



The National Collegiate Athletic Association
Division III Management Council

Microsoft Teams

April 20-21, 2020

1. Welcome and announcements. (Heather Benning)
2. Roster. [Supplement No. 1] (Benning)
3. Review of summary and minutes. (Benning)
 - a. Summary of winter 2020 quarterly meetings. [Supplement No. 2]
 - b. Administrative Committee reports. [Supplement Nos. 3a, 3b, 3c, 3d and 3e]
4. Division III Philosophy Statement and Strategic Positioning Platform. [Supplement Nos. 4a and 4b] (Dan Dutcher)
5. Committee/subcommittee reports.
 - a. Division III Joint Presidents Council/Management Council committees and subcommittees.
 - (1) Convention-Planning Subcommittee. [Supplement No. 5] (Lori Mazza)
 - (2) Strategic-Planning and Finance Committee. [Supplement No. 6] (Chuck Brown)
 - (a) 2019-20 budget-to-actual. [Supplement No. 7a] (Mark Aiken)
 - (b) Future projections. [Supplement No. 7b] (Aiken/Louise McCleary)
 - (3) Joint Legislative Steering Committee. (Benning)
 - b. Management Council subcommittees.
 - (1) Subcommittee for Legislative Relief. [Supplement No. 8] (Jason Fein)
 - (2) Playing and Practice Seasons Subcommittee. [Supplement No. 9 will be distributed later] (Laura Mooney)

c. Division III committees.

- (1) Championships Committee. [Supplement Nos. 10a, 10b and 10c] (Jason Fein)
- (2) Committee on Infractions. (Sarah Feyerherm)
- (3) Financial Aid Committee. [Supplement Nos. 11a and 11b] (Kandis Schram)
- (4) Infractions Appeals Committee. (Tim Millerick)
- (5) Interpretations and Legislation Committee. [Supplement Nos. 12a and 12b] (Matt Hill)
- (6) Membership Committee. [Supplement No. 13] (Mooney)
- (7) Nominating Committee. [Supplement No. 14] (Scott McGuinness)
- (8) Student-Athlete Advisory Committee. [Supplement No. 15] (Cat Lanigan/Colby Pepper)
- (9) Committee on Student-Athlete Reinstatement. (Hill)

d. Association-wide committees.

- (1) Committee on Competitive Safeguards and Medical Aspects of Sports. [Supplement No. 16] (Michelle Walsh)
- (2) Committee on Sportsmanship and Ethical Conduct. (Michelle Morgan)
- (3) Committee on Women's Athletics. [Supplement No. 17] (Denise Udelhofen)
- (4) Minority Opportunities and Interests Committee. [Supplement No. 18] (Cheryl Aaron)
- (5) Honors Committee. (Chuck Brown)
- (6) Olympic Sports Liaison Committee. [Supplement No. 19] (Morgan)
- (7) Playing Rules Oversight Panel. [Supplement Nos. 20a] (Dan Calandro)
 - Discuss potential delay of implementation of new playing rules requirements (e.g. bat testing, three-point line). [Supplement No. 20b]
- (8) Postgraduate Scholarship Committee. [Supplement No. 21] (Aaron)
- (9) Research Committee. (Millerick)

- (10) Walter Byers Scholarship Committee. (Joe Walsh)
- 6. Proposed Legislation for the 2021 Convention. (Jeff Myers/Bill Regan)
 - a. Review of noncontroversial legislation approved by the Management Council. [Supplement No. 22]
 - b. Review administrative regulations approved by the Management Council. [Supplement No. 23]
 - c. Review of modifications of wording approved by the Management Council.
- 7. Division III Initiatives and updates.
 - a. Division III response to COVID-19. [Supplement Nos. 24a, 24b and 24c] (Dutcher)
 - b. Division III Oversight Working Group on Name, Image and Likeness (NIL). [Supplement No. 25] (Benning and Dutcher)
 - c. Diversity and Inclusion Working Group. [Supplement No. 26] (Bryant)
 - d. Faculty Athletics Representative (FAR) Advisory Group. [Supplement Nos. 27a and 27b] (Brown)
 - e. LGBTQ Working Group. [Supplement No. 28] (McGuiness)
 - f. Gameday the DIII Way. [Supplement No. 29] (Ali Spungen)
 - g. Division III Identity Initiative. [Supplement No. 30] (Adam Skaggs)
 - h. Technology Users Group. [Supplement No. 31] (McCleary)
 - i. 360 Proof. (Hartung)
 - j. International Ice Hockey Pilot. [Supplement Nos. 32a and 32b] (Hartung)
 - k. Division III Health and Safety Pilot Survey. [Supplement No. 33] (Bill Regan)
 - l. Feedback from conference meetings. (All)
- 8. Association-wide updates and issues.
 - a. Board of Governors update. [Supplement No. 34a, 34b, 34c] (Mark Emmert/Donald Remy/Cari VanSenus)

- (1) COVID-19.
 - (2) Federal and State Legislation Working Group (NIL).
 - (3) NCAA strategic plan. [Supplement No. 34d]
 - (4) Sexual violence prevention.
 - (5) Committee to Promote Cultural Diversity & Equity Report. [Supplement No. 34e]
 - (6) Governmental Relations report. [Supplement No. 34f]
 - (7) National office and COVID-19 response. [Supplement No. 34g]
 - b. Sports Science Institute updates and timeline. [Supplement No. 35a] (Brian Hainline)
 - (1) COVID-19.
 - (2) Arrington concussion settlement. [Supplement No. 35b]
 - (3) Guidance: Medical Care and Coverage for Student-Athletes at Away Events [Supplement No. 35c]
 - (4) Football Concussion Data Task Force meeting.
 - (5) Concussion Safety Task Force meeting.
 - c. Officiating update. [Supplement Nos. 36a and 36b] (Anthony Holman)
 - d. Litigation update. (Jess Kerr)
9. Future meeting schedule. [Supplement No. 37] (Benning)
10. Other business. (Benning)
11. Adjournment.



2020 NCAA Division III Management Council

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NCAA DIVISION III
PRESIDENTS AND MANAGEMENT COUNCILS
SUMMARY OF WINTER 2020
QUARTERLY MEETINGS

KEY ACTION/DISCUSSION ITEMS:

Championships Committee – Proposal No. R-2020-12 - Resolution.....	Page Nos. 5 - 6
Proposal No. 2020-5-1 – Permissible Expenses Provided for Practice and Competition – Golf Exception	Page No. 12
NC-2021-4 – NCAA Membership – Active Membership – Conditions and Obligations of Membership – Concussion Reporting.....	Page No. 13
Division III Oversight Working Group on Name, Image and Likeness (NIL)	Page Nos. 15-16
GOALS Study	Page No. 17

Division III Management Council January 22, 2020 Anaheim, California	Division III Presidents Council January 23, 2020 Anaheim, California	Division III Management Council January 25, 2020 Anaheim, California
<p>Stevie Baker-Watson, DePauw University <i>[chair]</i> Brad Bankston, Old Dominion Athletic Conference Heather Benning, Midwest Conference <i>[vice chair]</i> Stephen Briggs, Berry College Chuck Brown, Pennsylvania State University Erie, the Behrend Gerard Bryant, John Jay College of Criminal Justice Jason Fein, Bates College Sarah Feyerherm, Washington College (Maryland) Samantha “Sammy” Kastner, Notre Dame of Maryland University Lori Mazza, Western Connecticut State University Scott McGuinness, Washington and Jefferson College Tim Millerick, Austin College Laura Mooney, Massachusetts College of Liberal Arts Michelle Morgan, John Carroll University Colby Pepper, Covenant College Kate Roy, North Atlantic Conference Kandis Schram, Maryville College (Tennessee) Dennis Shields, University of Wisconsin, Platteville Denise Udelhofen, Loras College Michael Vienna, Emory University Joseph Walsh, Great Northeast Athletic Conference</p>	<p>Teresa Amott, Knox College Stevie Baker-Watson, DePauw University <i>[MC chair]</i> Heather Benning, Midwest Conference <i>[MC vice chair]</i> Javier Cevallos, Framingham State University Katherine (Kate) Conway-Turner, Buffalo State, State University of New York Mary-Beth Cooper, Springfield College Margaret Drugovich, Hartwick College Tiffany Franks, Averett University William Fritz, College of Staten Island Sue Henderson, New Jersey City University <i>[chair]</i> Troy Hammond, North Central College Robert Lindgren, Randolph-Macon College Fayneese Miller, Hamline University Tori Murden McClure, Spalding University <i>[vice chair]</i> Kathleen Murray, Whitman College Elsa Nunez, Eastern Connecticut State University Troy Paino, University of Mary Washington Kent Trachte, Lycoming College</p>	<p>Cheryl Aaron, Wentworth Institute of Technology Heather Benning, Midwest Conference <i>[chair]</i> Stephen Briggs, Berry College Charles (Chuck) Brown, Pennsylvania State University Erie, the Behrend Gerard Bryant, John Jay College of Criminal Justice Jason Fein, Bates College Sarah Feyerherm, Washington College (Maryland) Matt Hill, University of Northwestern – St. Paul Catherine “Cat” Lanigan, Juniata College Lori Mazza, Western Connecticut State University Scott McGuinness, Washington and Jefferson College Tim Millerick, Austin College Laura Mooney, Massachusetts College of Liberal Arts Michelle Morgan, John Carroll University Colby Pepper, Covenant College Kandis Schram, Maryville College (Tennessee) Dennis Shields, University of Wisconsin, Platteville Denise Udelhofen, Loras College Michael Vienna, Emory University Joseph Walsh, Great Northeast Athletic Conference Michelle Walsh, Vassar College</p>
OTHER PARTICIPANTS	OTHER PARTICIPANTS	OTHER PARTICIPANTS
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OTHER PARTICIPANTS, cont.	OTHER PARTICIPANTS, cont.	OTHER PARTICIPANTS, cont.
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1. WELCOME AND ANNOUNCEMENTS.

January 22 Management Council. The meeting was called to order at 8:01 a.m. by the chair, Stevie Baker-Watson. Baker-Watson welcomed the Council and reviewed the agenda.

Presidents Council. The meeting was called to order at 9:17 a.m. by the chair, President Sue Henderson. The chair welcomed existing Council members, incoming 2020 members Davie Jane Gilmour, Frank Sanchez and James Schmidt, and outlined the agenda.

January 25 Management Council. The meeting was called to order at 12:01 p.m. by the chair Heather Benning. The chair welcomed new Council members Cheryl Aaron, Matt Hill, Cat Lanigan, Michelle Walsh and Presidents Council chair Tori Murden-McClure.

2. REVIEW OF RECORDS OF PREVIOUS MEETINGS.

a. Management Council Meetings – October 21-22, 2019.

January 22 Management Council. The Management Council approved the summary of its October 21-22, 2019, meetings as presented.

Presidents Council. No action necessary.

b. Presidents Council Meeting – October 29-30, 2019.

January 22 Management Council. No action necessary.

Presidents Council. The Presidents Council approved the summary of its October 29-30, 2019, meetings as presented.

c. Administrative Committee Actions.

January 22 Management Council. The Management Council ratified the minutes of the November 6, November 7, and November 12, 2019, Administrative Committee actions.

Presidents Council. The Presidents Council ratified the minutes of the November 6, November 7, and November 12, 2019, Administrative Committee actions.

3. COMMITTEE RECOMMENDATIONS AFFECTING DIVISION III.

a. Division III Joint Presidents Council/Management Council Committees or Subcommittees.

(1) Convention-Planning Subcommittee.

Staff Liaisons:
Brown, McCleary,
Spungen

Council Reps:
Baker-Watson,
Franks, McGuinness,
Mazza, Pepper,
Mooney, Schram

• **2020 NCAA Convention.**

January 22 Management Council. The Council reviewed the following Convention documents:

- Division III delegates schedule.
- Division III-specific discussion checklist.
- Division III Issues Forum agenda.
- Division III Business Session agenda.

The Council noted the format for the Issues Forum would include round table discussion and feedback on the recommendations of the Board of Governors' Federal and State Working Group (Name, Image and Likeness).

Presidents Council. No action was necessary.

(2) Strategic-Planning and Finance Committee (SPFC).

(a) Division III Conference Grant Program.

January 22 Management Council. The Council noted the following: 1) Two conferences reported grant use not in alignment with the Strategic Conference Grant Program policies and procedures; (2) Three conferences were selected for a Level II assessment, per policy; and (3) the Conference Grant Impact Form due date was extended from July 15 to August 1.

Presidents Council. No action was necessary.

(b) Division III Strategic Plan Review.

January 22 Management Council. The Council noted that once the Association releases its updated Strategic Plan, which is slated for early May, a subcommittee of the Division III Strategic Planning and Finance Committee (SPFC) will conduct a review of the Division III Strategic Positioning Platform.

Presidents Council. No action was necessary.

Staff Liaisons:
Aiken, Dutcher,
Hartung, Kresge,
McCleary, Myers,
Spungen

Council Reps:
Baker-Watson,
Benning,
Drugovich,
Lindgren,
Murden-McClure,
Pepper, Roy,
Shields Trachte,
Walsh, Vienna

(c) **Budget.**

January 22 Management Council. The Council reviewed the preliminary budget-to-actual for 2019-20 noting the committee approved a reallocation of \$112K to a miscellaneous account due to prior denial of two Division III staff requests (a new FTE and a reclassification). The Council also reviewed budget projections through 2023-24, noting the spending down of cash reserves beyond the minimum reserve policy, while maintaining the 75%/25% ratio of championships to non-championships spending through 2024.

The Council requested future data that would show the current year's budget compared to the prior year.

Presidents Council. No action was necessary.

(3) **Joint Legislative Steering Subcommittee.**

January 22 Management Council. The subcommittee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

b. **Management Council Subcommittees.**

(1) **Subcommittee for Legislative Relief.**

January 22 Management Council. The subcommittee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

(2) **Playing and Practice Seasons Subcommittee.**

January 22 Management Council. The subcommittee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

c. **Division III Committees.**

(1) **Championships Committee.**

January 22 Management Council. The Council heard the committee report and took no position on Proposal No. R-2020-12, the resolution regarding softball and baseball national championship timing. The resolution would encourage the Division III Softball and Baseball Committees to collaborate on a plan with the Division III Championships Committee to address concerns related to the compression of the seasons due to weather issues resulting in increased

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Dutcher,
McCleary, Myers

Council Reps:
Baker-Watson;
Briggs, Cooper,
Feyerherm, Fritz,
Henderson,
Millerick, Shields

Staff Liaisons:
Purcell, Berg

Council Reps:
Fein, Mazza,
McGuinness, Roy,
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Alford, Suscha,
Romash

Council Reps:
Bankston,
Kastner, Mooney,
Morgan, Schram,
Vienna, Walsh

Staff Liaison:
Peterson-Mylin-
ski, Suscha

Council Reps:
Baker-Watson,
Bankston, Fein

missed games and class time. The resolution targets possible strategic implementation no later than the Spring 2023 season. The Council agreed that a plan also should account for additional factors such as budgetary impact. The Council also agreed to note during the Division III Business Session that relevant governance committees are already in the process of considering changes to championship timing in these sports.

Presidents Council. No action was necessary.

(2) Committee on Infractions.

January 22 Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

(3) Financial Aid Committee.

January 22 Management Council. The Council received an update on the committee's recent analysis of the graduate/post-graduate transfer student-athlete cohort. It also heard the committee's response to concerns with the recent changes by the National Association for College Admission Counseling (NACAC) to its code of ethics. Staff, at the direction of the committee, will send a letter to all Division III institutions highlighting the recent NACAC changes and the continuing need for compliance with Bylaw 15 (Financial Aid).

The Council received the Financial Aid Committee's report that identified 30 Level I Reviews this fall, 27 fewer than last year. The committee took the following actions: (1) Voted to take no action on 13 cases; and (2) Voted to forward 17 Level I cases to a Level II review, 15 fewer than last year.

Presidents Council. No action was necessary.

(4) Infractions Appeals Committee.

January 22 Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

(5) Interpretations and Legislative Committee (ILC).

January 22 Management Council. The Council denied two interpretative appeals. The first was an appeal of an interpretation regarding whether an institution may award funds from donor endowments that support the financial aid budget if the endowments reference some aspect of athletes,

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Cooper,
Elworth, Kleppel

Council Rep:
Feyerherm

Staff Liaisons:
Hartung, Alford

Council Rep:
Schram

Staff Liaison:
Walters

Council Rep:
Fritz, Roy

Staff Liaisons:
Myers, Purcell,
Regan

Council Rep:
Morgan

but the assignment of endowments to specific students does not occur until months after such student-specific financial aid awards are made. The Council directed the Financial Aid Committee to review the continued relevance of the existing legislation and subsequent interpretations. Further, it recommended continued membership education on this topic. The second appeal related to an interpretation regarding the legislative requirements for continuity of conference composition to fulfill the two-year waiting period to earn championships automatic qualification.

Presidents Council. No action was necessary.

Staff Liaisons:
Alford, Berg,
Hartung

Council Rep:
Mooney

(6) **Membership Committee**

January 22 Management Council. The committee reviewed the committee's report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaison:
Roe

Council Rep:
Mazza

(7) **Nominating Committee.**

January 22 Management Council. The Council approved the following governance committee appointments to the Division III Student-Athlete Advisory Committee (SAAC), effective at the close of the 2020 NCAA Convention: (1) Mercy Ogutu, Trinity Washington University, Independents; and (2) Janne Brown, Aurora University, Northern Athletics Collegiate Conference.

Presidents Council. No action was necessary.

(8) **Student-Athlete Advisory Committee (SAAC).**

January 22 Management Council. The committee highlighted two key initiatives:

- Mental Health. SAAC will announce its spring mental health social media campaign, scheduled for the first week of May, during the Division III Business Session. The campaign centers around #BreakTheStigma. A video will be shown at the Business Session emphasizing the committee's commitment and providing a visual example of breaking the stigma.
- Sustainability. The committee has made a strong commitment to help athletics departments and student-athletes focus on sustainability this year. The Division III Convention gift (i.e., a metal straw and cup) supports this effort. A resource document regarding this important pillar is nearing completion, which will provide data showing the cost-saving measures and important environmental impact made by implementing sustainable practices.

Staff Liaisons:
Barnhart, Berg,
Malin, Spungen

Council Reps:
Kastner, Pepper,
Bryant, Udelhofen

In November, the committee elected its new officers – Braly Keller, Nebraska Wesleyan University, will be chair and Annabelle Feist, Williams College, will be the vice-chair. The new Management Council liaison is Catherine “Cat” Lanigan, Juniata College. Colby Pepper, Covenant College, is a returning Council member.

Presidents Council. No action was necessary.

Staff Liaisons:

**Grace,
Romash**

**Council Rep:
Benning**

(9) Student-Athlete Reinstatement (SAR) Committee.

(a) Application of Withholding Conditions for Season-of-Participation Waivers – Participation While Eligible, Hardship Waivers and Hardship Waiver Appeals.

January 22 Management Council. The Council noted the committee reviewed waivers in which the reinstatement staff or committee imposed withholding as a condition of reinstatement. The committee determined when a season-of-participation waiver – participation while eligible, and hardship waivers are approved with a withholding condition, the institution must apply the withholding condition to the first regularly scheduled contest(s) or date(s) of competition during the student-athlete’s next season of participation and pursuant to student-athlete reinstatement policies and procedures specific to competition used to fulfill withholding conditions.

Presidents Council. No action was necessary.

(b) Documentation Standards for Waivers Involving Financial Hardship or Other Hardship Unrelated to Injury or Illness.

January 22 Management Council. The Council noted the committee amended its guideline to state that situations which gradually develop into extreme financial difficulties may satisfy the specific-event requirement of the legislation if: (1) The financial hardship is supported by objective documentation; (2) The extreme financial difficulties directly impact the student-athlete’s ability to participate during the academic year in question; and (3) The institution demonstrated a subsequent change in the student-athlete’s financial circumstances that enabled the student-athlete to return to participation. Additionally, the committee provided guidance on documentation standards for waivers involving hardship unrelated to injury or illness and directed reinstatement staff to continue a case-by-case review.

Presidents Council. No action was necessary.

(c) **Practice or Competition During Final Semester of Enrollment While Enrolled Less Than Full Time.**

January 22 Management Council. The Council noted the committee reviewed scenarios where a student-athlete uses a final term exception to practice or compete while enrolled in less than a minimum full-time program of studies and fails to complete degree requirements during that term or is not eligible to receive a baccalaureate or graduate diploma on the institution's next degree-granting date. The committee determined review authority for restoration of the student-athlete's eligibility in these circumstances should be transferred from the NCAA Subcommittee for Legislative Relief to the Committee on Student-Athlete Reinstatement on a case-by-case basis.

Presidents Council. No action was necessary.

d. **Association-Wide and Common Committees.**

Staff Liaisons:
Parsons

Council Rep:
Baker-Watson

(1) **Competitive Safeguards and Medical Aspects of Sports (CSMAS).**

• **Concussion Reporting Obligation.**

January 22 Management Council. [See Page No. 13, Item No. 5e]

Presidents Council. No action was necessary.

Staff Liaisons:
Brownlee,
Roxbury, Ziegler

Council Rep:
Morgan

(2) **Committee on Sportsmanship and Ethical Conduct.**

January 23 Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:
Fasbender,
Gentry, Wilson

Council Rep:
Udelhofen

(3) **Committee on Women's Athletics (CWA).**

January 22 Management Council. The Council reviewed the committee's report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaison:
Roe

Council Rep:
Brown

(4) **Honors Committee.**

January 22 Management Council. The Council reviewed the committee's report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:
Abdur-Rashid,
Blair, Crawford,

Council Rep:
Bryant

(5) **Minority Opportunities and Interests Committee (MOIC).**

- **Athletics Diversity and Inclusion Designation (ADID) Legislative Proposal Updates and Discussion.**

January 22 Management Council. Staff provided an overview and update on the progress of the ADID proposal. Divisions II and III will vote on the legislation in January 2020, and Division I will vote in April 2020. The committee discussed next steps should the legislation be adopted, which include producing resources similar to the athletics healthcare designation. The committee also discussed the possibility of a training video with FAQs regarding the designation and an online one-page resource document.

Presidents Council. No action was necessary.

Staff Liaisons:
Abdur-Rashid,
Blair, Crawford,
Fasbender,
Gentry, Wilson

Council Rep:
Bryant,
Udelhofen

(6) **Joint Committee on Women's Athletics/Minority Opportunities and Interests Committee.**

January 22 Management Council. The Council reviewed the committee's joint report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:
Smith, Suscha,

Council Rep:
Walsh

(7) **Olympic Sports Liaison Committee (OSLC).**

January 22 Management Council. The Council reviewed the committee's report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaisons:
Calandro,
Halpin

(8) **Playing Rules Oversight Panel.**

January 22 Management Council. The Council received an update on the current officiating issues in Division III as well as the NCAA's role and current and potential initiatives to address those issues. The Division III Commissioners Association hired a contractor (Pictor Group) to study the issue and propose solutions to close the officiating gaps. The NCAA has also contracted the Pictor Group to oversee an Association-wide review. Officiating study results are slated for a June 2020 release. The NCAA has established an Officiating Review Steering Committee that includes representatives from all three divisions. The review is comprehensive and ambitiously includes 25 men's and women's NCAA sports. The role of the steering committee will be to help guide the information and data gathering process, assist with outreach to membership as well as the officiating communities, and to advise during the data analysis, report preparation and final recommendations.

Presidents Council. No action was necessary.

Staff Liaison:
Thomas

Council Rep:
Brown

(9) Postgraduate Scholarship Committee.

January 22 Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaison:
Bell

Council Rep:
Millerick

(10) Research Committee.

January 22 Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Staff Liaison:
Thomas

Council Rep:
Walsh

(11) Walter Byers Scholarship Committee.

January 22 Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

4. 2020 NCAA CONVENTION LEGISLATION.

a. Review of 2020 NCAA Convention Notice and Program.

Staff Liaisons:
Meyers, Regan

Council Rep:
Morgan

January 22 Management Council. The Council reviewed the Convention Notice and Program. No action was necessary.

Presidents Council. No action was necessary.

b. Legislative Proposal Question and Answer Guide.

January 22 Management Council. The Council reviewed the Legislative Q & A document. No action was necessary.

Presidents Council. No action was necessary.

c. Review of Parliamentary and Voting Issues.

January 22 Management Council. The Council approved the 2020 NCAA Convention Mootnicity and related parliamentary issues document.

Presidents Council. The Presidents Council approved the parliamentary and voting issues document.

d. Proposal No. 2020-5-1. Permissible Expenses Provided for Practice and Competition – Golf Exception.

January 22 Management Council. The Council supported Proposal No. 2020-5-1, the amendment to Proposal No. 2020-5, that would limit institutions to only provide practice expenses in the sport of golf during an official vacation period regardless of location.

The cosponsors have reconsidered the original proposal, which also included swimming and diving, since those student-athletes are able to prepare adequately with indoor training in contrast to the opportunities available for golf student-athletes.

Presidents Council. The Presidents Council approved the Management Council's recommendation to support Proposal No. 2020-5-1, the amendment to Proposal No. 2020-5.

e. Review of Position Papers and Speaker Assignments.

January 22 Management Council. The Council reviewed the position papers and speaker assignments.

Presidents Council. The Presidents Council reviewed the position papers and speaker assignments, noting the six proposals in the Presidential Grouping.

f. Proposal No. R-2020-12: Resolution – Softball/Baseball National Championship Tournament Timing.

January 22 Management Council. The Council took no position on the resolution. [See Page Nos. 5-6 c (1)]

Presidents Council. No action was necessary.

5. 2021 NCAA CONVENTION LEGISLATION.

a. ADM-2021-1 – Executive Regulations – Administration of NCAA Championships – Restricted Advertising and Sponsorship Activities.

January 22 Management Council. The Council approved, in legislative format, a proposal to move the restrictions on advertising and sponsorship activities in conjunction with NCAA championships from legislation to NCAA policy.

Presidents Council. No action was necessary.

b. NC-2021-1 – Eligibility – Transfer Regulations – Two-Year College Transfers – Exceptions – Satisfying Exception.

January 22 Management Council. The Council approved, in legislative format, a proposal to specify that a student-athlete who successfully completes the required two full-time

semesters and 24-semester or 36 quarter hours of transferable-degree credit by enrolling at a two-year institution and at the certifying Division III institution shall be eligible for competition.

Presidents Council. No action was necessary.

c. NC-2021-2 – Various Bylaws – United States Olympic and Paralympics Committee Name Change.

January 22 Management Council. The Council approved, in legislative format, a proposal to specify that legislation applicable to Olympic Games and Olympic teams and athletes shall apply to the Paralympic Games and Paralympic teams and athletics; further, to specify that legislation applicable to the Pan American Games shall apply to the Parapan American Games.

Presidents Council. No action was necessary.

d. NC-2021-3 – Ethical Conduct – Sports Wagering Activities – Suspension by a Non-NCAA Sports Governing Body.

January 22 Management Council. The Council approved, in concept and legislative format, a proposal to specify that a student-athlete under a sport wagering related suspension from a non-NCAA national or international sports governing body shall not participate in intercollegiate competition for the duration of the suspension.

This provision is similar to current legislation that precludes a student-athlete from participation in intercollegiate competition if they are under a drug related suspension for a non-NCAA athletics organization.

Presidents Council. No action was necessary.

e. NC-2021-4 – NCAA Membership – Active Membership – Conditions and Obligations of Membership – Concussion Reporting.

January 22 Management Council. The Council, approved, in legislative format, a proposal to specify that an active member institution shall report all instances of diagnosed sport-related student-athlete concussions and the concussion resolutions to the NCAA on an annual basis pursuant to policies and procedures maintained by the Committee on Competitive Safeguards and Medical Aspects of Sports.

This proposal has an immediate effective date for the NCAA's establishment of a reporting process and system, and policies and procedures. Institutions are required to report sport-related concussions, and concussion resolutions diagnosed May 18, 2020, and thereafter. Timing of reporting will be determined by CSMAS.

Presidents Council. No action was necessary.

6. DIVISION III INITIATIVES AND UPDATES.

a. Diversity and Inclusion Working Group.

• **Division III Diversity Fast Facts.**

January 22 Management Council. Staff provided an update on the latest Division III diversity trends. In the last five years, the percent of Division III student-athletes of color has risen from 22% to 26%. However, the student-athlete population is not nearly as racially diverse as the general undergraduate student body. In 2019, women held a slight majority of the associate athletics director positions at 51%, up three percentage points from 2014. People of color continue to hold fewer than one in ten of administrative positions. However, in 2019 people of color made up 16% of the new athletics directors, which was an increase from 10% of new hires five years ago.

The Council discussed the division's various programs to enhance diversity, including the Student Immersion Program, which provides funding for ethnic minority students with a strong interest in a career in Division III coaching and/or athletics administration to attend the NCAA Convention. This is the fifth year of the program, which has involved approximately 200 students.

Presidents Council. No action was necessary.

b. Faculty Athletics Representatives (FAR) Advisory Group.

January 22 Management Council. The advisory group reviewed Convention Proposal No. 2020-6 and took no position. It noted that this proposal is permissive legislation and questioned the necessity of team-specific leadership training in lieu of department-wide training.

The group continues to evaluate the best means of communication between the advisory group and FAR membership. The common communication channel is for the FAR serving as liaison for a conference to communicate directly with the chair of the advisory group concerning issues. The group will discuss ways to better formulate these lines of communication.

Presidents Council. No action was necessary.

c. LGBTQ Working Group.

January 22 Management Council. In February, the LGBTQ working group will launch the inaugural LGBTQ OneTeam recognition award program. The nomination process will be available on NCAA Program Hub from February 1 until June 1. The inaugural recipients will include an LGBTQ student-athlete, an LGBTQ coach or athletics administrator and an institution or conference that excels in promoting LGBTQ inclusion. The recipients will be announced at the 2021 NCAA Convention.

Presidents Council. No action was necessary.

d. Technology Users Group.

January 23 Management Council. The Council received an update from the users group regarding: (1) Genius Sports – free until 2021, then fee will be capped at \$150 per sport/per year, similar to Stat Crew; and (2) A concept to add an email tile to My Apps. This concept was to help create a repository of NCAA emails. The Council noted the addition of an email tile was not good use of time and resources.

Presidents Council. No action was necessary.

e. Division III Identity Initiative.

January 23 Management Council. The Council received a status report on the Division III Identity Initiative, including an update on the purchasing website, Division III/D3SIDA Recognition Award, social media, Special Olympics, website content and Division III Week slated for April 13-19, 2020.

Presidents Council. No action was necessary.

g. FAR Fellows Institute.

January 22 Management Council. The Council noted 25 faculty athletics representatives (FARs) participated in the ninth offering of the FAR Fellows Institute October 18-20 at the national office in Indianapolis. The attendees of the 2019 Institute had relatively low tenure for a leadership institute (most had two or three years). Thus, the planning team recommended a format change to run the Institute one out of every three years (replacing the previous format of once every two years), and to offer the New FAR Orientation two out of three years. Also, it was recommended that the Institute be held in conjunction with the FARA Annual Meeting to promote attendance at that event and allow for additional programming for Institute attendees.

Presidents Council. No action was necessary.

h. Division III Oversight Working Group on Name, Image and Likeness (NIL).

January 22 Management Council. In late October, the NCAA Board of Governors voted unanimously to permit student-athletes the opportunity to benefit from the use of their name, image and likeness in a manner consistent within the collegiate model. With the board's directive to each division to consider modifying and modernizing relevant bylaws and policies consistent with the Association's values and principles, the Council discussed the topic and next steps for Division III.

According to the Board of Governors, changes must be based on the following principles:

- Payment to student-athletes for use of their name, image or likeness should not be for athletics performance or participation, nor should the payment serve as an inducement to select a particular school.
- Regulation of a student-athlete's name, image or likeness use should be transparent, narrowly tailored and enforceable, and it should facilitate the principle of fair competition among schools in a division, including the integrity of the recruiting process.

- Student-athletes should be able to use their name, image or likeness similar to college students who are not student-athletes, unless there is a compelling reason to differentiate.

Current specific exceptions allowing use of Division III student-athletes' name, image and likeness include institutional, charitable, educational and nonprofit; modeling and other nonathletically-related promotional activity; media activities; and a student-athlete's own businesses.

In November, staff held two educational webinars. In December, Division III established an Oversight Group to oversee the consideration of the NIL concepts within the Division III governance structure. It will ensure that all recommendations are forwarded to relevant Division III committees and establish the timetable for committee review and response.

During the Issues Forum, the delegates will receive background information, state and federal legislative updates, current Division III NIL legislative and interpretative standards and proposed models to modify Division III legislation. Crowdfunding, testimonials, brand ambassadors and private lessons will be identified as areas to consider when assessing potential rule changes.

The Council understands that it will receive relevant governance committee feedback at its April meeting and additional membership feedback during the spring and early summer, in time for the Presidents Council to sponsor legislation no later than January 2021.

Presidents Council. No action was necessary.

i. Feedback from Conference Meetings.

January 22 Management Council. The Council reviewed reports from conference meetings. No action was necessary.

Presidents Council. No action was necessary.

7. ASSOCIATION WIDE UPDATES AND ISSUES.

a. Board of Governors Update.

