previously received a one-time waiver for the 2017-18 academic year. The committee acknowledged the challenges that come with being an independent member and recommended the that institution implement a plan to account for potential cancellations due to weather. As a result, the institution will be placed on restricted membership status for the 2019-20 academic year, effective September 1, 2019.
- e. Probation Institution Summary. Staff noted that seven institutions will complete the probationary period and return to active status in good standing September 1, 2019. Staff informed the committee that historical records of institutional probationary and restricted status are kept on file should future issues arise.

- f. Overview of the 2018-19 Sports-Sponsorship Audit. Staff informed the committee that eight institutions will be randomly selected to be audited to ensure satisfaction of sports-sponsorship requirements for the 2018-19 academic year. The random selection occurs annually following the NCAA Sports Sponsorship and Demographic Form submission deadline of August 15, 2019. The staff also noted that additional institutions likely will be audited for cause after the membership sports-sponsorship data review is completed by research staff in mid-August 2019.

## 8. Educational Initiatives.

- a. 2019 NCAA Regional Rules Seminar Attendance – Constitution 3.2.4.15. Staff informed the committee that all institutions that were required to attend 2019 Regional Rules Seminars were in attendance.

The committee recognized the following institutions that have had perfect attendance since 2007:

- (1) Morrisville State College; and
- (2) University of Chicago.

- b. Division III Rules Test. Staff provided the committee a proposed version of the 2019-20 Division III Rules Test. The committee recommended that staff ensure the questions provided in the supplemental PowerPoint presentation are updated to match those provided in the Division III Rules Test.

- c. 2018-19 NCAA Division III Institutional Self-Study Guide (ISSG) Update. Staff informed the committee that 64 Division III institutions were required to submit the 2018-19 ISSG per the once-in-five-years requirement in Constitution 6.3.1 (self-study report).

- (1) Chatham University. The committee noted that Chatham University failed to complete the ISSG by the June 1, 2019, deadline. The result of an institution's noncompliance with the self-study requirement is that the institution's entire athletics program shall be placed in a three-year probationary period beginning in the next academic year after noncompliance is discovered. In accordance with the legislation, the institution shall be placed on probation beginning September 1, 2019, with the probation period to conclude September 1, 2022.
- (2) University of Rochester. The committee noted that the University of Rochester failed to complete the ISSG by the June 1, 2019, deadline. The result of an institution's noncompliance with the self-study requirement is that the institution's entire athletics program shall be placed in a three-year probationary period beginning in the next academic year after noncompliance is discovered. In accordance with the legislation, the institution shall be placed on probation beginning September 1, 2019, with the probation period to conclude September 1, 2022.

- (3) Purchase College, State University of New York. The committee noted that Purchase College failed to complete the ISSG by the June 1, 2019, deadline. The result of an institution's noncompliance with the self-study requirement is that the institution's entire athletics program shall be placed in a three-year probationary period beginning in the next academic year after noncompliance is discovered. In accordance with the legislation, the institution shall be placed on probation beginning September 1, 2019, with the probation period to conclude September 1, 2022.

The staff further noted that a total of two extensions to complete the ISSG were granted by the staff, due to extenuating circumstances surrounding administrative transition that would prevent the successful completion of the ISSG prior to the deadline.

- d. ISSG Best Practices Guidance. The committee reviewed the final version of a best practices document to be provided each year to the institutions that must complete the ISSG report. The committee requested that staff include a statement informing institutions that they will receive additional communications from NCAA staff regarding the submission of the ISSG, along with a notice of possible probationary status if institutions fail to complete and submit the ISSG in a timely manner. The committee also requested staff include a recommended "best practice" for completing the ISSG, including allotting timeframe of three to six months for completing the ISSG and consulting other departments on campus.
- e. 2020 Conference Rules Seminar timeline. Staff informed the committee that there will be a 2020 Conference Rules Seminar in the Boston metropolitan area. The dates for the seminar are still to be determined; however, staff anticipates a date in mid-June.
9. **Request from the NCAA Board of Governors Related to Affiliated Membership.** The committee reviewed the NCAA Board of Governors recommendation for the elimination of the affiliate membership category and offered its support for the planned noncontroversial legislation to eliminate the category in Division III.
10. **In-Person Meeting and Teleconference Schedule.** The committee reviewed a schedule for future meetings and teleconferences and voted to move its February 2021 meeting from February 24-25, 2021, to February 25-26, 2021, based on a conflict.
11. **Other Business.** Jessica Huntley provided an update from the conference scheduling working group. The working group will make a scheduling recommendation to the championships committee at a later date.
12. **Adjournment.** The committee concluded its business and adjourned at 3:20 p.m. Eastern time June 19, 2019.



*Committee Chair: Laura Mooney, Massachusetts College of Liberal Arts, Massachusetts State Collegiate Athletic Conference*

*Staff Liaisons: Eric Hartung, Research  
Tiffany Alford, Academic and Membership Affairs  
Corey Berg, Academic and Membership Affairs*

June 19, 2019 Meeting	
<b>Attendees:</b>	
William Fell, United States Merchant Marine Academy.	
Charles Harris, Averett University.	
Jessica Huntley, Atlantic East Conference.	
Charles Jacobs, St. Norbert College.	
Kristyn King, Rockford University.	
Laura Mooney, Massachusetts College of Liberal Arts.	
Megan Valentine, Hilbert College.	
<b>Absentees:</b>	
None.	
<b>Guests in Attendance:</b>	
None.	
<b>NCAA Staff Support in Attendance:</b>	
Tiffany Alford, Corey Berg, Debbie Brown and Eric Hartung.	
<b>Other NCAA Staff Members in Attendance:</b>	
Dan Dutcher, Louise McCleary, Jeff Myers and Joan Nissen.	

**SUPPLEMENT NO. 08c**

**MEMBERSHIP**

**COMMITTEE**

**JULY REPORT**

**WILL BE DISTRIBUTED**

**AT THE MEETING**



**Division III Membership Committee**  
**Review of Maranatha Baptist University Sports Sponsorship Waivers**

Thumbnail and background of case:

NCAA Division III Bylaw 20.11.3.8 requires Maranatha Baptist University to meet the minimum contests and participants requirements for sports. For the 2018-19 season, Maranatha Baptist proposed sponsorship in five men's sports (i.e., baseball, basketball, cross country, soccer, and volleyball) and five women's sports (i.e., basketball, cross country, soccer, softball, and volleyball). Maranatha Baptist fell short in one men's sport, baseball, and one women's sport, softball. Maranatha Baptist's baseball team failed to meet the minimum contest requirement (minimum: 25; scheduled: 26; contested: 24) and Maranatha Baptist's softball team canceled its season due to a lack of participants.

During its June 19, 2019, meeting, the NCAA Division III Membership Committee denied both waiver requests from Maranatha Baptist. In its denial of the baseball waiver, the committee noted that the institution previously received a one-time waiver for the 2017-18 academic year. The committee acknowledged the challenges that come with being an independent member and recommended the institution implement a plan to account for potential cancellations due to weather. In its denial of the softball waiver, the committee noted the significant overlap of student-athletes on multiple sports rosters and that the institution is accountable to maintain a viable roster from the outset and throughout a team's playing season. Because of these concerns, the committee felt it could no longer provide relief from the minimum membership requirements. The institution is requesting the NCAA Division III Management Council review and overturn the decision reached by the Membership Committee on the original request.

Applicable NCAA Rules and Legislation:

In each sport, the institution's team shall engage in at least a minimum number of intercollegiate contests (against the varsity programs of four-year, degree-granting collegiate institutions) each year. In the individual sports, the institution's team shall include a minimum number of participants in each contest that is counted toward meeting the minimum-contests requirement. (Bylaw 20.11.3.8 – minimum contests and participants requirements for sports sponsorship.)

The Membership Committee may approve waivers of the minimum number of intercollegiate contests or the minimum number of participants in a contest in situations beyond the control of the institution (e.g., weather conditions or disaster preventing completion of a scheduled competition, or verified injury or illness at the site of competition preventing the participation of the required minimum number of individuals.) Bylaw 20.11.3.8.10.1 – (minimum contests and participants) states the Membership Committee may approve a waiver of the minimum number of intercollegiate contests in a situation in which:

- (a) The member institution can document that it had scheduled (for that academic year) the appropriate minimum number of contests under enforceable game contracts executed in writing;

- (b) An opponent canceled a game that it had contracted to play that academic year; and
- (c) Despite a good-faith effort, the institution was unable to rearrange its schedule to play the appropriate minimum number of contests. (Bylaw 20.11.3.8.10.2 – minimum contests)

Facts of the Case:

Baseball – Maranatha Baptist cites inclement weather in the Midwest that rendered facilities unplayable as the reason for the waiver request. The Maranatha Baptist staff attempted to reschedule several games but were unsuccessful due to many opponents' conference schedules taking precedence.

- The baseball team was one competition short from meeting sports sponsorship minimums.
- Maranatha Baptist uses a city-owned facility for competition and are subject to availability for scheduling.
- As an independent (no conference) member institution, Maranatha Baptist is limited on opponents who will schedule competitions against them.
- A late April snowstorm followed by finals and commencement prevented additional games from being rescheduled and completed.

Softball – Maranatha Baptist had concerns over the number of players eligible, the experience level of the roster, and the viability of fielding a team. Ultimately, Maranatha Baptist's athletic director/head softball coach met with the team in February 2019 and informed them of the decision to cancel the season.

- Twelve players in total committed to playing the 2019 season, of which, only four had collegiate experience.
- Of the remaining eight players, only two had high school playing experience; four players had their women's basketball season extended due to championships, which did not permit enough time to practice; and one had knee surgery over Christmas break.
- Some student-athletes experienced financial and/or academic challenges/obligations that prevented them from participating.

Maranatha Baptist is currently on probation until August 31, 2020 for failure to meet sports sponsorship. Additionally, Maranatha Baptist was granted a sports sponsorship waiver for the 2017-18 academic year in the sport of baseball.

Two files are attached:

Addendum A = Appeal letter, along with the original case file and baseball waiver request as reviewed by the Division III Membership Committee.

Addendum B = Appeal letter, along with the original case file and softball waiver request as reviewed by the Division III Membership Committee.

General Authority and Standard of Review (from Management Council Policies and Procedures):

The Management Council shall review membership appeals and decisions made by a Division III committee or the NCAA staff regarding the application of NCAA legislation to a particular situation when no other committee, subcommittee, or conference has the authority to act [Const. 4.8.3(h)]. All Management Council decisions shall be reported to the Presidents Council which may ratify, amend or rescind the actions of Management Council [Const. 4.4.2(g)-(h)]. The Presidents Council shall hear appeals of original Management Council actions (as opposed to Management Council appellate decisions). The Presidents Council is not required to hear or act on any request for additional consideration in which an appellate opportunity has already been provided by the Management Council. The Presidents Council may, however, review any issue at its discretion.

Standard of Review:

A Council shall not alter the decision of the committee, subcommittee or council that initially decided the matter unless it concludes that the committee, subcommittee or council erred in its decision in a manner that, in the judgment of the Council affected the decision. The finding of such an error shall be based upon a determination of one of the following:

- The committee, subcommittee or council improperly applied NCAA legislation or official interpretations;
- The committee, subcommittee or council took an action inconsistent with established precedent; or
- The committee, subcommittee or council deviated from its approved procedures or that the decision is clearly erroneous.



## Case Summary

### General Case Information

Case Number	Case Type	Sub Case Type	Release to Database
1057483	Membership Requirements Waiver	Division III Minimum Contests (Team Sports) Sports Sponsorship	No
Student-Athlete Name	Institution	Division	Sport(s)
	Maranatha Baptist University	III	Baseball

#### Legislative Cite(s)

20.11.3.8.10.1 - Minimum Contests and Participants.

### Decision Information

Decision	Decision Date	Decision Level
Denied	06/25/2019	Committee

#### Conditions

#### Rationale

Previously received waiver(s) of the same membership requirement: Specifically, the committee noted that the institution previously received a one-time waiver for the 2017-18 academic year. The committee acknowledged the challenges that come with being an independent member and recommended the that institution implement a plan to account for potential cancellations due to weather.

### Case Summary

June 20, 2019

President Marty Marriott  
Maranatha Baptist University  
400 Heath Street  
Chestnut Hill, Massachusetts 02467

Dear President Marriott:

During its June 19, 2019, meeting, the NCAA Division III Membership Committee denied the request from Maranatha Baptist University to waive the minimum sports-sponsorship requirements per NCAA Bylaw 20.11.3.8 (minimum contests and participants) for the 2018-19 academic year in the sports of baseball and softball. In its denial of the baseball waiver, the committee noted that the institution previously received a one-time waiver for the 2017-18 academic year. The committee acknowledged the challenges that come with being an independent member and recommended the that institution implement a plan to account for potential cancellations due to weather. In its denial of the softball waiver, the committee noted the significant overlap of student-athletes on multiple sports rosters and that the institution is accountable to maintain a viable roster from the outset and throughout a team's playing season. Because of these concerns, the committee felt it could no longer provide relief from the minimum membership requirements

As a result of not properly sponsoring five women's sports and five men's sports during the 2018-19 academic year, Maranatha Baptist has now failed to meet the Division III sports-sponsorship requirements for the second time in its five-year probationary time period. The institution's failure to satisfy minimum sports-sponsorship requirements while on probation is considered a "Second Failure" per Bylaw 20.11.3.9.1.2. Consequently, Maranatha will go on restricted membership status (per NCAA Constitution 3.02.3.1.2.2) for the 2019-20 academic year, effective September 1, 2019. While on restricted status, Maranatha Baptist will lose access to NCAA Convention voting privileges, NCAA championships and direct NCAA grant funding.

## Requirements During Year of Restricted Status

During your year on restricted status, the committee requires a thrice-annual report of your institution's men's and women's sports-sponsorship status. Please use the attached sports-sponsorship audit forms (marked Form A and Form B ) at the conclusion of each sport season and return these forms and a copy of the official statistical results for each seasonal team, directly to me via email at [talford@ncaa.org](mailto:talford@ncaa.org), by the following deadlines:

1. Within 10 days of concluding all competition for your institution's fall sports teams;
2. Within 10 days of concluding all competition for your institution's winter sports teams; and
3. By May 15, 2020.

Following the completion of the year on restricted status, one of two actions will occur:

1. If Maranatha Baptist successfully meets sports-sponsorship requirements and all other Division III membership requirements for 2019-20, the institution would be removed from restricted status, and you would be returned to active membership status on September 1, 2020, when the five-year probationary period would expire (if all sports-sponsorship requirements are met).
2. If, however, Maranatha Baptist does not successfully meet sports-sponsorship requirements or other Division III membership requirements during the 2019-20 academic year, your institution would immediately forfeit its NCAA membership for a "third failure" to meet minimum sports-sponsorship requirements during the five-year penalty period (Bylaw 20.11.3.9.1.3 – third failure).

At any time, if you (or anyone from your staff) have questions for me or would like to discuss these issues further, please feel free to call me at 317-917-6239 or reach me via email at [OTUtalford@ncaa.org](mailto:OTUtalford@ncaa.org).

Sincerely,

Tiffany Alford

Assistant Director of Academic and Membership Affairs

Team Lead – Division III Provisional/Reclassifying Membership Process

Eric Hartung

Associate Director of Research

NCAA Division III Membership Committee, primary liaison

Specific Case Information



# APPEAL DOCUMENTS

**From:** [Alford, Tiffany](#)  
**To:** [Berg, Corey](#); [Hartung, Eric](#)  
**Subject:** FW: NCAA Division III Membership Status - Maranatha Baptist University  
**Date:** Friday, July 5, 2019 9:50:53 AM  
**Attachments:** [image001.png](#)  
[image006.png](#)

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FYI.

Should I notify Laura today, or should this information just be shared on our call next week?

Also, Eric, did you provide them a timeline for their appeal to be heard?



**Tiffany Alford**

Assistant Director of Academic and Membership Affairs

**w:** 317-917-6239 | [ncaa.org](http://ncaa.org)

P.O. Box 6222, Indianapolis, IN 46206-6222

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**From:** Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)>  
**Sent:** Monday, July 1, 2019 1:17 PM  
**To:** Alford, Tiffany <[talford@ncaa.org](mailto:talford@ncaa.org)>  
**Subject:** RE: NCAA Division III Membership Status - Maranatha Baptist University

Tiffany,

Thank you for the information. As you can imagine, we are disappointed with these denials as I expressed this with Eric Hartung last week when he notified me via phone. Just as you (NCAA) believe it is just a matter of scheduling to compensate for the weather in the spring, and that we can recruit more one-sport athletes on the women's side, we believe the NCAA doesn't fully understand the obstacles of an independent schedule and spring weather issues that we deal with in Wisconsin, nor the uniqueness of our campus and mission, and then subsequently the inter-sport overlap reliance of student-athletes, particularly on the women's side.

We do intend to appeal the decisions, and will be working on the RSRO appeal after the holiday. We attempted to be honest and transparent in our request for waivers.

**Respectfully,**

**ROB THOMPSON**

Maranatha Baptist University | Maranatha Baptist Academy

Athletic Director | Softball Head Coach

NCCAA North Region Coordinator | NCCAA 1<sup>st</sup> Vice-President

Indian Trails Conference President

o. 920.206.2377      745 West Main Street

c. 920.342.2035      Watertown, WI 53094

[mbu.edu](http://mbu.edu)      [rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)

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**From:** Brown, Debra F. <[dbrown@ncaa.org](mailto:dbrown@ncaa.org)> **On Behalf Of** Alford, Tiffany  
**Sent:** Monday, July 1, 2019 10:06 AM  
**To:** Marty Marriott <[marty.marriott@mbu.edu](mailto:marty.marriott@mbu.edu)>  
**Cc:** Ann Bolton <[Ann.Bolton@mbu.edu](mailto:Ann.Bolton@mbu.edu)>; Bryan Brock <[Bryan.Brock@mbu.edu](mailto:Bryan.Brock@mbu.edu)>; Steven Carlson <[steven.carlson@mbu.edu](mailto:steven.carlson@mbu.edu)>; Matthew Davis <[matthew.davis@mbu.edu](mailto:matthew.davis@mbu.edu)>; Taylor Pill <[Taylor.Pill@mbu.edu](mailto:Taylor.Pill@mbu.edu)>; Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)>; Hartung, Eric <[ehartung@ncaa.org](mailto:ehartung@ncaa.org)>; Alford, Tiffany <[talford@ncaa.org](mailto:talford@ncaa.org)>; Berg, Corey <[cberg@ncaa.org](mailto:cberg@ncaa.org)>; Suscha, Liz <[lsuscha@ncaa.org](mailto:lsuscha@ncaa.org)>; Irick, Erin <[eirick@ncaa.org](mailto:eirick@ncaa.org)>; Dickey, Lynn <[ldickey@ncaa.org](mailto:ldickey@ncaa.org)>; Dutcher, Dan <[ddutcher@ncaa.org](mailto:ddutcher@ncaa.org)>; McCleary, Louise <[lmccleary@ncaa.org](mailto:lmccleary@ncaa.org)>

July 1, 2019

President Marty Marriott  
Maranatha Baptist University  
745 West Main Street  
Watertown, Wisconsin 53094

Dear President Marriott:

During its June 19, 2019, meeting, the NCAA Division III Membership Committee denied the request from Maranatha Baptist University to waive the minimum sports-sponsorship requirements per NCAA Bylaw 20.11.3.8 (minimum contests and participants) for the 2018-19 academic year in the sports of baseball and softball. In its denial of the baseball waiver, the committee noted that the institution previously received a one-time waiver for the 2017-18 academic year. The committee acknowledged the challenges that come with being an independent member and recommended that that institution implement a plan to account for potential cancellations due to weather. In its denial of the softball waiver, the committee noted the significant overlap of student-athletes on multiple sports rosters and that the institution is accountable to maintain a viable roster from the outset and throughout a team's playing season. Because of these concerns, the committee felt it could no longer provide relief from the minimum membership requirements.

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1. Within 10 days of concluding all competition for your institution's fall sports teams;
2. Within 10 days of concluding all competition for your institution's winter sports teams; and
3. By May 15, 2020.

Following the completion of the year on restricted status, one of two actions will occur:

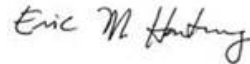
1. If Maranatha Baptist successfully meets sports-sponsorship requirements and all other Division III membership requirements for 2019-20, the institution would be removed from restricted status, and you would be returned to active membership status on September 1, 2020, when the five-year probationary period would expire (if all sports-sponsorship requirements are met).
2. If, however, Maranatha Baptist does not successfully meet sports-sponsorship requirements or other Division III membership requirements during the 2019-20 academic year, your institution would immediately forfeit its NCAA membership for a "third failure" to meet minimum sports-sponsorship requirements during the five-year penalty period (Bylaw 20.11.3.9.1.3 – third failure).

At any time, if you (or anyone from your staff) have questions for me or would like to discuss these issues further, please feel free to call me at 317-917-6239 or reach me via email at [talford@ncaa.org](mailto:talford@ncaa.org).

Sincerely,



Tiffany Alford  
Assistant Director of Academic and Membership Affairs  
Team Lead, Division III Provisional/Reclassifying Membership Process



Eric M. Hartung  
Associate Director of Research for Division III  
NCAA Division III Membership Committee, primary liaison

TA/EMH:dfb

Attachments

cc: Ms. Ann Bolton  
Mr. Bryan Brock  
Mr. Steven D. Carlson  
Dr. Matt Davis  
Mr. Taylor Pill  
Mr. Rob Thompson  
Selected NCAA Staff Members

National Collegiate Athletic Association

*Creating a pathway to opportunity for college athletes*  
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ORIGINAL  
WAIVER  
DOCUMENTS



Institution: Maranatha Baptist University  
Case Type: Membership Requirements Waiver

Division: III

Case ID: 1057483  
Date: 07/10/2019

Page 1 - General Information

## General Information

### Institution Information

Institution Information Maranatha Baptist University  
Division of Institution III  
Conference Independent  
Phone Number 920/261-9300  
Academic year related to this request 2018-19  
Date of NCAA membership 08/31/1994  
Institution location 745 W. Main St. Watertown, WI 53094-0000  
Conference or independent? Independent  
What is your institution's current membership classification? Division III, Independent  
Total full-time undergraduate students 591  
Number of men 264  
Percentage of men 44.67  
Number of women 327  
Percentage of women 55.33  
Confirm the division of your request Division III

Page 2 - Case Information

## Case Information

### Please check all the Membership Requirements Waiver sub-case types and conditions that apply

Division III ☒

#### Division III Sub-Case Types

Athletics Consortium Election ☐ Institutional Self-Study Guide ☐  
NCAA Convention and Regional Rules Seminar Attendance ☐ Revoking Multidivisional Classification ☐  
Sports Sponsorship ☒

#### Sports Sponsorship Sub-Case Types

Acceptable Sports for Sports Sponsorship Purposes ☐ Male-Female Enrollment Ratio ☐  
Minimum Contests (Team Sports) ☒ Minimum Contests and Participants (Individual Sports) ☐  
Single-Gender Institution Transitioning to Coeducational ☐

Three-Season Requirement ☐ Other ☐

## Case Information

### All Requests

Has the institution previously requested or been granted a waiver of this requirement? Yes

When was such a waiver requested or granted? 05/25/2018

Provide the outcome of the previously requested or granted waiver of this requirement  
Granted, due to weather related circumstances

Describe the facts associated with this request  
The weather in northern Midwest was not conducive to baseball again this year. Numerous games were scheduled, cancelled, reschedule, and re-rescheduled, then cancelled due to the unplayable weather and field conditions. We also had to cancel games due to opponents conference obligations when no remaining dates were available for non-conference.

What are any relevant mitigating circumstances that should be considered when reviewing the request?  
As an independent, we are very limited on opponents, working around their conference schedule. We also use a city owned property, and have limited available dates to utilize the field. Finally, the extraordinary snow, rain and frigid temperatures greatly hindered our ability to meet the minimum. We were short just one game, and had the games on our schedule to meet the minimum, and with a late April snow storm, our opponents game on the weekend prior were cancelled, and had to makeup their conference DH. Finals and commencement were upon us, and thus fell short of the minimum requirements.

Provide cite(s) of applicable legislation or interpretation

Type	Division	ID	Text	Other Cases	Delete
Bylaw	III	20.11.3.8.10.1	<a href="#">Minimum Contests and Participants.</a>	8	

[Add Legislation or Interpretation](#)  
[1016515](#)

Provide case numbers of any precedent relevant to this request

Have you previously contacted any NCAA staff regarding this request? No

### Requests Involving Sports Sponsorship - Minimum Contests (Team Sports)

Did an opponent cancel a contest that resulted in the institution's failure to meet this requirement? Yes

Provide the institution's team sport sponsorship information

Part of Waiver	Sport	Minimum Contests	Actual Contests	Minimum Participants	Actual Participants
<input checked="" type="checkbox"/>	Baseball	27	<input type="text"/>		
<input type="checkbox"/>	Men's Basketball	25			
<input type="checkbox"/>	Men's Soccer	11			
<input type="checkbox"/>	Men's Volleyball	9			
<input type="checkbox"/>	Women's Basketball	22			
<input type="checkbox"/>	Softball	27			
<input type="checkbox"/>	Women's Soccer	10			
<input type="checkbox"/>	Women's Volleyball	19			

## Case Documentation

(Maximum individual file size is 10MB.) Upload each document individually.

## Required Documentation

### Requests Involving Sports Sponsorship - Minimum Contests (Team Sports)

Provide documentation of a good-faith effort to reschedule the cancelled contest

[Baseball\\_2019\\_Email\\_Cancelations\\_-\\_Notifications.pdf](#)

Uploaded on 05/09/2019 by Rob Thompson

Provide documentation of an agreement to hold the cancelled contest

[Baseball\\_2019\\_Contracts.pdf](#)

Uploaded on 05/09/2019 by Rob Thompson

Provide documentation of unforeseen circumstances beyond the institution's control

[Baseball\\_2019\\_Email\\_Cancelations\\_-\\_Notifications.pdf](#)

Uploaded on 05/09/2019 by Rob Thompson

## Recommended Documentation

### All Requests

Provide any documentation not previously specified that substantiates any assertions made in this request

Provide documentation of all dates, times, distances and locations relevant to this request

## Signatures

### Select Required Signatories

#### STOP! IF USING E-SIGN OPTION:

The signatory email address (in the NCAA Directory) MUST match the email address in NCAA Business Applications (e.g., Single-Source Sign On).

The signatory MUST have been granted access to Requests/Self-Reports Online by the on-campus Single-Source Sign On administrator in the NCAA Business Application Administrative tool.

If the signatories do not meet these qualifications, select UPLOAD (or fax, if necessary). Do NOT select e-sign unless the above criteria is met.

#### Institutional Authority Outside of Athletics Department

Signatory *Approved by Steven Carlson on 05/09/2019*

#### Institutional Authority Inside of Athletics Department

Signatory *Approved by Rob Thompson on 05/09/2019*

### Download Unsigned Signature Documents

- [Institutional Authority Outside of Athletics Department](#)
- [Institutional Authority Inside of Athletics Department](#)
- [Conference Personnel](#)
- [Committee Chair](#)

## Case Contacts and Submission



### Select Contacts for this Case

**Primary Contact** Rob Thompson (rob.thompson@mbu.edu) Phone: 920-206-2377 Cell: 920-342-2035

**Secondary Contact** Ann Bolton (ann.bolton@mbu.edu) Phone: 920-206-2379 Cell: 920-342-2284

## Additional Case Contacts

Additional contacts with a valid email address from the conference or member institution will receive selected e-mails related to the case.

Email Delivery	First Name	Last Name	Title	E-mail Address
All	Matthew	Davis	Athletics Direct Report	matthew.davis@mbu.edu

## Terms and Conditions

## Disclaimer Terms and Conditions

By submitting information related to this request, I affirm that I have read and understand the application of NCAA Bylaw 10.1 and the information provided is accurate and complete to the best of my knowledge.

Agreement by Rob Thompson on 05/09/2019

## Activity After Case Submission

## Responses to Requests for Additional Information

### Withdraw/Appeal/Reconsider History

Decision Level	Request Accepted?	Reason	Type	Reason for Request	Responses to Questions	Additional Case Precedent	Status	Submitted By	Submitted At
<a href="#">Yes/No</a>	Pending		Appeal	Appeal			Active	Rob Thompson	07/08/2019

Details: 1. The NCAA cited that since we were giving the waiver for 2017-18 academic year, and thus denied for 2018-19. We cannot control the weather, whether it is back-to-back or not. 2. If the NCAA Division III model is for the importance and function of the student-athlete and their academic success, then the simple solution of implementing a plan to account for potential cancellations due to weather isn't feasible. We do account for weather, reschedule games and venues, and then even re-reschedule games and venues when possible. When the weather is not conducive to playing, it really doesn't matter how many games you schedule, and how many times you reschedule...if you can't play, you can't play. We cannot schedule games for every single day, accounting for rest, etc. It doesn't make sense and is not feasible to over-schedule the team, and then have to cancel games if previous games are played. Attempting to secure venues that are unaffected by rain (turf, indoor) also isn't feasible.

## **Rob Thompson**

---

**From:** Gary Garrison  
**Sent:** Thursday, April 11, 2019 9:52 AM  
**To:** Rob Thompson; Ann Bolton; Taylor Pill; Jennifer Dunlop  
**Subject:** Today's baseball game

All,

I just got off the phone with the baseball coach from Rockford. Today's baseball games at Rockford have been cancelled and will not be rescheduled.

### **GARY GARRISON**

Maranatha Baptist University  
Associate Professor-Physical Education  
Head Baseball Coach

O. 920.206.2382      745 West Main Street  
C. 920.342.7239      Watertown, WI 53094

[mbu.edu](http://mbu.edu)

[gary.garrison@mbu.edu](mailto:gary.garrison@mbu.edu)

## Rob Thompson

---

**From:** Gary Garrison  
**Sent:** Thursday, April 11, 2019 2:55 PM  
**To:** Rob Thompson; Ann Bolton; Taylor Pill; Jennifer Dunlop  
**Subject:** FW: Single 9's vs Maranatha 4-12

FYI...Friday's game @ Marian has been cancelled. Trying to reschedule but it looks very difficult.

### GARY GARRISON

Maranatha Baptist University  
Associate Professor-Physical Education  
Head Baseball Coach

O. 920.206.2382      745 West Main Street  
C. 920.342.7239      Watertown, WI 53094

[mbu.edu](http://mbu.edu)      [gary.garrison@mbu.edu](mailto:gary.garrison@mbu.edu)

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**From:** Baitinger, Jordan L [mailto:[jl baitinger16@marianuniversity.edu](mailto:jl baitinger16@marianuniversity.edu)]  
**Sent:** Thursday, April 11, 2019 2:17 PM  
**To:** Jim Doden <[jdoden@sbcglobal.net](mailto:jdoden@sbcglobal.net)>; Bartelt, Jason T <[JBartelt@marianuniversity.edu](mailto:JBartelt@marianuniversity.edu)>  
**Cc:** Redig, Kathy <[KRedig@marianuniversity.edu](mailto:KRedig@marianuniversity.edu)>; Krueger, Severa M <[smkrueger36@marianuniversity.edu](mailto:smkrueger36@marianuniversity.edu)>; Burgert, Bryan F <[bfburgert72@marianuniversity.edu](mailto:bfburgert72@marianuniversity.edu)>; Bodart, Brandon L <[blbodart99@marianuniversity.edu](mailto:blbodart99@marianuniversity.edu)>; Gary Garrison <[gary.garrison@mbu.edu](mailto:gary.garrison@mbu.edu)>; Doyle, Kaitlin J <[kjdoyle32@marianuniversity.edu](mailto:kjdoyle32@marianuniversity.edu)>  
**Subject:** Single 9's vs Maranatha 4-12

Hi Jim,

We have a Single 9 scheduled against Maranatha Baptist tomorrow at 6pm. Due to the snow today our field will not be playable. No make-up date has been scheduled at this time.

Please let me know if there are any concerns.



Jordan Baitinger  
Head Baseball Coach  
Marian University  
p: 920.923.8774  
m: 920.540.8638  
w: [www.marianuniversity.edu](http://www.marianuniversity.edu)  
e: [jl baitinger16@marianuniversity.edu](mailto:jl baitinger16@marianuniversity.edu)

Sponsored by the Congregation of Sisters of St. Agnes

## Rob Thompson

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**From:** Rob Thompson  
**Sent:** Thursday, April 11, 2019 5:11 PM  
**To:** Abe VanKleeck; Ann Bolton; April Thompson; Bob Loggans; Caron Manion; Cassandra Barnes; Chad Yanzito; Charles Leeds; Christopher Riffel; De An McKee; Gary Garrison; Jennifer Dunlop; Joel Borchardt; John Davis; Jonathan Laase; Tina Steuerwald; Karrie Beverly; Layna Steuerwald; Mark McMillen; Marty Marriott; Matthew Davis; Paul Barnes; Paul Weir; Rebecca Brock; Rob Thompson; Sue Kurschner; Taylor Pill; Tina Steuerwald; Tracey Roth; Zachary Sweatman  
**Subject:** Baseball Canceled - Friday @ Marian

NOT SO BREAKING NEWS...

Friday's (4.12.19) baseball game at Marian University has been canceled due to weather/playing conditions. Rescheduling efforts are underway, but at present, we do not have a makeup date.

Stay tuned...

### ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy  
Athletic Director | Softball Head Coach | Women's Basketball Assistant  
NCCAA North Region Coordinator | NCCAA 1<sup>st</sup> Vice-President  
Indian Trails Conference President

o. 920.206.2377      745 West Main Street  
c. 920.342.2035      Watertown, WI 53094

mbu.edu      rob.thompson@mbu.edu

## Rob Thompson

---

**From:** Rob Thompson  
**Sent:** Monday, April 15, 2019 9:51 AM  
**To:** Abe VanKleeck; Ann Bolton; April Thompson; Bob Loggans; Caron Manion; Cassandra Barnes; Chad Yanzito; Charles Leeds; Christopher Riffel; De An McKee; Gary Garrison; Jennifer Dunlop; Joel Borchardt; John Davis; Jonathan Laase; Tina Steuerwald; Karrie Beverly; Layna Steuerwald; Mark McMillen; Marty Marriott; Matthew Davis; Paul Barnes; Paul Weir; Rebecca Brock; Rob Thompson; Sue Kurschner; Taylor Pill; Tina Steuerwald; Tracey Roth; Zachary Sweatman  
**Subject:** Baseball Canceled Today - Unplayable Field Conditions

BREAKING NEWS...and hearts!

After consultation with Park & Rec, today's baseball games with Trinity International are canceled due to unplayable field conditions. A reschedule is pending, based upon compatible open dates with our opponent.

Stay tuned...gonna be an interesting week of "HOME" games and weather. All other games this week are still "in play".

### ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy  
Athletic Director | Softball Head Coach | Women's Basketball Assistant  
NCCAA North Region Coordinator | NCCAA 1<sup>st</sup> Vice-President  
Indian Trails Conference President

o. 920.206.2377      745 West Main Street  
c. 920.342.2035      Watertown, WI 53094

mbu.edu      rob.thompson@mbu.edu



## Rob Thompson

---

**From:** Gary Garrison  
**Sent:** Monday, April 29, 2019 2:35 PM  
**To:** Rob Thompson  
**Subject:** FW: Baseball Game cancellation

TIU e-mail about cancellation is below.

**GARY GARRISON**

Maranatha Baptist University  
Associate Professor-Physical Education  
Head Baseball Coach

O. 920.206.2382      745 West Main Street  
C. 920.342.7239      Watertown, WI 53094

[mbu.edu](http://mbu.edu)      [gary.garrison@mbu.edu](mailto:gary.garrison@mbu.edu)

**From:** Jordan, Jack [mailto:jfjordan@tiu.edu]  
**Sent:** Monday, April 29, 2019 2:32 PM  
**To:** Gary Garrison <gary.garrison@mbu.edu>  
**Subject:** Baseball Game cancellation

Good afternoon Gary! This is Jack Jordan. Head Baseball Coach from Trinity International University. I am writing you this email to certify my reasons for having to cancel our games of 4/29/29 at Maranantha. Our date was a make-up date from a doubleheader postponed earlier this season. We had found a compatible open date which was to be today. Unfortunately, due to weather we experienced other cancellations. I had CCAC Conference games to make-up and today was a pre-determined Conference make-up date. Our Conference requires to us to play every game on the schedule and mandates make-up dates as well. I apologize for the inconvenience and am disappointed that we could not compete. I hope this does not deter you from scheduling us in the future as we do enjoy a friendly rivalry. Jack Jordan TIU



# BASEBALL

## MARANATHA BAPTIST UNIVERSITY 2019 SCHEDULE

Date	Opponent	Location	Time
March 16 - March 24, 2018	DR MISSIONS - PLAY BALL	DR	TBD
Thursday, March 28, 2019	North Central University	Minneapolis, Mn	6:30 PM
Friday, March 29, 2019	North Central University	Minneapolis, Mn	5:30 PM
Tuesday, April 2, 2019	Edgewood College	Verona, WI	2:00 PM
Friday, April 5, 2019	North Central University	HOME	3:00 PM
Saturday, April 6, 2019	North Central University	HOME	12:00 PM
Monday, April 8, 2019	Trinity International University	Deerfield, IL	1:00 PM
Tuesday, April 9, 2019	Illinois Institute of Technology	Chicago, IL	1:00 PM
Thursday, April 11, 2019	Rockford University	Rockford, IL	3:00 PM
Friday, April 12, 2019	Marian University	Fond du Lac, WI	6:00 PM
Monday, April 15, 2019	Trinity International University	HOME	3:00 PM
Tuesday, April 16, 2019	Illinois Institute of Technology	HOME	4:00 PM
Friday, April 19, 2019	Lincoln Christian University	HOME	3:00 PM
Saturday, April 20, 2019	Lincoln Christian University (Parents' Day)	HOME	12:00 PM
Tuesday, April 23, 2019	Wisconsin Lutheran College	HOME	3:00 PM
Thursday, April 25, 2019	Lakeland University	Plymouth, WI	1:00 PM
Friday, April 26, 2019	Finlandia University	HOME	3:00 PM
Saturday, April 27, 2019	Finlandia University	HOME	12:00 PM
Friday, April 26, 2019	Dominican University	River Forest, IL	6:00 PM
Friday, April 26, 2019	Edgewood College	Verona, WI	4:00 PM
Monday, April 29, 2019	Trinity International University	HOME	3:00 PM

Changed to 3/28 and 3/29 due to unplayable conditions at North Central and them having to find a different field.

Cancelled due to a conference makeup game for Edgewood

Rockford cancelled due to weather 4-11-19

Cancelled due to unplayable field conditions at Marian 4-11-19

Cancelled due to unplayable field conditions 4-15-19

Time changed from 3 to 4 to allow fields to dry a bit more 4-16-19

Cancelled due to conference issues for FIN

Cancelled due to conference issues for FIN

Cancelled - won't work for their facility

Rescheduled from 4-2's cancellation

Rescheduled from 4-15 cancellation - 4-16-19

## 2018-19 Baseball Statistics - Maranatha Baptist

GAMES  
**24**

RUNS  
**51**

BATTING AVERAGE  
**.196**

ON BASE  
PERCENTAGE  
**.269**

SLUGGING  
PERCENTAGE  
**.224**

HOME RUNS  
**-**

[Team Profile](#)
[Lineup](#)
[Game Log](#)
[Split Stats](#)
[Coach's View](#)
[Attendance](#)

Hitting	Extended Hitting	Pitching	Fielding
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DATE	OPPONENT	SCORE	AB	R	H	2B	3B	HR	RBI	BB	K	SB	CS
Mar 28	at North Central (Minn.)	<u>L, 22-0</u>	25	0	3	-	-	-	0	-	14	-	-
Mar 28	at North Central (Minn.)	<u>L, 11-2</u>	26	2	4	-	-	-	2	3	13	-	-
Mar 29	at North Central (Minn.)	<u>L, 7-0</u>	23	0	2	-	-	-	0	2	7	-	-
Mar 29	at North Central (Minn.)	<u>L, 11-1</u>	23	1	3	-	-	-	0	1	14	2	-
Apr 5	North Central (Minn.)	<u>L, 13-3</u>	27	3	8	-	1	-	3	2	7	-	-
Apr 5	North Central (Minn.)	<u>L, 4-0</u>	26	0	5	1	-	-	0	1	6	1	-
Apr 6	North Central (Minn.)	<u>L, 11-1</u>	25	1	6	1	-	-	1	1	7	-	-
Apr 6	North Central (Minn.)	<u>W, 5-1</u>	20	5	6	-	-	-	5	4	6	-	2
Apr 8	at Trinity Int'l	<u>L, 20-1</u>	23	1	3	-	-	-	1	1	6	-	-
Apr 8	at Trinity Int'l	<u>L, 9-1</u>	27	1	8	-	-	-	1	2	8	-	-
Apr 9	at Illinois Tech	<u>L, 20-0</u>	24	0	3	-	-	-	0	1	9	-	-
Apr 9	at Illinois Tech	<u>L, 5-4</u>	25	4	3	1	-	-	2	7	6	-	-
Apr 16	Illinois Tech	<u>L, 21-3</u>	23	3	4	-	-	-	3	6	8	-	-
Apr 16	Illinois Tech	<u>L, 18-1</u>	23	1	5	-	-	-	1	2	10	-	-
Apr 19	Lincoln Christian	<u>L, 17-3</u>	26	3	4	2	-	-	2	1	7	-	-
Apr 19	Lincoln Christian	<u>L, 8-2</u>	26	2	5	1	-	-	1	1	12	-	-
Apr 20	Lincoln Christian	<u>W, 17-5</u>	34	17	18	-	2	-	16	4	2	-	-
Apr 20	Lincoln Christian	<u>L, 4-3</u>	29	3	4	2	-	-	2	2	8	-	-
Apr 23	Wis. Lutheran	<u>L, 17-3</u>	29	3	5	1	-	-	3	1	6	-	-
Apr 23	Wis. Lutheran	<u>L, 6-0</u>	27	0	6	1	-	-	0	2	9	-	-
Apr 25	at Lakeland	<u>L, 8-0</u>	23	0	2	-	-	-	0	2	10	1	-
Apr 25	at Lakeland	<u>L, 15-0</u>	25	0	5	1	-	-	0	2	8	-	-
Apr 26	at Edgewood	<u>L, 5-0</u>	25	0	6	-	-	-	0	1	9	-	1



Apr 26	at Edgewood	<u>L, 5-1</u>	22	1	1	-	-	-	1	2	5	-	-
Apr 29	Trinity Int'l		-	-	-	-	-	-	-	-	-	-	-
Apr 29	Trinity Int'l		-	-	-	-	-	-	-	-	-	-	-

**Maranatha Baptist Season Box Score**

Record: 2-22

Home: 2-10

Away: 0-12

Neutral: 0-0

Conf: 0-0

No.	Player	AVG	GP	GS	AB	R	H	2B	3B	HR	RBI	TB	SLG%	BB	HBP	SO	GDP	OB%	SF	SH	SB	CS	PO	A	E	FLD%
7	Ryan Hempel.....	.288	24	24	73	8	21	1	1	-	9	24	.329	6	1	13	-	.346	1	-	1	-	45	36	13	.862
9	Alex Causey.....	.247	23	23	73	4	18	4	1	-	6	24	.329	2	1	5	-	.276	-	-	2	-	43	49	10	.902
1	Rudy Gieger.....	.233	17	14	30	3	7	-	-	-	2	7	.233	4	1	18	-	.343	-	1	-	-	26	1	2	.931
19	Dillon Smith.....	.226	24	24	62	3	14	2	1	-	4	18	.290	3	-	19	-	.262	-	1	-	1	37	1	7	.844
4	Jonah Bailey.....	.213	24	24	61	1	13	1	-	-	6	14	.230	9	-	24	-	.314	-	-	-	1	53	13	3	.957
3	Nate Croswell.....	.205	22	16	39	10	8	-	-	-	2	8	.205	3	2	8	1	.295	-	-	-	1	17	25	4	.913
8	Kyle Cummins.....	.200	24	24	60	3	12	1	-	-	3	13	.217	4	1	17	1	.262	-	1	-	-	111	6	2	.983
21	Shane Paruch.....	.185	24	24	65	5	12	1	-	-	5	13	.200	5	3	25	-	.274	-	-	1	-	28	43	19	.789
13	Clint Matheis.....	.138	24	24	65	9	9	1	-	-	6	10	.154	7	-	19	-	.222	-	4	-	-	56	16	10	.878
18	Kile Arp.....	.100	10	0	10	1	1	-	-	-	0	1	.100	-	1	6	-	.182	-	-	-	-	6	0	0	1.000
10	Caleb Westerlund....	.083	14	4	12	0	1	-	-	-	0	1	.083	3	-	9	-	.267	-	-	-	-	8	3	1	.917
6	David Hecker.....	.077	23	16	39	3	3	-	-	-	1	3	.077	2	-	23	-	.122	-	1	-	-	38	0	4	.905
20	Barry Bradshaw.....	.000	6	0	5	1	0	-	-	-	0	0	.000	1	-	3	-	.167	-	-	-	-	0	0	0	-
14	Jed Marsh.....	.000	9	0	8	0	0	-	-	-	0	0	.000	1	-	4	-	.111	-	-	-	-	0	0	0	-
2	Johnny Taylor.....	.000	5	0	4	0	0	-	-	-	0	0	.000	1	-	4	-	.200	-	-	-	-	0	0	0	-

**Total.....** .196 24 24 606 51 119 11 3 - 44 136 .224 51 10 197 2 .269 1 8 4 3 468 193 75 .898  
**Opponents.....** .384 24 24 782 263 300 62 9 8 219 404 .517 73 50 71 4 .462 10 2 74 8 499 187 29 .959

No.	Player	ERA	W	L	APP	GS	CG	SHO	SV	IP	H	R	ER	BB	SO	2B	3B	HR	AB	B/AVG	WP	HBP	BK	SFA	SHA
8	Kyle Cummins.....	7.37	1	3	6	4	2	-	0	28.1	41	31	23	10	11	5	2	-	130	.315	2	9	2	2	-
4	Jonah Bailey.....	7.56	0	4	6	5	-	0	0	25.0	45	44	21	15	15	8	1	-	127	.354	4	2	2	1	1
13	Clint Matheis.....	9.38	0	4	7	4	1	-	0	24.0	47	31	25	6	17	10	4	1	121	.388	4	6	-	-	-
3	Nate Croswell.....	9.96	0	1	10	1	-	0	0	27.1	62	42	30	6	5	13	1	2	150	.413	1	7	-	4	1
7	Ryan Hempel.....	12.66	0	4	5	5	-	0	0	19.2	35	38	27	14	10	10	1	1	90	.389	9	8	1	1	-
9	Alex Causey.....	15.85	0	4	6	4	-	0	0	14.2	33	33	25	12	5	6	-	-	75	.440	13	7	-	2	-
10	Caleb Westerlund....	18.59	0	0	4	0	-	0	0	9.2	22	22	19	3	5	5	-	3	51	.431	2	4	-	-	-
6	David Hecker.....	21.43	0	0	5	0	-	0	0	4.2	8	10	10	4	1	4	-	1	22	.364	5	2	-	-	-
21	Shane Paruch.....	36.82	0	1	2	1	-	0	0	2.2	7	12	9	3	2	1	-	-	16	.438	2	5	-	-	-

**Total.....** 10.90 1 21 24 24 3 - 0 156.0 300 263 189 73 71 62 9 8 782 .384 42 50 5 10 2  
**Opponents.....** 2.38 21 1 24 24 6 8 2 166.1 119 51 44 51 197 11 3 - 606 .196 20 10 3 1 8

No.	Player	G	TC	PO	A	E	FPCT	DP	SBA	RCS	RCS%	PB	CI
18	Kile Arp.....	10	6	6	0	0	1.000	-	-	-	-	-	-
8	Kyle Cummins.....	24	119	111	6	2	.983	8	16	1	.059	-	-
4	Jonah Bailey.....	24	69	53	13	3	.957	1	67	7	.095	8	-
1	Rudy Gieger.....	17	29	26	1	2	.931	-	-	-	-	-	-
10	Caleb Westerlund....	14	12	8	3	1	.917	-	1	1	.500	-	-
3	Nate Croswell.....	22	46	17	25	4	.913	2	7	-	-	-	-
6	David Hecker.....	23	42	38	0	4	.905	-	1	-	-	-	-
9	Alex Causey.....	23	102	43	49	10	.902	7	40	3	.070	2	-
13	Clint Matheis.....	24	82	56	16	10	.878	1	7	2	.222	-	-
7	Ryan Hempel.....	24	94	45	36	13	.862	5	6	1	.143	-	-
19	Dillon Smith.....	24	45	37	1	7	.844	-	-	-	-	-	-
21	Shane Paruch.....	24	90	28	43	19	.789	3	3	-	-	-	-

**Total.....** 24 736 468 193 75 .898 10 74 7 .086 10 -  
**Opponents.....** 24 715 499 187 29 .959 6 4 3 .429 7 -



## Intercollegiate Athletic Event Contractual Agreement

The purpose for this agreement is to confirm arrangements and conditions under which  
**Rockford University vs. Maranatha**  
will compete in the sport of Baseball, in accordance with the following general conditions:

Date:  
Thursday, April 11, 2019

Time:  
3pm and 6pm

Site:  
Rivets Stadium

**Eligibility:** The eligibility of each participant shall be governed by the regulations of the institutions, if applicable, and the national governing bodies (e.g., NCAA, NAIA, etc.).

**Rules:** The Contest(s) will be conducted in accordance with the rules as stated by the national governing body of the host institution. Rockford University is a NCAA III institution.

**Officials:** The officials for each contest shall be assigned by the assigning agency of the home team unless otherwise specified.

**Expenses:** Each team shall pay its own expenses. The home team shall bear all costs associated with obtaining of officials.

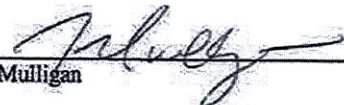
**Forfeiture price:** Cost of Officials

**Special Provisions:** This contract is for the above mentioned contest only.

**Cancellation:** The contest(s) indicated on this agreement shall not be cancelled or date/site/time changed except by mutual consent of the athletic authorities of both institutions. That the home team management reserves the right to cancel said game on account of inclement weather or any other unforeseen or unavoidable cause. Such notice must be given before the traveling team leaves for the above mentioned game. NACC Conference games take priority over nonconference games when weather related issues force cancelation or rescheduled contests.

To Signees: Please enter your information in the appropriate section(s) below.

Rockford University Director of Athletics

  
Jason Mulligan

Opponents Director of Athletics

Rob Thompson

Rockford University Head Coach

  
Robert Koopmann, Head Coach

Opponent's Head Coach

Gary Garrison

Please approve, sign contract, and e-mail to [dnailor@rockford.edu](mailto:dnailor@rockford.edu). Thank you.

Jason Mulligan - Director of Athletics

Brian Vanden Acker - Sports Information Director    Dawn Nailor - Administrative Assistant

**ATHLETICS • ROCKFORD UNIVERSITY**

Intercollegiate Athletics • 5050 E. State Street, Rockford, IL 61108 • 815.226.4085 • GoRegents.com





## MARIAN UNIVERSITY

45 South National Avenue  
Fond du Lac, WI 54935-4699  
Athletics: (920) 923-8156  
FAX: (920) 923-8134

*National Collegiate Athletic Association*

*Northern Athletics Collegiate Conference*

### INTERCOLLEGIATE ATHLETIC CONTEST

between

**MARIAN UNIVERSITY**

and

MARANATHA BAPTIST

The institutions listed above have agreed to participate in an athletic contest(s) according to the following specifications:

1. **SPORT:** Men's Baseball - 1-9 inning  
**DATE(S):** Fri., April 12, 2019 ; \_\_\_\_\_  
**TIME(S):** 6:00 P.M. ; \_\_\_\_\_  
**SITE(S):** MU - Herr-Baker Field ; \_\_\_\_\_
2. **EXPENSES:** Each team shall pay its own expenses.
3. **OFFICIALS:** The host school shall supply certified officials.
4. **ELIGIBILITY:** Each participant shall conform to eligibility rules of the institution which he/she represents.
5. **ADDITIONAL PROVISIONS:** \_\_\_\_\_
6. The terms of this contract shall be binding except by the mutual agreement of the Directors of Athletics of the institutions involved.

### APPROVED

#### MARIAN UNIVERSITY

Jason Bartelt 920-923-8090  
*Director of Athletics* *Telephone*  
Jordan Baitinger  
*Coach*  
920-923-8774 920-540-8638  
*Office Phone* *Cell Phone*  
3/12/19  
*Date of Agreement*

#### OPPOSING SCHOOL

[Signature] 920-206-2377  
*Director of Athletics* *Telephone*  
Gary Garrison  
*Coach*  
920-206-2376  
*Office Phone* *Cell Phone*  
3-12-19  
*Date of Agreement*

Return By: 3/30/19

Please send all signed contracts to Kathy Redig, [kredig@marianuniversity.edu](mailto:kredig@marianuniversity.edu).

File copy 8-15-18



# MARANATHA BAPTIST UNIVERSITY

## Game Contract

Date: 8/2/2018  
Maranatha Baptist University  
745 W. Main St.  
Watertown, WI 53094  
(920) 206-2376  
Fax (920) 261-9109  
Jennifer.dunlop@mbu.edu

To: Athletic Director

Intercollegiate Athletic Contest between the Athletic Department of Maranatha Baptist University and the Athletic Department of Trinity International University.

The institutions agree that a contest(s) between their teams will be held in accordance with the following conditions:

Sport: <b>Baseball</b>	
Date: <b>Monday, April 15, 2019</b>	
Time: <b>3:00 PM DH</b>	
Location: <b>Washington Park, Watertown, WI</b>	

### Conditions:

1. The game to be played under the eligibility rules of the respective institutions.
2. There shall be mutual agreement regarding the selections of officials.
3. The home team shall bear all costs associated with obtaining officials.
4. Failure to comply with this contract by the visiting team, except by mutual consent of both institutions, shall result in payment of \$1000 to the host school.
5. The home team management reserves the right to cancel said games on account of inclement weather or any other unforeseen or unavoidable cause. Such notice must be given before the traveling team leaves for the above mentioned game.

For: Maranatha Baptist University

For: Trinity International University

**Gary Garrison**

(920) 206-2376

Coach

Telephone

Mr. Rob Thompson

Athletic Director

August 2, 2018

Date

873-981-6292

Coach

Telephone

Athletic Director

8/15/18

Date

Please sign and return one (1) copy





# MARANATHA BAPTIST UNIVERSITY

## Game Contract

Date: 8/2/2018

Maranatha Baptist University

745 W. Main St.

Watertown, WI 53094

(920) 206-2376

Fax (920) 261-9109

Jennifer.dunlop@mbu.edu

To: Athletic Director

Intercollegiate Athletic Contest between the Athletic Department of Maranatha Baptist University and the Athletic Department of Finlandia University.

The institutions agree that a contest(s) between their teams will be held in accordance with the following conditions:

Sport: <b>Baseball</b>	Sport: <b>Baseball</b>
Date: <b>Friday, April 26, 2019</b>	Date: <b>Saturday, April 27, 2019</b>
Time: <b>3:00 PM DH</b>	Time: <b>12:00 PM DH</b>
Location: <b>Washington Park, Watertown, WI</b>	Location: <b>Washington Park, Watertown, WI</b>

### Conditions:

1. The game to be played under the eligibility rules of the respective institutions.
2. There shall be mutual agreement regarding the selections of officials.
3. The home team shall bear all costs associated with obtaining officials.
4. Failure to comply with this contract by the visiting team, except by mutual consent of both institutions, shall result in payment of \$1000 to the host school.
5. The home team management reserves the right to cancel said games on account of inclement weather or any other unforeseen or unavoidable cause. Such notice must be given before the traveling team leaves for the above mentioned game.

For: Maranatha Baptist University

For: Finlandia University

**Gary Garrison**

(920) 206-2376

Coach

Telephone

Coach

Telephone

Mr. Rob Thompson

Athletic Director

Athletic Director

August 2, 2018

Date

Date

Please sign and return one (1) copy



## Case Summary

### General Case Information

Case Number	Case Type	Sub Case Type	Release to Database
1057518	Membership Requirements Waiver	Division III Minimum Contests (Team Sports) Sports Sponsorship	No
Student-Athlete Name	Institution	Division	Sport(s)
	Maranatha Baptist University	III	Softball

#### Legislative Cite(s)

20.11.3.8.10.1 - Minimum Contests and Participants.

### Decision Information

Decision	Decision Date	Decision Level
Denied	06/25/2019	Committee

#### Conditions

#### Rationale

Circumstances within the control of the institution: The committee noted the significant overlap of student-athletes on multiple sports rosters and that the institution is accountable to maintain a viable roster from the outset and throughout a team's playing season.

### Case Summary

June 20, 2019

President Marty Marriott  
Maranatha Baptist University  
400 Heath Street  
Chestnut Hill, Massachusetts 02467

Dear President Marriott:

During its June 19, 2019, meeting, the NCAA Division III Membership Committee denied the request from Maranatha Baptist University to waive the minimum sports-sponsorship requirements per NCAA Bylaw 20.11.3.8 (minimum contests and participants) for the 2018-19 academic year in the sports of baseball and softball. In its denial of the baseball waiver, the committee noted that the institution previously received a one-time waiver for the 2017-18 academic year. The committee acknowledged the challenges that come with being an independent member and recommended that that institution implement a plan to account for potential cancellations due to weather. In its denial of the softball waiver, the committee noted the significant overlap of student-athletes on multiple sports rosters and that the institution is accountable to maintain a viable roster from the outset and throughout a team's playing season. Because of these concerns, the committee felt it could no longer provide relief from the minimum membership requirements.

As a result of not properly sponsoring five women's sports and five men's sports during the 2018-19 academic year, Maranatha Baptist has now failed to meet the Division III sports-sponsorship requirements for the second time in its five-year probationary time period. The institution's failure to satisfy minimum sports-sponsorship requirements while on probation is considered a "Second Failure" per Bylaw 20.11.3.9.1.2. Consequently, Maranatha will go on restricted membership status (per NCAA Constitution 3.02.3.1.2.2) for the 2019-20 academic year, effective September 1, 2019. While on restricted status, Maranatha Baptist will lose access to NCAA Convention voting privileges, NCAA championships and direct NCAA grant funding.

Requirements During Year of Restricted Status

During your year on restricted status, the committee requires a thrice-annual report of your institution's men's and women's sports-sponsorship status. Please use the attached sports-sponsorship audit forms (marked Form A and Form B ) at the conclusion of each sport season and return these forms and a copy of the official statistical results for each seasonal team, directly to me via email at [talford@ncaa.org](mailto:talford@ncaa.org), by the following deadlines:

1. Within 10 days of concluding all competition for your institution's fall sports teams;
2. Within 10 days of concluding all competition for your institution's winter sports teams; and
3. By May 15, 2020.

Following the completion of the year on restricted status, one of two actions will occur:

1. If Maranatha Baptist successfully meets sports-sponsorship requirements and all other Division III membership requirements for 2019-20, the institution would be removed from restricted status, and you would be returned to active membership status on September 1, 2020, when the five-year probationary period would expire (if all sports-sponsorship requirements are met).
2. If, however, Maranatha Baptist does not successfully meet sports-sponsorship requirements or other Division III membership requirements during the 2019-20 academic year, your institution would immediately forfeit its NCAA membership for a "third failure" to meet minimum sports-sponsorship requirements during the five-year penalty period (Bylaw 20.11.3.9.1.3 – third failure).

At any time, if you (or anyone from your staff) have questions for me or would like to discuss these issues further, please feel free to call me at 317-917-6239 or reach me via email at [OTUtalford@ncaa.org](mailto:OTUtalford@ncaa.org).

Sincerely,

Tiffany Alford

Assistant Director of Academic and Membership Affairs

Team Lead – Division III Provisional/Reclassifying Membership Process

Eric Hartung

Associate Director of Research

NCAA Division III Membership Committee, primary liaison



# APPEAL DOCUMENTS

**From:** [Alford, Tiffany](#)  
**To:** [Berg, Corey](#); [Hartung, Eric](#)  
**Subject:** FW: NCAA Division III Membership Status - Maranatha Baptist University  
**Date:** Friday, July 5, 2019 9:50:53 AM  
**Attachments:** [image001.png](#)  
[image006.png](#)

---

FYI.

Should I notify Laura today, or should this information just be shared on our call next week?

Also, Eric, did you provide them a timeline for their appeal to be heard?



**Tiffany Alford**

Assistant Director of Academic and Membership Affairs

**w:** 317-917-6239 | [ncaa.org](http://ncaa.org)

P.O. Box 6222, Indianapolis, IN 46206-6222

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**From:** Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)>  
**Sent:** Monday, July 1, 2019 1:17 PM  
**To:** Alford, Tiffany <[talford@ncaa.org](mailto:talford@ncaa.org)>  
**Subject:** RE: NCAA Division III Membership Status - Maranatha Baptist University

Tiffany,

Thank you for the information. As you can imagine, we are disappointed with these denials as I expressed this with Eric Hartung last week when he notified me via phone. Just as you (NCAA) believe it is just a matter of scheduling to compensate for the weather in the spring, and that we can recruit more one-sport athletes on the women's side, we believe the NCAA doesn't fully understand the obstacles of an independent schedule and spring weather issues that we deal with in Wisconsin, nor the uniqueness of our campus and mission, and then subsequently the inter-sport overlap reliance of student-athletes, particularly on the women's side.

We do intend to appeal the decisions, and will be working on the RSRO appeal after the holiday. We attempted to be honest and transparent in our request for waivers.

**Respectfully,**

**ROB THOMPSON**

Maranatha Baptist University | Maranatha Baptist Academy

Athletic Director | Softball Head Coach

NCCAA North Region Coordinator | NCCAA 1<sup>st</sup> Vice-President

Indian Trails Conference President

o. 920.206.2377      745 West Main Street

c. 920.342.2035      Watertown, WI 53094

[mbu.edu](http://mbu.edu)      [rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)

---

**From:** Brown, Debra F. <[dbrown@ncaa.org](mailto:dbrown@ncaa.org)> **On Behalf Of** Alford, Tiffany  
**Sent:** Monday, July 1, 2019 10:06 AM  
**To:** Marty Marriott <[marty.marriott@mbu.edu](mailto:marty.marriott@mbu.edu)>  
**Cc:** Ann Bolton <[Ann.Bolton@mbu.edu](mailto:Ann.Bolton@mbu.edu)>; Bryan Brock <[Bryan.Brock@mbu.edu](mailto:Bryan.Brock@mbu.edu)>; Steven Carlson <[steven.carlson@mbu.edu](mailto:steven.carlson@mbu.edu)>; Matthew Davis <[matthew.davis@mbu.edu](mailto:matthew.davis@mbu.edu)>; Taylor Pill <[Taylor.Pill@mbu.edu](mailto:Taylor.Pill@mbu.edu)>; Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)>; Hartung, Eric <[ehartung@ncaa.org](mailto:ehartung@ncaa.org)>; Alford, Tiffany <[talford@ncaa.org](mailto:talford@ncaa.org)>; Berg, Corey <[cberg@ncaa.org](mailto:cberg@ncaa.org)>; Suscha, Liz <[lsuscha@ncaa.org](mailto:lsuscha@ncaa.org)>; Irick, Erin <[eirick@ncaa.org](mailto:eirick@ncaa.org)>; Dickey, Lynn <[ldickey@ncaa.org](mailto:ldickey@ncaa.org)>; Dutcher, Dan <[ddutcher@ncaa.org](mailto:ddutcher@ncaa.org)>; McCleary, Louise <[lmccleary@ncaa.org](mailto:lmccleary@ncaa.org)>

July 1, 2019

President Marty Marriott  
Maranatha Baptist University  
745 West Main Street  
Watertown, Wisconsin 53094

Dear President Marriott:

During its June 19, 2019, meeting, the NCAA Division III Membership Committee denied the request from Maranatha Baptist University to waive the minimum sports-sponsorship requirements per NCAA Bylaw 20.11.3.8 (minimum contests and participants) for the 2018-19 academic year in the sports of baseball and softball. In its denial of the baseball waiver, the committee noted that the institution previously received a one-time waiver for the 2017-18 academic year. The committee acknowledged the challenges that come with being an independent member and recommended that that institution implement a plan to account for potential cancellations due to weather. In its denial of the softball waiver, the committee noted the significant overlap of student-athletes on multiple sports rosters and that the institution is accountable to maintain a viable roster from the outset and throughout a team's playing season. Because of these concerns, the committee felt it could no longer provide relief from the minimum membership requirements.

As a result of not properly sponsoring five women's sports and five men's sports during the 2018-19 academic year, Maranatha Baptist has now failed to meet the Division III sports-sponsorship requirements for the second time in its five-year probationary time period. The institution's failure to satisfy minimum sports-sponsorship requirements while on probation is considered a "Second Failure" per Bylaw 20.11.3.9.1.2. Consequently, Maranatha will go on restricted membership status (per NCAA Constitution 3.02.3.1.2.2) for the 2019-20 academic year, effective September 1, 2019. While on restricted status, Maranatha Baptist will lose access to NCAA Convention voting privileges, NCAA championships and direct NCAA grant funding.

#### **Requirements During Year of Restricted Status**

During your year on restricted status, the committee requires a thrice-annual report of your institution's men's and women's sports-sponsorship status. Please use the attached sports-sponsorship audit forms (marked Form A and Form B) at the conclusion of each sport season and return these forms and a copy of the official statistical results for each seasonal team, directly to me via email at [talford@ncaa.org](mailto:talford@ncaa.org), by the following deadlines:

1. Within 10 days of concluding all competition for your institution's fall sports teams;
2. Within 10 days of concluding all competition for your institution's winter sports teams; and
3. By May 15, 2020.

Following the completion of the year on restricted status, one of two actions will occur:

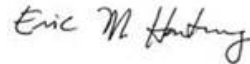
1. If Maranatha Baptist successfully meets sports-sponsorship requirements and all other Division III membership requirements for 2019-20, the institution would be removed from restricted status, and you would be returned to active membership status on September 1, 2020, when the five-year probationary period would expire (if all sports-sponsorship requirements are met).
2. If, however, Maranatha Baptist does not successfully meet sports-sponsorship requirements or other Division III membership requirements during the 2019-20 academic year, your institution would immediately forfeit its NCAA membership for a "third failure" to meet minimum sports-sponsorship requirements during the five-year penalty period (Bylaw 20.11.3.9.1.3 – third failure).

At any time, if you (or anyone from your staff) have questions for me or would like to discuss these issues further, please feel free to call me at 317-917-6239 or reach me via email at [talford@ncaa.org](mailto:talford@ncaa.org).

Sincerely,



Tiffany Alford  
Assistant Director of Academic and Membership Affairs  
Team Lead, Division III Provisional/Reclassifying Membership Process



Eric M. Hartung  
Associate Director of Research for Division III  
NCAA Division III Membership Committee, primary liaison

TA/EMH:dfb

Attachments

cc: Ms. Ann Bolton  
Mr. Bryan Brock  
Mr. Steven D. Carlson  
Dr. Matt Davis  
Mr. Taylor Pill  
Mr. Rob Thompson  
Selected NCAA Staff Members

National Collegiate Athletic Association

*Creating a pathway to opportunity for college athletes*  
Equal Opportunity/Affirmative Action Employer

This email and any attachments may contain NCAA confidential and privileged information. If you are not the intended recipient, please notify the sender immediately by return email, delete this message and destroy any copies. Any dissemination or use of this information by a person other than the intended recipient is unauthorized and may be illegal.

ORIGINAL  
WAIVER  
DOCUMENTS



Institution: Maranatha Baptist University  
Case Type: Membership Requirements Waiver

Division: III

Case ID: 1057518  
Date: 07/10/2019

Page 1 - General Information

## General Information

### Institution Information

Institution Information Maranatha Baptist University  
Division of Institution III  
Conference Independent  
Phone Number 920/261-9300  
Academic year related to this request 2018-19  
Date of NCAA membership 08/31/1994  
Institution location 745 W. Main St. Watertown, WI 53094-0000  
Conference or independent? Independent  
What is your institution's current membership classification? Division III, Independent  
Total full-time undergraduate students 591  
Number of men 264  
Percentage of men 44.67  
Number of women 327  
Percentage of women 55.33  
Confirm the division of your request Division III

Page 2 - Case Information

## Case Information

### Please check all the Membership Requirements Waiver sub-case types and conditions that apply

Division III ☒

#### Division III Sub-Case Types

Athletics Consortium Election ☐ Institutional Self-Study Guide ☐  
NCAA Convention and Regional Rules Seminar Attendance ☐ Revoking Multidivisional Classification ☐  
Sports Sponsorship ☒

#### Sports Sponsorship Sub-Case Types

Acceptable Sports for Sports Sponsorship Purposes ☐ Male-Female Enrollment Ratio ☐  
Minimum Contests (Team Sports) ☒ Minimum Contests and Participants (Individual Sports) ☐  
Single-Gender Institution Transitioning to Coeducational ☐

Three-Season Requirement ☐ Other ☐

## Case Information

### All Requests

Has the institution previously requested or been granted a waiver of this requirement? No

Describe the facts associated with this request

On February 19, 2019, Athletic Director and Softball Head Coach Rob Thompson met with the Executive Committee of Maranatha Baptist University to determine the direction for the spring 2019 softball game season. Concerns over the number of players' eligible (academic, physical, financial), experience, and viability were discussed. The decision to cancel the 2019 game season was confirmed. Mr. Thompson met with the team on Wednesday, February 20, 2019; to help them understand the issues, to inform them of the decision, and to determine how to move forward. The team voted to continue with training two times per week to further their knowledge and skills in softball.

What are any relevant mitigating circumstances that should be considered when reviewing the request?

Specifically, the issues were: 1. Participants a. 12 players in total committed to playing the 2019 season i. Of the 12, only four had collegiate experience ii. Of the remaining eight 1. Only two had high school experience playing experience 2. Of the remaining eight, four were on the women's basketball team that had their season extended due to NCCAA Regional Championship and NCCAA National Championship appearances. This would only allow for one full practice prior to our first contest, immediately followed by spring break, and then one additional practice prior to our next scheduled game b. We did have two additional players join the group at the end of February, after the decision was made to cancel the games, but they desired to learn the game in an attempt to help the team move forward. They would not have impacted the decision as both were inexperienced in the sport, and did not possess pitching or catching qualities 2. Physical a. One had knee surgery over Christmas break, hoping to rehabilitate to play the 2019 season 3. Academic a. Three had nursing obligations (practicum and clinical assignments) that would conflict with training/practice sessions, and over 50% of scheduled games b. Two players (pitcher/catchers) were unable to participate this season due to academic achievement, and the need to focus on their studies c. One player (pitcher) who was projected to play decided not to play after a long basketball season 4. Financial a. One two individuals who desired to participate/play, but due to financial concern and the need to work to stay in school, they opted to not play for 2019 and work jobs in the community to pay their school bills 5. Sport overlap a. Limited Training/practice b. See above 1.a.ii.2 Opponents Notified On Wednesday, February 20, Mr. Thompson began the process to notify teams of our season cancellation, hopefully to enable them to schedule additional games with opponents. Notification Language "After exhausting all of our recruiting efforts, we have reached a decision that due to academic, financial, and physical issues, we cannot field a viable softball team for the 2019 season. Therefore, Maranatha Baptist University is cancelling all 2019 spring season softball schedule. " We know this will affect your program in respect to countable contests, and we sincerely apologize for that. We are increasing our recruiting efforts moving forward and hope that you will consider this a brief interruption in our program, and put us back on your schedule for 2020.

Provide cite(s) of applicable legislation or interpretation

Type	Division	ID	Text	Other Cases	Delete
Bylaw	III	20.11.3.8.10.1	<a href="#">Minimum Contests and Participants.</a>	8	

[Add Legislation or Interpretation](#)

Provide case numbers of any precedent relevant to this request

Have you previously contacted any NCAA staff regarding this request? No

### Requests Involving Sports Sponsorship - Minimum Contests (Team Sports)

Did an opponent cancel a contest that resulted in the institution's failure to meet this requirement? No

Provide the institution's team sport sponsorship information

Part of Waiver	Sport	Minimum Contests	Actual Contests	Minimum Participants	Actual Participants
<input checked="" type="checkbox"/>	Softball	27			
<input type="checkbox"/>	Baseball	27			
<input type="checkbox"/>	Men's Basketball	25			
<input type="checkbox"/>	Men's Soccer	11			
<input type="checkbox"/>	Men's Volleyball	9			
<input type="checkbox"/>	Women's Basketball	22			
<input type="checkbox"/>	Women's Soccer	10			
<input type="checkbox"/>	Women's Volleyball	19			

## Case Documentation

(Maximum individual file size is 10MB.) Upload each document individually.