January 22 Management Council and Presidents Council. The Councils received an update on Board of Governors initiatives including: (1) Name, Image and Likeness; (2) Student-Athlete Engagement Committee; and (3) Government Relations Report.

b. Sport Science Institute (SSI) Updates.

January 22 Management Council and Presidents Council. Dr. Hainline provided an update on the SSI's strategic priorities timeline. Key updates included the following:

- The Arrington settlement was finalized in late November. The settlement agreement provides NCAA member institutions with an opportunity for a release from certain legal claims. To benefit from the release, member institutions must: (1) Certify, one-time, in writing to a court identified representative that they have put

in place a concussion management plan; (2) Conduct annual baseline testing of all student-athletes; and (3) Ensure that all return to play is approved by a team physician.

- The Council also approved noncontroversial legislation to specify that an active member institution shall report all instances of diagnosed sport-related concussions in student-athletes on an annual basis. CSMAS and SSI will coordinate the reporting process.
- The Concussion Safety Advisory Group will hold its second meeting in February. The group reviews existing and emerging science, best practices and policy in order to recommend changes to the concussion safety protocol checklist. The checklist is the basis of the concussion safety protocol legislation.
- SSI anticipates an April Council report from the internal task force charged to review issues arising from scenarios where the visiting team does not travel with a primary athletics health care provider and legal liabilities for the home athletics trainer.

c. GOALS Study.

January 22 Management Council. NCAA Research staff provided an update on the most recent GOALS Study. The study included more than 20,000 student-athletes and the data is representative. The study looks at pre-college experiences; athletics and academics experiences; time demands; relaxation and socialization and mental wellness.

Some key Division III-specific findings:

- Student-athletes are specializing in sports at an earlier age (average 12 years old) and a quarter believe they may compete professionally.
- Eighty-five percent of women noted academics was a key factor in their college choice.
- An average of 54% noted that what they were told in the recruiting process regarding their role on the team turned out to be accurate.
- On average, student-athletes spend 28 hours per week on athletics and 40 hours per week on academics.
- Student-athletes reported an average of 15 hours per week spent on socialization.
- Two-thirds note finding a balance between academics and athletics.
- Approximately a quarter feel overwhelmed when they enter college. However, 80% believe that their coach cares about their mental health.
- The data show that student-athletes feel their coaches are creating an inclusive culture.

The GOALS data will be released to the membership.

Presidents Council. No action was necessary.

d. Litigation Update.

January 22 Management Council and Presidents Council. The Councils accepted the Litigation Report. No action was necessary.

e. SWA Resource.

January 22 Management Council. The Council reviewed the newest SWA resource that provide tips to overcome division-specific barriers. All Division III delegates will receive this resource at Saturday's Business Session.

Presidents Council. No action was necessary.

8. 2020 CONVENTION LOGISTICS.

- **Programming and Logistics.**

January 25 Management Council. The Council discussed the 2020 NCAA Convention and provided feedback regarding future Convention programming and logistics.

9. OTHER BUSINESS.

- **Acknowledgement of Departing Council Members.**

January 22 Management Council. Stevie Baker-Watson acknowledged departing Council members, Brad Bankston, Samantha "Sammy" Kastner and Kate Roy, thanking them for their service on behalf of the Association. Vice chair Heather Benning thanked Stevie Baker-Watson for her service as chair of the Management Council.

Presidents Council. President Henderson acknowledged departing Council members, Margaret Drugovich, William Fritz and Elsa Nunez, thanking them for their service on behalf of the Association. Vice chair Tori Murden-McClure thanked Sue Henderson for her service as chair of the Presidents Council.

10. ADJOURNMENT.

January 22 Management Council. The Management Council meeting adjourned at 2:15 p.m.

Presidents Council. The Presidents Council meeting adjourned at 11:22 a.m.

January 25 Management Council. The Management Council meeting adjourned at 1:30 p.m.



REPORT OF THE NCAA DIVISION III ADMINISTRATIVE COMMITTEE
JANUARY 23, 2020, ELECTRONIC MAIL

ACTION ITEM.

1. Legislative Item.

- None.

2. Nonlegislative Items.

a. Request to Extend the Terms of Two Members of Division III Infractions Appeals Committee.

- (1) Recommendation. That the Division III Management and Presidents Councils ratify the extension of Infraction Appeals Committee terms for Kate Roy (associate commissioner of North Atlantic Conference) and William Fritz (president, College of Staten Island and chair of the Infractions Appeals Committee).
- (2) Effective Date. Immediate.
- (3) Rationale. The Infractions Appeals Committee has one pending case, which will be decided on the written record. The committee has scheduled its initial deliberation of this case for mid-March 2020, and it is anticipated that the final decisions will be issued in late April or May. With two new committee members beginning their terms in January 2020 without prior infractions appeals experience, the committee feels it would likely create confusion for the parties and disrupt the resolution of the case. In order to maintain continuity and ensure timely resolution of the case, the committee requests the Administration Committee approve the extension of both terms until June 1, 2020.
- (4) Estimated Budget Impact. None.
- (5) Student-Athlete Impact. None.

b. Request to Extend Term on Division III Committee on Infractions.

- (1) Recommendation. That the Division III Management and Presidents Councils ratify the extension of term for Christopher "Chris" Bledsoe, director of athletics and assistant vice president for student affairs at New York University, on the Committee on Infractions from January 15 to January 31, 2020.
- (2) Effective Date. Immediate.
- (3) Rationale. Currently, the Division III Committee on Infractions is scheduled to release an infractions decision on Tuesday, January 28, 2020. The requested extension will allow Mr. Bledsoe to continue his committee service until the scheduled release date of January 28.

(4) Estimated Budget Impact. None.

(5) Student-Athlete Impact. None.

INFORMATIONAL ITEM.

- **None.**

Committee Chair: Sue Henderson, New Jersey City University, New Jersey Athletic Conference

*Staff Liaisons: Dan Dutcher, Division III Governance
Debbie Kresge, Division III Governance
Louise McCleary, Division III Governance
Jeff Myers, Academic and Membership Affairs
Ali Spungen, Division III Governance*

NCAA Division III Administrative Committee
January 23, 2020, Electronic Mail
Attendees:
Stevie Baker-Watson, DePauw University.
Heather Benning, Midwest Conference.
Sue Henderson, New Jersey City University.
Tori Murden McClure, Spalding University.
Dennis Shields, University of Wisconsin, Platteville.



REPORT OF THE NCAA DIVISION III ADMINISTRATIVE COMMITTEE
FEBRUARY 19, 2020, ELECTRONIC MAIL

ACTION ITEM.

1. Legislative Item.

- None.

2. Nonlegislative Items.

a. Governance Committee Appointments.

- (1) Recommendation. That the Division III Management and Presidents Councils ratify the following committee appointments.
 - (a) NCAA Division III Committee on Infractions – Richard Lapidus, president, Fitchburg State University, Massachusetts State Collegiate Athletic Conference (replacing Christopher Bledsoe).
 - (b) NCAA Division III Financial Aid Committee – Kathleen Brown, financial aid director, Saint Mary's College (Indiana), Michigan Intercollegiate Athletic Association (replacing Joyce Farmer due to conference duplication).
 - (c) NCAA Honors Committee – Christopher Davis, vice president, chief security officer, NASCAR, public member; and Anita DeFrantz, president, Tubman Truth Project, public member.
 - (d) NCAA Minority Opportunities and Interests Committee – Marylou Yam, president, Notre Dame of Maryland University, Colonial States Athletic Conference (replacing Bill Tsutsui).
 - (e) NCAA Division III Student-Athlete Advisory Committee – Jack Mulvihill, St. John Fisher College, Empire 8; Connor Lambert, St. Lawrence University, Liberty League; and Devonte Amos, University of Wisconsin-La Crosse, Wisconsin Intercollegiate Athletic Conference.
- (2) Effective Date. Immediate.
- (3) Rationale. The committee reviewed the slate of nominees for each committee and forwarded these individuals for appointment.
- (4) Estimated Budget Impact. None.
- (5) Student-Athlete Impact. None.

INFORMATIONAL ITEM.

- **None.**

Committee Chair: Tori Murden-McClure, Spalding University, St. Louis Intercollegiate Athletic Conference

*Staff Liaisons: Dan Dutcher, Division III Governance
 Debbie Kresge, Division III Governance
 Louise McCleary, Division III Governance
 Jeff Myers, Academic and Membership Affairs
 Ali Spungen, Division III Governance*

NCAA Division III Administrative Committee
February 19, 2020, Electronic Mail
Attendees:
Heather Benning, Midwest Conference.
Gerard Bryant, John Jay College of Criminal Justice.
Fayneese Miller, Hamline University.
Tori Murden McClure, Spalding University.
Dennis Shields, University of Wisconsin, Platteville.



REPORT OF THE NCAA DIVISION III ADMINISTRATIVE COMMITTEE
FEBRUARY 25, 2020, IN-PERSON MEETING

ACTION ITEM

- None.

INFORMATIONAL ITEMS.

1. **General Discussion.** The meeting, held at Spalding University, convened at 8:14 a.m. The Administrative Committee discussed items of importance to the NCAA and those specific to Division III. Staff informed the committee of its three primary functions: (1) To identify the primary issues of interest to the governance structure during the upcoming year; (2) To act on any emergency issues that arise between Council meetings; and (3) To decide routine issues best acted on between the regular quarterly meetings of the Councils.
2. **Leadership Expectations.** The committee reviewed the leadership expectations, the Policies and Procedures documents for both Management and the Presidents Councils, upcoming meeting schedule for 2020-2021, and committee responsibilities including the Board of Governors and Council meetings. Leadership asked staff to develop a meeting schedule with dates and times pertinent to their committee assignments.
3. **Key Association-wide Issues.**
 - a. **Board of Governors (BOG).**
 - (1) **Name, Image and Likeness (NIL).** The committee reviewed the Executive Summary from the NCAA Convention Division III Issues Forum, an excerpt from the Division III SAAC January report and a summary of the Interpretations and Legislative Committee's February meeting.

Issue Forum feedback indicated: (1) Concept A was the closest to the current model and Division III philosophy; (2) Concept B showed that less than half of the tables were concerned about possible negative outcomes with little to no institutional control; and (3) Concept C did not seem feasible for the average Division III staff, as it would increase institutional monitoring of both student-athlete activities and institutional logo usage.

SAAC feedback indicated a desire for more flexibility consistent with the position that the experience of a student-athlete should be similar to that of a general student.

The Interpretations and Legislative Committee (ILC) reviewed this issue through the framework of the three Association-wide guiding principles, while recognizing that Division III is legislatively more permissive in this area than the other divisions. The committee discussed the opportunities to use NIL and the concerns

around those potential opportunities. The three areas discussed were (1) Crowdfunding; (2) Endorsements; and (3) Student-athlete academic and work product.

The committee endorsed sending a membership communication sharing ILC's preliminary recommendations and comments, pending the prior approval of the Association's legal counsel. In addition, the Division III Oversight Group on NIL has a teleconference scheduled for April 9 and will report out to the Management and Presidents Councils at their April meetings.

- (2) Committee to Promote Cultural Diversity and Equity. Staff updated the committee on the current status of this committee with the departure of Executive Vice President Katrice Albert. Terri Gronau, vice president for Division II Governance, is serving as the interim department head.
 - (3) Sexual Violence Prevention. The 2019-20 attestation form for the NCAA Board of Governors Policy on Campus Sexual Violence will open March 1. The form will be available until May 15 in the assigned NCAA Learning Portal module for membership and on the policy's website. All NCAA member schools must complete and upload the form into the NCAA Learning Portal module by May 15. Schools who are unable to attest their compliance with the policy will not be eligible to host NCAA championships during the next applicable academic year.
- b. Officiating. The committee noted that Division III began a comprehensive study with The PICTOR Group regarding officiating. Division I and II also voiced related issues and concerns. The PICTOR Group is now leading a comprehensive review of the state of officiating for 15 NCAA sports across all three divisions. The goal is to articulate problems and recommend solutions, as well as to improve the officiating pipeline. The Councils will receive an update during their April meetings.
- c. Sport Science Institute Updates.
- (1) Arrington Settlement. The committee noted that the Arrington settlement was finalized in late November. The settlement agreement provides NCAA member institutions with an opportunity for a release from certain legal claims. To benefit from the release, member institutions must: (1) Certify, one-time, in writing to a court identified representative that they have put in place a concussion management plan; (2) Conduct annual baseline testing of all student-athletes; and (3) Ensure that all return to play is approved by a team physician. An updated FAQ was being sent to the membership at the end of the month. Further, Management Council approved noncontroversial legislation requiring Division III institutions to report the number of total concussions to the Sport Science Institute consistent with actions in Divisions I and II.
 - (2) Athletics Training Care Gap. SSI is writing a white paper that examines athletics trainer coverage (ATC) and liability issues with coverage for visiting teams. The white paper will remind the membership of its obligation regarding the provision

of care to teams visiting without an ATC. Staff anticipates SSI forwarding the white paper to the Councils in April for feedback and then to the broader membership.

- d. NCAA Strategic Plan. The committee reviewed the Strategic Plan timeline noting it anticipates completion and endorsement by the Board of Governors at its April meeting. The plan focuses on the following: (1) Overall student-athlete experience; (2) Branding; (3) Diversity and inclusion; (4) Optimizing effective governance and sustainability; and (5) focusing on the Association's future.

4. Key Division III Initiatives for 2020-21.

- a. Transfer Portal. The division continues to monitor how many institutions are using the portal on a voluntary basis. Currently approximately 250 student-athletes are in the portal. Staff doesn't anticipate any legislation making use of the portal mandatory. The committee noted that effective January 2020, Division II approved legislation that all student-athletes wishing to transfer must be entered into the transfer portal similar to Division I.
- b. International Ice Hockey Pilot. The committee noted that it is the second year of the ice hockey pilot, with Division III paying half of the cost. A survey will be sent to participating institutions. Management Council will review the feedback during its April meeting.
- c. Health and Safety Survey. Staff informed the committee that 240 institutions completed the health and safety survey. Management Council will review feedback at its April meeting.

5. Working Group Updates.

- a. Gameday the DIII Way. Staff continues to encourage campuses and conferences to invite a trained facilitator, approximately 150, to provide an in-person training. Staff also is working with championships to incorporate Gameday into the Division III national championships. Action steps include producing a Gameday public service announcement to be read at all championships and requesting Regional Advisory Committee members and sport committee members to watch the on-line training as many serve as on-site game facilitators. In 2020-21, staff anticipates including the Gameday principles in all the championship manuals (e.g., participant, host, operations).
- b. LGBTQ. Staff discussed the inaugural Division III LGBTQ OneTeam Awards. These newly created awards will annually recognize an honoree in three categories: (1) LGBTQ Student-Athlete of the Year; (2) LGBTQ Administrator/Coach/Staff of the Year; and (3) the OneTeam Athletics Department/Conference of the year.

The goal is to recognize, honor and celebrate the service and leadership of LGBTQ individuals; and an athletics department/conference that has demonstrated proactive efforts

to create and sustain an LGBTQ-inclusive culture. The application process for all three awards is available on NCAA Program Hub until June. Award recipients will be recognized at the January 2021 NCAA Convention.

6. **Potential 2021 Division III Legislation.** The Administrative Committee received an update on potential legislation for the 2021 Convention: (1) Giving SAAC one vote per proposal during the Convention Business Session; (2) Donations provided to youth groups; (3) Allow student-athletes to participate when enrolled less than fulltime; (4) NIL; (5) Recruiting legislation specific to official and unofficial visits; and (6) Extending soccer playing and practice seasons. Staff also noted that the baseball and softball committees are looking at the resolution adopted in January. Outcomes may include moving the tournaments back or aligning them. The committees will review and provide feedback to the Championships Committee and ultimately the Councils.
7. **Division III Strategic Planning and Finance.**
 - a. Division III Strategic Plan. The current Division III Strategic Plan details a two-year budget cycle to align with the 2019-2021 budget biennium. The plan outlines year one of the biennium budget cycle.
 - b. Division III Budget.
 - (1) Budget-to-Actual. The committee reviewed the budget-to-actual document noting that as of January 2020, the fall championships and all nonchampionships were currently within budget.

AdCom approved a new format to allow for better conversation that will list the current year first; percentage of budget spent to date; and what was spent to date compared to the prior year.
 - (2) Championships expenses. The committee reviewed the Division III fall championships budget by sport through January 31.
 - (3) Budget projections. The committee reviewed an updated future budget projection through 2023-24 that included all new proposed initiatives. There will be a 11 percent revenue increase in 2024-25. At its March meeting, SPFC will review proposed changes to the mandated reserve policy and the impact on the future forecast.
 - c. Strategic Planning and Finance Committee in-person meeting. The committee reviewed the draft agenda for the March in-person meeting.

8. Other Communication Initiatives.

a. Presidents Council Communication Plan.

- (1) President's Advisory Group (PAG). The committee reviewed the rationale for the establishment of PAG.
- (2) Presidential Quarterly update. The committee noted the current quarterly update is informative.

b. Management Council Communication Plan. Each Management Council member is assigned two to three conferences for the year. Staff provides a sample letter to use when contacting assigned conferences, an updated Governance PowerPoint and an introduction regarding the program and guidelines for attending conference meetings. Council members complete an on-line meeting recap summary form following the meeting. These recaps are then discussed at the next scheduled Management Council meeting.

c. Regular Governance Communication. Regular communications include the Monthly Update, a regular email to Division III commissioners, monthly webinars and occasional updates on the NADIII AA listserv. In addition, the governance scorecard is sent each spring to all current committee members to provide feedback on the committee liaison's performance.

9. Other Business. Heather Benning, a member of the Division III Championships Committee, was asked to check on how the Women's Ice Hockey Championship is scheduled and the timing between the semi-final games and final game.

10. Adjournment. The meeting adjourned at 1:01 p.m.

Committee Chair: Tori Murden-McClure, Spalding University, St. Louis Intercollegiate Athletic Conference

*Staff Liaisons: Dan Dutcher, Division III Governance
Louise McCleary, Division III Governance
Ali Spungen, Division III Governance*

NCAA Division III Administrative Committee February 25, 2020, Meeting	
Attendees:	
Heather Benning, Midwest Conference, participated via web conference.	
Gerard Bryant, John Jay College of Criminal Justice.	
Fayneese Miller, Hamline University.	
Tori Murden McClure, Spalding University.	
Dennis Shields, University of Wisconsin, Platteville.	
Absentee:	
Ali Spungen.	
NCAA Staff Support in Attendance:	
Dan Dutcher, Debbie Kresge, Louise McCleary and Jeff Myers.	



**REPORT OF THE
NCAA DIVISION III ADMINISTRATIVE COMMITTEE
MARCH 26, 2020, TELECONFERENCE**

ACTION ITEMS.

1. Legislative Items.

- None.

2. Nonlegislative Items.

- a. Spring Nonchampionship Programs. The committee, on behalf of the Management and Presidents Councils, approved the Strategic Planning and Finance Committee's recommendation to cancel specific remaining spring and summer Division III nonchampionship programs and initiatives this year.

Due to the COVID-19 disruption to campuses and the national office, the significant budget impact caused by the cancellation of winter and spring championships, staff recommends canceling the remaining staff-administered nonchampionship programs for the remainder of this budget year. Programs canceled to date include the SWA Program, Institute for Administrative Advancement and Next Steps. In addition, staff recommends the cancellation of Gameday the DIII Way Ambassador training, DISC programming (30% of budget account line remains), CoSIDA Student Program, Division III Day at CoSIDA and the New AD Orientation Program.

If the NADIII Summer Forum is held in June, Division III would honor its financial support of \$75,000; as well as honor the Women Leaders in College Sports grant of \$30,000. The \$100,000 earmarked for year two of the diversity coaching grants will be dispersed in August.

- b. Conference Strategic Grant – Blanket Waiver. The committee, on behalf of the Management and Presidents Councils, approved a blanket waiver for 2019-20 that provides conferences relief for any unspent funds within each Tier of the conference strategic grant per the policies and procedures due to COVID-19. No warning letters will be issued for failure to meet grant requirements for 2019-20. .

Staff will instruct commissioners that if a conference office has unused funds this year, it may choose to either return the funds to the NCAA or rollover the funds for next year. If a conference decides to rollover the funds, it will follow the current rollover policy per the conference grant policies and procedures.

- c. 2019-20 Approved Conference Strategic Grant Rollover Fund – Blanket Waiver. The committee, on behalf of the Management and Presidents Councils, granted a one-year blanket waiver extension for conferences that received rollover funds for 2019-20. Last year the Strategic Planning and Finance Committee approved a new rollover policy. Due

to the impact of COVID-19, staff recommends that the ten conferences that received rollover funds for 2019-20 be granted a one-year extension until August 1, 2021, to use these rollover funds.

INFORMATIONAL ITEMS.

1. **Welcome.** The call commenced at 11:01 p.m. Eastern Time. Staff welcomed the committee and reviewed the agenda.
2. **Management of Compliance Issues.** Staff reviewed a chart that summarizes compliance concerns as a result of COVID-19. Some key issues, to date, include the following:
 - a. Spring Sport Student-Athletes in their 10th Semester in the Spring 2020 (Bylaw 14.2.2). Staff noted that the March 13, 2020 blanket waiver issued by the Administrative Committee only provides one additional semester. A student-athlete would have to seek an extension of participation waiver through the Student-Athlete Reinstatement Committee to participate in two semesters. Current SAR guidelines for extension waivers would allow granting the additional semester.
 - b. Winter Sport Student-Athletes, Seasons of Participation (Bylaw 14.2.4.1). The request for winter student-athletes to receive a season of participation would be addressed on a case by case basis through the SAR waiver process. Current SAR guidelines do not support a waiver in these cases.
 - c. Full-Time Enrollment (Bylaw 14.1.8). The Interpretations and Legislation Committee and the Subcommittee for Legislative Relief will address full-time enrollment concerns through existing regulations and waiver processes.
 - d. Automatic Qualification (Bylaw 31.3.3). The Championships Committee will review this issue on its next teleconference.
 - e. Playing and Practice Seasons: The committee reviewed its earlier directive to apply flexibility with respect to interactions with student-athletes and clarified that this flexibility should extend to the summer period. This increased flexibility should be exercised for the purposes of fostering the well-being of the student-athlete and/or the connectivity between and among student-athletes and their respective institutions.

AMA is developing a related Question and Answer document and the Division III webinar scheduled for April 7 will continue to address the membership's compliance issues and concerns.

3. **Adjournment.** The meeting adjourned at 11:29 a.m. EST.

Committee Chair: Tori Murden McClure, Spalding University
Staff Liaisons: Dan Dutcher, Division III Governance
Debbie Kresge, Division III Governance
Louise McCleary, Division III Governance
Jeff Myers, Academic and Membership Affairs
Ali Spungen, Division III Governance

NCAA Division III Administrative Committee
March 26, 2020, Teleconference
Attendees:
Heather Benning, Midwest Conference.
Gerard Bryant, John Jay College of Criminal Justice.
Fayneese Miller, Hamline University.
Tori Murden McClure, Spalding University.
Dennis Shields, University of Wisconsin, Platteville.
Staff:
Dan Dutcher, Debbie Kresge, Louise McCleary, Jeff Myers, Adam Skaggs, Ali Spungen and Liz Suscha.



**REPORT OF THE
NCAA DIVISION III ADMINISTRATIVE COMMITTEE
APRIL 2, 2020, TELECONFERENCE**

ACTION ITEMS.

1. Legislative Items.

- **None.**

2. Nonlegislative Items.

- a. NCAA Conference Grant Program. The Administrative Committee, on behalf of the Management and Presidents Council, approved the following, effective immediately, with regard to the 2019-20 Conference Grant Program due to the effects of COVID-19:
 - (1) Affirm that any conference grant spending must meet current policies and procedures; expenditures beyond any pre-approved items must have prior approval by the conference grant administrator.
 - (2) Provide one-time flexibility within Tier One (i.e., allow conferences to use unspent funds allocated for one constituent group such as SIDs on any other pre-approved constituent group such as SWAs, FARs, ADRs, etc.).
 - (3) Affirm that Tier One funds must remain in Tier One and cannot be used in another tier as these funds are designed to support professional development for individuals across various constituent groups.
 - (4) Provide one-time flexibility between Tier Two and Tier Three funds (e.g., unspent Tier Two funds may be spent on pre-approved items in Tier Three and vice versa). For instance, if a conference has spent all its Tier Three funds, for this year only, conferences may use Tier Two funds for Tier Three, or vice versa, provided the funds are spent in a manner consistent with grant policies and practices.
- b. Governance Committee Appointments. The Administrative Committee, on behalf of the Management and Presidents Council, approved the recommendation of the following immediate committee appointments:
 - (1) NCAA Division III Financial Aid Committee (immediate vacancy replacing James Schmidt) – Michael Brophy, president, Hilbert College, Allegheny Mountain Collegiate Conference.
 - (2) NCAA Minority Opportunities and Interests Committee (immediate vacancy replacing Marylou Ram's nomination due to conference duplication) – Dwight Watson, chancellor, University of Wisconsin – Whitewater, Wisconsin Intercollegiate Athletic Conference.
 - (3) NCAA Walter Byers Scholarship Committee (former recipient vacancy) Natalie Knowles, researcher.

INFORMATIONAL ITEMS.

1. **Welcome.** The call commenced at 11:09 p.m. Eastern Time. Staff welcomed the committee and reviewed the agenda.
2. **AMA Question and Answer Resource.** AMA is releasing a Q&A document later today to assist member institutions in navigating NCAA compliance issues. Additionally, this document will be updated as new questions arise or additional compliance-related actions taken by the governance structure.

Currently the Administrative Committee has taken the following actions:

- Issued a blanket waiver providing relief to all Division III member institutions from failing to satisfy sports sponsorship requirements due to actions taken in response to COVID-19.
- Issued a blanket waiver for all spring sport student-athletes that participation would not result in the use of a season for the 2019-20 academic year nor would the 2020 spring semester count towards their overall semester limits.
- Directed that institutions be provided flexibility as may be necessary to support the best interest of their student-athletes with respect to reasonable extra benefits that may be offered under Bylaw 16 to address unforeseen needs of the student-athletes, including those related to equipment, travel, academic and other support services arising or resulting from the impact of COVID-19.
- Directed that institutions be provided flexibility as may be necessary to support the best interest of their student-athletes with respect to the type, frequency and timing of athletically related and other activities that occur under Bylaw 17 through the end of the academic year. On March 26, the Administrative Committee clarified this directive and stated that at this time and in all sports (regardless of season), this flexibility is extended into the summer period.
- The biggest concerns currently are student-athlete well-being, including mental and physical health and the ability to maintain strong connections between and among student-athletes and their respective institutions. As such, reasonable activities under Bylaws 16 and 17 that are designed to improve connectivity and help student-athletes in these areas should be permitted; provided the activities otherwise comply with applicable laws, institutional requirements and other school obligations under NCAA policies and legislation, including those related to health and safety.

The committee also discussed a recent waiver received by the Student-Athlete Reinstatement Committee from an institution's seven wrestling student-athletes seeking to regain their seasons of eligibility. This is the first waiver received for the winter season and unfortunately doesn't meet review criteria for approval.

3. **Division III Governance Operations Budget.** The NCAA national office is undertaking a comprehensive budget review focusing on the next 18 to 24 months to determine a more financially efficient way to conduct business. Recommendations are being made to change the current governance meeting process by reducing the number of in-person meetings per year and conducting more virtual meetings. Staff also is reducing its travel budget.
4. **Name, Image and Likeness.** Staff provided an update on the webinar held Wednesday, April 1. Over 375 from the membership joined as staff highlighted proposed concepts from the Interpretations and Legislative Committee and SAAC meetings. The NCAA Washington D.C. staff also provided updates regarding the state and federal legislative landscapes. A link to the PowerPoint was included in the April Monthly Update.
5. **Management and Presidents Council April Meeting Format.** Staff, with endorsement from Council leadership, informed the Administrative Committee, that both the Management and Presidents Council meetings will be held via Microsoft Teams. An email explaining how Microsoft Teams works will be sent to Management Council next week, along with a meeting maker to join. The Management Council's schedule will be Monday, April 20, 9 a.m. to noon and 1 to 4 p.m.; Tuesday, April 21, 9 a.m. to noon. The Presidents Council schedule will be Wednesday, April 29 from 10 a.m. to 1 p.m. At this time, staff is unsure if there will be a virtual presidential breakfast.
6. **Other Business.** Staff informed the committee that there will be a webinar on COVID-19 impacts on Tuesday, April 7 from 1:30 to 2:30 p.m. Currently there are over 400 participants expected.
7. **Adjournment.** The meeting adjourned at 11:55 a.m.

Committee Chair: Tori Murden McClure, Spalding University
Staff Liaisons: Dan Dutcher, Division III Governance
Debbie Kresge, Division III Governance
Louise McCleary, Division III Governance
Jeff Myers, Academic and Membership Affairs
Ali Spungen, Division III Governance

NCAA Division III Administrative Committee
April 2, 2020, Teleconference
Attendees:
Heather Benning, Midwest Conference.
Gerard Bryant, John Jay College of Criminal Justice.
Dennis Shields, University of Wisconsin, Platteville.
Not on Call:
Fayneese Miller, Hamline University
Tori Murden McClure, Spalding University
Staff:
Dan Dutcher, Debbie Kresge, Louise McCleary, Jeff Myers, Adam Skaggs and Ali Spungen.

DIVISION III PHILOSOPHY STATEMENT

Colleges and universities in Division III place highest priority on the overall quality of the educational experience and on the successful completion of all students' academic programs. They seek to establish and maintain an environment in which a student-athlete's athletics activities are conducted as an integral part of the student-athlete's educational experience, and in which coaches play a significant role as educators. They also seek to establish and maintain an environment that values cultural diversity and gender equity among their student-athletes and athletics staff.

To achieve this end, Division III institutions:

- (a) Expect that institutional presidents and chancellors have the ultimate responsibility and final authority for the conduct of the intercollegiate athletics program at the institutional, conference and national governance levels; *(Adopted: 1/16/10 effective 8/1/10)*
- (b) Place special importance on the impact of athletics on the participants rather than on the spectators and place greater emphasis on the internal constituency (e.g., students, alumni, institutional personnel) than on the general public and its entertainment needs;
- (c) Shall not award financial aid to any student on the basis of athletics leadership, ability, participation or performance; *(Revised: 7/24/07)*
- (d) Primarily focus on intercollegiate athletics as a four-year, undergraduate experience; *(Adopted: 1/14/12)*
- (e) Encourage the development of sportsmanship and positive societal attitudes in all constituents, including student-athletes, coaches, administrative personnel and spectators;
- (f) Encourage participation by maximizing the number and variety of sport offerings for their students through broad-based athletics programs; *(Revised: 1/14/12)*
- (g) Assure that the actions of coaches and administrators exhibit fairness, openness and honesty in their relationships with student-athletes;
- (h) Assure that athletics participants are not treated differently from other members of the student body;
- (i) Assure that student-athletes are supported in their efforts to meaningfully participate in nonathletic pursuits to enhance their overall educational experience; *(Adopted: 1/14/12)*
- (j) Assure that athletics programs support the institution's educational mission by financing, staffing and controlling the programs through the same general procedures as other departments of the institution. Further, the administration of an institution's athletics program (e.g., hiring, compensation, professional development, certification of coaches) should be integrated into the campus culture and educational mission; *(Revised: 1/9/06 effective 8/1/06)*
- (k) Assure that athletics recruitment complies with established institutional policies and procedures applicable to the admission process; *(Adopted: 1/12/04 effective 8/1/04)*
- (l) Exercise institutional and/or conference autonomy in the establishment of initial and continuing eligibility standards for student-athletes; *(Adopted: 1/14/12)*
- (m) Assure that academic performance of student-athletes is, at a minimum, consistent with that of the general student body; *(Adopted: 1/9/06 effective 8/1/06)*
- (n) Assure that admission policies for student-athletes comply with policies and procedures applicable to the general student body; *(Adopted: 1/9/06 effective 8/1/06)*
- (o) Provide equitable athletics opportunities for student-athletes and give equal emphasis to men's and women's sports;

- (p) Support ethnic and gender diversity for all constituents; (*Adopted: 1/12/99*)
- (q) Give primary emphasis to regional in-season competition and conference championships; and
- (r) Support student-athletes in their efforts to reach high levels of athletics performance, which may include opportunities for participation in national championships, by providing all teams with adequate facilities, competent coaching and appropriate competitive opportunities.

The purpose of the NCAA is to assist its members in developing the basis for consistent, equitable competition while minimizing infringement on the freedom of individual institutions to determine their own special objectives and programs. The above statement articulates principles that represent a commitment to Division III membership and shall serve as a guide for the preparation of legislation by the division and for planning and implementation of programs by institutions and conferences.

NCAA Mission

What the brand wants to accomplish

To govern competition in a fair, safe, equitable and sportsmanlike manner, and to integrate intercollegiate athletics into higher education so that the educational experience of the student-athlete is paramount.

DIII Positioning Statement

Who we are

Follow your passions and discover your potential. The college experience is a time of learning and growth – a chance to follow passions and develop potential. For student-athletes in Division III, this happens most importantly in the classroom and through earning an academic degree. The Division III experience provides for passionate participation in a competitive athletics environment, where student-athletes push themselves to excellence and build upon their academic success with new challenges and life skills. And student-athletes are encouraged to pursue the full spectrum of opportunities available during their time in college. In this way, Division III provides an integrated environment for student-athletes to take responsibility for their own paths, follow their passions and find their potential through a comprehensive educational experience.

DIII Attributes

What we stand for

- Proportion:** appropriate relation of academics with opportunities to pursue athletics & other passions.
- Comprehensive Learning:** opportunity for broad-based education and success.
- Passion:** playing for the love of the game, competition, fun and self-improvement.
- Responsibility:** development of accountability through personal commitment and choices.
- Sportsmanship:** fair and respectful conduct toward all participants and supporters.
- Citizenship:** dedication to developing responsible leaders and citizens in our communities.

NCAA Brand Attributes

Balance

Learning

Spirit

Character

Fair Play

Community

Audiences

Who we are addressing

Student-Athletes / Parents

DIII Internal Constituencies

General Public / Media

Audience Benefits

Key benefits of the DIII experience

- Continue to compete in a highly competitive athletics program and retain the full spectrum of college life.
 - Focus on academic achievement while graduating with a comprehensive education that builds skills beyond the classroom.
 - Access financial aid for college without the obligations of an athletics scholarship.
 - Opportunities to play more than one sport.
 - Be responsible for your own path, discover potential through opportunities to pursue many interests.
- Academics are the primary focus for student-athletes. Shorter practice and playing seasons, no red-shirting and regional competition minimize time away from their academic studies and keep student-athletes on a path to graduation.
 - Student-athletes are integrated on campus and treated like all other members of the general student-body, keeping them focused on being a student first.
 - Participation in athletics provides valuable “life lessons” for student-athletes (teamwork, discipline, perseverance, leadership, etc) which often translate into becoming a better student and more responsible citizen.
- Division III institutions develop student-athlete potential through a comprehensive educational approach.
 - Division III institutions offer athletics for the educational value and benefit to the student-athlete, not for the purposes of revenue generation or entertainment.
 - Participation in athletics provides valuable “life lessons” for student-athletes (teamwork, discipline, perseverance, leadership, etc) which often translate into becoming a better student and more responsible citizen.
 - Student-athletes compete in a highly competitive athletics program and retain the full spectrum of college life.
 - Student-athletes do not receive monetary incentive to play sports but rather participate for the love of the game.

Reasons to Believe

Supporting features of DIII

- 1. Comprehensive educational experience.** Division III institutions develop student-athlete potential through a holistic educational approach that includes rigorous academics, competitive athletics and opportunity to pursue other interests and passions.

2. Integrated campus environment. 26% of all students at Division III institutions participate in athletics. Those participating in athletics are integrated into the campus culture and educational missions of their colleges or universities:

 - Student-athletes are subject to admission and academic performance standards consistent with the general student body;
 - Student-athletes are not provided any special housing, services or support from their institution different from other students or student groups;
 - Athletics departments are regulated and managed through the same general procedures and practices as other departments of the institution.

This integration of athletics allows the student-athletes to take full advantage of the many opportunities of campus life and their entire collegiate experience.

3. Academic focus. Student-athletes most often attend a college or university in Division III because of the excellent academic programs, creating a primary focus on learning and achievement of their degree. The division minimizes the conflicts between athletics and academics through shorter playing and practicing seasons, the number of contests, no red-shirting or out-of-season organized activities and a focus on regional in-season and conference play.
- 4. Available financial aid.** 75% of all student-athletes in Division III receive some form of grant or non-athletics scholarship. Student-athletes have equal opportunity and access to financial aid as the general student body – but are not awarded aid based on athletics leadership, ability, performance or participation.

 - Division III does not award athletics scholarships. Without the obligation of an athletics scholarship, student-athletes can emphasize academics, athletics and other opportunities of college life appropriate to the necessary commitment and their own passions.

5. Competitive athletics programs. Student-athletes do not receive any monetary incentive (athletics scholarship) to play sports in college. They play for the love and passion of the game and to push themselves to be their best, creating an intense, competitive athletics environment for all who participate.

6. National championship opportunities. Division III has over 190,000 student-athletes competing annually in 37 different national championships. These competitions provide an opportunity for student-athletes to compete at the highest level and fulfill their athletics potential.

7. Commitment to athletics participation. Division III institutions are committed to a broad-based program of athletics because of the educational value of participation for the student-athlete. The division has a higher number and wider variety of athletics opportunities on average than any other division in the NCAA, emphasizing both competitive men’s and women’s sports.





**REPORT OF THE
DIVISION III CONVENTION-PLANNING SUBCOMMITTEE
MARCH 26, 2020, TELECONFERENCE**

ACTION ITEMS.

- **None.**

INFORMATIONAL ITEMS.

- 1. Welcome and Roster.** The subcommittee commenced business at noon Eastern time. Lori Mazza, the chair, introduced the new members, welcomed the group and conducted a roll call.
- 2. Report from December 13, 2019, Teleconference.** The subcommittee reviewed the report and had no changes.
- 3. Review of 2020 Convention Feedback.**
 - a. Division III Convention Survey Executive Summary.** The subcommittee reviewed the membership feedback survey from the 2020 Convention (322 Division III-specific responses). Notable highlights included the following: 95 percent rated the Convention experience as very good or good (slightly higher than in 2019 at 90 percent) and 99 percent said they would recommend attendance at a future Convention.
 - b. Division III Convention Survey.** The subcommittee reviewed the raw data survey results and had no additional comments or questions. The subcommittee had indicated that they enjoyed the variety of educational sessions (e.g., single presenter and panel presentations). They also enjoyed the Generational Gap presentation by Haydn Shaw at the Issues Forum.
 - c. Division III Education Session Survey.** The subcommittee reviewed the individual education session survey feedback. Besides noting that all sessions averaged an overall high approval rating, it had no additional comments or questions.
 - (1) Crisis Management.** This session received a 90 percent approval rating with the survey respondents noting it was very good or good.
 - (2) Mental Health.** Eighty-nine (89) percent rated the session as very good or good.
 - (3) NADIIAA's Growing our Next Generation of Leaders.** Eighty-four (84) percent rated this session as very good or good.

4. **Final 2020 Convention Attendance.** The subcommittee reviewed the 2020 final attendance report and noted the attendance, approximately 1,200 delegates, was similar to past years.

5. **Planning for 2021 NCAA Convention – January 13-16, 2021, Washington, D.C.**

- a. Overall Convention format. The subcommittee briefly discussed the Convention format and did not indicate any concerns. It will review the Issues Forum format on its next teleconference.
- b. Educational session topic ideas. The subcommittee reviewed the recommendations from the 2020 Convention survey results, as well as last year's suggestions. Each year, Division III has three educational slots, with one of the slots traditionally earmarked for NADIII AA. For the 2021 Convention, in addition to NADIII AA, the Division III Commissioner's Association (D3CA) will have an opportunity to oversee a session.

Prior to the next teleconference, the subcommittee's NADIII AA and D3CA representatives will review the membership's preferred session topics derived from the membership survey and determine their interest in presenting any of the topics.

The subcommittee discussed the following possible session topics for the 2021 Convention:

- (1) COVID-19 Impacts (mental health, recruiting, retention).
- (2) Fundraising.
- (3) Effective Use of Virtual Platforms.
- (4) Compliance (e.g., available resources, best practices).
- (5) Social Media Influence and Impact.
- (6) Student-Athlete Career Opportunities/Resources (After the Game, etc.).
- (7) Serving Underprivileged Student-Athletes/Resources.

Staff will survey the membership via the May Monthly Update and the NADIII AA and D3CA listservs. The survey will highlight the subcommittee's recommended educational sessions; indicate a 60- or 90-minute time preference; and denote the preference for the session to be a workshop; a Division III educational session; Issues Forum topic; or an Association-wide session. During the subcommittee's next teleconference, it will narrow the list of session topics to three.

- c. Issues Forum format/proposed discussion topics. The subcommittee discussed the 2021 Convention and talked about the following topics
 - (1) Staff requested Lenora Billings Harris, a highly recognized national diversity and inclusion presenter, present at last year's Issues Forum. However, she had a conflict and has indicated an interest in presenting at the 2021 Convention. Staff will confirm her availability to provide a one-hour presentation unconscious bias during the Issues Forum.
 - (2) COVID 19 Roundtable discussion – best practices, contingency plans, what we learned, etc.
 - (3) Presidential Panel – what are presidents looking for when they hire, search firms, making the step from assistant/associate athletics director to athletics director and what does that look like, etc.
 - d. Business Session format. The subcommittee had no changes to the format.
6. **2021 Convention Timeline.** The subcommittee reviewed the timeline leading to the 2021 Convention.
7. **Teleconferences.** The subcommittee was reminded of the remaining 2020 teleconference dates:
- a. June 25, 2020, at 1 p.m. Eastern time.
 - b. September 9, 2020, at 11 a.m. Eastern time.
 - c. November 9, 2020, at 11 a.m. Eastern time.
 - d. December 14, 2020, at 11 a.m. Eastern time.
8. **Other Business.**
- The subcommittee inquired about last year's request to shift the Convention to a Tuesday to Friday format. The NCAA recently contracted the Convention through 2037. Unfortunately, while Division III favored the format change, it wasn't supported by the other divisions and to move forward, there would need to be consensus from all divisions.

9. **Adjournment.** The teleconference adjourned at 1 p.m. Eastern time.

Committee Chair: Lori Mazza, Western Connecticut State University, Little East Conference
Staff Liaisons: Ali Spungen, Division III Governance
Debbie Brown, Division III Governance

NCAA Division III Convention Planning Subcommittee March 26, 2020, Teleconference	
Attendees:	
Sam Ferguson, McMurry University, American Southwest Conference.	
Mariann Ingraham, Elms College, New England Collegiate Conference.	
Gregg Kay, Commonwealth Coast Conference.	
Richanne Mankey, Defiance College, Heartland Collegiate Athletic Conference.	
Marcus Manning, Centenary College (Louisiana), Southern Collegiate Athletic Conference.	
Lori Mazza, Western Connecticut State University, Little East Conference.	
Troy Paino, University of Mary Washington, Capital Athletic Conference.	
Rob Passage, Willamette University, Northwest Conference.	
Colby Pepper, Covenant College, USA South Athletic Conference.	
Lori Runksmeier, NADIII AA, Eastern Connecticut State University, Little East Conference.	
Kandis Schram, Maryville College (Tennessee), USA South Athletic Conference.	
Brian Sisson, Saint Mary's University of Minnesota, Minnesota Intercollegiate Athletic Conference.	
Patrick Summers, D3CA, New England Women's and Men's Athletic Conference.	
Absentees	
Heather Benning, Midwest Conference.	
Davie Gilmour, Pennsylvania College of Technology, North Eastern Athletic Conference.	
Laura Mooney, Massachusetts College of Liberal Arts, Massachusetts State Collegiate Athletic Conference.	
Guests in Attendance	
None.	
NCAA Staff Support in Attendance	
Debbie Brown, Samantha Farley, Jessi Faulk, Mike King, Louise McCleary, Melissa Piening, Stacey Preston, Crystal Reimer, Ali Spungen, Rachel Stern, Emily Tisdale and Kiana Verdugo.	



**REPORT OF THE NCAA DIVISION III STRATEGIC
PLANNING AND FINANCE COMMITTEE
MARCH 24, 2020, TELECONFERENCE**

ACTION ITEMS.

1. Legislative Items.

- None.

2. Nonlegislative.

a. Spring Nonchampionship Programs.

- (1) Recommendation. Approve the cancelation of specific spring and summer Division III nonchampionship programs and initiatives remaining during the 2019-20 academic year .
- (2) Effective date. Immediately.
- (3) Rationale. Due to the COVID-19 disruption to campuses and the national office, and the significant budget impact caused by the cancelation of winter and spring championships, staff recommends canceling the staff-administered nonchampionship programs remaining for this budget year. Programs canceled to date include the SWA Program, Institute for Administrative Advancement and Next Steps. In addition, staff recommends the cancelation of Gameday the DIII Way Ambassador training, DISC programming, CoSIDA Student Program, Division III Day at CoSIDA and the New AD Orientation Program.

If the NADIII Summer Forum is held in June, Division III would honor its financial support of \$75,000, as well as honor the Women Leaders in College Sports grant of \$30,000. The \$100,000 earmarked for year two of the diversity coaching grants will be dispersed in August.

- (4) Estimated budget impact. Savings of \$350,000.
- (5) Estimated student-athlete impact. None.

b. Conference Strategic Grant – Blanket Waiver.

- (1) Recommendation. Approve a blanket waiver for 2019-20 that provides conferences relief for any unspent funds within each tier of the conference strategic grant per the policies and procedures due to COVID-19. No warning letters will be issued for failure to meet grant requirements for 2019-20.
- (2) Effective date. Immediately.

- (3) Rationale. Institutional transitions to e-learning and the cancelation of many professional development programs will directly impact the ability of commissioners to comply with the Conference Strategic Grant spending policies within each tier.

Staff will instruct commissioners that if a conference office has unused funds this year, it may choose to either return the funds to the NCAA or rollover the funds for next year. If a conference decides to rollover the funds, it will follow the current rollover policy per the conference grant policies and procedures.

- (4) Estimated budget impact. None.
- (5) Estimated student-athlete impact. None.

c. 2019-20 Approved Conference Strategic Grant Rollover Fund – Blanket Waiver.

- (1) Recommendation. Grant a one-year blanket waiver extension for conferences that received rollover funds for 2019-20.
- (2) Effective date. Immediate.
- (3) Rationale. Last year the committee approved a new rollover policy. The policy allows conferences to request the rollover of funds and, if approved by the committee's Grant Subcommittee, the funds must be used in the following budget year; unspent rollover funds need to be returned to the NCAA. Due to the impact of COVID-19, staff recommends that the ten conferences that received rollover funds for 2019-20 be granted a one-year extension until August 1, 2021, to use these rollover funds.
- (4) Estimated budget impact. None.
- (5) Estimated student-athlete impact. None.

INFORMATIONAL ITEMS

1. **Welcome.** The chair, President Fayneese Miller, Hamline University, called the teleconference to order at 9 a.m. EST.
2. **Report from the November 14, 2019, Teleconference.** The committee reviewed and approved its report from November 2019.
3. **Division III Strategic Plan.** Staff reviewed the 2019-20 Division III strategic plan that outlines the division's strategic priorities and assigns a dollar figure to each priority. During its June teleconference, the committee will review the outcomes of each priority for this year (e.g., accomplished, on-going, etc.)

4. **Division III Budget.** The committee reviewed the 2019-20 budget-to-actual summary as of February 2020. To date, the division has a surplus of approximately \$700,000 from fall championships, while nonchampionship initiatives are within budget.

The NCAA Chief Financial Officer, Kathleen McNeely, detailed the impact of COVID-19 and the cancellation of the Division I Men's Basketball Championship. All three divisions will experience approximately a 70% revenue loss, which equates to \$22 million in revenue for Division III this year.

To determine the impact of the revenue loss, staff made the following initial assumptions to determine this year's expenses:

- Championships. Expect \$11.8 million in championship expenses.
 - Fall championships - \$7.4 million actual expenses.
 - Winter championships – assume 59% of \$7 million budget for a total of \$3.5 million.
 - Spring championships – assume 10% of \$11.1 million budget for a total of \$978,000.
- Nonchampionships. Anticipate expenses of approximately \$7.1 million.

Overall, expect \$18 million in expenses this year; approximately eight million more than the adjusted revenue. The overage in expenses will be covered by the division's existing surplus above and beyond the mandated reserve.

During its June teleconference, the committee will hear recommendations from the Championships Committee and staff regarding the division's future forecast and proposed budget cuts to meet the division's mandated reserve policy (50% of the annual revenue) through the current CBS/Turner contract (2023-24). Possible championships reductions may come from the division's "supplemental spend" that include the following: (1) Ground transportation – individual and teams – when a team flies to a site and must rent a van or bus for local ground transportation; (2) Guaranteeing no first round conference match-ups (adopted as a two-year pilot, with 2019-20 being year one) and (3) Enhanced travel parties. Staff will explore approximately half a million-dollar reduction in the nonchampionship budget. The proposed reductions would provide a savings of approximately \$2 million annually and allow the division to comply with its mandated reserve policy through the remainder of the current broadcast agreement (2020-24) barring another extraordinary event or a significant increase in travel inflation.

The committee received a verbal update regarding the current mandated reserve policy and its impact on the budget during 2024-25 and beyond. While the division will receive an 11% increase in revenue in 2024-25, the current mandated reserve policy would dictate that the division immediately increase its reserve by approximately two million dollars in 2024 to account for the large revenue increase. Staff has determined that to run automatic qualification-only championships and limited nonchampionship programming for an entire academic year will require approximately \$18-20 million in the reserve. In June, the committee will discuss possible scenarios to adjust the mandated reserve policy.

5. Division III Proposed 2020-21 Initiatives.

- a. Nonchampionships Budget Initiatives. Staff reviewed the approved 2020-21 nonchampionships initiatives that were approved at the start of the 2019-21 two-year budget cycle. The only addition is \$85,000 to support a 360 Membership Engagement Program.

Staff provided an update on the reclassification request of the assistant director of governance communications from three-quarter to full-time. The committee remains supportive of the reclassification; however, it needs to be approved through the national office budget process.

- b. Championships Committee February 2020 Report. The committee tabled a recommendation from the Championships Committee to expand the field hockey bracket by two teams (from 24 to 26) and the men's lacrosse bracket by two teams (from 36 to 38). The approximate cost would be \$110,000. Staff noted that bracket sizes need to be set prior to the new academic year; however due to COVID-19 and the uncertainty of the budget, SPFC would like the Championships Committee to revisit these requests during its next teleconference.
- c. Championships Budget Initiatives. The committee reviewed previously approved 2020-21 championships expenses, noting increases in the following: (1) Committee composition increases due to sport regionalization; (2) Per diem increase to \$100 and increase for hosts of non-predetermined preliminary rounds (3) Reinstate host honorarium for non-predetermined preliminary rounds hosts; (4) Bracket expansion for several championships; and (5) National champion award watch for individual event championships.

- 6. Officiating Update.** The committee received an update on the Association's efforts to review and address issues related to officiating. Staff will send an officiating survey to the membership in the coming weeks. Division III commissioners are committed to continuing their study with the Pictor Group. A draft report will be available in May, with the final report being submitted to commissioners in June.

7. Review Current Division III Programs with a Budget Impact.

- a. 2019 FAR Institute. The committee received an update on the 2019 FAR Institute budget noting the next institute won't occur until 2022-23. The next two years, staff will host the FAR Orientation, which will occur during the FAR fall meeting.
- b. 2020 ADR Institute. Staff shared feedback from the 2020 ADR Institute held in conjunction with the NCAA Convention.
- c. 2020 Student Immersion Program. The fifth Student Immersion Program was held in conjunction with the NCAA Convention. Staff noted the guest speaker session on personal branding was well received and very engaging.

- d. International Ice Hockey Pilot. A survey was sent to institutions and conferences involved with this year's international ice hockey pilot. Currently there is no financial commitment to this pilot moving forward. The Management Council at its upcoming meeting will review the survey results and determine next steps.
 - e. Gameday the DIII Way. The committee reviewed an implementation timeline noting a request for sport committees and regional advisory committees to watch the Gameday training module.
 - f. LGBTQ Recognition Awards. At the direction of the LGBTQ Working Group, staff launched the inaugural Division III LGBTQ OneTeam Awards. These newly created awards will annually recognize an honoree in three categories: (1) LGBTQ Student-Athlete of the Year; (2) LGBTQ Administrator/Coach/Staff of the Year; and (3) the OneTeam Athletics Department/Conference of the Year. The application process for all three awards is available on NCAA Program Hub until June 1 at 5 p.m. (EST).
 - g. 360 Proof – Implementation Workshop. An inaugural 360 Proof Implementation Workshop is scheduled for January 2021 in conjunction with the NASPA Strategies conference. The workshop will prepare implementation teams (a student affairs and athletics administrator) to engage in comprehensive, best practice prevention efforts while strengthening relationships between athletics and student affairs.
 - h. 2020 New Commissioner Orientation. The committee received the feedback from the New Commissioners Orientation held for nine new commissioners.
 - i. 2020 New Athletics Director Orientation. Staff noted the remainder of program is canceled this year due to COVID-19 and related budget issues.
- 8. Association-wide Strategic Plan Update.** The committee noted the Board of Governors is scheduled to review the final draft of the Association-wide strategic plan during its April meeting.
- 9. Strategic Positioning Platform Review.** Staff noted that once the Association-wide strategic plan is finalized, it will create a subcommittee to review and provide updates, as needed, to the Division III Strategic Positioning Platform. Any committee member that would like to be part of this subcommittee should email Dan Dutcher or Louise McCleary.
- 10. Division III Strategic Initiatives Conference Grant Program.**
- a. Update on NCAA Audit Process. Staff updated the committee on annual required audit process. Per the policies, the NCAA internal audit department successfully audited three conferences and noted no issues.
 - b. Conference Grant Review Subcommittee Members. Staff confirmed that Angela Baumann, Mike Vienna and David Ellis will serve on the subcommittee for another year.

- c. Tier One – Policy Regarding Minimum Funds if Returned to NCAA. During its discussion, the committee agreed that for conference offices to avoid a warning letter for unspent Tier I required annual or biannual spend (e.g. SWA, FAR, Ethnic Minority), the conference office would need to return a minimum of \$1,000 to the NCAA. This new policy will be applicable with the submission of the 2020-21 impact form.

11. Hot Topics.

- a. 2020 Legislation Voting Results. Staff reviewed the Division III legislative voting results from the 2020 NCAA Convention.
- b. Name, Image and Likeness (NIL). The committee received an update on NIL, noting a webinar will be held Wednesday, April 1 and a teleconference of the Division III Oversight Working Group will occur Thursday, April 9. The Oversight Working Group plans to review recommendations being sent to the Management and Presidents Councils for their April meetings. The Management and Presidents Councils will review a legislative model for the 2021 NCAA Convention during their summer meetings.
- c. Arrington Concussion Settlement. Staff updated the committee with the latest information from the Arrington concussion settlement: (1) Certify, in writing, to third-party legal representative by May 18 that the institution has a concussion management plan. It is a one-time certification. (2) Beginning with the 2020-21 year, conduct annual baseline testing on all student-athletes. (3) Ensure that all return to play is granted by a team physician and not a designee. (4) In January, the Division III Management Council passed noncontroversial legislation noting that all institutions must report concussions on an annual basis to SSI. Currently SSI is developing the reporting process.
- d. NCAA Board of Governors.
 - Sexual violence prevention. Institutions still must certify on an annual basis that they educate their staff regarding sexual violence prevention.
 - Winter/Spring championships cancellation. The committee reviewed the actions that the Administrative Committee took as a result of COVID-19. Staff noted that the Division III Commissioners Association has established google documents to help manage the questions.

12. Other Business. None.

13. Future Meetings – June 2020 Teleconference. An invitation will be sent to schedule the mid-June teleconference.

14. Adjournment. The teleconference adjourned at 11:44 a.m. EST.

Committee Chair: Fayneese Miller, Hamline University
Staff Liaisons: Mark Aiken, Administrative
Dan Dutcher, Division III Governance
Eric Hartung, Research
Louise McCleary, Division III Governance
Jeff Myers, Academic and Membership Affairs
Ali Spungen, Division III Governance

NCAA Division III Strategic Planning and Finance Committee
March 24, 2020, teleconference
Attendees:
Angela Baumann, Massachusetts State Collegiate Athletic Conference.
Heather Benning, Midwest Conference.
Chuck Brown, Pennsylvania State University Erie, the Behrend College.
David Ellis, Becker College.
Tiffany Franks, Averett University.
Kiki Jacobs, Roger Williams University.
Robert Lindgren, Randolph-Macon College.
Lori Mazza, Western Connecticut State University.
Fayneese Miller, Hamline University, chair.
Colby Pepper, Covenant College, SAAC.
Dennis Shields, University of Wisconsin, Platteville.
Kent Trachte, Lycoming College.
Michael Vienna, Emory University.
Joseph Walsh, Great Northeast Athletic Conference.
Absentees:
None.
NCAA Staff Support in Attendance:
Dan Dutcher, Debbie Kresge, Louise McCleary and Ali Spungen.
Other NCAA Staff Members in Attendance:
Mark Aiken, Eric Hartung, Anthony Holman, Jeff Myers, Rachel Stern, Liz Suscha and Kiana Verdugo.

	FY 2019 - 2020				FY 2018 - 2019			Actuals as of 2/28		Annualized FY20 Actuals	Variance from 3/31/20
	Actual	Budget	\$ Variance	% of Budget to Date	Actual	Budget	\$ Variance	FY 2018-19	\$ Variance 19-20 to 18-19		
Revenue											
DII/DIII Allocation-Based Revenue	\$9,323,272	\$10,138,880	(\$815,608)	92.0%	\$32,215,323	\$32,237,370	(\$22,047)	\$9,830,669	(\$507,397)	\$10,138,880	\$815,608
Additional Revenue from Membership Dues Increase	\$530,200	\$519,000	\$11,200	102.2%	\$519,000	\$519,000	\$0	\$530,200	\$0	\$530,200	\$0
Other Non-DII/DIII Revenue	\$0	\$0	\$0	N/A	\$13,200	\$0	\$13,200	\$0	\$0	\$0	\$0
Revenue Total	\$9,853,472	\$10,657,880	(\$804,408)	92.5%	\$32,747,523	\$32,756,370	(\$8,847)	\$10,360,869	(\$507,397)	\$10,669,080	\$815,608
Expenses											
Championship Expenses											
Men's Championships											
DIII Men's Baseball	\$14,979	\$2,514,092	\$2,499,113	0.6%	\$1,824,012	\$2,332,000	\$507,988	\$10,703	\$4,276	\$168,006	\$153,027
DIII Men's Basketball	\$432,578	\$1,466,966	\$1,034,388	29.5%	\$1,314,931	\$1,169,000	(\$145,931)	\$639,369	(\$206,790)	\$929,469	\$496,890
DIII Men's Cross Country	\$585,240	\$614,791	\$29,551	95.2%	\$610,617	\$592,350	(\$18,267)	\$606,625	(\$21,385)	\$585,240	\$0
DIII Men's Football	\$2,198,787	\$2,363,405	\$164,618	93.0%	\$1,825,191	\$2,036,500	\$211,309	\$1,812,369	\$386,418	\$2,198,787	\$0
DIII Men's Golf	\$21,152	\$647,835	\$626,683	3.3%	\$609,675	\$621,500	\$11,825	\$15,969	\$5,183	\$80,002	\$58,850
DIII Men's Ice Hockey	\$13,162	\$426,293	\$413,131	3.1%	\$377,793	\$376,000	(\$1,793)	\$223,484	(\$210,322)	\$151,000	\$137,838
DIII Men's Lacrosse	\$2,479	\$703,701	\$701,222	0.4%	\$633,508	\$634,000	\$492	(\$14,510)	\$16,989	\$54,655	\$52,175
DIII Men's Soccer	\$1,223,621	\$1,341,235	\$117,614	91.2%	\$1,106,540	\$1,244,400	\$137,860	\$1,103,896	\$119,725	\$1,223,621	\$0
DIII Men's Swimming and Diving	\$168,963	\$665,508	\$496,545	25.4%	\$587,216	\$629,000	\$41,784	\$271,235	(\$102,272)	\$218,987	\$50,024
DIII Men's Tennis	\$1,663	\$726,331	\$724,668	0.2%	\$666,410	\$698,000	\$31,590	\$1,806	\$1,806	\$69,004	\$67,340
DIII Men's Track Indoor	\$567,380	\$860,974	\$293,594	65.9%	\$707,000	\$836,850	\$129,850	\$433,326	\$134,054	\$567,380	\$0
DIII Men's Track Outdoor	\$1,471	\$946,924	\$945,453	0.2%	\$937,085	\$921,250	(\$15,835)	\$2,896	(\$1,425)	\$83,012	\$81,541
DIII Men's Volleyball	\$3,039	\$366,033	\$362,994	0.8%	\$261,586	\$315,000	\$53,414	\$1,909	\$1,131	\$31,000	\$27,961
DIII Men's Wrestling	\$309,844	\$463,975	\$154,131	66.8%	\$484,780	\$451,500	(\$33,280)	\$322,349	(\$12,504)	\$309,844	\$0
Total	\$5,544,360	\$14,108,063	\$8,563,703	39.3%	\$11,946,343	\$12,857,350	\$911,007	\$5,429,475	\$114,884	\$6,670,007	\$1,125,647
Women's Championships											
DIII Women's Basketball	\$516,315	\$1,313,026	\$796,711	39.3%	\$1,085,210	\$1,253,000	\$167,790	\$398,732	\$117,583	\$758,000	\$241,685
DIII Women's Cross Country	\$559,565	\$637,162	\$77,597	87.8%	\$623,825	\$614,150	(\$9,675)	\$621,561	(\$61,996)	\$559,565	\$0
DIII Women's Field Hockey	\$414,886	\$515,007	\$100,121	80.6%	\$451,497	\$504,000	\$52,503	\$450,746	(\$35,860)	\$414,886	\$0
DIII Women's Golf	\$13,202	\$468,659	\$455,457	2.8%	\$415,327	\$407,550	(\$7,777)	\$20,607	(\$7,405)	\$61,020	\$47,818
DIII Women's Ice Hockey	\$26,554	\$321,471	\$294,917	8.3%	\$255,906	\$314,000	\$58,094	\$130,260	(\$103,706)	\$120,000	\$93,446
DIII Women's Lacrosse	\$6,196	\$920,887	\$914,691	0.7%	\$1,002,591	\$851,000	(\$151,591)	\$1,590	\$4,606	\$88,355	\$82,159
DIII Women's Rowing	\$1,782	\$439,372	\$437,590	0.4%	\$354,854	\$427,000	\$72,146	\$598	\$1,184	\$47,000	\$45,218
DIII Women's Soccer	\$1,312,869	\$1,359,961	\$47,092	96.5%	\$1,250,641	\$1,327,000	\$76,359	\$1,248,065	\$64,804	\$1,312,869	\$0
DIII Women's Softball	\$1,889	\$1,661,268	\$1,659,379	0.1%	\$1,535,739	\$1,597,000	\$61,261	\$677	\$1,212	\$143,019	\$141,131
DIII Women's Swimming and Diving	\$211,224	\$693,537	\$482,313	30.5%	\$649,837	\$695,000	\$45,163	\$314,704	(\$103,480)	\$222,028	\$10,804
DIII Women's Tennis	\$2,015	\$759,270	\$757,255	0.3%	\$699,109	\$740,000	\$40,891	\$1,233	\$782	\$70,010	\$67,996
DIII Women's Track Indoor	\$525,678	\$789,890	\$264,212	66.6%	\$598,514	\$766,150	\$167,636	\$362,026	\$163,651	\$525,678	\$0
DIII Women's Track Outdoor	\$894	\$972,166	\$971,272	0.1%	\$960,065	\$945,750	(\$14,315)	\$2,437	(\$1,542)	\$85,012	\$84,118
DIII Women's Volleyball	\$1,072,134	\$1,185,413	\$113,279	90.4%	\$1,121,305	\$1,153,500	\$32,195	\$1,118,894	(\$46,760)	\$1,072,134	\$0
Total	\$4,665,203	\$12,037,089	\$7,371,886	38.8%	\$11,004,421	\$11,595,100	\$590,679	\$4,672,130	(\$6,927)	\$5,479,577	\$814,374
Championship Expenses Total	\$10,209,562	\$26,145,152	\$15,935,590	39.0%	\$22,950,764	\$24,452,450	\$1,501,686	\$10,101,605	\$107,957	\$12,149,584	\$1,940,022
Overhead Allocation	\$253,750	\$435,000	\$181,250	58.3%	\$422,000	\$422,000	\$0	\$246,167	\$7,583	\$435,000	\$181,250
Total Championship Expense	\$10,463,312	\$26,580,152	\$16,116,840	39.4%	\$23,372,764	\$24,874,450	\$1,501,686	\$10,347,772	\$115,540	\$12,584,584	\$2,121,272