## Required Documentation

### Requests Involving Sports Sponsorship - Minimum Contests (Team Sports)

Provide documentation of unforeseen circumstances beyond the institution's control

[CSB\\_Issues\\_2019\\_-\\_Cancelation\\_of\\_Games.docx](#)

Uploaded on 05/10/2019 by Rob Thompson

## Recommended Documentation

### All Requests

Provide any documentation not previously specified that substantiates any assertions made in this request

[2019\\_Softball\\_Cancelation\\_Email\\_Notifications.pdf](#)

Uploaded on 05/10/2019 by Rob Thompson

Provide documentation of all dates, times, distances and locations relevant to this request

[Softball\\_2019.pdf](#)

Uploaded on 05/10/2019 by Rob Thompson

## Signatures

### Select Required Signatories

#### STOP! IF USING E-SIGN OPTION:

The signatory email address (in the NCAA Directory) MUST match the email address in NCAA Business Applications (e.g., Single-Source Sign On).

The signatory MUST have been granted access to Requests/Self-Reports Online by the on-campus Single-Source Sign On administrator in the NCAA Business Application Administrative tool.

If the signatories do not meet these qualifications, select UPLOAD (or fax, if necessary). Do NOT select e-sign unless the above criteria is met.

#### Institutional Authority Outside of Athletics Department

Signatory *Approved by Steven Carlson on 05/10/2019*

#### Institutional Authority Inside of Athletics Department

Signatory *Approved by Rob Thompson on 05/10/2019*

### Download Unsigned Signature Documents

- [Institutional Authority Outside of Athletics Department](#)
- [Institutional Authority Inside of Athletics Department](#)
- [Conference Personnel](#)
- [Committee Chair](#)

## Case Contacts and Submission



### Select Contacts for this Case

**Primary Contact** Rob Thompson (rob.thompson@mbu.edu) Phone: 920-206-2377 Cell: 920-342-2035

**Secondary Contact** Ann Bolton (ann.bolton@mbu.edu) Phone: 920-206-2379 Cell: 920-342-2284

## Additional Case Contacts

Additional contacts with a valid email address from the conference or member institution will receive selected e-mails related to the case.

Email Delivery	First Name	Last Name	Title	E-mail Address
All	Matthew	Davis	Athletics Direct Report / Executive VP	matthew.davis@mbu.edu

## Terms and Conditions

## Disclaimer Terms and Conditions

By submitting information related to this request, I affirm that I have read and understand the application of NCAA Bylaw 10.1 and the information provided is accurate and complete to the best of my knowledge.

Agreement by Rob Thompson on 05/10/2019

## Activity After Case Submission

## Responses to Requests for Additional Information

Please provide the sports sponsorship compliance worksheets (Forms A & B) for all sports sponsored at your institution.

[Sports-Sponsorship Waiver DIII Form A - MBU.pdf](#)

Uploaded on 05/24/2019 by Rob Thompson

[Sports-Sponsorship Waiver DIII Form B MBU.pdf](#)

Uploaded on 05/24/2019 by Rob Thompson

### Withdraw/Appeal/Reconsider History

Decision Level	Request Accepted?	Reason	Type	Reason for Request	Responses to Questions	Additional Case Precedent	Status	Submitted By	Submitted At
<a href="#">Yes/No</a>	Pending		Appeal	Appeal			Active	Rob Thompson	07/08/2019

Details: Due to the mission and purpose of our institution, we have since the inception of our institution relied on multi-sport athletes, specifically on the women's side of campus. In the sport of softball, it is difficult to recruit student-athletes that are proficient in softball to the south-central Wisconsin area,(especially those from the south)and to have them fit the mission of our institution. Unlike other institutions, our primary concern is for the success of the student-athlete on our campus as a mission-fit, and then their contribution to the sport. We do not recruit simply on the sport, and then hope they fit our campus environment and mission. This situation for the 2019 season was in our opinion an anomaly, having never happened before. Our efforts for recruiting for 2020 have been very successful, with accepted/enrolled SA's for softball to include 2 pitchers (working on 3rd)and 3 catchers (working on 4th), and a total of 7 who have significant playing experience. We hope the appeal committee will reconsider our situation and grant the waiver. Thank you for your consideration.

## Rob Thompson

---

**From:** Rob Thompson  
**Sent:** Wednesday, February 20, 2019 4:39 PM  
**To:** Rob Thompson  
**Subject:** FW: NCU Dome Schedule Update

### ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy  
Athletic Director | Softball Head Coach | Women's Basketball Assistant  
NCCAA North Region Coordinator | NCCAA 1<sup>st</sup> Vice-President  
Indian Trails Conference President

o. 920.206.2377 745 West Main Street  
c. 920.342.2035 Watertown, WI 53094

mbu.edu [rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)

---

**From:** Rob Thompson  
**Sent:** Wednesday, February 20, 2019 4:27 PM  
**To:** 'Joseph M. Waalk' <[jmwaalk@northcentral.edu](mailto:jmwaalk@northcentral.edu)>; Heather Pennie <[penniehl@morris.umn.edu](mailto:penniehl@morris.umn.edu)>  
**Subject:** RE: NCU Dome Schedule Update

Joseph & Heather,

After exhausting all of our recruiting efforts, we have reached a decision that due to academic, financial, and physical issues, we cannot field a viable softball team for the 2019 season. Therefore, Maranatha Baptist University is cancelling all 2019 spring season softball games.

We know this will impact your program in respect to countable contests, and we sincerely apologize for that. We are increasing our recruiting efforts moving forward and hope that you will consider this a brief interruption in our program, and put us back on your schedule for 2020.

Please let me know if you have any questions.

### ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy  
Athletic Director | Softball Head Coach | Women's Basketball Assistant  
NCCAA North Region Coordinator | NCCAA 1<sup>st</sup> Vice-President  
Indian Trails Conference President

o. 920.206.2377 745 West Main Street  
c. 920.342.2035 Watertown, WI 53094

mbu.edu [rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)

---

**From:** Joseph M. Waalk <[jmwaalk@northcentral.edu](mailto:jmwaalk@northcentral.edu)>  
**Sent:** Friday, February 15, 2019 11:00 AM

**To:** Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)>; Heather Pennie <[penniehl@morris.umn.edu](mailto:penniehl@morris.umn.edu)>  
**Cc:** Joseph M. Waalk <[jmwaalk@northcentral.edu](mailto:jmwaalk@northcentral.edu)>  
**Subject:** NCU Dome Schedule Update

Coaches,

Here is an updated schedule for our dome games. All adjustments have been made through UMAC and Rick to make sure we have coverage for umpires. Let me know if you need anything beforehand. Have a great and safe spring trip.

Blessings,  
Joseph Waalk  
Head Softball Coach  
North Central University  
O: 612-343-3543  
C:612-616-5051  
[ncurams.com](http://ncurams.com)

## Rob Thompson

---

**From:** Rob Thompson  
**Sent:** Wednesday, February 20, 2019 4:39 PM  
**To:** Rob Thompson  
**Subject:** FW: 2019 Schedule

### ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy  
Athletic Director | Softball Head Coach | Women's Basketball Assistant  
NCCAA North Region Coordinator | NCCAA 1<sup>st</sup> Vice-President  
Indian Trails Conference President

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c. 920.342.2035 Watertown, WI 53094

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---

**From:** Rob Thompson  
**Sent:** Wednesday, February 20, 2019 4:28 PM  
**To:** 'Perry M Clark' <[clarkpe@mtmary.edu](mailto:clarkpe@mtmary.edu)>  
**Subject:** RE: 2019 Schedule

Perry,

After exhausting all of our recruiting efforts, we have reached a decision that due to academic, financial, and physical issues, we cannot field a viable softball team for the 2019 season. Therefore, Maranatha Baptist University is cancelling all 2019 spring season softball games.

We know this will impact your program in respect to countable contests, and we sincerely apologize for that. We are increasing our recruiting efforts moving forward and hope that you will consider this a brief interruption in our program, and put us back on your schedule for 2020.

Please let me know if you have any questions.

### ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy  
Athletic Director | Softball Head Coach | Women's Basketball Assistant  
NCCAA North Region Coordinator | NCCAA 1<sup>st</sup> Vice-President  
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mbu.edu [rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)

---

**From:** Perry M Clark <[clarkpe@mtmary.edu](mailto:clarkpe@mtmary.edu)>  
**Sent:** Monday, August 20, 2018 4:06 PM

**To:** Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)>

**Subject:** Re: 2019 Schedule

Sorry about the delay but I took the entire summer off for personal reasons. If you haven't filled the dates I could do Friday April 26th at your place and Saturday April 27th at MMU (field location TBA). Please advise

Perry Clark  
Head Softball Coach  
Mount Mary University  
Go Blue Angels!

---

**From:** Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)>

**Sent:** Thursday, June 7, 2018 12:29:51 PM

**To:** Perry M Clark

**Subject:** RE: 2019 Schedule

Hi Perry,

What did you decide for next season? Just 1 DH, or willing to do both H/H? Looking to complete my schedule.

Have several open dates: H-Home available, A-Away available, H/A both

March 26 H/A, 27 H/A

April 2 H/A, 4 H/A, 9 H/A, 11 H/A, 12 H/A, 13 H/A, 16 A, 18 H/A, 19 A, 20 A, 23 A, 25 H/A, 26 A, 27 A

Let me know...thanks.

Thanks

**ROB THOMPSON**

Maranatha Baptist University | Maranatha Baptist Academy  
Athletic Director | Softball Head Coach | Women's Basketball Assistant

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mbu.edu      [rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)

---

**From:** Perry M Clark <[clarkpe@mtmary.edu](mailto:clarkpe@mtmary.edu)>

**Sent:** Tuesday, April 3, 2018 11:22 AM

**To:** Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)>

**Subject:** 2019 Schedule

I'm looking to schedule ONE Double Header against you for next year. I would like it to be a Home game for us in 2019 and then 2020 we would travel to you. I'm looking at Sunday April 28th @ Noon.



I'm really expanding our schedule next season and don't want to play teams more than twice. I hope you understand. Look forward to hearing back from you.

Perry Clark  
Head Softball Coach  
Mount Mary University  
Go Blue Angels!

## Rob Thompson

---

**From:** Rob Thompson  
**Sent:** Wednesday, February 20, 2019 4:39 PM  
**To:** Rob Thompson  
**Subject:** FW: 2019 Softball

### ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy  
Athletic Director | Softball Head Coach | Women's Basketball Assistant  
NCCAA North Region Coordinator | NCCAA 1<sup>st</sup> Vice-President  
Indian Trails Conference President

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c. 920.342.2035 Watertown, WI 53094

mbu.edu [rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)

---

**From:** Rob Thompson  
**Sent:** Wednesday, February 20, 2019 4:29 PM  
**To:** Curtis Wittenberg <[curtis.wittenberg@finlandia.edu](mailto:curtis.wittenberg@finlandia.edu)>  
**Subject:** RE: 2019 Softball

Curtis:

I know you have had a coaching change for softball.

After exhausting all of our recruiting efforts, we have reached a decision that due to academic, financial, and physical issues, we cannot field a viable softball team for the 2019 season. Therefore, Maranatha Baptist University is cancelling all 2019 spring season softball games.

We know this will impact your program in respect to countable contests, and we sincerely apologize for that. We are increasing our recruiting efforts moving forward and hope that you will consider this a brief interruption in our program, and put us back on your schedule for 2020.

Please let me know if you have any questions.

### ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy  
Athletic Director | Softball Head Coach | Women's Basketball Assistant  
NCCAA North Region Coordinator | NCCAA 1<sup>st</sup> Vice-President  
Indian Trails Conference President

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mbu.edu [rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)

**From:** Katherine Hannagan <[katherine.hannagan@finlandia.edu](mailto:katherine.hannagan@finlandia.edu)>  
**Sent:** Wednesday, June 20, 2018 5:02 PM  
**To:** Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)>  
**Subject:** Re: 2019 Softball

Rob,  
I am still waiting to hear from Mt. Mary but I am hoping we can make the 19 and 20th work.

On Tue, Jun 12, 2018 at 11:19 AM, Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)> wrote:

Ok, thanks.

Just let me know when you have some confirming dates.

Have a great summer!

**ROB THOMPSON**

Maranatha Baptist University | Maranatha Baptist Academy

Athletic Director | Softball Head Coach | Women's Basketball Assistant

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[mbu.edu](http://mbu.edu)      [rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)

**From:** Katherine Hannagan <[katherine.hannagan@finlandia.edu](mailto:katherine.hannagan@finlandia.edu)>  
**Sent:** Monday, June 11, 2018 3:42 PM  
**To:** Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)>  
**Subject:** Re: 2019 Softball

Rob,



We can work something out as far as playing each other. I'm hoping to get Mt. Mary on the schedule so we can make it all in one trip.

On Thu, Jun 7, 2018 at 1:36 PM, Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)> wrote:

Hi Katherine,

Looking to get 2019 schedule completed. Are you interested in coming to MBU? Here are dates that we could host for 2 days, DH/DH.

April 1/2, 8/9, 12/13, 15/16, 19/20, 26/27

Let me know.

**ROB THOMPSON**

Maranatha Baptist University | Maranatha Baptist Academy

Athletic Director | Softball Head Coach | Women's Basketball Assistant

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c. 920.342.2035      Watertown, WI 53094

[mbu.edu](http://mbu.edu)      [rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)

--

## Rob Thompson

---

**From:** Rob Thompson  
**Sent:** Wednesday, February 20, 2019 4:39 PM  
**To:** Rob Thompson  
**Subject:** FW: 2019 Softball

### ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy  
Athletic Director | Softball Head Coach | Women's Basketball Assistant  
NCCAA North Region Coordinator | NCCAA 1<sup>st</sup> Vice-President  
Indian Trails Conference President

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c. 920.342.2035      Watertown, WI 53094

mbu.edu              [rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)

---

**From:** Rob Thompson  
**Sent:** Wednesday, February 20, 2019 4:30 PM  
**To:** 'Kirk Shillcox' <[Kirk.Shillcox@sl.edu](mailto:Kirk.Shillcox@sl.edu)>  
**Subject:** RE: 2019 Softball

Kirk,

After exhausting all of our recruiting efforts, we have reached a decision that due to academic, financial, and physical issues, we cannot field a viable softball team for the 2019 season. Therefore, Maranatha Baptist University is cancelling all 2019 spring season softball games.

We know this will impact your program in respect to countable contests, and we sincerely apologize for that. We are increasing our recruiting efforts moving forward and hope that you will consider this a brief interruption in our program, and put us back on your schedule for 2020.

Please let me know if you have any questions.

### ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy  
Athletic Director | Softball Head Coach | Women's Basketball Assistant  
NCCAA North Region Coordinator | NCCAA 1<sup>st</sup> Vice-President  
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---

**From:** Kirk Shillcox <[Kirk.Shillcox@sl.edu](mailto:Kirk.Shillcox@sl.edu)>  
**Sent:** Monday, June 18, 2018 9:09 AM

**To:** Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)>

**Subject:** RE: 2019 Softball

Hi Rob,  
Would April 16 by us and April 25 by you work?  
Thanks  
Kirk

---

**From:** Rob Thompson [[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)]

**Sent:** Friday, June 08, 2018 1:29 PM

**To:** Kirk Shillcox

**Cc:** Derek Domino

**Subject:** 2019 Softball

Hi Kirk,

Hope your summer is going well. I am working on my 2019 schedule, and would like to do a H/H series with SLC again. Interested?

Have several open dates: H-Home available, A-Away available, H/A both

March  
26 H/A

April  
2 H/A  
4 H/A  
9 H/A  
11 H/A  
12 H/A  
13 H/A  
16 A  
18 H/A  
19 A  
20 A  
23 A  
25 H/A  
26 A  
27 A

Let me know...thanks!

**ROB THOMPSON**

Maranatha Baptist University | Maranatha Baptist Academy  
Athletic Director | Softball Head Coach | Women's Basketball Assistant

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## Rob Thompson

---

**From:** Rob Thompson  
**Sent:** Wednesday, February 20, 2019 4:39 PM  
**To:** Rob Thompson  
**Subject:** FW: 2019 Season

### ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy  
Athletic Director | Softball Head Coach | Women's Basketball Assistant  
NCCAA North Region Coordinator | NCCAA 1<sup>st</sup> Vice-President  
Indian Trails Conference President

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mbu.edu              [rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)

---

**From:** Rob Thompson  
**Sent:** Wednesday, February 20, 2019 4:30 PM  
**To:** 'John Richards' <[jrichards@edgewood.edu](mailto:jrichards@edgewood.edu)>  
**Cc:** 'Al Brisack' <[abrisack@edgewood.edu](mailto:abrisack@edgewood.edu)>  
**Subject:** RE: 2019 Season

JP,

After exhausting all of our recruiting efforts, we have reached a decision that due to academic, financial, and physical issues, we cannot field a viable softball team for the 2019 season. Therefore, Maranatha Baptist University is cancelling all 2019 spring season softball games.

We know this will impact your program in respect to countable contests, and we sincerely apologize for that. We are increasing our recruiting efforts moving forward and hope that you will consider this a brief interruption in our program, and put us back on your schedule for 2020.

Please let me know if you have any questions.

### ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy  
Athletic Director | Softball Head Coach | Women's Basketball Assistant  
NCCAA North Region Coordinator | NCCAA 1<sup>st</sup> Vice-President  
Indian Trails Conference President

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mbu.edu              [rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)



---

**From:** John Richards <[jrichards@edgewood.edu](mailto:jrichards@edgewood.edu)>  
**Sent:** Wednesday, June 13, 2018 11:45 AM  
**To:** Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)>  
**Subject:** Re: 2019 Season

Book it

Sent from my iPhone

On Jun 13, 2018, at 9:05 AM, Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)> wrote:

Lets' do Thursday, April 11, 2019.  
2 pm @ Verona?

Thanks JP.

**ROB THOMPSON**

Maranatha Baptist University | Maranatha Baptist Academy  
Athletic Director | Softball Head Coach | Women's Basketball Assistant

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[mbu.edu](http://mbu.edu)      [rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)

---

**From:** John Richards <[jrichards@edgewood.edu](mailto:jrichards@edgewood.edu)>  
**Sent:** Tuesday, June 12, 2018 10:46 PM  
**To:** Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)>  
**Subject:** Re: 2019 Season

Rob  
April 10, 11, 24, 25

JP

Sent from my iPhone

On Jun 7, 2018, at 12:33 PM, Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)> wrote:

JP,

Are you available to schedule a DH for 2019?

We would come to you as we attempted for 2018.

Let me know some dates...

**ROB THOMPSON**

Maranatha Baptist University | Maranatha Baptist Academy  
Athletic Director | Softball Head Coach | Women's Basketball Assistant

o. 920.206.2377	745 West Main Street
c. 920.342.2035	Watertown, WI 53094
<u>mbu.edu</u>	<u>rob.thompson@mbu.edu</u>

## Rob Thompson

---

**From:** Rob Thompson  
**Sent:** Wednesday, February 20, 2019 4:39 PM  
**To:** Rob Thompson  
**Subject:** FW: 2019 Date

ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy Athletic Director | Softball Head Coach | Women's Basketball Assistant NCCAA North Region Coordinator | NCCAA 1st Vice-President Indian Trails Conference President

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c. 920.342.2035 Watertown, WI 53094

mbu.edu rob.thompson@mbu.edu

-----Original Message-----

From: Rob Thompson  
Sent: Wednesday, February 20, 2019 4:31 PM  
To: 'Keener, Joshua' <JKeener@rockford.edu>  
Subject: RE: 2019 Date

Joshua,

After exhausting all of our recruiting efforts, we have reached a decision that due to academic, financial, and physical issues, we cannot field a viable softball team for the 2019 season. Therefore, Maranatha Baptist University is cancelling all 2019 spring season softball games.

We know this will impact your program in respect to countable contests, and we sincerely apologize for that. We are increasing our recruiting efforts moving forward and hope that you will consider this a brief interruption in our program, and put us back on your schedule for 2020.

Please let me know if you have any questions.

ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy Athletic Director | Softball Head Coach | Women's Basketball Assistant NCCAA North Region Coordinator | NCCAA 1st Vice-President Indian Trails Conference President

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c. 920.342.2035 Watertown, WI 53094

mbu.edu rob.thompson@mbu.edu

-----Original Message-----

From: Keener, Joshua <JKeener@rockford.edu>  
Sent: Thursday, June 7, 2018 12:44 PM



To: Rob Thompson <rob.thompson@mbu.edu>  
Subject: Re: 2019 Date

Yes! 3/28 is what I have. 3 and 5p

On Jun 7, 2018, at 12:31 PM, Rob Thompson <rob.thompson@mbu.edu<mailto:rob.thompson@mbu.edu>> wrote:

Hi Josh,

Did we settle on 3/28 at Rockford?

ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy Athletic Director | Softball Head Coach | Women's Basketball Assistant

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c. 920.342.2035      Watertown, WI 53094

mbu.edu<<http://mbu.edu>>      rob.thompson@mbu.edu<mailto:rob.thompson@mbu.edu>

From: Keener, Joshua <JKeener@rockford.edu<mailto:JKeener@rockford.edu>>  
Sent: Wednesday, February 7, 2018 2:50 PM  
To: Rob Thompson <rob.thompson@mbu.edu<mailto:rob.thompson@mbu.edu>>  
Subject: RE: 2019 Date

How about 3/28?

From: Rob Thompson [mailto:rob.thompson@mbu.edu]  
Sent: Wednesday, February 7, 2018 1:36 PM  
To: Keener, Joshua <JKeener@rockford.edu<mailto:JKeener@rockford.edu>>  
Subject: RE: 2019 Date

Joshua,

Hold on!

I was looking at the 2020 calendar...4/10/19 won't work for us after all. We do not play away games on Wednesday evenings....so 3/27, 4/3 and 4/10 will not work. Any other dates available? We also do not play on Sundays.

ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy Athletic Director | Softball Head Coach | Women's Basketball Assistant

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mbu.edu<<http://mbu.edu>>      rob.thompson@mbu.edu<mailto:rob.thompson@mbu.edu>

From: Rob Thompson  
Sent: Wednesday, February 7, 2018 1:34 PM  
To: 'Keener, Joshua' <JKeener@rockford.edu<mailto:JKeener@rockford.edu>>

Subject: RE: 2019 Date

Got it...thanks!

ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy Athletic Director | Softball Head Coach | Women's Basketball Assistant

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c. 920.342.2035      Watertown, WI 53094

mbu.edu<<http://mbu.edu>>      rob.thompson@mbu.edu<<mailto:rob.thompson@mbu.edu>>

From: Keener, Joshua [<mailto:JKeener@rockford.edu>]  
Sent: Wednesday, February 7, 2018 1:29 PM  
To: Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)<<mailto:rob.thompson@mbu.edu>>>  
Subject: RE: 2019 Date

Lets book it! 3 and 5 on 4/10/2019

Thanks coach!

From: Rob Thompson [<mailto:rob.thompson@mbu.edu>]  
Sent: Wednesday, February 7, 2018 1:20 PM  
To: Keener, Joshua <[JKeener@rockford.edu](mailto:JKeener@rockford.edu)<<mailto:JKeener@rockford.edu>>>  
Cc: Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)<<mailto:rob.thompson@mbu.edu>>>  
Subject: RE: 2019 Date

Josh,

That is correct. We have you coming here on Thursday, April 12, 2018 for a 3/5 DH.

For 2019, yes, we will come to Rockford. If still looking at the dates below, the 4/10 date would work well for us.

Let me know.

ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy Athletic Director | Softball Head Coach | Women's Basketball Assistant

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mbu.edu<<http://mbu.edu>>      rob.thompson@mbu.edu<<mailto:rob.thompson@mbu.edu>>

From: Keener, Joshua [<mailto:JKeener@rockford.edu>]  
Sent: Wednesday, February 7, 2018 10:29 AM  
To: Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)<<mailto:rob.thompson@mbu.edu>>>  
Subject: RE: 2019 Date

Check that! Looks like we are going to you this year! My apologies. Would you be interested in coming to us in 2019?

From: Keener, Joshua  
Sent: Tuesday, February 6, 2018 12:50 PM  
To: 'rob.thompson@mbu.edu<mailto:rob.thompson@mbu.edu>'  
<rob.thompson@mbu.edu<mailto:rob.thompson@mbu.edu>>  
Subject: 2019 Date

Coach,

My name is Josh Keener and I have recently taken over as Head Softball Coach at Rockford University. I wanted to reach out in regards to scheduling DH for 2019.

Looks like it's our turn to head to your place. I was hoping to get something schedule for 3/27, 4/3 or 4/10.

When you have time, let me know if any of those dates work for you or if you have another in mind!

Thanks for your time,

Josh Keener  
Head Softball Coach

<image002.jpg>

5050 E. State Street  
Rockford, IL 61108

direct 815.394.5007 ? cell 815.693.2657 ? fax 815.226.4166 JKeener@rockford.edu<mailto:JKeener@rockford.edu> ?  
www.rockford.edu<http://www.rockford.edu/>

Rock Solid.

## Rob Thompson

---

**From:** Rob Thompson  
**Sent:** Wednesday, February 20, 2019 4:39 PM  
**To:** Rob Thompson  
**Subject:** FW: Possible Softball Games with Martin Luther College

### ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy  
Athletic Director | Softball Head Coach | Women's Basketball Assistant  
NCCAA North Region Coordinator | NCCAA 1<sup>st</sup> Vice-President  
Indian Trails Conference President

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c. 920.342.2035      Watertown, WI 53094

mbu.edu      [rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)

---

**From:** Rob Thompson  
**Sent:** Wednesday, February 20, 2019 4:31 PM  
**To:** 'Randall Cox' <[coxra@mlc-wels.edu](mailto:coxra@mlc-wels.edu)>  
**Subject:** RE: Possible Softball Games with Martin Luther College

Randy,

After exhausting all of our recruiting efforts, we have reached a decision that due to academic, financial, and physical issues, we cannot field a viable softball team for the 2019 season. Therefore, Maranatha Baptist University is cancelling all 2019 spring season softball games.

We know this will impact your program in respect to countable contests, and we sincerely apologize for that. We are increasing our recruiting efforts moving forward and hope that you will consider this a brief interruption in our program, and put us back on your schedule for 2020.

Please let me know if you have any questions.

### ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy  
Athletic Director | Softball Head Coach | Women's Basketball Assistant  
NCCAA North Region Coordinator | NCCAA 1<sup>st</sup> Vice-President  
Indian Trails Conference President

o. 920.206.2377      745 West Main Street  
c. 920.342.2035      Watertown, WI 53094

mbu.edu      [rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)

**From:** Randall Cox <[coxra@mlc-wels.edu](mailto:coxra@mlc-wels.edu)>  
**Sent:** Monday, May 21, 2018 8:42 AM



**To:** Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)>

**Subject:** Re: Possible Softball Games with Martin Luther College

Rob,

Times will work on our end, I will put it on our schedule for 2019, thank you.

Randy

**Randy Cox**

*Assistant Athletic Director*

*Head Baseball Coach*

*Assistant Football Coach*

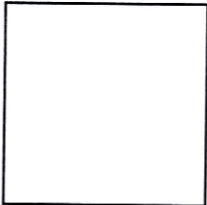
*Director of the Academic Success Center*

**MARTIN LUTHER COLLEGE KNIGHTS**

[coxra@mlc-wels.edu](mailto:coxra@mlc-wels.edu)

(C) 269.930.5629

(O) 507.233.9132



Error! Filename not specified.

On Fri, May 18, 2018 at 12:51 PM, Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)> wrote:

Hi Randall,

Yes, that would work.

Friday, April 5 @ 5 pm

Saturday, April 6 @ 12 noon

Thanks,

**ROB THOMPSON**

Maranatha Baptist University | Maranatha Baptist Academy

o. 920.206.2377      745 West Main Street

c. 920.342.2035      Watertown, WI 53094

mbu.edu              rob.thompson@mbu.edu

**From:** Randall Cox <[coxra@mlc-wels.edu](mailto:coxra@mlc-wels.edu)>

**Sent:** Friday, May 18, 2018 10:33 AM

**To:** Rob Thompson <[rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)>

**Subject:** Possible Softball Games with Martin Luther College

Rob,

Are you interested in four games with Martin Luther College on Friday April 5 and Saturday April 6, it is our conference bye weekend. We would be willing to travel to Watertown.

Randy

**Randy Cox**

*Assistant Athletic Director  
Head Baseball Coach*

*Assistant Football Coach*

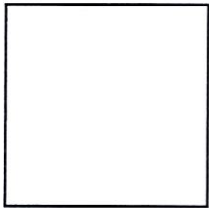
*Director of the Academic Success Center*

**MARTIN LUTHER COLLEGE KNIGHTS**

[coxra@mlc-wels.edu](mailto:coxra@mlc-wels.edu)

**(C) 269.930.5629**

**(O) 507.233.9132**



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## Rob Thompson

---

**From:** Rob Thompson  
**Sent:** Wednesday, February 20, 2019 4:38 PM  
**To:** Rob Thompson  
**Subject:** FW: Maranatha Softball

### ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy  
Athletic Director | Softball Head Coach | Women's Basketball Assistant  
NCCAA North Region Coordinator | NCCAA 1<sup>st</sup> Vice-President  
Indian Trails Conference President

o. 920.206.2377 745 West Main Street  
c. 920.342.2035 Watertown, WI 53094

mbu.edu rob.thompson@mbu.edu

---

**From:** Rob Thompson  
**Sent:** Wednesday, February 20, 2019 4:38 PM  
**To:** 'kerry.crum@blackburn.edu' <kerry.crum@blackburn.edu>  
**Subject:** Maranatha Softball

Kerry,

After exhausting all of our recruiting efforts, we have reached a decision that due to academic, financial, and physical issues, we cannot field a viable softball team for the 2019 season. Therefore, Maranatha Baptist University is cancelling all 2019 spring season softball games.

We know this will impact your program in respect to countable contests, and we sincerely apologize for that. We are increasing our recruiting efforts moving forward and hope that you will consider this a brief interruption in our program, and put us back on your schedule for 2020.

Please let me know if you have any questions.

### ROB THOMPSON

Maranatha Baptist University | Maranatha Baptist Academy  
Athletic Director | Softball Head Coach | Women's Basketball Assistant  
NCCAA North Region Coordinator | NCCAA 1<sup>st</sup> Vice-President  
Indian Trails Conference President

o. 920.206.2377 745 West Main Street  
c. 920.342.2035 Watertown, WI 53094

mbu.edu [rob.thompson@mbu.edu](mailto:rob.thompson@mbu.edu)





# SOFTBALL

## MARANATHA BAPTIST UNIVERSITY 2019 SCHEDULE

Date	Opponent	Location	Time
Thursday, March 14, 2019	North Central University Tournament	Plymouth, MN	8A/10A/12P
Friday, March 15, 2019	North Central University Tournament	Plymouth, MN	8A/10A/12P
Thursday, March 28, 2019	Rockford University	Rockford, IL	3:00 PM
Saturday, March 30, 2019	North Central University	Minneapolis, MN	12:00 PM
<b>Friday, April 5, 2019</b>	<b>Martin Luther College</b>	<b>HOME</b>	<b>5:00 PM</b>
<b>Saturday, April 6, 2019</b>	<b>Martin Luther College</b>	<b>HOME</b>	<b>12:00 PM</b>
Thursday, April 11, 2019	Edgewood College	Verona, WI	2:00 PM
Tuesday, April 16, 2019	Silver Lake College	Twin Rivers, WI	5:00 PM
<b>Friday, April 19, 2019</b>	<b>Finlandia University</b>	<b>HOME</b>	<b>5:00 PM</b>
<b>Saturday, April 20, 2019</b>	<b>Finlandia University</b>	<b>HOME</b>	<b>12:00 PM</b>
<b>Monday, April 22, 2019</b>	<b>Blackburn College</b>	<b>HOME</b>	<b>2:00 PM</b>
<b>Thursday, April 25, 2019</b>	<b>Silver Lake College</b>	<b>HOME</b>	<b>5:00 PM</b>
<b>Friday, April 26, 2019</b>	<b>Mount Mary University</b>	<b>HOME</b>	<b>5:00 PM</b>
Saturday, April 27, 2019	Mount Mary University	Milwaukee, WI	2:00 PM

Head Coach: Robert Thompson  
Assistant Coach: Tina Steuerwald

Bold Indicates Home Game  
Home Games Played at Brandt-Quirk Park

# NCAA DIVISION III -- ANNUAL SPORTS-SPONSORSHIP SUMMARY REPORT FOR MEN'S SPORTS

(FORM B)

Academic Year: 2018-19

Sport (Men's)	Contests Minimum (Per Bylaw 20.11.3.8)	Minimum Participants (Per Bylaw 20.11.3.8)	Contests Scheduled	Contests Completed	Beginning Roster (First Date of Competition)	Ending Roster (Last Date of Competition)	Season (Fall, winter, spring)	Countable Toward Sports Sponsorship (Per Bylaw 20.11.3)? (Y/N)
Baseball	25	n/a	34	24	15	15	Spring	Y
Basketball	18	n/a	24+	27	24	23	Winter	Y
•Cross Country	5	5	6	5	8	7	Fall	Y
•Fencing	8	5						
Football	7	n/a						
•Golf	6	5						
•Gymnastics	6	6						
Ice Hockey	18	n/a						
Lacrosse	10	n/a						
•Rifle	6	4						
•Skiing	5	5						
Soccer	13	n/a	17+	19	23	23	Fall	Y
•Swimming and Diving	8	8						
•Tennis	10	6						
•Indoor Track and Field	5	10						
•Outdoor Track and Field	6	12						
Volleyball	17	n/a	26	26	11	11	Spring	Y
Water Polo	13	n/a						
•Wrestling	7	6						

• - Indicates sport is an individual sport.

# NCAA DIVISION III -- ANNUAL SPORTS-SPONSORSHIP SUMMARY REPORT FOR WOMEN'S SPORTS

(FORM B)

Academic Year: 2018-19

Sport (Women's)	Contests Minimum (Per Bylaw 20.11.3.8)	Minimum Participants (Per Bylaw 20.11.3.8)	Contests Scheduled	Contests Completed	Beginning Roster (First Date of Competition)	Ending Roster (Last Date of Competition)	Season (Fall, winter, spring)	Countable Toward Sports Sponsorship (Per Bylaw 20.11.3)? (Y/N)
Basketball	18	n/a	21+	26	12	12	Winter	Y
•Bowling	8	5						
•Cross Country	5	5	6	4 + (1 DNF)	6	6	Fall	Y
•Fencing	8	5						
Field Hockey	12	n/a						
•Golf	6	5						
•Gymnastics	6	5						
Ice Hockey	18	n/a						
Lacrosse	10	n/a						
•Rifle	6	4						
Rowing	8	n/a						
Rugby	8	n/a						
•Skiing	5	5						
Soccer	13	n/a	15+	16	23	22	Fall	Y
Softball	24	n/a	30	0	N/A	N/A	Spring	Y
•Swimming and Diving	8	8						
•Tennis	10	6						
•Triathlon	4	3						
•Indoor Track and Field	5	10						
•Outdoor Track and Field	6	12						
Volleyball	17	n/a	28+	37	18	18	Fall	Y
Water Polo	13	n/a						

• - Indicates sport is an individual sport.

# NCAA DIVISION III INDIVIDUAL SPORTS SPONSORSHIP

## COMPLIANCE WORKSHEET

(FORM A)

Individual/Sport: CROSS COUNTRY

Men's Team

☒

Women's Team

☐

Mixed Team

☐

Date of  
Contest

Opponents(s)/Location(s)

Number of  
Participants  
in Contest

Results

9/8/2018

Ken Weidt Classic / Mequon, WI

6

4th out of 6

9/29/2018

Warrior Classic / West Allis, WI

7

8th out of 10

10/5/2018

Bethel Invitational / South Bend, IN

7

13th out of 14

10/13/2018

Wisconsin Private College / Green Lake, WI

6

10 out of 10

10/19/2018

Oshkosh Open / Winneconne, WI

Canceled

Canceled

11/10/2018

NCCAA Championship / Point Lookout, MO

7

4 out of 5



## NCAA DIVISION III INDIVIDUAL SPORTS SPONSORSHIP

# COMPLIANCE WORKSHEET

## (FORM A)

**Individual/Sport:** CROSS COUNTRY

**Men's Team** ☐ **Women's Team** ☒ **Mixed Team** ☐

[illegible]

# NCAA DIVISION III INDIVIDUAL SPORTS SPONSORSHIP

## COMPLIANCE WORKSHEET (FORM A)

**Individual/Sport:** VOLLEYBALL

**Men's Team** ☐ **Women's Team** ☒ **Mixed Team** ☐

Date of Contest	Opponents(s)/Location(s)	Number of Participants in Contest	Results
8/31/2018	Oak Hills Christian College / Ankeny, IA	18	W, 3-0
8/31/2018	Ozark Christian College / Ankeny, IA	18	W, 3-0
9/1/2018	Randall University / Ankeny, IA	18	W, 3-0
9/1/2018	Cottey College / Ankeny, IA	18	W, 3-0
9/7/2018	Benedictine University / Milwaukee, WI	18	L, 0-3
9/7/2018	UW Wisconsin River Falls / Milwaukee, WI	18	L, 1-3
9/8/2018	Milwaukee School of Eng. / Milwaukee, WI	18	W, 3-0
9/8/2018	Alverno College / Milwaukee, WI	18	W, 3-0
9/11/2018	Emmaus Bible College / Watertown, WI	18	L, 1-3
9/14/2018	Marian University / Fond du Lac, WI	18	L, 1-3
9/15/2018	Moody Bible College / Rockford, IL	18	W, 3-0
9/15/2018	Silver Lake College / Rockford, IL	18	W, 3-0
9/18/2018	Beloit College / Watertown, WI	18	L, 2-3
9/20/2018	Wisconsin Lutheran College / Watertown, WI	18	L, 2-3
9/22/2018	Anderson / Naperville, IL	18	L, 2-3
9/22/2018	North Central College / Naperville, IL	18	L, 2-3
9/25/2018	Rockford University / Watertown, WI	18	W, 3-0
9/28/2018	Finlandia University / Watertown, WI	18	W, 3-0
9/28/2018	Northland College / Watertown, WI	18	W, 3-0
9/29/2018	Milwaukee School of Eng. / Watertown, WI	18	L, 2-3
10/6/2018	Grace Christian University / Manitowoc, WI	18	W, 3-0
10/6/2018	Silver Lake College / Manitowoc, WI	18	W, 3-0
10/13/2018	Alverno College / Watertown, WI	18	W, 3-0
10/20/2018	Judson University / Dubuque, IA	18	L, 1-3
10/20/2018	Emmaus Bible College / Dubuque, IA	18	W, 3-2
10/26/2018	Milwaukee School of Eng. / Milwaukee, WI	18	W, 3-0
10/26/2018	Wisconsin Lutheran College / Milwaukee, WI	18	L, 1-3
10/27/2018	Central College / Milwaukee, WI	18	W, 3-1

<u>10/27/2018</u>	<u>UW Wisconsin River Falls / Milwaukee, WI</u>	<u>18</u>	<u>L, 0-3</u>
<u>11/2/2018</u>	<u>Oak Hills Christian College / Ankeny, IA</u>	<u>18</u>	<u>W, 3-0</u>
<u>11/2/2018</u>	<u>Providence University College / Ankeny, IA</u>	<u>18</u>	<u>W, 3-0</u>
<u>11/3/2018</u>	<u>Emmaus Bible College / Ankeny, IA</u>	<u>18</u>	<u>W, 3-0</u>
<u>11/15/2018</u>	<u>Arlington Baptist University / Greenville, SC</u>	<u>18</u>	<u>W, 3-0</u>
<u>11/15/2018</u>	<u>Toccoa Falls College / Greenville, SC</u>	<u>18</u>	<u>W, 3-2</u>
<u>11/16/2018</u>	<u>Geneva College / Greenville, SC</u>	<u>18</u>	<u>W, 3-2</u>
<u>11/16/2018</u>	<u>Emmaus Bible College / Greenville, SC</u>	<u>18</u>	<u>W, 3-1</u>
<u>11/17/2018</u>	<u>Bob Jones University / Greenville, SC</u>	<u>18</u>	<u>L, 0-3</u>



## NCAA DIVISION III INDIVIDUAL SPORTS SPONSORSHIP

## COMPLIANCE WORKSHEET

### (FORM A)

**Individual/Sport:** SOCCER

7

**Date of Contest****Opponents(s)/Location(s)**

### Number of Participants in Contest

## Results

[illegible]



## NCAA DIVISION III INDIVIDUAL SPORTS SPONSORSHIP

## COMPLIANCE WORKSHEET

(FORM A)

**Individual/Sport:** \_\_\_\_\_ **SOCCKER**

Men's Team ☐

**Women's Team** ☒

Mixed Team ☐**Date of Contest****Opponents(s)/Location(s)**

**Number of  
Participants  
in Contest**

## Results

8/31/2018	Cornell College / Mt. Vernon, IA	23	L 0-5
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9/4/2018	Lakeland University / Plymouth, WI	23	W. 1-0
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9/6/2018	North Central University / Watertown, WI	23	W. 6-0
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9/7/2018	Central College / Watertown, WI	23	L 0-7
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9/13/2018	Marian University / Fon du Lac, WI	23	L. 0-5
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9/21/2018	Blackburn College / Carlinville, IL	22	W 3-1
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9/22/2018	Greenville College / Greenville, IL	22	1.0-7
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9/25/2018	Finlandia University / Watertown, WI	22	T. 2-2
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9/28/2018	Grace Christian University / Wyoming, MI	22	L 0-2
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10/2/2018	Rockford University / Rockford, IL	22	W. 3-0
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10/5/2018	Mount Mary University / Watertown, WI	22	W. 6-0
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10/9/2018	Lincoln Christian University / Watertown, WI	22	W 8-1
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10/13/2018	Faith Baptist Bible College / Ankeny, IA	22	W 4-1
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10/19/2018	Edgewood College / Watertown, WI	22	1-2
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10/27/2018	Faith Baptist Bible College / Watertown, WI	22	W 4-0
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11/3/2018	Providence University College / Watertown, WI	22	2-3
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# NCAA DIVISION III INDIVIDUAL SPORTS SPONSORSHIP

## COMPLIANCE WORKSHEET (FORM A)

Individual/Sport: BASKETBALL

Men's Team

☐

Women's Team

☒

Mixed Team

☐

Date of Contest	Opponents(s)/Location(s)	Number of Participants in Contest	Results
11/27/2018	Lawrence University / Appleton, WI	12	L, 49-69
11/30/2018	Northland College / Milwaukee, WI	12	W, 63-58
12/3/2018	North Central University / Watertown, WI	12	L, 62-69
12/8/2018	Emmaus Bible College / Watertown, WI	12	L, 64-71
12/10/2018	Alverno College / Watertown, WI	12	W, 60-45
1/8/2019	Grace Christian University / Wyoming, MI	12	L, 47-52
1/10/2019	Lincoln Christian University / Lincoln, IL	12	L, 49-62
1/12/2019	Silver Lake College / Manitowoc, WI	12	W, 68-64
1/18/2019	Assoc. Free Lutheran / Watertown, WI	12	W, 72-30
1/19/2019	Crown College / Watertown, WI	12	W, 61-46
1/24/2019	Wisconsin Lutheran College / Watertown, WI	12	L, 42-71
1/26/2019	Milwaukee School of Eng. / Watertown, WI	12	W, 68-57
2/1/2019	Grace Christian University / Watertown, WI	12	W, 57-43
2/2/2019	Faith Baptist Bible College / Ankeny, IA	12	W, 70-35
2/5/2019	Silver Lake College / Watertown, WI	12	W, 62-40
2/8/2019	Emmaus Bible College / Dubuque, IA	12	W, 69-68
2/9/2019	Martin Luther College / Watertown, WI	12	W, 59-42
2/11/2019	Rockford University / Watertown, WI	12	W, 76-43
2/14/2019	Lincoln Christian University / Watertown, WI	12	W, 62-53
2/16/2019	Mount Mary University / Milwaukee, WI	12	W, 72-48
2/19/2019	Mount Mary University / Watertown, WI	12	W, 72-48
2/22/2019	Emmaus Bible College / Dubuque, IA	12	W, 74-68
2/23/2019	Providence University College / Dubuque, IA	12	W, 78-67
3/7/2019	Bob Jones University / Greenville, SC	12	W, 75-49
3/8/2019	Arlington Baptist College / Greenville, SC	12	L, 50-62
3/9/2019	Randall University / Greenville, SC	12	W, 89-44



# NCAA DIVISION III INDIVIDUAL SPORTS SPONSORSHIP

## COMPLIANCE WORKSHEET (FORM A)

**Individual/Sport:** BASKETBALL

**Men's Team** ☒ **Women's Team** ☐ **Mixed Team** ☐

Date of Contest	Opponents(s)/Location(s)	Number of Participants in Contest	Results
11/10/2018	Dominican University / Watertown, WI	24	W, 76-65
11/13/2018	Knox College / Galesburg, IL	24	L, 68-71
11/17/2018	Wisconsin Lutheran College / Milwaukee, WI	24	W, 81-75
11/27/2018	Cornell College / Mount Vernon, IA	24	L, 72-86
11/29/2018	North Central University / Minneapolis, MN	24	L, 65-91
12/1/2018	Northland College / Ashland, WI	24	W, 75-66
12/8/2018	Emmaus Bible College / Watertown, WI	24	W, 84-69
12/13/2018	Milwaukee School of Eng. / Milwaukee, WI	24	L, 67-91
1/5/2019	Martin Luther College / New Ulm, MN	23	W, 62-53
1/8/2019	Great Lakes Christian College / Lansing, MI	23	L, 75-88
1/10/2019	Lincoln Christian University / Lincoln, IL	23	L, 66-89
1/12/2019	Silver Lake College / Manitowoc, WI	23	L, 75-77
1/17/2019	Dayspring Bible College / Lake Zurich, IL	23	W, 70-52
1/18/2019	Assoc. of Free Lutheran / Watertown, WI	23	W, 80-72
1/19/2019	Crown College / Watertown, WI	23	L, 63-74
1/26/2019	Moody Bible Institute / Chicago, IL	23	W, 70-65
1/29/2019	Luther College / Watertown, WI	23	Canceled / Weather
2/1/2019	Emmaus Bible College / Dubuque, IA	23	W, 92-78
2/2/2019	Faith Baptist Bible College / Ankeny, IA	23	W, 90-54
2/5/2019	Silver Lake College / Watertown, WI	23	L, 55-71
2/9/2019	Martin Luther College / Watertown, WI	23	W, 82-76
2/12/2019	Moody Bible Institute / Watertown, WI	23	Canceled / Weather
2/14/2019	Lincoln Christian University / Watertown, WI	23	W, 91-79
2/16/2019	Dayspring Bible College / Watertown, WI	23	W, 71-53
2/22/2019	Emmaus Bible College / Dubuque, IA	23	W, 81-51
2/23/2019	Moody Bible College / Dubuque, IA	23	W, 72-58
3/7/2019	Randall University / Greenville, SC	23	W, 73-62
3/8/2019	Great Lakes Christian College / Greenville, SC	23	L, 61-81



# NCAA DIVISION III INDIVIDUAL SPORTS SPONSORSHIP

## COMPLIANCE WORKSHEET (FORM A)

Individual/Sport: VOLLEYBALL

Men's Team

☒

Women's Team

☐

Mixed Team

☐

Date of Contest	Opponents(s)/Location(s)	Number of Participants in Contest	Results
1/18/2019	St. Xavier / Watertown, WI	11	L, 0-3
1/25/2019	Campbellsville / Palos Heights, IL	11	L, 1-3
1/25/2019	Trinity Christian College / Palos Heights, IL	11	L, 0-3
1/26/2019	Bluefield College / Palos Heights, IL	11	L, 0-3
1/26/2019	Brewton-Parker / Palos Heights, IL	11	L, 1-3
2/1/2019	Concordia University WI / Mequon, WI	11	L, 0-3
2/2/2019	Fontbonne / Watertown, WI	11	L, 1-3
2/9/2019	Viterbo / La Crosse, WI	11	L, 0-3
2/9/2019	Dordt / La Crosse, WI	11	L, 0-3
2/15/2019	Adrian / Adrian, MI	11	L, 0-3
2/16/2019	Greenville / Angola, IN	11	L, 0-3
2/16/2019	Trine / Angola, IN	11	L, 0-3
2/22/2019	Lincoln College / Lincoln, IL	11	Canceled - Conflict / Overbooked
2/23/2019	Fontbonne / St. Louis, MO	11	L, 0-3
2/23/2019	Greenville / St. Louis, MO	11	L, 0-3
2/25/2019	Marian University / Watertown, WI	11	L, 1-3
2/28/2019	Robert Morris / Watertown, WI	11	L, 0-3
3/1/2019	St. Xavier / Chicago, IL	11	L, 0-3
3/2/2019	Lakeland University / Chicago, IL	11	L, 0-3
3/2/2019	Illinois Institute of Tech. / Chicago, IL	11	L, 0-3
3/8/2019	North Park University / Watertown, WI	11	W, 3-1
3/9/2019	Viterbo / Watertown, WI	11	L, 1-3
3/9/2019	Loras / Watertown, WI	11	L, 0-3
3/11/2019	Graceland University / Watertown, WI	11	L, 1-3
3/12/2019	Illinois Institute of Tech. / Watertown, WI	11	L, 0-3
3/30/2019	Loras / Dubuque, IA	11	L, 0-3
4/5/2019	North Park University / Chicago, IL	11	L, 0-3



# NCAA DIVISION III INDIVIDUAL SPORTS SPONSORSHIP

## COMPLIANCE WORKSHEET

(FORM A)

Individual/Sport: BASEBALL

Men's Team

☒

Women's Team

☐

Mixed Team

☐

Date of Contest	Opponents(s)/Location(s)	Number of Participants in Contest	Results
3/28/2019	North Central University / Minneapolis, MN	15	L, 0-22
3/28/2019	North Central University / Minneapolis, MN	15	L, 2-11
3/29/2019	North Central University / Minneapolis, MN	15	L, 0-7
3/29/2019	North Central University / Minneapolis, MN	15	L, 1-11
4/5/2019	North Central University / Watertown, WI	15	L, 3-13
4/5/2019	North Central University / Watertown, WI	15	L, 0-4
4/6/2019	North Central University / Watertown, WI	15	L, 1-11
4/6/2019	North Central University / Watertown, WI	15	W, 5-1
4/8/2019	Trinity Int'l University / Deerfield, IL	15	L, 1-20
4/8/2019	Trinity Int'l University / Deerfield, IL	15	L, 1-9
4/9/2019	Illinois Institute of Tech / Chicago, IL	15	L, 0-20
4/9/2019	Illinois Institute of Tech / Chicago, IL	15	L, 4-5
4/11/2019	Rockford University / Rockford, IL	15	Canceled/Weather
4/11/2019	Rockford University / Rockford, IL	15	Canceled/Weather
4/12/2019	Marian University / Fond du Lac, WI	15	Canceled/Weather
4/16/2019	Illinois Institute of Tech / Watertown, WI	15	L, 3-21
4/16/2019	Illinois Institute of Tech / Watertown, WI	15	L, 1-18
4/19/2019	Lincoln Christian University / Watertown, WI	15	L, 3-17
4/19/2019	Lincoln Christian University / Watertown, WI	15	L, 2-8
4/20/2019	Lincoln Christian University / Watertown, WI	15	W, 17-5
4/20/2019	Lincoln Christian University / Watertown, WI	15	L, 3-4
4/23/2019	Wisconsin Lutheran College / Watertown, WI	15	L, 3-17
4/23/2019	Wisconsin Lutheran College / Watertown, WI	15	L, 0-6
4/25/2019	Finlandia University / Watertown, WI	15	Canceled / Conference Conflict
4/25/2019	Finlandia University / Watertown, WI	15	Canceled / Conference Conflict
4/25/2019	Lakeland University / Plymouth, WI	15	L, 0-8
4/25/2019	Lakeland University / Plymouth, WI	15	L, 0-15
4/26/2019	Finlandia University / Watertown, WI	15	Canceled / Conference Conflict

<u>4/26/2019</u>	<u>Finlandia University / Watertown, WI</u>	<u>15</u>	<u>Canceled / Conference Conflict</u>
<u>4/26/2019</u>	<u>Dominican University / River Forest, IL</u>	<u>15</u>	<u>Canceled / Conference Conflict</u>
<u>4/26/2019</u>	<u>Edgewood College / Verona, WI</u>	<u>15</u>	<u>L, 0-5</u>
<u>4/26/2019</u>	<u>Edgewood College / Verona, WI</u>	<u>15</u>	<u>L, 1-5</u>
<u>4/29/2019</u>	<u>Trinity Int'l University / Watertown, WI</u>	<u>15</u>	<u>Canceled / Conference Conflict</u>
<u>4/29/2019</u>	<u>Trinity Int'l University / Watertown, WI</u>	<u>15</u>	<u>Canceled / Conference Conflict</u>



EXCERPT FROM THE REPORT OF THE  
NCAA DIVISION III NOMINATING COMMITTEE  
JUNE 11, 2019, TELECONFERENCE

**ACTION ITEMS.**

**1. Legislative items.**

- None.

**2. Nonlegislative items.**

**a. Governance Committee Appointments.** [Attachment A]

(1) Recommendation. Approve the following committee appointment:

- NCAA Division III Championships Committee (one immediate vacancy replacing Brian Jamros). Robin K. Baker, senior woman administrator/associate athletic director, University of Wisconsin-Eau Claire, Wisconsin Intercollegiate Athletic Conference.

(2) Effective Date. Immediate.

(3) Rationale. The committee reviewed the slate of nominees for each committee and forwards this individual for appointment.

(4) Estimated Budget Impact. None.

(5) Student-Athlete Impact. None.

**INFORMATIONAL ITEMS.**

**1. Welcome.** The chair welcomed the committee.

**2. Reports of Previous Meetings.** The committee approved the reports from its February 26, 2019, meeting.

**3. Other Sport and Sports Rules Committee Appointments.** Due to time constraints, the committee will review the remaining sport and sport rule committee nominees on a teleconference that will be scheduled the week of June 24<sup>th</sup>.

**4. Informational Documents.** The committee reviewed the conference representation, resignation and under-represented conferences list.



**5. Solicitation of Additional nominees.** The committee requested that additional nominations be sought for the following committees:

- a. NCAA Division III Men's Golf Committee – Midwest and Mid-Atlantic regions; coaches or administrators.
- b. NCAA Division III Women's Golf Committee – coach or administrator.
- c. NCAA Division III Women's Rowing Committee – South region; coach or administrator.
- d. NCAA Division III Women's Softball Committee – Great Lakes and East regions; coaches or administrators.
- e. NCAA Division III Men's and Women's Track and Field and Cross-Country Committee – North Atlantic region; coach or administrator.

**6. Future Meetings.** The committee selected the following meeting dates:

- a. Teleconference – staff will send out calendars to schedule an additional teleconference in June and August 2019.
- b. In-person meeting – Tuesday, September 10, 2019. The meeting will begin at 8 a.m. and adjourn by noon.

*Committee Chair:* Jennifer Myhre, Anderson University (Indiana)  
*Staff Liaison:* Jennifer Roe, Law, Policy and Governance

<b>Division III Nominating Committee June 11, 2019, Teleconference</b>	
<b>Attendees:</b>	
Andrea Belis, Becker College.	
Rahsaan Carlton, Penn State Harrisburg.	
Robert Davis Jr., University of Scranton.	
Michael Harrison, Framingham State University.	
Keri Luchowski, North Coast Athletic Conference.	
Lori Mazza, Western Connecticut State University.	
Josh Merchant, Buena Vista University.	
Jennifer Myhre, Anderson University (Indiana).	
<b>Absentees:</b>	
None	
<b>Guests in Attendance:</b>	
None	
<b>NCAA Staff Support in Attendance:</b>	
Gloria Roseman Jennifer Roe	
<b>Other NCAA Staff Members in Attendance:</b>	
Jackie Campbell; Louise McCleary	

## 2019 DIVISION III CHAMPIONSHIPS COMMITTEE

Composition: Nine members, including the Management Council Chair, two other Management Council members, three men, three women, one student-athlete, one ethnic minority. Must include one representative per region.

Vacancies: **One June 2019 vacancy. Brian Jamros is leaving his institution. Must be from Region 4.**

Staff Liaison: Liz Suscha

REG.	GEN.	E/M	POS.	NAME AND INSTITUTION	CONFERENCE	1/19-1/20	1/20-21	1/21-1/22	1/22-1/23
3	F	Y	AD	Penny Siqueiros Wesleyan College (Georgia)	USA South Athletic	Siqueiros	Siqueiros	Siqueiros	Siqueiros*
2	F	N	Assoc AD	Susan Fumagalli Gettysburg College	Centennial	Fumagalli*			
1	F	N	AD	Kiki Jacobs Roger Williams University	Commonwealth Coast	Jacobs	Jacobs	Jacobs*	
1	M	N	MC Rep	Jason Fein# Bates College	New England Small College Athletic	Fein	Fein	Fein*#	
3	M	N	MC Rep	Brad Bankston# Old Dominion Athletic Conference	Old Dominion	Bankston#*			
3	F	N	MC Chair	Stevie Baker-Watson DePauw University	North Coast Athletic	Baker- Watson#*			
<b>4</b>	<b>M</b>	<b>N</b>	<b>AD</b>	<b>Brian Jamros The College of St. Scholastica</b>	<b>Upper Midwest Athletic</b>	<b>Jamros</b>	<b>Jamros</b>	<b>Jamros</b>	<b>Jamros*</b>
2	M	N	AD	Bill Stiles Alvernia University	Middle Atlantic States	Stiles*			

\* Not eligible for reappointment.

# Term concurrent with service on D III Management Council.

4/12/19



## Division III Championships Committee Nominee Information

Name:	Robin Baker
Job Title:	Associate AD & SWA
Designation:	
Gender:	F
Ethnic Minority:	N
Institution:	University of Wisconsin-Eau Claire
Conference:	Wisconsin Intercollegiate Athletic Conference
Meet 50% Requirements:	Y
Active Member Institution:	Y
Previous Championships Site Representative:	Y

### Qualification Statement:

I have served on the volleyball and softball sport committees during my 25 years in college athletics. I served as the chair of the softball committee for 2 years as recently as 2014. In my day to day duties at UWEC I am in charge of the athletic department budget and I help arrange travel for the teams, specifically during NCAA championship travel so I believe I have a working knowledge of how the NCAA governs DIII championships. I believe I can bring valuable insight to the Championships Committee based on my experience as a coach and an administrator.

### Past or current Committee Service:

Division III National Softball Coaches Committee Member (2011-2016, Chair, 2012-2014), Division III Great Lakes Softball Region Advisory Committee (2011-2016, Chair 2012-2014), Representative for Division III Softball National Championships (2011-2016), Division III Great Lakes Softball Region Advisory Committee Member (2006-2008), Division III West Region Softball Coaches Ranking Committee Member (1995-1997), Representative for NCAA Division III West Region Softball Tournament (1993, 1995, 1997), Division III Central Region Volleyball Coaches Ranking Committee Member (1997-1999), Representative for NCAA Division III Central Region Volleyball Tournament (1994, 1995)

National Fastpitch Coaches Association (NFCA) - Board of Directors member (2018-present)  
Served on several search committees at UWEC including the 2013 search for Chancellor

### Employment History:

UW-Eau Claire, Eau Claire, WI - 2001 - present - associate athletic director, assistant softball coach, assistant volleyball coach  
Nevada Community Schools, Nevada, IA - 1999-2001 - high school physical education teacher  
Wartburg College, Waverly, IA - 1990-1999 - head softball, head volleyball, Instructor of Physical Education

### Education:

Master's

### Professional Development participation:

Stephen Covey training, Disney customer service training, NACWAA convention, NCAA Convention, National Fastpitch Coaches Association (NFCA) convention



EXCERPT FROM THE REPORT OF THE  
NCAA DIVISION III NOMINATING COMMITTEE  
JUNE 27, 2019, TELECONFERENCE

**ACTION ITEMS.**

**1. Legislative items.**

- None.

**2. Nonlegislative items.**

- **Governance Committee Appointments.** [Attachment]

(1) Recommendation. Approve the following committee appointment:

- NCAA Research Committee (one immediate vacancy replacing Rebecca Kimball). Jodi Canfield, director of athletics, Sweet Briar College, Old Dominion Athletic Conference.

(2) Effective Date. Immediate.

(3) Rationale. The committee reviewed the slate of nominees for each committee and forwards this individual for appointment.

(4) Estimated Budget Impact. None.

(5) Student-Athlete Impact. None.

**INFORMATIONAL ITEMS.**

**1. Welcome.** The chair welcomed the committee.

**2. Reports of Previous Meetings.** The committee approved the reports from its June 11, 2019, meeting.

**3. NCAA Division III Women's Rowing Nominees.** The committee postponed selecting a nominee until the second vacancy closes on July 16.

**4. Informational Documents.** The committee reviewed the conference representation, resignation and under-represented conferences list.

**5. Solicitation of Additional nominees.** The committee requested that additional nominations be sought for the following committees:

- NCAA Division III Men's Soccer Committee – Midwest and Mid-Atlantic regions; coaches or administrators.

**6. Future Meetings.** The committee selected the following meeting dates:

- a. Teleconference – Monday, August 5, 2019.
- b. In-person meeting – Tuesday, September 10, 2019. The meeting will begin at 8 a.m. and adjourn by noon.

*Committee Chair:* Jennifer Myhre, Anderson University (Indiana)  
*Staff Liaison:* Jennifer Roe, Law, Policy and Governance

Division III Nominating Committee June 11, 2019, Teleconference	
<b>Attendees:</b>	
Andrea Belis, Becker College.	
Rahsaan Carlton, Penn State Harrisburg.	
Michael Harrison, Framingham State University.	
Keri Luchowski, North Coast Athletic Conference.	
Lori Mazza, Western Connecticut State University.	
Jennifer Myhre, Anderson University (Indiana).	
<b>Absentees:</b>	
Robert Davis Jr., University of Scranton.	
Josh Merchant, Buena Vista University.	
<b>Guests in Attendance:</b>	
None	
<b>NCAA Staff Support in Attendance:</b>	
Gloria Roseman Jennifer Roe	
<b>Other NCAA Staff Members in Attendance:</b>	
None	

**ATTACHMENT  
SUPPLEMENT NO. 09b**

### 2018-19 RESEARCH COMMITTEE

Legislated Requirements: Ten members, including four from Division I, two from Division II and Division III and two unallocated. In addition, three positions shall be allocated for men, three shall be allocated for women and four unallocated. The membership of the committee shall be constituted as follows: at least three athletics administrators and at least three faculty athletics representatives with appropriate research experience. In Division II and Division III at least one representative will be a member of the Management Council as addressed in Bylaw 21.8 and 21.6.

Duties: Evaluates, supervises and coordinates the Association's research activities. This committee is subject to the Board of Governors.

Vacancies: **One immediate DIII vacancy, replacing Rebecca Kimball who resigned from the committee.** One immediate DI vacancy, replacing Eric Laudano, who resigned from the committee.

Staff Liaison: Lydia Bell

DIV.	EM/GEN	ROLE	NAME, INSTITUTION	CONFERENCE	9/18-9/19	9/19-9/20	9/20-9/21	9/21-9/22
FBS	N/M	Assoc AD	Kyle Young Clemson University	Atlantic Coast	Young	Young	Young	Young*
FCS	N/M	Sr. Assoc AD	Eric Laudano University of Delaware	Colonial Athletic	Laudano	Laudano*		
DI	Y/F	Assoc AD	Adrienne Ridgeway Marquette University	Big East	Ridgeway	Ridgeway	Ridgeway*	
DI (DI)	N/M (N/F)	FAR (FAR)	Mark Davis University of Evansville (Rebecca Spencer University of Massachusetts, Amherst)	Missouri Valley (Atlantic 10)	Davis*	(Spencer)	(Spencer)	(Spencer 9/23*)
II	N/F	SWA/C	Nicole Annaloro Notre Dame de Namur University	Pacific West	Annaloro	Annaloro*		
II	N/M (N/M)	FAR (FAR)	W. Craig Stevens West Chester University of Pennsylvania (David Hunt Augusta University)	Pennsylvania State Athletic (Peach Belt)	Stevens*	(Hunt)	(Hunt)	(Hunt 9/23*)
II	N/F	FAR	Shari Reed <sup>2</sup> University of Charleston	Mountain East	Reed <sup>2</sup> (6/19)	Reed <sup>2</sup>	Reed <sup>2</sup>	Reed <sup>2</sup> (1/24)
<b>III</b>	<b>N/F</b>	<b>Dir of Sports Perf</b>	<b>Rebecca Kimball Wellesley College</b>	<b>New England Women's and Men's Athletic</b>	<b>Kimball</b>	<b>Kimball</b>	<b>Kimball</b>	<b>Kimball*</b>
III	N/M (Y/M)	FAR (FAR)	Kurt Beron University of Texas at Dallas (Sashi Gayadeen)	American Southwest (State University of New York Athletic)	Beron*	(Gayadeen)	(Gayadeen)	(Gayadeen 9/23*)

**ATTACHMENT  
SUPPLEMENT NO. 09b**

			Buffalo State, State University of New York)					
III	N/M	VP Student Affairs	Tim Millerick <sup>3</sup> Austin College	Southern Collegiate Athletic	Millerick <sup>3</sup>	Millerick <sup>3</sup>	Millerick <sup>3</sup>	Millerick <sup>3</sup> (1/22*)

\* Not eligible for reappointment

<sup>2</sup> Term concurrent with service on Division II Management Council   <sup>3</sup> Term concurrent with service on Division III Management Council      6/19/19





## Research Committee Nominee Information

Name:	Jodi A. Canfield
Job Title:	
Designation:	
Gender:	F
Ethnic Minority:	N
Institution:	Sweet Briar College
Conference:	Old Dominion Athletic Conf.
Meet 50% Requirements:	Y
Active Member Institution:	Y
Previous Championships Site Representative:	

### Qualification Statement:

My experience with research is extensive. I have always had intellectual curiosity and been highly data-driven. While I was coaching full-time, I made the commitment to get my MS degree and then complete my doctorate. My dissertation was on physical activity and sedentary behavior in adolescents. My process used questionnaires and a statistical technique called structural equation modeling. Without boring you with the details, there are significant differences in boys and girls motivations and environmental conditions toward each behavior. I have extensive experience in reading and comprehending research design and interpreting results. I thoroughly enjoy this type of work. I know that my qualifications can bring insight and contribute to the research agenda or help guide the interpretation process.

### Past or current Committee Service:

The only NCAA committee I have been a part of was a regional ranking committee for women's lacrosse. Those dates would have been around 2009-2012.

### Employment History:

St. Lawrence University (1999- July 2018) Head women's lacrosse coach until 2012; and then Assistant Athletic Director.  
Sweet Briar College (August 2018- present) Director of Athletics

### Education:

Ph.D

### Professional Development participation:

I have not been a part of any NCAA professional development. Since completing my PhD, I have completed CSCS certification and advanced certifications in nutrition (Precision Nutrition).



REPORT OF THE  
NCAA DIVISION III STUDENT-ATHLETE ADVISORY COMMITTEE  
APRIL 13-14, 2019, MEETING

**ACTION ITEMS.**

- None.

**INFORMATIONAL ITEMS.**

1. **Purpose and Goals for the Meeting.** Prior to commencing work, the chair highlighted the three primary goals for the April 2019 meeting:
  - a. To provide orientation and legislative training for new members and associate members in attendance;
  - b. To establish and finalize committee goals for the 2019-20 academic year; and
  - c. To socialize and establish committee relationships and rapport.
2. **New Committee Members and Orientation.** The committee welcomed the following new committee members:
  - a. Hannah Durst, golf student-athlete, Baldwin Wallace University;
  - b. Braly Keller, football and swimming student-athlete, Nebraska Wesleyan University;
  - c. Christine Mayorga, soccer student-athlete, Montclair State University; and
  - d. Arcel Kabongo-Ngoy, soccer student-athlete, Western New England University.

The new committee members received an orientation and were provided with guidance on committee policies, procedures and expectations.

The following new committee members were unable to attend this meeting and will receive orientation information during the July 2019 meeting:

- a. Lottie Ellis, soccer and softball student-athlete, Crown College (Minnesota);
- b. Michael Litz, tennis student-athlete, Penn State University, Abington; and
- c. Michael McMahon, baseball student-athlete, Becker College.

**3. Policies, Expectations and Administrative Items.** The committee reviewed the following items during the meeting:

- a. Roster. The committee reviewed and made updates to its current roster.
- b. January meeting report. The committee reviewed and approved its January meeting report.
- c. Policies and procedures. The committee reviewed its policies and procedures. It agreed to review a draft, created by the NCAA staff, of updated 'election process' guidelines with the aim to address proxy voting by the associate members.
- d. Committee assignments. The committee reviewed the current committee assignment roster for the Division III and Association-wide governance committees. The committee discussed the importance of regular attendance at Division III and Association-wide governance meetings, as well as the responsibility of committee members to find a substitute if they cannot attend the meeting.
- e. Expectations of serving on SAAC. The committee reviewed the Division III SAAC expectations document, including expectations for attendance and behavior. The committee agreed that it would be helpful to revamp the format of this document and create a one-page infographic summary of it, and directly reference the policies.
- f. Associate member overview. The committee reviewed the associate member overview document.
- g. Robert Rules of Order. The committee reviewed the Roberts Rules of Order guidelines document.
- h. Division III SAAC Liaison Database. Staff provided the committee with an updated listing of all Division III campus SAAC liaisons. This database provides contact information for committee members to send a post-meeting summary and to collect feedback on legislation when necessary.

**4. Special Olympics Update and Engagement.**

- a. Talking points and activity report. Staff introduced the Special Olympics partnership to new members of the committee and reviewed the Special Olympics activity report. The staff emphasized the importance of institutions reporting activities to accurately illustrate the partnership. In addition, they walked

through the important aspects of the partnership and detailed the resources available on the webpage.

- b. 50-for-50th Challenge activity update. The committee heard an update on the current reporting for the 50-for-50th Challenge. Reports continue to be submitted, and it is expected that many schools conducted a Special Olympics activity during Division III Week. The deadline for reporting 50-for-50th Challenge activities is April 30, but institutions are encouraged to report all activities as soon as possible.
  - c. Special Olympic profiles. The Special Olympic profiles during the 50<sup>th</sup> anniversary celebration have been successful and appreciated by the membership. As a result of the appreciation for those profiles, the committee plans to continue releasing a Special Olympics profile on a once-per-month basis. The staff asked the committee members to continue forwarding details on any individuals that would be worthy of a written profile.
  - c. 2019 summer meeting activity. The committee discussed ideas for a Special Olympics activity during the July 2019 meeting. The committee considered several options and suggested basketball, bowling, kickball and swimming as positive options. Staff will work on details to be prepared for the July activity.
  - d. 2020 NCAA Convention. The committee noted that it will again host an activity with Special Olympics at the 2020 Convention in Anaheim, California. Staff will begin working on details for involvement with Special Olympics athletes for a unified event with Convention attendees and bring options for consideration during the committee's July meeting.
5. **Review of Division III and Association-wide Committee Reports.** Committee members provided updates on recent attendance at Association-wide and Division III committee meetings and teleconferences.
6. **Legislative Training.** Staff provided an overview of the NCAA legislative process including, but not limited to, the annual legislative cycle and how legislation can be proposed and adopted in Division III.
7. **Feedback on Legislative Topics.** At the request of the Division III Interpretations and Legislation Committee (ILC), the committee provided feedback on the following legislative topics:

- a. Division I Proposal No. 2018-66 (participation at less than full-time enrollment due to an outstanding minor or certificate program) – the committee provided input on a Division I proposal that allows student-athletes to participate while enrolled part-time during their final semester when all undergraduate degree requirements have been completed but the student-athlete has outstanding requirements for a minor or certificate program. The majority sentiment is that the proposal is beneficial to student-athletes because it lessens the additional cost required to take a ‘full-time’ load when a student-athlete’s only outstanding requirements are for a minor or certificate program.
- b. Nontraditional season in outdoor track and field – the committee discussed whether the sport of outdoor track and field should be allowed to have a fall nontraditional season at schools that sponsor both indoor and outdoor track and field. The committee expressed some negative input toward the concept because of potential burden on coaching staffs and the minimal scope of institutions that would take advantage of this change. The committee was not concerned with the application of the current legislation.

The feedback was shared with the ILC staff liaisons.

**8. Governance Update.** The NCAA governance staff provided the committee with an update on Division III and Association-wide governance, including the following items:

- a. eSports;
- b. Sports wagering;
- c. LGBTQ inclusion initiatives;
- d. Division III week;
- e. Transfer Portal;
- f. Graduation Rate Reporting;
- g. Board of Governors, public member update; and
- h. Gameday the DIII Way.

9. **Student-Athlete Day of Action.** The committee discussed the Student-Athlete Day of Action and collaborated with the Divisions I and II SAACs to make a concerted effort to stand against sexual assault. Division III SAAC continues to stand against sexual assault and desires to bring awareness to the issue. The committee was reminded to encourage its conference and partner conference liaisons to encourage institutions to participate in the Day of Action.
10. **Discussion on Division III Transfer Portal.** The committee provided its perspective on the ongoing discussion related to Division III's use of the online transfer portal. The committee expressed continued support of Division III's use of the portal. The committee continues to believe the self-release is a valuable tool for Division III student-athletes. In addition, the committee expressed a desire for the portal to be a mandatory requirement for Division III.
11. **Review and Discuss Working Group Outcomes and Deliverables from 2018-19.** The committee discussed and heard the following updates on projects from the 2018-19 SAAC subcommittees.
  - a. **SAAC Constitution Examples and Best Practices Document.** The committee reviewed and discussed the Communications/Best Practices Working Group's draft of a document that provides best practices for Division III SAAC programs and examples of issues to discuss when developing a campus SAAC constitution. NCAA staff will further refine and edit this document and bring a polished final draft for the committee's approval at its July 2019 meeting.
  - b. **Mental Health Resource Cards.** The committee received an update on the Mental Health Working Group's effort to produce mental health resource cards. The committee was informed that over 200 institutions requested the cards and they will be sent to those institutions, from the printer, in the next two weeks. Following the shipment of the cards, the SAAC will place a pdf template of the cards on the SAAC website. The template can be used by schools that did not take advantage of the initial offer.
  - c. **Mental Health Social-Media Campaign.** The committee discussed the Mental Health Working Group's recommendation on a mental health social-media campaign. The committee voted to support the concept of a social-media campaign focused on mental health; however, it believes the plan for the campaign needs further refinement. That refinement will be done by the entire committee at its July 2019 meeting. The committee agreed that a google doc would be posted where members can add ideas on the campaign. The doc will have a 200-word text limit for each description of 200 words.

- 12. 2019-20 Committee Goals.** The committee discussed its goals and priorities for the 2019-20 academic year and determined it will focus on three primary items and established subcommittees to accomplish the work of each initiative. The three primary working groups and areas of committee focus for 2019-20 will be:
- a. Sustainability – this area will focus on the environmental impact of Division III athletic teams and helping to explore ways to improve environmental sustainability;
  - b. Inclusion – this area includes LGBTQA+, mental health and other inclusion efforts; and
  - c. Continued support and promotion of the Division III Special Olympics partnership.
- 13. Future Meetings.** The committee was reminded of its upcoming meetings:
- a. July 20-21, 2019; Indianapolis.
  - b. November 10-11, 2019; Indianapolis.
  - c. January 21-25, 2020; Anaheim, California.
- 14. Annual SAAC member gifts.** The executive committee selected the gifts to be distributed during the July meeting.
- 15. Adjournment.** The chair adjourned the meeting at 11:45 a.m.

*Committee Chair: Madison Burns, Randolph-Macon College; Old Dominion Athletic Conference.*  
*Staff Liaisons: Jay Jones, Division III Governance, primary liaison*  
*Brynna Barnhart, Enforcement*  
*Corey Berg, Academic and Membership Affairs*

NCAA Division III Student-Athlete Advisory Committee April 13-14, 2019, Meeting	
<b>Attendees – Primary Members:</b>	
Gerard Bryant, John Jay College of Criminal Justice; ex officio Management Council attendee.	
Madison Burns, Randolph-Macon College; Old Dominion Athletic Conference.	
Mika Costello, Willamette University; Northwest Conference.	
Hannah Durst, Baldwin-Wallace University; Ohio Athletic Conference.	
Annabelle Feist, Williams College; New England Small College Athletic Conference.	

Anthony Francois, John Jay College of Criminal Justice; City University of New York Athletic Conference – attended Sunday, April 14 only.
Julia Higgins, The College of Wooster; North Coast Athletic Conference.
Arcel Kabongo-Ngoy; Western New England University; Commonwealth Coast Conference.
Brady Jay Keller, Nebraska Wesleyan University; American Rivers Conference.
Catherine Lanigan, Juniata College; Landmark Conference.
Christine Mayorga; Montclair State University; New Jersey Athletic Conference.
CJ Pakeltis, MacMurry College; St. Louis Intercollegiate Athletic Conference.
Colby Pepper, Covenant College; USA South Athletic Conference – attended Sunday, April 14 only.
Jake Santellano, University of Wisconsin, Whitewater; Wisconsin Intercollegiate Athletic Conference.
Isaiah Swann, University of Texas at Dallas; American Southwest Conference.
Denise Udelhofen, Loras College; ex officio Management Council attendee.
<b>Attendees – Associate Member Substitutes:</b>
Liv Coletta; Mills College; American Collegiate Athletic Association; <i>Substitute for Michael McMahon.</i>
Cassie Contigiani; Thomas College; North Atlantic Conference; <i>Substitute for Michael Litz.</i>
Alyssa Leventer; St. Mary's College (Maryland); Capital Athletic Conference; <i>Substitute for Fran Capaldi.</i>
Annie MacMillan; Vassar College; Liberty League; <i>Substitute for NJ Kim.</i>
<b>Absentees - Primary Members:</b>
Fran Capaldi, Bethany College; Presidents' Athletic Conference.
Charlotte Ellis; Crown College (Minnesota); Upper Midwest Athletic Conference.
Cameron Gardner-Nicholson, Penn State University, Altoona; Allegheny Mountain Collegiate Conference.
Emily Goodwin, Massachusetts Maritime Academy, Massachusetts State Collegiate Athletic Conference.
Samantha Kastner, Notre Dame of Maryland University; Colonial States Athletic Conference.
NJ Kim, Emory University; University Athletic Association.
Michael Litz; Penn State University, Abington; North Eastern Athletic Conference.
Michael McMahon; Becker College; New England Collegiate Conference.
<b>Guests in Attendance:</b>
None.
<b>NCAA Staff Support in Attendance:</b>
Brynna Barnhart, Corey Berg and Jay Jones.
<b>Other NCAA Staff Members in Attendance:</b>
Louise McCleary.





REPORT OF THE  
NCAA DIVISION III COMMITTEE ON STUDENT-ATHLETE  
REINSTATEMENT JUNE 11-12, 2019, MEETING

**ACTION ITEMS.**

**1. Legislative items.**

**a. Noncontroversial Legislation – NCAA Division III Bylaw 14.2.2.4 – Eligibility – Ten-Semester/15-Quarter Rule Waiver – Waiver Criteria – Use of Additional Semester(s) or Quarter(s).**

- (1) Recommendation. Adopt noncontroversial legislation to amend Bylaw 14.2.2.4 (ten-semester/15-quarter rule waiver) to specify that if the waiver is approved, the semesters/quarters associated with the waiver must be used during consecutive regular terms at the certifying institution.
- (2) Effective date. For waivers approved on or after August 1, 2019.
- (3) Rationale. Currently, the legislation does not prescribe when semesters/quarters associated with an approved extension of eligibility waiver must be used. Applying extension waivers across nonconsecutive academic terms is inconsistent with Division III philosophy, which primarily focuses on intercollegiate athletics as a four-year, undergraduate experience. Requiring a student-athlete to use the terms associated with an approved extension waiver in consecutive regular terms appropriately ensures the student-athlete has an additional participation opportunity in the student-athlete's sport and, therefore, is consistent with the original intent of the extension waiver legislation. The committee noted this change should not be retroactive.
- (4) Estimated budget impact. None.
- (5) Student-athlete impact. None.

**b. Noncontroversial Legislation – NCAA Division III Bylaw 12.4.4 – Amateurism – Fee-for-Lessons.**

- (1) Recommendation. Adopt noncontroversial legislation to amend Bylaw 12.4.4 (fee-for-lessons) to designate violations as restitution [R] violations, which do not affect eligibility if the value of the benefit is \$200 or less provided student-athletes make restitution to a charity of their choice prior to competing.

- (2) Effective date. Immediate, for violations occurring on or after the date of adoption of the noncontroversial proposal.
- (3) Rationale. Currently, violations of Bylaw 12.4.4 require an institution to file a student-athlete reinstatement request for the involved student-athlete regardless of the amount of impermissible compensation. The committee noted the staff typically reinstates the eligibility of the involved student-athlete based on repayment of the value of the impermissible compensation to charity when the amount is \$200 or less. The committee agreed this proposal will reduce bureaucracies and increase efficiency for Division III institutions and better align Bylaw 12.4.4 with other restitution bylaws in the amateurism legislation. The committee also noted this change is not retroactive and impermissible compensation to student-athletes in any amount is an institutional violation that must be reported to the NCAA enforcement staff.
- (4) Estimated budget impact. None.
- (5) Student-athlete impact. None.

**2. Nonlegislative items.**

- None.

**INFORMATIONAL ITEMS.**

- 1. Philosophy Statements.** The committee reviewed the Division III and student-athlete reinstatement philosophy statements.
- 2. Governance Update.** The committee received a governance update from the vice president for Division III.
- 3. Financial Aid Update.** The committee reviewed student-athlete reinstatement cases involving financial aid violations processed between October 31, 2018, and April 15, 2019.
- 4. Involvement of Coaching Staff in Provision of Impermissible Benefits.** The committee reviewed student-athlete reinstatement requests involving institutional coaching staff members arranging or providing impermissible benefits to student-athletes. The committee directed the staff to consider the coaching staff member's involvement as a mitigating factor in assessing these cases, but also to consider factors that may increase the student-athlete's culpability. The committee further directed the staff to continue to

consider additional factors on a case-by-case basis, including whether a prior relationship existed between the student-athlete and the institutional coaching staff member, student-athlete well-being and/or health and safety, the student-athlete's reasonable reliance on information provided by the institutional coaching staff member, and the nature of the benefit.

5. **Hardship Waiver Education Document.** The committee reviewed a draft of a hardship waiver education document, provided feedback and instructed the reinstatement staff to prepare a final version for distribution to the NCAA Division III membership.
6. **NCAA Division III Committee on Student-Athlete Reinstatement Guidelines.** The committee reviewed and approved editorial revisions to the Division III Committee on Student-Athlete Reinstatement guidelines.
7. **Review of Flexible Student-Athlete Reinstatement Decisions.** The committee reviewed recent reinstatement staff decisions that provided relief from established case precedent and/or committee guidelines and archived Request/Self-Report Online Case Nos. 998653 and 1046892. All other cases were accepted and will remain available as precedent within RSRO consistent with NCAA Divisions I, II and III Committees on Student-Athlete Reinstatement Policies and Procedures.
8. **Review of NCAA Division III Management Council Report.** The committee reviewed and approved the report from its December 2018 in-person meeting.
9. **Review and Discussion of NCAA Division III Summary of Legislative Actions.** The committee received an update on selected legislation adopted at the 2019 NCAA Convention.
10. **Update on NCAA Amateurism Certification Process.** The committee reviewed a summary of pre-enrollment amateurism case decisions for the 2018-19 academic year.
11. **Review of Repayment and Community Service Ban Plan Policy.** The committee reviewed and affirmed its four-year ban policy for an institution whose student-athlete defaults on a repayment and/or community service plan.
12. **Update on Waiver Processes Involving Mental Health Diagnoses.** The committee received an update on the mental health waiver think tank, a collaboration between NCAA waiver staffs and the Sport Science Institute, specific to waivers involving mental health diagnoses.

- 13. Review of Dollar-Value Withholding Guidelines.** The committee amended its dollar-value withholding guidelines as follows:

Previous Guideline	Amended Guideline
Greater than \$200 to \$400 = repayment and 10% withholding.	Greater than \$200 to \$500 = repayment and 10% withholding.
Greater than \$400 to \$700 = repayment and 20% withholding.	Greater than \$500 to \$800 = repayment and 20% withholding.
Greater than \$700 = repayment and 30% withholding.	Greater than \$800 = repayment and 30% withholding.

- 14. Violations of NCAA Division III Bylaw 12.4.4 (fee-for-lessons).** The committee reviewed cases involving student-athletes who receive compensation inconsistent with the criteria governing compensation to student-athletes for teaching or coaching sports skills or techniques in the student-athlete's sport. The committee instructed the reinstatement staff to require restitution as the only condition of reinstatement for a student-athlete whose impermissible benefit is \$200 or less.
- 15. Review of 50% Threshold in Withholding Guidelines and Use of a Season of Competition.** The committee reviewed its 50% withholding threshold guideline for cases involving limited participation by a student-athlete while ineligible for the entire season due to not meeting academic requirements or when the student-athlete should have been serving a year in residence. The committee updated its guideline to clarify that in situations in which the institution elected to apply the 50% threshold guideline, the student-athlete has used season of participation and may not request a season-of-participation waiver for that season. Additionally, the committee directed staff to include, as a condition of reinstatement, that the student-athlete has used a season of participation.
- 16. Review of Policies and Procedures.** The committee reviewed and approved revisions to the policies and procedures.
- 17. Litigation Update.** The committee received a litigation update from vice president of law, policy and governance.
- 18. Conflict of Interest Policy.** The committee reviewed its Conflict of Interest Policy.

**19. Future meetings.** The committee established the following future meeting dates and locations:

- a. November 19-20, 2019, Indianapolis.
- b. May 13-14, 2020, Indianapolis.

*Committee Chair:* Angela Marin, University of Texas at Dallas.  
*Staff Liaisons:* Stephanie Grace, Academic and Membership Affairs.  
Zach Romash, Academic and Membership Affairs.

NCAA Division III Committee on Student-Athlete Reinstatement June 11-12, 2019 Meeting	
<b>Attendees:</b>	
Heather Benning, Midwest Conference.	
Matthew Burke, Fitchburg State College.	
Barbara MacLeod, Ohio Wesleyan University.	
Angela Marin, University of Texas at Dallas.	
Jake Santellano, University of Wisconsin-Whitewater.	
Brian Williams, State University of New York at New Paltz.	
<b>Absentees:</b>	
None.	
<b>Guests in Attendance:</b>	
None.	
<b>NCAA Support Staff in Attendance:</b>	
Stephanie Grace and Zach Romash.	
<b>Other NCAA Staff Members in Attendance for a portion of the meeting:</b>	
Scott Bearby (by teleconference), Dan Dutcher and Jeff Myers.	



**REPORT OF THE  
NCAA COMMITTEE ON COMPETITIVE SAFEGUARDS AND MEDICAL  
ASPECTS OF SPORTS  
MARCH 20, 2019, TELECONFERENCE**

**ACTION ITEMS.**

**1. Legislative items.**

**• Noncontroversial Legislation - NCAA Bylaw 16.4 - Awards and Benefits - Medical Services - Mental Health Services and Resources - Division II and Division III.**

- (1) Recommendation. Recommend NCAA Division II and Division III Management Councils adopt noncontroversial legislation to require that an institution shall make mental health services and resources available to its student-athletes. Such services and resources may be provided by the department of athletics and/or the institution's health services or counseling services department. Provision of services and resources should be consistent with the Interassociation Consensus: Mental Health Best Practices. In addition, an institution must distribute mental health educational materials and resources to student-athletes, including those transitioning out of their sport, coaches, athletics administrators and other athletics personnel throughout the year. Such educational materials and resources must include a guide to the mental health services and resources available at the institution and information regarding how to access them. [NOTE: See Supplement No. 19, Proposal NC-2020-12.]
- (2) Effective date. August 1, 2019.
- (3) Rationale. The NCAA Committee on Competitive Safeguards and Medical Aspects of Sports acknowledges the legislative action taken by the autonomy conferences in Division I; however, it notes that mental health is an issue that impacts student-athletes across all divisions. Therefore, the committee recommends that Divisions II and III act to ensure mental health services and resources are available to all student-athletes, regardless of division. Further, the proposal permits such services and resources to be made available through the institution's health services or counseling department, which mitigates additional financial or resource burden within the athletics department.
- (4) Estimated budget impact. Will vary depending on existing mental health services and resources.
- (5) Student-athlete impact. A commitment to provide mental health services and resources, as well as educational materials and information regarding how to access them, will ensure student-athletes are informed of the support their institutions offer regarding the unique pressures and difficulties they face as student-athletes.

**2. Nonlegislative items.**

**a. NCAA Division I Process to Identify and Act on Autonomy Health and Safety/Wellness Legislation for Nonautonomy Conferences - Mental Health Services and Resources.**

(1) Recommendation. The committee respectfully recommends that the NCAA Division I Strategic Vision and Planning Committee recommend the NCAA Division I Council to review Autonomy Proposal No. 2018-118 (mental health services and resources) and consider a recommendation to the NCAA Division I Board of Directors to adopt the legislation for the 27 nonautonomy conferences.

(2) Effective date. April 2019.

(3) Rationale. The NCAA Committee on Competitive Safeguards and Medical Aspects of Sports acknowledges the legislative action taken by the autonomy conferences in Division I; however, it notes that mental health is an issue that impacts student-athletes across all of Division I. Therefore, the committee recommends that Division I act to ensure mental health services and resources are available to all student-athletes, regardless of subdivision. Finally, this recommendation supports the committee's recommendation that the provisions of the autonomy mental health legislation should be made available to all three divisions.

(4) Estimated budget impact. Should the Division I Board of Directors adopt the legislation for the 27 nonautonomy conferences, the impact will vary depending on existing mental health services and resources.

(5) Student-athlete impact. A commitment to provide mental health services and resources, as well as educational materials and information regarding how to access them, will ensure student-athletes are informed of the support their institutions offer regarding the unique pressures and difficulties they face as student-athletes.

**b. Uniform Standards of Care - NCAA Interassociation Recommendations: Preventing Catastrophic Injury and Death in College Student-Athletes.**

(1) Recommendation. The committee asks the NCAA Board of Governors to review the Interassociation Recommendations: Preventing Catastrophic Injury and Death in College Student-Athletes and consider adopting them as Association-wide policy.

(2) Effective date. May 2019

- (3) Rationale. Under the Uniform Standard of Care procedures, the committee has overseen a lengthy process of membership and external organizational review, resulting in a document endorsed by 13 external scientific and medical organizations. Board of Governors review is the last step in those procedures.
- (4) Estimated budget impact. The impact will vary depending on existing campus-based services and resources.
- (5) Student-athlete impact. These recommendations are intended to assist the membership in implementing policies and procedures aimed at decreasing the probability of catastrophic injury and death in student-athletes.

#### **INFORMATIONAL ITEMS.**

1. **Approval of report of December 10-11, 2018, meeting.** The committee approved the report of its December 2018 meeting.
2. **NCAA Interassociation Recommendations: Preventing Catastrophic Injury and Death in College Student-Athletes document.** See Action Item 2b. The committee reviewed and accepted a recommended package of edits on the NCAA Interassociation Recommendations: Preventing Catastrophic Injury and Death in College Student-Athletes document, as provided by the NCAA Committee on Competitive Safeguards and Medical Aspects of Sports Review Subcommittee. Specifically, the subcommittee reviewed feedback provided by external organizations and created a package of edits for the committee's consideration. The committee accepted the package of edits and endorsed the final document. In its endorsement of the document, the committee noted the endorsement or affirmation of value from 13 external scientific and medical organizations and thorough feedback received from the NCAA membership through the Uniform Standards of Care process. The final, endorsed document will be presented to the NCAA Board of Governors in April.
3. **Drug-testing penalty for banned drug classes other than illicit drugs --impact on Division III and use of postseason contents to satisfy penalty.** The committee discussed two issues related to drug-testing penalties:
  - a. Impact of drug testing penalty recommendation on Division III. The committee reviewed its recommended changes to the drug-testing penalty for banned drug classes other than illicit drugs to consider the impact on Division III student-athletes. In December 2019, the committee recommended clarification of the drug-testing penalty for banned drug classes other than illicit drugs to all three Divisions to limit inconsistent application of the legislation for transfer scenarios and for student-athletes that test



positive during the playing season. In January 2019, the NCAA Division III Management Council reviewed the recommendation, noted several issues related to Division III and elected not to move the recommendation. The committee discussed the issues noted by the Division III Management Council, including timing of testing (only championship testing occurs in Division III), scheduling concerns and season of competition legislation in Division III. After review, the committee agreed that a change to the drug-testing penalty for banned drug classes other than illicit drugs is not necessary in Division III. As the Division III Management Council did not move the committee's original recommendation, no additional action was necessary.

- b. Use of postseason contests to satisfy penalty. The committee confirmed that postseason contests may be used to satisfy a drug-testing penalty. Specifically, the committee updated language in the educational column it recommended accompany its drug-testing penalty recommendation from December 2018. The committee noted that this outcome ensures consistency in application for all drug-testing penalties, aligns with current guidance provided to the membership, maintains the intent of the drug-testing penalty and would best support the student-athlete experience.
4. **Update on athletic team travel without primary athletic health care providers - whitepaper.** The committee received an update on the progress of a white paper recommended by the CSMAS ad hoc working group to address the issues related to the provision of athletic health care services to visiting teams when they travel without a primary athletics health care provider. The issue will be discussed with the Board of Governors during its April 2019 meeting. Results of that discussion will inform the completion of the document.
5. **CSMAS election update.** The committee reviewed its election policy. At the June 2019 meeting, the committee will elect a new vice-chair. Staff will release a timeline and nominating and election procedures by mid-April.

*Committee Chair: Doug Ramos, Creighton University, Big East Conference*  
*Staff Liaisons: John Parsons, NCAA Sport Science Institute*  
*Anne Rohlman, NCAA Academic and Membership Affairs*  
*Jessica Wagner, NCAA Sport Science Institute*

Committee on Competitive Safeguards and Medical Aspects of Sports March 20, 2019, Teleconference	
<b>Attendees:</b>	
Shawn Arent, Rutgers, The State University of New Jersey, New Brunswick.	
Stevie Baker-Watson, DePauw University.	

Randy Bird, University of Virginia.
John Chandler, Coe College.
Stephanie Chu, University of Colorado, Boulder.
Jeff Dugas, Troy University.
Joshua Ellow, Swarthmore College.
Dave Eavenson, USA South Athletic Association.
Gabe Feldman, Tulane University.
R.T. Floyd, University of West Alabama.
Samantha Kastner, Bloomfield College.
Jessica Mohler, U.S. Naval Academy.
Steve Murray, Pennsylvania State Athletic Conference.
Doug Ramos, Creighton University.
Enna Selmanovic, University of Cincinnati.
LaRee Sugg, University of Richmond.
Buddy Teevens, Dartmouth College.
Kim Terrell, University of Oregon.
Mark Stovak, University of Nevada, Reno.
Mo White, U.S. Merchant Marine Academy.
Jeff Williams, East Central University.
Mariah Wysocki, Bloomfield College.
<b>Absentees:</b>
Samantha Kastner, Bloomfield College.
<b>Guests in Attendance:</b>
None
<b>NCAA Staff Liaison in Attendance:</b>
John Parson, Anne Rohlman and Jessica Wagner.
<b>Other NCAA Staff Members in Attendance:</b>
Amanda Dickey, LaGwyn Durden, Kimberly Shea and Jared Tidemann.



REPORT OF THE  
NCAA COMMITTEE ON WOMEN'S ATHLETICS  
APRIL 24-25, 2019, MEETING

**ACTION ITEMS.**

- Legislative Items.
  - **Division Membership, Personnel, Amateurism, Financial Aid and Playing and Practice Seasons – Emerging Sports for Women - Women's Wrestling.**
    - (1) Recommendation. To add women's wrestling as an emerging sport for women and establish legislation related to athletics personnel, amateurism, recruiting, financial aid, playing and practice seasons and membership, as specified.
    - (2) Effective date. Aug. 1, 2020.
    - (3) Rationale. The continued growth in high school girls wrestling and the number of collegiate institutions sponsoring the sport support this recommendation. In addition, the sport is relatively inexpensive to sponsor. Finally, there is a commitment at the collegiate level to increase participation opportunities for a more diverse population of student-athletes (e.g., race and ethnicity, nationality, socio-economic status and body size and type), as well as to increase coaching opportunities for a more diverse population of female coaches in intercollegiate women's wrestling.
    - (4) Estimated budget impact. Budget impact is based on institutional decisions related to sponsorship.
    - (5) Student-athlete impact. This legislation will grow meaningful intercollegiate sport participation opportunities for female student-athletes.
  - **Division Membership, Personnel, Amateurism, Financial Aid and Playing and Practice Seasons – Emerging Sports for Women - Acrobatics and Tumbling.**
    - (1) Recommendation. To add acrobatics and tumbling as an emerging sport for women and establish legislation related to athletics personnel, amateurism, recruiting, financial aid, playing and practice seasons and membership, as specified.
    - (2) Effective date. August 1, 2020.

Rationale. The sport's potential for growth and support from the sport's national governing body supports this recommendation. In addition, acrobatics and tumbling leadership demonstrated and articulated how the experience of

an acrobatics and tumbling student-athlete is comparable to the experience of an NCAA sport student-athlete and how acrobatics and tumbling student-athletes are fully integrated into athletics departments. Further, the existing organizational structure and bylaws supports efforts to integrate NCAA values and legislation into current operations. Finally, there is a commitment at the collegiate level to providing robust participation opportunities during the regular season and post season, including the national championship.

- (3) Estimated budget impact. Budget impact is based on institutional decisions related to sponsorship.
- (4) Student-athlete impact. This legislation will grow meaningful intercollegiate sport participation opportunities for female student-athletes.

### **INFORMATIONAL ITEMS.**

1. **Welcome and Announcements.** The chair commenced business at 1 p.m. Eastern time and welcomed the following new committee members to their first in-person meeting: David Kuhlmeier, Valencia Jordan, Sharod Williams and Lauren Yacks. The committee also reviewed its mission statement.
2. **NCAA Committee on Women's Athletics February 26, 2019, Teleconference Report.** The committee reviewed and approved the February 26, 2019, teleconference report.
3. **NCAA Emerging Sports for Women Updates.** The committee reviewed updates submitted by leaders from each of the current emerging sports for women: Equestrian, Rugby and Triathlon.
4. **USA Triathlon Emerging Sport Grant.** The committee discussed the USA Triathlon Emerging Sport Grant and whether committee members should serve on the USAT Emerging Sport Grant selection committee as representatives of CWA. The committee agreed that CWA members serving on the selection committee could present a real or perceived conflict of interest, and, therefore, should not serve as representatives of CWA.
5. **NCAA Emerging Sports for Women Proposals.** The committee recommended that all three divisions take the appropriate legislative action to add women's wrestling and acrobatics and tumbling to the emerging sports for women program. The committee denied the request to add STUNT to the emerging sports for women program and determined STUNT is not viable as an emerging sport at this time. In its denial, the committee noted that the STUNT proposal and subsequent information and documentation did not sufficiently demonstrate that STUNT is philosophically and operationally separate from cheer or that STUNT offers a robust student-athlete experience comparable to NCAA sports.

6. **Proposed Amendments to Regulations Implementing Title IX of the Education Amendments of 1972.** The committee received an overview of the letter sent to Department of Education Secretary Betsy DeVos from the American Council on Education, co-signed by the NCAA, in response to the department's November 29, 2018, notice of proposed changes to the implementation of Title IX as it pertains to sexual assault.
7. **NCAA Commission to Combat Campus Sexual Violence.** The committee received an overview of the final report of the Commission to Combat Campus Sexual Violence. Specifically, the commission suggested that NCAA staff provide enhanced education and resources to the membership and encouraged the NCAA Board of Governors to direct the divisional governance bodies to consider legislation that reflects an Association-wide approach to individual accountability. The committee noted support for legislative and policy changes to support education and prevention resources, as well as increased accountability measures.
8. **Feedback for the NCAA Sport Science Institute Sexual Violence Prevention Tool Kit.** The committee reviewed and supported recent updates to the NCAA Sport Science Institute sexual violence prevention tool kit.
9. **Review of the 2019 NCAA Woman of the Year Award.** The committee reviewed the 2019 NCAA Woman of the Year program and selected members to serve on the 2019 Woman of the Year selection subcommittee.
10. **Review of NCAA Board of Governors Committee to Promote Cultural Diversity and Equity February 21, 2019, Teleconference Report.** The committee received a report of the CPCDE February 21, 2019, teleconference.
11. **Review of NCAA Minority Opportunities and Interests Committee February 14, 2019, Teleconference Report.** The committee received the report of the MOIC February 14, 2019, teleconference.
12. **Review of the NCAA Gender Equity Task Force September 12, 2018, Meeting Report.** The committee received a report of the GETF September 12, 2018, meeting.
13. **Future Meeting Dates.**
  - September 11-12, 2019, at the NCAA national office.
  - February 2019, committee teleconference.
14. **Recognition of Members who will Complete their Term of Service.** The committee thanked Karen Baebler, Anne Blackhurst, Julie Cromer Peoples, Jim Donovan and Donna Ledwin for their service on the committee, noting this was their last in-person meeting.
15. **Selection of Chair and Vice-Chair.** The committee elected Denise Udelhofen as chair and John Kietzmann as vice-chair.

*Committee Chair:* Julie Cromer Peoples, University of Arkansas, Fayetteville  
*Staff Liaison(s):* Kristin Fasbender, Championships and Alliances  
Jan Gentry, Championships and Alliances  
Jean Merrill, Office of Inclusion  
Karen Metzger, Academic and Membership Affairs  
Shay Wallach, Office of Inclusion

NCAA Committee on Women's Athletics April 24-25, 2019, Meeting	
<b>Attendees:</b>	
Karen Baebler, University of Washington.	
Julie Cromer Peoples, University of Arkansas, Fayetteville.	
James Donovan, California State University, Fullerton.	
Jason Doviak, Alfred State College.	
Marjorie Hass, Rhodes College.	
Marc Johnson, University of Nevada, Reno.	
Valencia Jordan, Tennessee State University.	
Samantha Kastner, Notre Dame of Maryland University.	
John Kietzmann, Metropolitan State University of Denver.	
Donna Ledwin, Allegheny Mountain Collegiate Conference.	
Suzette McQueen, Central Intercollegiate Athletic Association.	
Denise Udelhofen, Loras College.	
Sharod Williams, Conference Carolinas.	
<b>Absentees:</b>	
Anne Blackhurst, Minnesota State University Moorhead.	
<b>NCAA Staff Liaison (or Staff Support if subcommittee) in Attendance:</b>	
Jan Gentry, Jean Merrill, Karen Metzger and Shay Wallach.	
<b>Other NCAA Staff Members in Attendance:</b>	
Cari Van Senus and Amy Wilson.	

May 30, 2019



P.O. Box 6222  
Indianapolis, IN 46206  
Telephone: 317-917-6222

Shipping/Overnight Address:  
1802 Alonzo Watford Sr. Drive  
Indianapolis, IN 46202

ncaa.org

VIA EMAIL

Janell Cook  
Executive Director  
National Collegiate Acrobatics & Tumbling Association

Dear Janell Cook and Colleagues:

On behalf of the NCAA Committee on Women's Athletics, thank you for joining via videoconference the in-person meeting on April 24, 2019, to discuss the proposal to add acrobatics and tumbling to the NCAA Emerging Sports for Women program. The committee appreciated the opportunity to discuss the proposal, the Emerging Sports for Women program and ways the committee can provide support as you continue to engage in the process.

I am pleased to inform you that the committee, after careful consideration of the information presented, recommended that all three divisions of the NCAA governance structure add acrobatics and tumbling as an NCAA emerging sport, effective August 1, 2020. Pursuant to governance and legislative processes, each division will determine independently if acrobatics and tumbling will be added to the division's Emerging Sports for Women list and legislation.

**Feedback on Proposal**

In its thorough review of your proposal, the committee provided the following feedback to assist as you continue to engage in the process. The feedback is for your information and use. You are not required to respond at this time; however, the committee is likely to continue to review these issues with you if acrobatics and tumbling is adopted as an emerging sport by any of the NCAA divisions.

***Notes of Commendation:***

1. The committee noted that acrobatics and tumbling met the minimal requirement that a minimum of 20 varsity teams and/or competitive club teams existed at NCAA member institutions during the 2018-19 academic year and competed in a minimum of five contests to be further examined by the committee for possible recommendation to each division through the NCAA governance structure to add acrobatics and tumbling to the Emerging Sports for Women program.
2. The committee noted that the leaders of acrobatics and tumbling thoroughly responded with updates to the committee's feedback provided in the March 26, 2019, letter and appropriately resolved any outstanding

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questions regarding the viability of acrobatics and tumbling as a potential NCAA emerging sport.

3. The committee noted that the leaders of acrobatics and tumbling thoroughly demonstrated and articulated how acrobatics and tumbling is structurally, operationally and philosophically an independent sport that provides discrete participation opportunities, rather than overlapping or co-mingling with other student group activities.
4. The committee noted that the leaders of acrobatics and tumbling thoroughly demonstrated and articulated how the experience of an acrobatics and tumbling student-athlete is comparable to the experience of a varsity sport student-athlete at the NCAA sponsoring institutions listed in the proposal.
5. The committee noted that the leaders of acrobatics and tumbling thoroughly demonstrated and articulated how acrobatics and tumbling student-athletes and support staffs are fully integrated in a manner consistent with other varsity sports within the athletics departments and campuses of the NCAA sponsoring institutions listed in the proposal.
6. The committee noted acrobatics and tumbling's potential for growth and the opportunities for continued varsity sport participation from a diverse pool of athletes (e.g., body type, socio-economic status) typically with sports backgrounds in gymnastics, track and field, diving and other youth sports.
7. The committee noted the strong, consistent support and engagement from acrobatics and tumbling's national governing body and its relationship with the national governing body for gymnastics.
8. The committee noted acrobatics and tumbling's organizational structure, bylaws and ongoing efforts to integrate NCAA values and legislation into policies, procedures and operations.
9. The committee noted the overall student-athlete experience in acrobatics and tumbling, specifically the robust participation opportunities during the regular season and post-season.
10. The committee noted acrobatics and tumbling's established national championship.

### ***Opportunities for Enhancement/Consideration***

1. The committee noted the need to facilitate and ensure participation opportunities for a more diverse population of student-athletes (e.g., race and ethnicity, nationality, socio-economic status and body size and type).
2. The committee encouraged the leaders of acrobatics and tumbling to more broadly distribute its conference toolkit to educate conference administrators about the best practices for administration and marketing of acrobatics and tumbling competition and events.



### **Timeline for 2020 Legislative Cycle**

Below are divisional calendars of the 2020 legislative cycle. Over the course of the 2020 legislative cycle, Jean Merrill will provide you status updates on acrobatics and tumbling as a possible emerging sport for women in each division and will connect you with NCAA staff and committee representatives, as needed, to discuss accompanying proposed legislation (e.g., recruiting, financial aid, playing and practice seasons, sport sponsorship).

- a. [Division I](#) *(Note: The calendar for the 2020 Division I legislative cycle is not yet available. The link is to the 2019 Division I legislative cycle and is meant only to serve as a reference.)*
- b. [Division II](#)
- c. [Division III](#)

In closing, please contact [Jean Merrill](#) at 317-917-6642 if you have any questions. The committee offers sincere congratulations on developing acrobatics and tumbling as a potential emerging sport for women and is excited and optimistic to work with you in this next phase of the process.

Sincerely,



Julie Cromer Peoples

Chair, NCAA Committee on Women's Athletics

Associate Vice Chancellor and Senior Deputy Athletics Director, University of Arkansas,  
Fayetteville

JCP:jm

Enclosure or Attachment

cc: Denise Udelhofen, John Kietzmann

Selected NCAA Staff Members [Gail Dent, Jan Gentry, Jean Merrill, Karen Metzger, Jared Tidemann, Shay Wallach and Amy Wilson]

May 30, 2019

VIA EMAIL

Sally Roberts  
Founder and Executive Director  
Wrestle Like a Girl

Dear Sally Roberts and Colleagues:

On behalf of the NCAA Committee on Women's Athletics, thank you for joining via videoconference the in-person meeting on April 24, 2019, to discuss the proposal to add women's wrestling to the NCAA Emerging Sports for Women program. The committee appreciated the opportunity to discuss the proposal, the Emerging Sports for Women program and ways the committee can provide support as you continue to engage in the process.

I am pleased to inform you that the committee, after careful consideration of the information presented, recommended that all three divisions of the NCAA governance structure add women's wrestling as an NCAA emerging sport, effective August 1, 2020. Pursuant to governance and legislative processes, each division will determine independently if women's wrestling will be added to the division's Emerging Sports list and legislation.

**Feedback on Proposal**

In its thorough review of your proposal, the committee provided the following feedback to assist as you continue to engage in the process. The feedback is for your information and use. You are not required to respond at this time; however, the committee is likely to continue to review these issues with you if women's wrestling is adopted as an emerging sport by any of the NCAA divisions.

***Notes of Commendation:***

1. The committee noted that women's wrestling met the minimal requirement that a minimum of 20 varsity teams and/or competitive club teams existed at NCAA member institutions during the 2018-19 academic year and competed in a minimum of five contests to be further examined by the committee for possible recommendation to each division through the NCAA governance structure to add women's wrestling to the Emerging Sports for Women program.
2. The committee noted that the women's wrestling leaders thoroughly responded with updates to the committee's feedback provided in the March 26, 2019, letter and appropriately resolved any outstanding questions

regarding the viability of women's wrestling as a potential NCAA emerging sport.

3. The committee noted the continued growth of high school girls wrestling.
4. The committee noted the continued growth of intercollegiate women's wrestling and the potential for expansion of the sport at institutions that currently sponsor intercollegiate men's wrestling.
5. The committee noted the relatively moderate cost for institutions to sponsor women's wrestling, relative to other sports that may require more expensive capital outlays (e.g., facilities, equipment).
6. The committee noted the sport's growing youth participation among underrepresented communities and the commitment to increase participation opportunities for a diverse population of female student-athletes (e.g., race and ethnicity, nationality, socio-economic status and body size and type) in intercollegiate women's wrestling.
7. The committee noted the commitment to increase coaching opportunities for a more diverse population of female coaches in intercollegiate women's wrestling.

### ***Opportunities for Enhancement/Consideration***

1. The committee noted the need to consider potential NCAA playing rules, particularly rules pertaining to weight classes to ensure an appropriate culture continues to develop among intercollegiate women's wrestling student-athletes.
2. The committee noted concerns about small rosters and the challenge for institutions sponsoring women's wrestling to ensure robust, viable and sustainable rosters and to avoid cultural isolation of individual female student-athlete wrestlers.
3. The committee noted the need to continue creating intentional and strategic professional development opportunities for female coaches in intercollegiate women's wrestling.
4. The committee noted the need for sponsoring institutions to offer financial aid and recruiting opportunities that are inclusive of underrepresented student-athlete populations (e.g., race and ethnicity and socio-economic status).

### **Timeline for 2020 Legislative Cycle**

Below are divisional calendars of the 2020 legislative cycle. Over the course of the 2020 legislative cycle, Jean Merrill will provide you status updates on women's wrestling as a possible emerging sport in each division and will connect you with NCAA staff and committee

representatives, as needed, to discuss accompanying proposed legislation (e.g., recruiting, financial aid, playing and practice seasons, sport sponsorship).

- a. [Division I](#) *(Note: The calendar for the 2020 Division I legislative cycle is not yet available. The link is to the 2019 Division I legislative cycle and is meant only to serve as a reference.)*
- b. [Division II](#)
- c. [Division III](#)

In closing, please contact [Jean Merrill](#) at 317-917-6642 if you have any questions. The committee offers sincere congratulations on developing women's wrestling as a potential emerging sport and is excited and optimistic to work with you in this next phase of the process.

Sincerely,



Julie Cromer Peoples

Chair, NCAA Committee on Women's Athletics

Associate Vice Chancellor and Senior Deputy Athletics Director, University of Arkansas,  
Fayetteville

JCP:jm

cc: John Kietzmann

Denise Udelhofen

Selected NCAA Staff Members [Gail Dent, Jan Gentry, Jean Merrill, Karen Metzger, Jared Tidemann, Shay Wallach, Amy Wilson]



**REPORT OF THE  
NCAA MINORITY OPPORTUNITIES AND INTERESTS COMMITTEE  
April 24-25, 2019, MEETING**

**INFORMATIONAL ITEMS.**

1. **Welcome and Announcements.** Anthony Grant, chair of the NCAA Minority Opportunities and Interests Committee, called the meeting to order and welcomed new members. Veteran committee members noted successes and challenges of the committee and new members expressed what they hoped to gain from the committee.
2. **Review of Mission and Duties.** Two committee members read aloud the committee's mission and duties.
3. **NCAA Minority Opportunities and Interests Committee February 14, 2019, Teleconference Report.** The committee reviewed and approved the February 14, 2019, teleconference report.
4. **Review NCAA Committee on Women's Athletics February 26, 2019, Teleconference Report.** The committee reviewed the Committee on Women's Athletics February 26, 2019, teleconference report for informational purposes.
5. **Review NCAA Board of Governors Committee to Promote Cultural Diversity and Equity February 21, 2019, Teleconference Report.** The committee reviewed the Committee to Promote Cultural Diversity and Equity's February 21, 2019, teleconference report.
6. **Review NCAA Gender Equity Task Force September 12, 2018, Meeting Report.** The committee reviewed the Gender Equity Task Force's September 12, 2018, meeting report.
7. **Discussion of Current Issues and Impact on Intercollegiate Athletics.** The committee discussed current national, campus and conference matters related to the mission and duties of the MOIC.
  - a. International students. The committee discussed issues that international student-athletes may be facing on their campuses. Some of these topics included immigration, U.S. Immigration and Customs Enforcement deportation, finances, espionage, mental health, recruitment and retention. Discussions focused on the need for security and stability with visa statuses for student-athletes, as some student-athletes are worried about deportation. The group noted that athletics administrations need to be aware and help make campus environments a safe place. It was noted that some campuses have Immigration Centers that are supporting international students and that the California State System has recognized the need for safe places with the creation of resource centers for its "DREAMers." In addition to students, attention needs to be given to employees in athletics regarding

issues such as immigration, deportation and DACA. Lastly, a discussion surrounding foreign tours was discussed. Some student-athletes are concerned about leaving the United States on a foreign tour and not being able to come back. The committee concluded that collaborations with national office staff in academic and memberships affairs and the Eligibility Center could assist with many of these concerns.

- b. Campus protests. The group discussed protests and strife on campuses surrounding Founders, who were documented as owning slaves. The committee noted that this is an issue to monitor and track for future meetings.
8. **Athletics Diversity and Inclusion Designation (ADID) Legislative Proposal Updates and Discussion.** NCAA staff provided an overview and update on the progress of the ADID legislative recommendation. Staff reported that the NCAA Divisions II and III Management Councils moved the proposal forward to the NCAA Divisions II and III President Councils for consideration. In addition, the NCAA Division I Council moved the proposal forward for further consideration.
9. **Research Initiatives.** NCAA research staff provided the committee with an overview of the large-scale NCAA research surveys, including the goals of the surveys and the instrument used to collect data from student-athletes. Staff noted that all three divisions are evaluating hiring practices and coaching carousels in women's basketball, which has been a concern of the committee. A survey has been distributed that focuses on information about coaching staffs (how long they have served, career goals, most recent four positions, barriers, etc.). The committee discussed the possibility of creating a survey about hiring practices for presidents/chancellors as well as the development of a program to recognize and reward research in this area. In September, the committee will re-engage on key topics with NCAA research staff and suggest survey questions (hiring practices of males and female coaches, international student-athletes, etc.).
10. **Champion of Diversity and Inclusion Honoree.** The committee received an overview of the Champion of Diversity and Inclusion award and the award's purpose of recognizing and celebrating individuals for their tremendous work in supporting ethnic minorities and other underrepresented populations in athletics. The committee was informed of the most recent honoree and received detail for the selection process of the next honoree. The committee discussed ways to promote the nomination process for increased engagement.
11. **2019 MOIC and SAAC Diversity and Inclusion Social Media Campaign Update.** NCAA staff provided an overview of the Diversity and Inclusion Social Media Campaign conducted during the 2018-19 academic year and updated the committee on the plan to further develop the campaign for the 2019-20 academic year. The committee viewed a short video highlighting the 2018-19 social media campaign and recognized the campaign's tremendous success.

12. **Update on Resource on Student-Athlete Voice, Expression and Activism.** NCAA staff provided an update on the progress of the resource on student-athlete voice, expression and activism. The committee continued discussions of its role/perspective relating to student justice.
13. **Overview of SWA Optimization Project.** NCAA staff provided an overview of the SWA Optimization project and introduced the committee to two recent SWA resources and spotlighted videos developed by the office of inclusion in partnership with 3Fold Group.
14. **2019 NCAA Woman of the Year Award.** The committee reviewed the 2019 Woman of the Year program and selected members to serve on the 2019 Woman of the Year selection subcommittee.
15. **Selection of Officers.** The committee elected José Rodriguez as the new committee chair and Dena Freeman-Patton as vice chair.
16. **Recognition of Members who will Complete their Term of Service.** The chair recognized MOIC members completing their term of service August 31, 2019 and thanked them for their service.
17. **Future Meeting Schedule.** The committee was reminded of future meeting dates.
  - a. September 11-12, 2019 at the NCAA national office in Indianapolis.
  - b. April 15-16, 2020, at Hilton Denver City Center in conjunction with the 2020 NCAA Inclusion Forum.
18. **Adjournment.** The meeting adjourned with the joint meeting on April 25, 2019.

*Committee Chair:* G. Anthony Grant, Metropolitan State University of Denver  
*Staff Liaison(s):* Sahar Abdur-Rashid, Championships and Alliances  
Michael Bazemore, Academic and Membership Affairs

NCAA Minority Opportunities and Interests Committee April 24-25, 2019, Meeting	
<b>Attendees:</b>	
Roy Brown, University of Illinois at Springfield.	
Gerard Bryant, John Jay College of Criminal Justice.	
Shonte Cargill, Bluefield State College.	
Dena Freeman-Patton, California State University, Bakersfield.	
Dylan Gladney, Prairie View A&M University.	
Kenneth Gormley, Duquesne University.	
G. Anthony Grant, Metropolitan State University of Denver.	
Ashley Hodges, Rosemont College.	
John Lewis, Bluefield State University.	
Chaunte O'Neil, University of Miami.	
José Rodriguez, Cabrini University.	
Alisa White, Austin Peay State University.	
<b>Absentees:</b>	
Alisse Ali-Joseph, Northern Arizona University.	
Mark Brown, Pace University.	
Soraya Coley, California State Polytechnic University, Pomona.	
Anthony Francois, John Jay College of Criminal Justice.	
William Tsutsui, Hendrix College.	
<b>Guest in Attendance:</b>	
None.	
<b>NCAA Staff Liaisons in Attendance:</b>	
Sahar Abdur-Rashid and Michael Bazemore.	
<b>Other NCAA Staff Members in Attendance:</b>	
Kina Davis, Yannick Kluch, Craig Malveaux, Jean Merrill, Amy Wilson and Lydia Bell .	





**REPORT OF THE  
NCAA COMMITTEE ON WOMEN'S ATHLETICS AND MINORITY  
OPPORTUNITIES AND INTERESTS COMMITTEE APRIL 25, 2019, JOINT  
MEETING**

**ACTION ITEMS**

- None.

**INFORMATIONAL ITEMS.**

1. **Welcome and Announcements.** The meeting was called to order by the chair of the NCAA Committee on Women's Athletics and the chair of the NCAA Minority Opportunities and Interests Committee. The chairs welcomed both committees and asked all members and staff to introduce themselves.
2. **Review and Approve the September 6, 2018, Joint Meeting Report.** The committees reviewed and approved the meeting report.
3. **NCAA Inclusion and Human Resources Update.** Dr. Katrice Albert, NCAA executive vice president of inclusion and human resources, provided an update on the NCAA inclusion and human resources strategic priorities and action plans to operationalize the priorities.
4. **NCAA Board of Governors Committee to Promote Cultural Diversity and Equity Report.** The committees received an update on the strategic goals of the CPCDE. The committees also were briefed on the addition of five independent members to the NCAA Board of Governors.
5. **NCAA Committee on Women's Athletics Update.** The CWA chair provided a summary of the CWA meeting, including an update on the NCAA Emerging Sports for Women program and efforts to combat campus sexual violence.
6. **NCAA Minority Opportunities and Interests Committee Update.** The MOIC chair provided a summary of the MOIC meeting, including an update on the legislative recommendation to designate an Athletics Diversity and Inclusion Designee to be the primary contact and conduit for diversity and inclusion-related information. Further, the chair noted that effective August 1, 2019, Division I member institutions shall complete an equity, diversity and inclusion review at least once every five years and provide written confirmation of completion to the national office as a condition of NCAA Division I membership.
7. **Governance Updates.**
  - a. Division I. The committees received a report from the Division I governance staff. Specifically, staff provided an update on the NCAA Division I Council's April 17-19, 2019 meeting wherein the Council introduced a proposal into the 2019-20 legislative cycle to specify that all active member institutions and conference offices shall designate an

Athletics Diversity and Inclusion Designee to be the primary contact and conduit for diversity and inclusion-related information. Further, effective August 1, 2019, member institutions shall complete an equity, diversity and inclusion review at least once every five years and provide written confirmation of completion to the national office as a condition of NCAA Division I membership.

- b. Division II. The committees received a report from the Division II staff. Specifically, staff noted that the NCAA Division II Management Council recommended that the NCAA Division II Presidents Council sponsor a proposal to specify that all active member institutions and conference offices shall designate an Athletics Diversity and Inclusion Designee to be the primary contact and conduit for diversity and inclusion-related information. Further, the Division II staff has been working with the office of inclusion on enhanced Division II attendance at the 2020 NCAA Inclusion Forum. Lastly, Division II University officially launched the required curriculum for coach's education on April 1. To date, there are over 10,500 users in Division II University and over 6,500 coaches have been assigned the required curriculum.
  - c. Division III. The committees received a report from the Division III governance staff. Specifically, staff provided updates on the Division III Faculty Athletics Representative Engagement working group, the Division III LGBTQ working group, the newly created Division III Coaches Enhancement Grants and the Division III Senior Woman Administrator program. Staff also informed the committees of new legislation, effective June 2020, that requires institutions to annually report student-athlete graduation rate data as a condition of NCAA Division III membership and championship eligibility. Lastly, staff noted that the NCAA Division III Management Council recommended that the NCAA Division III Presidents Council sponsor a proposal to specify that all active member institutions and conference offices shall designate an Athletics Diversity and Inclusion Designee to be the primary contact and conduit for diversity and inclusion-related information.
- 8. Women's Basketball Strategic Plan Update.** The committees received an update from staff on the women's basketball strategic plan for 2019-2024. The Association-wide strategic plan, created after thorough examination of the role women's basketball plays within women's athletics, aims to provide consensus on sport priorities, state a clear vision and empower student-athletes.
- 9. Optimization of the Senior Woman Administrator Project.** The committees participated in a discussion about the findings of the SWA research project, which found a gap of understanding of the SWA designation within the membership. The committee deliberated methods to increase the number of women and ethnic minorities in senior leadership roles, increase understanding of the SWA designation, and address challenges that ethnic minority women face in leadership.

**10. 2018 MOIC and SAAC Diversity and Inclusion Social Media Campaign.** The committees reviewed the success of the inaugural diversity and inclusion social media campaign, highlighting the high level of engagement within the membership.

**11. eSports Update.** The committees were briefed on the policy and operational considerations of NCAA engagement with eSports on member campuses. The committee discussed diversity and inclusion considerations of the rapid growth of esports participation on NCAA campuses, as well as the relationship between athletics departments and competitive esports programs.

**12. Future Meeting Dates.**

- a. September 12, 2019, at the NCAA national office.
- b. April 16, 2020, in conjunction with the 2020 NCAA Inclusion Forum in Denver.

*Committee Chair: Julie Cromer Peoples, University of Arkansas, Fayetteville*  
*G. Anthony Grant, Metropolitan State University of Denver*

*Staff Liaisons (CWA): Jan Gentry, championships and alliances*  
*Jean Merrill, office of inclusion*  
*Karen Metzger, academic and membership affairs*  
*Shay Wallach, office of inclusion*

*Staff Liaisons (MOIC): Sahar Abdur-Rashid, championships and alliances*  
*Michael Bazemore, academic and membership affairs*  
*Amy Wilson, office of inclusion*

NCAA Committee on Women's Athletics and NCAA Minority Opportunities and Interests Committee April 25, 2019, Joint Meeting	
<b>Attendees:</b>	
Karen Baebler, University of Washington.	
Roy Brown, University of Illinois at Springfield.	
Gerard Bryant, John Jay College of Criminal Justice.	
Shonte Cargill, Bluefield State College.	
Julie Cromer Peoples, University of Arkansas, Fayetteville.	
Jim Donovan, California State University, Fullerton.	
Jason Doviak, Alfred State, the State University of New York College of Technology.	

Dena Freeman-Patton, California State University, Bakersfield.
Dylan Gladney, Prairie View A&M University.
Kenneth Gormley, Duquesne University.
G. Anthony Grant, Metropolitan State University of Denver.
Marjorie Hass, Rhodes College.
Ashley Hodges, Southern Vermont College.
Marc Johnson, University of Nevada, Reno.
Valencia Jordan, Tennessee State University.
Samantha Kastner, Notre Dame of Maryland University.
John Kietzmann, Metropolitan State University of Denver.
Donna Ledwin, Allegheny Mountain Collegiate Conference.
Grace McGuire, Utah State University.
Suzette McQueen, Central Intercollegiate Athletic Association.
Chaunte O'Neil, University of Miami.
José Rodriguez, Cabrini University.
Denise Udelhofen, Loras College.
Alisa White, Austin Peay State University.
Sharod Williams, Conference Carolinas.
Lauren Yacks, University of Findlay.
<b>Absentees:</b>
Alisse Ali-Joseph, Northern Arizona University.
Anne Blackhurst, Minnesota State University Moorhead.
Soraya Coley, California State Polytechnic University, Pomona.
Anthony Francois, John Jay College of Criminal Justice.
<b>Guest in Attendance:</b>
Leah Kareti and Julie Muller.
<b>NCAA Staff Liaisons in Attendance:</b>
Sahar Abdur Rashid, Michael Bazemore, Jan Gentry, Jean Merrill, Karen Metzger, Shay Wallach and Amy Wilson.
<b>Other NCAA Staff Members in Attendance:</b>
Katrice Albert, Kina Davis, Nate Flannery, Jennifer Fraser, Lynn Holzman, Jay Jones, Yannick Kluch, Craig Malveaux.



**REPORT OF THE  
NCAA PLAYING RULES OVERSIGHT PANEL  
APRIL 22, 2019, TELECONFERENCE**

**ACTION ITEMS.**

- None.

**INFORMATIONAL ITEMS.**

1. **Welcome and announcements.** The chair welcomed those on the call and thanked everyone for their time.
2. **Duties and conflict of interest.** The panel reviewed the panel duties and the Conflict of Interest Policy from the Playing Rules Oversight Panel Policies and Procedures Manual.
3. **Approval of February 25, 2019, teleconference report and March 21, 2019 email vote report.** The panel approved the reports as written.
4. **NCAA Football Rules Committee annual meeting report, April 18 teleconference report and comment period report.** The panel approved the five rules proposals submitted by the Football Rules Committee. Two editorial adjustments also were noted. These changes are being made in the non-rules change year due to health and safety and/or image of the game concerns.

The most significant changes were:

- To limit excessive overtime games by utilizing a two-point conversion play starting with the fifth and any subsequent overtime periods;
- Eliminating the two-person wedge formation during kickoff plays;
- Adjusting the responsibility of the instant replay official to require, during targeting reviews, a complete review of all aspects of the play and removing the option of “stands” in these reviews;
- Adding a progressive penalty for targeting to include the suspension of a student-athlete committing three or more targeting fouls in the same season; and
- Creating a penalty for an illegal blind-side block.

It was noted that the membership comment period included feedback from the head coaches, directors of athletics, conference commissioners, the College Football Officiating Board of Managers, and the NCAA Division I Football Oversight Committee. The Football Rules Committee held a teleconference to review and consider all feedback before making its final proposals and believes the process was thorough and effective.

5. **NCAA Men’s and Women’s Soccer Committee annual meeting report and comment period report.** The panel approved one of the two rules proposals submitted by the Men’s and Women’s Soccer Rules Committee during the non-rules change year. The approved rules

change was a recommendation from the NCAA Committee on Competitive Safeguards and Medical Aspects of Sports to require hydration breaks of no less than two minutes at prescribed times in each half if certain weather conditions are exceeded. CSMAS recommended this rules change to closely align with FIFA/U.S. Soccer and to enhance student-athlete safety.

PROP denied the rules committee's recommendation to enforce a forfeit (i.e., changing the winner and loser) if it is determined after the game that the winning team allowed a player or coach to participate who was ejected or suspended because of a red card or accumulation of yellow cards. PROP denied the recommendation for the following reasons:

- No other area of the NCAA imposes a postgame forfeit as a penalty for illegal participation by a player or coach. Instead, fines and additional suspensions are imposed for violations such as academic ineligibility, extra benefits, failed drug tests, etc.;
- A postgame forfeit is not imposed for illegal participation by the playing rules in other NCAA team sports;
- A postgame forfeit is too severe of a penalty to be applied equally to every situation and only penalizes a team if the team won the game; and
- A postgame forfeit could have an impact on the selection process (e.g., rankings and seedings) of not only the involved teams, but teams not involved in that particular game.

Since PROP denied the recommendation to enforce the postgame forfeit, the forfeit rule will be eliminated beginning with the 2019 season and removed from the rules book in the next printed edition (2020/2021). The additional suspension penalties still will apply as currently written.

**6. Future meeting dates and times.** The panel was reminded of the teleconference schedule for 2019. The panel also agreed to schedule a teleconference in early June (in place of the June 13 teleconference) to review the men's and women's basketball rules recommendations in hopes of providing institutions an additional week to make court marking changes if any are approved. (Note: The teleconference is scheduled for June 5.)

**7. Other business.** The panel had no other business.

*Committee Chair: Jeff Hurd, Western Athletic Conference*

*Staff Liaisons: Ben Brownlee, Championships and Alliances, Playing Rules and Officiating  
Dan Calandro, Championships and Alliances, Playing Rules and Officiating  
Ashlee Follis, Championships and Alliances, Playing Rules and Officiating  
Barb Hallam, Championships and Alliances, Playing Rules and Officiating  
Ty Halpin, Championships and Alliances, Playing Rules and Officiating*

*Rachel Seewald, Championships and Alliances, Playing Rules and Officiating*  
*Andy Supergan, Championships and Alliances, Playing Rules and Officiating*

<b>NCAA Playing Rules Oversight Panel April 22, 2019 Teleconference</b>	
<b>Attendees:</b>	
Brad Bankston, Old Dominion Athletic Conference.	
Pat Britz, South Atlantic Conference.	
Jennifer Heppel, Patriot League.	
Jeff Hurd, Western Athletic Conference.	
Dave Roach, Fordham University.	
Chris Schneider, Big East Conference.	
Larry Scott, Pac-12 Conference.	
Ronda Seagraves, Concordia University Texas.	
Angie Torain, University of Notre Dame.	
Kevin White, Truman State University.	
Matt Wilson, Gulf South Conference.	
<b>Absentees:</b>	
Gary Williams, Wittenberg University.	
<b>NCAA Staff Liaisons in Attendance:</b>	
Ben Brownlee, Dan Calandro, Ashlee Follis, Barb Hallam, Ty Halpin, Rachel Seewald and Andy Supergan.	
<b>Other NCAA Staff Members in Attendance:</b>	
Anthony Holman and Greg Johnson.	



**REPORT OF THE  
NCAA PLAYING RULES OVERSIGHT PANEL  
JUNE 5, 2019, TELECONFERENCE**

**ACTION ITEMS.**

- None.

**INFORMATIONAL ITEMS.**

- 1. Welcome and announcements.** The chair welcomed those on the call and thanked everyone for their time.
- 2. Duties and conflict of interest.** The panel reviewed the panel duties and the Conflict of Interest Policy from the Playing Rules Oversight Panel Policies and Procedures Manual.
- 3. Approval of April 22, 2019, teleconference report.** The panel approved the report as written.
- 4. NCAA Men's Basketball Rules Committee annual meeting report and comment period report.** The panel reviewed and approved 21 rules proposals submitted by the Men's Basketball Rules Committee, including a major rules change that extends the three-point line by approximately 1' 5" (from 20' 9" to 22' 1 and 3/4" at the top of the key and to 21' 7 and 7/8" in the corners). The rule is effective for Division I men's basketball with the 2019-20 season, however, it is not effective for Divisions II and III men's basketball until the 2020-21 season. The panel also approved a rule to permit a coach to call a timeout when a player on that team has control of the ball in the last two minutes of the second half and all overtimes as well as a rule change to reset the shot clock to 20 seconds after an offensive rebound. The panel considered delaying implementation of this rule for Divisions II and III men's and women's basketball until the 2020-21 season since there is potential financial impact depending on the age of the institution's existing equipment. However, based on feedback from several equipment manufacturers, who noted that the vast majority of institutions have equipment that can accommodate this change and those that don't will have minimal costs to upgrade, and based on overall support provided in the annual rules survey, the panel agreed to make the rule effective for the 2019-20 season for all three divisions to keep the rule consistent for officiating purposes. Further, the panel approved a change to add to the Class a technical foul category a player, substitute or bench personnel using derogatory remarks or personal comments relating to race, ethnicity, national origin, religion, gender, gender expression, gender identity, sexual orientation, or disability during the game.
- 5. NCAA Women's Basketball Rules Committee annual meeting report and comment period report.** The panel reviewed and approved 12 rules proposals submitted by the Women's Basketball Rules Committee, which included resetting the shot clock to 20 seconds after a field-goal attempt hits the rim and the offensive team rebounds the ball in the front court, permitting a substitute to enter the game during multiple free throws only before the first attempt in the sequence or after the final attempt has been successfully converted, adding to the penalty for an ejection to include when a player is assessed one technical foul and one



unsportsmanlike foul, and permitting instant replay review to determine if a foul occurred prior to a shot-clock violation.

**6. Future meeting dates and times.** The panel was reminded of the teleconference schedule for 2019.

**7. Other business.** The panel had no other business.

*Committee Chair:* Jeff Hurd, Western Athletic Conference

*Staff Liaisons:* Ben Brownlee, Championships and Alliances, Playing Rules and Officiating  
Dan Calandro, Championships and Alliances, Playing Rules and Officiating  
Ashlee Follis, Championships and Alliances, Playing Rules and Officiating  
Barb Hallam, Championships and Alliances, Playing Rules and Officiating  
Ty Halpin, Championships and Alliances, Playing Rules and Officiating  
Rachel Seewald, Championships and Alliances, Playing Rules and Officiating  
Andy Supergan, Championships and Alliances, Playing Rules and Officiating

NCAA Playing Rules Oversight Panel June 5, 2019 Teleconference	
<b>Attendees:</b>	
Brad Bankston, Old Dominion Athletic Conference.	
Pat Britz, South Atlantic Conference.	
Jennifer Heppel, Patriot League.	
Jeff Hurd, Western Athletic Conference.	
Dave Roach, Fordham University.	
Chris Schneider, Big East Conference.	
Larry Scott, Pac-12 Conference.	
Ronda Seagraves, Concordia University Texas.	
Angie Torain, University of Notre Dame.	
Kevin White, Truman State University.	
Gary Williams, Wittenberg University.	
Matt Wilson, Gulf South Conference.	
<b>Absentees:</b>	
None.	
<b>NCAA Staff Liaisons in Attendance:</b>	
Ben Brownlee, Dan Calandro, Ashlee Follis, Barb Hallam and Rachel Seewald.	
<b>Other NCAA Staff Members in Attendance:</b>	
Jay Fitzwater, Anthony Holman, Lynn Holzman and Greg Johnson.	



**REPORT OF THE  
NCAA WALTER BYERS SCHOLARSHIP COMMITTEE  
MARCH 24-25, 2019, MEETING**

**ACTION ITEMS.**

- None.

**INFORMATIONAL ITEMS.**

1. **Opening remarks.** Walter Byers Scholarship Committee Chair Christopher Woodard welcomed committee members, including new members Laura Clayton-Eady, University of West Georgia, and Pegjohng Moses, Stetson University.
2. **Progress report review .** The committee reviewed the results of an annual survey conducted of current and former scholarship recipients, which serves to track recipients' progress to degree completion. The committee was satisfied with the number of responses to date.
3. **Scholarship application scoring guide.** The committee discussed the scoring guide for scholarship applications, which is being restructured to provide a more detailed breakdown of the evaluation areas and the maximum number of points for each applicant.
4. **Selection of new chair.** By unanimous vote, the committee selected Steve Monaic, director of athletics at Houston Baptist University as the next committee chair.
5. **Selection of Jim McKay Scholarship recipients.** The committee interviewed three finalists for the Jim McKay Scholarship. The committee selected Jennifer Haskell, women's soccer student-athlete at Northwestern University, and Benjamin Colin, men's swimming student-athlete at the University of Iowa, as the 2018-19 Jim McKay Scholarship recipients.
6. **Selection of Walter Byers Scholarship recipients.** The committee interviewed five scholarship finalists and selected, Rachael Acker, women's swimming student-athlete at the University of California, Berkeley, and Derek Soled, men's fencing at Yale University, as the 2018-19 Walter Byers Scholarship recipients.

*Committee Chair: Christopher Woodard, Colorado State University*

*Staff Liaisons: Lori Thomas, Administrative Services, Accounting*

NCAA Walter Byers Scholarship March 24-25, 2019, Meeting	
<b>Attendees:</b>	
Laura Clayton-Eady, University of West Georgia.	
Nicole McKinney, Purdue University.	
Steve Moniaci, Houston Baptist University.	
Pegjohngy Moses, Stetson University.	
Christopher Woodard, Colorado State University.	
<b>Absentees:</b>	
Joseph Walsh, Great Northeast Athletic Conference.	
<b>Guests in Attendance:</b>	
None.	
<b>NCAA Staff Support in Attendance:</b>	
Lori Thomas	
<b>Other NCAA Staff Members in Attendance:</b>	
None.	



July 2019 NCAA Division III Management Council Meeting  
Noncontroversial Legislation for 2020 NCAA Convention

Noncontroversial Proposals. These proposals are considered noncontroversial and necessary in the normal and orderly administration of the Association's legislation. They become effective when approved in legislative format by a three-fourths majority of the NCAA Division III Management Council and are ratified at the annual Convention business session.

Note. In the following proposals:

- Those letters and words that appear in ~~*italics and strikethrough*~~ are to be deleted;
- Those letters and words that appear in **bold and underlined** are to be added; and
- Those letters and words that appear in normal text are unchanged from the current Division III legislation.

Proposal Number	Title	Status	Source	Effective Date	Intent	Budget Impact
NC-2020-1	PLAYING AND PRACTICE SEASONS -- ATHLETICALLY RELATED ACTIVITIES -- VOLUNTARY OUT-OF-SEASON ACTIVITIES LIMITED PRIMARILY TO MEMBERS OF THE TEAM	Ready for Ratification Convention Vote.	NCAA Division III Management Council (Interpretations and Legislation Committee).	Immediate.	To permit student-athletes to participate in on-court or on-field activities that are limited primarily to members of that team provided those activities are not mandatory and are not organized or involve members of the coaching staff.	None.

<b>Proposal Number</b>	<b>Title</b>	<b>Status</b>	<b>Source</b>	<b>Effective Date</b>	<b>Intent</b>	<b>Budget Impact</b>
NC-2020-2	COMMITTEE MEMBERSHIP -- WOMEN'S LACROSSE - - INCREASE FROM 5 TO 7 MEMBERS	Ready for Ratification Convention Vote.	NCAA Division III Management Council (Championships Committee).	August 1, 2019.	To increase the composition of the women's lacrosse committee from five to seven. The additional committee members will represent each of the two new sport regions.	\$4,000 for two additional committee members (travel, per diem, etc.).
NC-2020-3	AMATEURISM -- EMPLOYMENT -- CRITERIA GOVERNING COMPENSATION TO STUDENT-ATHLETES- -RESTITUTION	Ready for Ratification Convention Vote.	NCAA Division III Management Council (Committee on Student-Athlete Reinstatement).	Immediate.	To amend Bylaw 14.2.1 to designate violations as restitution violations, which do not affect eligibility if the value of the benefit is \$200 or less, provided the student-athlete makes restitution to a charity of their choice prior to competing.	None.

Proposal Number	Title	Status	Source	Effective Date	Intent	Budget Impact
NC-2020-4	EXECUTIVE REGULATIONS -- ELIGIBILITY FOR CHAMPIONSHIPS -- INELIGIBILITY FOR USE OF BANNED DRUGS -- DRUGS AND PROCEDURES SUBJECT TO RESTRICTIONS -- TAMPERING WITH AND MANIPULATION OF URINE SAMPLES	Ready for Ratification Convention Vote.	NCAA Division III Management Council (Committee on Competitive Safeguards and Medical Aspects of Sports).	Immediate.	To clarify that tampering with an NCAA drug-test sample includes urine substitution and related methods; further, to clarify that manipulation of urine samples includes the use of substances and methods that alter the integrity and/or validity of urine samples provided during NCAA drug testing.	None.
NC-2020-5	MEMBERSHIP AND CHAMPIONSHIP -- CONDITIONS AND OBLIGATIONS OF MEMBERSHIP -- ELIGIBILITY FOR CHAMPIONSHIPS -- ATTESTATION AND CERIFICATION OF COMPLIANCE OBLIGATIONS	Ready for Ratification Convention Vote.	NCAA Division III Management Council (Interpretations and Legislation Committee).	August 1, 2019.	To specify that (a) An institution's chancellor or president shall attest, annually by October 15, to understanding the institutional obligations and personal responsibilities imposed by Constitution 2.1 (Principles of Institutional Control and Responsibility) and Constitution 2.8 (Principle of Rules Compliance); and (b) An institution's director of	None.

					athletics shall certify, annually by October 15, that specified conditions for entry of individuals and teams in NCAA championship competition have been satisfied, including an attestation of understanding the institutional obligations and personal responsibilities imposed by Constitution 2.1. and Constitution 2.8 and that all athletics department staff members (full-time, part-time, clerical, volunteer) are aware of the institutional obligations and personal responsibilities imposed by Constitution 2.1 and Constitution 2.8.	
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NC-2020-6	COMMITTEE MEMBERSHIP -- MEN'S LACROSSE -- INCREASE FROM 4 TO 5 MEMBERS	Ready for Consideration by Management Council.	NCAA Division III Management Council (Championships Committee).	August 1, 2019.	To increase the composition of the men's lacrosse committee from four to five members with one member from each region.	\$3,600
NC-2020-7	FINANCIAL AID -- ELEMENTS OF FINANCIAL AID -- ESTIMATED FINANCIAL ASSISTANCE	Ready for Consideration by Management Council.	NCAA Division III Management Council (Financial Aid Committee).	Immediate.	To replace the current government grants legislation with legislation entitled "Estimated Financial Assistance, which will utilize the Department of Education definition of "over award" for purposes of determining whether financial aid has exceeded the student-athlete's cost of attendance.	None.
NC-2020-8	PLAYING AND PRACTICE SEASONS - - FOREIGN TOURS -- EXPENSES -- INCREASE PER DIEM TO \$30	Ready for Consideration by Management Council.	NCAA Division III Management Council (Interpretations and Legislation Committee).	Immediate.	Increase from \$20 to \$30, the amount of per diem an institution may provide a student-athlete to cover unitemized incidental expenses incurred in connection with an institutional foreign tour.	Will vary based on institution.