	FY 2019 - 2020			% of Budget to Date	FY 2018 - 2019			Actuals as of 2/28			\$ Variance 19-20 to 18-19
	Actual	Budget	\$ Variance		Actual	Budget	\$ Variance	FY 2018-19	\$ Variance 19-20 to 18-19		
Non-Championship Expenses											
Conference Grants	\$3,167,582	\$3,194,730	\$27,148	99.2%	\$3,042,605	\$3,042,600	(\$5)	\$3,042,605	\$124,977	\$3,167,582	\$0
Intern Program	\$1,089,842	\$1,300,000	\$210,158	83.8%	\$1,175,236	\$1,209,920	\$34,684	\$1,141,549	(\$51,707)	\$1,089,842	\$0
Strategic Alliance Matching Grant	\$617,088	\$708,600	\$91,512	87.1%	\$556,556	\$708,600	\$152,044	\$624,082	(\$6,995)	\$617,088	\$0
Leadership Conference	\$50,651	\$365,000	\$314,349	13.9%	\$414,356	\$365,000	(\$49,356)	\$134,897	(\$84,246)	\$50,651	\$0
Identity Initiative	\$137,015	\$300,000	\$162,985	45.7%	\$324,104	\$300,000	(\$24,104)	\$131,818	\$5,197	\$137,015	\$0
Diversity Initiatives	\$106,513	\$250,000	\$143,487	42.6%	\$250,728	\$250,000	(\$728)	\$92,768	\$13,745	\$106,513	\$0
Sportsmanship - GameDay the DIII Way	\$147,296	\$225,000	\$77,704	65.5%	\$210,547	\$250,000	\$39,453	\$111,766	\$35,531	\$225,000	\$77,704
360 Proof	\$66,072	\$115,000	\$48,928	57.5%	\$91,749	\$125,000	\$33,251	\$58,699	\$7,373	\$115,000	\$48,928
Administrative - Misc	\$9,275	\$112,404	\$103,129	8.3%	\$17,789	\$15,380	(\$2,409)	\$17,789	(\$8,514)	\$9,275	\$0
Coaches and Administrators Diversity	\$0	\$100,000	\$100,000	0.0%	\$90,000	\$100,000	\$10,000	\$0	\$0	\$100,000	\$100,000
Leadership Development Initiatives DiSC	\$83,443	\$100,000	\$16,557	83.4%	\$100,000	\$100,000	\$0	\$72,034	\$11,409	\$83,443	\$0
LGBTQ	\$67,582	\$100,000	\$32,418	67.6%	\$123,293	\$100,000	(\$23,293)	\$66,933	\$649	\$67,582	\$0
ADR Institute	\$29,096	\$90,000	\$60,904	32.3%	\$58,430	\$90,000	\$31,570	\$25,084	\$4,012	\$29,096	\$0
SAAC April and Associate Member Meetings	\$21,220	\$90,000	\$68,780	23.6%	\$77,791	\$90,000	\$12,209	\$24,859	(\$3,640)	\$55,610	\$34,390
AD and Commissioner Orientation	\$10,389	\$85,000	\$74,611	12.2%	\$103,759	\$85,000	(\$18,759)	\$16,353	(\$5,964)	\$21,581	\$11,192
FAR Orientation/Institute	\$45,190	\$85,000	\$39,810	53.2%	\$84,863	\$85,000	\$137	\$55,449	(\$10,259)	\$45,190	\$0
Membership Learning Management - DIII University	\$0	\$80,670	\$80,670	0.0%	\$20,107	\$55,000	\$34,893	\$20,107	(\$20,107)	\$0	\$0
Athletics Administrator Partnership (NADIIIAA)	\$0	\$75,000	\$75,000	0.0%	\$75,000	\$75,000	\$0	\$0	\$0	\$75,000	\$75,000
NCAA Annual Convention	\$20,110	\$70,000	\$49,890	28.7%	\$70,326	\$70,000	(\$326)	\$36,022	(\$15,912)	\$20,110	\$0
Academic All-America Program (Co-SIDA)	\$39,500	\$44,000	\$4,500	89.8%	\$39,500	\$44,000	\$4,500	\$39,500	\$0	\$39,500	\$0
Insurance	\$40,960	\$41,000	\$40	99.9%	\$40,960	\$41,000	\$40	\$40,960	\$0	\$40,960	\$0
Special Olympics	\$4,909	\$35,000	\$30,091	14.0%	\$35,970	\$35,000	(\$970)	\$1,956	\$2,954	\$4,909	\$0
Women Leaders in College Sports	\$0	\$30,000	\$30,000	0.0%	\$30,945	\$30,000	(\$945)	\$478	(\$478)	\$30,000	\$30,000
Conference Commissioner Meetings	\$4,340	\$20,000	\$15,660	21.7%	\$17,591	\$20,000	\$2,409	\$0	\$4,340	\$4,340	\$0
Working Groups	\$4,204	\$20,000	\$15,796	21.0%	\$22,831	\$20,000	(\$2,831)	\$11,040	(\$6,836)	\$4,204	\$0
CoSIDA DIII Day	\$0	\$15,000	\$15,000	0.0%	\$23,844	\$15,000	(\$8,844)	\$150	(\$150)	\$0	\$0
NADIIIAA and Commissioner Mtg	\$768	\$10,000	\$9,232	7.7%	\$4,128	\$10,000	\$5,872	\$1,769	(\$1,001)	\$768	\$0
Staff Professional Development	\$6,378	\$7,000	\$622	91.1%	\$6,375	\$7,000	\$625	\$5,853	\$525	\$6,378	\$0
Championships Festival	\$30	\$0	(\$30)	N/A	\$5	\$0	(\$5)	\$0	\$30	\$30	\$0
Exploratory/Provisional Membership	\$326	\$0	(\$326)	N/A	\$2,284	\$0	(\$2,284)	\$2,254	(\$1,928)	\$326	\$0
Injury Surveillance and Testing	\$0	\$0	\$0	N/A	\$0	\$74,500	\$74,500	\$0	\$0	\$0	\$0
Academic Reporting Honorarium	\$0	\$0	\$0	N/A	\$34,995	\$35,000	\$5	\$34,996	(\$34,996)	\$0	\$0
Non-Championship Expenses Total	\$5,769,779	\$7,668,404	\$1,898,625	75.2%	\$7,146,666	\$7,448,000	\$301,334	\$5,811,770	(\$41,992)	\$6,146,992	\$377,213
Overhead Allocation	\$638,167	\$1,094,000	\$455,833	58.3%	\$1,062,000	\$1,062,000	\$0	\$619,500	\$18,667	\$1,094,000	\$455,833
Total Non-Championship Expense	\$6,407,946	\$8,762,404	\$2,354,458	73.1%	\$8,208,666	\$8,510,000	\$301,334	\$6,431,270	(\$23,325)	\$7,240,992	\$833,047
Expenses Total	\$16,871,258	\$35,342,556	\$18,471,298	47.7%	\$31,581,430	\$33,384,450	\$1,803,020	\$16,779,042	\$92,216	\$19,825,576	\$2,954,318
Surplus (Deficit)	(\$7,017,786)	(\$24,684,676)			\$1,166,093	(\$628,080)				(\$9,156,496)	
Add: Prior Year Reserve Balance	\$30,347,383	\$28,553,209			\$29,181,289	\$29,181,289					
Estimated Reserve Balance	\$23,329,597	\$3,868,533			\$30,347,383	\$28,553,209					

DIII Future Projections

Assumptions:

- A) Goal is to have the lowest possible positive Cash Reserve Surplus and Net Change in Fund Balance.

B) Changes in "Policy" could be implemented for fiscal year 2014-2015, but the earliest Changes in "Program funding" could realistically occur is 2015-2016.

- Game Operations increases by X% each fiscal year based on FY2009-10 thru FY2015-16 average increases. Actual growth rate is 4.6% annually.

- Committee expenses increase by X% each fiscal year based on FY2011-12 thru FY2015-16 average increases. Actual growth rate is -2.1% annually.

- Team Transportation increases by X% each fiscal year based on cost per traveler analysis for FY2008-09 thru FY2016-17.

- Projection does not include any increase increase in bracket size due to sport sponsorship increases (access ratio) other than 2015-16 bracket expansion for women's lacrosse (2 teams) and 2017-18
- 1.00%

0.00%

3.94%

DIII Champs Assumption Analysis 16-17

DIII Champs Assumption Analysis 16-17

Presentation to CFO on Travel Models by division

The National Collegiate Athletic Association

Division III Budget Projections

	2018-19 Actual	2019-20 Budget	2020-21 Projection	2021-22 Projection	2022-23 Projection	2023-24 Projection
Revenue:						
Division III 3.18% Revenue Allocation	\$ 32,249,015	\$ 33,147,323	\$ 33,924,323	\$ 34,607,323	\$ 34,752,323	\$ 34,803,323
Draw from DIII Reserve						
Additional Revenue from Membership Dues Increase	\$ 530,200	\$ 519,000	\$ 519,000	\$ 519,000	\$ 519,000	\$ 519,000
Division III Other Revenue	57,500	-	-	-	-	-
Total Revenue	\$ 32,836,715	\$ 33,666,323	\$ 34,443,323	\$ 35,126,323	\$ 35,271,323	\$ 35,322,323
Projected Revenue Increase	2.6%	2.8%	2.3%	2.0%	0.4%	0.1%
Expenses:						
Championships Game Operations	4,696,723	4,493,439	4,566,503	4,612,168	4,693,290	4,704,873
Championships Committee	353,305	417,215	492,815	492,815	492,815	492,815
Championships Team Transportation	9,128,803	11,108,553	11,546,230	12,001,151	12,473,997	12,965,472
Championships Per Diem	6,844,931	7,540,945	8,202,045	8,202,045	8,202,045	8,202,045
Championships Overhead Allocation ⁴	422,000	435,000	448,000	461,000	475,000	489,000
Total Championship Expenses	21,445,763	23,995,152	25,255,593	25,769,180	26,337,147	26,854,205
Non-Championships Base Budget	6,616,467	7,149,404	7,354,000	7,491,000	7,492,000	7,469,000
Non-Championships Initiatives	-	-	-	-	-	-
Non-Championships Overhead Allocation ⁴	1,037,000	1,094,000	1,127,000	1,161,000	1,196,000	1,232,000
Total Non-Championship Expenses	7,653,467	8,243,404	8,481,000	8,652,000	8,688,000	8,701,000
Total Division III Expenses (before supplemental spending)	\$ 29,099,230	\$ 32,238,556	\$ 33,736,593	\$ 34,421,180	\$ 35,025,147	\$ 35,555,205
Net Change in Fund Balance (before supplemental spending)	\$ 3,737,485	\$ 1,427,767	\$ 706,730	\$ 705,143	\$ 246,176	\$ (232,882)
Supplemental items:						
Local ground transportation for individual sports	575,000	603,000	627,000	652,000	678,000	705,000
Men's Joint Championship		250,000				
Women's Joint Championship					250,000	
Guarantee first round no conference match-ups		336,000	349,000		-	-
Local ground transporation for team sports	316,000	332,000	345,000	359,000	373,000	388,000
Increase in travel party size for team sports	1,036,000	1,064,000	1,112,000	1,137,000	1,163,000	1,190,000
Supplemental Championships Spending from reserve ²	1,927,000	2,585,000	2,433,000	2,148,000	2,464,000	2,283,000
Supplemental Non-Championships Spending from reserve	530,200	519,000	519,000	519,000	519,000	519,000
Total Supplemental Spending	2,457,200	3,104,000	2,952,000	2,667,000	2,983,000	2,802,000
Division III Membership Dues Credit	-	-	-	-	-	-
Total Division III Expenses (after supplemental spending)	\$ 31,556,430	\$ 35,342,556	\$ 36,688,593	\$ 37,088,180	\$ 38,008,147	\$ 38,357,205
Net Change in Fund Balance (after supplemental spending)	\$ 1,280,285	\$ (1,676,233)	\$ (2,245,270)	\$ (1,961,857)	\$ (2,736,824)	\$ (3,034,882)
Projected Expense Increase	-2.4%	12.0%	3.8%	1.1%	2.5%	0.9%
Beginning Fund Balance (Projected Reserve and Unallocated Funds)	\$ 29,181,289	\$ 30,461,574	\$ 28,785,341	\$ 26,540,071	\$ 24,578,214	\$ 21,841,390
Less:						
Net Change in Fund Balance	1,280,285	(1,676,233)	(2,245,270)	(1,961,857)	(2,736,824)	(3,034,882)
Ending Fund Balance (Projected Reserve and Unallocated Funds)	\$ 30,461,574	\$ 28,785,341	\$ 26,540,071	\$ 24,578,214	\$ 21,841,390	\$ 18,806,508
Mandated Reserve ¹	(16,124,508)	(16,573,662)	(16,962,162)	(17,303,662)	(17,376,162)	(17,401,662)
Cash available in excess of reserve policy	\$ 14,337,067	\$ 12,211,680	\$ 9,577,910	\$ 7,274,553	\$ 4,465,229	\$ 1,404,847

- Notes:
- 1 Mandated reserve is 50% of the annual DIII revenue allocation in cash beginning in fiscal year 2017-18. The division also holds a separate event cancellation insurance policy with a \$5M limit.

2 Supplemental championships spending is earmarked for individual/team local ground transportation and returning travel party sizes to 2013-14 levels. This supplemental spending would be evaluated first for elimination in the event of an operating deficit.

3 Amount includes inflationary increase from prior year amount (light blue highlight).

4 All amounts for 2018-19 are unaudited amounts. Overhead estimates were last updated September 2018.

5 Actuals have not been finalized for supplemental items. Budget amounts are reflected for FY18-19.

Percentage DIII Spend - Championships	74%	75%	75%	75%	76%	76%
Percentage DIII Spend - Non-Championships	26%	25%	25%	25%	24%	24%

DIII Future Projections

Assumptions:

- A) Goal is to have the lowest possible positive Cash Reserve Surplus and Net Change in Fund Balance.

B) Changes in "Policy" could be implemented for fiscal year 2014-2015, but the earliest Changes in "Program funding" could realistically occur is 2015-2016.
- Game Operations increases by X% each fiscal year based on FY2009-10 thru FY2015-16 average increases. Actual growth rate is 4.6% annually.

- Committee expenses increase by X% each fiscal year based on FY2011-12 thru FY2015-16 average increases. Actual growth rate is -2.1% annually.

- Team Transportation increases by X% each fiscal year based on cost per traveler analysis for FY2008-09 thru FY2016-17.

- Projection does not include any increase increase in bracket size due to sport sponsorship increases (access ratio) other than 2015-16 bracket expansion for women's lacrosse (2 teams) and 2017-18

1.00%
0.00%
3.94%

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The National Collegiate Athletic Association
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Draw from DIII Reserve						
Additional Revenue from Membership Dues Increase	\$ 530,200	\$ 530,200	\$ 519,000	\$ 519,000	\$ 519,000	\$ 519,000
Division III Other Revenue	57,500	-	-	-	-	-
Total Revenue	\$ 32,836,715	\$ 10,669,080	\$ 34,443,323	\$ 35,126,323	\$ 35,271,323	\$ 35,322,323
Projected Revenue Increase	2.6%	-68.6%	234.6%	2.0%	0.4%	0.1%
Expenses:						
Championships Game Operations	4,696,723	2,388,104	4,566,503	4,612,168	4,693,290	4,704,873
Championships Committee	353,305	352,902	492,815	492,815	492,815	492,815
Championships Team Transportation	9,128,803	6,144,085	11,546,230	12,001,151	12,473,997	12,965,472
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Total Championship Expenses	21,445,763	12,584,584	25,255,593	25,769,180	26,337,147	26,854,205
Non-Championships Base Budget	6,616,467	6,146,992	7,354,000	7,491,000	7,492,000	7,469,000
Non-Championships Initiatives	-	-	-	-	-	-
Non-Championships Overhead Allocation ⁴	1,037,000	1,094,000	1,127,000	1,161,000	1,196,000	1,232,000
Total Non-Championship Expenses	7,653,467	7,240,992	8,481,000	8,652,000	8,688,000	8,701,000
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Supplemental items:						
Local ground transportation for individual sports	575,000	-	627,000	652,000	678,000	705,000
Men's Joint Championship		82,500				
Women's Joint Championship					250,000	
Guarantee first round no conference match-ups		-	349,000		-	-
Local ground transporation for team sports	316,000	-	345,000	359,000	373,000	388,000
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Total Supplemental Spending	2,457,200	82,500	2,952,000	2,667,000	2,983,000	2,802,000
Division III Membership Dues Credit	-	-	-	-	-	-
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Net Change in Fund Balance (after supplemental spending)	\$ 1,280,285	\$ (9,238,996)	\$ (2,245,270)	\$ (1,961,857)	\$ (2,736,824)	\$ (3,034,882)
Projected Expense Increase	-2.4%	-36.9%	84.3%	1.1%	2.5%	0.9%
Beginning Fund Balance (Projected Reserve and Unallocated Funds)	\$ 29,181,289	\$ 30,461,574	\$ 21,222,578	\$ 18,977,308	\$ 17,015,451	\$ 14,278,627
Less:						
Net Change in Fund Balance	1,280,285	(9,238,996)	(2,245,270)	(1,961,857)	(2,736,824)	(3,034,882)
Ending Fund Balance (Projected Reserve and Unallocated Funds)	\$ 30,461,574	\$ 21,222,578	\$ 18,977,308	\$ 17,015,451	\$ 14,278,627	\$ 11,243,745
Mandated Reserve ¹	(16,124,508)	(5,069,440)	(16,962,162)	(17,303,662)	(17,376,162)	(17,401,662)
Cash available in excess of reserve policy	\$ 14,337,067	\$ 16,153,138	\$ 2,015,147	\$ (288,211)	\$ (3,097,535)	\$ (6,157,917)

Notes:

1 Mandated reserve is 50% of the annual DIII revenue allocation in cash beginning in fiscal year 2017-18. The division also holds a separate event cancellation insurance policy with a \$5M limit.

2 Supplemental championships spending is earmarked for individual/team local ground transportation and returning travel party sizes to 2013-14 levels. This supplemental spending would be evaluated first for elimination in the event of an operating deficit.

3 Amount includes inflationary increase from prior year amount (light blue highlight).

4 All amounts for 2018-19 are unaudited amounts. Overhead estimates were last updated September 2018.

5 Actuals have not been finalized for supplemental items. Budget amounts are reflected for FY18-19.

Percentage DIII Spend - Championships	74%	64%	75%	75%	76%	76%
Percentage DIII Spend - Non-Championships	26%	36%	25%	25%	24%	24%



REPORT OF THE NCAA DIVISION III MANAGEMENT COUNCIL
SUBCOMMITTEE FOR LEGISLATIVE RELIEF
MARCH 25, 2020, TELECONFERENCE

ACTION ITEMS.

1. Legislative items.

- **Convention Legislation – Establish a Service Exception to the Participation in Organized Competition Before Initial Collegiate Enrollment Legislation [Division III Bylaw 14.2.4.4].**
 - (1) **Recommendation.** Sponsor Convention legislation to establish a service exception to the organized competition legislation that permits a prospective student-athlete to participate in organized competition while serving on active duty in the U.S. armed services (or comparable armed service of another country), on official religious missions or on missions with recognized foreign aid services of the U.S. government.
 - (2) **Effective date.** August 1, 2021.
 - (3) **Rationale.** Similar to Divisions I and II, Division III legislation specifies that a prospective student-athlete uses a season of participation for each consecutive 12-month period after the one-year period following high school graduation (i.e., "grace period") in which they participate in organized competition; however, Division III only charges use of a season for a limited set of activities that occur after the grace period. While this legislation may allow for more participation opportunities prior to initial collegiate enrollment than its Divisions I and II counterparts, it does not provide flexibility for prospective student-athletes who may not enroll in college immediately after their grace period due to participation in service activities. Noting the inequitable impact on prospective student-athletes who would want to enroll at Division III institutions after their service, the Division III Management Council Subcommittee for Legislative Relief recommended adopting the same service exception as Divisions I and II to permit a prospective student-athlete to participate in organized competition while serving on active duty in the military, on official religious missions or on missions with recognized foreign aid services of the U.S. government. The service exception would recognize the significance of these activities, afford prospective student-athletes engaged in service appropriate time to make an informed decision about collegiate enrollment while continuing to engage in competition and promote equitable participation opportunities across all divisions.
 - (4) **Budget impact.** None.

- (5) Student-athlete impact. Division III prospective student-athletes would not be penalized for participating in organized competition while engaged in military, religious or foreign aid service.

2. Nonlegislative items.

- **Increase Composition of NCAA Division III Management Council Subcommittee for Legislative Relief from Six to Eight Members.**

- (1) Recommendation. Increase the composition of the Subcommittee for Legislative Relief from six members to eight members, thereby increasing the quorum from three to four members.
- (2) Effective date. Immediate.
- (3) Rationale. An increase in the number of members of the Subcommittee for Legislative Relief will ensure member institution and conference legislative relief waivers are afforded the best appellate and direct review opportunities. Specifically, the subcommittee is comprised of six current members of Management Council who primarily serve as Division III institutional athletics administrators. The time demand expected of those six members is availability on a weekly 60-minute teleconference. As campus administrators, urgent and unforeseen priorities sometimes conflict with the standing weekly teleconference, often resulting in small subcommittee representation on calls and decisions on cases that may not be representative of the entire subcommittee.

Increasing the subcommittee composition permits Management Council to maintain direct purview over legislative relief waivers, and specifically, the limited set of circumstances under which the legislation may be waived. The Division III membership puts careful thought and consideration annually into its legislation. As a governing body that reviews all legislative changes, it is most fitting that the same body determine the unique circumstances under which such legislation should be waived.

- (4) Budget impact. None.
- (5) Student-athlete impact. Greater opportunity for legislative relief waivers for Division III student-athletes to be appropriately vetted and decided in a manner more consistent with Management Council positions.

INFORMATIONAL ITEMS.

1. **Welcome and introductions.** The chair, Jason Fein, welcomed the subcommittee members and requested members review the roster for accuracy.
2. **Approval of August 2019 report.** The subcommittee reviewed and approved its August 21 teleconference report.
3. **Review cases decided from August 1, 2019, through January 31, 2020, by NCAA staff and the subcommittee.** From August 1 through January 31, the subcommittee and/or staff received a total of 73 Division III waiver requests, 66 of which were decided and six of which were cancelled. The following is a summary of the decisions made by the staff and subcommittee:
 - a. Staff approved 45 waivers, 13 of which were approved with conditions. Of the 45 waivers approved, 18 waivers were approved based on totality of circumstances and/or student-athlete well-being; and 21 waivers were approved based on the Subcommittee for Legislative Relief Information Standards, Guidelines and Directives.
 - b. Staff denied 19 waivers. Institutions appealed 10 of the staff denials to the subcommittee, and the subcommittee affirmed the staff position in six cases and overturned the staff position in the remaining four cases.
 - c. Three waivers were directly reviewed by the subcommittee and approved.
4. **Review of Division III previously approved waivers list.** The subcommittee reviewed the Division III Subcommittee for Legislative Relief Previously Approved Waivers Checklist [Reference: October 5, 2016, Item Ref: 2 Educational Column], which provides flexibility for an institution to grant relief of NCAA legislation and submit a report to its conference office rather than filing a formal legislative relief waiver request. The subcommittee took no action.
5. **Review of Subcommittee for Legislative Relief Policies and Procedures.** Staff provided the subcommittee with its policies and procedures for review. The subcommittee reviewed the document and took no action.
6. **Review of Subcommittee for Legislative Relief Information Standards, Guidelines and Directives.** Staff provided the subcommittee with its information standards, guidelines and directives for review. The subcommittee reviewed the document and took no action.

7. **Name, image and likeness update.** Staff provided the subcommittee with an update on the Division III Interpretations and Legislation Committee's discussions on NIL from its February in-person meeting.
8. **COVID-19 update.** Staff provided the subcommittee with an update on the Division III Administrative Committee's actions in response to COVID-19.
9. **Future meetings.** The chair reminded the subcommittee of its upcoming August 2020 meeting.
10. **Other business.** None.
11. **Adjournment.** The subcommittee adjourned at 12:47 p.m. Eastern time.

Subcommittee Chair: Jason Fein, Bates College.

Staff Liaisons: Corey Berg, Academic and Membership Affairs.

Kaitlyn Purcell, Academic and Membership Affairs.

NCAA Division III Management Council Subcommittee for Legislative Relief March 25, 2020, Teleconference	
Attendees:	
Cheryl Aaron, Wentworth Institute of Technology.	
Jason Fein, Bates College.	
Lori Mazza, Western Connecticut State University.	
Scott McGuinness, William and Jefferson College.	
Denise Udelhofen, Loras College.	
Mike Vienna, Emory University.	
Absentees:	
None.	
NCAA Staff Support in Attendance:	
Corey Berg and Kaitlyn Purcell.	
Other NCAA Staff Members in Attendance:	
Anyssa Barbosa, Louise McCleary and Jeff Myers.	



**REPORT OF THE
NCAA DIVISION III MANAGEMENT COUNCIL
PLAYING AND PRACTICE SEASONS SUBCOMMITTEE
APRIL 13, 2020, TELECONFERENCE**

ACTION ITEMS.

- **None.**

INFORMATIONAL ITEMS.

- 1. Welcome and announcements.** Laura Mooney welcomed the subcommittee members.
- 2. Review NCAA Division III Management Council Playing and Practice Seasons Subcommittee September 2019 report.** The subcommittee reviewed and approved the report from its September 27, 2019, teleconference
- 3. Update on the NCAA Committee on Competitive Safeguards and Medical Aspects of Sports review of acclimatization and transitions period.** The subcommittee received an update from the Sport Science Institute staff on the status of Committee on Competitive Safeguards and Medical Aspects of Sports' review of acclimatization and transition periods. Additionally, the SSI staff updated the subcommittee on the formation of the COVID-19 Playing and Practice Seasons Internal Working Group to address potential impacts of COVID-19 on fall sports' playing and practice seasons.
- 4. COVID-19 update.** The staff provided the subcommittee with an update on the Division III Administrative Committee's March 13 directive related to playing and practice seasons exceptions. The subcommittee also reviewed the 2020 Division III COVID-19 Question and Answer Guide.
- 5. Soccer season review update.** The staff provided the subcommittee with an update on the Division I men's soccer coaches proposal to make men's soccer a two-season sport.
- 6. Future meetings.** No future meetings are scheduled at this time.
- 7. Adjournment.** The subcommittee adjourned at 2:48 p.m. Eastern time.

Committee Chair: Laura Mooney, Massachusetts College of Liberal Arts
Staff Liaisons: Tiffany Alford, Academic and Membership Affairs
Laura Peterson, Championships and Corporate Alliances
Zach Romash, Academic and Membership Affairs

NCAA Division III Management Council Playing and Practice Seasons Subcommittee April 13, 2020, Teleconference	
Attendees:	
Sarah Feyerherm, Washington College (Maryland).	
Catherine Lanigan, Juniata College.	
Laura Mooney, chair; Massachusetts College of Liberal Arts.	
Kandis Schram, Maryville College (Tennessee).	
Michael Vienna, Emory University .	
Joseph Walsh, Great Northeast Athletic Conference.	
Michelle Walsh, Vassar College.	
NCAA Staff Members in Attendance:	
Tiffany Alford, Laura Peterson and Zach Romash.	
Other NCAA Staff Members in Attendance:	
Louise McCleary, Jeff Myers.	



REPORT OF THE
NCAA DIVISION III CHAMPIONSHIPS COMMITTEE
FEBRUARY 4-5, 2020, MEETING

ACTION ITEMS.

1. Legislative items.

a. Administrative Regulation: 31.3.3.1.1—Automatic Qualification Waiting Period—Provisional and Reclassifying Members.

- (1) Recommendation. Amend Bylaw 31.3.3.1.1. to only permit institutions in year three of the provisional or reclassifying process to count toward the automatic qualification waiting period.
- (2) Effective date. August 1, 2020.
- (3) Rationale. Upon the passage of two legislative proposals (Nos. 2020-2 and 2020-3), which eliminated the 4th year of the membership process, the committee recommended amending Bylaw 31.3.3.1.1 to clarify that only institutions in year three of the provisional or reclassifying process could count toward the automatic qualification waiting period. Proposal 2016-9 originally allowed institutions in years three and four of the membership process to count towards the waiting period for an automatic qualification. With the elimination of the fourth year, Bylaw 31.3.3.1.1 needs clarification as to the scope of its application. Proposals 2020-2 and 2020-3, did not create different standards for the second year of the membership process, rather they only eliminated the fourth year. Thus, institutions in the second year of the membership process may still provide athletics aid to participating student-athletes and are not counted in primary selection criteria for championships. These were both key factors in the membership deciding to approve proposal 2016-9. Consequently, since institutions in the second year of the membership process were not permitted to count for purposes of the automatic qualification waiting period, prior to the passage of the 2020 proposals, then they should not be permitted now. Rather, Bylaw 31.3.3.1.1 should only apply to institutions in their third year of the membership process.
- (4) Estimated budget impact. None.
- (5) Student-athlete impact. None.

b. Noncontroversial Legislation – NCAA Bylaw 21.9.6.2 and Figure 21.1 – Committee Membership.

- (1) Recommendation. Adopt noncontroversial legislation to amend Figure 21.1 as incorporated by Bylaw 21.9.6.2 to adjust sport committee rosters to coincide with the new regional alignment the championships committee endorsed in Informational Item 11 as outlined below:

Sport	Current # Members	Proposed # Members	Difference
Football	8	6	-2
Men's Soccer	8	10	2
Women's Soccer	8	10	2
Women's Volleyball	8	10	2
Men's Basketball	8	10	2
Women's Basketball	8	10	2
Baseball	8	10	2
Men's Golf	6	7	1
Softball	8	10	2
Total	70	83	13

*If a sport is not included, then there is no increase to the number of regions proposed.

- (2) Effective date. September 1, 2021.
- (3) Rationale. The Championships Committee supported the revised regional alignment model noting the continued support of the Division III Commissioners Association as well as input from sport committees. The proposal creates a scale for regional alignment based on sport sponsorship (e.g., sports with sponsorship from 40-149 would have two regions; 150-374, 5-8 regions; 375+, 9-10 regions) and other key principles, including: 1) maintaining conference members in the same regions; 2) importance of geographic proximity in regional placement; 3) balancing the number of institutions across all regions (optimally, close to 40 per region); and 4) competitive balance across the regions.
- (4) Estimated budget impact. Up to \$75,600. (Note: the budget allocation for the increase in committee composition was approved by the Division III Management Council during its April 2019 in-person meeting.)
- (5) Estimated student-athlete impact. The new sport committee compositions will increase representation for student-athletes across the regions.

2. Nonlegislative Items.

- **Bracket Expansion in Field Hockey and Men's Lacrosse.**

- a. Recommendation. That the Division III Strategic Planning and Finance Committee and the Division III Management Council consider expanding the field hockey bracket by two teams (from 24 to 26) and the men's lacrosse bracket by two teams (from 36 to 38).
- b. Effective date. August 1, 2020.
- c. Rationale. Based on 2019-20 sport sponsorship data, the brackets in field hockey and men's lacrosse merit consideration for expansion in 2020-21 to align with the division's prescribed access ratio. While the Championships Committee acknowledges that this comes during the middle of the current budget cycle, it is customary to make the Strategic Planning and Finance Committee aware of potential bracket increases to determine whether they warrant immediate funding or to be considered among allocations for the next cycle.
- d. Estimated budget impact. \$36,820 for field hockey and \$75,561 for men's lacrosse. (See Attachment A.)
- e. Student-athlete impact. Bracket expansion increases the opportunity for student-athletes to experience the benefits and rewards of postseason competition.

INFORMATIONAL ITEMS.

1. **Opening Remarks and Review of Schedule and Agenda.** The chair Kiki Jacobs welcomed new members Heather Benning, Chuck Mitrano, Michelle Morgan and John Neese and reviewed the meeting schedule and key discussion items.
2. **Committee Roster and Liaison Assignments.** The committee reviewed the committee roster and available sport liaison assignments as a result of committee turnover. As a liaison to a sport committee, Championships Committee members are expected to remain apprised of sport-specific issues and concerns. Members agreed on several changes to the sport liaison assignments.
3. **Recent Committee Reports.** The committee reviewed and approved its December 17 teleconference report as presented.
4. **Governance Update.** The governance staff reviewed the following key items with the committee: (1) Next steps resulting from discussions and educational sessions at the recent NCAA Convention regarding student-athlete name, image and likeness; (2) Upcoming joint basketball

championships (men this April in Atlanta and women in Dallas in 2023); (3) Association-wide discussions regarding officiating; (4) Impact of the recent Arrington settlement on Division III member institutions regarding student-athlete health and safety (specifically concussion); (5) Athletics training issues (specifically the “care gap” that occurs when visiting institutions do not travel with an athletics trainer); (6) SSI health and safety survey; (7) Transfer portal update; (8) Potential next steps resulting from the International Ice Hockey Pilot Program; (9) Update on Game Day the DIII Way; and (10) LGBTQ recognition awards.

5. **NCAA Division III Management Council/Presidents Council Updates.** Committee members who also serve on the Management Council provided additional updates from the January meeting.
6. **NCAA Division III Student-Athlete Advisory Committee Update.** The SAAC liaison provided an update on behalf of the Division III SAAC from the NCAA Convention.
7. **Playing Rules Oversight Panel.**
 - a. Recent reports. An NCAA playing rules staff member updated the committee on the panel’s most recent reports. Included in the update was a discussion about Bylaw 21.3.1.3, which requires the playing rules to be the same for all three divisions except for division-specific playing rules developed to address significant financial impact and approved by the Playing Rules Oversight Panel (PROP) and each division. The staff noted that various coaches associations had expressed interest in altering this bylaw to permit division-specific playing rules to allow for more flexibility by division and by sport (e.g., liberalize substitution rules in some Division III sports to allow for more student-athlete participation, implement more strict substitution rules in some Division I sports to be more consistent with professional league substitution rules). The staff noted that at its January 2020 meeting, PROP reaffirmed its support for the current bylaw primarily for three reasons: (1) preventing officials from having to learn more than one set of rules; (2) preserving opportunities for competition between divisions, and (3) avoiding potential confusion for fans. PROP also encouraged the staff to consider the concept of determining if certain playing rules might be considered “administrative in nature” (e.g., length of halftime) and, therefore, permissible by division when appropriate as an alternative to changing the current bylaw.
 - b. Championships Committee representative. The committee appointed Robin Baker as its representative on the panel.

8. Academic and Membership Affairs Update and Action.

- a. NCAA Convention legislative update. Staff updated the committee on legislation adopted at the 2020 NCAA Convention.
- b. Proposals 2020-2 and 2020-3. Among proposals adopted were two (2020-2 and 2020-3) that reduce the automatic qualification waiting period for provisional and reclassifying institutions. Anticipating that these proposals would be adopted, the Championships Committee during a November 2019 teleconference agreed to recommend amending the legislation so that only institutions in year three of the provisional or reclassifying process count toward the AQ waiting period. The committee affirmed this position (See Action Item 1) and agreed to count schools in year three toward primary criteria in the championship ranking and selection process.
- c. SCAC men's and women's golf AQ waiting period. Given the adoption of proposals 2020-2 and 2020-3, the Southern Collegiate Athletic Conference cited mitigating circumstances regarding one of its member institutions that it believes merit requesting a waiver to regain its AQ status in men's and women's golf. After discussion, however, the Championships Committee voted to deny the request, noting that the newly adopted proposals (and the proposed amendment in Action Item 1) do not become effective until August 1. As such, the committee noted that approving the waiver request would essentially be applying yet-to-be-effective legislation retroactively, which the committee does not support.
- d. USA South – exception for AQ requirements. The committee considered a request from the USA South conference to waive the two-year waiting period once the 19-member conference splits into two conferences. The committee denied the request that the new conference have access to the USA South AQ during the two-year waiting period as affiliate members noting that nothing extraordinary exists to grant an exception to the legislation for a newly formed multi-sport conference. The committee based its decision on upholding the integrity of legislation requiring new conferences to demonstrate a commitment to competition as an entity during the two-year waiting period to earn access to championships through AQ (i.e., Pool A).
- e. Reconsideration of AQ waiver decisions. Given recent requests to reconsider waiver decisions, the committee voted to add language in its policies and procedures manual that specifies a 30-day window during which a decision may be reconsidered only if new information is presented that was not reasonably available at the time of the original decision.
- f. Guideline for single-sport conference transitioning to multisport conference. The committee revisited an issue broached during its September 2019 Committee meeting regarding whether to amend legislation pertaining to how a single-sport conference transitions to a multisport conference or to address the matter through a waiver guideline. After discussion, the committee opted for a waiver guideline stating that a single-sport

conference may transition into a multisport conference and maintain its automatic qualification so long as it satisfies all conditions of a multisport conference, and so long as each member of the single-sport conference joins the multisport conference to maintain the automatic qualification.

9. Championships and Alliances Updates.

- a. Host site selection. NCAA staff provided an update of the timeline and process for selecting hosts for championships from the fall of 2022 through the spring of 2026.
- b. Championship access ratios by sport. The committee reviewed a comparison of the number of championship participants to the total number of student-athletes based on 2018-19 participation rates data and championship opportunities. The summary showed that 8.7 percent of Division III male student-athletes and 11.4 percent of Division III female student-athletes have access to championships.
- c. Fine structure. The committee reviewed the fine structure in Division III (e.g., fines assessed when institutions miss form-submission deadlines or do not attend required administrative meetings). When originally adopted, the fine structure applied to all three divisions, but since that time, Divisions I and II have adjusted their structures. After reviewing the information, the committee agreed to adjust its structure to double the fines for repeat offenders per violation (along with a letter of reprimand to the appropriate supervisor). The committee also agreed to add the game tape exchange as a violation category.

10. State of the Sport Teleconferences with Sport Committee Chairs. Staff updated the committee on the calls that have been conducted to date and highlighted some of the findings/concerns that have emerged.

11. Sport Region Realignment Proposal. The committee supported recommending that the Division III Management Council approve the proposed region alignment model, noting the input incorporated from the membership, primarily the Division III Commissioners Association and sport committees, throughout the model's development. (See Action Item 1-b and Attachment B)

The proposed model is a refined version based on 18 months of deliberation within the governance structure and comprehensive membership input. The last regional alignment changes were made in 2007-08 and several sports have experienced exponential growth since that time. The new model will provide more efficiency in the selection process with fewer teams per region, allowing regional advisory committees and national committee members to more thoroughly evaluate teams in the region. A better balance of numbers across the regions also allows an opportunity to rank a more consistent number of teams per region (the Championships Committee will determine the number of ranked teams per sport during a future teleconference).

The Championships Committee will formally review the alignment every five years following the model's key principles (numerical balance, geographic placement, conference affiliation and competitive consideration), though the committee could conduct an immediate review in instances when conference realignment significantly impacts the number of institutions per region (e.g., if the realignment causes a region to expand above the maximum across all of the regions or contract below its the minimum). If the committee determines during any review that changes are necessary, those would become effective two years afterward. The committee reinforced that any necessary changes suggested by sport committees in the intermediate timeframes would need the review and support of the Championships Committee.

12. Championships Budget.

- a. Fall budget recap. NCAA staff reviewed game operations, team transportation and per diem expense for the 2019 fall championships and noted that several charges are still outstanding. The committee will review final budget numbers from the 2019 fall championships during an upcoming teleconference.
- b. Budget priorities and recommendations for Strategic Planning and Finance Committee. The committee prioritized bracket growth in field hockey and men's lacrosse for the Division III Strategic Planning and Finance Committee (SPFC) to consider for the upcoming budget year (2020-21). (See Action Item 2 for committee recommendation.)
- c. Management of team ground transportation. Staff updated the committee on the use of GoGround as the NCAA's ground transportation provider and the selection of a consultant to review current safety policies and procedures.

13. Roster Management with New Bench Size Policies. The committee voted to clarify that institutions can adjust their rosters from round to round (game to game in baseball/softball) rather than only from site to site as was previously implied.

- Bench size adjustments in men's and women's volleyball. When the Division III Championships Committee originally requested feedback from sport committees regarding desired adjustments to the bench size for their respective sport, the Women's Volleyball Committee requested no increase in the current bench size (25) based on the belief that the committee could permit coaches to submit a different roster (squad size of 17) each day of championship competition, rather than one roster per round of competition. Given the clarification noted above, the committee asked to increase the bench size by one to allow for an additional student-athlete. The Championships Committee approved the request and also agreed to increase the bench size in men's volleyball by one as well.

- 14. In-Region Competition Requirement Waiver Requests:** The committee approved the following in-region competition waiver requests for the 2020-21 academic year, unless otherwise specified:
- a. University of Maine at Presque Isle – men’s soccer, women’s soccer, women’s volleyball, men’s basketball, women’s basketball, baseball and softball.
 - b. Mills College – women’s rowing, women’s soccer, women’s tennis and women’s volleyball. (Note: While the committee was sympathetic to the institution’s circumstances in women’s rowing, members encouraged the school to do its due diligence in the future to meet the scheduling requirements in the other sports.)
 - c. Linfield College – women’s basketball. (Note: While the committee granted the waiver for the 2019-20 academic year, members believe the institution should have been more diligent in monitoring to ensure that the requirements were met. As such, the committee agreed to inform the institution that it must meet the in-region competition requirement during the 2020-21 academic year and beyond, as future requests of this same nature would likely be denied.)
 - d. Allegheny Mountain Collegiate Conference. The committee approved a request from the AMCC to allow competition against a conference member that has applied for Division II membership to count toward Division III in-region requirements for the 2020-21 academic year, pending the school’s acceptance into the Division II membership process. The committee cited precedent for approving such requests to not unduly burden other conference members in scheduling or impacting ranking and selections data (i.e., primary criteria). The committee conditioned the waiver on the institution’s commitment to compliance with NCAA Division III Bylaws during the course of the year.
- 15. 2019 Fall Championships Reports.**
- a. General reports and enhancements. The committee reviewed reports from the 2019 fall championships.
 - b. Broadcast metrics. The committee reviewed the fall championships live video streaming report.
- 16. Sport Committee Appointments.** The committee approved that Patrick Summers, New England Women’s and Men’s Athletic Conference commissioner, serve on the Division III Men’s Tennis committee through May 31.

17. Future Meetings Dates and Sites.

- a. June 22-23, 2020 (Indianapolis).
- b. September 13-15, 2020, with sport committee chairs (Indianapolis).
- c. February 2-3, 2021 (Indianapolis).

Committee Chair: Kiki Jacobs, Roger Williams University
Staff Liaisons: Liz Turner Suscha, Championships and Alliances
Laura Peterson-Mlynski, Championships and Alliances
Julie Sargent, Academic and Membership Affairs

Division III Championships Committee February 4-5, 2020, Meeting	
Attendees:	
Robin Baker, University of Wisconsin-Eau Claire.	
Heather Benning, Midwest Conference.	
Jason Fein, Bates College.	
Kiki Jacobs, Roger Williams University.	
Braly Keller, Nebraska Wesleyan University (via teleconference).	
Chuck Mitrano, Empire 8.	
Michelle Morgan, John Carroll University.	
John Neese, Hardin-Simmons University.	
Penny Siqueiros, Wesleyan College (Georgia) (via teleconference).	
Absentees:	
None.	
Guests in Attendance:	
Gary Brown, NCAA Contractor.	
NCAA Staff Support in Attendance:	
Laura Peterson-Mlynski, Championships and Alliances.	
Julie Sargent, Academic and Membership Affairs.	
Liz Turner Suscha, Championships and Alliances.	
Other NCAA Staff Members in Attendance:	
Mark Aiken, Dan Calandro, Joni Comstock, Dan Dutcher, Greg Johnson, Laura Klee, David Lafiosca, Louise McCleary, Alex Mortillaro, Jeff Myers, Micki Spears.	

MEN'S LACROSSE**Add Two Teams to Bracket**

Using 2019 Data

Current Format

Proposed

Travel

Travel

Per Diem

Avg Bus \$3,600

Avg Flt \$43,000

Team 1	1st Round	\$3,600	\$9,250
Team 2	1st Round		\$1,480
Team 3	1st Round	\$43,000	\$9,250
Team 4	1st Round		\$1,480

\$46,600 \$21,460

Travel and Per Diem: \$68,060

Additional game expense: \$7,456

TOTAL: \$75,516

Assumes \$100 per diem for traveling teams in 2020 does not increase for 2021.

Assumes \$40 per diem for hosts does not increase for 2021.

Assumes 1 traveling team is a flight.

Additional Game Expense Detail

Bracket increase by 2 teams from 36 to 38

Results in 2 additional games

Game Expenses	Teams	Games	Total Game	Average Per Game
2018-19 actual amount	36	35	\$130,490	\$3,728
Projected game expense for addint 2 teams/2 games (based on average)	38	37	\$137,947	

Projected Increase: \$7,456**FIELD HOCKEY****Add Two Teams to Bracket**

Using 2018 Data

Current Format

Proposed

Travel

Travel

Per Diem

Avg Bus \$4,300

Avg Flt --

Team 1	1st Round	\$4,300	\$7,250
Team 2	1st Round		\$1,160
Team 3	1st Round	\$4,300	\$7,250
Team 4	1st Round		\$1,160

\$8,600 \$16,820

Travel and Per Diem: \$25,420

Additional game expense: \$11,400

TOTAL: \$36,820

Assumes \$100 per diem for traveling teams in 2020.

Assumes \$40 per diem for hosts IN 2020.

Assumes both traveling teams are drives.

Additional Game Expense Detail

Bracket increase by 2 teams from 24 to 26

Results in 2 additional games

Game Expenses	Teams	Games	Total Game	Average Per Game
2018-19 actual amount	24	23	131,108.00	5,700.35
Projected game expense for addint 2 teams/2 games (based on average)	26	25	142,508.70	

Projected Increase: 11,400.70

ATTACHMENT B

SPORT		BY REGION COUNTY																						
BASEBALL current: 81 ranked teams proposed: 54-80 ranked teams	I (6-8 ranked teams)		II (5-7 ranked teams)		III (5-7 ranked teams)		IV (5-6 ranked teams)		V (6-8 ranked teams)		VI (6-8 ranked teams)		VII (7-10 ranked teams)		VIII (6-8 ranked teams)		IX (7-10 ranked teams)		X (6-8 ranked teams)					
	GNAC	9	Commonwealth Coast	8	Empire 8	4	MAC Freedom	8	Atlantic East	7	Capital	6	AMCC	11	CCIW	9	American Rivers	9	American Southwest	12				
	North Atlantic	11	Little East	9	Liberty	10	NIAC	10	Centennial	10	ODAC	12	Michigan Intercoll.	8	Heartland	10	Minnesota Intercoll.	11	Northwest	9				
	NESCAC	10	NEWMAC	8	Skyline	11	NEAC	11	Colonial States	7	SAA	8	North Coast	10	NACC	12	Midwest	10	SCIAC	9				
	NEWMAC	8	NECC	6	SUNYAC	7	New York U.	1	Landmark	7	USA South	11	Ohio	10	SIAC	10	UMAC	9	SCAC	7				
			Brandeis	1					MAC Commonwealth	8	Emory U.	1	Presidents'	9	Washington U.	1	Wisconsin Intercoll.	7						
			Pine Manor	1									Case Western	1										
	38		33		32		30		39		38		49		42		46		37					
MEN'S BASKETBALL current: 61 ranked teams proposed: 63-88 ranked teams	I (6-8 ranked teams)		II (5-7 ranked teams)		III (6-9 ranked teams)		IV (6-8 ranked teams)		V (6-9 ranked teams)		VI (6-8 ranked teams)		VII (8-11 ranked teams)		VIII (6-9 ranked teams)		IX (8-11 ranked teams)		X (6-8 ranked teams)					
	GNAC	12	Commonwealth Coast	9	Empire 8	8	CUNYAC	9	Atlantic East	7	Capital	6	AMCC	11	CCIW	9	American Rivers	9	American Southwest	12				
	North Atlantic	8	Little East	9	Liberty	10	MAC Freedom	8	Centennial	10	ODAC	13	Michigan Intercoll.	8	Heartland	10	Minnesota Intercoll.	11	Northwest	9				
	NESCAC	11	NEWMAC	8	Skyline	12	NIAC	10	Colonial States	8	SAA	8	North Coast	10	NACC	12	Midwest	10	SCIAC	9				
	MASCAC	7	NECC	6	SUNYAC	10	NEAC	12	Landmark	8	USA South	11	Ohio	10	SIAC	10	UMAC	9	SCAC	8				
			Brandeis	1	U. of Rochester	1	New York U.	1	MAC Commonwealth	9	Emory U.	1	Presidents'	9	U. of Chicago	1	Wisconsin Intercoll.	8	UC Santa Cruz	1				
			Pine Manor	1					Valley Forge	1			Carnegie Mellon	1	Washington U.	1	Finlandia	1						
													Case Western	1										
38		34		41		40		43		39		50		43		48		39						
WOMEN'S BASKETBALL current: 71 ranked teams proposed: 66-91 ranked teams	I (6-8 ranked teams)		II (6-8 ranked teams)		III (6-9 ranked teams)		IV (6-8 ranked teams)		V (7-10 ranked teams)		VI (7-9 ranked teams)		VII (8-11 ranked teams)		VIII (6-9 ranked teams)		IX (8-11 ranked teams)		X (6-8 ranked teams)					
	GNAC	12	Commonwealth Coast	9	Empire 8	8	CUNYAC	8	Atlantic East	7	Capital	6	AMCC	11	CCIW	9	American Rivers	9	American Southwest	12				
	North Atlantic	8	Little East	9	Liberty	10	MAC Freedom	8	Centennial	11	ODAC	13	Michigan Intercoll.	9	Heartland	10	Minnesota Intercoll.	12	Northwest	9				
	NESCAC	11	NEWMAC	11	Skyline	11	NIAC	10	Colonial States	10	SAA	8	North Coast	9	NACC	12	Midwest	10	SCIAC	9				
	MASCAC	7	NECC	7	SUNYAC	10	NEAC	12	Landmark	8	USA South	16	Ohio	10	SIAC	10	UMAC	9	SCAC	8				
			Brandeis	1	U. of Rochester	1	New York U.	1	MAC Commonwealth	9	Emory U.	1	Presidents'	9	U. of Chicago	1	Wisconsin Intercoll.	8	UC Santa Cruz	1				
			Pine Manor	1					Valley Forge	1			Carnegie Mellon	1	Washington U.	1	Finlandia	1						
									Trinity Washington	1			Case Western	1			Mount Mary	1						
38		38		40		39		47		44		50		43		50		39						
FIELD HOCKEY current: 31 ranked teams proposed: 25-36 ranked teams	I (4-5 ranked teams)		II (5-8 ranked teams)		III (3-5 ranked teams)		IV (4-6 ranked teams)		V (4-6 ranked teams)		VI (5-6 ranked teams)													
	Commonwealth Coast	7	Little East	13	Empire 8	8	Atlantic East	7	Landmark	8	SAA	5												
	GNAC	10	NECC	7	Liberty League	8	Colonial States	7	MAC Commonwealth	8	Capital	6												
	NEWMAC	9	NESCAC	11	SUNYAC	7	MAC Freedom	8	Centennial	11	ODAC	10												
	Dean	1			Keuka	1	NIAC	7			North Coast	9												
					Wells	1					Meredith	1												
	27		31		25		29		27		31													
FOOTBALL current: 40 ranked teams proposed: 34-50 ranked teams	I (6-9 ranked teams)		II (6-9 ranked teams)		III (5-7 ranked teams)		IV (5-8 ranked teams)		V (6-8 ranked teams)		VI (6-9 ranked teams)													
	Commonwealth Coast	8	Empire 8	7	ODAC	9	Heartland	8	American Rivers	9	Minnesota	9												
	East Coast Football	5	Liberty League	7	SAA	9	Michigan Intercoll.	8	Midwest	12	Northwest	8												
	MASCAC	9	NIAC	8	USA South	7	North Coast	10	NACC	8	SCIAC	8												
	NESCAC		Centennial	10	ASC	10	Ohio	10	CCIW	10	Wisconsin Intercoll.	8												
	NEWMAC	8	PAC	10								9												
	MAC	11																						
	41		42		35		36		39		42													
MEN'S GOLF current: 48 ranked teams proposed: 46-63 ranked teams	I (6-9 ranked teams)		II (7-9 ranked teams)		III (7-10 ranked teams)		IV (6-8 ranked teams)		V (7-9 ranked teams)		VI (8-11 ranked teams)		VII (5-7 ranked teams)											
	Commonwealth Coast	7	Atlantic East	6	Centennial	7	Capital	6	CCIW	9	SIAC	13	American Southwest	10										
	GNAC	8	Empire 8	6	Colonial States	6	ODAC	12	Heartland	9	American Rivers	9	Northwest	9										
	MASCAC*	9	Liberty	7	Landmark	8	SAA	8	Michigan Intercoll.	8	Minnesota Intercoll.	10	SCIAC	8										
	North Atlantic	8	MAC Freedom	8	MAC Commonwealth	8	USA South	11	North Coast	9	NACC	10	SCAC	6										
	NESCAC	10	NEAC	9	Presidents'	7	Emory U.	1	Ohio	9	UMAC	9												
			Skyline	8	Valley Forge	1					Wisc.-Eau Claire	1												
			William Paterson	1	AMCC	9					Wisc.-Stout	1												
42		45		46		38		44		53		33												
WOMEN'S GOLF 30 ranked teams	I 6 ranked teams		II 6 ranked teams		III 6 ranked teams		IV 6 ranked teams		V 6 ranked teams															
	Liberty	8	Centennial	7	CCIW	8	American Rivers	9	American Southwest	9														
	MAC	13	Landmark	8	Heartland	10	SIAC	12	Northwest	9														
	NESCAC	8	ODAC	9	Michigan Intercoll.	9	Northern Athletics	10	SCIAC	8														
			SAA	8	North Coast	7	Minnesota Intercoll.	11																
					Ohio	9	Upper Midwest	9																
					Presidents'	9	Wisconsin Intercoll.	8																
	29		32		52		59		26															

MEN'S SOCCER current: 66 ranked teams proposed: 61-86 ranked teams	I (6-8 ranked teams)		II (5-7 ranked teams)		III (6-9 ranked teams)		IV (6-8 ranked teams)		V (6-9 ranked teams)		VI (6-8 ranked teams)		VII (8-11 ranked teams)		VIII (6-9 ranked teams)		IX (6-9 ranked teams)		X (6-8 ranked teams)	
	GNAC	12	Commonwealth Coast	9	Empire 8	8	CUNYAC	9	Atlantic East	7	Capital	6	AMCC	11	CCIW	9	American Rivers	9	American Southwest	12
	North Atlantic	8	MASCAC	8	Liberty League	10	MAC Freedom	8	Centennial	10	ODAC	13	North Coast	9	SLIAC	10	Minnesota Intercoll.	11	Northwest	8
WOMEN'S SOCCER current: 67 ranked teams proposed: 66-92 ranked teams	I (6-9 ranked teams)		II (6-8 ranked teams)		III (6-9 ranked teams)		IV (5-8 ranked teams)		V (7-10 ranked teams)		VI (7-9 ranked teams)		VII (8-11 ranked teams)		VIII (7-9 ranked teams)		IX (8-11 ranked teams)		X (6-8 ranked teams)	
	GNAC	13	Commonwealth Coast	9	Empire 8	8	CUNYAC	6	Atlantic East	7	Capital	6	AMCC	11	CCIW	9	American Rivers	9	American Southwest	12
	North Atlantic	8	MASCAC	8	Liberty League	10	MAC Freedom	8	Centennial	11	ODAC	14	North Coast	10	SLIAC	10	Minnesota Intercoll.	12	Northwest	9
SOFTBALL current: 67 ranked teams proposed: 61-86 ranked teams	I (5-8 ranked teams)		II (6-8 ranked teams)		III (6-8 ranked teams)		IV (5-8 ranked teams)		V (6-9 ranked teams)		VI (6-8 ranked teams)		VII (8-11 ranked teams)		VIII (6-8 ranked teams)		IX (8-11 ranked teams)		X (5-7 ranked teams)	
	GNAC	12	Commonwealth Coast	9	Empire 8	7	CUNYAC	7	Atlantic East	6	Capital	5	AMCC	11	CCIW	9	American Rivers	9	American Southwest	12
	North Atlantic	7	Little East	9	Liberty	8	MAC Freedom	8	Centennial	9	ODAC	11	Michigan Intercoll.	9	Heartland	9	Minnesota Intercoll.	12	Northwest	8
WOMEN'S VOLLEYBALL current: 74 ranked teams proposed: 65-89 ranked teams	I (6-8 ranked teams)		II (6-8 ranked teams)		III (6-8 ranked teams)		IV (7-9 ranked teams)		V (7-10 ranked teams)		VI (6-8 ranked teams)		VII (7-10 ranked teams)		VIII (6-9 ranked teams)		IX (8-11 ranked teams)		X (6-8 ranked teams)	
	GNAC	13	Commonwealth Coast	9	Empire 8	8	CUNYAC	8	Atlantic East	7	ODAC	13	AMCC	11	CCIW	9	American Rivers	9	American Southwest	11
	North Atlantic	6	MASCAC	8	Liberty League	8	MAC Freedom	8	Centennial	11	SAA	8	Michigan Intercoll.	9	Heartland	10	Minnesota Intercoll.	12	Northwest	9
MEN'S LACROSSE 37 ranked teams	I 9 ranked teams		II 6 ranked teams		III 8 ranked teams		IV 8 ranked teams		V 6 ranked teams		VI 8 ranked teams		VII 7 ranked teams		VIII 6 ranked teams		IX 8 ranked teams		X 6 ranked teams	
	Commonwealth Coast	9	Empire 8	8	Atlantic East	7	Heartland Coll. Lacrosse	5	CCIW	7										
	GNAC	10	Liberty League	9	Capital	6	North Coast	10	Michigan Intercoll.	7										
WOMEN'S LACROSSE 48 ranked teams	I 6 ranked teams		II 5 ranked teams		III 8 ranked teams		IV 8 ranked teams		V 6 ranked teams		VI 7 ranked teams		VII 8 ranked teams		VIII 6 ranked teams		IX 8 ranked teams		X 6 ranked teams	
	GNAC	12	Commonwealth Coast	9	Empire 8	8	Atlantic East	7	Capital	6	Heartland Women's La	6	CCIW	8						
	Little East	8	MASCAC	8	Liberty League	11	Centennial	10	ODAC	11	North Coast	9	Michigan Intercoll.	8						
	I 6 ranked teams		II 5 ranked teams		III 8 ranked teams		IV 8 ranked teams		V 6 ranked teams		VI 7 ranked teams		VII 8 ranked teams		VIII 6 ranked teams		IX 8 ranked teams		X 6 ranked teams	
	GNAC	12	Commonwealth Coast	9	Empire 8	8	Atlantic East	7	Capital	6	Heartland Women's La	6	CCIW	8						
	Little East	8	MASCAC	8	Liberty League	11	Centennial	10	ODAC	11	North Coast	9	Michigan Intercoll.	8						
	I 6 ranked teams		II 5 ranked teams		III 8 ranked teams		IV 8 ranked teams		V 6 ranked teams		VI 7 ranked teams		VII 8 ranked teams		VIII 6 ranked teams		IX 8 ranked teams		X 6 ranked teams	
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**REPORT OF THE
NCAA DIVISION III CHAMPIONSHIPS COMMITTEE
FEBRUARY 25, 2020, EMAIL CORRESPONDENCE**

ACTION ITEMS.

- **None.**

INFORMATIONAL ITEMS.

- 1. Colorado College in-region waiver.** The Division III Championships Committee approved a waiver of Bylaw 31.3.3.1.2.1 for Colorado College men's and women's lacrosse effective for the 2020-21 academic year.
- 2. Sport committee reappointments.** The committee approved the following sport and sport rules committee reappointments.
 - a. NCAA Division III Baseball Committee – Frank Pericolosi, head baseball coach, Pomona-Pitzer College, Southern California Intercollegiate Conference.
 - b. NCAA Division III Women's Basketball Committee – Megan Wilson, associate athletic director/senior woman administrator, University of Wisconsin, Stevens Point, Wisconsin Intercollegiate Athletic Conference.
 - c. NCAA Division III Women's Ice Hockey Committee – Jackie MacMillan, head coach, The College of St. Scholastica, Upper Midwest Athletic Conference; and Deborah Steward, director of athletics, Hobart and William Smith College, Liberty League.
- 3. Sport committee term extension.**
 - NCAA Division III Field Hockey Committee. Ashley Smeltzer-Kraft, head coach, Shenandoah University, Old Dominion Athletic Conference; one-year term extension.
- 4. Sport and sport rules committee appointments.** The committee approved the following sport and sport rules committee appointments:
 - a. NCAA Division III Baseball Committee – Central region: Dick Kaiser, commissioner, St. Louis Intercollegiate Athletic Conference.
 - b. NCAA Division III Men's Basketball Committee – East region: Luke Flockerzi, head coach, University of Rochester, University Athletic Association; and West region: Oliver Eslinger, head coach, California Institute of Technology, Southern California Intercollegiate Athletic Conference.

- c. NCAA Division III Women's Basketball Committee – Great Lakes region: Suzy Venet, assistant senior woman administrator/head women's basketball coach, University of Mount Union, Ohio Athletic Conference.
- d. NCAA Women's Basketball Rules Committee – Alex Lang, associate athletics director/head women's basketball coach, Brooklyn College, City University of New York Athletic Conference.
- e. NCAA Division III Football Committee – East region: Gregg Kaye, commissioner, Commonwealth Coast Conference.
- f. NCAA Football Rules Committee – Stan Hodgin, assistant football coach, Shenandoah University, Old Dominion Athletic Conference; John Pearce, head coach, Sul Ross State University, American Southwest Conference.
- g. NCAA Division III Men's Golf Committee – Mid-Atlantic region: Jon King, associate athletic director/sports information director/head men's golf coach, Alvernia University, Middle Atlantic Conference; and Southeast region: John Sjoberg, director of athletics, Methodist University, USA South Athletic Conference.
- h. NCAA Men's and Women's Ice Hockey Rules Committee – Michael Mudd, director of athletics, Worcester State University, Massachusetts State College Athletic Conference.
- i. NCAA Men's Lacrosse Rules Committee – Andrew Fink, head coach, University of St. Joseph (Connecticut), Great Northeast Athletic Conference.
- j. NCAA Division III Women's Lacrosse Committee – Great Lakes region: Alyssa Batty, head coach, Chatham University, Presidents' Athletic Conference.
- k. NCAA Women's Lacrosse Rules Committee – Melissa Ackerman, assistant athletic director business operations, Case Western Reserve University, University Athletic Association.
- l. NCAA Division III Women's Rowing Committee – Linda Yost, senior woman administrator/associate director of athletics, Stockton University, New Jersey Athletic Conference.
- m. NCAA Division III Men's Soccer Committee – Brad Bankhead, head coach, University of Mary Hardin-Baylor, American Southwest Conference; Mid-Atlantic region: Paul Moyer, director of athletics, McDaniel College, Centennial

Conference; and New England region: Gabe Margolis, head soccer coach, Brandeis University, University Athletic Association.

- n. NCAA Division III Women's Softball Committee – Great Lakes region: Brad Duckworth, director of athletics, University of Wisconsin-Stevens Point, Wisconsin Intercollegiate Athletic Conference; Midwest region: Rilee Dawson, head coach, The College of St. Scholastica, Upper Midwest Athletic Conference.
- o. NCAA Division III Men's and Women's Swimming and Diving Committee – Tracy Lavoie Torgerson, diving coach, Gustavus Adolphus College, Minnesota Intercollegiate Athletic Conference; and Donna Hodgert, head swimming coach/aquatics director, Sweet Briar College, Old Dominion Athletic Conference.
- p. NCAA Division III Men's Tennis Committee – Atlantic South region: David Hayden, head tennis coach, Westminster College (Pennsylvania), Presidents' Athletic Conference.
- q. NCAA Division III Women's Tennis Committee – West region: Suzanne Merritt, senior woman administrator/head tennis coach, LeTourneau University, American Southwest Conference
- r. NCAA Division III Men's and Women's Track and Field and Cross-Country Committee – South/Southeast region: Katie Stanford, head cross country/track and field coach, Covenant College, USA South Athletic Conference.
- s. NCAA Men's and Women's Track and Field Rules Committee – Bill Ross, director of athletics, Allegheny College, North Coast Athletic Conference.
- t. NCAA Division III Women's Volleyball Committee – Chris Duarte-McDermott, head volleyball coach, Whittier College, Southern California Intercollegiate Athletic Conference; and Central region: Gretchen Hunt, senior woman administrator/head volleyball coach/associate athletic director, Bethel University (Minnesota), Minnesota Intercollegiate Athletic Conference.
- u. NCAA Women's Volleyball Rules Committee – Megan Silver-Droesch, head volleyball coach, Eastern Connecticut State University, Little East Conference; and Ben Somera, head coach/associate adjunct professor, Wesleyan University (Connecticut), New England Small College Athletic Conference.

Committee Chair: Kiki Jacobs, Roger Williams University

*Staff Liaisons: Liz Turner Suscha, Championships and Alliances
Laura Peterson-Mlynski, Championships and Alliances
Julie Sargent, Academic and Membership Affairs*

Division III Championships Committee February 25, 2020, Meeting	
Attendees:	
Robin Baker, University of Wisconsin-Eau Claire.	
Heather Benning, Midwest Conference.	
Jason Fein, Bates College.	
Kiki Jacobs, Roger Williams University.	
Brady Keller, Nebraska Wesleyan University.	
Chuck Mitrano, Empire 8.	
Michelle Morgan, John Carroll University.	
John Neese, Hardin-Simmons University.	
Penny Siqueiros, Wesleyan College (Georgia).	
Absentees:	
None.	
Guests in Attendance:	
None.	
NCAA Staff Support in Attendance:	
None.	
Other NCAA Staff Members in Attendance:	
None.	



**REPORT OF THE
NCAA DIVISION III CHAMPIONSHIPS COMMITTEE
MARCH 9, 2020, TELECONFERENCE**

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

- 1. Appeal request – State University of New York at Geneseo Swimming and Diving.** The committee granted a request from the State University of New York at Geneseo to overturn a Division III Men's and Women's Swimming and Diving Committee decision not to allow a student-athlete to compete in the 2020 Division III Women's Swimming and Diving Championships as an alternate to replace one of the school's relay swimmers because she was not listed as an alternate among the championship roster entries. The sport committee based its decision on case precedent, noting that the instructions regarding this step in the process had been rewritten in 2016 to mitigate this type of situation. However, the Championships Committee cited the medical issues preventing the originally listed swimmer from attending the meet as compelling, and members also did not want to negatively impact the other three swimmers who contributed to the relay team qualifying for the championships. While Geneseo State did declare a number of alternates, none specializes in the stroke needed for the medley relay in question. The Championships Committee also acknowledged that the language in the instructions regarding roster entries remains confusing and asked staff to revisit that section to determine whether it can be further clarified.
- 2. Appeal request – Wesley College Women's Track and Field.** The committee also granted a request from Wesley College to overturn a Division III Men's and Women's Cross Country and Track and Field Committee decision not to allow a student-athlete to compete in the 2020 Division III Women's Indoor Track and Field Championships due to the coach not declaring the athlete before the published deadline. The sport committee based its decision on case precedent, and the fact that the institution because of a variety of circumstances missed several chances to rectify the situation. However, the Championships Committee also cited case precedent in which past iterations of the sport committee allowed the student-athlete in such instances to participate in the championships, but at the institution's expense. Accordingly, the Championships Committee granted the appeal but charged the institution with paying for the student-athlete's participation and paying a \$400 late fee for missing the declaration deadline. The committee also asked staff to send the institution a letter acknowledging the seriousness of the matter and that processes be implemented to prevent the violation from occurring again.