NC-2020-9	RECRUITING -- CONTACT RESTRICTIONS AT PROSPECTIVE STUDENT-ATHLETE'S EDUCATIONAL INSTITUTION -- ELIMINATION OF REQUIRED PERMISSION FROM INSTITUTION'S EXECUTIVE OFFICER	Ready for Consideration by Management Council.	NCAA Division III Management Council (Interpretations and Legislation Committee).	Immediate.	To eliminate the requirement that an institutional staff member or any representative of the institution's athletics interests seeks permission from the executive officer (or designee) of a prospective student- athlete's educational institution prior to contacting that prospective student-athlete during the portion of the day when classes are being conducted.	None.
NC-2020-10	ELIGIBILITY -- HARDSHIP WAIVER - - EXEMPTED CONTESTS	Ready for Consideration by Management Council.	NCAA Division III Management Council (Interpretations and Legislation Committee).	Immediate.	Clarify that an exempted scrimmage, exhibition or joint practice conducted before or after the first regularly scheduled contest does not count as a competition when determining the first half of the playing season or whether the student-athlete participated in more than one-third of the standard number of contests/dates of completion.	None.

NC-2020-11	NCAA MEMBERSHIP -- MEMBER CONFERENCE -- ELIGIBILITY -- COMPOSITION OF CONFERENCE -- SINGLE SPORT CONFERENCE -- NATIONAL COLLEGIATE CHAMPIONSHIP	Ready for Consideration by Management Council.	NCAA Division III Management Council (Membership Committee).	Immediate.	To specify that for sports with a National Collegiate Championship, the number of active member institutions needed to be granted single-sport conference status is controlled by the number of members needed for National Collegiate automatic qualification.	None.
NC-2020-12	AWARDS, BENEFITS AND EXPENSES -- MEDICAL SERVICES - - MENTAL HEALTH SERVICES AND RESOURCES	Ready for Consideration by Management Council.	NCAA Division III Management Council (Committee on Competitive Safeguards and Medical Aspects of Sports).	August 1, 2019.	To ensure that student-athletes are aware of and have access to mental health services and resources available through the department of athletics and/or the institution's health services or counseling services department.	Will vary depending on services and resources available to students.
NC-2020-13	NCAA MEMBERSHIP -- ELIMINATION OF AFFILIATED MEMBERSHIP	Ready for Consideration by Management Council.	NCAA Division III Management Council.	August 1, 2019.	To eliminate the affiliated membership category.	Current annual dues for an affiliated member is \$500.

**Title:** PLAYING AND PRACTICE SEASONS -- ATHLETICALLY RELATED ACTIVITIES -- VOLUNTARY OUT-OF-SEASON ACTIVITIES LIMITED PRIMARILY TO MEMBERS OF THE TEAM

**Convention Year:** 2020

**Effective Date:** Immediate

**Proposal Number:** NC-2020-1

**Source:** NCAA Division III Management Council (Interpretations and Legislation Committee).

**Category:** Noncontroversial

**Topical Area:** Playing and Practice Seasons

**Status:** Ready for Ratification Convention Vote

**Intent:** To permit student-athletes to participate in on-court or on-field activities that are limited primarily to members of that team provided those activities are not mandatory and are not organized or involve members of the coaching staff.

**Bylaws:** Amend 17.02.1.1, as follows:

17.02.1.1 Athletically Related Activities. The following are considered athletically related activities:

[17.02.1.1-(a) through 17.02.1.1-(f) unchanged.]

(g) On-court or on-field activities called by any member(s) of a team ~~and confined primarily to members of that team~~ that are considered requisite for participation in that sport (e.g., captain's practices);

[17.02.1.1-(h) through 17.02.1.1-(k) unchanged.]

[17.02.1.1.1 unchanged.]

**Additional Information:**

It is common for student-athletes to voluntarily engage in sport-specific activities with their teammates outside the declared playing season. However, current legislation requires these activities be open to individuals that are not part of the team, or the activity will constitute an impermissible captain's practice. The legislation should not unnecessarily limit opportunities for student-athletes to engage with each other in their sport. Rather, the legislation should focus on limiting coach involvement and mandatory activity outside the playing season so that the proper balance of athletics, academics and other interests may be maintained. This proposal clarifies that student-athletes could participate in activities limited to members of that team provided there is no athletics staff member involvement and the activity is voluntary.

**Budget Impact:** None

**Review History:**

Oct 16, 2018:	Approved in Concept - Management Council and Interpretations and Legislation Committee	MC Supplement No. 8b, 1-(a)
Jan 23, 2019:	Approved in Legislative Format - Management Council	Supplement No. 23

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**Title:** COMMITTEE MEMBERSHIP -- WOMEN'S LACROSSE -- INCREASE FROM 5 TO 7 MEMBERS

**Convention Year:** 2020

**Effective Date:** August 1, 2019

**Proposal Number:** NC-2020-2

**Source:** NCAA Division III Management Council (Championships Committee).

**Category:** Noncontroversial

**Topical Area:** Committees

**Status:** Ready for Ratification Convention Vote

**Intent:** To increase the composition of the women's lacrosse committee from five to seven. The additional committee members will represent each of the two new sport regions.

**Bylaws:** Amend Figure 21-1, as follows:

Figure 21-1 Committee Membership

**FIGURE 21-1**  
**Committee Membership**

<b>Committee</b>	<b>Number of Members</b>
Baseball Committee	8, including one member from each region.
Basketball Committee, Men's	8, including one member from each region.
Basketball Committee, Women's	8, including one member from each region.
Field Hockey Committee	6, including one member from each region.
Football Committee	8, consisting of two representatives from each of the four Division III football regions.
Golf Committee, Men's	6, including one member from each region.
Golf Committee, Women's	5, including one member from each region.
Ice Hockey Committee, Men's	4, including two members from both the East and West Regions (one administrator and one coach).
Ice Hockey Committee, Women's ( <i>Revised: 1/17/18 effective 8/1/19</i> )	5, including three members from the East Region and two members from the West Region/Independents (one coach from each region).
Lacrosse Committee, Men's	4, including two members from each of the North and South Regions (one administrator and one coach). Two representatives serving on the committee shall be athletics administrators, and two shall be coaching-staff members.
Lacrosse Committee, Women's ( <b><u>Revised: 1/23/19 effective 8/1/19</u></b> )	5 <b><u>7</u></b> , including one member from each region.
Rowing Committee, Women's	6, including one member from each region.
Soccer Committee, Men's	8, including one member from each region.
Soccer Committee, Women's	8, including one member from each region.
Softball Committee, Women's	8, including one member from each region.
Swimming and Diving Committee, Men's and Women's ( <i>Revised: 1/14/12 effective 8/1/12</i> )	8, three members shall represent men's swimming and diving interests and four members shall represent women's swimming and diving interests with two positions allocated for a man and three allocated for a woman and two unallocated with one additional member representing diving interests.
Tennis Committee, Men's	4, including one member from each region.
Tennis Committee, Women's	4, including one member from each region.
Track and Field and Cross Country Committee, Men's and Women's ( <i>Revised: 1/14/12 effective 8/1/12</i> )	8, four members shall represent men's track and field interests and four members shall represent women's track and field interests with four positions allocated for men and four allocated for women. There shall be one representative elected from each of the Division III track and field regions.*
Volleyball Committee, Men's	4, including two members from each region.
Volleyball Committee, Women's	8, including one member from each region.
Wrestling Committee ( <i>Revised: 1/14/12 effective 8/1/12</i> )	6, including one member from each region.

\* The Men's and Women's Track and Field and Cross Country Committee shall be responsible for the Division III cross country, indoor track and field, and outdoor track and field championships.

**Additional Information:**

With continued sponsorship growth to more than 290 programs, regional realignment with a corresponding increase to the committee composition would provide several improvements for the sport, including balance of teams among regions. Currently, the regions vary from as high as 70 programs to a low of 44. A seven-region alignment would most effectively serve the sport based on sport sponsorship and anticipated growth. The two new committee members would contribute to the work of the women's lacrosse committee and provide leadership and oversight as teams are evaluated and ranked in their respective regions.

**Budget Impact:** \$4,000 for two additional committee members (travel, per diem, etc.)

**Review History:**

Jan 23, 2019:	Approved in Concept - Management Council	Supplement No. 5.b, Item No. 1
Apr 16, 2019:	Approved in Legislative Format - Management Council , Championships Committee	Supplement No. 20

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**Title:** AMATEURISM -- EMPLOYMENT -- CRITERIA GOVERNING COMPENSATION TO STUDENT-ATHLETES  
-- RESTITUTION

**Convention Year:** 2020

**Effective Date:** Immediate

**Proposal Number:** NC-2020-3

**Source:** NCAA Division III Management Council (Committee on Student-Athlete Reinstatement).

**Category:** Noncontroversial

**Topical Area:** Amateurism

**Status:** Ready for Ratification Convention Vote

**Intent:** To amend Bylaw 12.4.1 to designate violations as restitution violations, which do not affect eligibility if the value of the benefit is \$200 or less, provided the student-athlete makes restitution to a charity of their choice prior to competing.

**Bylaws:** Amend 12.4, as follows:

12.4.1 Criteria Governing Compensation to Student-Athletes. All compensation received by a student-athlete must be consistent with the limitations on financial aid set forth in Bylaw 15. Compensation may be paid to a student-athlete: **[R]**

(a) Only for work actually performed; and

(b) At a rate commensurate with the going rate in that locality for similar services.

**Additional Information:**

Currently, violations of Bylaw 12.4.1 require an institution to file a student-athlete reinstatement request for the involved student-athlete regardless of the amount of impermissible compensation. The NCAA Division III Committee on Student-Athlete Reinstatement noted the NCAA student-athlete reinstatement staff typically reinstates the eligibility of the involved student-athlete based on repayment of the value of the impermissible compensation to charity when the amount is \$200 or less. The committee agreed this proposal will reduce bureaucracy and increase efficiency for Division III institutions and align Bylaw 12.4.1 with other restitution bylaws in the amateurism legislation. Impermissible compensation to student-athletes in any amount remains an institutional violation that must be reported to the NCAA enforcement staff.

**Budget Impact:** None.

**Review History:**

Jan 23, 2019:	Approved in Concept - Management Council , Committee on Student-Athlete Reinstatement	Supplement No. 9, item no. 1
Apr 16, 2019:	Approved in Legislative Format - Management Council , Committee on Student-Athlete Reinstatement	Supplement No. 20

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**Title:** EXECUTIVE REGULATIONS -- ELIGIBILITY FOR CHAMPIONSHIPS -- INELIGIBILITY FOR USE OF BANNED DRUGS -- DRUGS AND PROCEDURES SUBJECT TO RESTRICTIONS -- TAMPERING WITH AND MANIPULATION OF URINE SAMPLES

**Convention Year:** 2020

**Effective Date:** Immediate

**Proposal Number:** NC-2020-4

**Source:** NCAA Division III Management Council (Committee on Competitive Safeguards and Medical Aspects of Sports).

**Category:** Noncontroversial

**Topical Area:** Executive Regulations

**Status:** Ready for Ratification Convention Vote

**Intent:** To clarify that tampering with an NCAA drug-test sample includes urine substitution and related methods; further, to clarify that manipulation of urine samples includes the use of substances and methods that alter the integrity and/or validity of urine samples provided during NCAA drug testing.

**A. Bylaws:** Amend 18.4, as follows:

18.4 Eligibility for Championships.

[18.4.1 unchanged.]

18.4.1.5.3 Breach of NCAA Drug-Testing Program Protocol. A student-athlete who is in breach of the NCAA drug-testing program protocol (e.g., no show) shall be considered to have tested positive for the use of a substance in a banned drug class other than "illicit drugs."

18.4.1.5.3.1 Tampering With a Drug-Test Sample. A student-athlete who is involved in a case of clearly observed tampering with an NCAA drug test sample **(e.g., urine substitution and related methods)**, as documented per NCAA drug-testing protocol by a drug-testing crew member, shall be charged with the loss of two seasons of participation in all sports if the season of competition has not yet begun for that student-athlete or the equivalent of two full seasons of participation in all sports if the student-athlete is involved in tampering with a drug-test sample during his or her season of participation (the remainder of contests in the current season and contests in the following two seasons up to the period of time in the initial season in which the student-athlete was declared ineligible). The student-athlete shall remain ineligible for all regular-season and postseason competition during the time period ending two calendar years (i.e., 730 days) after the student-athlete was involved in the tampering with a drug test sample and until he or she tests negative (in accordance with the testing methods authorized by the Board of Governors).

[18.4.1.5.4 through 18.4.1.5.7 unchanged.]

[18.4.2 unchanged.]

**B. Administrative:** Amend 31.2, as follows:

31.2 Eligibility for Championships.

[31.2.1 through 31.2.2 unchanged.]

31.2.3 Ineligibility for Use of Banned Drugs. See Bylaw 18.4.1.5 for the details related to ineligibility for use of banned drugs.

[31.2.3.1 unchanged.]



31.2.3.1.1 Drugs and Procedures Subject to Restrictions. The use of the following drugs and/or procedures is subject to certain restrictions and may or may not be permissible, depending on limitations expressed in these guidelines and/or quantities of these substances used:

[31.2.3.1.1-(a) through 31.2.3.1.1-(c) unchanged.]

(d) Manipulation of Urine Sample. The Board of Governors bans the use of substances and methods **(e.g., diuretics, probenecid, bromantan or related compounds, epitesterone)** that alter the integrity and/or validity of urine samples provided during NCAA drug testing. ~~Examples of banned methods are catheterization, urine substitution and/or tampering or modification of renal excretion by the use of diuretics, probenecid, bromantan or related compounds, and epitesterone administration.~~

[31.2.3.1.1-(e) through 31.2.3.1.1-(f) unchanged.]

[31.2.3.1.2 unchanged.]

[31.2.3.2 through 31.2.3.5 unchanged.]

#### **Additional Information:**

Due to changes made to drug-testing legislation in 2012, NCAA legislation surrounding tampering and manipulation is unclear. This recommendation would clarify that urine substitution (and related methods) is tampering and carries a more significant penalty. This recommendation is consistent with the original intent of tampering legislation, as recommended by the NCAA Committee on Competitive Safeguards and Medical Aspects of Sport. In October 2018, the NCAA Division I Council adopted NCAA Division I Proposal No. M-2018-1 to address this recommendation. The committee requested that NCAA Division II and III take similar action to clarify this issue.

#### **Budget Impact:**

#### **Review History:**

Jan 23, 2019:	Approved in Concept - Management Council	Supplement No. 10.b, Item No. 1.b
Apr 16, 2019:	Approved in Legislative Format - Management Council , Committee on Competitive Safeguards and Medical Aspects of Sports	Supplement No. 20

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**Title:** MEMBERSHIP AND CHAMPIONSHIPS -- CONDITIONS AND OBLIGATIONS OF MEMBERSHIP -- ELIGIBILITY FOR CHAMPIONSHIPS -- ATTESTATION AND CERTIFICATION OF COMPLIANCE OBLIGATIONS

**Convention Year:** 2020

**Effective Date:** August 1, 2019

**Proposal Number:** NC-2020-5

**Source:** NCAA Division III Management Council (Interpretations and Legislation Committee).

**Category:** Noncontroversial

**Topical Area:** Membership

**Status:** Ready for Ratification Convention Vote

**Intent:** To specify that (a) An institution's chancellor or president shall attest, annually by October 15, to understanding the institutional obligations and personal responsibilities imposed by Constitution 2.1 (Principles of Institutional Control and Responsibility) and Constitution 2.8 (Principle of Rules Compliance); and (b) An institution's director of athletics shall certify, annually by October 15, that specified conditions for entry of individuals and teams in NCAA championship competition have been satisfied, including an attestation of understanding the institutional obligations and personal responsibilities imposed by Constitution 2.1 and Constitution 2.8 and that all athletics department staff members (full-time, part-time, clerical, volunteer) are aware of the institutional obligations and personal responsibilities imposed by Constitution 2.1 and Constitution 2.8.

**A. Constitution:** Amend 3.2, as follows:

3.2 Active Membership.

[3.2.1 through 3.2.3 unchanged.]

3.2.4 Conditions and Obligations of Membership.

3.2.4.1 General. The active members of this Association agree to administer their athletics programs in accordance with the constitution, bylaws and other legislation of the Association.

[3.2.4.2 through 3.2.4.12 unchanged.]

**3.2.4.13 Chancellor or President Attestation of Compliance Obligations. An active member institution shall not be eligible to enter a team or individual competitors in an NCAA championship and shall be subject to removal from and/or ineligibility of individuals to serve on an NCAA board, council or committee unless its president or chancellor attests to understanding the institutional obligations and personal responsibilities imposed by Constitution 2.1 (Principle of Institutional Control and Responsibility) and Constitution 2.8 (Principle of Rules Compliance) annually by October 15. [See Bylaw 31.2.1-(d)].**

3.2.4.14 Compliance-Related Certification. A member institution shall not be eligible to enter a team or individual competitors in an NCAA championship and shall be subject to removal from and/or ineligibility of individuals to serve on an NCAA board, council or committee unless ~~its president or chancellor makes an annual institutional eligibility certification~~ **it certifies** [see Bylaw 31.2.1-(d)] attesting that the conditions specified **of Bylaw 31.2.1.7** have been satisfied.

[3.2.4.14 through 3.2.4.21 renumbered as 3.2.4.15 through 3.2.4.22, unchanged.]

[3.2.5 through 3.2.6 unchanged.]

**B. Administrative:** Amend 31.2, as follows:

31.2 Eligibility for Championships.

31.2.1 Institutional Eligibility. To be eligible to enter a team or an individual in NCAA championship competition, an institution shall recognize the sport involved as a varsity intercollegiate sport (see Bylaw 17.02.13) and shall:

[31.2.1-(a) through 31.2.1-(c) unchanged.]

**(d) The institution's chancellor or president shall attest to understanding the institutional obligations and personal responsibilities imposed by Constitution 2.1 (Principle of Institutional Control and Responsibility) and Constitution 2.8 (Principle of Rules Compliance) annually by October 15;**

~~(d) e) Certify through its president or chancellor on a form approved by the Management Council, the~~ **The institution's director of athletics shall certify, annually by October 15, the** institution's compliance with NCAA legislation. ~~The certification of compliance shall be completed not later than September 15, shall be kept on file at the institution, and shall adhere to the requirements set forth in Bylaw 31.2.1.7;~~

[31.2.1-(e) through 31.2.1-(h) relettered as 31.2.1-(f) through 31.2.1-(i), unchanged.]

[31.2.1.1 through 31.2.1.6 unchanged.]

31.2.1.7 Certification of Compliance -- Requirements. The **institution's director of athletics shall certify that the** following conditions ~~shall be~~ **have been** satisfied. **(See Constitution 3.2.4.14)**

31.2.1.7.1 NCAA Rules Review. The ~~president or chancellor,~~ **director of athletics** or a designated representative, has reviewed with all athletics department staff members the rules and regulations of the NCAA as they apply to the administration and conduct of intercollegiate athletics.

31.2.1.7.2 Attestation of Compliance Obligations. The ~~president or chancellor and all athletics department staff members (full time, part time, clerical, volunteer) shall attest that the obligations in~~ **director of athletics attests to understanding the institutional obligations and personal responsibilities imposed by** Constitution 2.1 (Principle of Institutional Control and Responsibility) and Constitution 2.8 (Principle of Rules Compliance) ~~have been met. (See Constitution 3.2.4.13.)~~ **and that all athletics department staff members (full-time, part-time, clerical, volunteer) are aware of the institutional obligations and personal responsibilities imposed by Constitution 2.1 and Constitution 2.8 annually by October 15.**

31.2.1.7.3 Coaching Staff Disciplinary Actions. At the time of such certification, and as a result of involvement in a violation of the Association's legislation as determined by the Committee on Infractions or the Management Council, no current member of the institution's coaching staff:

[31.2.1.7.3-(a) through 31.2.1.7.3-(c) unchanged.]

31.2.1.7.3.1 Period of Suspension or Prohibition. The period of suspension or prohibition established by the Committee on Infractions or the Management Council must be in effect for the provisions set forth in Bylaw 31.2.1.7.3 to apply.

31.2.1.7.3.2 Due-Process Requirement. The affected coaching staff member must be given through the appropriate institution notice of an opportunity to be heard at both the NCAA hearing resulting in the finding of involvement in the violation and the institutional hearing resulting in suspension or prohibition.

31.2.1.7.4 Certification of Policies, Procedures and Practices. The policies, procedures and practices of the institution, its staff members and representatives of its athletics interests are in compliance at the present time with the Association's legislation insofar as the ~~president or chancellor~~ **director of athletics** can determine.

[31.2.1.7.5 through 31.2.1.7.6 unchanged.]

[31.2.1.8 through 31.2.1.10 unchanged.]

[31.2.2 through 31.2.3 unchanged.]

**Additional Information:**

In August 2018, in response to a recommendation from the Commission on College Basketball and the Board of Governors, the Division III Presidents Council approved Noncontroversial Proposal No. NC-2019-13. After adoption of this proposal, additional review resulted in the need to further refine the appropriate accountability and responsibilities of chancellor and president and director of athletics. Specifically, the proposal shifts some compliance certification requirements related to the athletics program from the chancellor or president to the athletics director while maintaining that the chancellor or president affirms understanding the institutional and personal obligations. Additionally, this proposal relieves athletics staff from the administrative requirement of having each staff member provide a written attestation of rules compliance. Institutions must still inform staff of their obligations but will have the discretion to determine the best way to ensure this is completed. The changes reflected in this proposal more appropriately clarify the roles and responsibilities of chancellors, presidents and athletics directors with respect to rules compliance.

**Budget Impact:** None.

**Review History:**

Apr 16, 2019:	Approved in Concept and Adopted in Final Legislative Format - Management Council and Interpretations and Legislation Committee	Supplement No. 20
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**Title:** COMMITTEE MEMBERSHIP -- MEN'S LACROSSE -- INCREASE FROM 4 TO 5 MEMBERS

**Convention Year:** 2020

**Effective Date:** August 1, 2019

**Proposal Number:** NC-2020-6

**Source:** NCAA Division III Management Council (Championships Committee).

**Category:** Noncontroversial

**Topical Area:** Committees

**Status:** Ready for Consideration by Management Council

**Intent:** To increase the composition of the men's lacrosse committee from four to five members with one member from each region.

**Bylaws:** Amend figure 21-1, as follows:

Figure 21-1 Committee Membership

**FIGURE 21-1**  
**Committee Membership**

<b>Committee</b>	<b>Number of Members</b>
Baseball Committee	8, including one member from each region.
Basketball Committee, Men's	8, including one member from each region.
Basketball Committee, Women's	8, including one member from each region.
Field Hockey Committee	6, including one member from each region.
Football Committee	8, consisting of two representatives from each of the four Division III football regions.
Golf Committee, Men's	6, including one member from each region.
Golf Committee, Women's	5, including one member from each region.
Ice Hockey Committee, Men's	4, including two members from both the East and West Regions (one administrator and one coach).
Ice Hockey Committee, Women's ( <i>Revised: 1/17/18 effective 8/1/19</i> )	5, including three members from the East Region and two members from the West Region/Independents (one coach from each region).
Lacrosse Committee, Men's ( <b><i>Revised: 4/16/19 effective 8/1/19</i></b> )	<del>4</del> <b>5</b> , including <del>two members from each of the North and South Regions (one administrator and one coach). Two representatives serving on the committee shall be athletics administrators, and two shall be coaching-staff members</del> <b>one member from each region.</b>
Lacrosse Committee, Women's ( <i>Revised: 1/23/19 effective 8/1/19</i> )	7, including one member from each region.
Rowing Committee, Women's	6, including one member from each region.
Soccer Committee, Men's	8, including one member from each region.
Soccer Committee, Women's	8, including one member from each region.
Softball Committee, Women's	8, including one member from each region.
Swimming and Diving Committee, Men's and Women's ( <i>Revised: 1/14/12 effective 8/1/12</i> )	8, three members shall represent men's swimming and diving interests and four members shall represent women's swimming and diving interests with two positions allocated for a man and three allocated for a woman and two unallocated with one additional member representing diving interests.
Tennis Committee, Men's	4, including one member from each region.
Tennis Committee, Women's	4, including one member from each region.
Track and Field and Cross Country Committee, Men's and Women's ( <i>Revised: 1/14/12 effective 8/1/12</i> )	8, four members shall represent men's track and field interests and four members shall represent women's track and field interests with four positions allocated for men and four allocated for women. There shall be one representative elected from each of the Division III track and field regions.*
Volleyball Committee, Men's	4, including two members from each region.
Volleyball Committee, Women's	8, including one member from each region.
Wrestling Committee ( <i>Revised: 1/14/12 effective 8/1/12</i> )	6, including one member from each region.

\* The Men's and Women's Track and Field and Cross Country Committee shall be responsible for the Division III cross country, indoor track and field, and outdoor track and field championships.

**Additional Information:**

This increase to the Division III Men's Lacrosse committee coincides with the increase to 5 regions per the new regional alignment model adopted by the Division III Championships Committee as proposed by the Division III Commissioners Association. The increase will allow one committee member to represent each of the five regions. Additionally, this legislation will increase representation for student-athletes across the regions in men's lacrosse.

**Budget Impact:** \$3,600

**Review History:**

Apr 16, 2019: Approved in Concept - Management Council Supplement No. 3-b1

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**Title:** FINANCIAL AID -- ELEMENTS OF FINANCIAL AID -- ESTIMATED FINANCIAL ASSISTANCE

**Convention Year:** 2020

**Effective Date:** Immediate

**Proposal Number:** NC-2020-7

**Source:** NCAA Division III Management Council (Financial Aid Committee).

**Category:** Noncontroversial

**Topical Area:** Financial Aid

**Status:** Ready for Consideration by Management Council

**Intent:** To replace the current government grants legislation with legislation entitled "Estimated Financial Assistance, which will utilize the Department of Education definition of "overaward" for purposes of determining whether financial aid has exceeded the student-athlete's cost of attendance.

**Bylaws:** Amend 15.2.2, as follows:

*15.2.2 Government Grants.* **Estimated Financial Assistance.** *Government grants for educational purposes, except those identified in Bylaw 15.2.2.1, shall be included when determining whether a student-athlete's financial aid exceeds the value of the cost of attendance. **Estimated financial assistance is all types of financial aid the student-athlete is expected to receive that must be counted when determining whether that financial aid has exceeded the student-athlete's cost of attendance as defined in General Provisions for the Federal Perkins Loan Program, Federal Work-Study Program, and Federal Supplemental Educational Opportunity Grant Program, 34 C.F.R. § 673.5(c).** (<https://www.ecfr.gov/>)*

*15.2.2.1 Exempted Government Grants.* *The following government grants for educational purposes shall not be included when determining whether the student-athlete's financial aid exceeds the value of the cost of attendance:*

*(a) AmeriCorps Program.* *Benefits received by student-athletes under the AmeriCorps Program;*

*(b) Disabled Veterans.* *State government awards to disabled veterans;*

*(c) Military Reserve Training Programs.* *Payments to student-athletes for participation in military reserve training programs (e.g., payments by the U.S. government for a student's participation in advanced ROTC or National Guard training shall not be construed under this principle to be "employment" during semester or term time);*

*(d) Montgomery G.I. Bill.* *Benefits received by student-athletes under the Montgomery Bill—Active Duty and the Montgomery G.I. Bill—Selected Reserve;*

*(e) Special U.S. Government Entitlement Programs.* *Payments by the U.S. government under the terms of the Dependents Education Assistance Program (DEAP), Social Security Insurance Program (including the Reinstated Entitlement Program for Survivors (REPS)) or Non-Service-Connected Veteran's Death Pension Program;*

*(f) U.S. Military Annuitant Pay.* *U.S. military annuitant pay or other family member service-related death benefits received by student-athletes from the U.S. military;*

*(g) Veterans Educational Assistance Program (VEAP).* *Benefits received by student-athletes under the VEAP;*

*(h) Vocational Rehabilitation for Service-Disabled Veterans Program.* *Benefits received by student-athletes under the Vocational Rehabilitation for Service-Disabled Veterans Program; or*

*(i) Welfare Benefits.* *Welfare benefits received from a state or federal government.*

**Additional Information:**



The financial aid landscape is ever-changing and maintaining an accurate list of what constitutes financial assistance can be cumbersome. While the current legislation accounts for government grants, it does not account for other forms of aid that an institution's financial aid office factor into a student's estimated financial assistance. Additionally, the current list of exempted government grants includes some programs that are obsolete or outdated, while ignoring newer government grants that would also qualify for exemption. The committee believes that redefining the bylaw to be consistent with the language used by the Department of Education both in its federal statute and in the financial aid handbook utilized by financial aid professionals, will eliminate the need to revise the legislation each time a grant or other form of aid is added or removed. Furthermore, by including a reference to the electronic Code of Federal Regulations, anyone can readily access the most up-to-date federal statute.

**Budget Impact:** None.

**Review History:**

Apr 16, 2019: Approved in Concept - Management Council Supplement No. 10(a)-1(a)

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**Title:** PLAYING AND PRACTICE SEASONS -- FOREIGN TOURS -- EXPENSES -- INCREASE PER DIEM TO \$30

**Convention Year:** 2020

**Effective Date:** Immediate

**Proposal Number:** NC-2020-8

**Source:** NCAA Division III Management Council (Interpretations and Legislation Committee).

**Category:** Noncontroversial

**Topical Area:** Playing and Practice Seasons

**Status:** Ready for Consideration by Management Council

**Intent:** Increase from \$20 to \$30, the amount of per diem an institution may provide a student-athlete to cover unitemized incidental expenses incurred in connection with an institutional foreign tour.

**Bylaws:** Amend 17.29.1.9, as follows:

17.29.1.9.1 Per Diem. An institution may provide a student-athlete ~~\$20~~ **\$30** cash per day to cover unitemized incidental expenses incurred in connection with a foreign tour ~~in his or her particular sport~~. This expense allowance may be provided for each day of the tour, to a maximum of 21 days.

**Additional Information:**

Current legislation permits an institution to provide a per diem for incidental expenses associated with an institutional foreign tour. Increasing the per diem for incidental expenses reflects a more realistic understanding of the actual incidental expenses a student-athlete will incur while on a foreign tour. It will also provide the opportunity for all student-athletes to maximize the foreign tour experience. Finally, the foreign tour incidental expense allowance has not been adjusted since 1996 when it increased from \$10 to \$20.

**Budget Impact:** Will vary based on institution.

**Review History:**

Apr 16, 2019: Approved in Concept - Management Council Supplement No. 11(a)

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**Title:** RECRUITING -- CONTACT RESTRICTIONS AT PROSPECTIVE STUDENT-ATHLETE'S EDUCATIONAL INSTITUTION -- ELIMINATION OF REQUIRED PERMISSION FROM INSTITUTION'S EXECUTIVE OFFICER

**Convention Year:** 2020

**Effective Date:** Immediate

**Proposal Number:** NC-2020-9

**Source:** NCAA Division III Management Council (Interpretations and Legislation Committee).

**Category:** Noncontroversial

**Topical Area:** Recruiting

**Status:** Ready for Consideration by Management Council

**Intent:** To eliminate the requirement that an institutional staff member or any representative of the institution's athletics interests seeks permission from the executive officer (or designee) of a prospective student-athlete's educational institution prior to contacting that prospective student-athlete during the portion of the day when classes are being conducted.

**Bylaws:** Amend 13.1.4.1, as follows:

*13.1.4.1 Prospective Student-Athlete's Educational Institution: Any staff member and any representative of the institution's athletics interests desiring to contact a prospective student-athlete at the prospective student-athlete's high school, college preparatory school or two-year college first shall obtain permission for such contact from that institution's executive officer (or designee). Contact may be made only when such permission is granted. Permission for such contact is not required if the contact with the prospective student-athlete is made after official school hours. Member institutions also are bound by this provision when recruiting international athletes. [D]*

[13.1.4.2 renumbered as 13.1.4.1, unchanged.]

**Additional Information:**

Current legislation specifies that a visit by an institutional staff member or representative of the institution's athletics interests to a prospective student-athlete's educational institution during the portion of the day when classes are being conducted for all students for the purpose of contacting the prospective student-athlete requires the approval of the executive officer (or designee) of the prospective student-athlete's educational institution. The legislation is difficult to monitor and unnecessary given that prospective student-athletes' educational Institutions typically have policies and procedures in place for admitting and monitoring visitors.

**Budget Impact:** None.

**Review History:**

Apr 16, 2019: Approved in Concept - Management Council MC Supplement No. 11(a)-1(b)

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**Title:** ELIGIBILITY -- HARDSHIP WAIVER -- EXEMPTED CONTESTS

**Convention Year:** 2020

**Effective Date:** Immediate

**Proposal Number:** NC-2020-10

**Source:** NCAA Division III Management Council (Interpretations and Legislation Committee).

**Category:** Noncontroversial

**Topical Area:** Eligibility

**Status:** Ready for Consideration by Management Council

**Intent:** Clarify that an exempted scrimmage, exhibition or joint practice conducted before or after the first regularly scheduled contest does not count as a competition when determining the first half of the playing season or whether the student-athlete participated in more than one-third of the standard number of contests/dates of completion.

**Bylaws:** Amend 14.2.5, as follows:

14.2.5 Hardship Waiver. A student-athlete may be granted an additional year of participation (per Bylaw 14.2.4) by the conference or the Committee on Student-Athlete Reinstatement for reasons of "hardship." Hardship is defined as an incapacity resulting from a season-ending injury or illness that has occurred under all of the following conditions:

- (a) The season-ending injury or illness occurs before the completion of the first half of the traditional playing season in that sport for the season being waived and results in incapacity to compete for the remainder of the traditional playing season. The first half of the traditional playing season is measured by the maximum contest or date of competition (whichever is applicable to that sport) limitation in each sport as set forth in Bylaw 17 plus one contest or date of competition **(Excluding exempted scrimmages, exhibitions and/or joint practices)**. For cross country and indoor and outdoor track and field, see Bylaw 14.2.5.2.5.1; and
- (b) The season-ending injury or illness occurs when the student-athlete has not competed in more contests or dates of competition than a number equivalent to one-third of the standard denominator, where the standard denominator is determined by the maximum number of contests or dates of competition plus one contest or date of competition (see Bylaw 14.2.5.2.5.1). For cross country and indoor and outdoor track and field, see Bylaw 14.2.5.2.5.1. Only competition (excluding *preseason exempted* scrimmages, *and* exhibition contests **and/or joint practices** *but including scrimmages and exhibitions after the first regularly scheduled contest*) against outside participants during the traditional playing season, or, if so designated, during the official NCAA championship playing season in that sport (e.g., spring baseball, fall soccer), shall be countable under this limitation in calculating the number of contests or dates of competition in which the student-athlete has competed. [See Figure 14-1]

[14.2.5.1 through 14.2.5.3 unchanged.]

**Additional Information:**

Recent legislation standardizing exempted scrimmages/exhibitions/joint practices across sports left it unclear how these activities should be treated with respect to the hardship legislation. This proposal clarifies the following: (1) An exempted scrimmage/exhibition/joint practice shall not constitute a contest for determining when the first half of the playing season concludes; and (2) Participation in an exempted scrimmage/exhibition/joint practice does not count for determining if the student participated in a third of the scheduled contests. Effectively, participation in an exempted scrimmage/exhibition/joint practice would be treated like a participation in a practice for purposes of applying the hardship waiver analysis. Adopting this proposal will clarify how these activities should be addressed when applying for a hardship

waiver and will create equity in the application of the hardship waiver legislation for student-athletes who participate in the same number of exempted contests.

**Budget Impact:** None

**Review History:**

Apr 16, 2019:	Approved in Concept - Management Council and Interpretations and Legislation Committee	Supplement No. 11(a)-1(d)
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**Title:** NCAA MEMBERSHIP -- MEMBER CONFERENCE -- ELIGIBILITY -- COMPOSITION OF CONFERENCE -- SINGLE SPORT CONFERENCE -- NATIONAL COLLEGIATE CHAMPIONSHIP

**Convention Year:** 2020

**Effective Date:** Immediate

**Proposal Number:** NC-2020-11

**Source:** NCAA Division III Management Council (Membership Committee).

**Category:** Noncontroversial

**Topical Area:** Membership

**Status:** Ready for Consideration by Management Council

**Intent:** To specify that for sports with a National Collegiate Championship, the number of active member institutions needed to be granted single-sport conference status is controlled by the number of members needed for National Collegiate automatic qualification.

**Constitution:** Amend 3.3.1.2.2, as follows:

3.3.1.2.2 Single-Sport Conference. Conference status may be granted to a single-sport conference provided it has seven active NCAA member institutions. **For sports with a National Collegiate Championship, the single-sport conference minimum is controlled by the number of members needed for National Collegiate automatic qualification (See Bylaw 18.5.2).**

**Additional Information:**

A conference may be eligible for automatic qualification into any National Collegiate Championship by having at least six active members (as opposed to a Division III championship which requires a conference to have seven institutions to qualify for an automatic qualification). Seven institutions, however are required to establish a single sport conference in Division III regardless of the sport. Thus, the threshold to establish a Division III conference is higher than what is needed to obtain an automatic qualification for a National Collegiate Championship. This proposal provides greater flexibility in the formation of a single sport conference for Division III institutions participating in a National Collegiate sport so that Division III single sport conferences do not have to satisfy a higher standard to earn an automatic qualification to those championship.

**Budget Impact:** None.

**Review History:**

Apr 16, 2019: Approved in Concept - Management Council Supplement No. 12(a)-1(a)

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**Title:** AWARDS, BENEFITS AND EXPENSES -- MEDICAL SERVICES -- MENTAL HEALTH SERVICES AND RESOURCES

**Convention Year:** 2020

**Effective Date:** August 1, 2019

**Proposal Number:** NC-2020-12

**Source:** NCAA Division III Management Council (Committee on Competitive Safeguards and Medical Aspects of Sports).

**Category:** Noncontroversial

**Topical Area:** Awards and Benefits

**Status:** Ready for Consideration by Management Council

**Intent:** To ensure that student-athletes are aware of and have access to mental health services and resources available through the department of athletics and/or the institution's health services or counseling services department.

**Bylaws:** Amend 16.4, as follows:

16.4 Medical Expenses. An institution may finance medical-expense benefits incidental to a student-athlete's participation in intercollegiate athletics. However, it is not permissible for an institution to finance nutritional supplements (e.g., weight-gain, muscle/strength-building, weight-loss supplements) as medical-expense benefits incidental to a student-athlete's participation in intercollegiate athletics. [R]

**16.4.1 Mental Health Services and Resources An institution shall make mental health services and resources available to its student-athletes. Such services and resources may be provided by the department of athletics and/or the institution's health services or counseling services department. Provision of services and resources should be consistent with the Interassociation Consensus: Mental Health Best Practices. In addition, an institution must distribute mental health educational materials and resources to student-athletes, including those transitioning out of their sport, coaches, athletics administrators and other athletics personnel throughout the year. Such educational materials and resources must include a guide to the mental health services and resources available at the institution and information regarding how to access them.**

**Additional Information:**

As mental health issues are increasingly prevalent among college students, including student-athletes, it is appropriate for conferences and institutions to make a concerted effort to strengthen any existing mental health education and resources already offered to their student-athlete populations. While institutions have generally taken steps to improve their mental health education and resources for the general student population, participation in college athletics can pose its own unique pressures and difficulties that require specialized attention. To that end, athletics departments can play a central role in the creation of an environment that wholly supports mental health wellness for their student-athletes. A commitment to provide mental health services and resources in a manner that is consistent with the current Interassociation Consensus: Mental Health Best Practices guidelines will ensure that athletics departments make mental health care and treatment available to student-athletes in response to those unique pressures and difficulties.

**Budget Impact:** Will vary depending on services and resources available to students

**Review History:**

Apr 16, 2019: Approved in Concept - Management Council Supplement No. 15(b)-1

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**Title:** NCAA MEMBERSHIP -- ELIMINATION OF AFFILIATED MEMBERSHIP

**Convention Year:** 2020

**Effective Date:** August 1, 2019

**Proposal Number:** NC-2020-13

**Source:** NCAA Division III Management Council.

**Category:** Noncontroversial

**Topical Area:** Membership

**Status:** Ready for Consideration by Management Council

**Intent:** To eliminate the affiliated membership category.

**A. Constitution:** Amend 3, as follows:

3 NCAA Membership.

3.01 General Principles.

3.01.1 Classes of Membership. The NCAA offers ~~four~~**three** classes of membership: active, conference, ~~affiliated~~ and provisional. Eligibility for and method of election to membership, obligations and conditions for continuing membership, voting rights, and other membership privileges for each class are defined in this article.

[3.01.2 through 3.01.5 unchanged.]

3.02 Definitions and Applications.

[3.02.1 through 3.02.3 unchanged.]

~~3.02.3.4 Affiliated Member. An affiliated member is a coaches or sports association whose function and purpose are directly related to one or more sports in which the NCAA conducts championships or an emerging sport for women, or an association consisting of college/university administrators who have a direct relationship to either the NCAA or the membership, duly elected to affiliated membership under the provisions of this article (see Constitution 3.4.3). An affiliated member is entitled to be represented by one nonvoting delegate at any NCAA Convention and enjoys other privileges as designated in the bylaws of the Association (see Constitution 3.4.2).~~

3.1 Eligibility for Membership.

3.1.1 General. Membership is available to colleges, universities, **and** athletics conferences ~~or associations and other groups that are related to intercollegiate athletics~~ that have acceptable academic standards (as defined in Constitution 3.2.3.2), and that are located in the U.S., its territories or possessions. Such institutions or organizations must accept and observe the principles set forth in the constitution and bylaws of the Association.

[3.1.2 unchanged.]

[3.2 through 3.3 unchanged.]

~~3.4 Affiliated Membership.~~

~~3.4.1 Eligibility. Affiliated membership is available to a duly elected coaches or sports association whose function and purpose are directly related to one or more sports in which the Association conducts championships or an emerging sport for women, or an association consisting of college/university administrators who have a direct relationship to either the NCAA or the membership.~~

~~3.4.2 Privileges.~~



~~3.4.2.1 Privileges and Voting Rights. An affiliated member shall be entitled to be represented by one nonvoting delegate at any Convention of the Association and shall have such other privileges as may be accorded to affiliated members by the bylaws of the Association. A copy of NCAA Champion magazine shall be sent to each member of the NCAA.~~

~~3.4.2.2 Use of Association's Registered Marks. An affiliated member may use the registered marks of the Association (i.e., the Association's name, logo or other insignia) only if such use is approved by the NCAA staff in accordance with guidelines established by the Board of Governors.~~

### ~~3.4.3 Election Procedures.~~

~~3.4.3.1 Application. A group or association desiring to become an affiliated member shall make application on a form available from the national office. A check in the appropriate amount for annual dues (see Constitution 3.7.2) shall accompany the application. Should the applicant fail election, the dues paid shall be refunded.~~

~~3.4.3.2 Election. Groups or associations may be granted affiliated membership by the NCAA president.~~

### ~~3.4.4 Conditions and Obligations of Membership.~~

~~3.4.4.1 General. An affiliated member is responsible for observing the principles set forth in the constitution and bylaws of the Association.~~

~~3.4.4.2 Function and Purpose. The function and purpose of the affiliated member must be directly related to one or more sports in which the Association conducts championships.~~

### ~~3.4.5 Loss of Membership.~~

~~3.4.5.1 Termination or Suspension. The membership of any affiliated member failing to meet the conditions and obligations of membership or failing to support and adhere to the purposes and policies of the Association (see Constitution 1) may be suspended or terminated or the affiliated member otherwise disciplined through the following procedure:~~

- ~~(a) The Board of Governors, by a two-thirds majority of its members present and voting, may take such action on its own initiative; or~~
- ~~(b) The Committee on Infractions, by majority vote, may recommend such action to the Board of Governors, which may adopt the recommendation by a two-thirds majority of its members present and voting; and~~
- ~~(c) The affiliated member shall be advised of the proposed action at least 30 days before any Committee on Infractions or Board of Governors meeting in which such action is considered and shall be provided the opportunity to appear at any such meeting.~~

~~3.4.5.1.1 Cessation of Rights and Privileges. All rights and privileges of the affiliated member shall cease on any termination or suspension of affiliated membership.~~

~~3.4.5.2 Failure to Pay Dues. If an affiliated member fails to pay its annual dues for one year, its membership shall be automatically terminated.~~

~~3.4.5.3 Reinstatement of Terminated Member. Any affiliated member whose membership has been terminated may have it reinstated by a two-thirds vote of the Board of Governors.~~

~~3.4.5.4 Reinstatement of Suspended Member. Any affiliated member whose membership has been suspended may be reinstated to good standing in accordance with the terms, if any, of the suspension action, or at any time after six months from the date of such suspension, by vote of a majority of the Board of Governors or by vote of the majority of the members present and voting at any annual Convention.~~

~~3.4.6 Discipline of Affiliated Members. Disciplinary or corrective actions other than suspension or termination of membership may be effected during the period between annual Conventions for violation of NCAA rules (see Bylaws 19 and 32 for enforcement regulations, policies and procedures).~~

~~3.4.6.1 Restoration of Good Standing. Disciplined members shall resume good standing in accordance with the terms of disciplinary action taken, or may be restored to good standing at any time by a vote of at least~~

~~three members of the Board of Governors present and voting in accordance with Bylaw 19.5.2.8, or, at the annual Convention, by vote of a majority of the members present and voting.~~

[3.6 unchanged.]

3.7 Dues of Members.

[3.7.1 unchanged.]

3.7.2 Current Annual Dues. The annual dues for various classes of membership shall be:

Active Members:\* \$ 2,000 Member

Conferences: \$ 1,000

~~Affiliated Members: As determined by the Board of Governors~~

\*See Constitution 3.6.3.1.1 for provisional membership

[3.7.3 unchanged.]

**B. Constitution:** Amend 4.02, as follows:

4.02 Definitions and Applications.

4.02.1 Association. The "Association," as used in this Manual, refers to the National Collegiate Athletic Association, a diverse, voluntary, unincorporated Association of four-year colleges and universities, conferences, ~~affiliated associations~~ and other educational institutions.

[4.02.2 through 4.02.3 unchanged.]

4.02.4 Independent Member of the Board of Governors. An independent member of the Board of Governors shall be an individual who is not salaried by an NCAA member institution; ~~or conference or affiliated member,~~ and shall be verified as independent by the Board of Governors.

[4.02.5 through 4.02.6 unchanged.]

**C. Constitution:** Amend 5.1.3, as follows:

5.1.3 Annual or Special Convention Delegates.

[5.1.3.1 unchanged.]

5.1.3.2 ~~Affiliated and~~ Provisional Delegates. Each ~~affiliated and~~ provisional member shall be entitled to one accredited delegate without voting privilege.

[5.1.3.3 through 5.1.3.5 unchanged.]

5.1.3.5.1 Active Delegate. Privileges of the floor and the right to active participation in the business proceedings of any annual or special Convention of the Association is accorded to the following:

[5.1.3.5.1-(a) unchanged.]

(b) The single accredited delegate authorized in Constitution 5.1.3.1.2 to represent a member conference without voting privileges or in Constitution 5.1.3.2 to represent ~~an affiliated or~~ **a** provisional member;

[5.1.3.5.1-(c) through 5.1.3.5.1-(d) unchanged.]

[5.1.3.5.2 unchanged.]

**D. Bylaws:** Amend 19.5.3, as follows:

~~19.5.3 Discipline of Affiliated Member.~~

~~19.5.3.1 Termination or Suspension. The membership of any affiliated member failing to meet the conditions and obligations of membership or failing to support and adhere to the purposes and policies set forth in Constitution 1 may be terminated or suspended or the member otherwise may be disciplined through the following procedure:~~

- ~~(a) The Management Council, by a two-thirds majority of its members present and voting, may take such action on its own initiative; or~~
- ~~(b) The Committee on Infractions, by majority vote, may recommend such action to the Management Council, which may adopt the recommendation by a two-thirds vote of its members present and voting; and~~
- ~~(c) The affiliated member shall be advised of the proposed action at least 30 days before any Committee on Infractions or Management Council meeting in which such action is considered and shall be provided the opportunity to appear at any such meeting.~~

#### **Additional Information:**

The affiliated membership category was created in the early years of the Association to provide groups and associations related to intercollegiate athletics a voice at the NCAA Convention to raise issues and share concerns regarding proposed legislation. Currently, affiliated membership is limited to coaches associations or sports associations whose function and purpose is directly related to one or more NCAA championship sports or emerging sports for women, or associations that consist of college/university administrators and have a direct connection to either the NCAA or its member institutions. As methods of communication and the ease of communication have increased, the NCAA has regularly conducted extensive outreach and communication with affiliated members, resulting in regular feedback to inform governance decision-making. Thus, the utility of the affiliated membership category has become outdated and may be eliminated without impacting the opportunity for collaboration and feedback. Historically, affiliated members have been afforded the same rights to use the registered marks of the Association as active members and conferences. However, at the time affiliated members initially were afforded the benefit of using NCAA marks, the Association did not have the comprehensive licensing and marketing programs that it does today. The value of the NCAA brand has grown significantly over time. Any organization seeking to use NCAA marks should be required to go through the standard review process and, if approved, enter into a licensing agreement with the Association.

**Budget Impact:** Current annual dues for an affiliated member is \$500

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July 2019 NCAA Division III Management Council Meeting  
Administrative Legislation for 2020 Convention

Administrative Regulations. The NCAA Division III Management Council is empowered to adopt or revise administrative regulations consistent with the provisions of the constitution and bylaws, subject to amendment by the NCAA Division III membership, for the implementation of policy established by legislation governing the general activities of each division. These administrative bylaws become part of the NCAA Division III legislation and are considered adopted when approved in legislative format by the management council. They are not required to be ratified at the annual Convention business session.

Note. In the following proposals:

- Those letters and words that appear in ~~*italics and strikethrough*~~ are to be deleted.
- Those letters and words that appear in **bold and underlined** are to be added; and
- Those letters and words that appear in normal text are unchanged from the current Division III legislation.

Proposal Number	Title	Status	Source	Effective Date	Intent	Budget Impact
ADM-2020-1	EXECUTIVE REGULATIONS-- ELIGIBILITY FOR CHAMPIONSHIPS-- ADMINISTRATION OF NCAA CHAMPIONSHIPS-- SECONDARY CRITERIA--NON- DIVISION III STRENGTH-OF- SCHEDULE	Adopted Final	NCAA Division III Management Council (Championships Committee).	Immediate	To eliminate Non- Division III strength of schedule as a secondary criterion from the ranking and selection process.	None

Proposal Number	Title	Status	Source	Effective Date	Intent	Budget Impact
ADM-2020-2	EXECUTIVE REGULATIONS -- ADMINISTRATION OF NCAA CHAMPIONSHIPS -- PLAYING RULES -- MODIFICATIONS TO NON-NCAA RULES	Ready for Consideration by Management Council	NCAA Division III Management Council (Championships Committee).	Immediate	To specify that rules modifications for sports in which the NCAA does not publish rules must be consistent among all three divisions and approved by the Playing Rules Oversight Panel.	None.

**Proposal Number:** ADM-2020-1

**Title:** EXECUTIVE REGULATIONS--ELIGIBILITY FOR CHAMPIONSHIPS--ADMINISTRATION OF NCAA CHAMPIONSHIPS--SECONDARY CRITERIA--NON-DIVISION III STRENGTH-OF-SCHEDULE

**Convention Year:** 2020

**Date Submitted:** October 16, 2018

**Effective Date:** Immediate

**Status:** Adopted Final

**IPOPL Number:**

**SPOPL Number:**

**Source:** NCAA Division III Management Council (Championships Committee).

**Category:** Administrative Regulation

**Topical Area:** Championships/Postseason Events

**Intent:** To eliminate Non-Division III strength of schedule as a secondary criteria from the ranking and selection process.

**Administrative:** Amend 31.3.4.2.2, as follows:

31.3.4.2.2 Secondary Criteria -- Ranking and Selection.

[31.3.4.2.2-(a) through 31.3.4.2.2-(b) unchanged.]

~~(c) Non-Division III strength of schedule; and~~

[31.3.4.2.2-(d) relettered as 31.3.4.2.2-(c), unchanged.]

[31.3.4.2.2.1 unchanged.]

**Rationale:** Non-Division III strength-of-schedule was added to secondary criteria in 2013 at the point when secondary criteria changed from "out-of-region" Division III opponents to "non-Division III." However, the metric has not been included in the data to this point and, if it were, is not considered a relevant metric due to the small sample size of non-Division III opponents. As such, this metric is not necessary and should be eliminated from the selection criteria.

**Budget Impact:** None

**Review History:**

Oct 16, 2018:	Approved in Concept - Championships Committee	MC Supplement No. 7d, 1-(a)
Jan 23, 2019:	Approved in Concept and Adopted in Final Legislative Format - Management Council	Supplement No. 22

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**Proposal Number:** ADM-2020-2

**Title:** EXECUTIVE REGULATIONS -- ADMINISTRATION OF NCAA CHAMPIONSHIPS -- PLAYING RULES -- MODIFICATIONS TO NON-NCAA RULES

**Convention Year:** 2020

**Date Submitted:** April 17, 2019

**Effective Date:** Immediate

**Status:** Ready for Consideration by Management Council

**IPOPL Number:**

**SPOPL Number:**

**Source:** NCAA Division III Management Council (Championships Committee).

**Category:** Administrative Regulation

**Topical Area:** Executive Regulations

**Intent:** To specify that rules modifications for sports in which the NCAA does not publish rules must be consistent among all three divisions and approved by the Playing Rules Oversight Panel.

**Administrative:** Amend Bylaw 31.1.6, as follows:

31.1.6 Playing Rules.

31.1.6.1 Non-NCAA Rules, Men's Sports. In those men's sports in which the Association does not publish rules, the NCAA championships shall be conducted according to the following, except when those rules are superseded by modifications ~~made~~ **recommended** by the appropriate governing sports committee **and approved by the Playing Rules Oversight Panel** (see Bylaw 18.6):

[31.1.6.1-(a) through 31.1.6.1-(g) unchanged.]

31.1.6.2 Non-NCAA Rules, Women's Sports. In those women's sports in which the Association does not publish rules, the NCAA championships shall be conducted according to the following, except when those rules are superseded by modifications ~~made~~ **recommended** by the appropriate governing sports committee **and approved by the Playing Rules Oversight Panel** (see Bylaw 18.6):

[31.1.6.2-(a) through 31.1.6.2-(h) unchanged.]

**31.1.6.3 Modifications to Non-NCAA Rules -- Consistency Among Divisions. Rules modifications for sports in which the Association does not publish rules must be consistent among all three divisions.**

**Rationale:** Several NCAA sports follow playing rules maintained by external organizations (e.g., golf, tennis, field hockey, men's volleyball). The Association does not publish rules in these sports, but the legislation does permit governing sport committees to establish rules modifications that would supersede the external organization's rules. Recent discussions about the regular-season and championship format in tennis raised the question of whether rules modification in these sports must be consistent among divisions just as they are in sports for which the NCAA maintains and publishes playing rules. NCAA governing bodies, including the Playing Rules Oversight Panel (PROP), have indicated in the past a preference for all playing rules to be consistent among divisions. Furthermore, the legislative history clearly demonstrated that NCAA governing bodies and PROP intended for all playing rules and modifications to be consistent among divisions.

**Budget Impact:** None.

**Review History:**







**July 2019 NCAA Division III Management Council Meeting**  
**Modifications of Wording for 2020 NCAA Convention**

Modifications of Wording. These proposals are consistent with the intent of the membership in adopting the original legislation and sufficient documentation and testimony exists to establish clearly that the original wording of the legislation was inconsistent with that intent. They become effective and are considered adopted when approved in legislative format by a two-thirds majority of the NCAA Division III Management Council. Further, they are subject to ratification at the annual Convention business session.

Note. In the following proposals:

- Those letters and words that appear in ~~italics and strikethrough~~ are to be deleted;
- Those letters and words that appear in **bold and underlined** are to be added; and
- Those letters and words that appear in normal text are unchanged from the current Division III legislation.

Proposal Number	Title	Status	Source	Effective Date	Intent	Budget Impact
M-2020-1	PLAYING & PRACTICE SEASONS -- GENERAL PLAYING SEASON REGULATIONS -- SEGMENTS OF PLAYING SEASON -- APPLICATION FOR SPORTS WITH WINTER CHAMPIONSHIPS	Ready for Consideration by Management Council.	NCAA Division III Management Council (Interpretations and Legislation Committee).	Immediate.	To clarify that segment limitations do not apply to sports with a winter championship.	None.

**Proposal Number:** M-2020-1

**Title:** PLAYING & PRACTICE SEASONS -- GENERAL PLAYING SEASON REGULATIONS -- SEGMENTS OF PLAYING SEASON -- APPLICATION FOR SPORTS WITH WINTER CHAMPIONSHIPS

**Convention Year:** 2020

**Date Submitted:** April 17, 2019

**Effective Date:** Immediate

**Status:** Ready for Consideration by Management Council

**IPOPL Number:**

**SPOPL Number:**

**Source:** NCAA Division III Management Council (Interpretations and Legislation Committee).

**Category:** Modification of Wording

**Topical Area:** Playing and Practice Seasons

**Intent:** To clarify that segment limitations do not apply to sports with a winter championship.

**Bylaws:** Amend 17.1.1, as follows:

17.1.1 Playing Season. The playing (i.e., practice and competition) season for a particular sport is the period of time between the date of an institution's first officially recognized practice session and the date of the institution's last practice session or date of competition, whichever occurs later. An institution is permitted to conduct athletically related activities (see Bylaw 17.02.1.1) in each academic year only during the playing season as regulated for each sport in accordance with the provisions of this bylaw. The institution must conduct the same playing season for varsity and subvarsity teams in the same sport.

[17.1.1.1 unchanged.]

17.1.1.2 Segments of Playing Season. Segment limitations are applicable to all team ~~sports that are listed under Bylaw 17.02.13.1, except for basketball, football and rowing,~~ and ~~all~~ individual sports (listed under ~~Bylaws 17.02.13.1 and 17.02.13.2,~~ except **for football, golf, rowing, and tennis** **and sports with a winter NCAA championship** ~~(see Bylaw 17.1.1.3.4).~~ The segments shall be defined as follows:

[17.1.1.2-(a) through 17.1.1.2-(b) unchanged.]

[17.1.1.3 unchanged.]

**Additional Information:**

The reorganization of Bylaw 17 (Proposal No. NC-2012-11) eliminated segments for sports with a winter championship in order to define "the playing season in more relevant and consistent terms." However, Bylaw 17.1.1.2, which indicates that all sports are subject to segment limitations (with some exceptions), was not amended at that time and now should be changed to properly reflect the intent set forth in Proposal No. NC-2012-11.

**Budget Impact:** None.

**Review History:**

Apr 16, 2019: Approved in Concept - Management Council Supplement No. 11(a)-1(c)

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**July 2019 NCAA Division III Management Council Meeting  
2020 NCAA Membership-Sponsored Convention Legislation**

Membership-Sponsored Convention Legislation. The following proposals were properly submitted by the July 15 deadline. To be voted on at the 2020 NCAA Convention, the proposals will need to be properly co-sponsored by September 1.

The NCAA Division III Presidents Council and NCAA Division III Management Council play a key role in the legislative process by taking a position on membership-sponsored proposals. The Presidents Council takes a position on proposals deemed strategic in nature while Management Council provides a position on proposals of an operational nature.

Title and Intent	Rationale
<p>RECRUITING -- RECRUITING MATERIALS -- ADVERTISEMENTS AND PROMOTIONS -- RECRUITING ADVERTISEMENTS -- PERMIT ATHLETICS ADVERTISING</p> <p><u>Intent:</u> To allow athletics recruiting advertisements at athletics events involving prospective student-athletes.</p> <p><u>Source:</u> American Rivers Conference.</p> <p><u>Effective Date:</u> Immediate.</p>	<p>The "Nonathletics Institutional Advertisements" legislation revision was enacted at the 2011 NCAA Convention. At the time, there was some fear that an "arms race" would develop as institutions would feel pressure to keep pace with others by advertising when they hadn't before. Instead, the proposal passed by a resounding 412-48-3 vote. Division III institutions are not permitted to put athletics imagery—even their athletics logos—in recruiting advertisements except as noted in this April 27, 2017, interpretation: "... However, photographs of student-athletes engaging in athletics activities may be included in an advertisement that also features photographs of other student activities (e.g., band, academic, Greek life)." Division III schools are likely to emphasize the holistic nature of the D-III experience in an advertisement, but others might want more of an athletics message. Finally, Division III conferences—by nature, athletics organizations—are hamstrung to advertise at athletics events featuring prospective student-athletes (or during broadcasts). Conference offices would have a very difficult time balancing a print or visual ad with each institution represented. A conference could do, for example, a print ad with a photo of a championship trophy, a logo and a tagline.</p>

Title and Intent	Rationale
<p>AWARDS AND BENEFITS -- HOUSING AND MEALS -- EXCEPTIONS -- SNACKS AND NUTRITIONAL SUPPLEMENTS INCIDENTAL TO PARTICIPATION</p> <p><u>Intent:</u> To specify that an institution may provide snacks and permissible nutritional supplements to student-athletes as a benefit incidental to participation in intercollegiate athletics.</p> <p><u>Source:</u> Emory University. University of Dubuque Knox College Loras College Swarthmore College University of Wisconsin-Whitewater Alvernia University Berry College East Texas Baptist University Wilmington College (Ohio) LeTourneau University</p> <p><u>Effective Date:</u> August 1, 2020.</p>	<p>In addition to meals benefits allowed under current legislation, this proposal will permit an institution to provide snacks and permissible nutritional supplements to all student-athletes at its discretion as a benefit incidental to participation in intercollegiate athletics. Such measures will help ensure that all student-athletes' nutritional needs are met incidental to practice and other activities. This proposal is intended to provide flexibility to meet the student-athletes' nutritional needs and to alleviate administrative burdens related to accounting for such benefits. Nutrition is not an issue of competitive advantage, but rather an issue of student-athlete health and safety. This proposal allows institutions to more fully provide for overall well-being of Division III student-athletes.</p>

Title and Intent	Rationale
<p>AWARDS AND BENEFITS -- EXPENSES PROVIDED BY THE INSTITUTION FOR PRACTICE AND COMPETITION -- PERMISSIBLE PRACTICE EXPENSES -- EXCEPTIONS FOR GOLF AND SWIMMING AND DIVING</p> <p><u>Intent:</u> To allow an institution to provide practice expenses in the sports of golf and swimming and diving during an official vacation period regardless of location.</p> <p><u>Source:</u> American Rivers Conference.</p> <p><u>Effective Date:</u> August 1, 2020.</p>	<p>Our conferences have seen multiple violations of this legislation over the years in the sports of golf and swimming and diving. The NCAA reports _____ violations this year and _____ violations in the past _____ years. Golf for institutions in colder climates faces several challenges. Unlike some sports that routinely take trips to warmer climates during official vacation periods, golf student-athletes' best preparation for competition is enhanced significantly by outdoor participation. Golf and swimming and diving competition throughout the playing season is predominantly in multiteam events. Stand-alone dual matches are uncommon. Regardless, both formats have been difficult for our conferences' members to organize during vacation period trips. Finally, many institutions artificially manufacture competitions against outside competition (e.g., an alumni team that might only consist of recreational golfers or swimmers) or a joint practice with outside competition where a head-to-head scoring component isn't even required.</p>

Title and Intent	Rationale
<p>ATHLETICALLY RELATED ACTIVITIES -- LEADERSHIP PROGRAMMING OUT-OF-SEASON INVOLVING ATHLETICS RELATED INFORMATION AND CONTENT (GENERAL IN NATURE)</p> <p><u>Intent:</u> To allow student-athletes serving in a team leadership capacity to voluntarily participate in leadership programming involving general, athletics-related content/information outside of the declared playing season with any member of an institution's coaching staff, provided the content of the programming does not include any: (a) Field, floor, or on-court activity; (b) Setting up offensive or defensive alignment; (c) Chalk talk; (d) Lecture on or discussion of strategy related to the specific-sport of the student-athlete(s); (e) Activities using equipment related to the sport; (f) Discussion or review of game films, motion pictures or videotapes related to the sport; or (g) Any other athletically related activity.</p> <p><u>Source:</u> Upper Midwest Athletic Conference; North Coast Athletic Conference.</p> <p><u>Effective Date:</u> August 1, 2020.</p>	<p>Leadership development is inherent to the NCAA Division III philosophy. Large-group leadership opportunities hold value; however, powerful growth happens in small, experience-based settings. Allowing coaches to work with team leaders is the most effective means of mentoring and experiential learning. NCAA-supported and facilitated leadership programs affirm that small group and individualized approaches are more effective than large-group programming for student-athletes. Additionally, with increased attention and awareness of mental health concerns among student-athletes, additional engagement between coaches and student-athletes strengthens the support networks student-athletes build during their season and could assist in identifying mental health concerns to ensure appropriate resources and support are provided out-of-season to student-athletes. Intercollegiate athletics plays an integral role in enrollment management strategies at Division III institutions. The retention of student-athletes is critical in the success of not only intercollegiate athletics programs, but the entire institution for many Division III members. With the transfer rate continuing to increase in Division III, allowing additional time for student-athletes and coaches to discuss leadership development-related content will positively impact the overall experience and growth for student-athletes as well as institutional retention rates. Consistent leadership programming would allow student-athletes opportunities to expand their leadership capacities as part of their holistic experience.</p>

Title and Intent	Rationale
<p>DIVISION MEMBERSHIP -- PLAYING AND PRACTICE SEASONS -- EMERGING SPORTS FOR WOMEN -- WOMEN'S EQUESTRIAN</p> <p><u>Intent:</u> To add equestrian as an emerging sport for women and establish legislation related to playing and practice seasons and membership.</p> <p><u>Source:</u> Salve Regina University. Adrian College Bridgewater State University University of Lynchburg State University of New York at New Paltz Roger Williams University Sweet Briar College Alvernia University Berry College East Texas Baptist University Morrisville State College Alfred State College</p> <p><u>Effective Date:</u> August 1, 2020.</p>	<p>Significant support exists for equestrian to be added as an NCAA sport, moving from the emerging sport for women list, including support from institutions and national governing bodies. It is expected that the addition of women's equestrian will produce a significant increase in participation opportunities for women, as well as provide the membership with a new option for sponsorship of a women's sport. Division I and Division II already have this legislation.</p>

Title and Intent	Rationale
<p>PLAYING AND PRACTICE SEASONS -- GENERAL PLAYING SEASON REGULATIONS -- MISSED CLASS TIME -- PRACTICE -- EXCEPTION -- PRACTICE IN CONJUNCTION WITH A CONFERENCE AND NCAA CHAMPIONSHIP</p> <p><u>Intent:</u> To extend the missed class time exception to allow student-athletes from the team representing the host institution to miss class time to attend practice activities associated with a conference championship as is currently allowed for NCAA championship events.</p> <p><u>Source:</u> American Southwest Conference.</p> <p><u>Effective Date:</u> Immediate.</p>	<p>Extending the missed class time exception to include conference championships in addition to NCAA championships provides flexibility for scheduling practice activities in conjunction with a conference championship with minimal impact on missed class time for student-athletes. The students from visiting institutions at conference and NCAA championships are permitted to miss class time to participate in practice activities at the competition site before the competition. Noncontroversial legislation approved in 2011 permitted students at the host institution to miss class time for an NCAA championship, but did not extend the same exception to students representing the host team at a conference championship. Since it is difficult at a conference championship to schedule equitable weekday practice time without some missed class time, this proposal seeks to afford the host institution's team the same opportunity for practice as visiting teams. Missed class time remains a primary consideration when scheduling championship practice opportunities, but flexibility within the legislation allowing a host team to miss class may be applied where circumstances deem it appropriate at a conference championship the same as an NCAA championship. An immediate effective date will permit use of the exception for winter 2020 and spring 2020 conference championship events.</p>



Title and Intent	Rationale
<p data-bbox="201 370 835 500">DIVISION MEMBERSHIP -- APPLICATION PROCESS -- PROVISIONAL INSTITUTION -- REDUCE WAITING PERIOD FROM FOUR YEARS TO THREE YEARS</p> <p data-bbox="201 540 840 938"><u>Intent:</u> To amend legislation related to the Division III provisional process as follows: (1) For non-NCAA members, reduce the provisional process from four to three years; (2) Expect that process will continue to include a one year exploratory membership requirement; (3) While a waiver of exploratory membership will remain in place, there will no longer be a waiver during the provisional process; (4) Specify that if an institution is unable to gain full active membership within this time frame, there is a one-time opportunity to repeat a year in question, through recommendation of Membership Committee.</p> <p data-bbox="201 979 684 1006"><u>Source:</u> USA South Athletic Conference.</p> <p data-bbox="201 1047 579 1075"><u>Effective Date:</u> August 1, 2020.</p>	<p data-bbox="856 370 1896 906">Division III has in place, strategies to limit membership growth. Those limiters have included a four-institution cap on applications of new members annually, an imposed moratorium to suspend applications, a five-year application process, and a number of reports and actions to move from one year to the next. While these steps have been successful in limiting growth, one inadvertent consequence has been the impression that we in Division III are exclusive. Many current enrollment driven institutions find it extremely challenging to commit to a division that provides no access to NCAA national championships for an entire generation of students. Prospective members not currently associated with the NCAA need additional time to develop a full understanding of administrative and cultural expectations of our organization. To this end, a three-year waiting period is proposed for provisional members. Should an institution work through the system in an efficient and appropriate manner, a waiver would be available. This adjustment also allows for a flexible process should our organization need to quickly modify our approach to membership. If the institution fails to meet legislated expectations of membership, consistent with current legislation, they would have a one-time opportunity to repeat a year in the process.</p>

Title and Intent	Rationale
<p><b>DIVISION MEMBERSHIP -- APPLICATION PROCESS -- RECLASSIFYING INSTITUTION -- REDUCE WAITING PERIOD FROM FOUR YEARS TO TWO YEARS</b></p> <p><u>Intent:</u> To amend legislation related to the Division III reclassifying process as follows: (1) For current NCAA members reclassifying to Division III, reduce the number of years in the reclassifying process from four to two years; (2) Expect that process will continue to include a one year exploratory membership requirement; (3) Specify that if an institution is unable to gain full active membership within this time frame, there is a one-time opportunity to repeat a year in question, through recommendation of Membership Committee.</p> <p><u>Source:</u> USA South Athletic Conference.</p> <p><u>Effective Date:</u> August 1, 2020.</p>	<p>Division III has long been concerned about membership growth; thus, successful strategies have been put in place to address the issue. One inadvertent consequence of limiting growth has been the impression that we in Division III are not inclusive. While leadership at enrollment driven institutions may be interested in transitioning to the Division III model, the five-year process to become a full member results in an entire generation of students having no access to NCAA Championships. This price is too high for administrators, coaches, presidents and oversight boards at enrollment driven institutions to endorse. This proposal accepts that current NCAA Divisions I or II members understand the philosophy and culture of the NCAA and are committed to complying to regulations as outlined in the Division III operating manual within a period of three years (including exploratory year). If the institution fails to meet legislated expectations of membership, consistent with current legislation, there is a "one time" opportunity to repeat a year in the process. This proposal eliminates the need of a waiver system by providing the waiver on the front end for all. Reducing the expected years "in the system" allows institutions to consider Division III membership a viable option.</p>



July 2019 NCAA Division III Management Council Meeting  
2020 NCAA Governance-Sponsored Convention Legislation

Title and Intent	Rationale
<p>NCAA MEMBERSHIP -- ACTIVE MEMBERSHIP AND MEMBER CONFERENCE -- CONDITIONS AND OBLIGATIONS OF MEMBERSHIP -- ATHLETICS DIVERSITY AND INCLUSION DESIGNEE</p> <p><u>Intent:</u> To specify that an active member institution or conference shall designate an athletics diversity and inclusion designee to be the primary contact and conduit for diversity and inclusion-related information.</p> <p><u>Source:</u> NCAA Division III Presidents Council [Management Council (Minority Opportunities and Interests Committee)].</p> <p><u>Effective date:</u> August 1, 2020.</p>	<p>The designation of an athletics diversity and inclusion designee symbolically and practically represents the Association's recognition of inclusion as a core value. It also supports the Presidential Pledge and Commitment to Promoting Diversity and Gender Equity in Intercollegiate Athletics. It is the responsibility of each member institution to establish and maintain an environment that values cultural diversity and gender equity as acknowledged in Constitution 2.2.2 (Cultural Diversity and Gender Equity). While the NCAA national office provides valuable resources and programming to support the membership's efforts toward creating and maintaining inclusive environments, often institutional and conference personnel are not aware of available resources or opportunities. This proposal seeks to create a network of colleagues who will serve as the conduit for consistent and thorough dissemination of diversity and inclusion-related information between conference offices, campuses, the athletics departments and the NCAA. The staff member given the designation may be either internal or external to the athletics department. The contact information for the designated staff member will be entered on the institution or conference's NCAA Sports Sponsorship and Demographics Form.</p>

Title and Intent	Rationale
<p>NCAA MEMBERSHIP -- MEMBER CONFERENCE -- ELIGIBILITY -- COMPOSITION OF CONFERENCE--PROVISIONAL MEMBERS AS CORE MEMBERS</p> <p><u>Intent:</u> To specify that institutions in years three and four of the NCAA Division III provisional and reclassifying membership process may count towards the requisite seven institutions necessary to comprise a conference provided there are at least four active member institutions.</p> <p><u>Source:</u> NCAA Division III Presidents Council [Management Council (Membership Committee)].</p> <p><u>Effective Date:</u> August 1, 2020.</p>	<p>Proposal No. 2016-9 modified how provisional schools can be utilized towards earning a conference's automatic qualification bid. That proposal was narrowly focused and did not modify the language related to institutions in years three and four of the new membership process for determining the composition of a conference. The result is that a provisional and/or reclassifying institution in years three or year four of the new member process can count for the automatic qualification waiting period, but not for the seven core members needed to form a conference. Further, conference instability may be lessened if provisional and/or reclassifying institutions may be used by a conference in meeting its minimum number of member institutions.</p>

Title and Intent	Rationale
<p>DIVISION MEMBERSHIP -- SPORT CLASSIFIED IN DIVISION I -- APPLYING DIVISION I LEGISLATION</p> <p><u>Intent:</u> To permit Division III member institutions with Division I sports to apply all Division I legislation, except Bylaw 15 (financial aid), to the Division I sports.</p> <p><u>Source:</u> NCAA Division III Management Council (Membership Committee).</p> <p><u>Effective Date:</u> August 1, 2020.</p>	<p>The current legislation requires Division III member institutions with Division I sports to apply the rules of both divisions, or the more stringent rule if both divisions have a rule concerning the same issue. It is often difficult for institutions to distinguish which of the rules is more stringent and tracking the bylaws for two divisions can burden an institution's administrative staff. Currently, ten Division III institutions sponsor a Division I sport. The number of affected schools will not increase, as Division I legislation currently prohibits additional institutions from becoming multidivisional.</p>

**Proposal Number:** 2020-

**Title:** NCAA MEMBERSHIP -- ACTIVE MEMBERSHIP AND MEMBER CONFERENCE -- CONDITIONS AND OBLIGATIONS OF MEMBERSHIP -- ATHLETICS DIVERSITY AND INCLUSION DESIGNEE

**Convention Year:** 2020

**Date Submitted:** April 18, 2019

**Effective Date:** August 1, 2020

**Status:** Ready for Consideration by Management Council

**IPOPL Number:**

**SPOPL Number:**

**Source:** NCAA Division III Presidents Council [Management Council (Minority Opportunities and Interests Committee)].

**Category:** Management Council

**Topical Area:** Membership

**Intent:** To specify that an active member institution or conference shall designate an athletics diversity and inclusion designee to be the primary contact and conduit for diversity and inclusion-related information.

**A. Constitution:** Amend 3.2, as follows:

3.2 Active Membership.

[3.2.1 through 3.2.3 unchanged.]

3.2.4 Conditions and Obligations of Membership.

[3.2.4.1 through 3.2.4.22 unchanged.]

**3.2.4.23 Athletics Diversity and Inclusion Designee. An active member institution shall designate an athletics diversity and inclusion designee to be the primary contact and conduit for diversity and inclusion-related information.**

[3.2.5 through 3.2.6 unchanged.]

**B. Constitution:** Amend 3.3, as follows:

3.3 Member Conference.

[3.3.1 through 3.3.3 unchanged.]

3.3.4 Conditions and Obligations of Membership.

[3.3.4.1 through 3.3.4.6 unchanged.]

**3.3.4.7 Athletics Diversity and Inclusion Designee. An active member conference shall designate an athletics diversity and inclusion designee to be the primary contact and conduit for diversity and inclusion-related information.**

[3.3.5 through 3.3.6 unchanged.]

**Rationale:** The designation of an athletics diversity and inclusion designee symbolically and practically represents the Association's recognition of inclusion as a core value. It also supports the Presidential Pledge and Commitment to Promoting Diversity and Gender Equity in Intercollegiate Athletics. It is the responsibility of each member institution to establish and maintain an environment that values cultural diversity and

gender equity as acknowledged in Constitution 2.2.2 (Cultural Diversity and Gender Equity). While the NCAA national office provides valuable resources and programming to support the membership's efforts toward creating and maintaining inclusive environments, often institutional and conference personnel are not aware of available resources or opportunities. This proposal seeks to create a network of colleagues who will serve as the conduit for consistent and thorough dissemination of diversity and inclusion-related information between conference offices, campuses, the athletics departments and the NCAA. The staff member given the designation may be either internal or external to the athletics department. The contact information for the designated staff member will be entered on the institution or conference's NCAA Sports Sponsorship and Demographics Form.

**Budget Impact:**

**Review History:**

Apr 15, 2019: Approved in Concept - Management Council Supplement No. 18-1

May 1, 2019: Approved in Concept - Presidents Council Supplement No. 12

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**Proposal Number:** 2020-

**Title:** NCAA MEMBERSHIP -- MEMBER CONFERENCE -- ELIGIBILITY -- COMPOSITION OF CONFERENCE--  
PROVISIONAL MEMBERS AS CORE MEMBERS

**Convention Year:** 2020

**Date Submitted:** April 18, 2019

**Effective Date:** August 1, 2020

**Status:** Ready for Consideration by Management Council

**IPOPL Number:**

**SPOPL Number:**

**Source:** NCAA Division III Presidents Council [Management Council (Membership Committee)].

**Category:** Management Council

**Topical Area:** Membership

**Intent:** To specify that institutions in years three and four of the NCAA Division III provisional and reclassifying membership process may count towards the requisite seven institutions necessary to comprise a conference provided there are at least four active member institutions.

**Constitution:** Amend 3.3.1.2, as follows:

[Roll Call]

3.3.1.2 Composition of Conference. A conference shall be comprised of at least seven core institutions. **Provisional or reclassifying member institutions in their third or fourth years may be considered core institutions for purposes of comprising a conference provided there are at least four active member institutions.** A conference may include provisional members in addition to the seven core members.

[3.3.1.2.1 through 3.3.1.2.4 unchanged.]

**Rationale:** Proposal No. 2016-9 modified how provisional schools can be utilized towards earning a conference's automatic qualification bid. That proposal was narrowly focused and did not modify the language related to institutions in years three and four of the new membership process for determining the composition of a conference. The result is that a provisional and/or reclassifying institution in years three or year four of the new member process can count for the automatic qualification waiting period, but not for the seven core members needed to form a conference. Further, conference instability may be lessened if provisional and/or reclassifying institutions maybe used by a conference in meeting its minimum number of member institutions.

**Budget Impact:** None.

**Review History:**

Apr 16, 2019: Approved in Concept - Management Council Supplement No. 12(a)-1

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**Proposal Number:** 2020-

**Title:** DIVISION MEMBERSHIP -- SPORT CLASSIFIED IN DIVISION I -- APPLYING DIVISION I LEGISLATION

**Convention Year:** 2020

**Date Submitted:** April 18, 2019

**Effective Date:** Immediate

**Status:** Ready for Consideration by Management Council

**IPOPL Number:**

**SPOPL Number:**

**Source:** NCAA Division III Management Council (Membership Committee).

**Category:** Management Council

**Topical Area:** Membership

**Intent:** To permit Division III member institutions with Division I sports to apply all Division I legislation, except Bylaw 15 (financial aid), to the Division I sports.

**Bylaws:** Amend 20.7, as follows:

20.7 Division Legislation.

20.7.1 Applicable Legislation. A member institution shall observe the applicable legislation and requirements of its membership division. However, if the institution is eligible to participate in a sport in another division (see Bylaw 20.4 for multidivision-classification privileges), it shall apply the rules of the other division that govern the sport in question.

20.7.1.1 Division III Application. A member institution that has a sport classified in Division I ~~must~~ **may** apply **Division I rules in that sport except the institution must apply the Division III financial aid regulations of Bylaw 15 in the Division I sport.** ~~the rules of both divisions, or the more stringent rule if both divisions have a rule concerning the same issue except that in either instance, the institution may apply the Division I playing and practice season regulations of Bylaw 17 in the Division I sport.~~

[20.7.1.1.1 unchanged.]

**Rationale:** The current legislation requires Division III member institutions with Division I sports to apply the rules of both divisions, or the more stringent rule if both divisions have a rule concerning the same issue. It is often difficult for institutions to distinguish which of the rules is more stringent and tracking the bylaws for two divisions can burden an institution's administrative staff. Currently, ten Division III institutions sponsor a Division I sport. The number of affected schools will not increase, as Division I legislation currently prohibits additional institutions from becoming multidivisional.

**Budget Impact:** Will vary based on the institution

**Review History:**

Apr 16, 2019: Approved in Concept - Management Council Supplement No. 12(a)-1(c)

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**NCAA Division III Faculty Athletics Representative's Advisory Group Roster**

**July 2019**

**Charles (Chuck) Brown**

Faculty Athletics Representative  
Penn State, Behrend  
Allegheny Mountain Collegiate Conference  
Black School of Business  
5101 Jordan Road  
Erie PA 16563  
Phone: 814-898-6432  
Email: [cab51@psu.edu](mailto:cab51@psu.edu)

**Judy (Jo) Hopp**

Faculty Athletics Representative  
University of Wisconsin, Stout  
Wisconsin Intercollegiate Athletic Conf.  
126 E Science Wing  
Menomonie WI 54751  
Phone: 715-232-2139  
Email: [hoppj@uwstout.edu](mailto:hoppj@uwstout.edu)

**Mike Litz**

Student Athlete  
Penn State, Abington  
North Eastern Athletic Conference  
1600 Woodland Road  
Abington Township PA 19001  
Phone:  
Email: [mx15651@psu.edu](mailto:mx15651@psu.edu)

**Wanda Rutledge**

Faculty Athletics Representative  
New Jersey City University  
NJAC Conference  
2039 Kennedy Blvd.  
Jersey City NJ 07305  
Phone: 201-200-3353  
Email: [wrutledge@njcu.edu](mailto:wrutledge@njcu.edu)

**Mike Snyder**

Director of Athletics  
Illinois College  
Midwest Conference  
1101 West College Avenue  
Jacksonville IL 62650  
Phone: 217-245-3381  
Email: [mike.snyder@mail.ic.edu](mailto:mike.snyder@mail.ic.edu)

**Kim Wenger**

Commissioner  
Pacific University  
Northwest Conference  
UC#A197  
2403 College Way  
Forest Grove OR 97116  
Phone: 209-946-2392  
Email: [wenger@nwcsports.com](mailto:wenger@nwcsports.com)

**NCAA Staff Liaison**

**Eric Hartung**

Associate Director of Research  
Phone: 317-917-6222  
Email: [ehartung@ncaa.org](mailto:ehartung@ncaa.org)

**Michael Miranda**

Associate Director of Research  
Phone: 317-917-6304  
Email: [mmiranda@ncaa.org](mailto:mmiranda@ncaa.org)

**Assistant: Betty Reagan**

Email: [breagan@ncaa.org](mailto:breagan@ncaa.org)  
Phone: 317-917-6367



**REPORT OF THE  
NCAA DIVISION III LGBTQ WORKING GROUP  
MAY 7, 2019, TELECONFERENCE**

**ACTION ITEM.**

**1. Legislative Items.**

- **None.**

**2. Nonlegislative Items.**

- **Division III LGBTQ Recognition Event and Reception at NCAA Convention.**
  - (1) **Recommendation.** Request the Management Council's Convention Planning Subcommittee approve, in concept, an event at the NCAA Convention during either the Division III Issues Forum or Business Session to recognize one LGBTQ student-athlete, one LGBTQ administrator or coach, and one team, athletics department or athletics conference (three total awards) for improving the culture and climate for LGBTQ people and their allies in Division III at the campus, conference or national levels. Additionally, to approve in concept a reception at the NCAA Convention to recognize all award nominees as well as efforts to make Division III inclusive for all people regardless of sexual orientation or gender identity. [NOTE: See related Action Item in Supplement No. 4.]
  - (2) **Effective Date.** 2020 or 2021 NCAA Convention.
  - (3) **Rationale.** Visibility and recognition are central components to the working group's mission to make Division III safer for, inclusive of and welcoming to LGBTQ individuals and allies. Consequently, the working group will establish awards to one LGBTQ student-athlete, one LGBTQ administrator or coach, and one team, athletics department or athletics conference (three total awards) for improving the culture and climate for LGBTQ people and their allies in Division III at the campus, conference or national levels. The NCAA Convention is an optimal location to host an awards event and recognition reception because it is the largest platform and symbolically means LGBTQ inclusion efforts in Division III are important and valued. It also presents more opportunities for straight and cisgender allies to engage in and support LGBTQ-inclusive initiatives.
  - (4) **Estimated budget impact.** The reception and awards will cost approximately \$15,000 and has already been approved by the Councils for the 2019-21 budget cycle.

- (5) Estimated student-athlete impact. The recommendations provide expanded visibility for LGBTQ student-athletes and allies.

**INFORMATIONAL ITEMS.**

1. **Welcome and roster.** The chair Neil Virtue welcomed the group, and staff conducted a roll call. The group welcomed its newest member Scott McGuinness, director of athletics at Washington and Jefferson College. McGuinness replaced Steve Cantrell as the Division III Management Council member on the working group.
2. **Report of March 11, 2019, teleconference report.** The working group reviewed and approved the teleconference report.
3. **Mission statement.** The working group reviewed its mission statement. The mission statement is a living document and henceforth may be modified at any time.
4. **LGBTQ OneTeam Program.** The working group reviewed the final list of 33 individuals selected by the Division III OneTeam Selection Subcommittee to participate in the OneTeam facilitator program May 22-23 in Indianapolis.
5. **Working group attendance at LGBTQ OneTeam Program.** Working group members identified their interest and availability to attend the OneTeam facilitator program either in May or in December.
6. **Future teleconferences.** Staff noted the next working group teleconference is scheduled for noon Eastern time Monday, July 15.
7. **Other business.** Staff thanked working group members Brit Katz and Emet Marwell for serving as program panelists during the 2019 NCAA Inclusion Forum.

*Committee Chair:* Neil Virtue, Mills College  
*Staff Liaison(s):* Louise McCleary, Division III Governance  
Jean Merrill, Office of Inclusion  
Shay Wallach, Office of Inclusion

NCAA Division III LGBTQ Working Group May 07, 2019, Teleconference	
<b>Attendees:</b>	
Mika Costello, Willamette University.	
Kyrstin Krist, Methodist University.	
Crystal Lanning, University of Wisconsin – River Falls.	
Donna Ledwin, Allegheny Mountain Collegiate Conference.	
Emet Marwell, Mount Holyoke College.	
Scott McGuinness, Washington and Jefferson College.	
Kathleen Murray, Whitman College.	
Neil Virtue, Mills College.	
<b>Absentees:</b>	
R. Brit Katz, Millsaps College.	
Christopher Kimball, California Lutheran University.	
Julie Shaw, Los Angeles Clippers.	
<b>NCAA Staff in Attendance:</b>	
Louise McCleary, Jean Merrill, Shay Wallach.	
<b>Other Guests in Attendance:</b>	
None.	

**Gameday the DIII Way Update - Summer 2019**

**Project Background, Highlights and Framework**

- The overarching goal of Gameday the DIII Way is to achieve a better game environment and fan decorum at Division III athletics events by providing a consistent level of expectations and guest service throughout the division.
- This free program provides baseline guest service training and game environment standards to our 450 Division III member institutions and 44 Division III conferences. It is currently delivered via in-person training sessions and can now also be delivered through an online learning platform.
- Over 100 Division III administrators have been trained by the Disney Institute to effectively facilitate the Gameday the DIII Way Program.
- An extensive online toolkit of Division III game environment resources support and accompany the training program. These resources appear on the Division III sportsmanship webpage.
- Gameday the DIII Way banners, signage and merchandise is available through the Division III purchasing website and being utilized throughout the division.

**Next Steps**

- Staff is researching the best way to release the online training videos to the membership.
- Division III has extended its contract with the Disney Institute through July 1, 2021.
- In 2019-21, Gameday will focus on regional-based ambassador training for senior athletics administrators and 'super facilitator' training for the most active existing Division III facilitators.
- Over the next two years, the following is a concept of trainings that will be offered:

**2019-20**

Ambassador training in Mid-Atlantic (~100 people) = 1 full day [Event to occur in Nov. or early Dec. 2019].

Ambassador training in West in conjunction with CEFMA Convention in Las Vegas – ideally conduct at Disneyland in Anaheim (~50 people) = 1 ½ days [Event to occur in June 2020].

#### 2020-21

Ambassador training in Great Plains states (~100 people) = 1 full day [Event to occur in Nov. or early Dec. 2020].

Ambassador training in Orlando in conjunction with CEFMA Convention + super facilitator follow-up #2 (~50 people) = 1 ½ days [Event to occur in June 2021].

- Staff will collaborate with championships to discuss and create the following: (1) Public service announcements for all 28 Division III national championships; (2) Including Gameday pillars of service in teleconferences prior to championships and championship host manuals; (3) Replacing the current sportsmanship championship statement with a Gameday statement.
- Staff will promote the Gameday training program and resources with the membership and conference offices in August to encourage use during the 2019-20 academic year.



**REPORT OF THE  
NCAA DIVISION III TECHNOLOGY USERS GROUP  
JUNE 13, 2019, TELECONFERENCE**

**ACTION ITEMS.**

- None.

**INFORMATIONAL ITEMS.**

- 1. Welcome.** The NCAA Division III Technology Users Group commenced business at 12:01 p.m. Eastern time Thursday, June 13, 2019. The chair, Angel Mason, welcomed the user's group and completed the roll call. She noted that Lance Franey, associate athletics director at Whittier College, was a new users group member.
- 2. Report of February 13, 2019, Teleconference.** The users group reviewed the report and noted no changes.
- 3. Updates and Actions Since February.** At the request of the chair, staff provided updates on the following areas:
  - a. Program Hub Functionality.** Moving forward, staff will add a blank PDF of all Division III applications on Program Hub (e.g., FAR Institute, ADR Institute, Student Immersion Program) to the resource box on the individual program webpages. The PDF will allow applicants to review the application before logging into the Program Hub system. Staff is working with the Program Hub administrator to have a similar PDF function for Association-wide programs.
  - b. Championships Bid and Profile Portal.** Staff requested the NCAA IT staff investigate an issue within the portal's budget section; specifically, if a user unintentionally closes the screen, they are locked out of the system permanently. The IT staff could not recreate the issue. A users group member volunteered to recreate the issue and send more details to staff.
  - c. NCAA Transfer Portal.** Staff provided an update on the NCAA Transfer Portal. At the April Management Council meeting, the Council approved Division III using the portal with the 2019-20 academic year beginning on October 15. Student-athletes will still have the opportunity to use the self-release. However, once a student-athlete determines that they want to transfer, the compliance director may enter the student-athlete into the portal. Initial portal information includes an NCAA ID number and the student's name, email address and sport. The compliance officer also may choose to use the standard transfer tracer associated with the portal. Division III institutions with



Division I sports noted benefits of the portal include centralized information, greater transparency, efficiency and consistency. Staff will hold an educational webinar on August 6 and provide additional resources. The users group noted that institutions should not be required to use the portal this coming academic year.

- d. Genius Sports Update. Staff noted that the NCAA has completed the first year of a 10-year project. This past year, 725 institutions used NCAA LiveStats for Basketball and in early July, staff will launch two additional sports, volleyball and soccer, for the 2019 season. The users group noted that the LiveStats for basketball was easy to use. Further, several sports information directors believe that the volleyball system is easier to use than Statcrew. The group noted that it may take additional staff to run the soccer system. Some SIDs are resistant to use the new system because they are hesitant to change. The users group asked if the initial budget report noting the system would be free for the first three years and \$125 (original annual Statcrew fee) or less thereafter was still accurate. Staff will provide an update on the next teleconference.
- e. Conference Grant Reporting. The conference grant reporting forms are now available in MyApps on ncaa.org. Commissioners may request staff grant their assistant or associate commissioner access privileges.

**4. Other Business.** The users group discussed the following items:

- a. Website Database. The users group requested that IT help contact information be added to the NCAA webpages that contain databases (e.g., compliance assistance). While there are helpful tips, there is no IT contact information.
- b. Compliance Database. When a user needs to delete a student from the database, a box asks, “Do you want to delete”? However, the only item that is highlighted is the delete button. The request is that the student’s name that is being deleted be highlighted to reduce the number of accidental deletions. The delete button is on the far right of the page and the student’s names are on the far left so currently, it is easy to make a mistake.
- c. Webpage Maintenance. The group also requested that if a webpage was down or data was being transferred, a notification be posted on the impacted webpage to alert users that the page was under construction.

**5. Next Call.** Staff will send out a doodle poll for an October teleconference. Agenda items will include:

- a. Program Hub PDF functionality update.
- b. Website maintenance and database update.

- c. Championships bid and profile portal update.

**6. Adjournment.** The call adjourned at 12:44 p.m. Eastern time.

*Staff Liaison:* Louise McCleary, Division III Governance

<b>NCAA Division III Technology Users Group June 13, 2019, Teleconference</b>	
<b>Attendees:</b>	
Amy Carlton, American Southwest Conference	
Emily Diekelmann-Loux, MASCAC	
Angel Mason, Pomona-Pitzer Colleges	
Rosy Resch, University of Chicago	
Jeff Schaly, Marietta College	
<b>Absentees:</b>	
Lance Franey, Whittier College	
<b>NCAA Staff Support in Attendance:</b>	
Louise McCleary	

## **DIVISION III IDENTITY INITIATIVE**

### **Division III Purchasing Website**

After signing a three-year contract extension (2016-2019), the Division III Purchasing Website is in its eighth year of operation, and its seventh year of management by Source One Digital. In September, a new \$500 credit was offered to institutions and conferences for purchases from the site during the 2018-19 year. Thus far, approximately 30 percent (139) of the institutions and 63 percent (28) conference offices have used their credit, which ends on August 31, 2019. Division III is currently in the middle of reviewing a two-year extension to continue for the 2019-20 and 2020-21 seasons.

### **Division III/D3SIDA Recognition Award**

The Division III College Sports Information Directors of America (D3SIDA) selection committee recently selected University Athletic Association Sports Information Director Timothy Farrell as the Spring recipient of the D3SIDA Recognition Award for his story titled [University of Rochester Men's Tennis: Diversity on an International Level](#). The next submission deadline is October 15, 2019. The Division III and D3SIDA Recognition Award is a partnership between the Division III governance staff and D3SIDA to honor the best work - including news releases, feature articles, videos, blogs and other materials - produced by Division III campus and conference athletics communication offices. Each top honoree, named three times annually, will receive a \$1,500 credit to attend Division III Day at the 2020 CoSIDA Convention in Las Vegas, Nevada.

### **Social Media**

During 2018-19 academic year, in coordination with the assistant commissioner subcommittee, NCAA staff decided to launch an Instagram page. In the span of 10 months, the page now has nearly 8,000 followers. Check out the page [here](#). Twitter has now reached an all-time high of over 62,450 followers, while Facebook has increased its audience by 2,000 alone since September. Throughout fall, winter, and spring championships, snapchat geofilters resulted in over 410,000 views. For the 2018-19 academic year, both Twitter and Facebook are on pace to surpass the total amount of posts to all previous academic year totals. NCAA staff has continued to employ a social media strategic plan to leverage social media as a primary channel for sharing the Division III story with current student-athletes, administrators, potential student-athletes, parents, and supporters.

### **Special Olympics**

For the third straight year, Special Olympics bracelets were provided to all Division III fall championships to highlight the division's partnership. To date, 94 institutions and eight conference offices have **reported** Division III Special Olympics activities during the 2018-19 year. Activities thus far have involved approximately 11,000 Division III student-athletes and 13,550 Special Olympic athletes. The division, to date, has dedicated just over 46,500 volunteer hours and raised over \$44,000 as a result of these activities. Staff continues to encourage schools and conferences to report their events.

July 21, 2018 marked 50 years of Special Olympics! In honor of this milestone and in celebration of our Partnership, the Division III National Student-Athlete Advisory Committee has initiated the “50 for 50th Challenge.” Overall, each Division III institution was challenged to have at least 50 student-athletes participate in a Special Olympics event(s) by April 30, 2019. As a result, over 50 institutions participated in the challenge and DeSales University took home the grand prize for being the May Special Olympics Spotlight winner with the highest percentage of participation by student-athletes. For more on the challenge, click [here](#).

### **Website Content**

NCAA.org continues to provide Division III with additional opportunities to use its home page to share more stories portraying its unique student-athlete experience. The page regularly highlights Division III feature stories from sources such as member websites and Champion magazine, and videos produced by the NCAA and by member schools and conferences. Sports information directors are encouraged to send human interest stories and record-breaking performances to [d3identity@ncaa.org](mailto:d3identity@ncaa.org). The NCAA website will have a new look later in the 2019 year. As a reminder, the new FTP site is now titled Videos and Resources. Here you will be able to find logos, videos, EPSs, and other helpful resources. For more information, please click [here](#).

### **Division III Week**

The ninth annual Division III Week will occur April 13-19, 2020. A communications kit for DIII Week will be available at the 2020 NCAA Convention. For more information on the annual event, click [here](#). NCAA staff encourages the membership to observe and celebrate the impact of DIII athletics and of student-athletes on the campus and surrounding community.

**NCAA Division III and NASPA Alcohol and other Drug Collaborative**  
**360 Proof Program Update**  
**June 24, 2019**

1. **Registration Update.** 234 institutions are currently registered for 360 Proof, which is an increase of 15 since the June 2018 update. 766 institutions were eligible to use the program in 2018-19. Active use of the portal remains below targets established by the steering committee.
2. **2018-19 Strategy Updates.** Based on lower than desired levels of program use, the Steering Committee conducted a strategy planning session in September 2018. It was determined that the program should run at least two more years with a series of strategic updates before its long-term future shall be considered. Actions taken as a result of the planning session include:
  - a. Update the foundational messaging document, including clarifying program features and better explaining what it helps the campus accomplish.
  - b. Update the communications plan and annual calendar, per the revised messaging document.
  - c. Create and promote a series of “smaller paths” to use select features in 360 Proof, to communicate the idea that institutions can use 360 Proof without committing to all the steps in the program.
  - d. Update the program’s user assessment to be more outcome-based.
  - e. Host a technical assistance workshop in 2019-20. Program details will be established in fall 2019, with an expectation that it be a one to three-day event to be held in conjunction with an existing NASPA or NCAA event (e.g., Apple Conference, NASPA Strategies Conference).
3. **2018-19 Learning Collaborative Summary.** End of year webinar data show overall lower figures than the prior year, and low persistence numbers for webinar participants. It was recommended that 360 Proof develop a strategy for those who don’t watch the live sessions.

Month	Topic	Speaker	Clicked Link	Registered	Attended
Sep	Alcohol, Sex, and Sexual Assault	Jennifer Jacobsen	68	37	29
Oct	Brief Interventions for Athletes	Brian Bowden and Benjamin Bradley	105	21	32
Nov	Risk Factors, Protective Factors, and Norms among First-Year Athletes	David Wyrick	132	56	45
Jan	CollegeAIM 2.0:	Jessica Cronce	34	21	11
Mar	Pregaming and Drinking Games:	Byron L. Zamboanga	44	35	9

Apr	Looking Back and Looking Forward:	David Anderson	25	59	6
TOTAL			<b>408</b>	<b>229</b>	<b>132</b>

**Registration and Attendance- Three Year Comparison**

Month	# Registered			# Attended		
	2018-2019	2017-2018	2016-2017	2018-2019	2017-2018	2016-2017
September	47	29	51	29	22	37
October	60	18	31	32	10	21
November	75	27	14	45	15	16
February	23	12	23	11	6	16
March	22	17	14	9	10	13
April	14	30	25	6	18	16
Total	241	133	158	132	81	119

4. **Communications Plan Update.** 360 proof had a booth and session at the NASPA strategies session and a booth at the NCAA Convention in January. Danie Caro, 360 Proof communications contractor, conducted a social media campaign in late January, and increased twitter followers by more than 200, and had tens of thousands of organic impressions. Finally, Julie Muller attended the 2019 NASPA Annual Conference to host an education session and booth for 360 Proof, and Leah Kareti attended the 2019 Regional Rules Seminar in Indianapolis to host a both.



**Division III Management Council  
International Ice Hockey Pilot**

During its April meeting, the Division III Management Council approved extending the international ice hockey pilot for a second year based on survey results from this year's pilot participants. With close to 80% satisfaction with this year's pilot and the membership's continued interest in having the Eligibility Center (EC) provide the participation review of Division III international student-athletes, a second year of the pilot will be conducted.

To enhance the pilot and better inform the membership of the benefits and drawbacks of certification through the EC, the Council determined that certification should align more closely with the current EC review for international student-athletes. Therefore the 2019-20 pilot will include several important changes, incorporating feedback from the first year of the pilot and reflecting more closely the actual experience of working with the EC for amateurism certifications.

Specifically, the EC will conduct the second year of the pilot through the EC membership portal that is used for amateurism certifications in Divisions I and II. In addition, prospective student-athletes will contribute toward the cost of EC certification.

**The key differences are noted below, and a complete list of changes can be found via the attachment.**

- On or after August 1, institutions will activate students onto their Institutional Request List using the EC membership portal. The institution may only include prospective student-athletes on this list that have been accepted and deposited to the institution and have registered for a paid DI or DII certification account with the EC. Once a prospective student-athlete is activated to the Institutional Request List, the EC can begin the certification process. **Because the prospective student-athlete is required to have a DI or DII Certification Account this year (as opposed to a free profile page) much of the documentation should already be in the portal and ready for review. However, additional questions may be asked during the review process.**
- Prospective student-athletes will need to register a Division I or DII certification account with the Eligibility Center and pay the estimated certification fee (\$90). They can register with the EC at any time at [www.eligibilitycenter.org](http://www.eligibilitycenter.org). Division III governance will reimburse prospective student-athletes, (through their institution) half of the registration fee (\$45) no later than November 1. While partial reimbursement is not reflective of the current EC process, Management Council concluded that registration cost sharing is appropriate as part of the second-year pilot.
- There will be a defined service standard. Specifically, an initial review of the student's account will be completed within 10 business days from receipt of all requested information AND activated Institutional Request List. If no further information is needed, a certification decision will be issued.
- Institutions may access updates regarding the certification of any prospective student-athlete activated to their Institutional Request List at any time.

- The EC will provide an amateurism certification via posting of a review summary to the student's account upon completion of the review.

**The following parameters are the same as last year.**

- This pilot is for all Division III first-year international, men's and women's ice hockey student-athletes.
- An international student is defined as any student who attended a secondary or post-secondary school outside the United States (US), participated in athletics outside the US or whose permanent residence is outside the US. Further, a student-athlete who is on a US team that travels internationally (e.g., Canada) for a weekend amateur tournament is not considered an international student-athlete.
- A first-year student is defined as a student-athlete's first year at a Division III institution; transfer students with no prior Division III enrollment are included in the pilot.

**Webinars.**

- The EC conducted two webinars on the following dates to instruct institutions in the use of the EC portal and activating an Institutional Request List.
  - 2-4 p.m. Eastern time, Wednesday, May 29.
  - 10 a.m.-noon Eastern time, Thursday, June 13.

**Next steps.**

- Instruct your first-year international men's and women's ice hockey players that have been accepted and deposited to your institution to create a DI/DII certification account with the Eligibility Center. ([www.eligibilitycenter.org](http://www.eligibilitycenter.org)) Remind them that official academic records will need to be submitted as part of their review, but no SAT or ACT score will be needed for their amateurism review.
- Sign-up for and attend one of the webinars referenced above. (You will receive an email in the coming weeks detailing how to register.)

If you have any questions, please correspond to the dedicated Division III Eligibility Center email address [D3\\_hockey@ncaa.org](mailto:D3_hockey@ncaa.org)





**Division III Hockey Pilot  
2018 vs. 2019**

	<b>2018</b>	<b>2019</b>
<b>Cost</b>	\$135/student.	\$90 to 150/student (fee increase).
<b>Payment</b>	Division III Governance.	Student pays, and then is reimbursed 50% by institution through DIII Governance.
<b>Account Type.</b>	Profile page.	Certification account.
<b>Sports.</b>	Men's/women's ice hockey	Men's/women's ice hockey.
<b>Start Date.</b>	August 1.	August 1.
<b>Student Initiation of Review.</b>	Created profile page.	Create certification account and request final amateurism.
<b>Member Initiation of Review.</b>	Submission of roster via email.	Activate to Institutional Request List in Eligibility Center portal.
<b>Service Standard.</b>	None defined.	10 business days from receipt of all requested information AND activated IRL.
<b>Visibility to Status.</b>	Weekly email delivered by staff.	Access to account progress provided in EC portal via task visibility.
<b>Review of Academic Record.</b>	Limited, no requirement for submission of academic info.	Submission of years 9-12 official transcripts (and translations if applicable) required for organized competition and delayed enrollment analysis.
<b>Collecting Information to Continue Review.</b>	Email to student, member school or third party asking for response.	Student includes answers within account registration. Task assigned within student's account detailing action needed. Visible to member school and student.
<b>Final Decision Notice.</b>	Email copy of review summary.	Review Summary document posted to student's account
<b>Need Customer Service?</b>	Email: <a href="mailto:d3_hockey@ncaa.org">d3_hockey@ncaa.org</a>	Email: <a href="mailto:d3_hockey@ncaa.org">d3_hockey@ncaa.org</a>

## Division III Governance Scorecard - 2019



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## Methods

- Survey items were replicated from previous iterations of the Governance Scorecard
- Online survey link was provided to each member of Division III Governance and Sport committees
- Respondents were asked to evaluate how well their liaisons performed specific tasks and how important those tasks were to the committee members
- Survey responses were on a 5 point scale with 1=extremely poorly and 5=extremely well
- Respondents were asked to select the three tasks that they believe were most important



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## Respondent Demographics

Responses were received from:		2018	2019
President/CEO	=	20	14
Directors of Athletics	=	43	38
Other Athletics Administrator	=	30	25
Coaches	=	62	59
Student-athletes	=	16	16
Faculty Athletics Representative	=	6	5
Conference Commissioners	=	16	14
Other Conference Staff	=	5	4
Athletics Direct Report	=	6	3
Other/Not Provided	=	32	27
Total valid responses	=	236*	207*

*\*Note: Not all respondents answered all questions,  
Some respondents served on more than one committee*



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## Respondent Demographics

How many NCAA councils or committees have you served on in the past?

None	=	86 (45%)
1	=	34 (18%)
2	=	25 (13%)
3	=	15 ( 8%)
4 or more	=	30 (16%)

How long have you served on the council or committee that you are currently a part of?

6 months or less	=	28 (18%)
7-12 months	=	33 (16%)
13-24 months	=	60 (29%)
25-36	=	42 (20%)
37 months +	=	34 (16%)

*\*Note: not all respondents answered all questions*



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## Respondent Appointment and Preparation

Which of the following BEST describes how you were nominated to be a part of this council or committee:

Self-nominated	=	118 (57%)
Conference nominated	=	27 (13%)
Nominated by someone else	=	40 (19%)
Appointed through another position	=	21 (10%)

Indicate how true the statement is regarding your preparation to be part of this council or committee: *I was provided with enough training and information prior to my first meeting to be able to effectively participate at all council or committee meetings.*

True	=	164 (79%)
Somewhat true/untrue	=	39 (19%)
Untrue	=	4 ( 2%)

Committee Name	Members	Responses	Response Rate
Baseball Committee	8	4	50%
Championships Committee	8	9	113%
Convention-Planning Subcommittee	16	11	69%
Ethnic Minority and Women's Internship Grant Selection Committee	5	3	60%
Field Hockey Committee	6	4	67%
Financial Aid Committee	12	7	58%
Football Committee	8	4	50%
Interpretations and Legislation Committee	8	6	75%
Management Council	21	17	81%
Membership Committee	10	9	90%
Men's and Women's Swimming and Diving Committee	8	5	63%
Men's and Women's Track and Field and Cross Country Committee	8	5	63%
Men's Basketball Committee	8	9	113%
Men's Golf Committee	6	6	100%
Men's Ice Hockey Committee	4	3	75%
Men's Lacrosse Committee	4	2	50%
Men's Soccer Committee	8	6	75%
Men's Tennis Committee	4	1	25%
Men's Volleyball Committee	4	3	75%
Nominating Committee	8	6	75%
Presidents Council	18	8	44%
Softball Committee	8	4	50%
Strategic Alliance Matching Grant Selection Committee	5	3	60%
Strategic Planning & Finance Committee	15	4	27%
Student-Athlete Advisory Committee	24	16	67%
Student-Athlete Reinstatement Committee	6	3	50%
Women's Basketball Committee	8	6	75%
Women's Golf Committee	5	5	100%
Women's Ice Hockey Committee	5	3	60%
Women's Lacrosse Committee	5	3	60%
Women's Rowing Committee	6	5	83%
Women's Soccer Committee	8	7	88%
Women's Tennis Committee	4	3	75%
Women's Volleyball Committee	8	6	75%
Wrestling Committee	6	1	17%
Total	295	197	67%

Note: Some respondents serve on more than one committee  
Some respondents did not specify a committee

All Committees	How well did the staff liaison meet your needs?	
	2018	2019
- Provided direction, guidance and leadership	4.68	4.61
- Encouraged, enabled and facilitated collaboration and discussion	4.72	4.61
- Developed substantive and relevant agendas	4.71	4.63
- Tracked, researched and synthesized pertinent/relevant and high-priority topics and issues	4.64	4.53
- Developed insights and strategies and recommended solutions	4.58	4.49
- Provided appropriate data and information to support decision-making	4.72	4.55
- Effectively and appropriately influenced outcomes in the best interest of intercollegiate athletics	4.62	4.59
- Demonstrated good communication skills including listening	4.75	4.63
- Coordinated logistics including meetings, conference calls, presentation equipment and meeting materials	4.79	4.68
- Produced informative reports and communications in a timely manner	4.70	4.58
<b>Overall Performance</b>	<b>4.69</b>	<b>4.59</b>

*Total respondents (2019) = 197 out of 295 members = 67% response rate (2018 rate 78%)*

NCAA Research

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Importance of services provided by liaisons	
<i>There were five services that committee members thought most important</i>	
Rate the importance of these services provided by liaisons	Division III
- Provided direction, guidance and leadership	57%
- Provided appropriate data and information to support decision-making	47%
- Encouraged, enabled and facilitated collaboration and discussion	39%
- Coordinated logistics including meetings, conference calls, presentation equipment and meeting materials	35%
- Tracked, researched and synthesized pertinent/relevant and high-priority topics and issues	32%

*Respondents asked to rate the three services they thought most important. Percentage shown indicates the proportion of respondents who rated a service among the three most important.*

*Other services were mentioned as among the three most important on 25% or less of the surveys.*

NCAA Research

8



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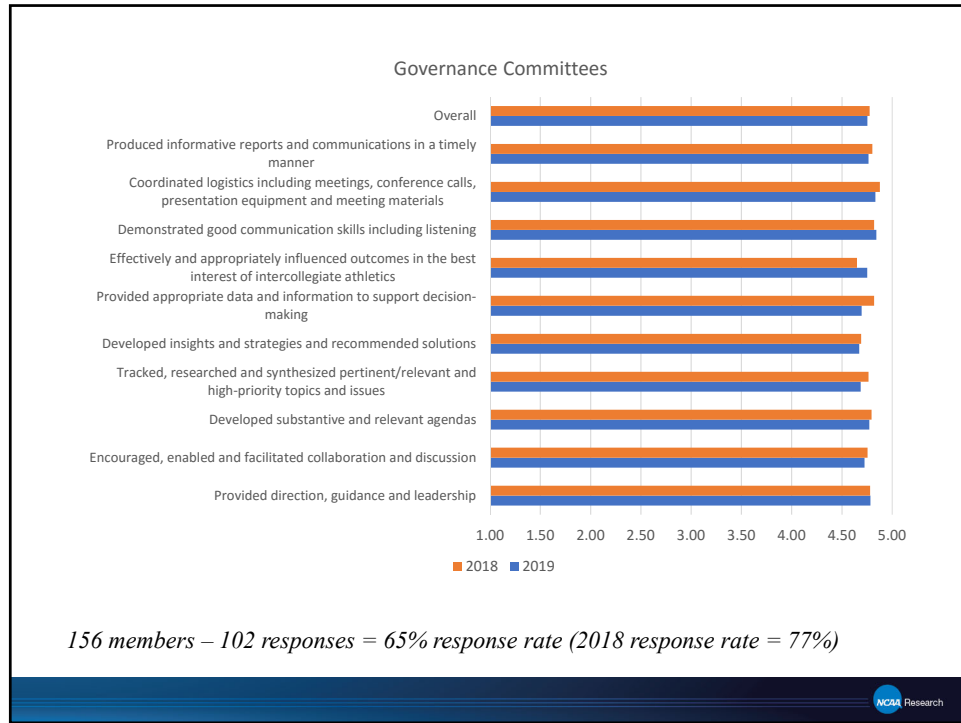
### Summary of Comments

There were a total of 44 comments.

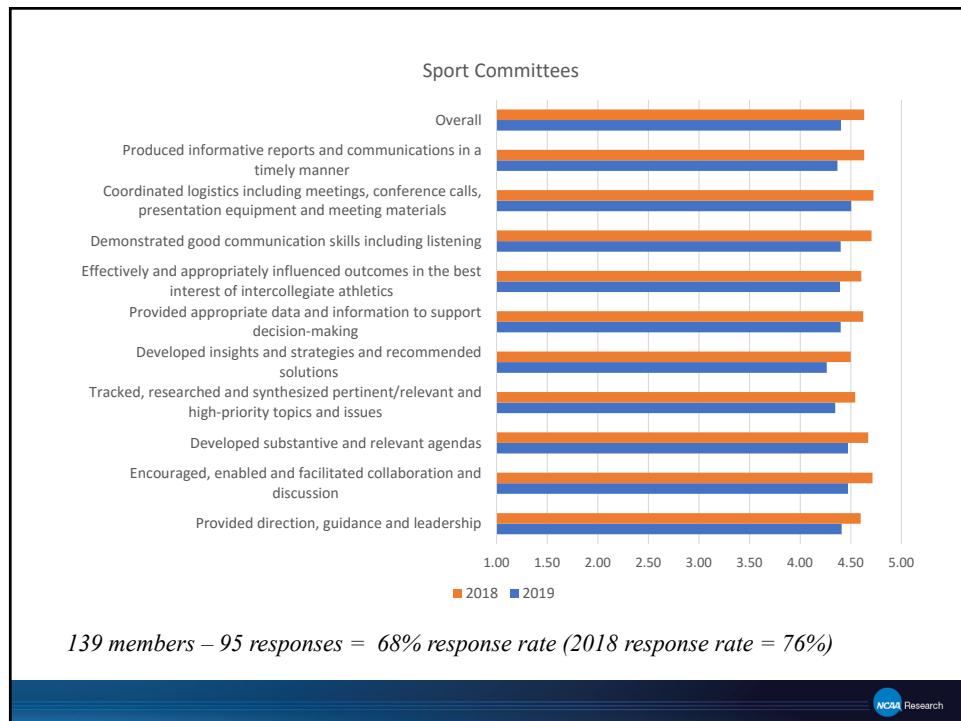
Of the 44 comments:

- 29 were positive, generally lauding the liaison for their performance.
- Of the remaining comments most offered specific criticisms of particular activities or incidents of the committees. Among the issues cited:
  - The learning curve and relative inexperience of new liaisons
  - Communication and timing of the consideration of issues
  - The role of the committee
  - Over involvement of the liaison in the activities of the committee
  - Logistical issues
- There were also a number of comments directly related to specific issues that had come before the various committees

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**Governance Committees**

Championships Committee  
 Convention Planning Subcommittee  
 Ethnic Minority & Women's Internship  
   Grant Selection Committee  
 Financial Aid Committee  
 Interpretations and Legislation Committee  
 Management Council  
 Membership Committee  
 Nominating Committee  
 Presidents Council  
 Strategic Alliance Matching Grant  
   Selection Committee  
 Strategic Planning & Finance Committee  
 Student-Athlete Advisory Committee  
 Student-Athlete Reinstatement Committee

**Sport Committees**

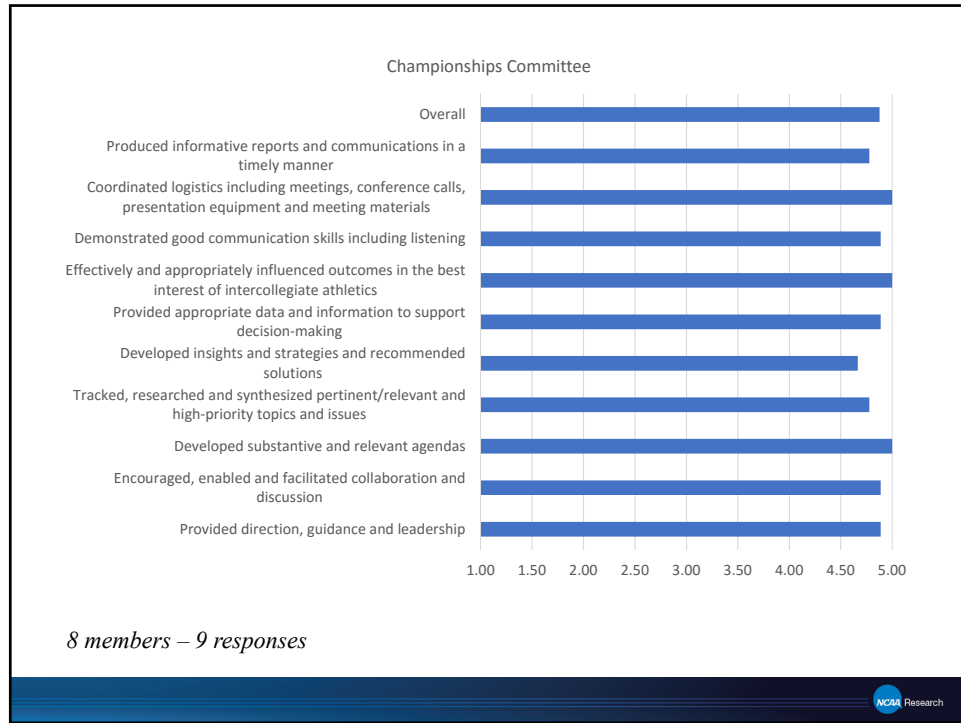
Baseball Committee  
 Field Hockey Committee  
 Football Committee  
 Men's and Women's Swimming Committee  
 Men's and Women's Track & Field Committee  
 Men's Basketball Committee  
 Men's Golf Committee  
 Men's Ice Hockey Committee  
 Men's Lacrosse Committee  
 Men's Soccer Committee  
 Men's Tennis Committee  
 Men's Volleyball Committee  
 Softball Committee  
 Women's Basketball Committee  
 Women's Golf Committee  
 Women's Ice Hockey Committee  
 Women's Lacrosse Committee  
 Women's Rowing Committee  
 Women's Soccer Committee  
 Women's Tennis Committee  
 Women's Volleyball Committee  
 Wrestling Committee

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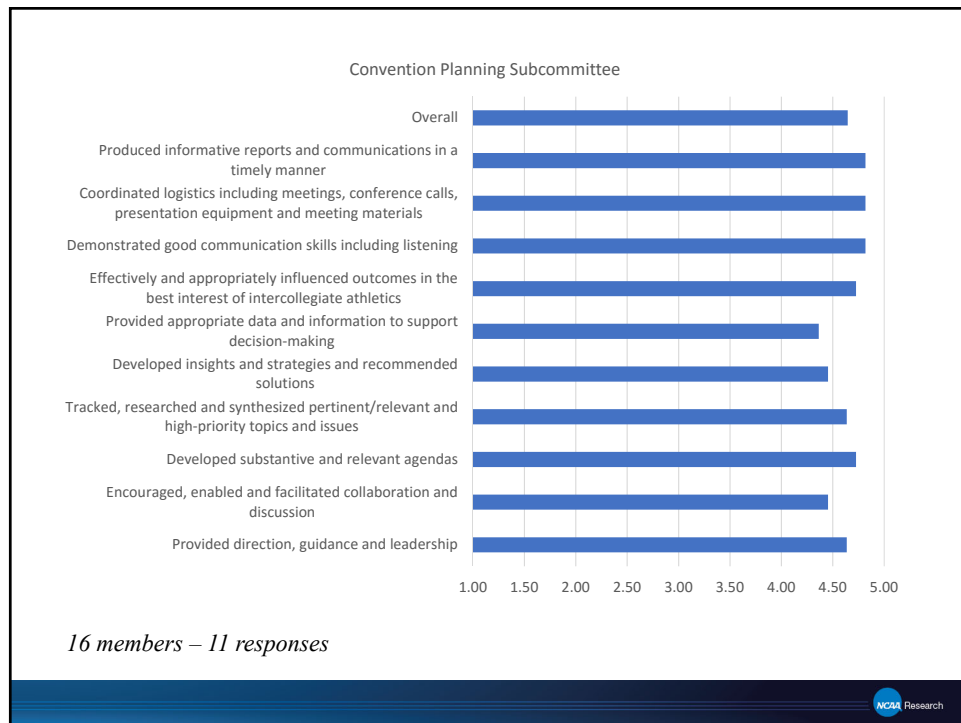
# Governance Committees

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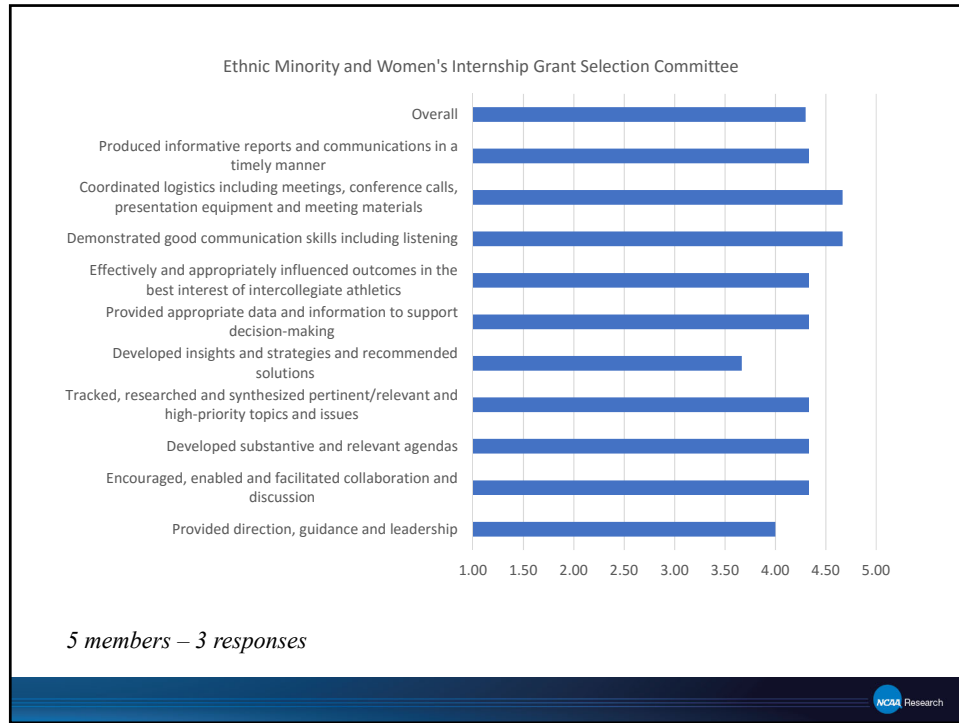




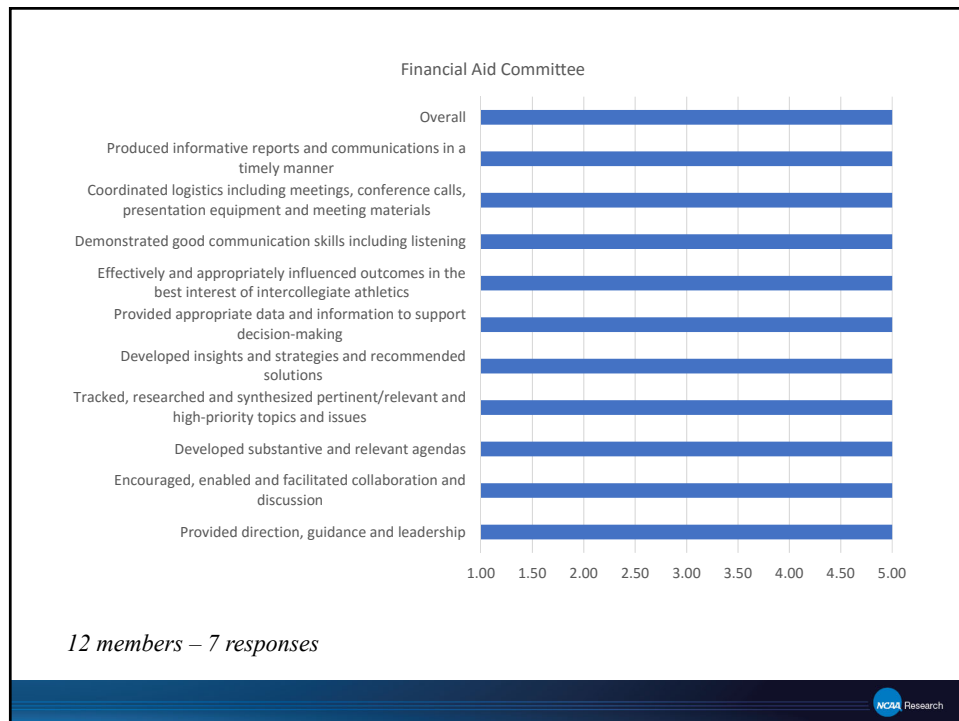
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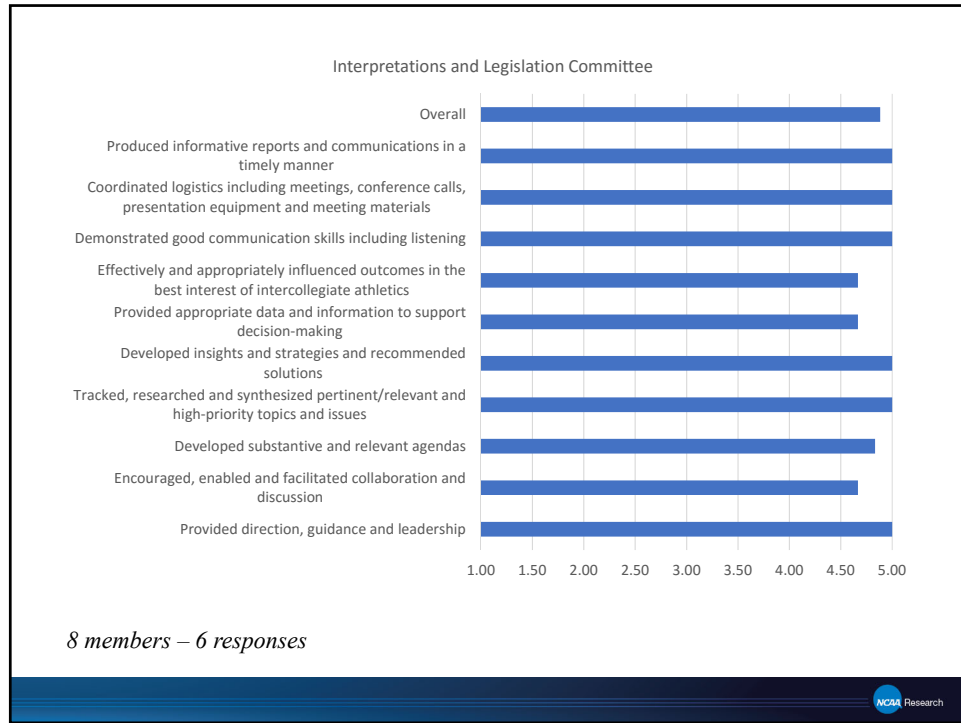
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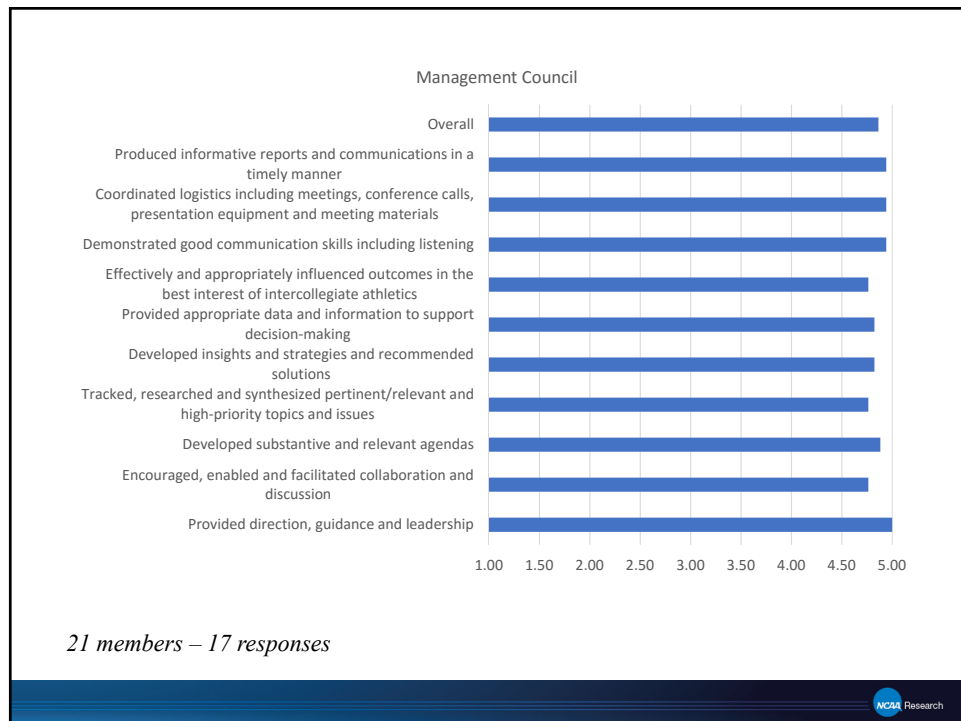
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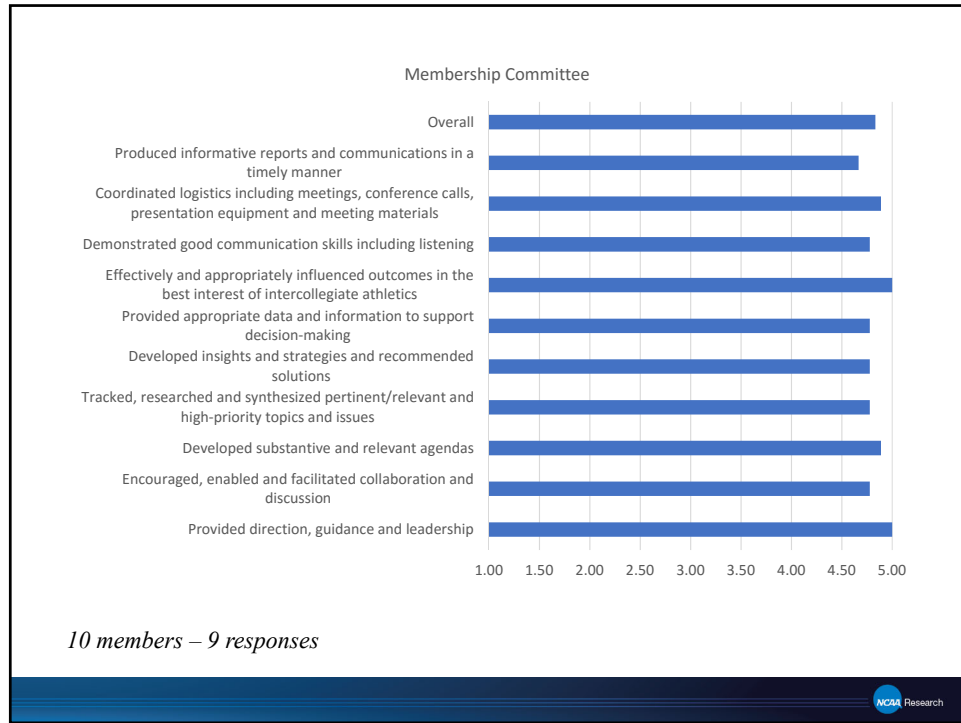
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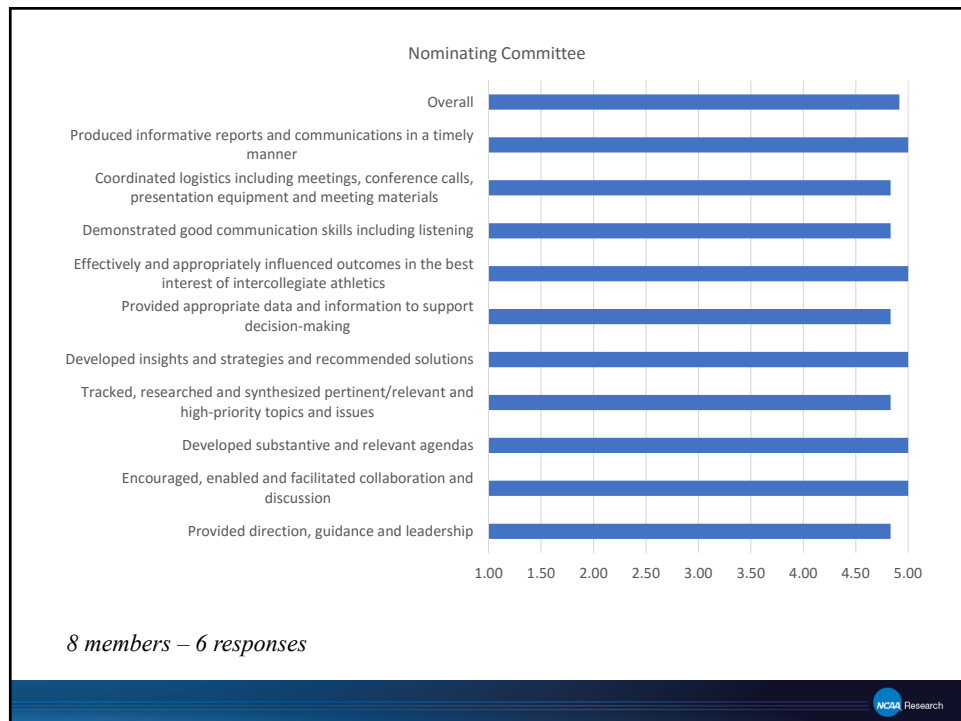
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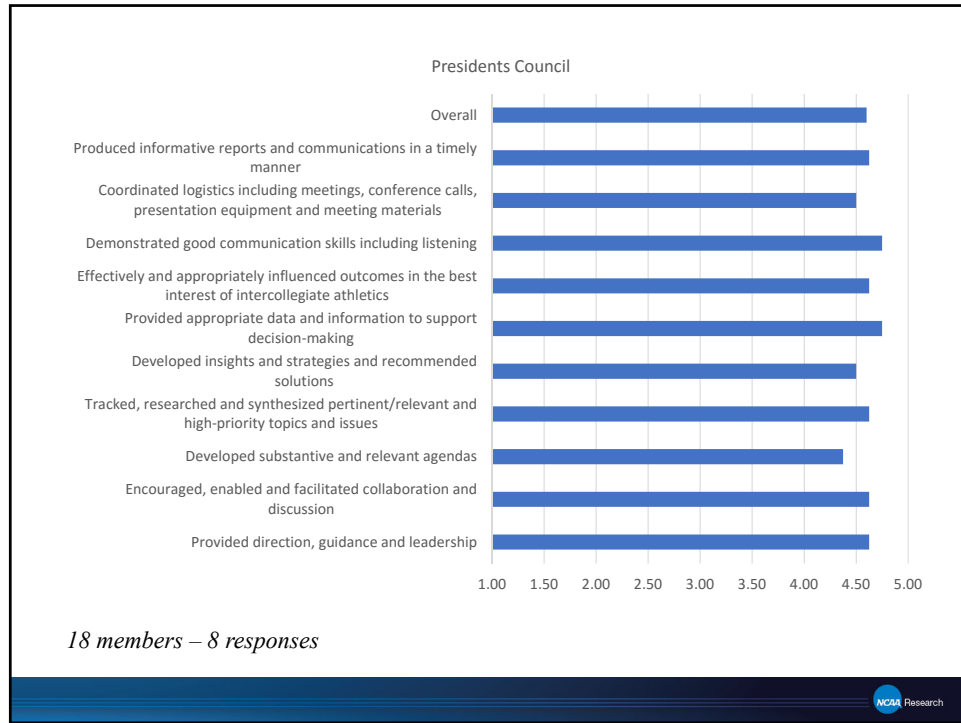
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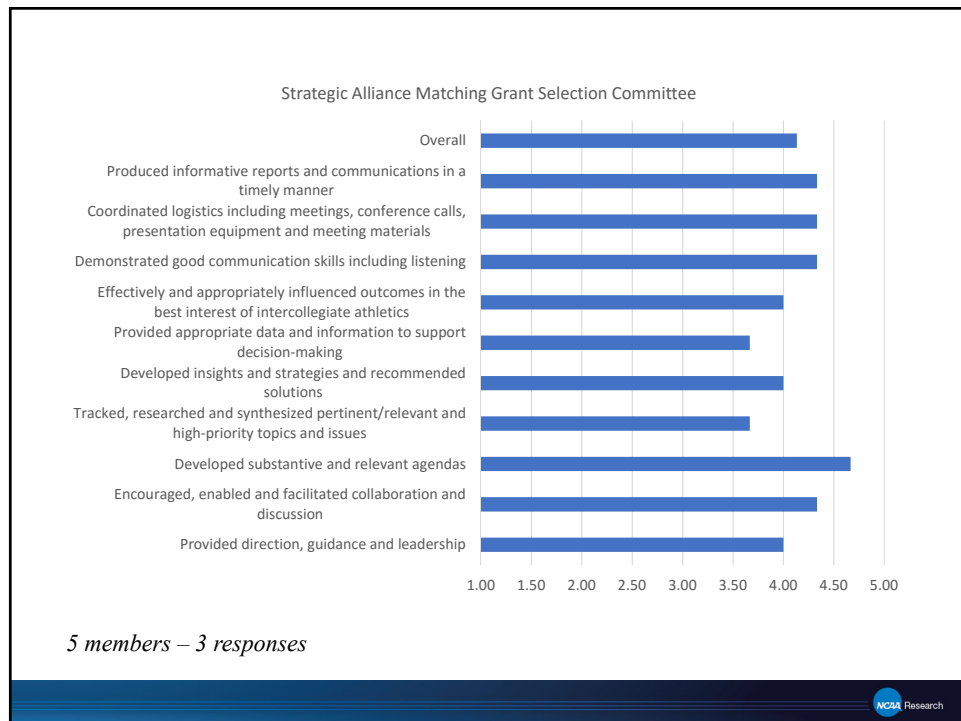
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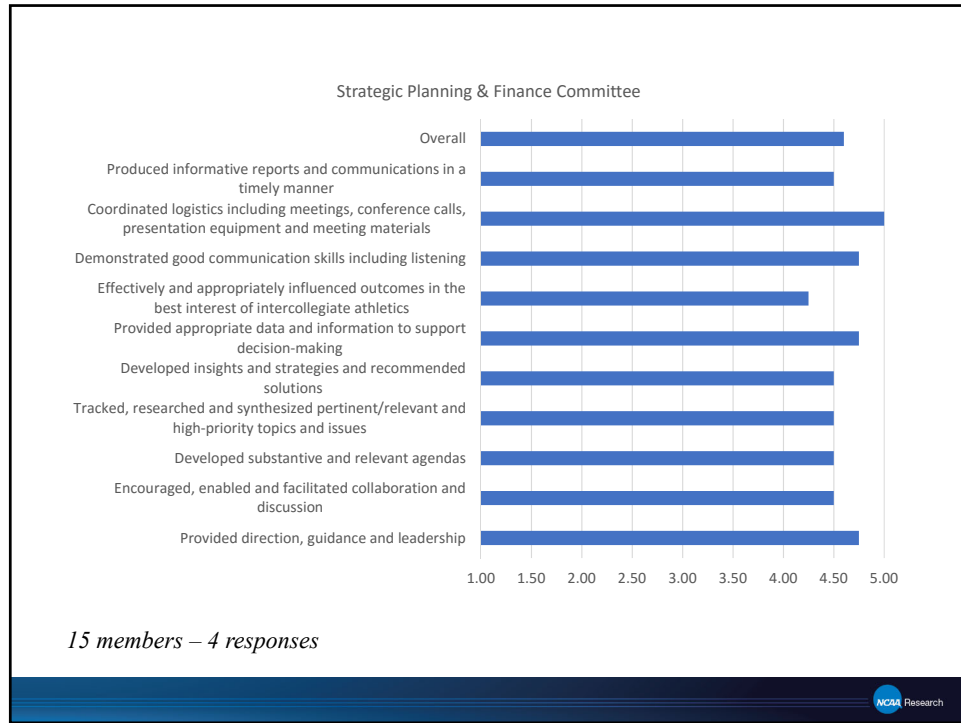
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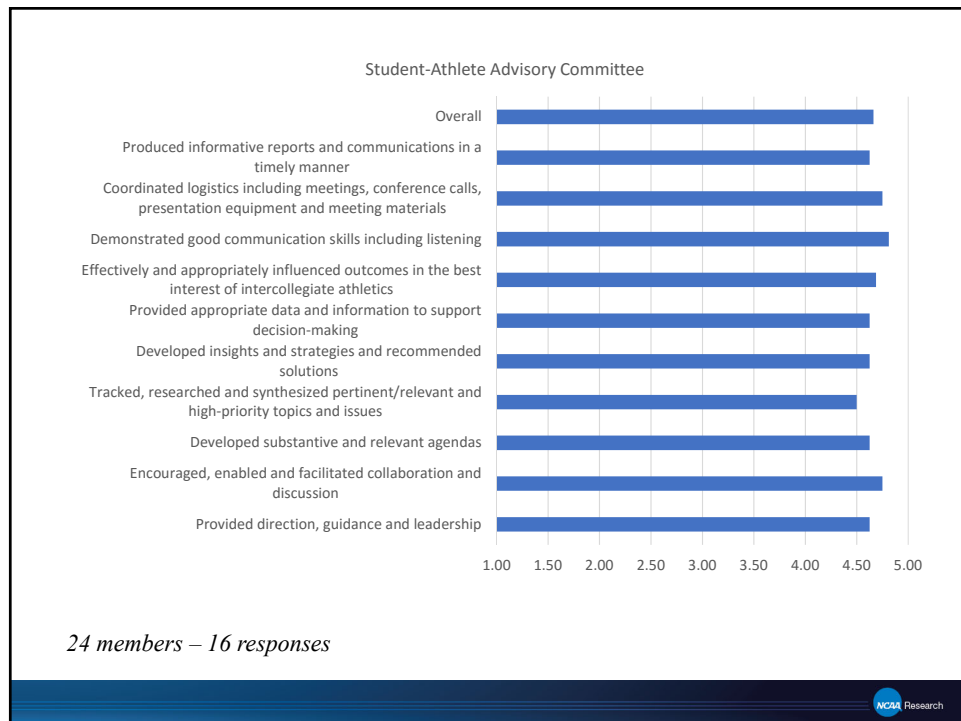
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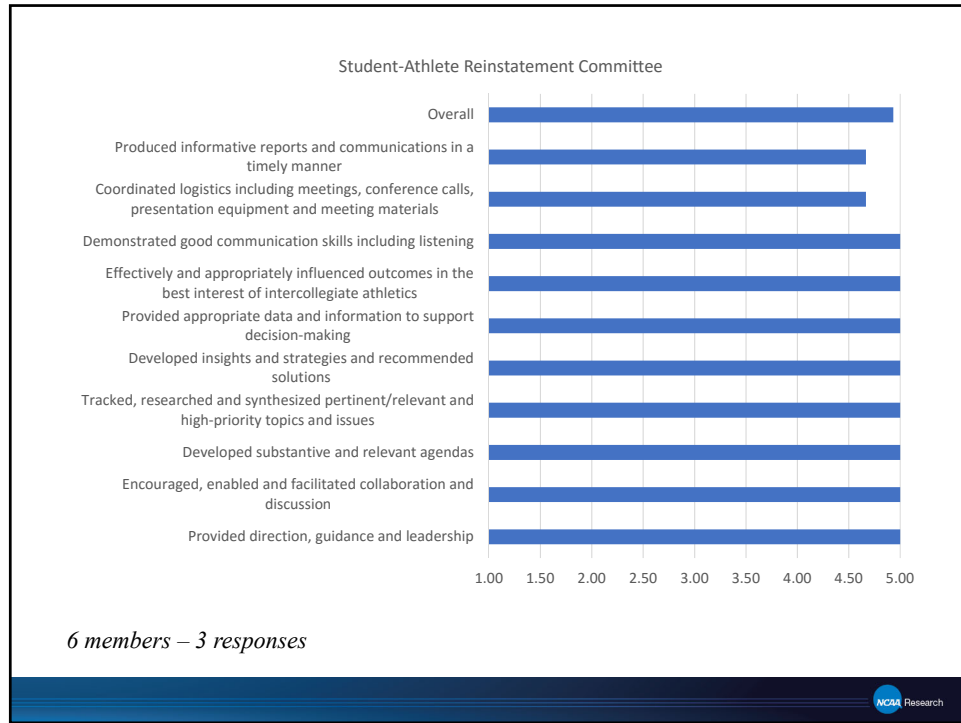
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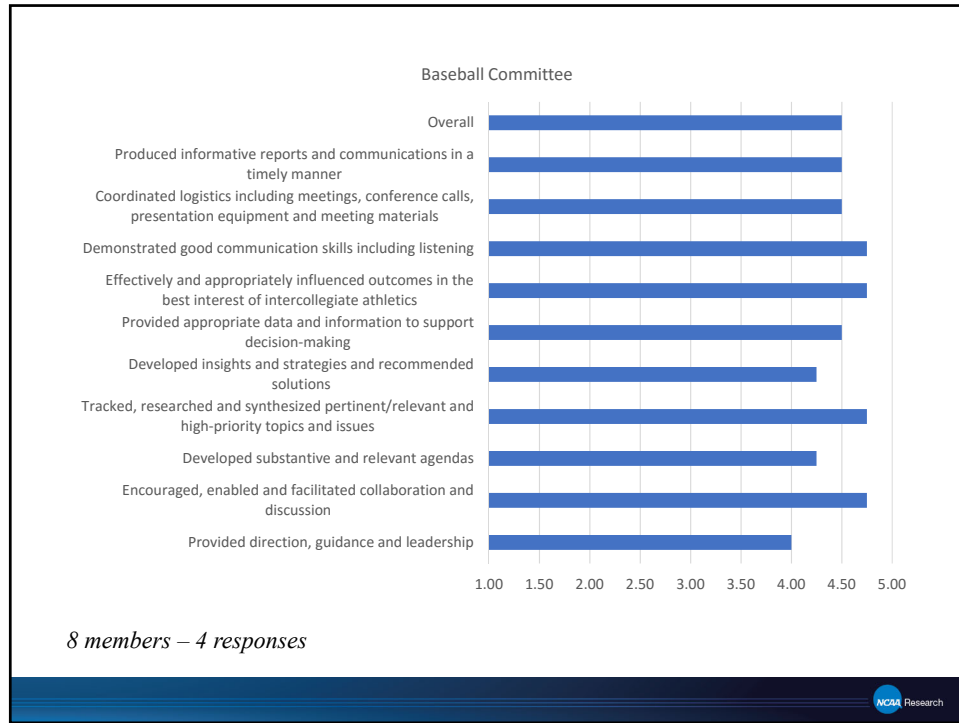
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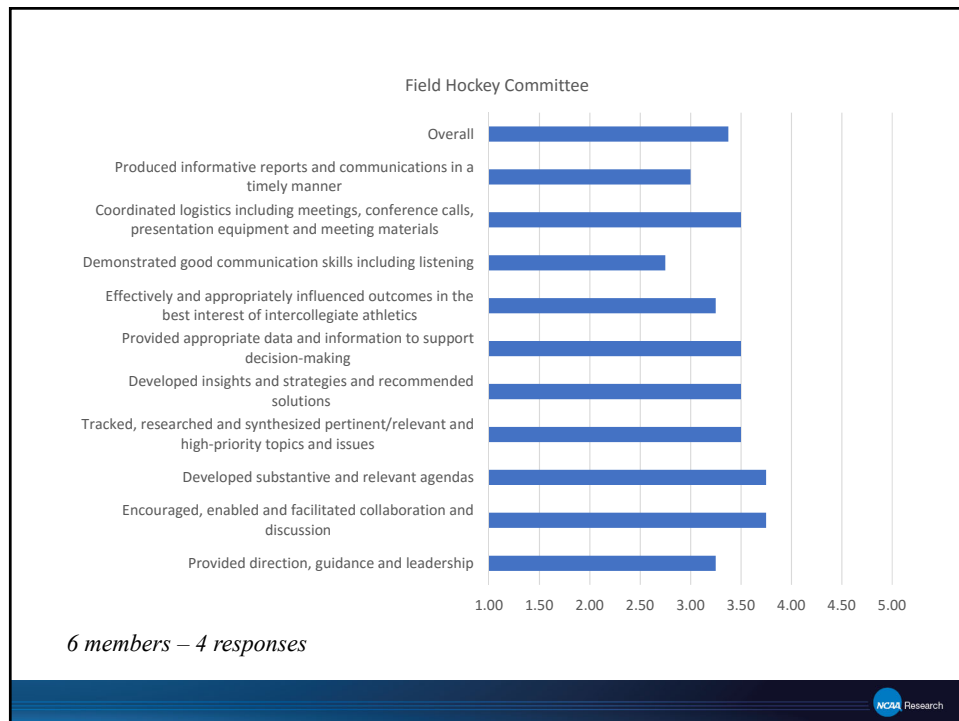
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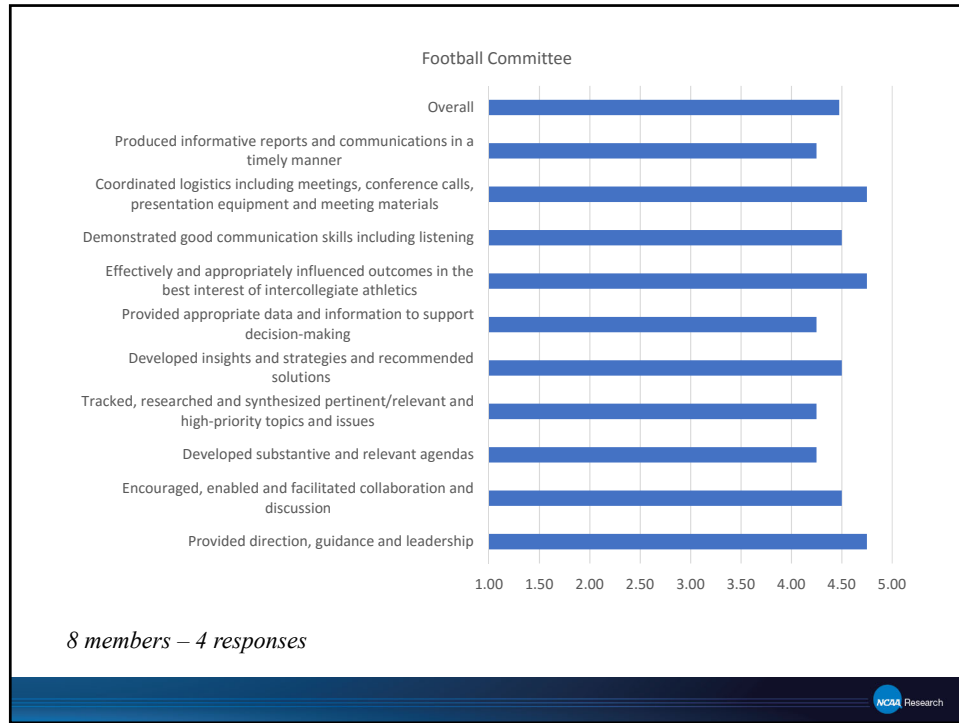