3. **Coronavirus update.** Staff updated the committee on steps the NCAA and the Sport Science Institute are taking to address issues related to the spread of the coronavirus and its effect on NCAA events, noting that the issues are dynamic in nature and will require ongoing decision-making at the campus and national levels. Staff noted that the committee will be informed continuously as issues emerge.

Committee Chair: Kiki Jacobs, Roger Williams University
Staff Liaisons: Liz Turner Suscha, Championships and Alliances
Laura Peterson-Mlynski, Championships and Alliances
Julie Sargent, Academic and Membership Affairs

Division III Championships Committee March 9, 2020, Meeting	
Attendees:	
Robin Baker, University of Wisconsin-Eau Claire.	
Jason Fein, Bates College.	
Kiki Jacobs, Roger Williams University.	
Braly Keller, Nebraska Wesleyan University.	
Chuck Mitrano, Empire 8.	
John Neese, Hardin-Simmons University.	
Penny Siqueiros, Wesleyan College (Georgia).	
Absentees:	
Heather Benning, Midwest Conference.	
Michelle Morgan, John Carroll University.	
Guests in Attendance:	
Gary Brown, NCAA Contractor.	
NCAA Staff Support in Attendance:	
Laura Peterson-Mlynski, Championships and Alliances.	
Liz Turner Suscha, Championships and Alliances.	
Other NCAA Staff Members in Attendance:	
Kevin Alcox, Laura Klee, Jeff Myers.	

REPORT OF THE
NCAA DIVISION III FINANCIAL AID COMMITTEE
FEBRUARY 10-11, 2020 MEETING

KEY ITEM.

- **Level II reviews.** The committee reviewed 17 cases and voted to refer three to NCAA Enforcement. In one of the three cases, the committee recommended the institution self-report an apparent consideration of athletics participation and leadership in the awarding of a scholarship. In the other two cases, the committee recommended NCAA Enforcement investigate to fully learn the extent to which an identified distinguishable pattern of financial aid awarding that provided an advantage for student-athletes is a potential recruiting and competitive advantage.

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

1. **Welcome and Introduction.** New members, Ashley Bianchi (Williams College), Joyce Farmer (DeSales University), Eric Stacey (St. Catherine University) and Melissa Stephens (Western Connecticut State University), were welcomed.
2. **Review November 2019 report.** The committee reviewed and approved the report from its November 11-12, 2019 meeting.
3. **Level II reviews.** The committee reviewed 17 cases. During the deliberations, members of the committee recused themselves as necessary in accordance with the committee's conflict of interest policy. On review, the committee took the following actions:
 - a. **Voted to take no action on the following 12 cases:**
 - (1) 2005-1-01;
 - (2) 2005-1-04;
 - (3) 2005-1-13;
 - (4) 2005-3-07;
 - (5) 2005-4-06;
 - (6) 2008-1-06;
 - (7) 2009-1-08;
 - (8) 2009-3-03;
 - (9) 2013-2-02;
 - (10) 2019-1-01;
 - (11) 2019-4-01; and
 - (12) 2019-4-02.

- b. Voted to request additional information for two cases. The committee will convene via teleconference to review the information and make a final decision.**
 - (1) 2005-3-19; and
 - (2) 2019-1-03.
 - c. Voted to refer the following three cases to NCAA Enforcement with recommended next steps:**
 - (1) 2005-4-03;
 - (2) 2006-1-09; and
 - (3) 2019-1-02.
- 4. Follow-up on the NACAC Code of Ethics issue.** The memorandum sent by the committee to key personnel at all Division III members institutions on January 29, 2020 was reviewed. Committee members noted increased attention across higher education related to the changes to the NACAC Code of Ethics and an anticipation of potential enrollment policy changes in the coming months as institutions seek to enroll the 2020 entering class. The committee requested this topic be revisited at the November meeting.
- 5. Review of the Consistent Financial Aid Package Resource.** The committee reviewed the Consistent Financial Aid Package Resource and revisions to content and design were considered. The committee charged staff to draft an updated version and present it to the committee for comment and final approval. Content related to Graduate/Post-Baccalaureate transfer student-athletes and continuing student-athletes was requested.
- 6. Interpretative appeal to the Division III Management Council regarding financial aid endowments.** The committee reviewed the case materials considered by the Division III Management Council at its January 2020 meeting related to an appeal regarding financial aid endowments. At the November 2019 meeting, the committee had reviewed and concurred with the decision of the Division III Interpretations and Legislation Committee to uphold the original staff decision concerning the interpretation of Division III Bylaw 15.4.1(a). The committee also concurred with the Management Council decision to uphold the Interpretations and Legislation Committee decision and noted the importance of adherence to the foundational principle of Division III where athletics participation, leadership, ability or performance cannot be considered in the determination of financial aid regardless of the financial aid packaging policy employed.
- 7. Division III Requests/Self-Reports Online Bylaw 15 interpretations.** Staff reported on the Bylaw 15 interpretive requests submitted since the November 2019 meeting. NCAA

Bylaw 15.4.5 (Athletics Staff Involvement) was the most commonly cited within the requests.

8. Launch of the 2020-21 Division III Financial Aid Reporting Program. Staff informed the committee the reporting cycle will begin June 1, 2020 and close September 14, 2020.

9. Adjournment. The committee adjourned 11:30 a.m. Eastern time, February 11, 2020.

Committee Chair: Marybeth Lamb, Bridgewater State University; Massachusetts State Collegiate Athletic Conference

*Staff Liaisons: Eric Hartung, Research
Tiffany Alford, Academic and Membership Affairs*

NCAA Division III Financial Aid Committee February 10-11, 2020 Meeting	
Attendees:	
Ashley Bianchi, Williams College, New England Small Colleges Athletic Conference.	
Joyce Farmer, DeSales University, Middle Atlantic Conferences.	
Marybeth Lamb, Bridgewater State University; Massachusetts State Collegiate Athletic Conference.	
Paula Lehrberger, Widener University, Middle Atlantic Conferences	
Ron Noborikawa, Pacific Lutheran University; Northwest Conference.	
Larry Scheiderer, Denison University; North Coast Conference.	
Kandis Schram, Maryville College; USA South.	
Eric Stacey, St. Catherine University, Minnesota Intercollegiate Athletic Conference.	
Melissa Stephens, Western Connecticut State University, Little East Conference.	
Jeffrey Stoudt, Franklin & Marshall College; Centennial Conference.	
Absentees:	
Steve Taylor, Concordia University (WI); Northern Athletics Conference.	
NCAA Staff Support in Attendance:	
Eric Hartung and Tiffany Alford.	
Other NCAA Staff Members in Attendance:	
Louise McCleary and Jeff Myers.	



REPORT OF THE
NCAA DIVISION III FINANCIAL AID COMMITTEE
MARCH 11, 2020 MEETING

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

- 1. Review February 2020 report.** The committee reviewed and approved the report from its February 10-11, 2020, meeting.
- 2. Level II reviews.** The committee reviewed two cases where additional information had been requested following review at the February 2020 meeting. The committee voted to take no action on cases 2005-3-19 and 2019-1-03.
- 3. Adjournment.** The committee adjourned 1:20 p.m. Eastern time, March 11, 2020.

Committee Chair: Marybeth Lamb, Bridgewater State University; Massachusetts State Collegiate Athletic Conference
Staff Liaisons: Eric Hartung, Research
Tiffany Alford, Academic and Membership Affairs

NCAA Division III Financial Aid Committee March 11, 2020 Meeting	
Attendees:	
Marybeth Lamb, Bridgewater State University; Massachusetts State Collegiate Athletic Conference.	
Paula Lehrberger, Widener University, Middle Atlantic Conferences	
Larry Scheiderer, Denison University; North Coast Conference.	
Kandis Schram, Maryville College; USA South.	
Eric Stacey, St. Catherine University, Minnesota Intercollegiate Athletic Conference.	
Melissa Stephens, Western Connecticut State University, Little East Conference.	
Jeffrey Stoudt, Franklin & Marshall College; Centennial Conference.	
Absentees:	
Ashley Bianchi, Williams College, New England Small Colleges Athletic Conference.	
Ron Noborikawa, Pacific Lutheran University; Northwest Conference.	
Steve Taylor, Concordia University (WI); Northern Athletics Conference.	
NCAA Staff Support in Attendance:	
Eric Hartung and Tiffany Alford.	



**REPORT OF THE
NCAA DIVISION III INTERPRETATIONS AND LEGISLATION COMMITTEE
FEBRUARY 20-21, 2020, MEETING**

KEY ITEM.

Recommendation: That the Division III Management Council, Division III Presidents Council and relevant committees and membership groups discuss and consider potential legislative action allowing Division III student-athletes to use their student-athlete status in the following settings:

- The promotion of various academic and other endeavors involving their work product (e.g., research, book publishing, class projects, tutoring, personal business, creative endeavors, media activities, private lessons).
- Participation in promotions and other endorsements (e.g., brand ambassador, commercials, appearances/autographs, modeling) provided payment is commensurate with the going rate, the institution is not involved in securing the promotion and these opportunities are not part of the recruiting process.

The Division III Interpretations and Legislation Committee acknowledged the continued need to refine these concepts, including potential monitoring/reporting.

The committee does not recommend any changes to permit the use of student-athlete status in crowdfunding. The concern was that crowdfunding does not represent "work product" and that sources of contributions to these fundraising efforts are difficult to monitor and could reflect "pay for participation." The committee agreed to continue to discuss this issue.

Rationale: The Board of Governors, upon recommendation of its Federal and State Legislation Working Group, directed the divisions to review the current legislative status regarding the use of student-athlete name, image and likeness, and pursue change based on the following three principles:

1. Payment to a student-athlete for use of their name, image or likeness should not be pay for athletics performance or participation; nor should the payment serve as an inducement to select a particular school.
2. Regulation of a student-athlete's name, image or likeness use should be transparent, narrowly tailored and enforceable, and it should facilitate the principle of fair competition among schools in a division, including the integrity of the recruiting process.
3. A student-athlete should be able to use their name, image or likeness similar to college students who are not student-athletes, unless there is a compelling reason to differentiate.

The committee balanced these principles against perceived concerns with granting greater flexibility. In addition to these principles, the committee reviewed input from the membership obtained during the Division III Issues Forum at the annual 2020 NCAA Convention, as well as

from the Division III National Student-Athlete Advisory Committee. The concepts put forward reflect this deliberation.

ACTION ITEMS.

1. Legislative items.

a. Convention Legislation – To Permit Monetary Donations to Nonathletic Bona Fide Youth Organizations and Eliminate the Geographic Restrictions for Monetary and Equipment Donations [Division III Bylaw 13.14.1.5.1].

- (1) Recommendation. Sponsor Convention legislation that permits member institutions to provide monetary donations to nonathletic bona fide youth organizations and eliminates the geographic restrictions for monetary and equipment donations.
- (2) Effective date. August 1, 2021.
- (3) Rationale. Currently, institutions are permitted to donate equipment to bona fide youth organizations and nonprofit organizations that consist of prospective student-athletes. However, the legislation would not permit an institution to make a monetary donation to a nonprofit organization that may benefit prospective student-athletes but does not involve any type of athletics participation as part of its mission or programming. By permitting institutions to make monetary donations to nonathletic bona fide youth organizations, it permits member institutions and its student-athletes to raise and donate funds to support initiatives through the work of organizations with a mission to help youth that are unrelated to athletics. Finally, by eliminating the current mileage restriction, it will provide institutions with greater flexibility to donate their resources to organizations that align with their philanthropic interests.
- (4) Budget impact. Will vary depending on the institution.
- (5) Student-athlete impact. None.

b. Convention Legislation – To Permit Student-Athletes Enrolled in the Final Term of Their Minor or Undergraduate Certificate Program to Practice or Compete While Enrolled Less Than Full Time [Division III Bylaw 14.1.8.1.6.1].

- (1) Recommendation. Sponsor Convention legislation that expands the current final semester/quarter exception to the student-athletes enrolled in the final term of their minor or undergraduate certificate program (designated before the applicable term).
- (2) Effective date. August 1, 2021.
- (3) Rationale. Currently, a student-athlete who has completed all degree requirements and does not meet an exception for being enrolled in less than full time must enroll full time to practice and compete in a subsequent term. Those student-athletes may be working toward the completion of a minor or certificate program that requires less than a full-time course load to complete. Expanding the current final-term exception to include a minor or undergraduate certificate designated prior to the beginning of the final term will provide greater flexibility to a student-athlete who has achieved the goal of completing the requirements of a baccalaureate degree and is continuing to prepare for their future. This exception may only be used on one occasion during a student-athletes intercollegiate career. Additionally, this expansion of the final-term exception will provide greater educational opportunities for student-athletes while minimizing the financial burden created by requiring student-athletes to enroll in courses simply for the purposes of athletics participation. This position was supported by the Division III Student-Athlete Advisory Committee and the Division III Faculty Athletics Representatives Advisory Group.
- (4) Budget impact. None.
- (5) Student-athlete impact. Allows for greater participation opportunities for student-athletes and minimizes the financial burden of enrolling in academic courses solely for athletics participation.

c. Noncontroversial Legislation – To Expand the List of Competition Events for Which the Institution May Provide Expenses to Include Elite-Level Regional and Junior Competition. Bylaw 16.8.1.3

- (1) Recommendation. Approve in concept noncontroversial legislation that adds national and international junior-level and international regional events to the list of permissible events for which an institution may provide its student-athletes with actual and necessary expenses.
- (2) Effective date. Immediate.

- (3) Rationale. Currently, Division III institutions may provide the actual and necessary expenses for their student-athletes to participate in national championship events and events that qualify a student-athlete to represent their country in Olympic Games and World Championships. This proposal would expand the list of events that an institution may provide the actual and necessary expenses for their student-athletes to participate in to include national and international junior-level and international regional events. This proposal will enhance student-athlete well-being by expanding the opportunities for student-athletes to engage in elite competition and will ease the financial burden incurred by student-athletes to participate in these unique once-in-a-lifetime opportunities.
- (4) Budget impact. Will vary by institution.
- (5) Student-athlete impact. Will enhance student-athlete well-being and minimize the financial burdens incurred by student-athletes by allowing member institutions to pay for the actual and necessary expenses associated with regional and junior-level events.

INFORMATIONAL ITEMS.

- 1. **Welcome and announcements.** The chair, Angie Morenz, welcomed new committee members Anthony Francois, Matt Hill, Joseph Pavlow and Patrick Summers.
- 2. **Committee roster.** The committee reviewed its roster and agreed to submit any corrections to NCAA staff.
- 3. **Review November and December reports.** The committee reviewed and approved the reports from its November 21, December 4 and December 20, 2019, teleconferences.
- 4. **Review strategic positioning platform.** The committee reviewed the NCAA Division III Strategic Positioning Platform.
- 5. **Policies and procedures.** The committee reviewed its policies and procedures.
- 6. **Update on governance issues.** The Division III governance staff provided the committee with an update on the primary topics currently within the Division III governance structure.
- 7. **Review of Official Notice Nos. I-2021-1 and I-2021-2.** The committee reviewed and approved I-2021-1 and I-2021-2 in final legislative format (see Attachments A and B, respectively).

8. **Appeal of staff interpretation.** The committee reviewed whether an institution's ice hockey team may compete against an All-Star team comprised of prospective student-athletes from Canadian College of General Education and Professional Education Program (CEGEP) institutions. The committee agreed that competitions against the All-Star team did not constitute impermissible tryouts and are permissible as the team is continuous and ongoing and was not established for the specific purpose of competing against a collegiate team. Further, the committee determined that it would review current legislation regarding competition against prospects, to determine if additional clarity is necessary.
9. **Review of 2020 NCAA Convention Division III legislation.**
 - Question and answer document. The committee reviewed the question and answer document for the 2020 legislative proposals and instructed staff to do the following for future review by the committee:
 - a. Draft Question No. 4 for Proposal No. 2020-4 as an official interpretation to distinguish when institutions may provide cash for certain meals and clarify that cash may not be provided for snacks.
 - b. Draft Question Nos. 4 and 6 for Proposal No. 2020-5 as official interpretations to clarify the following:
 - (1) A student-athlete must be eligible for competition to receive practice expenses permitted under the proposal; and
 - (2) Practice expenses, under the proposal, may only be provided for practices that occur within the continental United States.
 - c. Draft Question No. 10 for Proposal No. 2020-6 as an official interpretation to specify the benefits that may be provided for leadership programming activities involving athletics related information outside the playing and practice season.
10. **Future meetings.** The committee reviewed its future meeting schedule.
11. **Adjournment.**

Committee Chair: Angie Morenz, Blackburn College

Staff Liaisons: Jeff Myers, Academic and Membership Affairs

Kaitlyn Purcell, Academic and Membership Affairs

Bill Regan, Academic and Membership Affairs

NCAA Division III Interpretations and Legislation Committee February 20-21, 2020, Meeting	
Attendees:	
Amy Backus, Case Western Reserve University.	
Anthony Francois, John Jay College of Criminal Justice.	
Matt Hill, University of Northwestern – St. Paul.	
Allie Littlefox, Mills College.	
Angie Morenz, Blackburn College.	
Joseph Pavlow, Rosemont College.	
Patrick B. Summers, New England Women's and Men's Athletic Conference.	
Mila C. Su, Plattsburgh State University of New York.	
Absentee:	
None.	
NCAA Staff Liaisons in Attendance:	
Kaitlyn Purcell, Jeff Myers and Bill Regan.	
Other NCAA Staff Members in Attendance:	
Anyssa Barbosa, Dan Dutcher, Karl Hicks, Louise McCleary, Dave Schnase and Rachel Stern.	

Division: III

Proposal Number: I-2021-1

Title: PLAYING AND PRACTICE SEASONS GENERAL PLAYING-SEASON REGULATIONS -- LENGTH OF PLAYING SEASON -- INDOOR/OUTDOOR TRACK AND FIELD

Convention Year: 2021

Date Submitted: October 23, 2019

Status: Ready for Ratification Convention Vote

Effective Date: Immediate

IPOPL Number:

SPOPL Number:

Source: NCAA Division III Management Council (Interpretations and Legislation Committee).

Category: Incorporation

Topical Area: Playing and Practice Seasons

Intent: To specify that the playing and practice season for institutions that sponsor indoor and outdoor track and field shall be conducted in consecutive weeks and not divided into segments (i.e. traditional and nontraditional).

Bylaws: Amend 17.23.1, as follows:

17.23.1 Length of Playing Season. The length of an institution's playing season in indoor and outdoor track and field shall be limited to the following:

[17.23.1-(a) unchanged.]

(b) An institution that sponsors both indoor and outdoor track and field shall be limited to a maximum playing season of 24 weeks, **which may not be divided into segments (i.e., traditional and nontraditional). The weeks of the playing season shall be conducted consecutively and in accordance with Bylaw 17.1.2.**

[17.23.1.1 unchanged.]

Budget Impact:

Co-sponsorship - Conference:

None

Co-sponsorship - Institution:

None

Position Statements:

Review History:

Oct 22, 2019:	Approved in Concept - Management Council and Interpretations and Legislation Committee	Supplement No. 12, item no. 1a
Feb 20, 2020:	Approved in Legislative Format - Interpretations and Legislation Committee	Supplement No. 6

Additional Information:

Institutions that sponsor indoor and outdoor track and field have a playing and practice season that is limited to 24 weeks. The incorporation of this official interpretation [Reference May 14, 2019, Item No. a] clarifies that when an institution sponsors indoor and outdoor track and field, the playing season is not divided into segments. Rather, it is conducted in consecutive weeks. This incorporation will ensure the membership understands the legislated requirements for the declaration of the playing and practice season for institutions that sponsor both indoor and outdoor track and field.

Legislative References

Legislative Cite	Title
17.23.1	Length of Playing Season.

Division: III

Proposal Number: I-2021-2

Title: RECRUITING -- SPORTS CAMPS AND CLINIC AND OTHER ATHLETICS EVENTS -- LIMITATIONS ON PARTICIPATION.

Convention Year: 2021

Date Submitted: October 23, 2019

Status: Ready for Ratification Convention Vote

Effective Date: Immediate

IPOPL Number:

SPOPL Number:

Source: NCAA Division III Management Council (Interpretations and Legislation Committee).

Category: Incorporation

Topical Area: Recruiting

Intent: To specify that gender and grade level are criteria that may be used to limit attendance at a sports camp and clinics and other athletics events and still consider it open to the general public.

Bylaws: Amend 13.11.3, as follows:

13.11.3 Permissible Events Involving Prospective Student-Athletes.

[13.11.3.1 unchanged.]

13.11.3.2 Sports Camps and Clinics and Other Athletics Events. An institution or an athletics department staff member may host, conduct or be employed by an event involving prospective student-athletes, provided:

(a) The event is open to the general public (limited only by number, ~~and~~ age, **gender and grade level**);

[13.11.3.2-(b) through 13.11.3.2-(d) unchanged.]

[13.11.3.2.1 through 13.11.3.2.3 unchanged.]

[13.11.3.3 through 13.11.3.5 unchanged.]

Budget Impact:

Co-sponsorship - Conference:

None

Co-sponsorship - Institution:

None

Position Statements:

Review History:

Oct 22, 2019: Approved in Concept - Management Council and Interpretations and Legislation Committee

Supplement No. 12,
item 1b

Additional Information:

An institution or an athletics department staff member may host, conduct or be employed by an event involving prospective student-athletes, provided the event is open to the general public, as well as satisfies other listed criteria. Currently, the legislation indicates that if the event is limited by number and/or age it is still considered open. Incorporating the official interpretation [Reference: March 21, 2019, Item No. 2a] clarifies that a camp, clinic or other athletics event is still considered open to the general public if attendance is also limited by gender and/or grade level.

Legislative References

Legislative Cite	Title
13.11.3	Permissible Events Involving Prospective Student-Athletes.
13.11.3.2	Sports Camps and Clinics and Other Athletics Events.



REPORT OF THE
NCAA DIVISION III INTERPRETATIONS AND LEGISLATION COMMITTEE
MARCH 19, 2020, TELECONFERENCE

ACTION ITEMS.

1. Legislative items.

- None.

2. Nonlegislative items.

- **Approve Official Interpretation – Outside Competition During Permissible Break Periods.**

- (1) Recommendation. Approve the following official interpretation:

Outside Competition During Permissible Break Periods. The NCAA Division III Legislation and Interpretations Committee confirmed that a student-athlete may participate in outside competition during any week that is not part of the institution's defined playing season or part of preparation for a post-season championship (e.g. NCAA, NAIA, NCCAA).

[Reference: Bylaws 14.7.1 (Outside Competition).]

- (2) Effective date. Immediate.

Rationale. At its September 2019 meeting, the Division III Interpretations and Legislation Committee confirmed that the outside competition prohibition only applies during the defined weeks of the season and during the exempted weeks of the season when preparing for postseason championships; therefore, it is permissible for student-athletes to compete during any week that is not part of the declared season. In response, staff issued a staff interpretation consistent with the committee's position in October 2019. The committee believes that elevation of the staff interpretation to an official interpretation will help ensure that the membership is broadly aware of and understands the application of the legislation.

- (3) Budget impact. None.

- (4) Student-athlete impact. Provides greater participation opportunities for student-athletes during the academic year during weeks not included in the playing and practice season.

INFORMATIONAL ITEMS.

1. **Review of Division I staff interpretations.** The committee reviewed staff interpretations issued in Division I between September 1, 2019, and January 31, 2020. There were no staff interpretations issued for Division II during this period.
2. **Review of Divisions I, II and III official interpretations.** The committee reviewed official interpretations issued in Divisions I, II and III between September 1, 2019, and January 31, 2020.
3. **Review of Divisions I and II legislative actions.** The committee started its review of legislative actions in Divisions I and II between September 2019 and January 2020. The committee requested the staff to develop the legislative concepts in Division I Proposal Nos. 2019-107 and 2019-121 for review at a future meeting once Division I completes its additional review with the United States Olympic and Paralympic Committee. Lastly, the committee will continue its review of the remaining Divisions I and II legislative actions and Division III editorial revisions on its next teleconference.
4. **Future meetings.** The committee reviewed dates and times for upcoming meetings and teleconferences.
5. **Other business.** The committee reviewed the NCAA Division III Administrative Committee's recent actions in response to COVID-19.
6. **Adjournment.** The committee adjourned at 2:00 p.m. Eastern time.

Committee Chair: Angie Morenz, Blackburn College

Staff Liaisons: Jeff Myers, Academic and Membership Affairs
Kaitlyn Purcell, Academic and Membership Affairs
Bill Regan, Academic and Membership Affairs

NCAA Division III Interpretations and Legislation Committee March 19, 2020, Meeting	
Attendees:	
Amy Backus, Case Western Reserve University.	
Matt Hill, University of Northwestern – St. Paul.	
Allie Littlefox, Mills College.	
Angie Morenz, Blackburn College.	
Joseph Pavlow, Rosemont College.	
Patrick B. Summers, New England Women's & Men's Athletic Conference.	
Mila C. Su, Plattsburgh State University of New York.	
Anthony Francois, John Jay College of Criminal Justice.	
Absentees:	
None.	
NCAA Staff Liaisons in Attendance:	
Jeff Myers, Kaitlyn Purcell and Bill Regan.	
Other NCAA Staff Members in Attendance:	
Anyssa Barbosa and Louise McCleary.	



**REPORT OF THE
NCAA DIVISION III MEMBERSHIP COMMITTEE
FEBRUARY 18-19, 2020, IN-PERSON MEETING**

KEY ITEMS.

1. The committee approved Warren Wilson College for provisional membership and Manor College for exploratory membership.
2. The committee approved a waiver of Bylaw 20.11.3 (sports sponsorship) from Berea College.
3. The committee approved a conference rules seminar to be held in York, Pennsylvania in 2021.

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

1. **Welcome and Announcements.** The chair, Laura Mooney, commenced business at 8 a.m. Eastern time Tuesday, February 18, 2020. The chair welcomed the following new members of the committee to their first in-person meeting: Michael Fransden, Wittenberg University; Jan Hathorn, Washington and Lee University; Erica Lemm, Mount Holyoke College; Monique McLean, Trinity Washington University; and Elliott Strickland, Pennsylvania College of Technology.
2. **Roster and Conflict of Interest.** Committee members were reminded of the NCAA Conflict of Interest Policy and proper procedures for recusal if a conflict of interest might arise. Committee members reviewed the conflict of interest document and updated any conflicts as necessary. Committee members followed the recusal procedures during all deliberations.
3. **Policies and Procedures.** The staff provided the committee with its policies and procedures for review.
4. **November 2019 teleconference report.** The committee reviewed and approved the November 21, 2019 teleconference report.

5. Division III Provisional and Reclassifying Membership.

- a. Transition plan for new membership process timeline. Staff reviewed the new membership process timeline with the committee and noted the changes that resulted from NCAA Proposal Nos. 2020-2 and 2020-3. The provisional membership process will move from four to three years of provisional membership and one year of exploratory membership. The reclassifying process will move from four to three years with no requirement for a year of exploratory membership. The changes will be effective August 1, 2020.
- b. Applications for exploratory membership. The committee reviewed and approved an exploratory application from Manor College (Pennsylvania). In its review, the committee found that the institution met the conditions and obligations for application to explore Division III and determined that staff and a representative from the committee should conduct a visit to Manor's campus during the exploratory year.
- c. Applications for provisional membership. The committee reviewed and took action on provisional membership applications from the following institutions:
 - (1) Bob Jones University (South Carolina) – The committee determined the provisional membership application was inadequate and required the institution to submit a revised application by March 26, 2020; and
 - (2) Warren Wilson College (North Carolina) – In its review, the committee found that the application met the conditions and obligations to begin year one of the Division III provisional membership process.
- d. Provisional/Reclassifying/Exploratory chart and mentor assignments. The committee reviewed the provisional/reclassifying/exploratory member chart and assigned Elliott Strickland and Laura Mooney as committee mentors to Manor College.

The committee also reviewed the provisional/reclassifying/exploratory member chart and assigned committee mentors to institutions whose previous mentors are no longer serving on the committee or will conclude committee service in January 2021.

- (1) Brevard College (North Carolina) – Jessica Huntley;
- (2) Delhi State University of New York (New York) – Charley Jacobs; and
- (3) Warren Wilson College (North Carolina) – Jan Hathorn.

- e. Convention attendance for provisional and reclassifying members. The committee discussed the provisional and reclassifying member institutions and noted that all had representation at the 2020 NCAA Convention Division III Issues Forum and Business Session.
- 6. Review of Recommended Changes to Membership Process Materials.** The committee reviewed recommended changes to several membership process documents. The changes resulted from the new membership process legislation adopted at the 2020 NCAA Convention.
- a. New member calendar of activities. The committee reviewed the new member calendar of activities and directed staff to amend the document to reflect the staff's and committee's suggestions.
 - b. Exploratory application. The committee reviewed the exploratory application and directed staff to amend the document to reflect the staff's and committee's suggestions.
 - c. Provisional/reclassifying membership application. The committee reviewed the provisional/reclassifying membership application and directed staff to amend the document to reflect the staff's and committee's suggestions.
 - d. Provisional and reclassifying membership FAQ document. The committee reviewed the provisional and reclassifying membership FAQ document and directed staff to amend the document to reflect the staff's and committee's suggestions.
 - e. Financial aid transition breakdown. The committee reviewed the financial aid transition breakdown and directed staff to amend the document to reflect the staff's and committee's suggestions.
 - f. Championship selection criteria. The committee reviewed the championship selection criteria and directed staff to amend the document to reflect the staff's and committee's suggestions.
 - g. Single-sport conference application. The committee reviewed the single-sport conference application and directed staff to amend the document to reflect the staff's and committee's suggestions.
 - h. Multisport conference application. The committee reviewed the multisport conference application and directed staff to amend the document to reflect the staff's and committee's suggestions.

7. **Conference Membership.** The committee received an update from staff on current conference membership and realignments. Additionally, the committee discussed an interpretative appeal to the Division III Management Council regarding the waiting period for conference automatic qualification (AQ). The committee took no action.
8. **Division III Active Membership.**
 - a. 2020 NCAA Convention attendance – Constitution 3.2.4.15. Staff notified the committee all current, active Division III institutions were present and voted at the 2020 NCAA Convention Business Session.
 - b. Departing Division III institutions. Staff notified the committee that D’Youville College submitted an application to transition its membership to Division II. If approved, the institution would begin the Division II membership process in fall 2020. It is anticipated that overall Division III membership will increase for the 2020-21 academic year, given the current year four institutions likely to achieve active status August 1, 2020.
 - c. Division I Strategic Vision and Planning Committee proposed amendment to Division I Bylaw 20.5.1. Staff provided the committee with an update regarding a potential legislative change that would allow Division III institutions to reclassify directly to Division I.
 - d. Potential legislative change to Bylaw 20.11.3.8.5. Staff provided the committee with information on a recent legislative change adopted by Division II. Specifically, the new legislation requires that multiteam events in individual sports to be counted as a single contest for sports-sponsorship purposes. The committee did not support pursuing similar legislation in Division III.
 - e. Potential Expansion/Refinement of Division III Sports-Sponsorship Audit. The committee discussed a potential expansion and/or refinement of the Division III sports-sponsorship audit. Staff informed the committee of the current audit process and what options could be considered in the future. The committee charged staff to analyze sports-sponsorship trends in Division III and provide a report at a future meeting. With that information, the committee felt it would be better positioned to recommend potential changes to the current audit process which includes a random selection and for-cause component.

9. Educational Initiatives.

- a. 2020 NCAA Regional Rules Seminars. Staff provided the committee with a list of institutions that are required to attend the 2020 Regional Rules Seminars in either Columbus, Ohio, or Los Angeles, California. Staff noted that institutions are provided regular reminders to encourage them to register as early as possible.
- b. Conference Rules Seminar Application. Staff notified the committee that there is a Conference Rules Seminar scheduled for 2020 in Worcester, Massachusetts. The committee also reviewed and approved an application from conferences in the York, Pennsylvania, area for a seminar to be conducted in 2021. The committee chose June 16, 2021, as the date for the seminar.
- c. NCAA Inclusion Forum. Staff reminded the committee that the 2020 NCAA Inclusion Forum will be held April 17-19 in Denver, Colorado. Committee members were encouraged to remind their mentee provisional/reclassifying institutions the Inclusion Forum satisfies the provisional/reclassifying educational requirement for the faculty athletics representative and senior woman administrator professional development. Additionally, at least one senior-level administrator (e.g., chancellor or president, athletics direct report, Title IX officer, dean of students) that does not work in an athletics department at a provisional or reclassifying institution must attend the Inclusion Forum at least once during the membership process.