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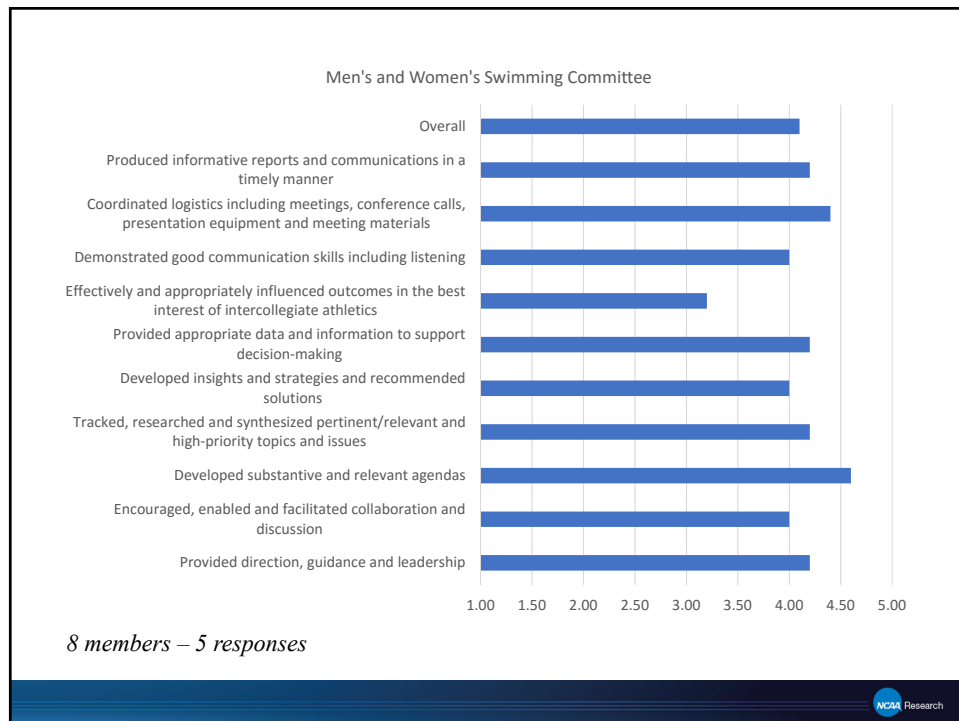


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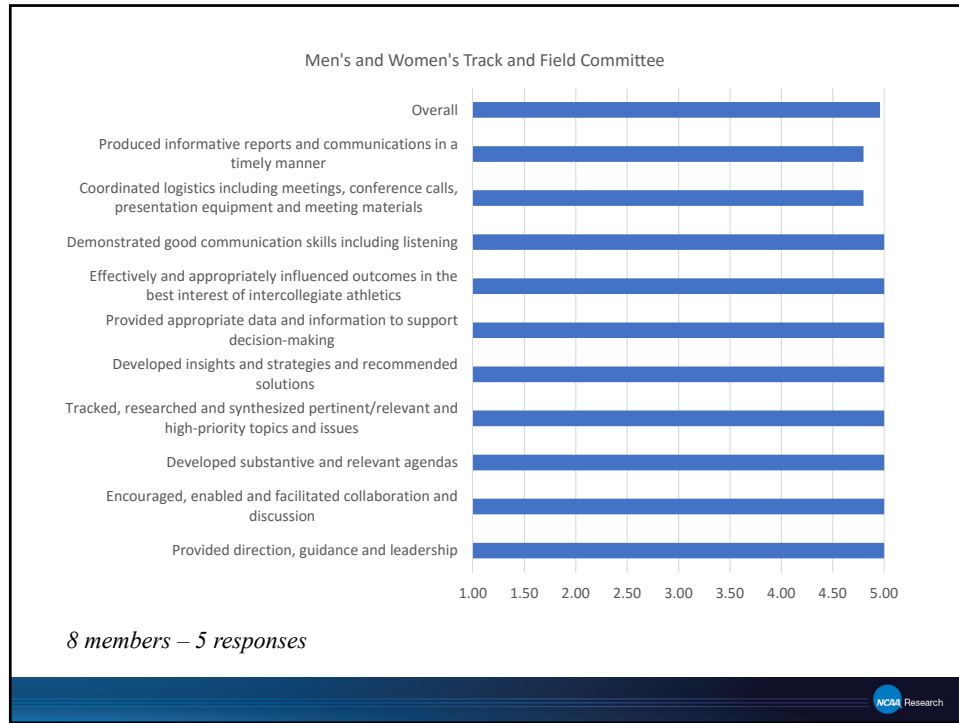




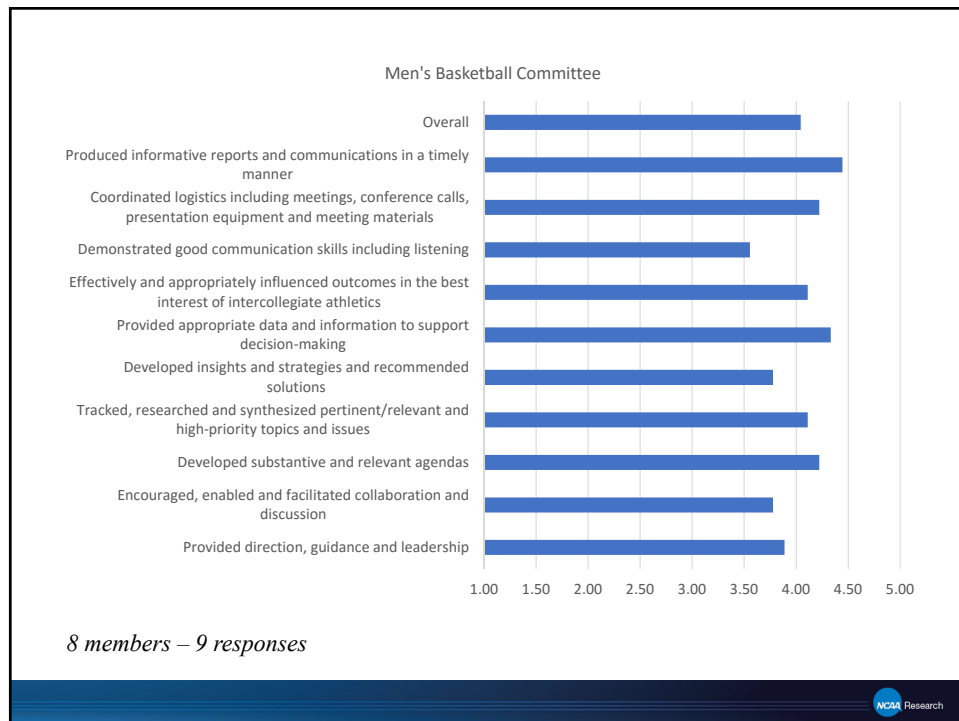
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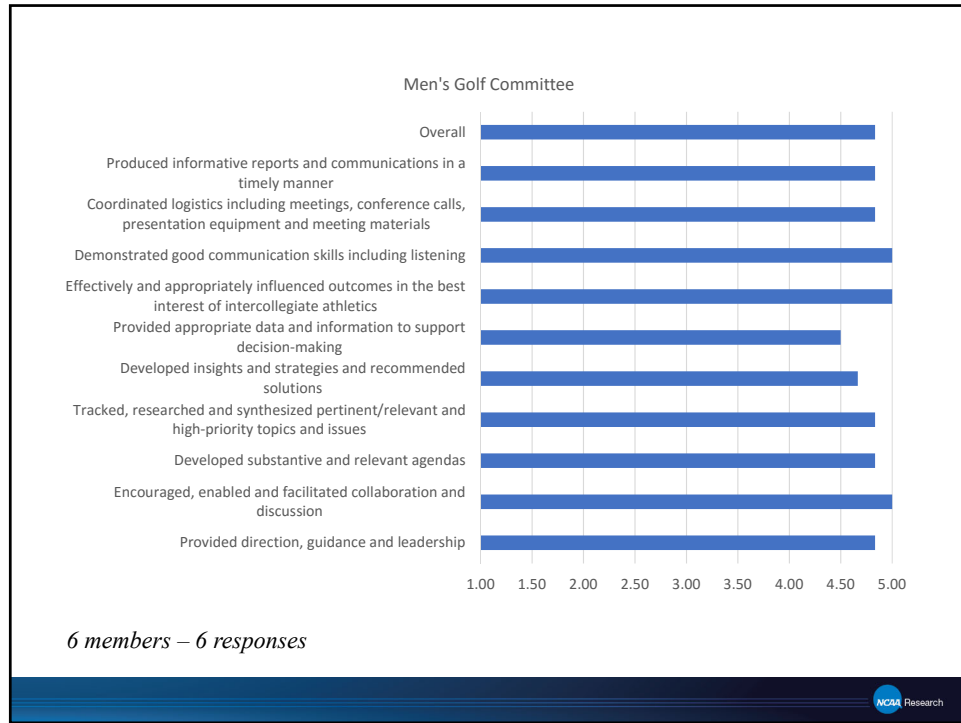
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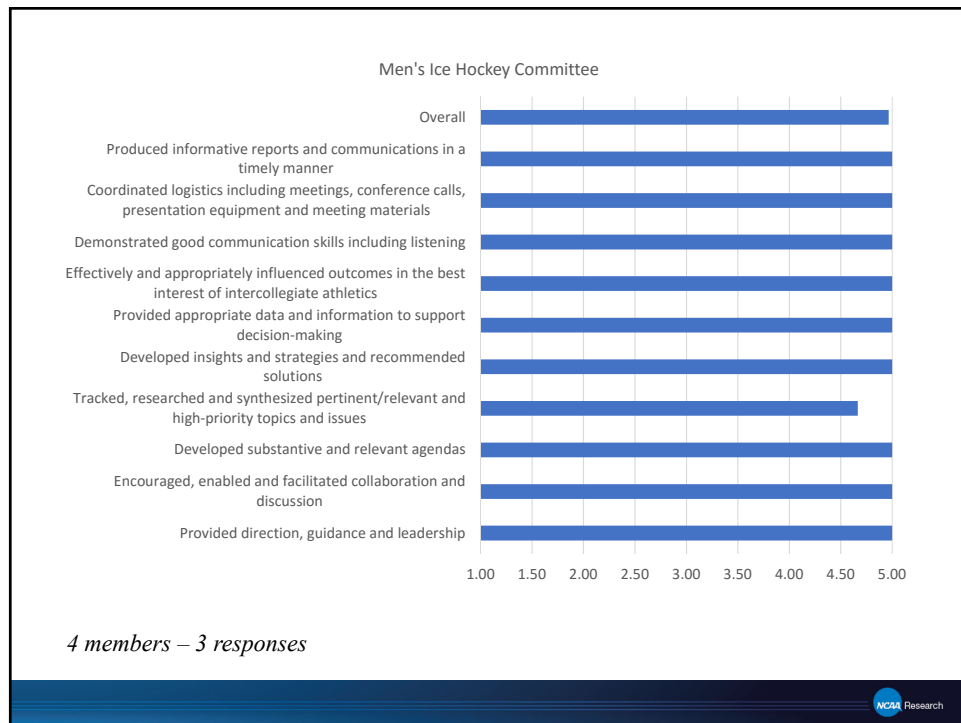
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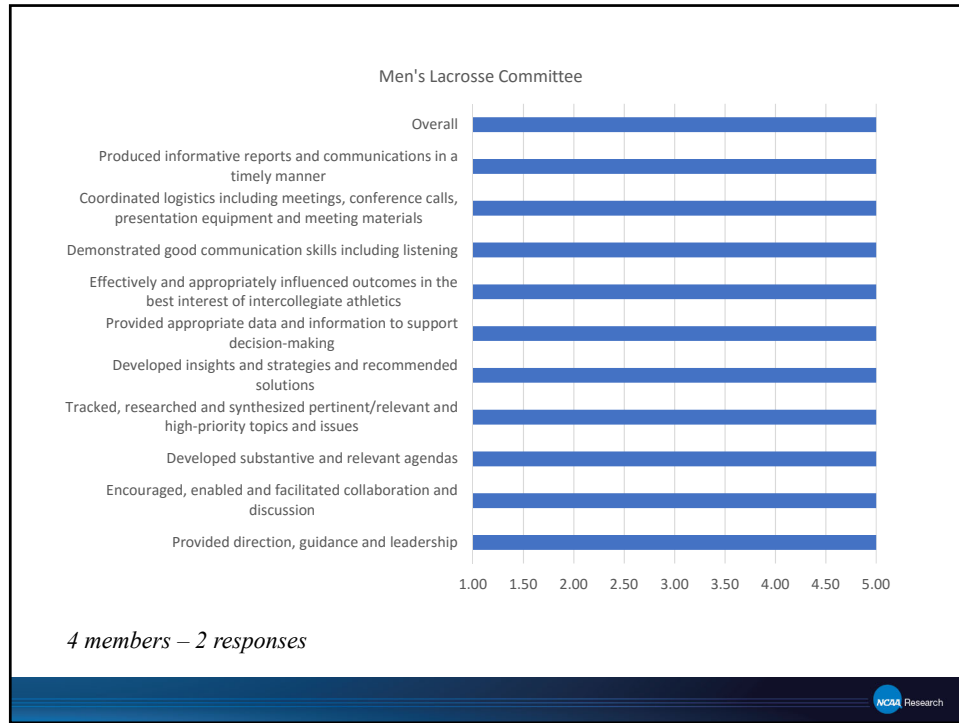
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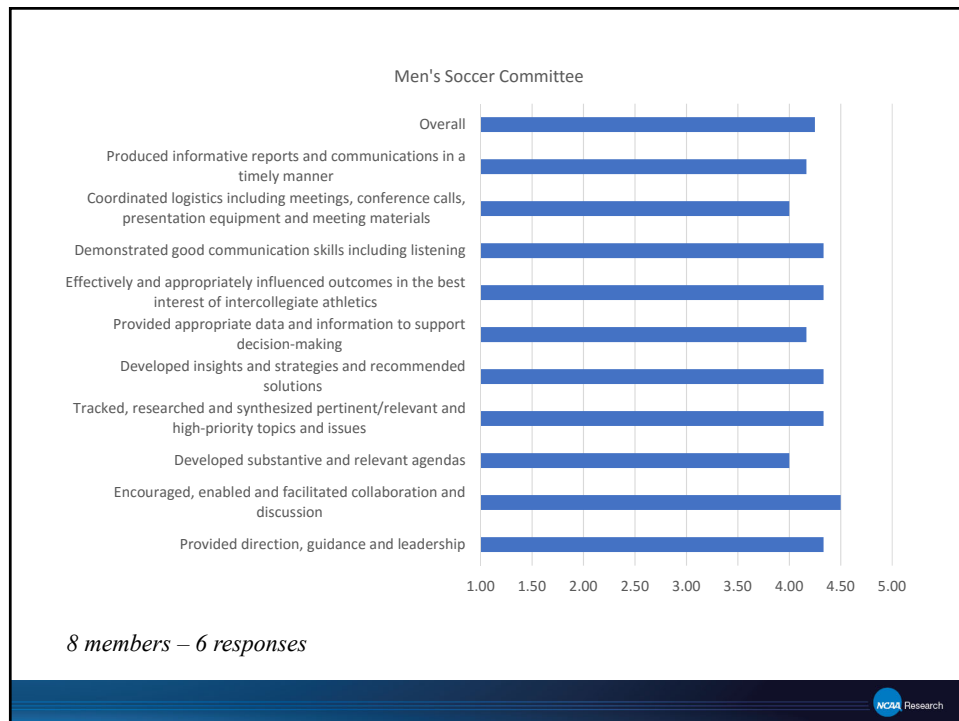
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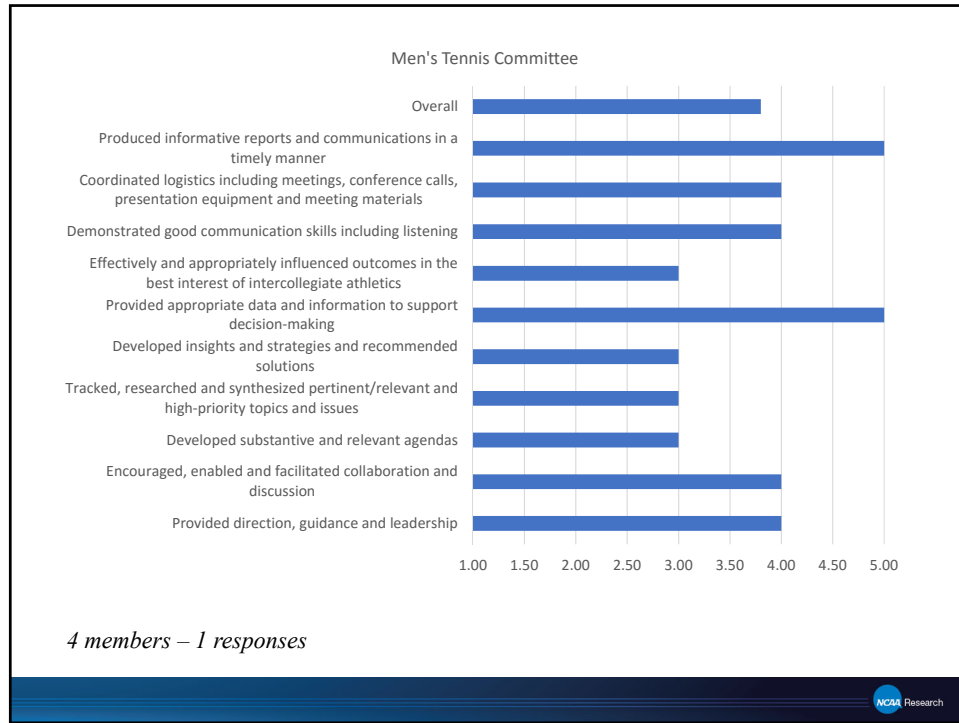
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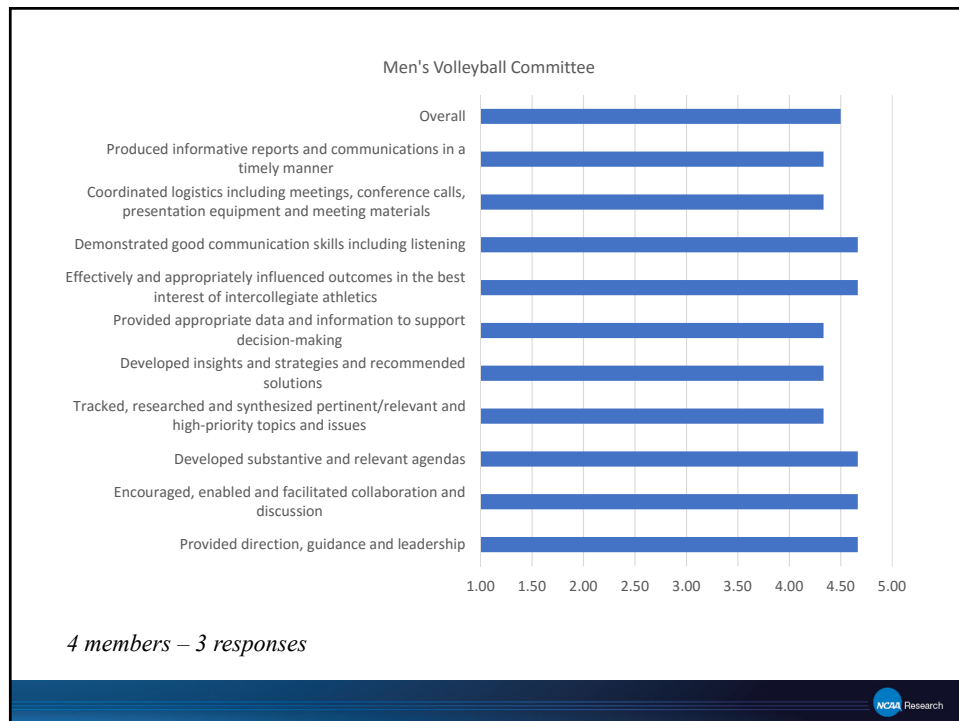
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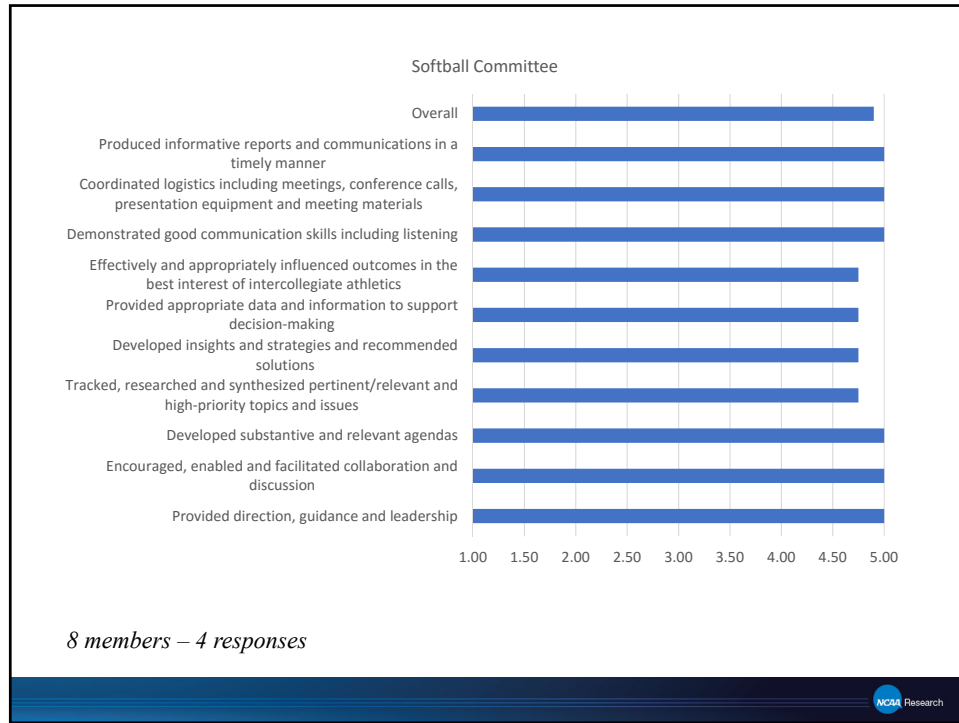
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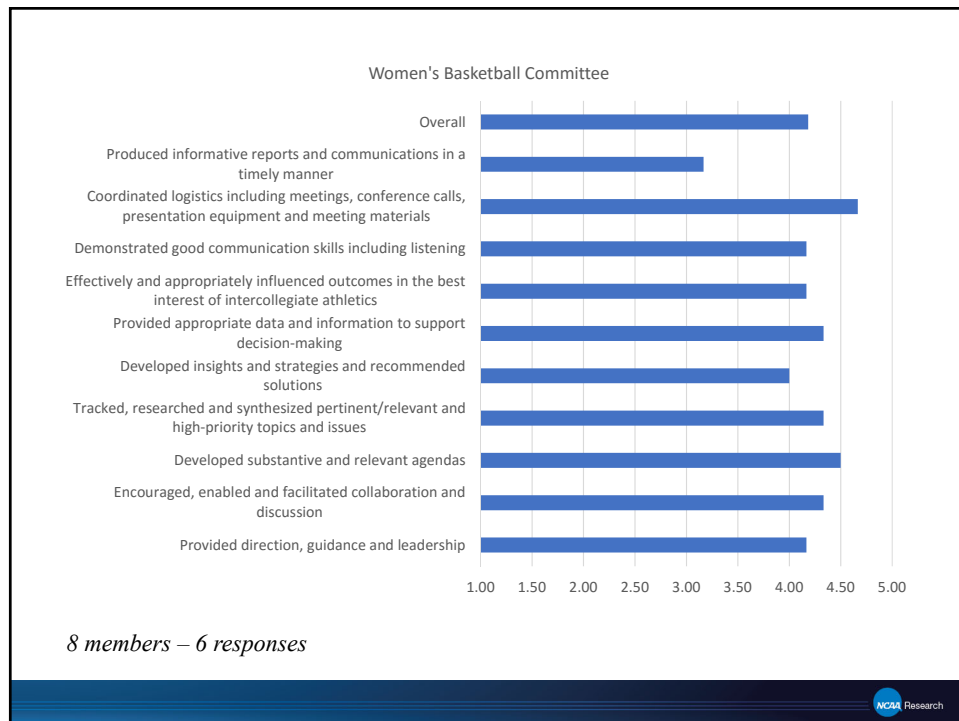
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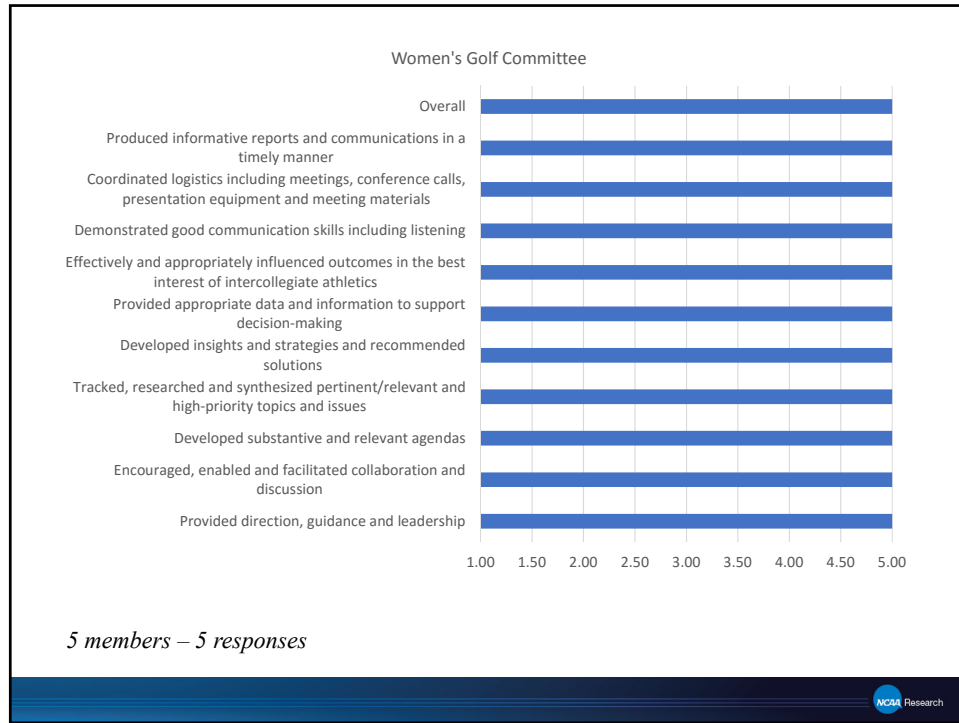
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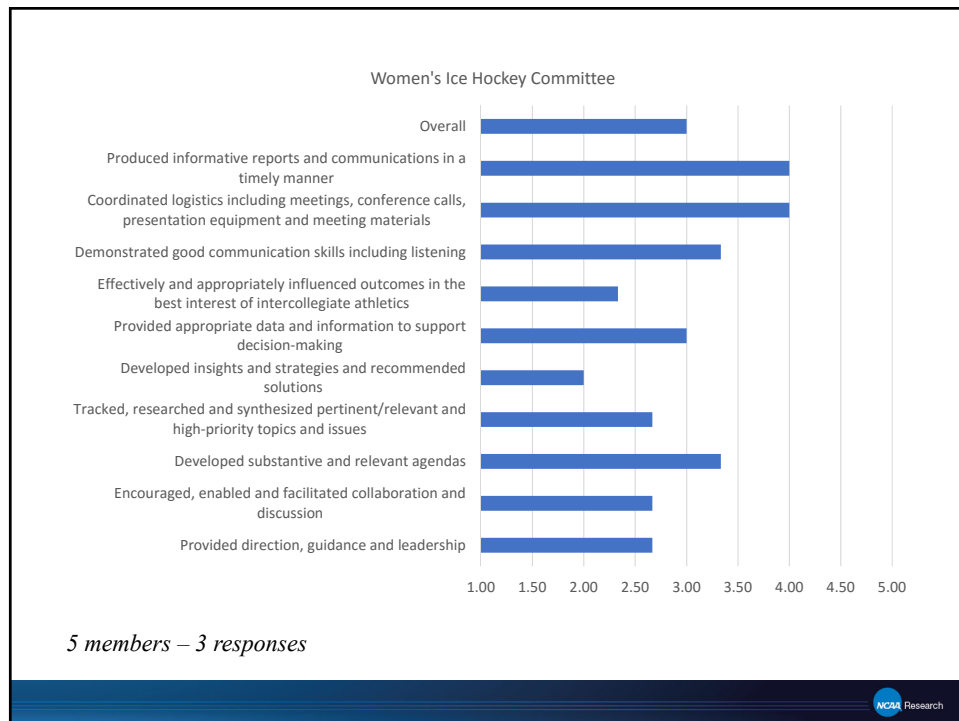
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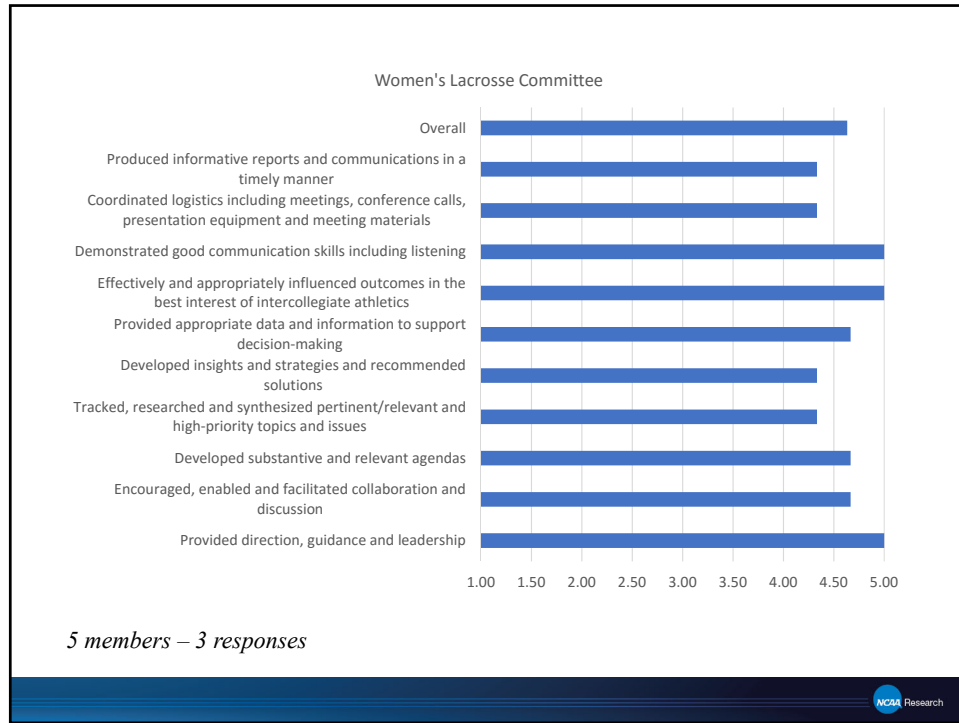
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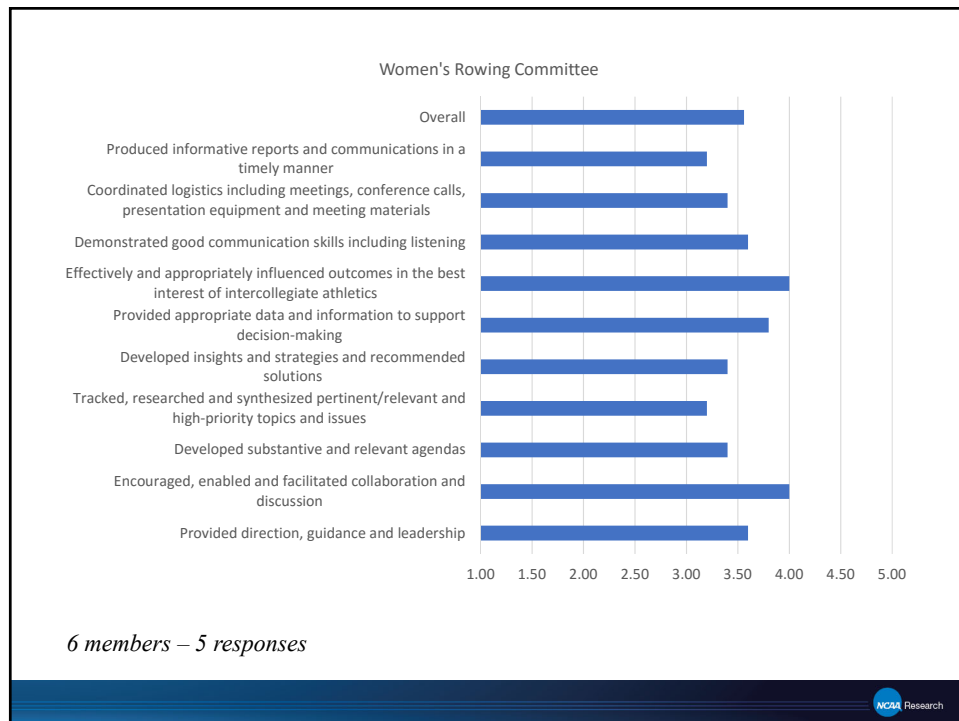
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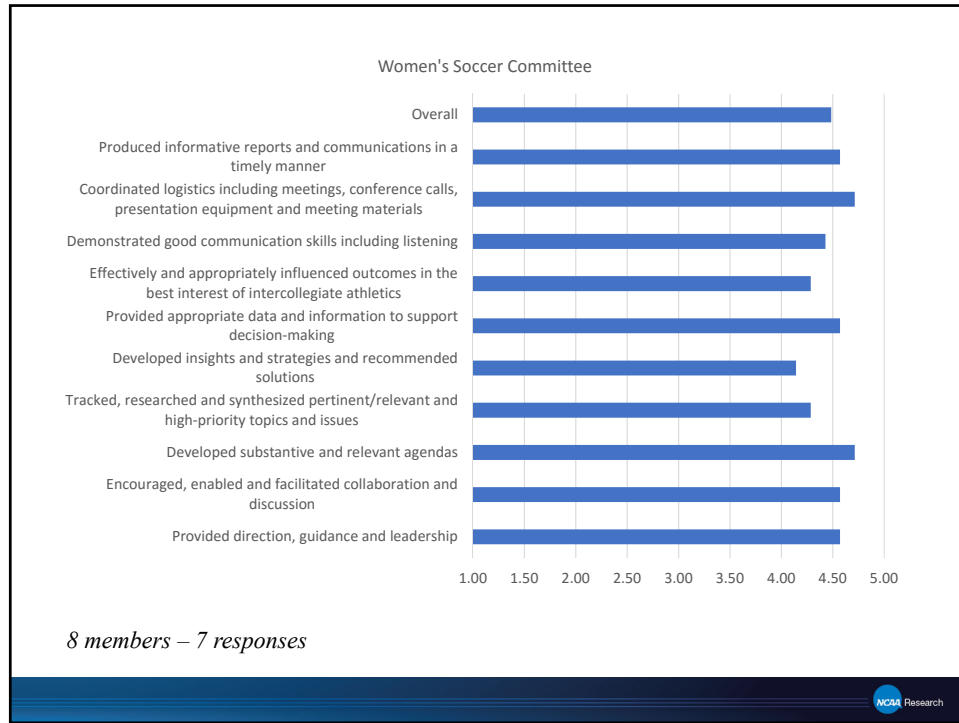


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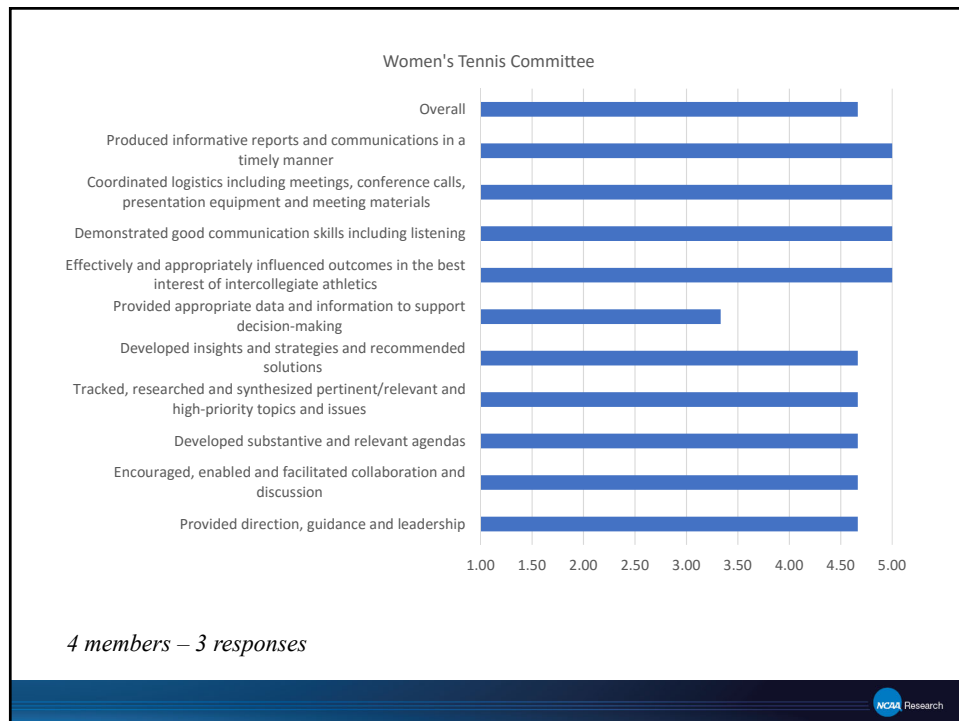


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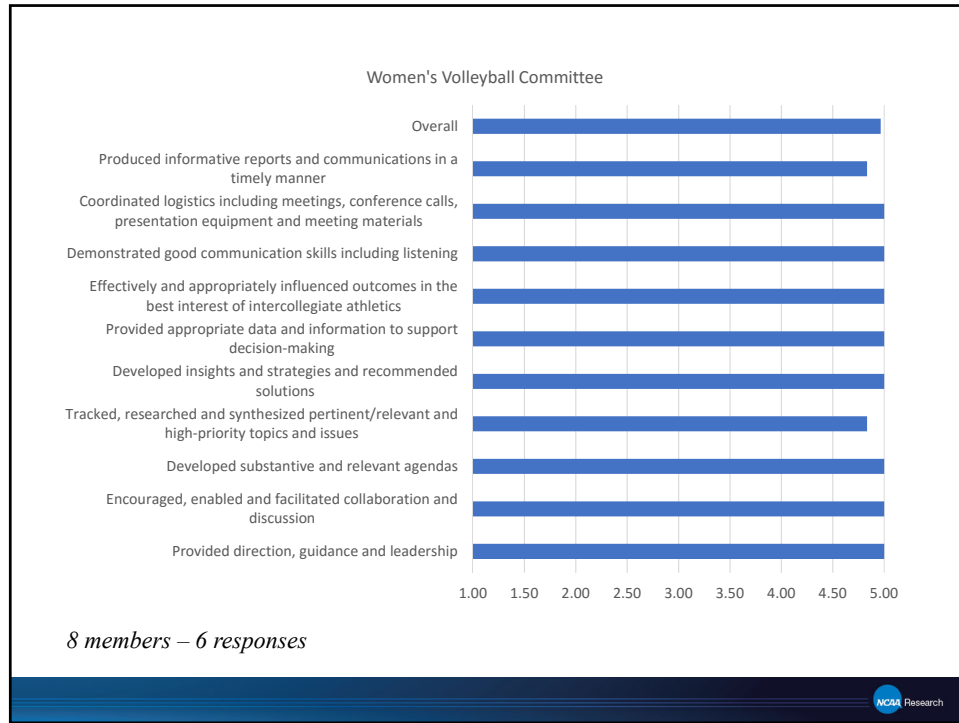




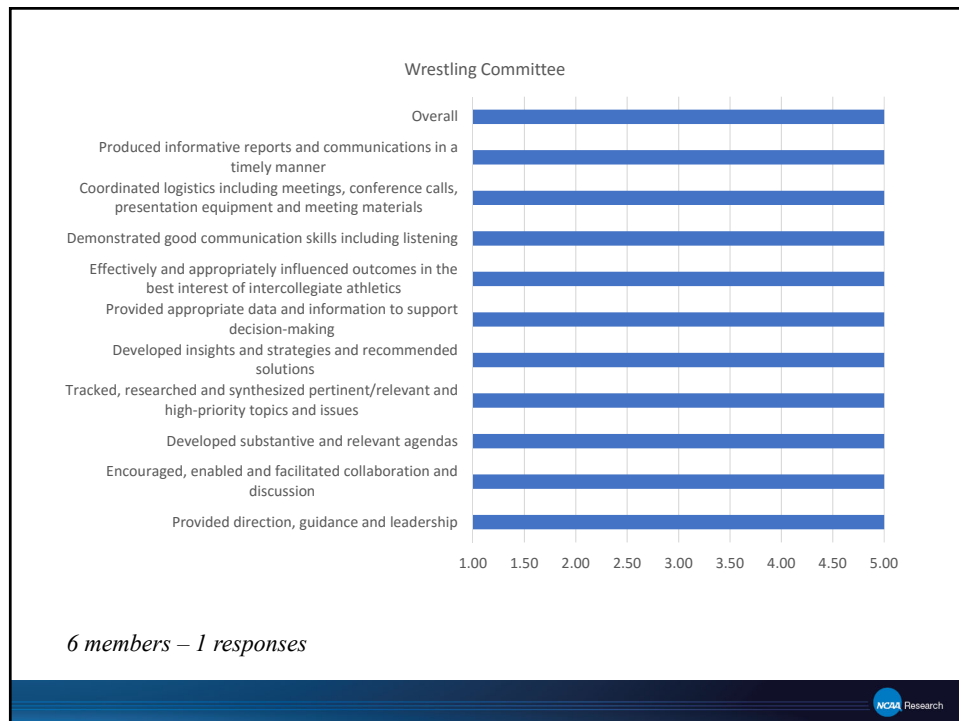
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Questions?

From: Chuck Brown

Email Address: [cab51@psu.edu](mailto:cab51@psu.edu)

Conference Visited: Allegheny Mountain Collegiate Conference

Date: May 20-21, 2019

Attendees: All AD's, Presidents/Chancellors, Conf. Commissioner,

Division III Campus Issues to Report: Items Discussed:

1. Consideration of having soccer officials use headsets to communicate
2. Discussion of proper protocols for medical treatment for SA's at contests for both home and away teams.
3. Discussion of baseball and softball and alignment of calendars along with the thought of pushing season a bit later into the spring.
4. Discussion of men's vs. women's start times in basketball and soccer when both team play back to back, i.e. women play first in one year and men play first in following year, etc... Issue of crowd attendance (basketball) and safety when men play first (soccer).
5. How to continue to make the conference better and to assure that all constituencies are working together to do so.
6. Discussed the new NCAA emerging sports for women opportunities.

Hot Topics/Initiatives

Esports

1. Discussed the BOG vote to table this discussion for the foreseeable future. Conference wasn't supportive of including as part of NCAA anyhow.

Sport Science Institute Initiatives C. Brown discussed a summary of the SSI initiatives with the group including:

1. Approval of the administration of the SSI health and safety survey as a DIII pilot for '19-20.
2. Consideration of participating in the injury surveillance program, including the thought of choosing to submit data on two sports of their choosing.
3. Discussion on the approval, in concept, of the noncontroversial legislation requiring institutions to make mental health services and resources available to our S.A.'s
4. Discussed that Mgmt Council reviewed the NCAA Catastrophic Injury and Death Inter-association Guidelines

Overall – informational only. No specific comments or questions from the group.

Sports Wagering

1. C. Brown discussed by summarizing the key points on the 2019 summer hot topics powerpoint, and indicated it would be good to share the "NCAA Sports Wagering FAQ" with our S.A.'s along with having our athletics dept's review as well.
2. Discussion item brought forth was in regards to reporting SA injury reports before games. One comment focused on how this might violate HIPPA Regulations.

### Transfer Portal

1. C. Brown led an informational discussion on DIII's support of allowing the transfer portal to be used on a voluntary basis for 2019-20, with the continuation of the current "self-release" legislation.
2. Indicated that Mgmt Council would be discussing again in July about whether the usage of the portal should be mandatory.

### Group Comments:

1. Concerned about SA well-being and privacy protection for them NOT wanting to be included in the portal.
2. Additional burden on the compliance coordinator to include SA's in the portal.
3. Coaches will use as a recruiting tool.
4. Concerned about SA confidentiality and whether the portal requirements would be above what the SA wants out there.
5. One indicated that there's been some negative D1 feedback, and SA's are using the portal to "shop around" while not understanding that once on the portal they may not be welcomed back.
6. Overall tenor seemed to not favor the mandatory usage of the portal. That the current legislation is better for the student athletes and keeps the transfer discussion private.

### Working Groups

1. Regional Realignment was discussed a bit, but the conference isn't impacted too much. Biggest question was in golf where the PAC would and the AMCC would be completely separated even though the two conferences border each other.
2. Asked the group to provide any feedback and ideas for Convention Educational Topics
3. C. Brown addressed the Presidents and AD's regarding the FAR Engagement Working Group documents and shared how each school should consider steps to encourage and support a highly engaged FAR on their campuses.
4. Discussed the proposed legislation to add the Athletics Diversity and Inclusion Designee assuring that this would not be a new hire to the campus, but someone at the campus appointed to serve in this capacity.

From: Denise Udelhofen

Email Address: [denise.udelhofen@loras.edu](mailto:denise.udelhofen@loras.edu)

Conference Visited: American Rivers Conference

Date: June 10, 2019

Attendees: AD, SWA, FAR, Presidents

Division III Campus Issues to Report: None

Hot Topics/Initiatives

Esports

Sport Science Institute Initiatives

Sports Wagering

Transfer Portal

Working Groups

Division III University

Other General Comments:

Meeting was to vote on a few conference legislation issues. Open discussion with AD, SWA, FAR and Presidents from each institution. This was the first time conference management council has met jointly with presidents council. Agenda was based around sports sponsorship and potential future growth of conference.

Inquiry ID# 291666 submitted on: Monday, June 17, 2019 - 15:51

From: Chuck Brown

Email Address: [cab51@psu.edu](mailto:cab51@psu.edu)

Conference Visited: Liberty League

Date: 05/30/2019

Attendees: Conference Commissioner and all athletic administrators

Division III Campus Issues to Report:

C. Brown participated via conference call and gave a report. No Liberty League campus issues to report

Hot Topics/Initiatives

Esports

C. Brown discussed the BOG vote in April '19 to table this discussion for the foreseeable future. Conference meeting participants are not supportive of including eSports as part of the NCAA umbrella.

Sport Science Institute Initiatives

C. Brown discussed a summary of the SSI initiatives with the group including:

1. Approval of the administration of the SSI health and safety survey as a DIII pilot for '19-20.
2. Consideration of participating in the injury surveillance program, including the thought of choosing to submit data on two sports of their choosing.
3. Discussion on the approval, in concept, of the noncontroversial legislation requiring institutions to make mental health services and resources available to our S.A.'s
4. Discussed that Mgmt Council reviewed the NCAA Catastrophic Injury and Death Inter-association Guidelines

Overall – informational only. No specific comments or questions from the group.

Sports Wagering

1. C. Brown discussed by summarizing the key points on the 2019 summer hot topics powerpoint, and indicated it would be good to share the "NCAA Sports Wagering FAQ" with our S.A.'s along with having our athletics dept's review as well.
2. Discussion item brought forth was in regards to reporting SA injury reports before games.

Comments from group:

- a. Should we be concerned about Division 3 betting lines?
- b. Discussion of injury reports and student athlete privacy.
- c. Are we concerned that gambling in Division 3 is a "public health issue?" What we really need to focus on in Division 3 is the impact of alcohol and mental health as the biggest public health issues impacting our division.

Transfer Portal

1. C. Brown led an informational discussion on DIII's support of allowing the transfer portal to be used on a voluntary basis for 2019-20, with the continuation of the current "self-release" legislation.

2. Indicated that Mgmt Council would be discussing again in July about whether the usage of the portal should be mandatory.
3. One member gave a summary of how the portal works and what can be included – noting that there are a number of areas that can be restricted on behalf of the S.A. and what he/she wants publicized.
4. Before knowing more, one member wanted feedback from National SAAC.

#### Group Comments regarding mandatory implementation:

- a. Concerned about SA well-being and privacy protection for them NOT wanting to be included in the portal.
- b. Additional burden on the compliance coordinator to include SA's in the portal. Specifically, there will be "training time" on the system that needs to be factored in.
- c. Coaches ARE using it as a recruiting tool and are "mining the lists" to see who's available and it allows for SA to shop around.
- d. Concerned about SA confidentiality.
- e. Overall tenor is to NOT FAVOR the mandatory usage of the portal. They like our current legislation and privacy of the self-release. By show of hands, no one was in favor of a mandatory implementation of the transfer portal.

#### Working Groups

1. C. Brown mentioned Regional Realignment. The conference indicated that the league isn't really affected.
2. C. Brown asked the group to provide ideas for Convention Educational Topics or other feedback on the Summer 2019 Hot Topics Slides 3. ICE HOCKEY Compliance Pilot – round 2:  
There was a question about why the choice to continue to the pilot for a second year and not just make it mandatory and also if other sports (i.e. tennis and soccer) were possibly going to be using it in the future? C. Brown mentioned that this pilot includes the cost sharing/burden and that mgmt council felt it was important to pilot it for one more year given the cost sharing aspect to be sure that schools understand the cost impact going forward.

#### Division III University

C. Brown mentioned from the Mgmt Council April Summary notes that one of the non-championships budget items that was approved was the expansion of DIII University – noting that there would be the development of new compliance modules, greater access to more groups on campus, and explained how Division 2 was utilizing their platform. At this point, the members indicated they haven't done much with this tool as yet.

#### Other General Comments:

None

Inquiry ID# 289341 submitted on: Friday, May 31, 2019 - 14:33



From: Lori Mazza

Email Address: [mazzal@wcsu.edu](mailto:mazzal@wcsu.edu)

Conference Visited: Little East Conference

Date: 5/29-31/19

Attendees: CEO/AD

Division III Campus Issues to Report:

Hot Topics/Initiatives

Esports

Conference is somewhat neutral on topic but does have concerns it being under athletics. Could be under Recreation or student activities.

Sport Science Institute Initiatives

Some additional conference members joined the ISP. No comment or feedback of it being cumbersome. Reminded conference of Attestation and certification of rules compliance

- Annually by October 15, the following actions must occur:
- The attestation will be accessed via the NCAA's Learning Portal.

Sports Wagering

Transfer Portal

Division III student-athletes in the transfer portal was discussed. Concerned about making it mandatory and the time to update them after every term. Secondary duties for some.

Working Groups

Division III University

Other General Comments:

Mentioned the DIII enhancements grants... Ad's were excited about opportunity for them. Ice Hockey certification Student-athletes will pay the standard \$150 certification fee with their initial registration, and the NCAA will reimburse \$75 at the conclusion of the review. thought it was ok but concerned about cost for future.

Most of the time was spent on regional realignment project and discussing it and how it worked. They were confused on the specifics. Still concerned about how things will work with "regional voting" if sport affiliations do not agree to move to this. Did report back to Brad of their concerns.

Inquiry ID# 290911 submitted on: Thursday, June 13, 2019 - 13:53

From: Laura Mooney

Email Address: [laura.mooney@mcla.edu](mailto:laura.mooney@mcla.edu)

Conference Visited: Massachusetts State Collegiate Athletic Conference

Date: 5/20/19-5/21/19

Attendees: AD, SWA, FAR

Division III Campus Issues to Report: Overall timing and amount of reports/mandated action. May 15 is not ideal for sexual violence attestation.

Hot Topics/Initiatives

Esports

Sport Science Institute Initiatives  
One page SSI suggestions for athletic trainer coverage.

Sports Wagering  
Not in favor of the sports wagering pilot – are exhausted of the continuous requests from the NCAA with no incentives

Transfer Portal

Working Groups

Division III University  
Can there be webinars or DIII University for the SSI topics?

Can a school pay to add staff to DIII University? Need to have all access.

Other General Comments:  
Why are we doing the joint championship? It caused the DIII seasons to have to be spread out and the cost could be spent on other things like additional DIII University licenses

Can the NCAA mandate how many trainers based on how many sports and athletes. Even if they publicly endorsed the NATA standards.

New initiative for Asst coach \$7500 matching grant. Why does this have to be just assistant coaches? Why can't it be all coaches?

Inquiry ID# 288626 submitted on: Friday, May 24, 2019 - 15:45

From: Michelle Morgan

Email Address: [MMORGAN@JCU.EDU](mailto:MMORGAN@JCU.EDU)

Conference Visited: North Eastern Athletic Conference

Date: 5/29/2019

Attendees: ADs, SWAs, some FARs

Division III Campus Issues to Report:  
Nothing to report

Hot Topics/Initiatives

Esports

A few campuses in this conference field eSport teams already. All continue to monitor.

Sport Science Institute Initiatives

Sports Wagering

Transfer Portal

Working Groups

Division III University

Only three campuses have logged in to DIII University to take advantage of offerings. I encouraged all to take advantage and also encourage current

Other General Comments:

Questions came up about the SWA Resources. If there was ever dialog about providing more training to the SWAs specific to Sexual Assault and Bystander training. I pointed to the resources in DIII University as a start.

Inquiry ID# 289106 submitted on: Wednesday, May 29, 2019 - 17:37

From: Michelle Morgan

Email Address: [MMORGAN@JCU.EDU](mailto:MMORGAN@JCU.EDU)

Conference Visited: Ohio Athletic Conference

Date: 5/13/2019

Attendees: ADs, SWAs, FARs and Conference Staff

Division III Campus Issues to Report: Nothing to report

Hot Topics/Initiatives

Esports

Conference currently is mixed in campuses that field eSports. Also varied in if housed in athletics or student affairs / campus life.

Sport Science Institute Initiatives

Sports Wagering

Transfer Portal

Discussed at length that this was truly intended to be a compliance tool.

Working Groups

Division III University

Only about half of the group had heard of the resource and/or had logged in.

Other General Comments:

Inquiry ID# 289111 submitted on: Wednesday, May 29, 2019 - 17:40

From: Scott McGuinness

Email Address: [smcguinness@washjeff.edu](mailto:smcguinness@washjeff.edu)

Conference Visited: Presidents' Athletic Conference

Date: May 21, 2019

Attendees: Athletic Director Council

Division III Campus Issues to Report:

Growing the officiating pool is definitely a concern. The officials' assignors seem to think we could be reaching a breaking point at some point soon for having enough qualified officials in this area.

Hot Topics/Initiatives

Esports

There is not a lot of interest in Esports from the conference members for 2019-2020. Campuses discussing are worried about where Esports should be housed administratively.

Sport Science Institute Initiatives

Sports Wagering

Transfer Portal

The transfer portal was discussed and those in charge of compliance in the meeting were 100% on board. A few of the administrators who are/were coaches are not happy with Division III student-athletes being added to the transfer portal as they fear this will open the door to additional transfers. For tuition-driven institutions looking at retention issues, this is a concern to coaches/former coaches.

Working Groups

Division III University

Other General Comments:

There was some concern raised about "Allow for placement of recruiting advertisements with an athletic focus" potential legislation, but mostly about schools with larger resources having the ability to reach larger audiences.

Inquiry ID# 290731 submitted on: Wednesday, June 12, 2019 - 12:14

From: Michael Vienna

Email Address: [mvienna@emory.edu](mailto:mvienna@emory.edu)

Conference Visited: University Athletic Association

Date: June 19, 2019

Attendees: AD's, SWA's

Division III Campus Issues to Report:  
None

Hot Topics/Initiatives

Esports

Sport Science Institute Initiatives

Sports Wagering

Some discussion if we foresee in the future the possibility of legalized gambling on Division III contests.

Transfer Portal

Working Groups

Division III University

Other General Comments:

Inquiry ID# 292211 submitted on: Thursday, June 20, 2019 - 12:12

From: Kandis Schram

Email Address: [kandis.schram@maryvillecollege.edu](mailto:kandis.schram@maryvillecollege.edu)

Conference Visited: USA South Athletic Conference

Date: May 6, 2019

Attendees: AD,'s, SWA's, Commissioner, Assoc Commissioner

Division III Campus Issues to Report:

There were no issues to report

Hot Topics/Initiatives

Esports

information was shared but there were not questions or comments

Sport Science Institute Initiatives

There were questions concerning "other fall sports" and when a preseason model or acclimation period would may be implemented.

Sports Wagering

There is a great deal of concern over this topic.

Transfer Portal

straw vote was in favor of allowing D3 the ability to use the transfer portal

Working Groups

very little discussion

Division III University

The room was split on this subject. Those that accessed the site reported the information was helpful. There just as many that reported that they have not accessed the site.

Other General Comments:

Inquiry ID# 296441 submitted on: Wednesday, July 17, 2019 - 16:21



In July 2018, the Division III Management Council endorsed a strategic plan to increase participation in the NCAA Injury Surveillance Program. At that time, 73 percent of Division III institutions had software compatible with NCAA ISP submission but only nine (9) percent participated in the program.

As part of that plan, the following have occurred:

- A request for participation appeared in the Division III Monthly Update on August 1, 2018.
- Division III Head Athletic Trainers received an email from Louise McCleary requesting participation, with conference commissioners, ADs and athletics health care administrators copied on August 3, 2018.
- Louise McCleary sent a similar email on November 15, 2018.
- Conference Commissioners received a report of participation status by institution within their conferences in mid-January 2019.
- Representatives from the Datalys Center presented a webinar about the ISP to Division III (<https://www.ncaa.org/governance/division-iii-webinars>) on January 18, 2019 and June 4, 2019.
- Representatives from the Datalys Center were available to answer questions and demonstrate data entry for the ISP at the College Athletic Trainers' Society Spring Symposium and the National Athletic Trainers Association AT Expo in May and June 2019, respectively.

Division III ISP participation has increased since the communication campaign began.

	Participating	Signed up, waiting to receive data*	Signed up for 2019/20**	Not Participating, Compatible EMR	Not Participating, Incompatible EMR	No EMR	Unknown EMR
June 30, 2018	9%	N/A	N/A	64%	15%	6%	6%
September 27, 2018	11%	12%	N/A	55%	11%	6%	6%
March 22, 2019	20%	11%	N/A	49%	9%	6%	5%
June 30, 2019	22%	N/A	1%	57%	10%	6%	5%

EMR=Electronic Medical Record

\* These institutions provided data in 2017/18 or indicated they would like to participate in 2018/19, but we had not yet received a data submission.

\*\*These institutions signed up too late in 2018/19 to provide data for the current academic year but have indicated they plan to do so for the upcoming academic year. This includes institutions that use Presagia Sports, which is finalizing its software updates to allow schools to submit data.

The Datalys Center continues to receive communication from institutions wishing to participate.



**REPORT OF THE  
NCAA BOARD OF GOVERNORS  
APRIL 30, 2019, MEETING**

**ACTION ITEMS.**

- None.

**INFORMATIONAL ITEMS.**

1. **Welcome and announcements.** NCAA Board of Governors Chair President Bud Peterson convened the meeting at approximately 2 p.m. and welcomed the Governors. He gave a special welcome to Board of Governors members Stevie Baker-Watson, Sandra Jordan, Laura Liesman and Tori Murden-McClure, who were attending their first in-person meeting. NCAA staff confirmed that a quorum was present. President Peterson recognized President Susan Herbst and President Eric Kaler, who both were participating in their last board meeting. President Peterson gave special thanks to President Kaler for his work as part of the Board of Governors Executive Committee. President Peterson also welcomed President Michael Drake and President Renu Khator, whose terms on the board begin following adjournment of the meeting, and noted they were attending as observers.
2. **Consent agenda.** By way of a consent agenda, the Board of Governors approved the report of its January 23, 2019, meeting and the appointment of President Renu Khator to the NCAA Board of Governors Ad Hoc Committee on Sports Wagering. The Governors also approved revisions to its policies and procedures, primarily due to the membership's adoption of legislation to add five independent members to the Board of Governors.
3. **NCAA President's Report.** President Mark Emmert provided brief comments on several issues facing the Association that were part of the Governors meeting agenda, including possible reaction to the legal and legislative landscape.
4. **Esports.** Joni Comstock, NCAA senior vice president of championships and alliances, Nate Flannery, NCAA director of digital and social media, and Chris Termini, NCAA managing director of championships and alliances, noted the various policy and operational considerations presented in the position papers provided to the board members in advance of the meeting. Potential championship models and the legislative process required to establish a new NCAA championship were reviewed.

It was VOTED

"That in recognition of the rapid growth of esports on NCAA campuses and the lack of central organization or an existing amateur governing body developing college esports for adoption by the NCAA, the Board of Governors directs the national office to take measures to cultivate college esports in a manner that aligns with the Association's values and mission,

and which supports the health, academics and social well-being of students.” Motion failed [For 6 (DeGioia, Henderson, Jordan, Murden-McClure, Olson, Samhat), Against 6 (DiStefano, Kaler, Maher, Peterson, Trauth, Tripathi), Abstain 0].

It was VOTED

“That Board of Governors table the topic of esports.” Motion approved (For 12, Against 0, Abstain 0).

**5. Law, policy and governance strategic discussion.**

**a. NCAA Sports Science Institute/NCAA Committee on Competitive Safeguards and Medical Aspects of Sports update.**

- (1) Interassociation recommendations: Prevention of Catastrophic Injury and Death in Collegiate Athletics. NCAA Chief Medical Officer Brian Hainline reviewed with the Governors the *Interassociation Recommendations: Prevention of Catastrophic Injury and Death in Collegiate Athletics* document. Dr. Hainline noted that the recommendations were created through the Uniform Standards of Care procedures, which included a comprehensive process of internal and external review, with the oversight of the NCAA Committee on Competitive Safeguards and Medical Aspects of Sports in partnership with the Board of Governors. The recommendations provide a flexible roadmap for member schools to address catastrophic injury prevention in six key areas: sportsmanship; protective equipment, acclimatization and conditioning; emergency action plans; strength and conditioning personnel; and education and training.

It was VOTED

“That the Board of Governors endorse the Interassociation Recommendations: Preventing Catastrophic Injury and Death in Collegiate Athletes as Association-wide policy.” Motion approved (Unanimous voice vote).

- (2) Independent medical care issue. Dr. Hainline noted that the National Athletic Trainers’ Association’s Intercollegiate Council for Sports Medicine posed a question concerning independent medical care to the Committee on Competitive Safeguards and Medical Aspects of Sports (CSMAS) involving issues associated with the provision of medical care by a host institution’s primary athletics health care providers to visiting teams’ student-athletes when those teams did not travel with their own primary athletics health care provider. CSMAS acknowledged that existing provisions of independent medical care legislation (e.g. unchallengeable autonomous decision-making authority) extend to the primary athletics health care providers of a host institution in the absence of the primary athletics health care provider from a visiting institution. However, membership sports medicine

staffs will benefit from further discussion and guidance that considers relevant medicolegal issues that can arise when a primary athletics health care provider does not accompany a traveling team. Dr. Hainline requested, and the Governors approved, creating a task force to address this issue further, specifically addressing medical and legal risks plus core health and safety membership requirements, and then report back to the board.

- b. **Government relations.** NCAA Managing Director of Law, Policy and Governance Abe Frank briefly updated the board on state and federal legislation related to sports wagering and amateurism of student-athletes, noting that more information would be provided at the President's Breakfast the following morning.
- c. **Legal and litigation update.** NCAA Vice President and General Counsel Scott Bearby facilitated a privileged and confidential discussion regarding ongoing litigation.

**6. Sports wagering update.**

- a. **NCAA Board of Governors Ad Hoc Committee on Sports Wagering.** NCAA Senior Vice President of Championships and Alliances Joni Comstock and NCAA Executive Vice President of Regulatory Affairs Stan Wilcox updated the Governors on the work of the Ad Hoc Committee on Sports Wagering. The Governors were informed of the Committee's continued work to gather membership feedback to develop best practices related to education and policy, and to determine the feasibility of player availability reporting.
- b. **NCAA Championships Policy Related to Sports Wagering.** NCAA Managing Director of Law, Policy and Governance Jackie Campbell reminded the Governors of its previous action to suspend the Championships Policy Related to Sports Wagering and to allow for championships hosting in locations, regardless of whether a state is considering, or has legalized, sports wagering. Since that action, many states have introduced sports wagering legislation and a number of states currently are accepting wagers.

It was VOTED

"That the Board of Governors rescind the NCAA Championships Policy Related to Sports Wagering." Motion approved (Unanimous voice vote).

- c. **NCAA Staff Travel, Expense, Meeting and Events Policy.** NCAA Chief Financial Officer Kathleen McNeely shared with the board a staff policy that prohibits NCAA-sponsored meetings to be conducted at hotel properties where gambling takes place. Further, that staff is required to make every effort to avoid stays at such properties when traveling for any business purpose. It was noted that with more states allowing sports wagering, the national office likely will find it difficult to locate facilities for Association

events. The board supported staff's request to eliminate this language from the staff travel policies.

7. **Update on the NCAA strategic planning process.** Reshma Patel-Jackson, Attain project lead, updated the Governors on the work of the NCAA Strategic Planning Working Group. The Governors reviewed and offered feedback on several draft components (e.g., core values, mission, vision, priorities/goals) of the plan. The Governors were informed of next steps in the process and that the working group was on schedule to present the final strategic plan to the Governors in October.
8. **NCAA Board of Governors Executive Committee report.** President Peterson reported that the Executive Committee, serving as the nominating committee, engaged in a rigorous and comprehensive process that yielded five exceptional individuals, whom will be excellent additions to the board. Chairman Peterson noted that the nominating committee recommends the following five individuals to serve on the Board of Governors:
  - a. **Ken Chenault.** Executive Chairman and Managing Director, General Catalyst Partners; Retired Chairman and Chief Executive Officer, American Express.
  - b. **Mary Sue Coleman.** President, Association of American Universities; Former member, Commission on College Basketball.
  - c. **Grant Hill.** Commentator, Turner Sports, Inc.; Vice Chairman, Atlanta Hawks; Former professional athlete; Former student-athlete.
  - d. **Denis McDonough.** Senior Principal; The Markle Foundation; Former Chief of staff to President Barack Obama.
  - e. **Vivek Murthy.** Former Surgeon General, United States Department of Health and Human Services.

It was VOTED

“That the Board of Governors approve the five individuals recommended to serve as independent members of the Board of Governors beginning August 1, 2019.” Motion approved (Unanimous voice vote)

9. **Executive Session.** The Governors concluded the meeting in executive session to discuss various administrative matters.
10. **Adjournment.** The meeting was adjourned at approximately 6 p.m.

*Board of Governors chair: G.P. “Bud” Peterson, Georgia Institute of Technology*  
*Staff liaisons: Jacqueline Campbell, law, policy and governance*  
*Donald M. Remy, law, policy and governance*

<b>NCAA Board of Governors April 30, 2019, Meeting</b>	
<b>Attendees:</b>	
Stevie Baker-Watson, DePauw University	
John DeGioia, Georgetown University.	
Philip DiStefano, University of Colorado, Boulder.	
Mark Emmert, NCAA.	
Sue Henderson, New Jersey City University.	
Blake James, University of Miami.	
Sandra Jordan, University of South Carolina Aiken.	
Eric Kaler, University of Minnesota, Twin Cities.	
Laura Liesman, Georgian Court University.	
Fr. James Maher, Niagara University.	
Tori Murden-McClure, Spalding University.	
Gary Olson, Daemen College.	
Bud Peterson, Georgia Institute of Technology.	
Nayef Samhat, Wofford College.	
Denise Trauth, Texas State University.	
Satish Tripathi, University at Buffalo, The State University of New York.	
<b>Absentees:</b>	
Eli Capilouto, University of Kentucky.	
Burns Hargis, Oklahoma State University.	
Susan Herbst, University of Connecticut.	
Ronald K. Machtley, Bryant University.	
<b>Guests:</b>	
Michael Drake, The Ohio State University.	
Briana Guerrero, Attain, LLC.	
Renu Khator, University of Houston.	
Catherine Nelson, CA Nelson Consulting, LLC.	
Reshma Patel-Jackson, Attain, LLC.	
<b>NCAA staff liaisons in attendance:</b>	
Jacqueline Campbell and Donald Remy.	
<b>Other NCAA staff in attendance:</b>	
Katrice Albert, Scott Bearby, Stephen Clar, Joni Comstock, Dan Dutcher, Nate Flannery, Kimberly Fort, Abe Frank, Terri Gronau, Brian Hainline, Kevin Lennon, Steve Mallonee, Felicia Martin, Kathleen McNeely, Stacey Osburn, Dave Schnase, Cari Van Senus, Chris Termini, Stan Wilcox and Bob Williams.	

*Report is not final until approval of the Board of Governors.*

**REPORT OF THE  
NATIONAL COLLEGIATE ATHLETIC ASSOCIATION  
BOARD OF GOVERNORS MAY 08, 2019, TELECONFERENCE**

**ACTION ITEM.**

- The Board of Governors voted to eliminate the affiliate membership category and directed the divisional governance bodies to pursue the appropriate legislative actions necessary to remove this membership category.

**INFORMATIONAL ITEMS.**

1. **Welcome and announcements.** President Bud Peterson, chair of the Board of Governors, welcomed the Governors to the call and gave a special welcome to Renu Khator, president of the University of Houston, and Michael Drake, president of The Ohio State University, both participating in their first Board of Governors meeting as active voting members. President Peterson also thanked Blake James, chair of the NCAA the Division I Council, for his service on the board as his term as chair of the Division I Council concludes at the end of June.
2. **Consent agenda.** By way of a consent agenda, the Board of Governors approved the appointment of Justice Littrell, a football student-athlete at the University of Northern Colorado, to the Board of Governors Student-Athlete Engagement Committee. The board also approved moving current committee member Nicholas Clark into the position of former NCAA Division I Student-Athlete Advisory Committee representative beginning June 1, 2019, for a one-year term.
3. **Affiliate membership category review.** The Board of Governors received an update on the comprehensive review of the affiliate membership category as requested by the board in January. It was noted that the through the years, the NCAA has conducted extensive outreach and communication with affiliate members, resulting in regular feedback to inform governance decision-making. The membership category has become outdated and could be eliminated without impacting the opportunity for collaboration and feedback, which was the original intent of the membership category. Further, due to the comprehensive NCAA licensing and marketing programs, it is recommended that any organization that seeks to use the NCAA marks should be required to go through the appropriate review process and, if approved, enter into a licensing agreement with the Association.

It was VOTED

“That the Board of Governors approve the elimination of the affiliate membership category and direct the divisional governance bodies to pursue the appropriate legislative actions necessary to remove this membership category.”

4. **Coaches Credentialing Program.** The Governors received an update on staff's work to develop a preliminary coaches' credentialing framework and the plan to pilot initial educational modules with the National Association of Basketball Coaches (NABC) and Women's Basketball Coaches Association (WBCA). The goals, targeted areas for curriculum, format and timeline for program development was shared with the Governors.
5. **NCAA Board of Governors Finance and Audit Committee report.**
  - a. Tax Return 990. The Board of Governors received the NCAA's 2017-18 Form 990, which covers the tax year September 1, 2017, through August 31, 2018.
  - b. FY 2018-19 revenues and expenses second quarter budget-to-actual. President Satish Tripathi briefly reviewed the second quarter budget-to-actual report of revenues and expenses. One variance compared to a year ago was a loss on investment earnings through the second quarter, which has been fully recovered resulting in strong investment balances at this time.
  - c. 2018 investment return update. The Governors received an update from the Finance and Audit Committee Investment Subcommittee, that included information on the subcommittee's membership, how the NCAA allocates its investments across various categories and the NCAA's performance compared to member institutions as reported in the National Association of College and University Business Officers (NACUBO) annual endowment study.
6. **Board of Governors independent members terms and committee assignments.**
  - a. Terms of independent members. To ensure rotation of the independent members, the Board of Governors Executive Committee recommended an initial staggering of terms as follows:
    - (1) One-year term, renewable for an additional three-year term: Mary Sue Coleman.
    - (2) Two-year term, renewable for an additional three-year term: Ken Chenault and Vivek Murthy.
    - (3) Three-year term, renewable for an additional three-year term: Grant Hill and Denis McDonough.
  - b. Independent members' committee assignments. The Governors were informed that the newly selected independent members of the Board of Governors voted Ken Chenault to serve as the lead independent member to serve on the Board of Governors Executive Committee. The following committee assignments were recommended for the other four independent members:
    - (1) Board of Governors Finance and Audit Committee – Mary Sue Coleman.

- (2) Independent Accountability Oversight Committee – Grant Hill, Denis McDonough and Vivek Murthy.

It was VOTED

“That the Board of Governors approve the terms and committee assignments for the five independent board members.” (Unanimous voice vote.)

7. **Membership Working Group on State and Federal Legislation.** The board was informed of recently proposed federal and state legislation related to a student-athlete’s ability to license and benefit from name, image and likeness (NIL), as well as the potential impact of such legislation on the nonprofit status of the Association and its members, and the principles of intercollegiate athletics.

It was VOTED

“That the Board of Governors approve the creation of an NCAA Federal and State Legislation Working Group with the charter and composition as recommended.” (Unanimous voice vote.)

8. **Election of chair.** The Governors unanimously voted to appoint President Michael Drake to serve as chair of the Board of Governors beginning August 1, 2019, and that he will serve as chair-elect in the interim.
9. **Adjournment.** The teleconference was adjourned at noon Eastern time.

*Board of Governors chair: G.P. “Bud” Peterson, Georgia Institute of Technology*

*Staff liaisons:*

*Jacqueline Campbell, law, policy and governance*

*Donald M. Remy, chief operating officer and chief legal officer.*



NCAA Board of Governors May 8, 2019, Teleconference	
<b>Attendees</b>	
Eli Capilouto, University of Kentucky	
John DeGioia, Georgetown University.	
Philip DiStefano, University of Colorado.	
Mark Emmert, NCAA.	
Burns Hargis, Oklahoma State University.	
Sue Henderson, New Jersey City University.	
Renu Khator, University of Houston.	
Ronald K. Machtley, Bryant University.	
Fr. James Maher, Niagara University.	
Gary Olson, Daemen College.	
Bud Peterson, Georgia Institute of Technology.	
Nayef Samhat, Wofford College.	
Denise Trauth, Texas State University	
Satish Tripathi, University at Buffalo, The State University of New York	
<b>Absentees</b>	
Blake James, University of Miami.	
Sandra Jordan, University of South Carolina Aiken.	
<b>NCAA staff liaisons in attendance</b>	
Jacqueline Campbell and Donald Remy.	
<b>Other NCAA staff in attendance</b>	
Katrice Albert, Scott Bearby, Joni Comstock, Dan Dutcher, Kimberly Fort, Terri Gronau, Kathleen McNeely, Stacey Osburn, Cari Van Senus, Stan Wilcox and Bob Williams	

*Report is not final until approval of the Board of Governors.*

**REPORT OF THE  
NCAA BOARD OF GOVERNORS  
AD HOC COMMITTEE ON SPORTS WAGERING  
APRIL 19, 2019, TELECONFERENCE**

**INFORMATIONAL ITEMS.**

1. **Welcome and introductions.** Chancellor Kent Syverud welcomed the committee members and thanked them for taking the time to join the call.
2. **Report of the NCAA Board of Governors Ad Hoc Committee on Sports Wagering March 7-8, 2019, meeting.** The ad hoc committee approved the report of its March 7-8, 2019, meeting.
3. **Player availability reporting update.**
  - a. Best practices. Tom Paskus, NCAA principal research scientist, noted that staff has identified several groups (i.e., compliance administrators, medical personnel, sports information staff) within the membership from which to gather data that will inform a best practices document. Surveys will be distributed to the membership groups in the coming weeks, with the expectation of having some data to share with the committee during its May 24 meeting.
  - b. Potential pilot program. Naima Stevenson, NCAA deputy general counsel and managing director of academic and membership affairs, reviewed with the committee questions that need consideration if the committee's continued discussions result in proceeding with the development of a pilot player availability reporting program. The committee discussed several elements of a potential reporting program and requested that staff circulate a document to assist conferences in a discussion of this topic during the upcoming spring meetings.
4. **External messaging.** Stacey Osburn, NCAA director of public relations, reviewed the media and communications landscape around the topic of sports wagering, and noted that the NCAA communications staff will continue to support the committee in its work.
5. **NCAA legislation.** Steve Mallonee, NCAA senior advisor to the vice president of academic and membership affairs, summarized the work of the Legislation and Policy Subcommittee and noted that Supplement No. 4 includes items identified by the subcommittee for further review by the ad hoc committee. It was noted that current sports wagering legislation related to NCAA championships does not apply to sponsorships of championships. The committee was asked to further review the legislation related to sports wagering and consider whether additional legislation is appropriate.
6. **Adjournment.** The teleconference was adjourned at 1:57 p.m. Eastern time.

*Committee Chair: Kent Syverud, Syracuse University*

*Staff Liaisons: Jacqueline Campbell, law, policy and governance  
Joni Comstock, championships and alliances  
Stan Wilcox, regulatory affairs*

NCAA Board of Governors Ad Hoc Committee on Sports Wagering April 19, 2019, Teleconference	
<b>Attendees:</b>	
Rachel Newman Baker, University of Kentucky.	
Gary Barta, University of Iowa.	
Nicholas Clark, Coastal Carolina University, NCAA Division I Student-Athlete Advisory Committee.	
Rob Dicks, Lagrange College.	
Chris Howard, Robert Morris University.	
Amy Huchthausen, America East Conference.	
William LaForge, Delta State University.	
Elsa Núñez, Eastern Connecticut State University.	
Pennie Parker, Rollins College.	
Desiree Reed-Francois, University of Nevada, Las Vegas.	
Terry Small, New Jersey Athletic Conference.	
Kent Syverud, Syracuse University.	
<b>Absentees:</b>	
Harry Stinson, Lincoln University (PA).	
<b>NCAA Staff Liaisons in Attendance:</b>	
Jacqueline Campbell, Joni Comstock and Stan Wilcox.	
<b>Other NCAA Staff Members in Attendance:</b>	
Randy Buhr, Steve Mallonee, Stacey Osburn, Tom Paskus, Naima Stevenson, Mark Strothkamp and Lorry Weaver.	



**REPORT OF THE  
NCAA BOARD OF GOVERNORS  
AD HOC COMMITTEE ON SPORTS WAGERING  
MAY 24, 2019, MEETING**

**INFORMATIONAL ITEMS.**

1. **Welcome and introductions.** Chancellor Kent Syverud, chair of the NCAA Board of Governors Ad Hoc Committee on Sports Wagering, welcomed the committee members to the meeting. Syverud briefly reviewed the agenda and provided an overview of the group's goals.
2. **Report of the Board of Governors Ad Hoc Committee on Sports Wagering April 19, 2019, teleconference.** The ad hoc committee approved the report of its April 19, 2019, teleconference. (Unanimous voice vote.)
3. **Survey results.** Research staff reviewed the survey methodology and common themes identified through data gathered from surveys of sports information directors/media contacts and athletic trainers in all three divisions. The surveys focused on athletics department reporting structures and policies related to player availability reporting (e.g., who sets policy, in-game injury/availability reporting, student-athlete consent policies). Staff noted that a survey directed at compliance administrators will be distributed by the end of the month to gather feedback on campus education practices.
4. **NCAA Divisions II and III conference feedback on player availability.** Staff informed the ad hoc committee that feedback from Divisions II and III conferences is limited at this time but noted there will be opportunities to further engage with Divisions II and III during upcoming meetings of the commissioners. Since not all Division I conferences have conducted their spring meetings, the committee agreed to review Division I feedback in its entirety during its June 18 teleconference.
5. **Discussion on possible player availability pilots.** The ad hoc committee continued its discussion of a possible pilot player availability reporting program. There was consensus among the ad hoc committee that any pilot reporting system would be limited to available/unavailable. The committee will provide further membership feedback on player availability reporting during its June 18 teleconference and will continue the discussion.
6. **NCAA legislation/policy discussion.** Staff reviewed legislative and policy matters identified for further discussion by the ad hoc committee.
  - a. NCAA Bylaw 10 legislation. Consistent with the Board of Governors resolution following the Supreme Court's decision to rescind the Professional and Amateur Sports Protection Act, the ad hoc committee reaffirmed support to maintain the current application and scope of NCAA legislation that prohibits student-athletes, member institutions' athletics staff and nonathletics staff with athletics responsibilities and conference office staff from

participating in sports wagering activities. In addition, the ad hoc committee agreed that editorial revisions to the current sports wagering legislation are appropriate to reflect changing technology (e.g., mobile betting) and to clarify that such legislation is applicable to team managers.

- b. Certified events and sanctioned summer league legislation. The ad hoc committee determined not to recommend modifications to relax criteria governing certified events involving prospective basketball student-athletes and sanctioned summer leagues involving current basketball student-athletes. Current criteria preclude such events from being affiliated with a venue or entity that is associated in any way with sports wagering on intercollegiate athletics. The group noted that these events are functioning sufficiently without additional exposure to sports wagering activities or entities but agreed that as more states adopt permissive state wagering laws it is appropriate to monitor the impact on venues in which such events may occur.
- c. Review of advertising and sponsorship legislation and policy. The ad hoc committee noted that current NCAA legislation governing sponsorships and advertisements from organizations associated with sports wagering applicable to NCAA championships is not consistent. Current rules preclude advertisements from organizations associated with sports wagering during NCAA championships, but do not per se preclude such organizations from being involved in sponsoring of NCAA championships. It was noted that current policies governing conduct of championships have not permitted sponsorship by any organization associated with sports wagering. The group directed the staff to review the legislative history to determine if there is support for aligning the legislation.
- d. Venues that permit sports wagering. The ad hoc committee agreed that as more states adopt permissive state wagering laws, it is appropriate to monitor whether there is a subsequent increase in venues that permit some form of sports wagering on site, and if so, whether such an increase unduly interferes with the NCAA's ability to provide a quality championship experience. It was noted that championship bid sites in most sports are secured through the 2026 championships. The ad hoc committee discussed potential approaches if there is an increase of in-venue sports wagering but took no action at this time.
- e. Suspension from non-NCAA organizations. The ad hoc committee discussed whether a suspension from a non-NCAA organization for sports wagering should apply to student-athletes participating in NCAA competition, similar to the application of NCAA legislation regarding a positive drug test from a non-NCAA organization. The ad hoc committee directed staff to draft a proposal for its review that would apply a suspension for sports wagering in a similar manner to drug testing.

**7. Internal sports working group subcommittee updates.**

- a. Education. The ad hoc committee was reminded of the subcommittee's charge of identifying and developing educational materials in support of the Association's sports wagering initiatives across key stakeholders. Staff noted accomplishments of the subcommittee over the past year, which were shared with the ad hoc committee during its March meeting. Next steps for the subcommittee include a survey of compliance administrators to gather information on campus education practices. In addition, the subcommittee continues to work on an annual education plan that includes further content development (e.g., resource video update, "Don't Bet On It" website enhancements, championship facility activations, coordination with integrity services provider) and an accompanying timeline.
- b. Officiating. The ad hoc committee was informed that the Officiating Subcommittee has begun to review the NCAA's background check program for officials being considered for NCAA championships. The subcommittee discussed staff's involvement in the review of background checks and recently removed championship staff members from the process. In addition, the administration of the background check program has been moved to the Integrity Services Subcommittee as it aligns with objectives being addressed by this subcommittee. The ad hoc committee also was reminded of the educational video created for officials, noting that there have been over 2,400 views of the video.
- c. Political Landscape. The ad hoc committee was updated on the sports wagering landscape. The group received a diagram highlighting states where sports wagering is legal and bets are accepted; states where sports wagering is legal but not yet accepting bets; states with active legislation; and states where bills have passed the legislature in 2019. The group acknowledged the importance of institutions, equipped with the recently distributed sports wagering principles, working with their state legislatures as bills are being crafted that would legalize sports wagering.

**8. Sports Wagering 101.** Jay Kornegay, Executive Vice President of Race & Sports Operations at Westgate Las Vegas SuperBook, joined the meeting via teleconference to provide a SportsBook 101 lesson. Kornegay briefly educated the ad hoc committee on how a sportsbook operates, different types of bets, how betting lines are set and things that affect the betting lines. Key takeaways for the ad hoc committee were: (1) If a competition is televised, it likely will appear on the board with a betting line; (2) How injuries/availability impact the betting line depends on the player and whether he/she is a starter or a significant contributor; and (3) That mobile wagering will be big in the United States.

**9. Adjournment.** The meeting was adjourned at approximately 2:25 p.m.

*Committee Chair: Kent Syverud, Syracuse University*  
*Staff Liaisons: Jacqueline Campbell, Law, Policy and Governance*  
*Joni Comstock, Championships and Alliances*  
*Stan Wilcox, Regulatory Affairs*

NCAA Board of Governors Ad Hoc Committee on Sports Wagering May 24, 2019, Meeting	
<b>Attendees:</b>	
Rachel Newman Baker, University of Kentucky.	
Gary Barta, University of Iowa.	
Nicholas Clark, Coastal Carolina University, NCAA Division I Student-Athlete Advisory Committee.	
Rob Dicks, Lagrange College.	
Chris Howard, Robert Morris University.	
William LaForge, Delta State University.	
Elsa Núñez, Eastern Connecticut State University.	
Pennie Parker, Rollins College.	
Desiree Reed-Francois, University of Nevada, Las Vegas.	
Terry Small, New Jersey Athletic Conference.	
Harry Stinson, Lincoln University (Pennsylvania).	
Kent Syverud, Syracuse University.	
<b>Absentees:</b>	
Amy Huchthausen, America East Conference.	
<b>NCAA Staff Liaisons in Attendance:</b>	
Jacqueline Campbell, Joni Comstock and Stan Wilcox.	
<b>Other NCAA Staff Members in Attendance:</b>	
Randy Buhr, Steve Mallonee, Stacey Osburn, John Parsons, Tom Paskus, Naima Stevenson, Mark Strothkamp and Lorry Weaver.	

### **BOARD OF GOVERNORS FEDERAL AND STATE LEGISLATION WORKING GROUP**

**Composition:** The Federal and State Legislation Working Group shall consist of 18 members, including 12 Division I, three Division II and three Division III members, named by the Board of Governors.

**Charge:** Federal and state legislators have introduced legislation about a student-athlete's ability to license and benefit from name, image and likeness (NIL) during the period of NCAA eligibility. Federal legislation threatens the non-profit status of the Association and its members and state legislation threatens to create local differences that would make it impossible to host fair national championships and to alter materially the principles of intercollegiate athletics. These initiatives necessitate conversations and agreements about how the membership should respond to the legislative proposals. To facilitate the dialogue, the NCAA Board of Governors and the President of the NCAA established the Board of Governors Federal and State Legislation Working Group to study whether the Association should maintain its position in opposition to the legislation and/or work to develop a process whereby a student-athlete's NIL could be monetized in a fashion that still would be consistent with the NCAA's core values, mission and principles.

**Staff Liaisons:** Stan Wilcox (Lead), Scott Bearby, Dawn Buth, Abe Frank, Dave Schnase and Cari Van Senus

**Co-Chairs:** Val Ackerman and Gene Smith

**Board of Governors Representative:** John DeGioia, Georgetown University

DIVISION	POSITION	NAME AND INSTITUTION
I	President	Jere Morehead, University of Georgia
I	President	Rita Cheng, Northern Arizona University
I	President	Tim White, California State University
I	Commissioner	Bob Bowlsby, Big 12 Conference
I	Commissioner	Val Ackerman, Big East Conference
I	AD	Jill Bodensteiner, Saint Joseph's University
I	AD	Rick George, University of Colorado
I	AD	Carla Williams, University of Virginia
I	AD	Gene Smith, The Ohio State University
I	FAR	Don Bruce, University of Tennessee
I	SWA	Carolayne Henry, Mountain West Conference
I	Student-athlete – Male	Brandon Lee, University of Missouri
I	Student-athlete – Female	Lauren Cox, Baylor University
II	President	Glen Jones, Henderson State University
II	Commissioner	Jacqie McWilliams
II	Compliance	Scott Larson, Lubbock Christian
III	President	Mary Beth Cooper, Springfield College
III	AD	Daryl Sims, University of Wisconsin - Oshkosh
III	Student-athlete	Jackson Erdmann, Saint John's University





**NCAA BOARD OF GOVERNORS  
FEDERAL AND STATE LEGISLATION WORKING GROUP**

- 1. Charge.** Federal and state legislators have introduced legislation about student-athletes' ability to license and benefit from their name, image and likeness during their period of NCAA eligibility. Federal legislation threatens the nonprofit status of the Association and its members, and state legislation threatens to create local differences that would make it impossible to host fair national championships and to alter materially the principles of intercollegiate athletics. These initiatives necessitate conversations and agreements about how the membership should respond to the legislative proposals. To facilitate the dialogue, the NCAA Board of Governors and the president of the NCAA have established the Board of Governors Federal and State Legislation Working Group to study whether the Association should maintain its position in opposition to the legislation and/or work to develop a process whereby a student-athlete's NIL could be monetized in a fashion that would be consistent with the NCAA's core values, mission and principles. Specifically, the working group is directed as follows:
  - a.** Consider whether modifications to NCAA rules, policies and practices should be made to allow for NIL payments.
  - b.** Be mindful that NIL payments must not be compensation for athletics participation. Paying students as employees for play is anathema to the NCAA mission focused on students competing against students and is not part of this discussion.
  - c.** Assure that any proposed solutions keep in mind that student-athlete benefits must be tethered to educational expenses or incidental to participation.
  - d.** Examine whether any modifications to allow for NIL payments, beyond what the 9th U.S. Circuit Court of Appeals required in *O'Bannon* and other court rulings, would be achievable and enforceable without undermining the distinction between professional sports and collegiate sports.
  - e.** Preserve the ability to host fair interstate competitions and national championships.

For its final report, the BOG requests the working group produce a set of Association-wide principles to provide each division guidance to a consistent approach on legislation related to NIL payments. It is noted that the principles also may include a rationale as to whether this would be plausible in keeping with the Association's mission.

The working group should provide an update to the Board of Governors and the NCAA president Aug. 6 and deliver a report Oct. 29.

- 2. Composition.** The Federal and State Legislation Working Group shall consist of 18 members, including 12 Division I, three Division II and three Division III members. The recommended distribution of members is as follows:
  - a. Division I members (12).**

- (1) Two presidents — One Board of Governors member from an autonomy conference and one Football Championship Subdivision president.
- (2) Two commissioners — One from an autonomy conference and one from a basketball conference.
- (3) Four directors of athletics — Two from autonomy conference schools, one from a non-football school and one from an FCS school.
- (4) One faculty athletics representative.
- (5) One senior woman administrator.
- (6) Two student-athletes — One male and one female.

**b. Division II members (three)**

- (1) President.
- (2) Commissioner.
- (3) Compliance officer.

**c. Division III members (three)**

- (1) President.
- (2) Director of athletics/student affairs administrator.
- (3) Student-athlete.

Note: The working group will engage external subject matter experts and NCAA membership groups as necessary to carry out its duties.

**3. Staff Liaisons.**

Scott Bearby, general counsel.

Abe Frank, managing director of government relations.

Dave Schnase, vice president of academic and membership affairs.

Cari Van Sensus, vice president of policy and chief of staff.

Stan Wilcox, executive vice president of regulatory affairs.



**REPORT OF THE NCAA BOARD OF GOVERNORS  
STUDENT-ATHLETE ENGAGEMENT COMMITTEE  
MAY 29, 2019, TELECONFERENCE**

**ACTION ITEMS.**

**1. Legislative Items.**

- None.

**2. Nonlegislative Items.**

- None.

**INFORMATIONAL ITEMS.**

- 1. Welcome and announcements.** Taylor Ricci, chair of the NCAA Board of Governors Student-Athlete Engagement Committee, welcomed the committee members and thanked them for taking the time to participate on the call. Ricci noted that several NCAA staff members would be joining the call to present information related to items on the agenda.
- 2. Report of March 1, 2019, teleconference.** The committee approved the report of its March 1, 2019, teleconference.
- 3. Student-Athlete Engagement Committee Social Media Campaign Takeaways.** Yannick Kluch provided the committee with information regarding the April 17-18 Student-Athlete Engagement Committee Social Media Campaign to promote awareness and provide resources to address issues surrounding sexual violence. The committee was informed that the two-day social media campaign was a great success and reached 29 million people and included 5,300 hashtag mentions on social media. Additionally, the content of the campaign was diverse and included videos, photographs, statistics, campus initiatives and calls to action. The committee also was informed that the NCAA Minority Opportunities and Interests Committee will be leading a diversity and inclusion social media campaign again this fall.
- 4. NCAA Board of Governors report.** The committee received an update on the Board of Governors April meeting and May teleconference. The committee was informed that the Board of Governors voted to table the e-sports discussion topic. Additionally, the Board of Governors endorsed as Association-wide policy the Interassociation Recommendations: Preventing Catastrophic Injury and Death in Collegiate Athletes. These recommendations resulted from the work of the NCAA Sport Science Institute in collaboration with the NCAA Committee on Competitive Safeguards and Medical Aspects of Sports and other outside medical experts. The Governors rescinded the Association's policy that prohibited the conduct of NCAA championships in states that permit single-game sports wagering, now allowing NCAA championships to occur in any state with legalized sports wagering, including Nevada. The Association-wide strategic planning process is ongoing, with a

final presentation to the Board of Governors scheduled in October 2019. Finally, the Governors appointed five independent members to the Board, effective August 1, 2019, with new member orientation occurring over the next couple of months.

5. **NCAA Coaches Credentialing discussion.** The committee received an update on the NCAA Coaches Credentialing program, which will include key topics such as student-athlete well-being, NCAA policies, rules and processes and coaching education. The committee was informed that the coaches credentialing program will include an Association-wide level of credentialing and continuing education for coaches. The NCAA will pilot the program this fall in collaboration with the National Association of Basketball Coaches and the Women's' Basketball Coaches Association. The pilot will include two modules: mental health awareness and sexual violence prevention. The Board of Governors will review feedback from the pilot program and will continue to develop content and curriculum to build a foundation for the coaches credentialing program.
6. **NCAA Board of Governors Federal and State Legislation Working Group discussion.** The committee received an update regarding the newly created NCAA Board of Governors Federal and State Legislation Working Group charged with discussing the pros and cons of accommodating name, image and likeness monetization within the amateur model and consistent with the general student experience.
7. **Sports wagering – player availability reporting update.** The committee was updated on the work of the NCAA Board of Governors Ad Hoc Committee on Sports Wagering and the continuing discussion of the feasibility of player availability reporting. Nicholas Clark, who serves as a representative on the Ad Hoc Committee on Sports Wagering, discussed the committee's key priorities to maintain the integrity of competition and student-athlete well-being.
8. **Strategic priorities.** The committee approved a request from the One Love Foundation to serve as a member of the One Love 2020 Sports Challenge Honorary Host Committee, which includes a commitment to assist the One Love Foundation in their year-long campaign and sports challenge. The campaign challenges every Division I, II, and III institution to host at least one One Love Workshop by May 3, 2020 and will culminate with the One Love 2020 Sports Summit hosted by the University of Virginia in June 2020. The committee's role as a member of the One Love 2020 Sports Challenge Honorary Host Committee will be one of its strategic priorities for the 2019-20 academic year.
9. **Other Business.** The committee thanked Ricci for her service as chair of the committee. The committee elected Nicholas Clark as the new committee chair.
10. **Adjournment.** The teleconference was adjourned at 1:02 p.m. Eastern time.

*Committee Chair: Taylor Ricci, Oregon State University, Division I Student-Athlete Advisory Committee*  
*Staff Liaisons: Mark Bedics, Championships and Alliances*  
*Todd Shumaker, Enforcement*  
*Danielle Ghiloni Walter, Academic and Membership Affairs*

<b>NCAA Board of Governors Student-Athlete Engagement Committee May 29, 2019, Teleconference</b>	
<b>Participants:</b>	
Amanda Carroll, Florida Gulf Coast University, NCAA Division I Student-Athlete Advisory Committee.	
Nicholas Clark, Coastal Carolina University, Division I SAAC.	
Annabelle Feist, Williams College, NCAA Division III SAAC.	
Sue Henderson, New Jersey City University, NCAA Board of Governors.	
Maisha Kelly, Bucknell University, NCAA Division I Council.	
Colby Pepper, Covenant College, Division III SAAC.	
Taylor Ricci, Oregon State University, Division I SAAC.	
<b>Absentees:</b>	
Grant Foley, Delta State University, NCAA Division II SAAC.	
Jessica Koch, California State University, San Bernardino, Division II SAAC.	
Michael Rubayo, Swarthmore College, Division III SAAC.	
Joshua Shapiro, Colorado Mesa University, Division II SAAC.	
<b>NCAA Staff Liaisons in Attendance:</b>	
Mark Bedics, Todd Shumaker and Danielle Ghiloni Walter	
<b>Other NCAA Staff in Attendance:</b>	
Scott Bearby, Jackie Campbell, Yannick Kluch, Naima Stevenson and Cari Van Senus.	

**REPORT OF THE  
NCAA BOARD OF GOVERNORS COMMITTEE  
TO PROMOTE CULTURAL DIVERSITY AND EQUITY  
APRIL 27, 2019, MEETING**

**ACTION ITEMS.**

- None.

**INFORMATIONAL ITEMS.**

1. **Welcome and introductions.** Katrice Albert, NCAA executive vice-president of inclusion and human resources, welcomed participants to the meeting and to the eighth annual NCAA Inclusion Forum. Albert expressed gratitude for President Dianne Harrison's leadership, noting that Harrison's tenure as chair would conclude on August 31, 2019.

For the first time ever, all four of the NCAA's equity, diversity, and inclusion committees were represented at the same meeting to engage about their major initiatives. These committees serve as the "Force of Four," working together to achieve inclusive excellence for the membership.

2. **Approval of February 21, 2019, teleconference report.** The committee approved its February 21, 2019, teleconference report.
3. **Engagement with NCAA research on how available data can impact and support committee goals.** The committee briefly discussed the article "NSIA Looks to Diversify Intercollegiate Sports," from *Diverse Issues in Higher Education*. The committee's three major goals connect directly to the efforts described in the article. NCAA staff will engage in follow-up related to the article.

NCAA staff informed the committee that the NCAA inclusion and human resources staff is working on a Presidential Pledge website platform that will feature both membership and national office leadership demographic data. The goal is to launch this site in fall 2019. In addition, NCAA Champion magazine will begin running a regular feature in summer 2019 on member schools and conferences that are acting in meaningful, impactful ways on the Presidential Pledge and striving for inclusive excellence.

It was clarified that this meeting would focus on membership data, and that during the August teleconference, the committee would review national office demographic data. NCAA research staff presented data slides to the committee that addressed the following areas:

- a. **Available data for current membership and national office leadership demographics.** The committee viewed demographic data on race/ethnicity and gender for student-athletes, athletics directors, coaches, and conference commissioners for 2014 and 2018. The committee observed the increasing racial and ethnic diversity of student-athletes. In

contrast, the data generally showed stagnation or regression for ethnic minorities and women in leadership positions. The committee requested that demographic data for the Presidential Pledge website platform include data for each division. It also noted the value of presenting both quantitative data and qualitative analysis that demonstrate the challenges of diversifying intercollegiate athletics leadership. In addition, the committee emphasized the importance of presenting demographic data to presidents and chancellors.

Additional key topics of discussion included the number of women coaches being fired based on standards of behavior that are more stringent than those for male coaches, as well as the number of female student-athletes who enter college having had only male coaches. It was noted that the Women's Sports Foundation is collecting data on women coaches and reasons why they are being removed from or choosing to leave positions.

- b. Turnover data for athletics director and head coach positions.** The committee learned that recent outreach to the NCAA membership resulted in a fuller data set for coaches that includes start dates in positions. Moving forward, reliable data will be available to examine both turnover and replacement for the positions of head coaches and athletics directors. The committee requested that this data also be reviewed for minority-serving institutions.
- c. NCAA governance structure data.** The committee considered the nominating process for committees by division, noting that in Division I, conferences have the power to change the nomination slate that is proposed by the NCAA Division I Nominating Committee. The committee discussed the value of a study of the governance structure with a focus on both the processes for committee appointments and the diversity of representation.

Another topic of discussion was the dimensions of diversity that are tracked for both leadership positions and committee representation. Currently, there is not an opportunity for the membership to identify as persons with disabilities or as LGBTQ when demographic information is submitted to the NCAA. The committee acknowledged the difficulties of expanding data collection but encouraged NCAA Research to consider possibilities for methodologies that would overcome challenges.

- 4. Updates from NCAA equity, diversity and inclusion committees.** The committee received updates from the NCAA equity, diversity and inclusion committee chairs.
  - a. NCAA Minority Opportunities and Interests Committee.** MOIC Chair Anthony Grant provided an update and overview of the committee's initiatives.
    - (1) Athletics Diversity and Inclusion Designee.** The committee recommended legislation that would require each member school and conference office have an Athletics Diversity and Inclusion Designee. The staff member in this role would serve as the

main contact for the school for information about NCAA equity, diversity, and inclusion initiatives and resources. The NCAA Division I Council voted to consider this legislation at its spring 2020 meeting, and the Divisions II and III Management Councils recommended that their respective Presidents Councils sponsor the proposal for a vote at the 2020 NCAA Convention.

(2) NCAA Diversity and Inclusion Social Media Campaign. In partnership with MOIC and the three divisional Student-Athlete Advisory Committees, the NCAA office of inclusion developed the NCAA Diversity and Inclusion Social Media Campaign during the first week of October 2018. The campaign was a tremendous success with the hashtag #NCAAIInclusion ranking eighth nationally on Twitter during the week.

(3) Research surveys. The committee engaged with NCAA research staff about opportunities to add questions to existing surveys that are distributed to student-athletes on the national level. The committee continues to have interest in data about coaches' persistence in the profession and how the race and ethnicity of the coach factors into new job opportunities after a coach leaves a position or is fired.

**b. NCAA Committee on Women's Athletics.** CWA Chair Julie Cromer Peoples provided an update and overview of the committee's initiatives.

(1) NCAA Emerging Sports for Women. The chair informed the Committee to Promote Cultural Diversity and Equity that CWA oversees the NCAA Emerging Sports for Women program and engages annually in a review process of submitted emerging sport proposals from August to April. At its April 2019 meeting, the committee reviewed proposals from acrobatics & tumbling, STUNT, and wrestling. The committee will inform the leadership of these sports by early June regarding whether the sport has been recommended for the emerging sport list.

(2) Sexual violence prevention education. During its September 2018 and April 2019 meetings, the committee engaged with NCAA staff who lead the Association's efforts on sexual violence prevention and education. The committee discussed educational opportunities and plans to continue dialogue about possible accountability measures.

**c. CWA and MOIC joint meeting.** Chairs Cromer People and Grant reported on discussion topics from the April 25, 2019, joint CWA and MOIC meeting.

(1) Esports. NCAA staff provided an overview about the current collegiate Esports scene to CWA and MOIC. The committees posed some fundamental questions about eSports: 1) Does it fit the NCAA definition of a sport? 2) Does it align with the values of the NCAA? 3) What are the possible negative impacts on gender equity and Title IX



compliance? The committees expressed concern about the misogyny, sexism, and violence that are present in some games. The committees also had dialogue about where Esports programs are currently located on campuses, noting that they are often located outside of athletics departments.

(2) Optimization of the Senior Woman Administrator Designation initiative. The committee received an overview and update of the Optimization of the Senior Woman Administrator Designation initiative, including the October 2018 release of two educational resources: “What is the SWA” and “Are you maximizing the SWA’s impact.” In addition, SWA video spotlights were emailed to the membership and released on social media in April 2019. One of the goals of the initiative is to increase the representation of ethnic minority women in the SWA designation. The committees were engaged in small group dialogue to brainstorm about barriers to and opportunities for achieving this goal. This dialogue will inform future action strategies for the initiative.

**d. NCAA Gender Equity Task Force.** Co-chairs Noreen Morris and Judy Sweet reported on progress on the task force’s recommendations. The task force’s recommendation that Division I legislate a once-in-five year diversity, equity and inclusion review for member schools has been approved by the NCAA Division I Council. The legislative proposal will go before the NCAA Division I Board of Directors in early May. The Division I Council has requested a study on how a diversity, equity and inclusion review would work at the conference level. The task force’s recommendations are in progress or completed.

**5. Updates about major IHR initiatives.** The committee received additional updates on IHR initiatives.

- a. 2019 NCAA Inclusion Forum.** The previous Inclusion Forum registration record of 519 attendees was eclipsed, including a record number 136 students, which comprised 25% of participants.
- b. NCAA Board of Governors.** Five independent members were added to the Board of Governors. A diverse slate of very qualified candidates has been reviewed by the BOG Executive Committee and five will be presented to the full BOG for a vote during its April 30 meeting.
- c. NCAA leadership development.** Following a comprehensive review of leadership development programming with a focus on outcomes, a new model for programming will be launched in the 2019-20 academic year. The model will center on the pipeline for career advancement through programming that will help future and current leaders in intercollegiate athletics to launch, build and advance.

- d. **Presidential Pledge “road tour.”** Albert described how she is visiting conference spring and fall meetings to engage with leaders on ways to advance the Presidential Pledge and to achieve inclusive excellence.
  - e. **Division I strategic plan foundational goal.** Division I’s strategic plan foundational goal on diversity: NCAA office of inclusion staff continues to engage with Division I to develop actions to support their diversity and inclusion goals.
  - f. **Partnerships with affiliate groups.** IHR is sponsoring the keynote address and a major track of programming at the May 2019 National Conference on Race and Ethnicity in Higher Education. IHR continues to build and enhance relationships with organizations that have similar equity, diversity and inclusion goals. The committee recommended connecting with organizations such as the Association of Governing Bodies to facilitate communication with key decision makers in higher education.
6. **Discussion on NCAA Board of Governor’s funding to support committee goals.** The committee received a brief update on current programming initiatives funded in the current fiscal year: Achieving Communication series; the National Association of Collegiate Directors of Athletics McLendon Summit; Minority Coaches series for women’s basketball, track and field and women’s volleyball; Leadership Education Series; Leadership Pipeline Platform; and CoSIDA minority grant.
- The committee was informed that \$65,000/\$75,000 in the current budget cycle is available for additional initiatives as part of the realignment of the 2019-2020 budget. The committee endorsed the concept of these funds supporting the “road tour” engagement with presidents/chancellors and conferences on the Presidential Pledge; the development of additional videos for the Leadership Profile Platform; a review of the nomination processes and demographics for the membership’s governance structure; and the completion of a diversity and inclusion hiring guide.
7. **Discussion on committee goals.** The committee requested that NCAA staff continue to gather and analyze demographic data for the membership, the governance structure, and the national office with focus on turnover data for race/ethnicity and gender. In addition, the committee observed that many of its goals are in progress and directly reflected in work driven by the other three equity, diversity, and inclusion committees as well as through IHR initiatives. The committee will prioritize goals for 2019-20 during its August teleconference.
8. **Review previous request regarding the NCAA Confederate Flag Policy’s impact on hosting championships.** The committee concurred that the leadership at membership schools that are impacted by the NCAA Confederate Flag Policy is best positioned to engage with state legislatures regarding Confederate flag policies if they choose to do so. It was noted that there is a proposal in Mississippi to consider an alternative version of the state flag.

9. **Honor committee members who will conclude their service on August 31, 2019.** The committee thanked Tori Murden McClure, Jacqie McWilliams and Leslie Wong for their service.
10. **Discussion on recommendations for new committee members.** NCAA staff will provide more details about the committee's new member needs and ask for recommendations through email.
11. **Future meeting dates.** NCAA staff will communicate through email to determine availability for an August 2019 teleconference.
12. **Adjournment.** The meeting adjourned at 9:15 p.m.

*Committee chair:* Dianne Harrison, Cal State University, Northridge  
*Staff liaisons:* Katrice Albert, Office of Inclusion and Human Resources  
 Amy Wilson, Office of Inclusion

NCAA Committee to Promote Cultural Diversity and Equity April 27, 2019, Meeting	
<b>Attendees:</b>	
Dylan Gladney, Prairie View A&M University.	
Dianne Harrison, California State University, Northridge.	
Brit Katz, Millsaps College.	
Mark Lombardi, Maryville University.	
Tori Murden McClure, Spalding University.	
Jacqueline McWilliams, Central Intercollegiate Athletic Association.	
Faynesse Miller, Hamline University.	
Leslie Wong, San Francisco State University.	
<b>Absentees:</b>	
Satish Tripathi, University at Buffalo, The State University of New York.	
<b>Guests in Attendance:</b>	
Anthony Grant, Noreen Morris, Julie Cromer Peoples, and Judy Sweet.	
<b>NCAA Staff Liaisons in Attendance:</b>	
Katrice Albert and Amy Wilson.	
<b>Other NCAA Staff Members in Attendance:</b>	
Erin Irick, Jean Merrill and Tiana Myers.	

**NCAA Government Relations Report**

(June 2019)

**Congressional Overview**

The 116<sup>th</sup> Congress continues to be challenged by gridlock. A divided Congress, along with a presidential election cycle that is in full swing, have contributed to an ongoing stalemate between the U.S. House and Senate. It is expected that over the summer Congress will focus on laying the groundwork for budget and appropriations decisions that will need to be made before the end of the fiscal year in September. Current government funding runs out on September 30<sup>th</sup> and Congress needs to tackle the debt ceiling and budget caps as well. There is also a growing desire among House Democrats to increase oversight activity, which could continue to disrupt Congress' legislative agenda.

**Federal Issues**

**Student Athlete Equity Act**

On March 14, 2019, Rep. Mark Walker (R-NC) introduced H.R. 1804, the Student Athlete Equity Act. H.R. 1804 would amend the Internal Revenue Code of 1986 by removing the tax-exempt status of any qualified amateur sports organization that substantially limits a student-athlete's ability to earn compensation from use of their name, image or likeness. The proposal currently has two cosponsors, Rep. Cedric Richmond (D-LA) and Rep. John Ratcliffe (R-TX), and has been referred to the House Ways & Means Committee.

NCAA government relations staff will continue its outreach to policymakers to share concerns with the legal and practical implications of this bill.

**Sports Betting**

NCAA government relations staff have been working with the professional sports leagues and other stakeholders to seek introduction of a bipartisan sports betting proposal. The NCAA and others are seeking introduction of a bill that contains many of the standards that were included in the Sports Wagering Market Integrity Act of 2018, which was introduced by Sen. Charles Schumer (D-NY) and former Sen. Orrin Hatch during the 115<sup>th</sup> Congress. Under the bill, states that decided to legalize sports betting would be required to adhere to important core competencies. These competencies include: a minimum age requirement of 21 for individuals placing bets; the prohibition of bets placed by athletes, coaches, officials and others associated or credentialed by a sports organization; the restriction of certain types of risky bets from being offered to bettors; and the requirement that official sports organization data be used. The proposal also created a National Sports Wagering Clearinghouse to receive reports of suspicious activity, disseminate best practices, operate a national repository of sports wagering data and provide technical assistance and consultation.

With the continued growth of sports wagering throughout the country, the NCAA and professional sports leagues have educated policymakers on the need for introduction and passage of a federal sports betting proposal to protect student-athletes, eliminate illegal sports betting and uphold the integrity of amateur and professional sporting contests.

### **National Collegiate Athletics Accountability Act**

On May 10, 2019, Rep. John Katko (R-NY) introduced H.R. 2672, the National Collegiate Athletics Accountability Act. The bill would amend the Higher Education Act of 1965 to provide increased accountability of nonprofit athletic associations and to establish a commission to identify and examine issues of national concern related to intercollegiate athletics. H.R. 2672 currently has one co-sponsor, Rep. Bobby Rush (D-IL), and has been referred to the House Committee on Education and Labor.

### **National Collegiate Athletics Act of 2019**

On April 2, 2019, Rep. Al Lawson (D-FL) introduced H.R. 2036, the National Collegiate Athletics Act of 2019. The bill would amend the Higher Education Act of 1965 and prevent colleges and universities from being a member of an intercollegiate athletic association that fails to comply with the requirements under the Act. The proposal would require institutions to provide 2-year scholarships to freshman men's basketball and football student-athletes that cover the full cost of attendance. These scholarships would only be revocable for specified reasons, including a violation of the student code of conduct or failing to meet the academic standards set by the institution. Institutions would be required to provide a need-based scholarship to men's basketball and football student-athletes who are no longer on an athletic scholarship at the school, enabling the student to complete the necessary coursework to obtain an associate or bachelor's degree. Finally, the proposal would require institutions to cover any medical costs incurred as a result of a sports-related injury for men's basketball or football student-athletes. H.R. 2036 currently has nine cosponsors and has been referred to the House Committee on Education and Labor.

### **Sen. Chris Murphy (D-CT) – White Paper on Student-Athlete Compensation**

In early April 2019, Sen. Chris Murphy (D-CT) released a report titled "Madness, Inc.: How is everyone getting rich off college sports – except the players". The report highlights the size, scope and nature of college sports and suggests that the NCAA must begin to put student-athletes first by finding a way to fairly compensate them. Media reports suggest that this white paper is the first of a series that Sen. Murphy plans to release related to college athletics.

## **State Issues**

### **Fair Pay to Play Act**

On February 4, 2019, California State Senator Nancy Skinner (D) introduced SB 206, the Fair Pay to Play Act. The proposal would prevent a California postsecondary educational institution from enforcing a rule that prevents a student-athlete from being compensated for use of their name, image or likeness. Further, the proposal – which has an implementation date of January 2023 - states that the NCAA or an athletic conference, shall not prevent a postsecondary educational institution from participating in intercollegiate athletics if a student athlete is compensated for the use of their name, image or likeness. SB 206 was passed by the Senate on May 22, 2019 and approved by the Assembly Arts, Entertainment, Sports, Tourism and Internet Media Committee on June 25, 2019. The bill will next move to the Assembly Higher Education Committee for consideration.

In June 2019, President Emmert penned a letter to the Chairman of the Assembly Higher Education Committee and the Chairman of the Assembly Arts, Entertainment, Sports, Tourism and Internet Media Committee notifying them of the creation of the NCAA Board of Governors Federal and State Working Group and requesting the Assembly postpone hearing SB 206 until the work of the committee has been completed.

### **Sports Betting**

The legalization of sports betting has continued to be a hotly debated topic in state capitols throughout the country. Currently, eight state are accepting wagers on athletics competitions (DE, MS, NV, NJ, NM, PA, RI, WV). Sports betting has also been legalized in Arkansas, Indiana, Iowa, Montana, New York, Oregon, Tennessee and Washington D.C. and regulations are currently being developed. Legislation legalizing sports betting has been passed in Illinois, New Hampshire, Colorado, Connecticut and Maine, however, regulations have not been developed and wagering will not begin until additional action is taken by the Governor, voters or legislature.

NCAA government relations staff has continued to work closely with the professional sports leagues to educate policymakers about the risks associated with legalized sports betting and the need for standards that protect the integrity of athletics competitions and the well-being of student-athletes and professional athletes. Specifically, the NCAA and professional sports leagues have requested that regulations prohibit wagering by persons under 21 years of age and by athletes, coaches, referees or employees of an amateur or professional sports organization. Similar to efforts on the federal level, the NCAA has advocated for states to allow sports organizations to restrict, limit or exclude certain types of risky bets from being offered to bettors.

### **Revised Uniform Athlete Agents Act**

The Revised Uniform Athlete Agents Act (RUAAA) is a state model law designed to provide protections for student-athletes and education institutions through the regulation of athlete agents. The RUAAA updates the original act by expanding the definition of athlete agent, requiring agent notification to an institution before communicating with a student-athlete to induce them to sign an agency contract and creating a registration process that provides reciprocity for agents registered in other states. The RUAAA has been adopted in 13 states.

The RUAAA contains a provision that prohibits an athlete agent from providing anything of value to a student-athlete to induce them to enter into an agency agreement. This provision would prevent an agent from covering limited expenses for meals, hotel and travel related to the agent selection process, as allowed under recently adopted NCAA legislation. As a result, the NCAA is supporting passage of the RUAAA with an amendment that would allow athlete agents to cover these limited expenses. The amendment has been approved in six states (AL, IN, KY, OR, TN, UT).

### **Higher Education Associations**

NCAA government relations staff continues to build strong relationships with various higher education associations. The American Council on Education (ACE), the Association of Public and Land-grant Universities (APLU) and the National Association of Colleges and University Business Officers (NACUBO), among others, continue to provide guidance and support on issues of common interest. The NCAA government relations office looks forward to continuing these mutually beneficial relationships to better formulate and further the NCAA's legislative goals.



MEMORANDUM

June 30, 2019

VIA EMAIL

TO: NCAA Affiliate Members.

FROM: Jackie Campbell  
NCAA Managing Director of Law, Policy and Governance.

SUBJECT: NCAA Board of Governors Recent Action Related to the Affiliate Membership Category.

This is to inform you that the NCAA Board of Governors recently directed each division's governance entity to pursue appropriate legislative action to eliminate the affiliate membership category as set forth in Constitution 3.4. The recommended elimination of the affiliate membership category in no way is designed to change the relationship that you have with the NCAA. It is anticipated that each division will consider taking action to eliminate the category as early as this summer through the approval of noncontroversial legislation.

***Why is the Board of Governors recommending the elimination of the affiliate membership category?***

The affiliate membership category was created in the early years of the Association primarily to provide a voice at the NCAA Convention for outside groups and associations intimately related to intercollegiate athletics in their functioning and purpose. At the 1980 NCAA Convention, legislation was adopted to permit affiliate members to use the registered marks of the Association in accordance with guidelines established by the former NCAA Council. The annual membership fee currently is \$500, which includes as a benefit the use of NCAA marks.

The Board of Governors believes the affiliate membership category has become outdated and its elimination will not impact the opportunity for such members to collaborate with and provide feedback to the NCAA on issues deemed to be of importance to its constituents. In fact, it has become common practice for the NCAA to conduct extensive outreach and communication with affiliate members to provide feedback to assist it in making informed governance decisions. Communication and collaboration with these groups on issues impacting the sports in which they are affiliated will continue. Further, the Board of Governors noted that the value of the NCAA brand has increased significantly over time and any organization seeking to use NCAA marks should petition for such use through the required review process and, if approved, enter into a licensing agreement with the Association.

***What does the elimination of the affiliate membership category mean for current affiliated members?***

If legislation is approved to eliminate the affiliate membership category, members will no longer be billed an annual \$500 membership fee. Affiliate members currently using NCAA marks may continue to do so only until July 31, 2019. Effective August 1, 2019, groups and associations outside the NCAA who wish to use NCAA marks may seek approval to enter into a licensing agreement with the

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*Creating a pathway to opportunity for college athletes*

The NCAA is an equal opportunity employer that values inclusive excellence in the workplace.



Association. Further, the NCAA will continue its formal outreach and communication with such members to gather important feedback on important issues impacting the sports in which they are affiliated. [Note: The elimination of the affiliate membership category will not impact the ability of the National Association of Men's Basketball Coaches (NABC) and the Women's Basketball Coaches Association (WBCA) to sponsor qualifying regular-season multiple-team events (MTEs) pursuant to NCAA Bylaw 17.3.5.1.1.]

In addition, a new category will be created for NCAA Convention registration purposes for current affiliate members to ensure that you continue to pay the same registration fee you would have paid as an affiliate member. You will be informed of the category name when you receive notice of membership action, if it results in the elimination of the affiliate membership category.

***How will I be notified if the affiliated membership category is eliminated?***

You will receive notice from the national office regarding formal action taken by the divisional governance bodies. If you wish to provide any feedback regarding the Board of Governors recommendation prior to consideration by the divisional governance bodies, please feel free to contact me ([jgcampbell@ncaa.org](mailto:jgcampbell@ncaa.org)).

JGC:ld

cc: Selected NCAA Staff



## NCAA Sport Science Institute and Committee for Competitive Safeguards and Medical Aspects of Sport

### Strategic Priorities Timeline

Last Update: July 2019

**NOTE:** Dates are estimates and may change in response to external factors

Strategic Priority	Initiative	Summary	Anticipated Deliverable	Estimated Timeline
<b>Preventing Catastrophic Injury and Death</b>	Safety in College Football Summit 2016	<p>Review has taken place through the Uniform Standards of Care Process with membership input and updates.</p> <p>Document has been endorsed by CSMAS and 13 medical organizations.</p> <p>Final document to be presented to Board of Governors in April 2019.</p>	Present to BoG for consideration as Association-wide policy.	<p><b>Event date:</b> Jan. 2016</p> <p><b>Document drafting:</b> complete</p> <p><b>Membership &amp; external review:</b> complete</p> <p><b>External review &amp; endorsement:</b> complete</p> <p><b>BoG final review and recommendations:</b> complete</p> <p><b>Final deliverable:</b> July 9, 2019</p>
<b>Athletics Health Care Administration</b>	NATA-NCAA Summit on the Organizational and Administrative Aspects of Athletic Health Care in College / University Settings	This project has been transitioned into several separate projects focusing on various aspects of independent medical care.		<b>Event date:</b> Jan. 2017
	Independent Medical Care – Access to Care			<b>Initial BoG Review:</b> May 2019

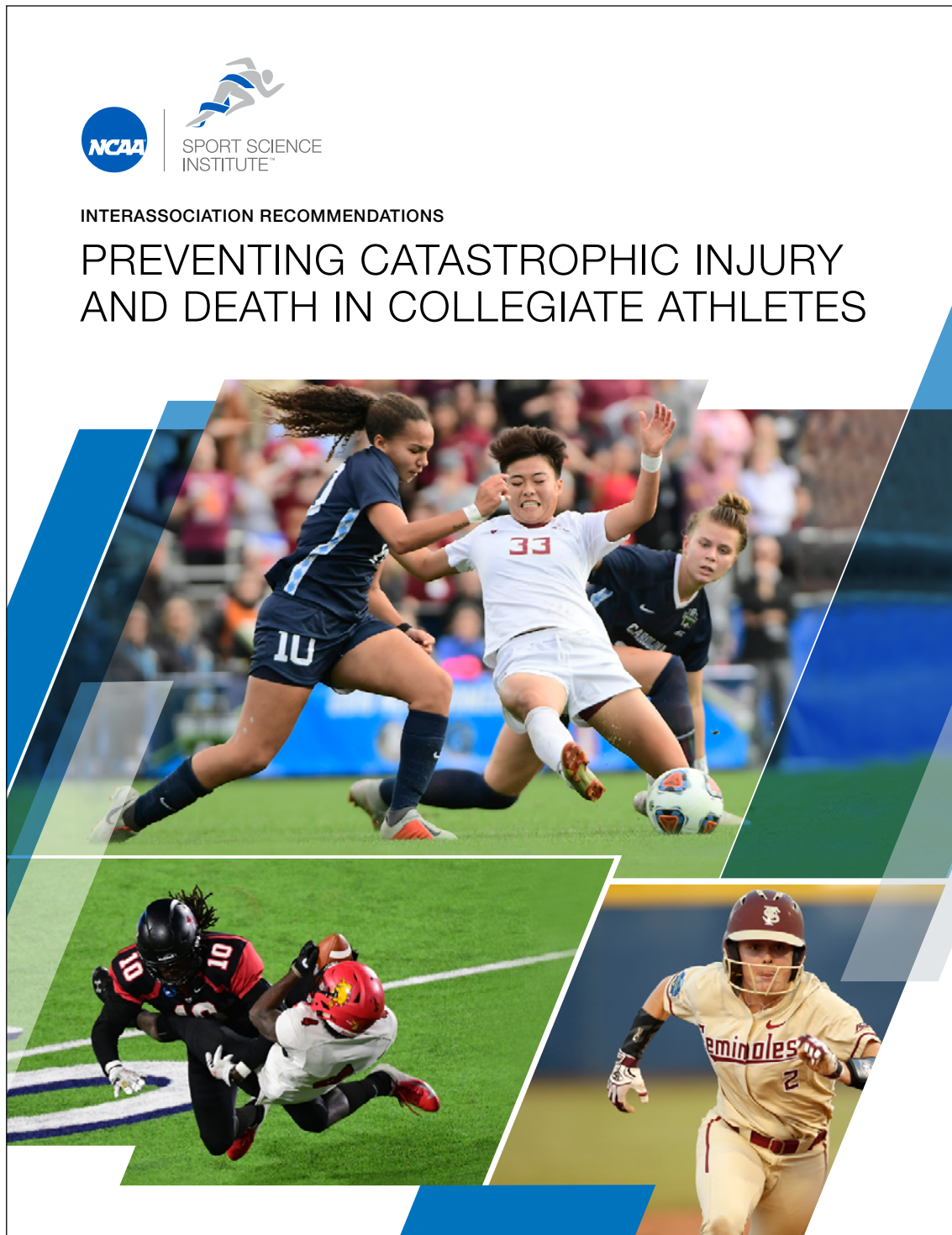
	for a Visiting Athletic Team			<b>Document drafting:</b> thru September <b>Membership review:</b> October 2019 <b>CSMAS review:</b> December 2019 <b>BoG final review and/or endorsement:</b> January 2019 <b>Final deliverable:</b> March 2019
<b>Concussion</b>	Concussion Safety Advisory Group	A group of independent experts selected by their respective professional medical or scientific organization, or NCAA division. Under the oversight of CSMAS, their charge is to advise the Association on changes to the Concussion Safety Protocol Checklist and Template as necessary given emerging clinical and scientific information on sport-related concussion.	Possible recommendations for Concussion Safety Protocol Checklist and Template	<b>Event date:</b> July 25, 2019 <b>Document drafting:</b> March 2019 <b>Membership review:</b> Not necessary. <b>CSMAS review and endorsement:</b> September 2019. <b>BOG review and endorsement:</b> N/A <b>Final deliverable:</b> TBD
	NCAA-DoD Mind Matters Summit	This will be the final presentations from the NCAA-DoD Mind Matters Research Challenge. In addition, relevant material from CARE Consortium and Mind Matters Education Challenge will be presented. Membership will be invited	Foundational statements will be developed that will become the basis of an Executive Summary and other educational material.	<b>Event date:</b> June 10-11, 2019 <b>Document drafting:</b> October 2019 <b>Membership review:</b> Not necessary <b>CSMAS review and support:</b> December 2019 <b>Final deliverable:</b> TBD
<b>Data-driven Decisions</b>	Integrated Technology in Coaching and Athletic Health Care	SSI will host a meeting to discuss issues arising for both coaching and the delivery of athletic health care from the use of wearable technologies (e.g., global positioning systems; heart rate monitors).	To Be Determined	<b>Anticipated Event date:</b> TBD <b>Document drafting:</b> <b>Membership review:</b> <b>CSMAS review and endorsement:</b>

				<b>BOG review and endorsement:</b>  <b>Final deliverable:</b>
	2020 Safety in College Football Summit	SSI will host the third such meeting, which will comprehensively review health and safety issues in college football.	Football-specific rules and policy review.	<b>Event date:</b> TBD – First quarter 2020
<b>Doping &amp; Substance Abuse</b>	Pain Management in the Collegiate Athlete Task Force	SSI hosted a discussion focused on pain management for the collegiate athlete.	Interassociation recommendations*	<b>Event date:</b> July 10-11, 2018  <b>Document drafting:</b> Thru December 2019  <b>Membership review:</b> January – April 2020  <b>CSMAS review and endorsement:</b> June 2020  <b>External review and endorsement:</b> June - August 2020  <b>BOG review and/or endorsement:</b> August 2020  <b>Final deliverable:</b> Fall 2020
<b>Mental Health</b>	Task Force to Advance Mental Health Best Practice Strategies	SSI hosted a task force that will serve as a follow-up to the 2013 Mental Health Task Force. The 2017 task force will identify strategies and resources that support the implementation of the Mental Health Best Practices and identify models of mental health care and measures of effectiveness for the previously-published best practices.	Educational tools†	<b>Event date:</b> November 9-10, 2017  <b>Document drafting:</b> November – March 2018  <b>Membership review:</b> April 2018  <b>CSMAS review and endorsement:</b> June 2018  <b>BOG review and endorsement:</b> August 2018  <b>Final deliverable:</b> First deliverable of MH Workshop Planning Kit and MHBP implementation resources were released June 2018.  Final deliverables expected in October 2019.

	Sports Wagering Task Force	SSI and Research Department hosted a summit for the purpose of identifying education and intervention strategies to prevent and/or manage problem gambling behaviors among student-athletes. Specific deliverables will be determined at the summit.	Peer-reviewed Journal Article	<b>Article submission:</b> TBD
			Educational Tools	<b>Event Date:</b> March 12-13, 2019 <b>Tool Development:</b> TBD <b>Membership review:</b> <b>CSMAS review and endorsement:</b> NA <b>BOG review and endorsement:</b> NA <b>Final deliverable:</b> TBD
<b>Overuse, Sleep, and Performance</b>	Task Force on Sleep & Wellness	SSI hosted a task force on sleep and wellness May 1-2, 2017, with representatives from scientific, higher education and sports medicine organizations to review current data and discuss existing best practices related to the sleep and wellness of student-athletes.	Educational tools <sup>†</sup>	<b>Event date:</b> May 1-2, 2017 <b>Tool Development:</b> Spring 2019. <b>Membership review:</b> <b>CSMAS review and endorsement:</b> NA <b>BOG review and endorsement:</b> NA <b>Final deliverable:</b> August 2019
			Peer-review journal article	<b>Article submission:</b> Complete – Accepted for publication with the British Journal of Sports Medicine

\*Will result in uniform standards of care for the Association; <sup>†</sup>Outcomes will be educational in nature, and will serve as a resource for member schools

NOTE: Full-page proof on following pages







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INTERASSOCIATION RECOMMENDATIONS

# PREVENTING CATASTROPHIC INJURY AND DEATH IN COLLEGIATE ATHLETES



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June 2019

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# INTRODUCTION

The second Safety in College Football Summit resulted in interassociation consensus recommendations for three paramount safety issues in collegiate athletics:

1. Independent medical care for collegiate athletes.
2. Diagnosis and management of sport-related concussion.
3. Year-round football practice contact for collegiate athletes.

This document, the fourth arising from the 2016 event, addresses the prevention of catastrophic injury, including traumatic and nontraumatic death, in collegiate athletes. The final recommendations in this document are the result of presentations and discussions on key items that occurred at the summit. After those presentations and discussions, endorsing organization representatives agreed on 18 draft foundational statements (available upon request) that became the basis for this consensus paper, which has been subsequently reviewed by relevant stakeholders and endorsing organizations. This is the final endorsed document for preventing catastrophic injury and death in collegiate athletes.

This document is divided into the following components:

## **BACKGROUND**

This section provides an overview of catastrophic injury and death in collegiate athletes.

## **INTERASSOCIATION RECOMMENDATIONS: PREVENTING CATASTROPHIC INJURY AND DEATH IN COLLEGIATE ATHLETES**

This section provides the final recommendations of the medical organizations for preventing catastrophic injuries in collegiate athletes.

## **INTERASSOCIATION RECOMMENDATIONS: CHECKLIST**

This section provides a checklist for each member school. The checklist will help the athletics health care administrator to ensure that policies are in place and followed, and are consistent with this document, *Interassociation Recommendations: Preventing Catastrophic Injury and Death in Collegiate Athletes*.

## **REFERENCES**

This section provides the relevant references for this document.

## **APPENDIXES**

This section lists the agenda, summit attendees and medical organizations that endorsed this document.

# BACKGROUND

Data about catastrophic injuries and illnesses in collegiate athletes began with intermittent accounts from print media, and more formally in 1931, through the American Football Coaches Association's initiation of the Annual Survey of Football Injury Research. Since 1982, the National Center for Catastrophic Sport Injury Research at the University of North Carolina, Chapel Hill,<sup>1</sup> has been the nation's premier source of catastrophic injury and death related to participation in organized sports at all levels of competition, including college. The NCCSIR monitors, collects and analyzes data on catastrophic injuries, illnesses and death and provides publicly available reports about football and other sports.<sup>1</sup>

In order to create enhanced national surveillance abilities for catastrophic injuries, illness and death, the NCCSIR has partnered with the Consortium for Catastrophic Injury Monitoring in Sport. The consortium includes the division on traumatic injury at the Matthew Gfeller Sport-Related Traumatic Brain Injury Research Center at the University of North Carolina, Chapel Hill; the division on exertional injury at the Korey Stringer Institute at the University of Connecticut; and the

division on cardiac injury in sport at the University of Washington.<sup>1</sup> Working through the consortium, the NCCSIR has developed new methods of data collection and analysis, including the use of a public-facing online reporting system.<sup>2</sup>

Researchers who study the epidemiology of catastrophic injury and death in sport identify two mechanisms by which these events occur. *Traumatic* catastrophic injuries, also called direct injuries, are bodily injuries caused directly by participation in a sport activity.<sup>1</sup> An example of a traumatic catastrophic injury is a spinal cord injury caused by tackling in the sport of football. The three leading causes of death from traumatic injury are traumatic brain injuries, spinal cord injuries and internal organ injuries.<sup>1</sup> *Nontraumatic* catastrophic injuries, also known as indirect or exertional injuries, are the "result of exertion while participating in a sport activity or by a complication that was secondary to a non-fatal injury."<sup>1</sup> An example of a nontraumatic catastrophic injury is sudden cardiac arrest in an athlete occurring during a basketball practice. The two leading causes of death from nontraumatic injury are sudden cardiac death and exertional injuries.<sup>1,3</sup>



Enhancing a culture of safety in college sports in general, and college football in particular, is foundational to reducing the occurrence of catastrophic injury and death and the basis for bringing college athletics stakeholders to the first Safety in College Football Summit in 2014, and then reconvening in 2016. The goal of this and any sport safety initiative is protecting the life and the long-term well-being of all athletes.

### **Catastrophic Injury Patterns**

Since 1982, the first year for which catastrophic injury/illness data were available across all collegiate sports (i.e., NCAA; National Association of Intercollegiate Athletics; National Junior College Athletic Association), there have been 487 catastrophic injuries or illnesses. Of these, 297 (61%) were traumatic events and 190 (39%) were nontraumatic events.<sup>1</sup> In 2016-17, the last year for which data across all collegiate sports are available, 19 catastrophic events occurred, five of which were fatal.<sup>1</sup>

Overall, football has the highest number of both traumatic and nontraumatic catastrophic injuries of any collegiate sport. Since 1931, the first year in which football-specific fatality data were collected, there have been 94 traumatic fatalities in college football and 127 nontraumatic fatalities.<sup>4</sup> More recently, since 1960 there have been 51 traumatic fatalities and 99 nontraumatic in football.<sup>4</sup> After adjusting for the total number of participating athletes, football is joined by male gymnastics, female skiing, male ice hockey and female gymnastics for the highest rates of traumatic catastrophic injury.<sup>1</sup> Traumatic events in football had fallen every decade from 1960 until 1994. That decline is associated with rule modifications based on research,<sup>5,6</sup> enhanced medical care and education. Since 1994, the number of traumatic injuries has varied, but at a level generally lower than those of the 1970s and 1980s.<sup>1</sup>

Since 1970, in both high school and college football, nontraumatic fatalities have outnumbered traumatic fatalities. Nontraumatic deaths in American football have remained relatively steady for more than five decades. Data from 2017<sup>4</sup> reveal the current decade will continue this unfortunate and often preventable trend of nontraumatic death that occurs largely in out-of-season or preseason workouts. From 2001 to 2017, the ratio of nontraumatic to traumatic death in collegiate football was 5:1 — 35 nontraumatic deaths compared with seven traumatic fatalities.<sup>4</sup>

While rule modification has the potential to decrease nontraumatic deaths in certain situations (e.g., verification of sickle cell trait decreasing exertional collapse associated with sickle cell trait in Division I football), the policy and procedures to prevent nontraumatic catastrophic death have not kept pace with strength and conditioning sessions and practice sessions that continue to be the setting for record rates of high school and college athlete deaths. For example, of the nine nontraumatic deaths of football players at all levels of the sport in 2017, six occurred during conditioning sessions and one occurred during a strengthening session.<sup>1</sup> For the 2015-16 academic year, six (15%) of the 40 nontraumatic catastrophic injuries and illnesses that occurred across all sports and all levels of competition took place during strength and conditioning sessions.<sup>1</sup> This means that across all sports beside football, nontraumatic injuries are occurring in practice sessions overseen by sport coaches and not during strength and conditioning sessions.