- 10. Feedback and Discussion of Timing for Annual Reception at Convention.** The committee provided staff with feedback on the timing of the annual new member reception at the Convention. The committee noted the engagement of all attendees and suggested that the conversations could have continued without prompting from staff. The chair strongly encouraged committee members to attend the event in 2021, even if they can only join for a portion of the event.
- 11. 2022 In-Person Meeting Dates.** The committee agreed to conduct its 2022 in-person meetings February 22-23, 2022, and June 21-22, 2022.
- 12. Division III Governance Update.** Louise McCleary, Managing Director of Division III, provided the committee with updates from the Convention and other pertinent items being discussed by the division.

- 13. Other Business.** The committee approved a waiver of Bylaw 20.11.3 (sports sponsorship) from Berea College for the 2019-20 academic year. In its review of the request to waive the three team sports involving all-male teams' requirement, the committee noted extenuating circumstances. Specifically, the committee noted the institution canceled its baseball season due to violations of the Berea College Student-Athlete Code of Conduct and the Berea College Student Handbook by some of its baseball student-athletes. In addition, the committee noted that Berea intends to field a baseball team in the 2020-21 academic year.
- 14. Adjournment.** The committee concluded its business and adjourned at 11:21 a.m. Eastern time February 19, 2020.

Committee Chair: Laura Mooney, Massachusetts College of Liberal Arts, Massachusetts State Collegiate Athletic Conference

*Staff Liaisons: Eric Hartung, Division III Research
Tiffany Alford, Academic and Membership Affairs
Corey Berg, Academic and Membership Affairs*

NCAA Division III Membership Committee February 18-19, 2020, In-Person Meeting	
Attendees:	
William Fell, United States Merchant Marine Academy.	
Michael Frandsen, Wittenberg University.	
Jan Hathorn, Washington and Lee University.	
Jessica Huntley, Atlantic East Conference.	
Charley Jacobs, St. Norbert College.	
Erica Lemm, Mount Holyoke College.	
Monique McLean, Trinity Washington University.	
Laura Mooney, Massachusetts College of Liberal Arts.	
Elliott Strickland, Pennsylvania College of Technology.	
Megan Valentine, Hilbert College.	
Absentees	
None.	
Guests in Attendance	
Anyssa Barbosa, Louise McCleary and Jeff Myers.	
NCAA Staff Support in Attendance	
Tiffany Alford, Corey Berg, Debbie Brown and Eric Hartung.	



**REPORT OF THE
NCAA DIVISION III STUDENT-ATHLETE ADVISORY COMMITTEE
JANUARY 21-22, 2020, MEETING**

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

- 1. Welcome.** On Tuesday, January 21, the committee welcomed the primary SAAC members. On Wednesday, January 22, the primary members were joined by the associate members. SAAC associate members sat with their conference partner during the meeting and participated in all remaining NCAA Convention activities throughout the week.
- 2. 2020 NCAA Convention Schedule.** The committee reviewed the 2020 Convention schedule for Division III National SAAC.
- 3. Administrative Items.**
 - a. Roster.** The committee reviewed and updated its current roster.
 - b. November 2019 report.** The committee reviewed and recommended an editorial change. The committee approved the report with the noted changes.
 - c. Policies and procedures.** The committee reviewed its policies and procedures and noted no changes as currently presented.
 - d. Expectations of a SAAC member.** The committee reviewed the expectations of SAAC primary and associate members and recommended several editorial changes.
 - e. Robert's Rules of Order.** The committee reviewed the Robert's Rules of Order guidelines document.
- 4. Division III SAAC Liaison to NCAA Committees.** The committee elected liaisons to Division III and Association-wide committees and working groups. The new liaisons' terms will become effective immediately following the 2020 Convention.

- a. Division III Championships Committee – Braly Keller, a football and swimming and diving student-athlete at Nebraska Wesleyan University.
- b. Division III Student-Athlete Reinstatement Committee – Hannah Durst, a golf student-athlete at Baldwin Wallace University.
- c. NCAA Committee on Women's Athletics – Abigail Newkirk, a soccer student-athlete at Bluffton University.
- d. NCAA Committee on Sportsmanship and Ethical Conduct – Mike McMahon, a baseball student-athlete at Becker College.
- e. NCAA Committee on Competitive Safeguards and Medical Aspects of Sports – Isaiah Swann a baseball student-athlete at the University of Texas at Dallas.

5. Division III SAAC Working Group Report Outs.

- a. Mental Health Social Media Campaign. The committee discussed the upcoming Mental Health Social Media campaign, May 4-7, which was introduced to the Division III membership at the Business Session with the premier of the Division III SAAC mental health video. The committee confirmed the hashtag, #BreakTheStigma, that will accompany messages sent across the membership on social media. The committee also deemed it necessary to create a dedicated web page for the social media campaign, which will include testimonials (i.e., photo and quote), sample posts, the SAAC video, resources and more. Lastly, the committee decided against having specific topics for posts for each day of the campaign, but rather general themes to guide engagement.
- b. Inclusion Working Group. The working group continues to craft and edit the Sexual Assault Prevention poster, having incorporated changes suggested by committee members during the November meeting. The current focus is strategizing distribution on campus, and the translation of this poster resource into a fillable PDF for schools to fill in campus specific information. The committee targeted a fall 2020 release to the membership. The working group also discussed a Division III Day of Silence Initiative, but with several other initiatives in April (e.g., Division III Week, sustainability resource, etc.), this initiative will be shared with the membership in October.

- c. Sustainability Working Group. At the 2020 Convention, the sustainability group led the charge on the Division III delegate gift, which featured a cup and metal straw to promote awareness of sustainable practices. The group then reported on the progress of the creation of an educational resource that will highlight the financial implications and best practices related to athletics sustainability. A draft of this document will be finalized during the April meeting and released to the membership on Earth Day, April 22. Finally, the group discussed the plan for the development of an impact document to distribute at the 2021 NCAA Convention.
 - d. Special Olympics Working Group. The working group reported on a new social media strategy to highlight campus Special Olympics partnerships. The committee will utilize its Twitter account to create short testimony profiles bi-weekly throughout the rest of the academic year to showcase campuses doing work in this space. The working group created a Google Form and shared it with the committee to solicit the information for these profiles. During the April 2020 meeting, the working group will reconvene to discuss a Clash of the Conferences competition throughout the membership.
 - e. Social Media. Mika Costello will become the new social media lead at the conclusion of the Convention.
6. **Division III and Association-wide Initiatives.** Committee members received updates on Division III and Association-wide initiatives including the Division III Oversight Working Group on Name, Image and Likeness (NIL), Common Ground V, the NCAA GOALS Study and Game Day the DIII Way.
- Name, Image and Likeness discussion. Committee members provided feedback to the NCAA staff on the topic of Name, Image and Likeness. Please see below for a comprehensive report of the committee's NIL discussion.

The feedback from the January meeting of the Division III Student-Athlete Advisory Committee (SAAC) on Name, Image and Likeness (NIL), reflective of the Board of Governors October 2019 action.

- a. SAAC stressed the importance of making key decision-makers aware that NIL impacts student-athletes at all levels, in all sports, and of all races and genders. It is not an issue that will only effect Division I elite college-athletes;
- b. SAAC placed its central emphasis on the principle that any NIL legislation must be created to serve the student-athlete's best interest, and solutions should be presented in consultation with the student-athletes;

- c. SAAC frequently referenced the Division III Philosophy Statement and how it relates to NIL. Committee members discussed the statement's tenet that Division III student-athletes should be treated like all students and not receive preferential treatment for their athletics status. Some questioned whether any changes to NIL legislation would go against this concept;
- d. SAAC expressed concern over parts of the proposed concept model that was introduced at the Division III Issues Forum. Specifically, committee members wondered how definitions of ambiguous concepts would be enforced to make sure student-athletes are protected. Committee members sought clarity from the interpretation of subjective versus utilitarian "work product," and the designation of a "booster," and what regulations could protect the manipulation of student-athletes by boosters or others in a similar role; and
- e. SAAC reiterated its view that the division already has inherent inequality with resources, facilities, etc., which is unavoidable whether NIL is reformed or not. NIL will not be the issue that tips the scales of competitive balance in the division, particularly given that college decisions at this level are made primarily based on factors outside of athletics.

Expanding Permissible Activities Using NIL

Question posed: Crowdfunding, testimonials, brand ambassadors and private lessons are current opportunities for Division III student-athletes to utilize their name, image and likeness. What are other areas in which student-athletes are seeking opportunities for expanding the use of their NIL?

Committee feedback: The committee identified the following areas where it sought increased opportunities for student-athletes to utilize their name, image, and likeness:

- a. Research - conducting and promoting research using student-athlete status;
- b. Publishing and work product – art, literature, etc.;
- c. Tutoring, consulting, contracting, advising – student-athletes identified this category to serve as an all-encompassing group, featuring opportunities like college selection, and consulting with expertise as a student-athlete;
- d. Recruiting and scouting; and
- e. Student-athlete business.

These areas, along with those areas identified as already providing opportunities for student-athletes at the Division III level, were open for discussion. Student-athletes engaged in a feedback session where a consensus was reached in a preliminary “support vs. oppose” position as to whether student-athletes should be permitted to utilize their NIL for these purposes. Below is a chart outlining the committee’s initial thoughts.

Topic	SAAC Position	Notes/Feedback
Private Lessons	Support	This topic received unanimous support.
Crowd Funding	Undecided	Discussion centered on receiving benefits for being a student-athlete and equality between student-athletes and students. Ultimately, the committee raised a concern about the Division III philosophy and its alignment with this topic. No consensus was reached.
Brand Ambassador	Undecided	Similar concerns to the crowdfunding discussion were raised. Committee members were hesitant about the potential exploitation for student-athletes and preferential treatment due to athletic status. No consensus was reached.
Testimonials	Support	This was strongly supported by the committee.
Research and Publishing	Support	This was strongly supported by the committee.

Recruiting Advantage Discussion

The second half of SAAC’s discussion centered around the significance of the recruiting advantage posed by a variety of the areas associated with NIL. The committee reached a consensus that inequalities currently exist in Division III between higher and lower resource institutions and that any recruiting advantage posed by NIL already exists in the system. Additionally, the committee noted that the NIL opportunities at the Division III

level would not be influential enough to make a drastic impact in the recruiting process. Finally, the committee agreed that Division III student-athletes are unique in the sense that their college decisions rely on a myriad of factors outside of athletics.

7. **Division III Committee Reports.** Committee members provided updates and items that directly impact student-athletes from their recent attendance at Division III and Association-wide committee meetings.
8. **Student Selection for Attendance at CoSIDA Convention and NCAA Student-Athlete Leadership Forum.** The committee discussed interest in SAAC primary member attendance at the CoSIDA Convention taking place in Las Vegas in June and the NCAA Leadership Development Student-Athlete Leadership Forum in Los Angeles in April. The NCAA collected names of interested attendees and will make official selections. [NOTE: An invitation was extended to Cat Lanigan to attend CoSIDA and Christine Mayorga and SAAC associate member, Ireland Kennedy, received an invitation to present at the Student-Athlete Leadership Forum].
9. **Meeting with Dave Schnase, NCAA Vice President Academic and Membership Affairs.** Committee members met with Dave Schnase, the NCAA Vice President of Academic and Membership Affairs, to discuss the future of Name, Image and Likeness. Mr. Schnase presented the three concepts proposed as potential solutions to NIL issues and listened to committee members feedback surrounding these three models. [See agenda item 6a.]
10. **Meeting with Brian Hainline, NCAA Chief Medical Officer.** The committee met with Brian Hainline to talk about sexual assault prevention, mental health in student-athletes, the athletics health care gap and the current initiatives of the NCAA Sports Science Institute.
11. **Meeting with NCAA President Mark Emmert and Donald Remy, NCAA Chief Operating Officer.** NCAA President Mark Emmert and Donald Remy, Chief Operating Officer, met with the primary committee members to discuss the current state of collegiate athletics and answer questions regarding issues impacting Division III.

12. **GOALs Study Results.** NCAA Research staff, Lydia Bell and Tom Paskus, met with the committee to discuss the results of the 2019 GOALs study and the impact it can have on student-athletes on campus.
13. **Division III Updates.** The primary committee members met with Dan Dutcher, Vice President for Division III. The committee reviewed Convention activities and the 2020 legislative proposals to be voted on at the Business Session. A question and answer portion of the session centered on NIL and the unique challenges legislation poses in the division, sports wagering, Division III membership numbers, e-sports, and other potential areas for SAAC involvement.
14. **Conference and Partner Conference Meetings.** The committee members prepared for conference and partner conference meetings by reviewing talking points and sharing helpful hints with members who have not visited with their conference in the past. The committee also discussed its positions on proposed legislation.
15. **2020 NCAA Division III Legislative Proposals and Position Papers.** The committee conducted a final review of the 2020 legislative proposals and discussed any additional feedback received from conferences and partner conferences since its fall committee meeting and teleconference. The committee reviewed its previously drafted position papers on select proposals and prepared for presentation at the Business Session.
16. **Special Olympics.**
 - a. Special Olympics unified sports event. Committee members participated with approximately 30 Special Olympics California athletes and another 100 Division III student-athletes in an interactive, station-based unified sports event featuring bocce, cornhole, volleyball, flag football and more.
 - b. Special Olympics international presentation. Scott George, manager for unified champion schools and university engagement and Kailtee Francisco, University Growth Fellow for Unified Champion Schools at Special Olympics North America, presented to the committee on the history behind the Special Olympics and Division III partnership, and on ways for committee members to maximize Special Olympics partnerships on campus. The committee brainstormed events and engagement opportunities to make a difference in their community. Skyler Ludin, a Special Olympic unified athlete, discussed the impact of unified athletics on his life and answered questions from the committee.

- c. Division III Special Olympics activity update. The committee proposed engagement activities for the July SAAC meeting in Indianapolis, including the incorporation of a nonathletics activity (ex. planting a community garden, taking headshots in a photobooth, or another professional development session). Committee members were encouraged to continue reminding their conferences and partner conferences of the importance of reporting Special Olympics activities through the Special Olympics partnership page on the Division III website.
- 17. **Joint Luncheon with Divisions I and II SAAC.** The committee participated in a joint luncheon with their counterparts in Divisions I and II. The luncheon featured a question and answer period with the Board of Governor's new independent members, as well as an update from the CoSIDA executive leadership.
- 18. **Joint Breakfast with Presidents and Management Councils.** The committee conducted a joint breakfast with Presidents and Management Councils. During the breakfast, committee members provided an update on Division III National SAAC Convention activities and an update on its current initiatives. The committees then addressed hot topics including marijuana and vaping on campus and sexual assault prevention in round table discussions, with committee members reporting takeaways out for the group.
- 19. **Division III National SAAC and Students Luncheon.** The committee hosted an open forum for approximately 125 Division III students in attendance at the Convention. During the session, committee members provided information on National SAAC, its current initiatives and role within the governance structure. Committee members led roundtable discussions on important Division III issues.
- 20. **Future meetings.**
 - a. March 2020 orientation teleconference. [Associate members only]
 - b. April 18-19, 2020; Indianapolis. [Primary members only]
 - c. July 18-19, 2020; Indianapolis. [Primary and associate members]
 - d. September/October 2020 teleconference. [Primary and associate members]
 - e. November 15-16, 2020; Indianapolis. [Primary members only]
 - f. January 12-16, 2021; NCAA Convention, Washington, D.C. [Primary and associate members]

21. Other Business

- a. Vaping task force. The committee members expressed interest in creating a vaping task force with Division III student-athletes to raise awareness about this issue in the context of collegiate athletics. The committee hopes that this task force would have representatives from all divisional SAAC organizations, and would seek to connect with high school student-athletes before they get into college to aim to educate before any serious damage is done. This idea would be to collaborate with the NCAA Sports Science Institute, and ideally would run programs at the high school level to raise awareness about the athletics risks of vaping.
- b. Division III SAAC vote process. The committee learned that Division II SAAC possesses a vote on legislation and is seeking to engage in a process towards getting a similar voice for the Division III SAAC. The committee asked the staff to research this option and discuss a path forward during the April meeting to assess the feasibility and process.

22. Adjournment.

Committee Chair: Madison Burns, Randolph-Macon College; Old Dominion Athletic Conference.
Staff Liaisons: Louise McCleary, Division III Governance,
Corey Berg, Academic and Membership Affairs
Patrick Malin, Leadership Development

NCAA Division III Student-Athlete Advisory Committee January 21-22, 2020, Meeting	
Attendees – Primary Members:	
Madison Burns, Randolph-Macon College; Old Dominion Athletic Conference.	
Gerard Bryant, John Jay College of Criminal Justice; ex officio Management Council representative.	
Fran Capaldi, Bethany College (West Virginia); Presidents' Athletic Conference.	
Mika Costello, Willamette University; Northwest Conference.	
Hannah Durst, Baldwin-Wallace University; Ohio Athletic Conference.	
Lottie Ellis, Crown College (Minnesota); Upper Midwest Athletic Conference.	
Annabelle Feist, Williams College; New England Small College Athletic Conference.	
Anthony Francois, John Jay College of Criminal Justice; City University of New York Athletic Conference.	
Emily Goodwin, Massachusetts Maritime Academy; Massachusetts State Collegiate Athletic Conference.	

Arcel Kabongo-Ngoy, Western New England University; Commonwealth Coast Conference.
Samantha Kastner, Notre Dame of Maryland University; Colonial States Athletic Conference.
Braly Jay Keller, Nebraska Wesleyan University; American Rivers Conference.
NJ Kim, Emory University; University Athletic Association.
Catherine Lanigan, Juniata College; Landmark Conference.
Michael Litz, Penn State University, Abington; North Eastern Athletic Conference.
Christine Mayorga, Montclair State University; New Jersey Athletic Conference.
Michael McMahon, Becker College; New England Collegiate Conference.
Abigail Newkirk, Bluffton University; Heartland Collegiate Athletic Conference.
CJ Pakeltis, MacMurry College; St. Louis Intercollegiate Athletic Conference.
Colby Pepper, Covenant College; USA South Athletic Conference.
Jake Santellano, University of Wisconsin, Whitewater; Wisconsin Intercollegiate Athletic Conference.
Isaiah Swann, University of Texas at Dallas; American Southwest Conference.
Denise Udelhofen, Loras College; American Rivers Conference, ex-officio Management Council representative.
Attendees – Associate Members:
Mikayla Arimura, Johnson & Wales (Providence); Great Northeast Athletic Conference.
Lillian Chamberlin, Saint Mary's College (Indiana); Michigan Intercollegiate Athletic Association.
Ireland Clare Kennedy; Mount Holyoke College; New England Women's and Men's Athletic Conference.
Liv Coletta, Mills College; American Collegiate Athletic Association.
Cassandra Contigiani, Thomas College; North Atlantic Conference.
Owen Harkins, Allegheny College; North Coast Athletic Conference.
Casey Hipp, Knox College; Midwest Conference.
JT Klopacic; Stevenson University; Middle Atlantic Conference.
Kevin Lammers, Franklin & Marshall College; Centennial Conference.
Kristin Lasker, State University of New York at New Paltz; State University of New York Athletic Conference.
Alyssa Leventer; St. Mary's College (Maryland); Capital Athletic Conference.
Michael Litz; Penn State University, Abington; North Eastern Athletic Conference.
Annie MacMillan; Vassar College; Liberty League.
Mckenzie Maneggia; Eastern Connecticut State University; Little East Conference.
Mikala McCartney; Alfred University; Empire 8.
Justice Mercadel; Austin College; Southern Collegiate Athletic Conference.
Diamond Onomake; Mount Saint Mary College (New York); Skyline Conference.
Elle Pickrell; Millsaps College; Southern Athletic Association.
Sydney Rainey; Concordia University (Chicago); Northern Athletics Collegiate Conference.
M.C. Treuting; Marymount University; Atlantic East Conference.
Luisa Valles; Claremont McKenna- Harvey Mudd- Scripps; Southern California Intercollegiate Athletic Conference.

Absentees:
Brynna Barnhart, Enforcement.
Emily Carr, St. Olaf College
Cameron Gardner-Nicholson, Penn State University, Altoona, Allegheny Mountain Collegiate Conference.
Sarah Schmidt, Carthage College,
Ali Teopas Spungen, Governance.
Guests in Attendance:
Bridget Finn, Lebanon Valley College.
Kaitlee Francisco, Special Olympics North America.
Scott George, Special Olympics North America.
Skyler Ludin, Special Olympics Southern California athlete.
NCAA Staff Support in Attendance:
Corey Berg, Academic and Membership Affairs.
Patrick Malin, Leadership Development.
Louise McCleary, Governance.
Kiana Verdugo, Governance.
Other NCAA Staff Members in Attendance:
Anyssa Barbosa, Academic and Membership Affairs.
Lydia Bell, Research.
Dan Dutcher, Governance.
Kimberly Fort, Executive.
President Mark Emmert, Executive.
Brian Hainline, Sports Science Institute.
Tom Paskus, Research.
Donald Remy, Executive.
Dave Schnase, Academic and Membership Affairs.
Adam Skaggs, Governance.



**REPORT OF THE
NCAA COMMITTEE ON COMPETITIVE SAFEGUARDS
AND MEDICAL ASPECTS OF SPORTS
DECEMBER 9-10, 2019, MEETING**

KEY ITEMS.

1. **Seasons of competition expansion.** The committee approved a statement recommended from its review of Division I season of competition legislation (see Attachment A) and in response to a request from the Division I SAAC. The statement clarifies that health and safety should not be used as a primary consideration or justification for the expansion of the football rule (NCAA Division I Bylaw 12.8.36.1.6) to other sports.
2. **Division I legislative proposals.** The committee reviewed the NCAA Division I Autonomy and NCAA Division I Council-Governance legislative proposals with health and safety rationales and/or implications. The committee discussed the proposed legislation and developed recommended positions, comments and feedback for sponsors (see Attachment B).

ACTION ITEMS.

1. **Legislative items.**
 - a. **Concussion reporting obligation.**
 - (1) Recommendation. Recommend the Division I Council, and the Divisions II and III Management Councils take legislative action to require member schools to regularly report all sport-related concussions and their resolution in a manner consistent with the terms of the class settlement in *Arrington v. NCAA* via a reporting process and system recommended by the Committee on Competitive Safeguards and Medical Aspects of Sports and in conjunction with the NCAA Sport Science Institute. The Committee on Competitive Safeguards and Medical Aspects of Sports will establish and maintain policies and procedures for the reporting of concussions and their resolution, including an annual deadline for submission.
 - (2) Effective date. Immediate for the NCAA's establishment of a reporting process and system, and policies and procedures; institutions are required to report sport-related concussions diagnosed May 18, 2020 and thereafter, and their resolution. Timing of the reporting to be determined by CSMAS.
 - (3) Rationale. The *Arrington* class settlement obligations resulted in the NCAA's evaluation of a reporting process through which member institutions can report to the NCAA instances of diagnosed concussions in NCAA student-athletes and their resolution. This reporting mechanism will

provide further insight into the incidence and resolution of concussions involving NCAA student-athletes.

- (4) Estimated budget impact. Cost to create reporting system.
- (5) Student-athlete impact. This reporting mechanism will provide further insight into the incidence and resolution of concussions involving NCAA student-athletes.

b. NCAA Constitution 3.2.4.20.1 -- Concussion Safety Protocol.

- (1) Recommendation. Recommend that the Division I Autonomy conferences revise NCAA Constitution 3.2.4.20.1 to specify that the Concussion Safety Protocol shall be consistent with the NCAA Concussion Safety Protocol Checklist rather than the Interassociation Consensus: Diagnosis and Management of Sport-Related Concussion Best Practices.
- (2) Effective date. Immediate.
- (3) Rationale. Sufficient best-practices guidance on the diagnosis and management of sport-related concussion is now available from the international medical community. As a result, the NCAA will sunset the Interassociation Consensus document. In its place, processes have been established, with oversight from the Committee on Competitive Safeguards and Medical Aspects of Sports, to monitor and update the NCAA Concussion Safety Protocol Checklist, upon which concussion safety protocols in all three divisions are based.
- (4) Estimated budget impact. No budgetary impact.
- (5) Student-athlete impact. This change will ensure that guidance to the five conferences with autonomy about the diagnosis and management of sport-related concussion is based on emerging scientific and clinical information.

2. Nonlegislative items.

- **Playing Rules Recommendation –Baseball and Softball – Concussion Evaluation.**

- (1) Recommendation. Recommend to the NCAA Baseball Rules Committee and NCAA Softball Rules Committee the creation and/or modification of

rules in baseball and softball that allow for all baseball and softball athletes to be removed from competition for the purpose of concussion evaluation without being disqualified from the game.

- (2) Effective date. The 2021 baseball and softball championship season.
- (3) Rationale. Current baseball rules disqualify a student athlete who must be removed from the game for the purpose of injury evaluation and/or treatment if that injury cannot be treated without “undue delay”. Current softball rules allow re-entry for a starter, but a substitute would be disqualified if removed for the purpose of injury evaluation. These current rules may serve as an impediment to timely reporting and/or evaluation of a possible concussion, the medical evaluation of which may not satisfy the “undue delay” provisions.

This recommendation is consistent with other recent recommendations made by the committee to the playing rules committees of other sports (e.g., soccer; wrestling) with rules that could be perceived as impeding the reporting and/or timely evaluation of injuries during competition. The purpose of the recommendation is to prompt consideration of a responsive rule change by the baseball and softball rules committees.

- (4) Estimated budget impact. No budgetary impact.
- (5) Student-athlete impact. Student-athletes in baseball and softball will benefit from rules that facilitate timely reporting and/or evaluation of a possible concussion.

INFORMATIONAL ITEMS.

- 1. **Approval of September 12, 2019 teleconference report.** The committee approved the report of its September 2019 teleconference.
- 2. **Playing rules issues.** The committee received updates on several playing rules issues:
 - a. **Football targeting rule.** The committee received an update on the targeting penalty from the 2019 football regular season, as well as a history of targeting penalty data from 2017-2019.
 - b. **Football facemask eye shield rule.** The committee reviewed the current football facemask eye shield rule and affirmed its continued support.

The current rule requires that eye shields are clear and does not permit a medical exception for the use of a tinted eye shield.

3. **NCAA divisional governance updates.** The committee received updates from governance staff in each of the three divisions.
 - a. **Division III.** The committee received an update on implementation of the Institutional Performance Program Health and Safety Survey pilot on a voluntary basis, and which is currently being conducted.
 - b. **Division II.** The committee received an update on the 2019-20 Division II priorities. One of the priorities relates to Division II University, an online educational tool for coaches and other audiences. DII University currently includes two courses related to health and safety (mental health and sexual assault and violence prevention), but additional health and safety modules are being developed in sleep and substance misuse. In addition, Division II is now requiring completion of the IPP Health and Safety Survey, which was sent to the Division II membership for the first time in November. The division also continues to pursue increased participation in the NCAA injury Surveillance Program. Finally, Division II will host its divisional APPLE Training Institute October 2-4, 2020 in Orlando.
 - c. **Division I.** The committee received an update on the Division I strategic areas of emphasis in health and safety.
 - **Seasons of competition expansion.** The committee approved a statement recommended from its review of Division I season of competition legislation (see Attachment A) and in response to a request from the Division I SAAC. The statement clarifies that health and safety should not be used as a primary consideration or justification for the expansion of the football rule (NCAA Division I Bylaw 12.8.36.1.6) to other sports.
4. **Division I legislative proposal review.** The committee reviewed the Division I Autonomy and Council-Governance legislative proposals with health and safety rationales and/or implications. The committee discussed the proposed legislation and developed recommended positions, comments and feedback for the sponsors (see Attachment B).
5. **Women's wrestling as an emerging sport.** At the request of Committee on Woman's Athletics, the committee received an update on injuries within women's wrestling.
6. **NCAA Office of Legal Affairs briefing.** The committee received a privileged and confidential update on several legal issues from the NCAA Office of Legal Affairs.

7. **NCAA Office of Governmental Affairs briefing.** The committee received an update on several governmental affairs issues from the NCAA Government Relations Office.
8. **NCAA transgender athlete policy.** The committee continued its discussion on a variety of health, safety and medical issues relevant to the NCAA transgender policy. The committee received information from Dr. Bradley Anawalt, Chief of Medicine at the University of Washington Medical Center, on current medical and clinical practices regarding transgender athletes. The committee received input from the four physicians on the committee and reviewed policy trends in the international sports medicine community and international governing bodies.
9. **Concussion issues.** The committee discussed several concussion-related topics.
 - a. **Concussion Assessment Research and Education (CARE) Consortium update.** The committee received an update on the CARE Consortium study, which is entering its third phase in 2020. This phase will focus on long-term outcomes and chronic risks related to concussion and repetitive head impact.
 - b. **Mind Matters update.** The committee was updated on the 2019 Mind Matters Concussion Safety Education and Research Summit held on June 10, 2019. The summit brought together investigators from both the NCAA-Department of Defense Grand Alliance Mind Matters and CARE Consortium studies for information-sharing and collaboration across the two programs. The summit resulted in the creation of foundational statements that will be used as a springboard for the development of subsequent educational resources, peer-reviewed publications and future research studies.
 - c. **Concussion Safety Advisory Committee report.** The committee received a summary of the Concussion Safety Advisory Group meeting that took place on July 25, 2019. This group advises the committee on emerging developments in concussion science and policy and recommends modifications to the NCAA Concussion Safety Protocol Checklist as needed. The purpose of the meeting was to review the current safety protocol checklist with regard to recent trends and available research and identify any necessary updates or revisions. The consensus of the group was that the current checklist requirements were adequate.
10. **Biannual report from The Datalys Center.** The committee received an update from the Datalys Center on its current work and conducted a review of injury and illness trends as provided by the NCAA Injury Surveillance Program.
11. **Injury Surveillance Program participation update.** The committee heard an operational briefing on the NCAA ISP, followed by an update on current participation levels in each

of the three divisions. In Division I, 30 percent of schools have made at least one submission of data during the current academic year, while 28 percent of DII schools and 20 percent of Division III schools have done the same. From the beginning of 2018, Division I has increased participation 105 percent, while Division II and Division III have increased 180 percent and 133 percent respectively.

12. **NFHS Sports Medicine Advisory Committee update.** The committee received an update on health and safety initiatives from the National Federation of State High School Associations Sports Medicine Advisory Committee.
13. **Biannual report of Drug Free Sport.** The committee was briefed by Drug Free Sport International on results from NCAA year-round and championships drug testing efforts, including the trend of increased positive tests due to selective androgen receptor modulators (SARMS) and hormone and metabolic modulators.
14. **Policy for vacating championships for failed drug testing.** The committee discussed a recommendation from the NCAA Committees on Infractions regarding responsibility for the vacation of individual-sport championships following the participation of an ineligible student-athlete due to a failed drug test. Currently, in accordance with Division I Bylaw 31.2.2.3, Division II Bylaw 31.2.2.4 and Division III Bylaw 31.2.2.3, when a student-athlete is declared ineligible following competition in an individual-sport championship, the COI vacate records related to the individual's and team's performance, even though it was not involved in adjudication of the drug testing process. This arrangement often leads to confusion when schools attempt to appeal vacation decisions to the COI. In general, the committee supported the COIs' current policy in applying vacation, supported further discussion to improve the vacation process in these situations and asked staff to explore the possibility of legislation that would result in a vacation decision as an automatic consequence to a failed drug test and subsequent unsuccessful appeal effort.
15. **Mental health waiver think tank and transfer working group.** The committee was briefed on the mental health waiver think tank held in November 2019. The goal of the meeting was to evaluate the circumstances and specific mental health claims that may justify waivers of immediate eligibility when a student athlete transfers. The attendees unanimously agreed that mental health waivers may justify immediate eligibility in some situations, and that waiver processes and guidelines should effectively support this possible outcome. Recommendations from the think tank will be further developed by think tank participants and provided to the NCAA academic and membership affairs staff to inform deliberations on future waiver requests.
16. **Visiting medical care-independent medical care update.** The committee received a progress update on efforts to develop guidance for membership around obligations and considerations related to medical care and coverage for NCAA student-athletes who are

participating in school-sponsored practice and competition events at locations other than their home institutional facilities. Per the request of the Board of Governors, an internal task force in collaboration with the CSMAS continues to work toward the development of a guidance document.