### **Policy Developments**

Available research provides insight into risk factors for catastrophic injury and has led to policy decisions meant to mitigate those risks. Established research demonstrates that NCAA Division I football athletes with sickle cell trait are at a higher risk of nontraumatic catastrophic events, including death.<sup>5,7,8</sup> In response, the last decade has seen an increase in policy recommendations for the prevention of exertional collapse associated with sickle cell trait (ECAST) in collegiate sport. In 2007, the National Athletic Trainers' Association released a consensus statement on sickle cell trait in the athlete.<sup>9</sup> By 2013, all three NCAA divisions had adopted legislation requiring confirmation of student-athlete sickle cell trait status before participation.<sup>10-12</sup> This policy, in tandem with targeted on-site precautions, has resulted in a statistically significant decrease in the number of ECAST deaths in college athletes.<sup>13,14</sup>

Transition periods, defined below, are often associated with poor acclimatization and fitness levels in athletes returning to activity.<sup>15,16</sup> These concerns have prompted several policy developments. In 2003, the NCAA implemented preseason acclimatization legislation for football.<sup>17,18</sup> In the same year, NCAA Division I passed a bylaw<sup>19</sup> specific to Football Bowl Subdivision and Football Championship Subdivision football that requires any strength and conditioning



professional who conducts voluntary offseason weight training or conditioning activities to be certified in first aid and cardiopulmonary resuscitation and to be accompanied by a member of the sports medicine staff who has unchallengeable authority to cancel or modify the workout for health and safety reasons. NCAA Division II passed similar legislation one year later.<sup>20</sup> In Division I, the unchallengeable authority component of this legislation was extended to all sports other than football in situations when a member of the sports medicine staff is present at a workout. In 2012, NATA released interassociation best practices on the prevention of sudden death in collegiate athletes during strength and conditioning drills.<sup>15</sup> As of 2016, all three NCAA divisions have legislation that requires strength and conditioning professionals to have a certification from either a nationally recognized strength and conditioning certification program<sup>21,22</sup> or from an accredited strength and conditioning certification program.<sup>23</sup>

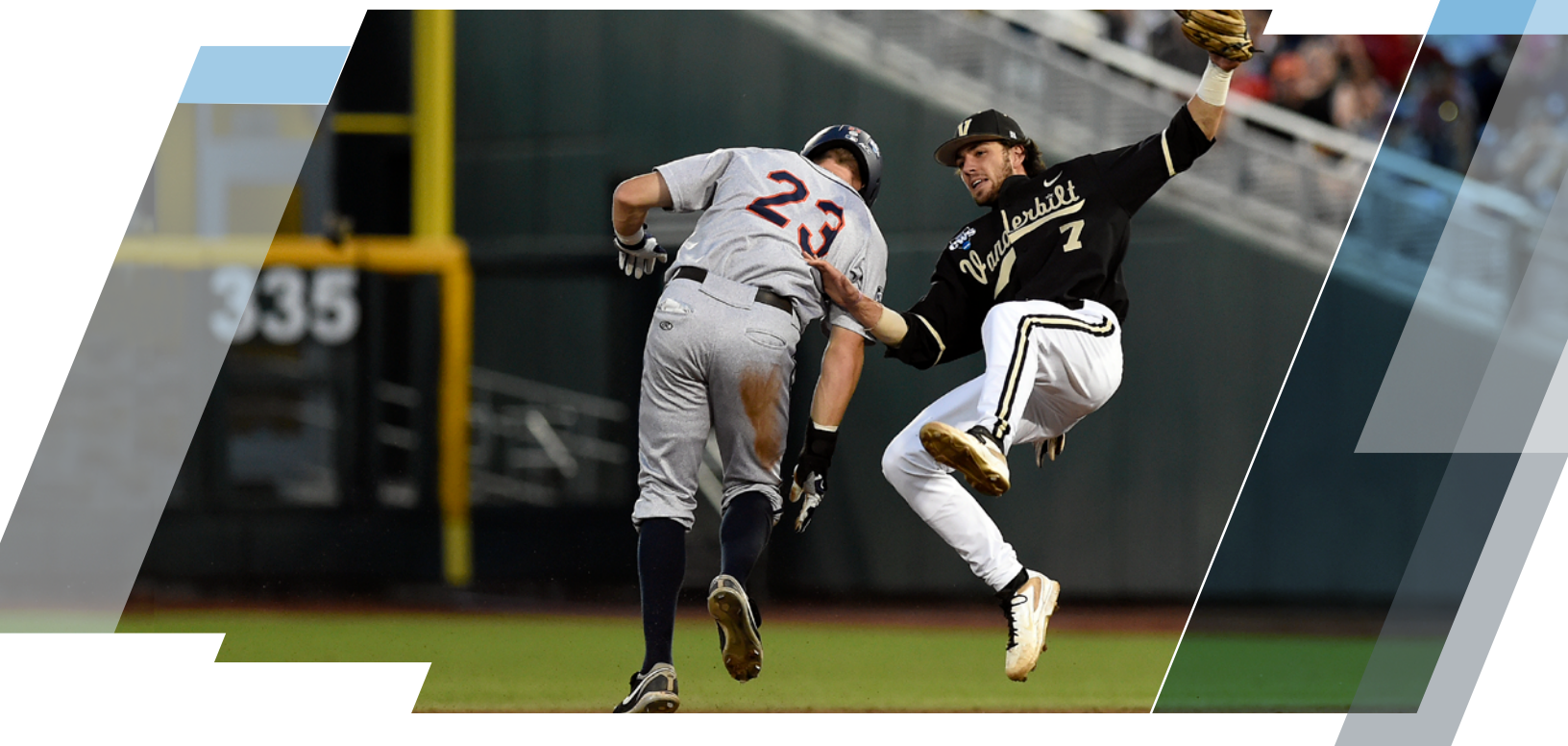
However, despite these policy developments, catastrophic injuries and fatalities continue to occur. In recent years, most of the fatalities are from nontraumatic causes. These can be mitigated at the member school with appropriate strategies.

### **Prevention Strategies**

Nontraumatic deaths can be mitigated locally through implementation of consensus- and science-based recommendations. Yet, the number of nontraumatic

fatalities are twice those of traumatic fatalities. There have been 99 nontraumatic deaths in collegiate football compared to 51 traumatic deaths since 1960. Just as most of the fatal head injuries and catastrophic cervical spine injuries occurring from 1960 to 1975 can be directly related to the style of play in the sport of football during that time,<sup>24-26</sup> nontraumatic, exertion-related death is directly related to the conduct and construct of workouts intended to prepare athletes to play sport.<sup>5,16,27,28</sup> Whereas spearing is often the mechanism for traumatic catastrophic injury and death in football,<sup>25,26</sup> intense, sustained exertion that is not sport-specific and does not include appropriate work-to-rest ratios, coupled with modifications for individual risk and precautions, is too often the mechanism for exertion-related nontraumatic fatality.<sup>5,9,16,27-29</sup>

Since 1970, traumatic deaths have undergone a steep and steady decline; nontraumatic deaths, however, have remained steady since 1960. The current era, from 2000 to present, is notable for the following: year-round training for football coupled with the highest incidence of nontraumatic sport-related training deaths in football in recorded history. A proper combination of strategies to prevent the condition from arising in the first place; ensurance of optimal medical care delivery by key stakeholders on-site; and transparency and accountability in workouts should help to eliminate such nontraumatic deaths — a major goal of this document.





## INTERASSOCIATION RECOMMENDATIONS:

# PREVENTING CATASTROPHIC INJURY AND DEATH IN COLLEGIATE ATHLETES

Best practices for preventing catastrophic injury and death in collegiate sport are organized into six key areas.

## RECOMMENDATION 1 | SPORTSMANSHIP

The principle of sportsmanship is foundational to NCAA athletics competition and creates a moral and ethical framework within which athletics competition occurs. This framework rejects any intentional effort by athletes to use any part of their body, uniform or protective equipment as a weapon to injure another athlete or themselves.<sup>30</sup> This philosophical commitment is further amplified by expressed statements about the value of sportsmanship in sport playing rules.<sup>31-33</sup> The avoidance of on-field or on-court behaviors intended to cause injury to another athlete must become part of the cultural foundation from which all subsequent sport safety initiatives arise.

While acknowledging that football, like other contact/collision sports, is an aggressive, rugged contact sport, the rules of football and of all other sports identify a responsibility shared by all involved to conduct themselves according to a shared ethical code.<sup>33</sup> This code requires that the head and helmet not be used as a weapon, and that unsportsmanlike efforts to deliberately injure an opponent are outside the boundaries of fair and legal play. The act does not need to be purposeful to be considered

an infraction.

Given this commitment to sportsmanship, coupled with the considerable safety implications of its violation, the following recommendations regarding deliberate injury to an opponent should be considered in all sports:

1. A player should be ejected immediately from competition (in addition to a particular penalty) for a first infraction.
2. Video replay (when available) after the competition can verify missed calls and could lead to suspension from the next competition. Conferences play a crucial role in this process and should commit themselves to this responsibility.
3. Officials who fail to call such infractions should be educated and/or disciplined appropriately.
4. In helmeted sports, rules should be further developed to prohibit and penalize the initiation of contact with the head/helmet and should be uniformly enforced.



## RECOMMENDATION 2 | PROTECTIVE EQUIPMENT

Protective equipment that is used in sport typically must be manufactured and maintained according to performance and safety standards promulgated by standards organizations such as the National Operating Committee on Standards for Athletic Equipment<sup>34,35</sup> and ASTM International.<sup>36</sup> When sport playing rules require equipment to comply with existing standards, the legality of the equipment is dependent on compliance, certification or both with existing standards. For example, current playing rules in the sport of football require that helmets be manufactured and maintained according to standards established by NOCSAE. These standards have been demonstrated to reduce the occurrence of

catastrophic brain injury.<sup>37</sup> In some cases, as with the helmet in the sport of football, equipment must be maintained through a reconditioning process. Where this responsibility exists, member institutions must remain vigilant about ensuring necessary maintenance to ensure the continued safety and legality of protective equipment.

The following should be implemented across all sports:

- Every member school should establish policy to ensure annual certification, recertification and compliance, as appropriate, with all protective equipment standards.



## RECOMMENDATION 3 | ACCLIMATIZATION AND CONDITIONING

Many nontraumatic deaths take place during the first week of activity of a transition period in training.<sup>15</sup> Given this fact, it is imperative to recognize the vulnerability during these periods and to ensure that both proper exercise and heat acclimatization are implemented.

Transition periods hold particular risk, but absent adherence to established standards, best practices and precautions, collegiate athletes are at risk at all points in the offseason regimen. For example, February and July typically are not transition times, yet from 2000 to 2017, they are the deadliest months of winter and summer training in collegiate football.<sup>28</sup> Acclimatization and physiologic progression with a basis of exercise science and sport specificity are the cornerstones of safe conditioning and physical activity. It takes approximately seven to 10 days for the body to acclimatize to the physiologic and environmental stresses placed upon it at the start of a conditioning or practice period, especially during periods of warm or hot weather.<sup>15,38,39</sup>

Acclimatization, especially heat acclimatization, can occur only through repeated exposure to a hot environment<sup>40</sup> while progressively increasing the volume and intensity of physical activity.<sup>41</sup> Unfortunately, perceived time pressures by coaches coupled with the culture of certain sports that excesses in training make athletes tough, disciplined and accountable contribute to a tendency to overload athletes during transition periods.<sup>15,42</sup>

A minimum expectation is that **all** strength and conditioning sessions, regardless of when in the year they occur, should be evidence- or consensus-based; sport-specific; intentionally administered; appropriately monitored, regardless of the phase of training; and not punitive in nature.

For acclimatization and conditioning, the following direction should be considered for all sports and by any individual responsible for the planning and/or implementation of training and conditioning sessions, whether that be a strength and conditioning professional or a sport coach:

1. Training and conditioning sessions should be introduced intentionally, gradually and progressively to encourage proper exercise

acclimatization and to minimize the risk of adverse effects on health. This is especially important during the first seven days of any new conditioning cycle, which should be considered a *transition period*. A lack of progression and sport-specificity in the volume, intensity, mode and duration of conditioning programs in transition periods has been noted as a primary factor in nontraumatic fatalities.<sup>16</sup> Importantly, in this period of year-round sport, new conditioning cycles can occur several times throughout the year and are not limited to the beginning of a competitive season. During transition periods, athletes should be instructed to avoid additional volunteer sessions of physical activity (e.g., 7-on-7 drills, pickup games, drill work). Physical activity schedules during transition periods should be well prescribed, accounting for all sources of physical activity in which an athlete engages.

Examples of transition periods for athletes include, but are not limited to:

- a. Individual transitions.
    - (1) Athletes new to the program.
    - (2) Returning after an injury or illness.
    - (3) Any delayed participation relative to the team schedule.
  - b. Team transitions.
    - Resumption of training after an academic break (e.g., winter, spring, summer breaks).
2. Training and conditioning sessions should be exercise-science based and physiologically representative of the sport and its performance components. Conditioning programs should begin with work-to-rest ratio intervals appropriate for the goals of the training session and that allow for proper recovery.
  3. Collegiate athletes are especially vulnerable to exertional injuries during the first four days of transition periods, and the data support that modifications in these periods can greatly decrease the risk of catastrophic events.<sup>14</sup> During this time, training and conditioning sessions should be appropriately calibrated and include

limitations on total volume and intensity of activity. This may be accomplished in several ways. For example, holding only one training and conditioning session per day during the transition period may be effective for limiting the volume of physical activity.

- a. Properly training during transition periods also should greatly reduce or eliminate rhabdomyolysis, which is largely preventable. Since 2007, 57 NCAA collegiate athletes have been reported as suffering from exertional rhabdomyolysis in nine team outbreaks representing eight different institutions, with 51 of the afflicted athletes requiring hospitalization.<sup>43</sup> Novel overexertion, or exertion caused by new activities or at unaccustomed volume or intensity, is the most common cause of exertional rhabdomyolysis and is characterized as too much, too soon and too fast in a workout regimen.<sup>14</sup> Team outbreaks of exertional rhabdomyolysis in NCAA athletes have similarities of irrationally intense workouts designed and conducted by coaches and/or strength and conditioning professionals.<sup>43</sup>
- b. When phasing in activity during transition periods, athletics staff members should consider the following:
  - (1) Days/week.
  - (2) Body part.
  - (3) Activity/exercise.
  - (4) Sets/repetitions/distance.
  - (5) Load (percent of one-repetition maximum, i.e., 1RM).
  - (6) Work-rest ratio.
  - (7) Modifications: position; individual; return from injury; environment.

4. All training and conditioning sessions should be documented. In addition, all training and conditioning sessions should:
  - a. Be approved by a credentialed strength and conditioning professional, or by the head sport coach at institutions that do not employ strength and conditioning professionals.
  - b. Address exercise volume, intensity, mode and duration.
  - c. Ensure the location of the training and conditioning session is identified in the plan to accommodate venue-specific emergency action planning.
  - d. Be reproducible upon request and be shared with the primary athletics health care providers (team physician and athletic trainer) before the session in which they are to be used.
  - e. Be modified in response to hazardous environmental conditions, scheduling considerations, etc. The amended workout plan should maintain the above principles.
5. A disciplinary system should be developed and applied to strength and conditioning professionals and sport coaches who fail to follow these recommendations. Such penalties could include suspension and/or termination by the member school. Additionally, failure to follow the recommendations could be a reportable offense by member schools to the NCAA.



## RECOMMENDATION 4 | EMERGENCY ACTION PLAN

There is broad agreement that the most effective way to prevent catastrophic fatalities and manage nonfatal catastrophic events is through a sound and well-rehearsed emergency action plan.<sup>13,15,29,44,45</sup> Venue-specific emergency action plans are a cornerstone of emergency readiness for campus and athletics health care providers.<sup>38,46</sup>

Emergency action plans should be readily available to all members of the athletics community, located both centrally and at each venue at which athletics activities will occur and should be rehearsed with all relevant sports medicine and coaching staff at least once a year. The equipment necessary to execute the emergency action plan should be available to each venue at which athletics activities will occur. Emergency action plan rehearsal also should be incorporated into new employee orientation.

At a minimum, well-rehearsed and venue-specific emergency action plans should be developed for the following nontraumatic catastrophic events:

1. Head and neck injury.
2. Cardiac arrest.
3. Heat illness and heat stroke.
4. Exertional rhabdomyolysis.
5. Exertional collapse associated with sickle cell trait.
6. Any exertional or nonexertional collapse.
7. Asthma.
8. Diabetic emergency.
9. Mental health emergency.

In addition, well-rehearsed and venue-specific emergency action plans should be consistent with the NCAA Concussion Safety Protocol Checklist.<sup>47</sup> This checklist was created in response to NCAA legislation passed by the Division I conferences with autonomy in January 2015,<sup>47</sup> and subsequently by all three divisions. The checklist facilitates the development of a comprehensive and coordinated set of policies to guide institutions in the diagnosis and management of collegiate athlete concussions and in the eventual return to play and return to the classroom by those athletes. Concussion emergency action plans should be created for the following suspected conditions:

1. Concussion.
2. Moderate or severe traumatic brain injury.

3. Cervical spine injuries.

Special considerations:

1. Cardiac emergencies — Research has shown that in sudden cardiac arrest, the probability of survival drops by 7-10% for every minute of active arrest, whereas the probability of survival is 89% in properly administered CPR and automated external defibrillators.<sup>48,49</sup> The location of AEDs should be documented and should reflect a strategy that ensures their arrival at the scene of a collapse with the target goal of collapse-to-shock in less than three minutes.<sup>49</sup> All AEDs should be checked at least monthly to assure they are fully charged.<sup>49</sup>
2. Exertional heat illness emergencies — Exertional heatstroke is a medical emergency that is characterized by extreme hyperthermia (>40.0 degrees C/>104 degrees F) and central nervous system dysfunction such as altered behavior or decreased consciousness.<sup>41</sup> To differentiate heatstroke from other acute medical events, primary athletics health care providers should be prepared to measure core body temperature using rectal thermometry. Rectal temperature has been demonstrated as the most accurate method for measuring body temperature, whereas other methods such as axillary, tympanic (aural), temporal, oral and skin measurements are not valid or reliable predictors of core temperature.<sup>50</sup> During warm weather events, but especially pre-season practices of fall season sports, resources (e.g., equipment and personnel) should be readily available to ensure that full-body ice water immersion can be conducted in a timely manner. Full-body immersion in cold water (1.7 degrees C to 15.0 degrees C/35 degrees F to 59 degrees F) is the most effective immediate treatment of exertional heatstroke, with fatality rates close to zero if the body temperature is brought to less than 40.0 degrees C within 30 minutes after collapse.<sup>41</sup> Full-body cold water immersion should be conducted before patient transport, and should be continued until the body has cooled to a temperature below 38.9 degrees C/102 degrees F. During cold water immersion, body temperature should be continuously monitored with rectal thermometry.



## RECOMMENDATION 5: RESPONSIBILITIES OF ATHLETICS PERSONNEL

Physical activity never should be used for punitive purposes. Exercise as punishment invariably abandons sound physiologic principles and elevates risk above any reasonable performance reward.<sup>15</sup> As stated in the 2014-15 NCAA Sports Medicine Handbook, this principle has been reinforced by the NCAA Committee on Competitive Safeguards and Medical Aspects of Sports.<sup>51</sup> All athletics personnel, including both sport and strength and conditioning professionals, as well as primary athletics health care providers, should intervene when they suspect that physical activity is being used as punishment. Although “intent” of punishment may be difficult to establish, punishment workouts use unsound physiological principles, as enumerated in this document.

All training and conditioning sessions should be administered by personnel with demonstrated competency in the safe and effective development and implementation of training and conditioning activities, and with the necessary training to respond to emergency situations arising from those activities.

NCAA bylaws in all three divisions require that strength and conditioning professionals have a strength and conditioning certification from either a nationally accredited<sup>23</sup> or nationally recognized,<sup>21,22</sup> strength and conditioning certification program. Additional NCAA bylaws in Division I<sup>19</sup> require that strength and conditioning professionals must be accompanied by members of the sports medicine staff when conducting voluntary, offseason conditioning sessions. In these situations, NCAA bylaws in both Divisions I and II<sup>19,20</sup> require the sports medicine staff members have unchallengeable authority to cancel or modify workouts for health and safety reasons.

In Division III, where the presence of full-time strength and conditioning professionals may be less frequent, and where as a result, sport coaches may provide strength and conditioning services to all collegiate athletes, legislation is more nuanced. Any sport coach can conduct an in-season workout without needing a strength and conditioning certification. Only strength and conditioning professionals with nationally recog-

nized certifications can conduct voluntary workouts in the offseason, and then only during the regular academic year and only if the voluntary workouts are being conducted for all collegiate athletes.<sup>21</sup> This legislation anticipates a situation when a sport coach is otherwise serving a broader, campus-wide responsibility as strength and conditioning beyond the sport he or she coaches.

The following questions about the strength and conditioning credential should be considered when hiring a strength and conditioning professional:

1. Is the strength and conditioning credential one that reflects attaining relevant competencies in the delivery of strength and conditioning services to collegiate athletes and teams?
2. Is the credential conferred by a certification program/process that is nationally accredited?
3. What are the requisite educational standards required for certification eligibility, and the continuing education requirements required by the certification program?
4. Does the certification require CPR and AED certification?
5. Does the certification require a baccalaureate degree or higher, and is it in a degree field with relevance to the provision of strength and conditioning services?

The current state of credentialing across the strength and conditioning profession makes it difficult to ensure that all strength and conditioning professionals have the requisite competency to safely and effectively conduct conditioning sessions. Many organizations currently offer “strength and conditioning” credentials, though there is significant variability in both the content represented by these credentials and the rigor required to attain them. The complete absence of state regulation further complicates this landscape because there is no clearly established strength and conditioning scope of practice, and therefore, there is no authoritative accounting of the knowledge and skill domains required for the safe and effective practice of a strength and conditioning professional. If carefully considered, the five questions above can assist

institutions in identifying strength and conditioning credentials reflecting the attainment of minimal competence in the provision of strength and conditioning services. Moreover, the U.S. Registry of Exercise Professionals (see [usreps.org/Pages/Default.aspx](https://usreps.org/Pages/Default.aspx)) contains those strength and conditioning professions with certifications from programs accredited by the National Commission for Certifying Agencies. NCCA accreditation is considered a marker of quality for certification programs in the health and/or medical domains.

An additional problem arises through the increasingly close alignment between sport coaches and strength and conditioning professionals, especially in the sport

of football. Strength and conditioning professionals frequently are hired by the head football coach, and/or subject to their administrative oversight. This alignment is problematic because it contributes to the perception that strength and conditioning professionals are members of the coaching staff rather than independently credentialed strength and conditioning professionals. Such singular alignment and reporting are not consistent with this document. All strength and conditioning professionals should have a reporting line into the sports medicine or sport performance lines of the institution. This includes sport coaches who have responsibility for providing strength and conditioning services across all sport teams.

## RECOMMENDATION 6 | EDUCATION AND TRAINING

Beyond strength and conditioning professionals, each institution should adopt requirements for the education and training of athletics personnel, including as a minimum, but not limited to, strength and conditioning professionals, sport coaches and primary athletics health care providers. Education should focus on preventing catastrophic injury and sudden death in sport. Such education and training should occur annually. Regular education not only can serve to improve the recognition and response skills of those who may be involved in a catastrophic event but also can contribute to a heightened state of organizational mindfulness that contributes to an environment of emergency readiness. Education and prevention strategies should be customized for the unique learning needs of relevant stakeholders and their roles on the athletics team.

Such training should include the following:

1. Foundational information regarding emergency action plans.
2. Environmental monitoring (heat/humidity, lightning).
3. Head and neck injuries.
4. Cardiac arrest.
5. Heat illness and heatstroke.
6. Exertional Rhabdomyolysis.
7. Exertional collapse associated with sickle cell trait.
8. Any exertional or nonexertional collapse.
9. Asthma.
10. Diabetic emergency.
11. Mental health emergency.
12. Proper training principles/principles of periodization.





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## INTERASSOCIATION RECOMMENDATIONS | CHECKLIST

# PREVENTING CATASTROPHIC INJURY AND DEATH IN COLLEGIATE ATHLETES

This checklist will help the athletics health care administrator to ensure that policies are in place and followed, and are consistent with this document, *Interassociation Recommendations: Preventing Catastrophic Injury and Death in Collegiate Athletes*.

1

### TRAUMATIC: GENERAL

YES

NO

COMMENTS

In all sports, all practices and competitions adhere to existing ethical standards.

☐☐

In all sports, using playing or protective equipment as a weapon is prohibited during all practices and competitions.

☐☐

In all practices and competitions, deliberately inflicting injury on another player is prohibited.

☐☐

All playing and protective equipment, as applicable, meets relevant equipment safety standards and related certification requirements.

☐☐

There is a regularly rehearsed emergency action plan consistent with the Concussion Safety Protocol Checklist for all venues at which practices or competitions are conducted.

☐☐

There is a regularly rehearsed emergency action plan consistent with the Concussion Safety Protocol Checklist for all suspected concussions.

☐☐

There is a regularly rehearsed emergency action plan consistent with the Concussion Safety Protocol Checklist for all suspected moderate or severe traumatic brain injuries.

☐☐

There is a regularly rehearsed emergency action plan consistent with the Concussion Safety Protocol Checklist for all suspected cervical spine injuries.

☐☐

Annual education and prevention strategies about catastrophic injuries are provided to all sports coaches.

☐☐

Annual education and prevention strategies about catastrophic injuries are provided to all strength and conditioning professionals.

☐☐

TRAUMATIC: GENERAL   CONTINUED	YES	NO	COMMENTS
Annual education and prevention strategies about catastrophic injuries are provided to all primary athletics health care providers (i.e., team physicians and athletic trainers).	<input type="checkbox"/>	<input type="checkbox"/>	
Annual education and prevention strategies about catastrophic injuries are provided to all collegiate athletes.	<input type="checkbox"/>	<input type="checkbox"/>	
Annual education and prevention strategies about catastrophic injuries are provided to all athletics administrators.	<input type="checkbox"/>	<input type="checkbox"/>	

## 2 TRAUMATIC: CONTACT/COLLISIONS HELMETED SPORTS

YES	NO	COMMENTS
<input type="checkbox"/>	<input type="checkbox"/>	All contact/collision, helmeted practices and competitions adhere to existing ethical standards.
<input type="checkbox"/>	<input type="checkbox"/>	All contact/collision, helmeted practices and competitions adhere to keeping the head out of blocking and tackling.
<input type="checkbox"/>	<input type="checkbox"/>	All contact/collision, helmeted practices and competitions adhere to prohibiting the use of the helmet as a weapon.
<input type="checkbox"/>	<input type="checkbox"/>	All contact/collision, helmeted practices and competitions adhere to not deliberately inflicting injury on another player.
<input type="checkbox"/>	<input type="checkbox"/>	All contact/collision, helmeted practices and competitions adhere to maintaining and certifying helmets to existing helmet safety standards.

## 3 NON-TRAUMATIC: GENERAL

YES	NO	COMMENTS
<input type="checkbox"/>	<input type="checkbox"/>	All practices and strength and conditioning sessions adhere to established scientific principles of acclimatization and conditioning.
<input type="checkbox"/>	<input type="checkbox"/>	Conditioning periods are phased in gradually and progressively to encourage proper exercise acclimatization and to minimize the risk of adverse effects on health.
<input type="checkbox"/>	<input type="checkbox"/>	The first seven days of any new conditioning cycle are considered a transition period and a time of physiologic vulnerability for athletes.
<input type="checkbox"/>	<input type="checkbox"/>	Transition periods for athletes include, but are not limited to, returning after an injury or illness.
<input type="checkbox"/>	<input type="checkbox"/>	Transition periods for athletes include, but are not limited to, returning after school break (e.g., winter, spring, summer).

NON-TRAUMATIC: GENERAL   CONTINUED	YES	NO	COMMENTS
Transition periods for athletes include, but are not limited to, beginning as a delayed start.	<input type="checkbox"/>	<input type="checkbox"/>	
Training and conditioning sessions are appropriately calibrated and include limitations on total volume and intensity of activity, especially during the first four days of transition periods.	<input type="checkbox"/>	<input type="checkbox"/>	
All workouts have a written plan that is exercise science-based, physiologically sport-specific, and tailored to the individual.	<input type="checkbox"/>	<input type="checkbox"/>	
Workout plans are approved by a credentialed strength and conditioning professional, or the responsible sport coach if a strength and conditioning professional is not available at the institution.	<input type="checkbox"/>	<input type="checkbox"/>	
Components of the workout plan include volume, intensity, mode and duration.	<input type="checkbox"/>	<input type="checkbox"/>	
The activity location is stated in the workout plan to accommodate venue-specific emergency action planning.	<input type="checkbox"/>	<input type="checkbox"/>	
Workout plans are reproducible upon request and shared with the primary athletics health care providers (team physician and athletic trainer) before the session in which they are to be used.	<input type="checkbox"/>	<input type="checkbox"/>	
Modification due to hazardous environmental conditions, scheduling considerations, etc., is supported. The amended workout plan maintains the above principles.	<input type="checkbox"/>	<input type="checkbox"/>	
Exercise never is used for punitive purposes.	<input type="checkbox"/>	<input type="checkbox"/>	
Educational background, sport experience and credentialing are verified for all strength and conditioning professionals.	<input type="checkbox"/>	<input type="checkbox"/>	
All strength and conditioning professionals have a reporting line into the sports medicine or sport performance lines of the institution.	<input type="checkbox"/>	<input type="checkbox"/>	
Emergency action plans are developed and rehearsed annually for all venues in which practices or competitions are conducted.	<input type="checkbox"/>	<input type="checkbox"/>	
Emergency action plans are developed and rehearsed annually for head and neck injuries.	<input type="checkbox"/>	<input type="checkbox"/>	
Emergency action plans are developed and rehearsed annually for cardiac arrest.	<input type="checkbox"/>	<input type="checkbox"/>	
Emergency action plans are developed and rehearsed annually for exertional heat illness and heat stroke.	<input type="checkbox"/>	<input type="checkbox"/>	
Emergency action plans are developed and rehearsed annually for exertional rhabdomyolysis.	<input type="checkbox"/>	<input type="checkbox"/>	

NON-TRAUMATIC: GENERAL   CONTINUED	YES	NO	COMMENTS
Emergency action plans are developed and rehearsed annually for exertional collapse associated with sickle cell trait.	<input type="checkbox"/>	<input type="checkbox"/>	
Emergency action plans are developed and rehearsed annually for any exertional or non-exertional collapse.	<input type="checkbox"/>	<input type="checkbox"/>	
Emergency action plans are developed and rehearsed annually for asthma.	<input type="checkbox"/>	<input type="checkbox"/>	
Emergency action plans are developed and rehearsed annually for diabetic emergency.	<input type="checkbox"/>	<input type="checkbox"/>	
Strength and conditioning venues have emergency action plans specific to the venue, sport and circumstances.	<input type="checkbox"/>	<input type="checkbox"/>	
The institution has adopted requirements for the annual education and training for the prevention of sudden death in sport for strength and conditioning professionals.	<input type="checkbox"/>	<input type="checkbox"/>	
The institution has adopted requirements for the annual education and training for the prevention of sudden death in sport for sport coaches.	<input type="checkbox"/>	<input type="checkbox"/>	
The institution has adopted requirements for the annual education and training for the prevention of sudden death in sport for athletic trainers.	<input type="checkbox"/>	<input type="checkbox"/>	
The institution has adopted requirements for the annual education and training for the prevention of sudden death in sport for team physicians.	<input type="checkbox"/>	<input type="checkbox"/>	
The institution has adopted requirements for the annual education and training for the prevention of sudden death in sport for collegiate athletes.	<input type="checkbox"/>	<input type="checkbox"/>	
The institution has adopted requirements for the annual education and training for the prevention of sudden death in sport for athletics administrators.	<input type="checkbox"/>	<input type="checkbox"/>	



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# APPENDIX A

## 2016 SAFETY IN COLLEGE FOOTBALL SUMMIT AGENDA

### AGENDA

National Collegiate Athletic Association  
Safety in College Football Summit

Orlando, Florida

February 10-11, 2016

#### DAY 1

1. **Welcome and summit overview. (Scott Anderson and Brian Hainline)**
2. **Topic 1: Sensor and clinical data regarding football practice and head exposure.**
  - a. Campus research. (Stefan Duma, Thomas Druzgal, Jacob Marucci, Jason Mihalik)
  - b. Big 12 research. (Scott Anderson, Allen Hardin)
  - c. Roundtable discussion and report out.
  - d. Referendum: Year-round football practice contact.
3. **Topic 2: Catastrophic injury in football.**
  - a. Traumatic. (Kevin Guskiewicz)
  - b. Nontraumatic. (Scott Anderson, Doug Casa)
  - c. Roundtable discussion and report out.
  - d. Referendum: Action plan for mitigating catastrophic injury in football.
4. **Topic 3: Diagnosis and management of sport-related concussion guidelines.**
  - a. Guidelines overview. (Brian Hainline, Scott Anderson)
  - b. Concussion diagnosis and management update: New data from Concussion Assessment, Research and Education Consortium. (Steven Broglio, Thomas McAllister, Michael McCrea)
  - c. Re-examining concussion treatment: Agreements from the TEAM meeting? (Anthony Kontos)
  - d. Roundtable discussion and report out.
  - e. Referendum: Diagnosis and management of sport-related concussion.

#### DAY 2

1. **Opening remarks. (Scott Anderson and Brian Hainline)**
2. **Topic 4: Independent medical care. (Scott Anderson and Brian Hainline)**
  - a. Roundtable discussion and report out.
  - b. Referendum: Independent medical care.
3. **Topic 5: Interassociation consensus statements.**
  - a. Year-round football practice contact.
  - b. Catastrophic injury in football.
  - c. Diagnosis and management of sport-related concussion.
  - d. Independent medical care.
4. **Closing remarks.**

# APPENDIX B

## 2016 SAFETY IN COLLEGE FOOTBALL SUMMIT PARTICIPANTS

**Jeff Allen**, Head Athletic Trainer, University of Alabama  
(attending on behalf of Nick Saban)

**Scott Anderson**, College Athletic Trainers' Society,  
University of Oklahoma

**Doug Aukerman**, Pac-12 Conference

**Julian Bailes**, M.D., Congress of Neurological Surgeons,  
American Association of Neurological Surgeons

**Stevie Baker-Watson**, Director of Athletics,  
DePauw University

**Brad Bankston**, Commissioner, Old Dominion  
Athletic Conference

**Karl Benson**, Commissioner, Sun Belt Conference

**Bob Boerigter**, Commissioner, Mid-America  
Intercollegiate Athletics Association

**Bob Bowlsby**, Commissioner, Big 12 Conference;  
Chair, Football Oversight Committee

**Matthew Breiding**, Centers for Disease Control  
and Prevention

**Steve Broglio**, M.D., Principal Investigator,  
CARE Consortium, University of Michigan

**William Bynum**, President, Mississippi Valley State  
University

**Jeff Bytowski**, D.O., American Osteopathic Academy  
of Sports Medicine

**Carolyn Campbell-McGovern**, The Ivy League

**Doug Casa**, Ph.D., Consortium Director, Division on  
Exertional Injury, National Center for Catastrophic Sport  
Injury Research; Chief Executive Officer, Korey Stringer  
Institute; Director, Athletic Training Education,  
University of Connecticut

**Bob Casmus**, Committee on Competitive Safeguards and  
Medical Aspects of Sports, Catawba College

**Scott Caulfield**, National Strength and Conditioning  
Association

**Randy Cohen**, National Athletic Trainers' Association

**Bob Colgate**, National Federation of State High School  
Associations

**Dawn Comstock**, Associate Professor, University of  
Colorado, Denver

**Kevin Crutchfield**, M.D., American Academy of Neurology

**Ty Dennis**, Division II Student-Athlete Advisory  
Committee, Minnesota State University, Mankato

**Jon Divine**, M.D., President, American Medical Society  
for Sports Medicine

**Tom Dompier**, Ph.D., President, Datalys Center for  
Sports Injury Research and Prevention

**Jason Druzgal**, M.D., Neuroradiologist, University  
of Virginia

**Stefan Duma**, Ph.D., Director, School of Biomedical  
Engineering and Sciences, Virginia Polytechnic Institute  
and State University

**Ruben Echemendia**, Ph.D., President, Sports  
Neuropsychology Society

**Brent Feland**, M.D., Collegiate Strength and Conditioning  
Coaches Association

**Scott Gines**, Director of Athletics, Texas A&M University-  
Kingsville

**Kevin Guskiewicz**, Ph.D., University of North Carolina,  
Chapel Hill

**Allen Hardin**, Senior Associate Athletics Director,  
University of Texas at Austin

**Steven Hatchell**, President, National Football Foundation

**Bill Heinz**, Chair, Sports Medicine Advisory Committee,  
National Federation of State High School Associations

**Jaime Hixson**, Associate Commissioner, Mountain West  
Conference

**Peter Indelicato**, American Orthopaedic Society for  
Sports Medicine

**Nick Inzerello**, Senior Director, Football Development,  
USA Football

**Jay Jacobs**, Division I Strategic Vision and Planning  
Committee, Auburn University

**Chris Jones**, Division I Football Oversight Committee  
(proxy), University of Richmond

**Kerry Kenny**, Assistant Commissioner,  
Big Ten Conference

**Zachary Kerr**, Director, Datalys Center for Sports  
Injury Research and Prevention

**Anthony Kontos**, Ph.D., Assistant Research Director,  
Sports Medicine Concussion Program, University  
of Pittsburgh Medical Center

**William Lawler**, Southeastern Conference

**Josephine Lee**, Board Member, College Athletic  
Trainers' Society

**Donald Lowe**, Board Member, College Athletic  
Trainers' Society

**Jack Marucci**, Louisiana State University

**Thomas McAllister**, M.D., Principal Investigator,  
CARE Consortium

**Michael McCrea**, Ph.D., Principal Investigator,  
CARE Consortium

**William Meehan**, M.D., American Academy of Pediatrics

**Jason Mihalik**, Ph.D., University of North Carolina,  
Chapel Hill

**Bob Murphy**, Board Member, College Athletic  
Trainers' Society

**Bob Nielson**, Chair, NCAA Football Rules Committee  
**Scott Oliaro**, Board Member, College Athletic Trainers' Society  
**Kene Orjioke**, Division I Student-Athlete Advisory Committee, University of California, Los Angeles  
**Steve Pachman**, J.D., Montgomery McCracken  
**Julie Cromer Peoples**, Senior Woman Administrator, University of Arkansas, Fayetteville  
**Sourav Poddar**, M.D., American College of Sports Medicine  
**Kayla Porter**, Division III Student-Athlete Advisory Committee, Frostburg State University  
**Rogers Redding**, Secretary-Rules Editor, NCAA Football Rules Committee  
**Yvette Rooks**, Board Member, College Athletic Trainers' Society  
**Eric Rozen**, Board Member, College Athletic Trainers' Society  
**Scott Sailor**, President, National Athletic Trainers' Association

**Jon Steinbrecher**, Commissioner, Mid-American Conference  
**Ken Stephens**, National Operating Committee on Standards for Athletic Equipment  
**Edward Stewart**, Senior Associate Commissioner, Big 12 Conference  
**Michael Strickland**, Senior Associate Commissioner, Atlantic Coast Conference  
**Grant Teaff**, Executive Director, American Football Coaches Association  
**Buddy Teevens**, Coach, Dartmouth College  
**James Tucker**, M.D., Board Member, College Athletic Trainers' Society  
**Steve Walz**, Associate Director of Athletics, University of South Florida  
**Alfred White**, Senior Associate Commissioner, Conference USA

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## STAFF PARTICIPANTS

**Brian Burnsed**, Associate Director, Communications  
**Dawn Buth**, Associate Director, Sport Science Institute  
**Cassie Folck**, Coordinator, Sport Science Institute  
**Brian Hainline**, Chief Medical Officer, NCAA  
**Kathleen McNeely**, Chief Financial Officer, NCAA  
**Terrie Meyer**, Executive Assistant, Sport Science Institute

**John Parsons**, Director, Sport Science Institute  
**Chris Radford**, Associate Director, Public and Media Relations  
**Stephanie Quigg**, Director, Academic and Membership Affairs





# APPENDIX C

## ENDORISING ORGANIZATIONS

The following organizations have endorsed this document:

- American Association of Neurological Surgeons
- American Medical Society for Sports Medicine
- American Orthopaedic Society for Sports Medicine
- American Osteopathic Academy of Sports Medicine
- College Athletic Trainers' Society
- Collegiate Strength and Conditioning Coaches Association
- Congress of Neurological Surgeons
- Korey Stringer Institute
- National Athletic Trainers' Association
- National Strength and Conditioning Association
- National Operating Committee for Standards on Athletic Equipment
- Sports Neuropsychology Society

The following organization has affirmed the value of this document:

- American Academy of Neurology






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**NCAA**

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## INTERASSOCIATION RECOMMENDATIONS | CHECKLIST

# PREVENTING CATASTROPHIC INJURY AND DEATH IN COLLEGIATE ATHLETES

This checklist will help the athletics health care administrator to ensure that policies are in place and followed, and are consistent with this document, *Interassociation Recommendations: Preventing Catastrophic Injury and Death in Collegiate Athletes*.

1	TRAUMATIC: GENERAL	YES	NO	COMMENTS
	In all sports, all practices and competitions adhere to existing ethical standards.	<input type="checkbox"/>	<input type="checkbox"/>	
	In all sports, using playing or protective equipment as a weapon is prohibited during all practices and competitions.	<input type="checkbox"/>	<input type="checkbox"/>	
	In all practices and competitions, deliberately inflicting injury on another player is prohibited.	<input type="checkbox"/>	<input type="checkbox"/>	
	All playing and protective equipment, as applicable, meets relevant equipment safety standards and related certification requirements.	<input type="checkbox"/>	<input type="checkbox"/>	
	There is a regularly rehearsed emergency action plan consistent with the Concussion Safety Protocol Checklist for all venues at which practices or competitions are conducted.	<input type="checkbox"/>	<input type="checkbox"/>	
	There is a regularly rehearsed emergency action plan consistent with the Concussion Safety Protocol Checklist for all suspected concussions.	<input type="checkbox"/>	<input type="checkbox"/>	
	There is a regularly rehearsed emergency action plan consistent with the Concussion Safety Protocol Checklist for all suspected moderate or severe traumatic brain injuries.	<input type="checkbox"/>	<input type="checkbox"/>	
	There is a regularly rehearsed emergency action plan consistent with the Concussion Safety Protocol Checklist for all suspected cervical spine injuries.	<input type="checkbox"/>	<input type="checkbox"/>	
	Annual education and prevention strategies about catastrophic injuries are provided to all sports coaches.	<input type="checkbox"/>	<input type="checkbox"/>	
	Annual education and prevention strategies about catastrophic injuries are provided to all strength and conditioning professionals.	<input type="checkbox"/>	<input type="checkbox"/>	

encourage proper exercise acclimatization and to minimize the risk of adverse effects on health.	<input type="checkbox"/>	<input type="checkbox"/>	
The first seven days of any new conditioning cycle are considered a transition period and a time of physiologic vulnerability for athletes.	<input type="checkbox"/>	<input type="checkbox"/>	
Transition periods for athletes include, but are not limited to, returning after an injury or illness.	<input type="checkbox"/>	<input type="checkbox"/>	
Transition periods for athletes include, but are not limited to, returning after school break (e.g., winter, spring, summer).	<input type="checkbox"/>	<input type="checkbox"/>	

Emergency action plans are developed and rehearsed annually for head and neck injuries.	<input type="checkbox"/>	<input type="checkbox"/>	
Emergency action plans are developed and rehearsed annually for cardiac arrest.	<input type="checkbox"/>	<input type="checkbox"/>	
Emergency action plans are developed and rehearsed annually for exertional heat illness and heat stroke.	<input type="checkbox"/>	<input type="checkbox"/>	
Emergency action plans are developed and rehearsed annually for exertional rhabdomyolysis.	<input type="checkbox"/>	<input type="checkbox"/>	



SPORT SCIENCE  
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## INTERASSOCIATION RECOMMENDATIONS | CHECKLIST

# PREVENTING CATASTROPHIC INJURY AND DEATH IN COLLEGIATE ATHLETES

This checklist will help the athletics health care administrator to ensure that policies are in place and followed, and are consistent with this document, *Interassociation Recommendations: Preventing Catastrophic Injury and Death in Collegiate Athletes*.

1

### TRAUMATIC: GENERAL

YES

NO

COMMENTS

In all sports, all practices and competitions adhere to existing ethical standards.

☐☐

In all sports, using playing or protective equipment as a weapon is prohibited during all practices and competitions.

☐☐

In all practices and competitions, deliberately inflicting injury on another player is prohibited.

☐☐

All playing and protective equipment, as applicable, meets relevant equipment safety standards and related certification requirements.

☐☐

There is a regularly rehearsed emergency action plan consistent with the Concussion Safety Protocol Checklist for all venues at which practices or competitions are conducted.

☐☐

There is a regularly rehearsed emergency action plan consistent with the Concussion Safety Protocol Checklist for all suspected concussions.

☐☐

There is a regularly rehearsed emergency action plan consistent with the Concussion Safety Protocol Checklist for all suspected moderate or severe traumatic brain injuries.

☐☐

There is a regularly rehearsed emergency action plan consistent with the Concussion Safety Protocol Checklist for all suspected cervical spine injuries.

☐☐

Annual education and prevention strategies about catastrophic injuries are provided to all sports coaches.

☐☐

Annual education and prevention strategies about catastrophic injuries are provided to all strength and conditioning professionals.

☐☐



## PREVENTING CATASTROPHIC INJURY AND DEATH IN COLLEGIATE ATHLETES | CHECKLIST

TRAUMATIC: GENERAL   CONTINUED	YES	NO	COMMENTS
Annual education and prevention strategies about catastrophic injuries are provided to all primary athletics health care providers (i.e., team physicians and athletic trainers).	<input type="checkbox"/>	<input type="checkbox"/>	
Annual education and prevention strategies about catastrophic injuries are provided to all collegiate athletes.	<input type="checkbox"/>	<input type="checkbox"/>	
Annual education and prevention strategies about catastrophic injuries are provided to all athletics administrators.	<input type="checkbox"/>	<input type="checkbox"/>	

2 TRAUMATIC: CONTACT/COLLISIONS HELMETED SPORTS	YES	NO	COMMENTS
All contact/collision, helmeted practices and competitions adhere to existing ethical standards.	<input type="checkbox"/>	<input type="checkbox"/>	
All contact/collision, helmeted practices and competitions adhere to keeping the head out of blocking and tackling.	<input type="checkbox"/>	<input type="checkbox"/>	
All contact/collision, helmeted practices and competitions adhere to prohibiting the use of the helmet as a weapon.	<input type="checkbox"/>	<input type="checkbox"/>	
All contact/collision, helmeted practices and competitions adhere to not deliberately inflicting injury on another player.	<input type="checkbox"/>	<input type="checkbox"/>	
All contact/collision, helmeted practices and competitions adhere to maintaining and certifying helmets to existing helmet safety standards.	<input type="checkbox"/>	<input type="checkbox"/>	

3 NON-TRAUMATIC: GENERAL	YES	NO	COMMENTS
All practices and strength and conditioning sessions adhere to established scientific principles of acclimatization and conditioning.	<input type="checkbox"/>	<input type="checkbox"/>	
Conditioning periods are phased in gradually and progressively to encourage proper exercise acclimatization and to minimize the risk of adverse effects on health.	<input type="checkbox"/>	<input type="checkbox"/>	
The first seven days of any new conditioning cycle are considered a transition period and a time of physiologic vulnerability for athletes.	<input type="checkbox"/>	<input type="checkbox"/>	
Transition periods for athletes include, but are not limited to, returning after an injury or illness.	<input type="checkbox"/>	<input type="checkbox"/>	
Transition periods for athletes include, but are not limited to, returning after school break (e.g., winter, spring, summer).	<input type="checkbox"/>	<input type="checkbox"/>	

## PREVENTING CATASTROPHIC INJURY AND DEATH IN COLLEGIATE ATHLETES | CHECKLIST

NON-TRAUMATIC: GENERAL   CONTINUED	YES	NO	COMMENTS
Transition periods for athletes include, but are not limited to, beginning as a delayed start.	<input type="checkbox"/>	<input type="checkbox"/>	
Training and conditioning sessions are appropriately calibrated and include limitations on total volume and intensity of activity, especially during the first four days of transition periods.	<input type="checkbox"/>	<input type="checkbox"/>	
All workouts have a written plan that is exercise science-based, physiologically sport-specific, and tailored to the individual.	<input type="checkbox"/>	<input type="checkbox"/>	
Workout plans are approved by a credentialed strength and conditioning professional, or the responsible sport coach if a strength and conditioning professional is not available at the institution.	<input type="checkbox"/>	<input type="checkbox"/>	
Components of the workout plan include volume, intensity, mode and duration.	<input type="checkbox"/>	<input type="checkbox"/>	
The activity location is stated in the workout plan to accommodate venue-specific emergency action planning.	<input type="checkbox"/>	<input type="checkbox"/>	
Workout plans are reproducible upon request and shared with the primary athletics health care providers (team physician and athletic trainer) before the session in which they are to be used.	<input type="checkbox"/>	<input type="checkbox"/>	
Modification due to hazardous environmental conditions, scheduling considerations, etc., is supported. The amended workout plan maintains the above principles.	<input type="checkbox"/>	<input type="checkbox"/>	
Exercise never is used for punitive purposes.	<input type="checkbox"/>	<input type="checkbox"/>	
Educational background, sport experience and credentialing are verified for all strength and conditioning professionals.	<input type="checkbox"/>	<input type="checkbox"/>	
All strength and conditioning professionals have a reporting line into the sports medicine or sport performance lines of the institution.	<input type="checkbox"/>	<input type="checkbox"/>	
Emergency action plans are developed and rehearsed annually for all venues in which practices or competitions are conducted.	<input type="checkbox"/>	<input type="checkbox"/>	
Emergency action plans are developed and rehearsed annually for head and neck injuries.	<input type="checkbox"/>	<input type="checkbox"/>	
Emergency action plans are developed and rehearsed annually for cardiac arrest.	<input type="checkbox"/>	<input type="checkbox"/>	
Emergency action plans are developed and rehearsed annually for exertional heat illness and heat stroke.	<input type="checkbox"/>	<input type="checkbox"/>	
Emergency action plans are developed and rehearsed annually for exertional rhabdomyolysis.	<input type="checkbox"/>	<input type="checkbox"/>	

## PREVENTING CATASTROPHIC INJURY AND DEATH IN COLLEGIATE ATHLETES | CHECKLIST

NON-TRAUMATIC: GENERAL   CONTINUED	YES	NO	COMMENTS
Emergency action plans are developed and rehearsed annually for exertional collapse associated with sickle cell trait.	<input type="checkbox"/>	<input type="checkbox"/>	
Emergency action plans are developed and rehearsed annually for any exertional or non-exertional collapse.	<input type="checkbox"/>	<input type="checkbox"/>	
Emergency action plans are developed and rehearsed annually for asthma.	<input type="checkbox"/>	<input type="checkbox"/>	
Emergency action plans are developed and rehearsed annually for diabetic emergency.	<input type="checkbox"/>	<input type="checkbox"/>	
Strength and conditioning venues have emergency action plans specific to the venue, sport and circumstances.	<input type="checkbox"/>	<input type="checkbox"/>	
The institution has adopted requirements for the annual education and training for the prevention of sudden death in sport for strength and conditioning professionals.	<input type="checkbox"/>	<input type="checkbox"/>	
The institution has adopted requirements for the annual education and training for the prevention of sudden death in sport for sport coaches.	<input type="checkbox"/>	<input type="checkbox"/>	
The institution has adopted requirements for the annual education and training for the prevention of sudden death in sport for athletic trainers.	<input type="checkbox"/>	<input type="checkbox"/>	
The institution has adopted requirements for the annual education and training for the prevention of sudden death in sport for team physicians.	<input type="checkbox"/>	<input type="checkbox"/>	
The institution has adopted requirements for the annual education and training for the prevention of sudden death in sport for collegiate athletes.	<input type="checkbox"/>	<input type="checkbox"/>	
The institution has adopted requirements for the annual education and training for the prevention of sudden death in sport for athletics administrators.	<input type="checkbox"/>	<input type="checkbox"/>	



**INTERASSOCIATION RECOMMENDATIONS: PREVENTING  
CATASTROPHIC INJURY AND DEATH IN COLLEGIATE ATHLETES  
JULY 2019**

**Frequently Asked Questions**

This document was created to assist NCAA member institutions with questions about the document, *Interassociation recommendations: Preventing catastrophic injury and death in collegiate athletes*, which was endorsed on April 30, 2019 by the NCAA Board of Governors as association-wide policy – effective date August 1, 2019. These recommendations are the last to emerge from the 2016 NCAA Safety in College Football Summit. Unique relative to the other documents from this summit, they were developed and ultimately approved according to the Uniform Standard of Care procedures.

This document is divided into two parts. Part I addresses issues about the process by which the document was developed, reviewed and endorsed. Part II addresses issues about the content of the document itself and is shaped by questions that have emerged from the membership regarding the interpretation and implementation of the recommendations in the document.

**PART I: Process of developing the recommendations.**

*1. What is the Uniform Standard of Care policy?*

It is a procedural pathway that guides and facilitates communication between the Committee on Competitive Safeguards and Medical Aspects of Sports and the Board of Governors on issues of student-athlete health and safety that may require a consistent policy solution across the entire Association.

*2. What is the origin of the Uniform Standard of Care policy?*

In December 2016, the NCAA Division I Board of Directors requested CSMAS assistance to develop language to capture “unified standards of care” for student-athlete health and safety matters. This request was in support of its report to the NCAA Board of Governors Ad Hoc Committee on Structure and Composition, and specifically addressed the roles and responsibilities of the Board of Governors “to monitor and provide direction in student-athlete health and safety matters that require a unified standard of care and/or pose legal risk to the Association.”

In March 2017, CSMAS satisfied this request by recommending a policy framework that would facilitate association-wide action when, on occasion, an issue of significance arises that not only poses a substantial challenge to the principle of student-athlete well-being, but also requires a uniform, Association-wide response to address that challenge. The policy calls for CSMAS to evaluate such an issue against four criteria, and then to determine if referral to the Board of Governors is indicated. The four criteria are:

- a. The issue involves new scientific evidence with anticipated Association-wide importance.
- b. The issue will impact a core Association-wide value.
- c. The issue poses a legal risk to the Association.
- d. The issue poses a reputational risk to the Association.

The Board of Governors approved the CSMAS framework at its April 2017 meeting.

3. *How is the Uniform Standard of Care policy used?*

When an issue is identified by CSMAS as satisfying one or more of the four above criteria, the committee works collaboratively with the NCAA Sport Science Institute to develop a proposal for the Board of Governors that:

- a. Explains how the issue(s) satisfies one or more of these criteria.
- b. Demonstrates why an effective solution(s) to the problem requires a uniform, Association-wide approach.
- c. Suggests one or more actions to the Board of Governors that may contribute to the development or implementation of a uniform Association-wide solution.

These actions may include approving the development of an exploratory summit or task force to investigate the issue in question. In turn, such an event may give rise to consensus interassociation recommendations that are vetted for Association-wide policy, or rather an alternative pathway such as the production of educational products or reference documents, or some combination that is determined to best meet the needs of the membership as it acts to respond to the health and safety issue in question.

Documents that are considered as Association-wide policy are subjected to review and input by the NCAA membership and relevant scientific and medical organizations, with final review and approval by CSMAS and the Board of Governors.

4. *Is the interassociation recommendations on catastrophic injury prevention document a product of the Uniform Standard of Care procedures?*

Yes. The Board of Governors approved the development of the document within the construct of the Uniform Standards of Care procedures during its April 2017 meeting. Subsequently, the catastrophic injury document was written, and then reviewed by the collective leadership of all three NCAA divisions and medical/scientific organizations which were asked to endorse the document. Collectively, the recommendations in the document provide an Association-wide solution to mitigate catastrophic injury and death in collegiate athletes. The Board of Governors endorsed these recommendations at its April 30, 2019 meeting.

5. *Who wrote the document?*

The content of the document was informed by the 2016 NCAA Safety in College Football Summit, as well as available scientific literature on the topic of catastrophic injury. The document itself was written by a core writing group, designated at the summit, comprised of a physician and three athletic trainers/sport scientists.

6. *Did the membership review this document?*

Yes, extensively. According to the Uniform Standard of Care policy, the document was managed under the oversight of the CSMAS, the membership committee with responsibility to student-athlete health and safety.

In addition, the document was reviewed by governance leadership in all three divisions, including the Division I Strategic Visioning and Planning Committee, the Division I Council, the Division II and III Management Councils, and the Division II and III Presidents Councils.

7. *Did any other organizations review this document?*

Yes. The document was reviewed and ultimately endorsed by thirteen leading medical and scientific organizations, all of which were represented at the original 2016 summit. A list of endorsing organizations is available in appendix C of the document.

**PART II: Content of the recommendations.**

8. *Are these recommendations or requirements? What is the difference? What is the penalty for not following these recommendations?*

In both name and in structure, the document is presented as recommendations, rather than legislation. The membership's embracing these recommendations stems from the emerging standard of care they collectively illuminate.

The value of the endorsement of external scientific and medical organizations is that their endorsements validate the existence of a standard of care. Consequently, the recommendations are serving the membership by helping it to understand and respond to the existing landscape of expectations.

The Board of Governors' endorsement of the recommendations under the Uniform Standard of Care policy does not transform them into legislation. Instead, the Board of Governors' endorsement:

- a. Establishes the recommendations as Association-wide policy and priority.
- b. Simultaneously creates a pathway to uniformity and consistency in guidance provided to the Association as a whole.

Institutions are advised to review all the recommendations with campus general counsel and medical personnel to determine necessary and appropriate changes to protect and enhance the safety of student-athletes.

9. *The effective date of the document is August 1, 2019. Does this mean that all aspects of the document, including the reporting line of strength and conditioning professionals, must be in place by that date?*

August 1, 2019 is the starting line – not the finishing line – for school adoption of the recommendations in this document. Member schools should have begun the process of aligning with the document by August 1, 2019, utilizing the Checklist as a guide. This includes beginning the process of determining alignment strategies with strength and conditioning professionals.

10. *Previous versions of the document included foundational statements as an appendix. Why are the foundational statements not included in the final version of the document?*

The foundational statements were presented in previous versions of the document for the sake of transparency and to document the deliberations of the 2016 Safety in College Football Summit, from which this document arises. They were not legislative or policy recommendations. The foundational statements created confusion with the membership

and were frequently mistaken with the recommendations themselves. As a result, we have removed them from the final version and they are available upon request.

The six recommendations are presented in the document along with a Checklist that will help when planning local strategies for the prevention of catastrophic injury and illness.

11. *To whom do these recommendations apply? Are coaches responsible to these recommendations in the same way as athletics health care providers, administrators, and strength and conditioning professionals?*

As Board of Governors endorsed Association-wide policy, these recommendations apply to all athletics personnel. Anyone who has a role to play in the prevention of catastrophic injury and death in student-athletes should be aware of and understand these recommendations, and the corresponding campus-based policies that operationalize them. This includes coaches and their staffs.

Athletics health care administrators (AHCAs) have a unique role in facilitating campus alignment with these recommendations. As the primary administrative point of contact for health and safety at each member school, the AHCA has a special responsibility to ensure that the recommendations are broadly distributed and socialized amongst members of the athletic department. The AHCA may also lead in convening meetings and/or discussions amongst relevant stakeholders, or in developing local policies reflective of these recommendations.

12. *Some of the recommendations seem to require Association action rather than individual school action. Are “next steps” planned for some of these recommendations?*

Some recommendations may be immediately actionable at the institutional level (e.g., reporting structure for strength and conditioning professionals). Those recommendations that are have been written so as to maximize a school’s flexibility when strategizing about how best to align with the recommendation. This is the primary advantage of recommendations over legislation.

Other recommendations may require additional consideration and follow-up by the Association (e.g., identifying an issue as a reportable offense). In fact, we expect that one or more Association committees will decide to address several of these issues as part of their ongoing committee agendas. For example:

- a. CSMAS has decided to further explore the issues of acclimatization and transition periods, both of which are emphasized in the recommendations.



- b. This exploration may lead CSMAS to eventually make formal legislative recommendations. Such recommendation would then trigger further membership deliberation and debate according to well-established legislative pathways.
  - c. If the membership ultimately approves such legislation, it would represent a transformation of a recommendation into a legislative requirement.
13. *The document calls for every member school to establish policy to ensure annual certification, recertification and compliance, as appropriate, with all protective equipment standards. What if there is no standard for a piece of athletic equipment? How is “industry standard” to be decided?*

If there is not an industry standard for a specific piece of athletic equipment, then there is no need for the member school to establish such policy. However, school policy should clearly account for which pieces of protective equipment do and do not have such industry standards. Common pieces of protective equipment that have standards include, but are not limited to, football helmets, hockey helmets, lacrosse helmets, lacrosse balls, field hockey eye goggles, soccer shin guards, and batting helmets.

14. *The document states that exercise should never be used for punitive purposes. Is there a formal definition or description of exercise as punishment?*

The recommendations note that punishment workouts are based on intent and unsound physiological principles. However, beyond that, no formal definition is provided.

Punishment workouts are more than just “extra exercise.” In general terms, punitive workouts are motivated by anger or frustration and may include a volume and intensity of exercise corresponding to that anger and frustration. Such volume and intensity is not part of a planned workout and is not based on sound principles of exercise science and physiology, but rather is used to make athletes “tougher” or to create a team culture of “accountability.” Punitive exercises are unplanned, spontaneous, are inconsistent with the conditioning level of the athlete or team, are not logically progressive in intensity, and are not sport-specific in their nature. Common sense should prevail.

15. *The document calls for all training and conditioning sessions to be documented, reproducible upon request, and shared with the primary athletics health care providers before the session in which they are used. What is the purpose of these recommendations? Are team physicians and athletic trainers expected to review and approve all training and conditioning sessions?*

These recommendations are made to (1) Enhance the mindful and intentional application of strength and conditioning sessions, and (2) To enhance the awareness of such workouts by all staff with responsibility to student-athlete health and safety.

Documenting the sessions creates a formal, shareable record that should be both evidence- or consensus-based and sport-specific in its structure and implementation. It is also hoped that documenting such sessions will decrease the likelihood that strength and conditioning professionals and/or sport coaches will go “off-script” during the session. Non-evidence and non-consensus-based strength and conditioning, plus unplanned and/or punitive application of physical activity, have been associated with injury.

Primary athletics health care providers (team physicians and athletic trainers) are not expected to approve training and conditioning sessions. The document specifically assigns responsibility for approving strength and conditioning sessions to credentialed strength and conditioning professionals, or by head sport coaches at institutions in which strength and conditioning professionals are not available. But it is hoped that these recommendations lead to an increase in the awareness of primary athletics health care providers about such sessions and create enhanced opportunity for interdisciplinary oversight.

16. *The document states that all strength and conditioning professionals should have a reporting line into the sports medicine or sports performance service lines of the institution. What does “reporting line” mean? Can strength and conditioning professionals have a dotted line reporting relationship to a sport coach?*

“Reporting line” is synonymous with an organizational or personnel chart. The intent of the document is to guide schools regarding the avoidance of an intentional administrative relationship between a strength and conditioning professional and a sport coach. The document calls for schools to develop an administrative structure in which strength and conditioning professionals are fully integrated into either the sports medicine or the sport science/performance staff. The document does not preclude a secondary “dotted line” reporting line to a sport coach.

17. *The document calls for annual education and training of athletics personnel on a number of topics related to the prevention of catastrophic injury. Can schools begin to offer such education now? Will any assistance from the NCAA national office be provided?*

Yes, schools are encouraged to begin educating immediately, and in whatever way they determine is appropriate for the needs of their personnel.

In the meantime, the NCAA SSI will work in collaboration with CSMAS on the development of educational resources that can be used by member schools at their discretion. Such resources will be available to member schools over the next several months.



## Attestation of Compliance Who, What, When, Where and Why?

**Who:** Divisions I, II and III chancellors, presidents and directors of athletics.

**What:** An annual attestation of compliance.

*Chancellors and presidents* will attest that they understand the institutional obligations and personal responsibilities imposed by NCAA Constitution 2.1 (principle of institutional control and responsibility) and Constitution 2.8 (principle of rules compliance).

*Directors of athletics* will attest that the conditions for entry of individuals and teams in NCAA championship competition have been satisfied, including an attestation that they understand the institutional obligations and personal responsibilities imposed by Constitution 2.1 and Constitution 2.8 and that all athletics department staff members (full time, part time, clerical, volunteer) are aware of the institutional obligations and personal responsibilities imposed by Constitution 2.1 and Constitution 2.8. Additionally, athletics directors will attest that the policies, procedures and practices of the institution, its staff members and representatives of athletics interests are in compliance with the Association's legislation.

*Failure to complete* the attestation will subject the institution to removal from and/or ineligibility of individuals to serve on an NCAA board, council or committee. The institution also will be *ineligible* to compete in individual and team NCAA championships.

**When:** The annual requirement is effective Aug. 1, 2019, and the attestation course (video and form) will be released by that date. The course will need to be completed annually by Oct. 15.

**Where:** The courses will be completed through the NCAA Learning Management System. The NCAA LMS is also known as Division I University, Division II University and Division III University. Your institution's Single-Source Sign-On administrator will give you access to the system and assign the course.

**Why:**

- Recommendation from the Commission on College Basketball. The annual attestation for chancellors, presidents and athletics directors was a recommendation from the Commission on College Basketball. The commission recommended an expansion in individual accountability for NCAA rules violations for chancellors, presidents and directors of athletics.
- Response to Commission Recommendation. New legislation was adopted to specify that, in order to enter a team or individual in an NCAA championship or for an individual to serve on an NCAA committee, presidents, chancellors and directors of athletics must attest annually that the obligations to control and monitor athletics programs have been met.

- Role of the Chancellor/President and Director of Athletics. After further review of the legislation adopted in August 2018 by all three divisions, the divisions adopted a proposal in April 2019 that appropriately shifts some certification requirements related to the athletics program from the president to the athletics director.
- Constitutional Principles 2.1 and 2.8. The proposal maintains the emphasis on the principle of institutional control and responsibility, and the principle of rules compliance without the undue administrative requirement to have each individual staff member provide an attestation.
- Institutional Discretion. Institutions have the discretion to determine the best way to ensure their staffs are aware of their obligations.

**Timeline for the Attestation Requirement Recommendation, Adoption and Implementation:**

April 2018: Commission report released with legislative and policy recommendations.

August 2018: Enabling attestation legislation adopted in all three divisions.

April 2019: Adoption of athletics director certification of compliance requirements in all three divisions.

Aug. 1, 2019: Effective date for attestation requirement. Attestation courses in Division I University, Division II University and Division III University released to the membership.

Oct. 15: Deadline for chancellors/presidents and directors of athletics to complete the attestation requirement.



## How to Access the Attestation Course – Video and Form via Division I University, Division II University and Division III University

Beginning Aug. 1, 2019, the attestation course and form submission will occur through the NCAA Learning Management System. A video explaining the legislative requirement, followed by the attestation form, will be a part of the course.

**What is an LMS?** The LMS is a one-stop, web-based system that allows learning and knowledge sharing activities to be accessed anytime, anywhere by computer or mobile devices. In this case, the attestation course will be housed and distributed through the NCAA LMS, also known as Division I University, Division II University and Division III University.

### How to Access the Attestation Course – Video and Form:

Step	Action
1	Go to <a href="http://www.ncaa.org">www.ncaa.org</a>
2	Click on “MyApps” and log in using your institutional email and MyApps password and click on the “NCAA Learning Portal.”  This step will open your divisional learning portal in the LMS (e.g., Division I University, Division II University or Division III University).
3	From your divisional learning portal, click on “My Courses.”
4	From "My Courses" click “Launch” next to the title "President and Chancellor's Attestation of Compliance" or "Athletics Director's Attestation of Compliance."
5	Once the video is complete, click “Launch” next to the attestation signature. Here you will read the attestation statement, then check a box that you agree. Once the box is checked and you close the form, the process is complete.

A demonstration of how the Single-Source Sign-On administrator assigns courses for this process will occur during five scheduled webinars. SSO administrators also will receive instructions for adding participants to the LMS to complete the courses.

### Webinar Dates (all times are listed as Eastern time):

- 1 p.m. July 23
- 10 a.m. Aug. 14
- 2 p.m. Aug. 29
- 10 a.m. Sept. 10
- 11 a.m. Sept. 26

Note: The July 23 webinar will be recorded and available on the NCAA website for access at any time. An email communication with instructions to register for a webinar will come in early July.



## **Attestation Statements**

### **Presidents and Chancellors Attestation**

Read the text below and click the “Submit” button that follows.

- As a president or chancellor of an NCAA member institution I am ultimately responsible for controlling all aspects of intercollegiate athletics programs in compliance with the rules and regulations of the Association, including approval of the budget and audit of all expenditures.
- My institution (including all members of the institution’s staff, student-athletes, and other individuals and groups representing the institution’s athletics interests) must comply with all applicable rules and regulations of the Association in the conduct of its intercollegiate athletics programs. This includes a requirement to monitor programs, cooperate fully with the NCAA, and report violations if they occur.

By clicking the “Submit” button below, I attest that I understand the institutional obligations and personal responsibilities imposed by Constitution 2.1 (Principle of Institutional Control and Responsibility) and Constitution 2.8 (Principle of Rules Compliance).

### **Directors of Athletics Attestation**

Read the text below and click the “Submit” button that follows.

- It is the responsibility of each member institution to control its intercollegiate athletics program in compliance with the rules and regulations of the Association.
- My institution (including all members of the institution’s staff, student-athletes, and other individuals and groups representing the institution’s athletics interests) must comply with all applicable rules and regulations of the Association in the conduct of its intercollegiate athletics programs. This includes a requirement to monitor programs, cooperate fully with the NCAA, and report violations if they occur.

By clicking the “Submit” button below, I attest that I understand the institutional obligations and personal responsibilities imposed by Constitution 2.1 (Principle of Institutional Control and Responsibility) and Constitution 2.8 (Principle of Rules Compliance) and that all athletics department staff members (full-time, part-time, clerical, volunteer) are aware of the institutional obligations and personal responsibilities imposed by Constitution 2.1 and Constitution 2.8.

### **Certification of Compliance Requirement for Directors of Athletics**

By clicking the “Submit” button, I certify that my institution has met the requirements of NCAA Bylaw 18.4.2 as summarized below:

1. I, or a designated representative, reviewed the NCAA rules and regulations with all staff members of the athletics department.
2. As of the date I click “Submit,” no current member of the coaching staff, within the past two years, has been:
  - a. Suspended from coaching by another member institution.
  - b. Prohibited from certain coaching-related activities as a result of violations while employed by another member institution, unless my institution has applied the prohibition equally.
  - c. Permitted to perform any coaching-related activities that the Committee on Infractions has prohibited by a disciplinary action.
3. As best I can determine, the policies, procedures and practices of my institution, staff and representatives are in compliance with NCAA legislation.
4. If a member of Division I or II, my institution has:
  - a. Published its regular entrance requirements.
  - b. Published its requirements for progress toward a degree.
  - c. Certified that each student-athlete is in good academic standing and is maintaining progress toward a degree as required by Bylaw 14.4.