17. **Bylaw 17.1.5.1 (DI & DII) and 17.1.6.4.1(c) (DIII) – Sick cell solubility test.** The committee reviewed current sickle cell testing legislation and history. In response to a membership request, the committee was asked to consider making a legislative recommendation to eliminate the current legislative option for a student-athlete to decline the requirement that a sickle-cell or results be included as part of the mandatory pre-participation medical examination for NCAA intercollegiate athletes. The committee acknowledged emerging research that demonstrates the success of the current policy in preventing deaths due to sickle-cell trait. However, the committee also noted the relevance of student-athlete privacy and the fact that this policy success has occurred in the presence of the existing student-athlete option to decline a waiver, which suggests that it is not negatively impacting the effectiveness of the policy. Lastly, the committee noted that institutions are free to establish local policy that is more restrictive than existing legislation, which means schools can eliminate the student-athlete option to decline the test if they choose to do so. The committee encourages institutions to continue to educate student-athletes on the positive medical benefit of the test, and to continue to seek the guidance of their primary athletics health care providers.
18. **2020 football meeting plans.** The committee received an overview of NCAA football safety meetings being planned for 2020 and 2021.
19. **Update from CSMAS Prevention and Performance Subcommittee.** The committee received an update on the subcommittee's first meeting which took place in December 2019. The subcommittee established a monthly meeting schedule and determined the need to add a Student-Athlete Advisory Committee member to the roster. The subcommittee will focus on two agenda items: (1) Acclimatization and transition period policy, including a review of the *NSCA – CSCCa Joint Consensus Guidelines for Transition Periods: Safe Return to Training Following Inactivity* document; and (2) Strength and conditioning coach reporting structure.
20. **New business.**
 - a. **Independent medical care and health and safety enforcement.** Prompted by several high-profile news articles suggesting that independent medical care policy is functioning inconsistently across members schools, the committee had a preliminary discussion on the concept of association-wide enforcement that corresponds with recently adopted independent medical care legislation.

Following its discussion, the committee approved the following statement: The member institutions should follow independent medical care legislation, and there should be consequences for non-compliance. It is expected that the Committee will return to this issue in future meetings.

- b. Medical personnel in team areas during NCAA competitions.** The committee was briefed on the continuing challenges regarding medical personnel access during competition and that Sports Science Institution staff are exploring more specific recommendations around this topic.
 - c. Sexual violence prevention.** The committee was given an update that Division I SAAC is discussing policies for the prevention of sexual assault and violent behavior among athletes. The committee recommended SAAC follow the legislative process and if any proposals are brought forth, the committee will consider and discuss at that time.
 - d. Supplements with chelated minerals.** The committee was asked to review its stance on supplements with chelated minerals. The committee will review at a future meeting.
- 21. Future meeting schedule.** The committee was reminded of the changes to its meeting schedule. The committee will next convene by teleconference in March 2020.

Committee Chair: Jessica Mohler, U.S. Naval Academy, Patriot League
Staff Liaisons: John Parsons, NCAA Sport Science Institute
Anne Rohlman, NCAA Academic and Membership Affairs
Jessica Wagner, NCAA Sport Science Institute

Committee on Competitive Safeguards and Medical Aspects of Sports December 9-10, 2019, Meeting	
Attendees:	
Shawn Arent, University of South Carolina, Columbia.	
Stevie Baker-Watson, DePauw University.	
Stephanie Chu, University of Colorado, Boulder.	
Bob Colgate, National Federation of State High School Associations.	
Jeff Dugas, Troy University.	
N. Jeremi Duru, American University.	
Dave Eavenson, Methodist University.	
Joshua Ellow, Swarthmore College.	
Luis Feigenbaum, University of Miami (Florida).	
R.T. Floyd, University of West Alabama.	
Samantha Kastner, Notre Dame of Maryland University.	
Caroline Lee, Southern Louisiana University.	
Jessica Mohler, U.S. Naval Academy.	
Steve Murray, Pennsylvania State Athletic Conference.	
Nicole Pieart, Aurora University.	
Mark Stovak, University of Nevada, Reno.	
Kim Terrell, University of Oregon.	
Auburn Weisensale, University of Pittsburgh.	
Jeff Williams, East Central University.	
Tayler Stover, Rogers State.	
Kurt Zorn, Indiana University.	
Absentees:	
Buddy Teevens, Dartmouth College.	
Guests in Attendance:	
Dr. Bradley Anawalt, Chief of Medicine at the University of Washington Medical Center.	
Mark Bockelman, Drug Free Sport International.	
Avinash Chandran, Datalys Center.	
Christine Collins, Datalys Center.	
Michelle Dorsey, Drug Free Sport International.	
NCAA Staff Liaisons in Attendance:	
John Parsons, Anne Rohlman and Jessica Wagner.	
Other NCAA Staff Members in Attendance:	
Laura Arnett, Scott Bearby, Brian Burnsed, Dawn Buth, Jackie Campbell, Jenn Fraser, Shep Cooper, Amanda Dickey, LaGwyn Durden, Brian Hainline, Ty Halpin, Maritza Jones, Jean Merrill, Louise McCleary, Ken Kleppel, Donald Remy, Paul Roetert, Crystal Rogers, Kim Shea, Naima Stevenson, Jared Tidemann, Jerry Vaughn, Amy Wilson and David Worlock.	



**NCAA COMPETITIVE SAFEGUARDS AND
MEDICAL ASPECTS OF SPORTS
SEASONS OF COMPETITION SUBCOMMITTEE
SEPTEMBER 6, 2019, TELECONFERENCE**

In April 2018, the NCAA Division I Council adopted Proposal No. 2017-17 which permits a football student-athlete to participate in up to four contests in a season without using a season of competition. After its adoption, multiple Division I governance committees reviewed the proposal and discussed if a similar exception should be available to other sports. These discussions, which noted that the proposal cited health and safety rationale, lead to a request for input and feedback from the committee. In response to that request, the committee created the Seasons of Competition Subcommittee, which met to discuss the following objectives:

1. Determine what health and safety factors, if any, can and should be considered when determining the applicability of the use of the season of competition exception to sports other than football.
2. Determine what data, if any, can and should be used when determining the applicability of the use of the season of competition exception to sports other than football.
3. Consider relevant factors and data and make a recommendation to the full committee regarding the applicability of the exception to sports other than football.

After discussing these objectives the subcommittee recommended the full committee offer the following statement in response to requests for feedback:

Health and safety should not be used as a primary consideration or justification for the expansion of the football rule (Bylaw 12.8.3.1.6) to other sports. Moreover, valid scientific data on this question are not available and would have to be generated through original study. While there may be other reasons to apply the exception to sports beyond football, future discussions should avoid employing a health and safety justification.

**NCAA Committee on Competitive Safeguards and Medical Aspects of Sports
Feedback and Recommendations Related to 2019-2020 NCAA Division I Council-Governance Proposals.**

This attachment describes the details of the Committee on Competitive Safeguards and Medical Aspects of Sports' recommendations related to NCAA Division I Council-Governance legislation scheduled for consideration in January 2020.

Proposal Number	Title	Intent	Positions / Consent/Points to Consider / Comments
2019-71	FINANCIAL AID -- MAXIMUM INSTITUTIONAL GRANT-IN-AID LIMITATIONS BY SPORT -- WOMEN'S GYMNASTICS -- INCREASE FROM 12 TO 14	In women's gymnastics, to increase, from 12 to 14, the maximum limitation on athletics grants in aid (counters).	No position.
2019-74	USE OF FOOTBALLS DURING WALK-THROUGHS (FOOTBALL)	In football, to specify that footballs may be used during a walk-through.	No position.
2019-78	LAYING AND PRACTICE SEASONS -- TEAM SPORTS OTHER THAN BASKETBALL AND FOOTBALL -- VACATION-PERIOD WORKOUT SESSIONS INITIATED BY STUDENT-ATHLETE	In team sports other than basketball and football, to specify that a coach may participate in individual-workout sessions with student-athletes from the coach's team during any institutional vacation period and/or summer, provided the request for such assistance is initiated by the student-athlete.	No position; the committee noted: (1): The lack of health and safety data to support the proposal; and (2) The increased time demands the proposal may create on student-athletes.

2019-79	PLAYING AND PRACTICE SEASONS -- SOCCER AND WOMEN'S VOLLEYBALL -- VACATION-PERIOD AND SUMMER WORKOUT SESSIONS INITIATED BY STUDENT-ATHLETE	In soccer and women's volleyball, to specify that a coach may participate in an individual-workout session with a student-athlete from the coach's team during an institutional vacation period and/or the summer, provided the request for such assistance is initiated by the student-athlete.	No position; the committee noted: (1) The lack of health and safety data to support the proposal; and (2) The increased time demands on student-athlete.
2019-81	PLAYING AND PRACTICE SEASONS -- SUMMER ATHLETIC ACTIVITIES -- SOCCER AND WOMEN'S VOLLEYBALL	In soccer and women's volleyball, to permit a student-athlete who is enrolled in summer school (or meets an exception to enrollment) to engage in required weight-training, conditioning and skill-related instruction for up to four consecutive weeks before preseason practice begins; further, to specify that participation in such activities shall be limited to three days per week and a maximum of eight hours per week with not more than four hours per week spent on skill-related instruction, as specified.	Table; specifically, the committee noted its Subcommittee on Prevention and Performance is actively reviewing health and safety issues related to acclimatization and transition periods, including the preseason.
2019-86	PLAYING AND PRACTICE SEASONS -- FOOTBALL -- FIRST CONTEST AND NUMBER OF CONTESTS EXEMPTION -- JOINT PRACTICE SESSION WITH FOUR-YEAR INSTITUTION	In football, to permit a joint practice session to occur after the five-day acclimatization period and before the first permissible contest, as specified; further, to specify that the joint practice session is exempted from the maximum number of football contests.	Oppose; the committee noted the proposal may increase opportunity for injury to student-athletes.

2019-87	PLAYING AND PRACTICE SEASONS -- FOOTBALL -- FIRST CONTEST -- EXCEPTION FOR A REGULAR-SEASON CONTEST IN A FOREIGN COUNTRY	To specify that an institution that is scheduled to play a regular-season game in a foreign country may play its first permissible contest with outside competition on the Saturday prior to the Thursday preceding Labor Day; further, to specify that an institution that uses this exception must provide its student-athletes with five additional days off from all countable athletically related activities during the institution's declared playing season.	No position; the committee noted that requiring five additional days off does mitigate some impact of increased time demands.
2019-88	PLAYING AND PRACTICE SEASONS -- MEN'S LACROSSE -- FIRST CONTEST -- EXCEPTION - - PRESEASON SCRIMMAGES/EXHIBITION GAMES	In men's lacrosse, to specify that: (1) An institution shall not commence practice sessions in the championship segment prior to January 7; (2) An institution shall not engage in its first competition (game or scrimmage) in the championship segment prior to the Saturday that is 15 weeks before the Saturday immediately preceding the NCAA Division I Men's Lacrosse Championship game; and (3) An institution may play up to three lacrosse scrimmages or exhibition games prior to the first scheduled regular-season contest, provided they are conducted during the institution's declared playing season and are counted against the maximum number of contests.	No position.

2019-89	PLAYING AND PRACTICE SEASONS -- MEN'S SOCCER -- FIRST CONTEST OR DATE OF COMPETITION -- THURSDAY BEFORE 12TH WEEKEND BEFORE CHAMPIONSHIP	In men's soccer, to specify that an institution shall not play its first contest or engage in its first date of competition (game) with outside competition before the Thursday before the 12th weekend before the start of the NCAA Division I Men's Soccer Championship.	No position.
2019-90	PLAYING AND PRACTICE SEASONS -- MEN'S SOCCER -- ACADEMIC YEAR PLAYING AND PRACTICE SEASON MODEL	In men's soccer, to modify the playing and practice season, as specified.	<p>No position.</p> <p>The committee recommended the sponsors consider the following: (1) Identify the minimal amount of time that should be required between competitions. Current wording could be misinterpreted to allow back-to-back games; (2) Reconfiguration of the preseason should be part of this effort, but the guidance is not yet available; (3) Attention should be given to ensure that time salvaged from a reconfiguration of the season is not lost to increased frequency, volume and/or intensity of practice; and (4) The burden on athletics health care providers, especially athletic trainers, should be considered.</p>

2019-92	PLAYING AND PRACTICE SEASONS -- WOMEN'S VOLLEYBALL -- NUMBER OF CONTESTS AND DATES OF COMPETITION -- 32 CONTESTS IN CHAMPIONSHIP SEGMENT	In women's volleyball, to specify that an institution shall limit its total playing schedule with outside competition during the playing season to 32 contests during the segment in which the NCAA championship is conducted, as specified.	No position; the committee noted support for moving from a date of competition model to a total contests model but indicated no preference between Proposal No. 2019-92 and 2019-93.
2019-93	PLAYING AND PRACTICE SEASONS -- WOMEN'S VOLLEYBALL -- NUMBER OF CONTESTS AND DATES OF COMPETITION -- 30 CONTESTS IN CHAMPIONSHIP SEGMENT	In women's volleyball, to specify that an institution shall limit its total playing schedule with outside competition during the playing season to 30 contests during the segment in which the NCAA championship is conducted, as specified.	No position: the committee noted support for moving from a date of competition model to a total contests model but indicated no preference between Proposal No. 2019-92 and 2019-93.
2019-95	PLAYING AND PRACTICE SEASONS -- COUNTABLE ATHLETICALLY RELATED ACTIVITIES AFTER COMPETITION -- EXCEPTION -- TENNIS	In tennis, to specify that up to one hour of on-court practice activities (which may not include conditioning, strength training or film review) may be conducted after competition on the same day as the competition, provided: (a) Competition is scheduled to occur on consecutive days against different opponents at different sites; and (b) The on-court activities occur at the site where competition is scheduled to occur the next day.	No position; the committee noted there is no health and safety component to the proposal

2019-121	PLAYING AND PRACTICE SEASONS--TIME LIMITS FOR ATHLETICALLY RELATED ACTIVITIES--EXCEPTIONS--INDIVIDUAL	To specify that a student-athlete who has been designated by the U.S. Olympic and Paralympic Committee and the sport-affiliated national governing body (or the international equivalent) as an elite athlete may participate in an individual workout session conducted by a coaching staff member without such activity being considered countable athletically related activity, provided the student-athlete initiates the request to participate in the workout session and does not miss class.	No position.
2019-126	PLAYING AND PRACTICE SEASONS -- WATER POLO -- NUMBER OF DATES OF COMPETITION -- FOUR DATES OF COMPETITION DURING NONCHAMPIONSHIP SEGMENT	In water polo, to specify that an institution shall limit its total playing schedule with outside competition during the playing season to 21 dates of competition during the segment in which the NCAA championship is conducted and four dates of competition during the non-championship segment.	No position; the committee noted potential time demand concerns with adding four additional dates of competition.
2019-104	NCAA MEMBERSHIP -- CONDITIONS AND OBLIGATIONS OF MEMBERSHIP -- STUDENT-ATHLETE HEALTH AND SAFETY SURVEY -- PENALTY FOR FAILURE TO COMPLETE	To specify that failure to complete the student-athlete health and safety survey shall subject an institution to a penalty pursuant to a penalty structure and timeline maintained by the Strategic Vision and Planning Committee.	Support.



REPORT OF THE
NCAA COMMITTEE ON WOMEN'S ATHLETICS
FEBRUARY 11, 2020, TELECONFERENCE

KEY ITEMS.

- **NCAA Emerging Sports for Women application.** The NCAA Committee on Women's Athletics determined that the application to add STUNT as an emerging sport for women meets the objective requirements outlined in the NCAA Emerging Sports for Women Process Guide. The committee will invite STUNT leaders to engage in further discussion during the committee's April 15-16 meeting.

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

1. **Welcome and announcements.** Committee chair Denise Udelhofen welcomed committee members and staff, including the following new members: Kellianne Milliner, Abigail Newkirk and Emma Svagdis.
2. **Review and approve September 11-12, 2019, Committee on Women's Athletics meeting report.** The committee reviewed and approved the report of its September 11-12, 2019, meeting.
3. **NCAA Board of Governors Committee to Promote Cultural Diversity and Equity update.** Staff provided an update on the upcoming Committee to Promote Cultural Diversity and Equity teleconference. During the teleconference, 3 Fold Group consultants will provide an update on a project sponsored by the NCAA office of inclusion to analyze the NCAA committee member appointment and training processes relative to the ability to produce diverse and representative committees and committee members that feel prepared to begin committee work. Areas of analysis for the project included committee appointment processes, composition requirements and demographics. A report on key findings and possible next steps will be completed in November.
4. **NCAA Gender Equity Task Force update.** Staff provided an update on the Gender Equity Task Force's upcoming February teleconference. During the teleconference, the task force will review gender equity issues related to recent name, image and likeness discussions.
5. **Process to review NCAA Emerging Sports for Women Applications.** The committee discussed the purpose of the NCAA Emerging Sports for Women Program, which is to grow meaningful intercollegiate sport participation opportunities for female student-athletes in sports that have the potential to reach the required number of varsity teams to be considered for NCAA championship status.

- 6. NCAA Policy on Transgender Student-Athlete Participation update.** Staff provided an update on the ongoing review by various committees of the NCAA Transgender Student-Athlete Participation Policy adopted by the Board of Governors in 2011. The purpose of the review is to determine what, if any, changes to the policy are needed to ensure the policy upholds the NCAA's values of fairness and inclusion. The NCAA Committee on Competitive Safeguards and Medical Aspects of Sports reviewed and discussed the policy in December. The NCAA Committee on Women's Athletics, the NCAA Minority Opportunities and Interests Committee and the CPCDE will review and discuss the policy at their respective April meetings. The GETF also will discuss the policy during its next teleconference or in-person meeting.
- 7. Intercollegiate Field Hockey Stakeholders Summit.** Staff provided an update on the Intercollegiate Field Hockey Stakeholders Summit hosted by USA Field Hockey and the United States Olympic and Paralympic Committee. The summit was part of a larger project to provide strategic guidance to inform a plan that unites stakeholders to sustain and strengthen existing collegiate programs, and to identify action items to help stimulate growth of the collegiate game.
- 8. Optimization of Senior Woman Administrator update.** Staff provided an update on the ongoing initiatives to optimize the senior woman administrator designation. Specifically, staff noted that there are new division-specific resources available on ncaa.org. Further, a working group recently has been established to develop resources for women of color SWAs. The working group comprises members of CWA, MOIC and the Minority Opportunities Athletics Association.
- 9. Name, image and likeness update.** Staff provided informational resources related to recent name, image and likeness discussions. The CWA and MOIC will discuss equity, diversity and inclusion factors associated with name, image and likeness at the April joint meeting.
- 10. 2019 NCAA Diversity and Inclusion Social Media Campaign.** The committee received an update on the 2019 NCAA Diversity and Inclusion Social Media Campaign. The 2019 campaign reached 78 million people, which was a 20% increase from 2018. Resources will be provided to institutions to assist with the continuation of open dialogue surrounding diversity and inclusion.
- 11. Update from 2020 NCAA Convention.**

 - a. NCAA Emerging Sports for Women.** Staff provided an update on the various emerging sports for women programs and meetings held at the 2020 NCAA Convention. Staff also provided a legislative update on proposals involving emerging sports for women. Specifically, Divisions II and III adopted proposals to add acrobatics and tumbling and women's wrestling. The Division I Council will vote on the proposals to add acrobatics and tumbling and women's wrestling at their April meeting. Division III also considered,

and narrowly defeated, a proposal to add equestrian as an emerging sport. All emerging sport proposals have an August 1, 2020 effective date.

b. Athletics Diversity and Inclusion Designation. All three divisions adopted legislation to establish an athletics diversity and inclusion designation, with an August 1, 2020 effective date.

c. NCAA and Minority Opportunities Athletics Association Award for Diversity and Inclusion. Staff provided an update on the eighth annual NCAA/MOAA Award for Diversity and Inclusion. The University of Oregon was the 2020 award recipient. The following institutions received honorable mentions: University of Nebraska, Loras College and Queens University of Charlotte.

12. Review of Minority Opportunities and Interests Committee report. The committee reviewed the MOIC September 11-12, 2019, meeting report.

13. Review Committee on Women's Athletics and Minority Opportunities and Interests Committee joint report. The committee reviewed the CWA and MOIC joint September 11-12, 2019, meeting report.

14. Future meetings. The committee reviewed its future meeting schedule.

a. April 15-16, 2020, in conjunction with the 2020 NCAA Inclusion Forum in Denver.

b. September 14-15, 2020, in Indianapolis.

Report of the NCAA Committee on Women's Athletics

February 11, 2020, Teleconference

Page No. 4

Committee Chair: Denise Udelhofen, Loras College

Staff Liaisons: Jan Gentry, Championships and Alliances

Jean Merrill, Office of Inclusion

Karen Metzger, Academic and Membership Affairs

Shay Wallach, Office of Inclusion

NCAA Committee on Women's Athletics February 11, 2020, Teleconference	
Attendees:	
Renee Bostic, Notre Dame of Maryland University.	
Jason Doviak, Alfred State College.	
John Kietzmann, Metropolitan State University of Denver.	
David B. Kuhlmeier, Valdosta State University.	
Marc Johnson, University of Nevada, Reno.	
Valencia Jordan, Tennessee State University.	
Monica M. Lebron, Tulane University.	
Grace McGuire, Utah State University.	
Suzette McQueen, Central Intercollegiate Athletic Association.	
Miriam G. Merrill, Hamilton College.	
Kellianne Milliner, West Chester University.	
Robert Nelson, California State University, Sacramento.	
Donna Price Henry, University of Virginia's College at Wise.	
Renie Shields, Saint Joseph's University.	
Emma Svagdis, Azusa Pacific University.	
Denise Udelhofen, Loras College.	
Absentees:	
Marjorie Hass, Rhodes College.	
Abigail Newkirk, Bluffton University.	
NCAA Staff Liaison (or Staff Support if subcommittee) in Attendance:	
Jan Gentry, Jean Merrill, Karen Metzger and Shay Wallach.	
Other NCAA Staff Members in Attendance:	
Niya Blair Hackworth, Sarah Heberd, Craig Malveaux, Hannah Orbach-Mandel and Amy Wilson.	



REPORT OF THE
NCAA MINORITY OPPORTUNITIES AND INTERESTS COMMITTEE
FEBRUARY 26, 2020, TELECONFERENCE

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

1. **Welcome and announcements.** Committee Chair José Rodriguez welcomed the committee members and staff, including the following new members: Cheryl Aaron, Carrie Michaels and Micaiah Page.
2. **Review and approve the NCAA Minority Opportunities and Interests Committee September 11-12, 2019, meeting report.** The committee reviewed and approved the report of its September 11-12, 2019, meeting.
3. **Review of NCAA Committee on Women's Athletics September 11-12, 2019, meeting report.** The committee reviewed the CWA September 11-12, 2019, meeting report.
4. **Review of CWA and MOIC September 12, 2019, joint meeting report.** The committee reviewed the CWA and MOIC joint September 11-12, 2019, report.
5. **NCAA Board of Governors Committee to Promote Cultural Diversity and Equity update.** Staff provided an update on the Committee to Promote Cultural Diversity and Equity February 24, teleconference. During the teleconference, 3Fold Group consultants updated CPCDE on a project sponsored by the NCAA office of inclusion. The project is an analysis of the NCAA committee member appointment and training processes relative to the ability to produce diverse and representative committees and produce committee members that feel prepared to begin committee work. Areas of analysis for the project include committee appointment processes, composition requirements and demographics. A report on key findings and possible next steps will be completed in November.
6. **NCAA Gender Equity Task Force update.** Staff provided an update on the NCAA Gender Equity Task Force's February 12, teleconference. During the teleconference, the task force identified as focus areas its recommendations to: 1) Develop best practices and examples for how campuses and conferences can provide professional development programming that highlights career options in athletics for women and ethnic minorities; and 2) Support the efforts of the NCAA office of inclusion to sponsor a meeting of community thought leaders to discuss significant diversity, equity and inclusion issues.

The task force also reviewed gender equity issues related to the recent direction by the BOG to modernize name, image and likeness and discussed its willingness to assist with the ongoing efforts related to the topic.

- 7. Optimization of the Senior Woman Administrator update.** Staff provided an update on the ongoing initiatives to optimize the senior woman administrator designation. Specifically, staff noted that there are new division-specific resources available on ncaa.org. Further, a working group has been established to develop resources for women of color SWAs. The working group comprises members of CWA, MOIC and the Minority Opportunities Athletics Association.
- 8. 2020 NCAA Convention updates.**

 - a. Athletics Diversity and Inclusion Designation legislative proposal updates and discussion.** All three divisions adopted legislation to establish an athletics diversity and inclusion designation with an August 1, 2020 effective date. The committee discussed next steps to advance the designation throughout the Association, including developing educational resources to assist the membership.
 - b. NCAA and Minority Opportunities and Athletics Association Award for Diversity and Inclusion.** Staff provided an update on the eighth annual NCAA/MOAA Award of Diversity and Inclusion. The University of Oregon was the 2020 award recipient. The following institutions received honorable mentions: The University of Nebraska-Lincoln, Queens University of Charlotte and Loras College.
 - c. NCAA Emerging Sports for Women.** Staff updated the committee on the various Emerging Sports for Women Program meetings held at the 2020 NCAA Convention. Staff also provided a legislative update on proposals involving emerging sports for women. Specifically, Divisions II and III adopted proposals to add acrobatics and tumbling and women's wrestling. The Division I Council will vote on the proposals to add acrobatics and tumbling and women's wrestling at its April meeting. Division III also considered, and narrowly defeated, a proposal to add equestrian as an emerging sport. All emerging sport proposals have an August 1, 2020 effective date.
- 9. 2019 social media campaign recap.** The committee received a recap of the 2019 NCAA Diversity and Inclusion Social Media campaign that included data collected and compiled by the NCAA communications department. The campaign reached over 78 million people, which was a 20% increase from the previous year's campaign.

The committee identified ways to continue growing the campaign, including adjusting the length of the campaign and developing and disseminating additional resources to member institutions to assist in the continuation of open dialogue surrounding equity, diversity and inclusion. The committee will review a draft of the 2020 campaign at its April meeting.
- 10. NCAA Champion of Diversity and Inclusion honoree discussion.** The committee received an overview of the Champion of Diversity and Inclusion award, including information about

its purpose and the selection process. The subcommittee will provide additional guidelines for the award at the April meeting.

- 11. Discussion of current issues and impact on intercollegiate athletics.** The committee discussed current national, campus and conference matters related to the mission and duties of the MOIC. Specifically, the committee discussed the hiring process for female coaches particularly for women's athletics teams and the barriers prospective candidates experience, including the lack of infrastructure to support them and their families.

The committee also discussed ways institutions can leverage existing channels to expand their searches and bring in a diverse pool of applicants. Lastly, the committee discussed ways that they could connect this current issue to the NCAA Presidential Pledge and the athletics diversity and inclusion designation initiatives.

- 12. Name, image and likeness discussion.** Staff provided informational resources related to recent name, image and likeness discussions. The CWA and MOIC will discuss equity, diversity and inclusion factors associated with name, image and likeness at the April joint meeting.

- 13. NCAA Ethnic Minority Scholarship selection committee.** The committee received an overview of the Ethnic Minority Scholarship, including information about its purpose and the selection process. The selection committee will meet in April during the CWA and MOIC committee meetings in Denver.

- 14. 2020 NCAA Inclusion Forum.** Staff informed the committee of the 2020 NCAA Inclusion Forum April 17-19 in Denver.

- 15. Future meeting dates.** The committee reviewed its future meeting schedule.

- a. April 15-16, 2020, teleconference.
- b. September 14-15, 2020, Indianapolis.

Committee Chair: Jose Rodriguez, Cabrini University
Staff Liaisons: Sahar Abdur-Rashid, Championships in Alliances
Michael Bazemore, Academic and Membership Affairs
Niya Blair Hackworth, Office of Inclusion

NCAA Minority Opportunities and Interests Committee February 26, 2020, Teleconference	
Attendees:	
Cheryl Aaron, Wentworth Institute of Technology.	
Alisse Ali-Joseph, Northern Arizona University.	
Mark Brown, Pace University.	
Roy Brown, III, University of Illinois at Springfield.	
Soraya Coley, California State Polytechnic University, Pomona.	
Marquette Dickens, College of Saint Elizabeth	
Anthony Francois, John Jay College of Criminal Justice.	
Dena Freeman-Patton, University of New Orleans.	
Tony Gaskew, University of Pittsburgh, Bradford.	
Kenneth Gormley, Duquesne University.	
Ahleasha McNeal, Lincoln Memorial University.	
Carrie Michaels, Shippensburg University.	
Chaunte O'Neil, University of Miami.	
Micaiah Page, Morehouse College.	
José Rodriguez, Cabrini University.	
Alisa White, Austin Peay State University.	
Absentees:	
Khadejah Jackson, University of Oregon.	
Guests in Attendance:	
None.	
NCAA Staff Liaisons in Attendance:	
Sahar Abdur-Rashid, Michael Bazemore and Niya Blair Hackworth.	
Other NCAA Staff Members in Attendance:	
Craig Malveaux, Jean Merrill and Amy Wilson.	



REPORT OF THE
NCAA OLYMPIC SPORTS LIAISON COMMITTEE
MARCH 2, 2020, TELECONFERENCE

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

1. **December 4, 2019, teleconference report.** The NCAA Olympic Sports Liaison Committee approved the report as presented.
2. **Discussion with representatives of national governing bodies.** To foster more purposeful dialogue with national governing bodies with a focus on collegiate athletics, the committee met with representatives from field hockey, swimming and triathlon. Representatives addressed points of emphasis for collegiate and precollegiate elite-level athletes as part of development pipelines and suggested possible ways for the committee to engage with and assist their efforts, including the following:
 - Identify ways for campus athletics administrators and NGBs to work together on coach development;
 - Develop ideas for better cultivating NCAA sponsorship and leveraging conference structure base by sport;
 - Provide clarity on issues and questions concerning prize money and legitimate expense offsets (e.g., training); and
 - Consider possible collaboration on areas of developing need for elite-level athletes, including mental health support.
3. **Overview of 2019-20 legislative cycle outcomes to date.** NCAA staff provided an update on 2019-20 legislative proposals for all three divisions, including those developed by the United States Olympic & Paralympic Committee Collegiate Advisory Council. Further, NCAA staff provided an overview of allowable training expenses for elite athletes.
4. **Other business.** The committee affirmed its quarterly teleconference schedule; the next call will take place in June 2020.

Committee Chair: James Siedliski, American Athletic Conference
Staff Liaisons: Alex Smith, Academic and Membership Affairs
Liz Turner Suscha, Championships and Alliance

NCAA Olympic Sports Liaison Committee March 2, 2020, Teleconference	
Attendees:	
John Michael Etheridge, Kentucky State University.	
Bruce Gillman, Vassar College.	
Charles Guthrie, University of Wisconsin-Green Bay.	
Jill Hollembeak, DePaul University.	
Elizabeth Jarnigan, Southern Illinois University at Carbondale.	
Michelle Morgan, John Carroll University.	
Korinth Patterson, Mid-American Conference.	
Rosemary Shea, College of the Holy Cross.	
James Siedliski, American Athletic Conference.	
Steven Winter, Sonoma State University.	
Absentees:	
Mikayla Costello, Willamette University.	
Sarah Fraser, Quinnipiac University.	
Khadejah Jackson, University of Oregon.	
Craig McPhail, Lees-McRae College.	
Guests in Attendance:	
Sally Goggin, USA Field Hockey; Stacy Michael-Miller, USA Swimming; Mike Unger, USA Swimming; Jess Welk, USA Triathlon; and Tim Yount, USA Triathlon.	
NCAA Staff Liaisons in Attendance:	
Alex Smith and Liz Turner Suscha.	
Other NCAA Staff Members in Attendance:	
None.	



**REPORT OF THE
NCAA PLAYING RULES OVERSIGHT PANEL
JANUARY 22, 2020, MEETING**

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

- 1. Welcome and opening remarks.** The chair welcomed the panel, including the three new committee members (Steve Card, Keith Gill and David Hicks), and had all attendees introduce themselves. The schedule was reviewed.
- 2. Approval of September 11, 2019, teleconference report and November 14 and November 26, 2019, email vote reports.** The panel approved the reports as written.
- 3. Review of the NCAA Playing Rules Oversight Panel policies and procedures manual.**
 - a. Duties.** The panel reviewed the list of duties, noting the specific responsibilities of reviewing rule recommendations pertaining to safety, finances and image of the game. The panel was reminded of the importance of reviewing rules proposals from a big picture perspective.
 - b. Conflict of interest.** The panel also reviewed the NCAA Conflict of Interest Statement.
 - c. Review of PROP February 2014 directive regarding financial/facility rules changes.** The panel reviewed the February 21, 2014, memorandum that PROP sent to all NCAA playing rules committees directing the committees to strongly consider providing at least a one-year delay before implementing any rules changes that have financial or facility implications to provide institutions with notice and the opportunity to comply.
- 4. Review of significant, historical PROP actions.** The panel reviewed a document summarizing significant actions the panel has taken since 2003.
- 5. Review of summary of waivers issued by rules committees.** The panel reviewed a document summarizing the waivers (e.g., equipment, uniforms, accommodations for student-athletes with disabilities) approved during the 2018-19 academic year as well as a chart listing the waivers for student-athletes with disabilities approved between 2013-2019.
- 6. Report from NCAA General Counsel.** NCAA general counsel updated the panel on several NCAA-related legal issues and provided an overview of the process for reviewing and considering accommodation requests.

7. **Report from the NCAA Sports Science Institute.** The panel received an update from the managing director of the Sports Science Institute staff, including a discussion about the challenges that were encountered this past year during the approval process and implementation of a recent rule change relative to a chest protector device for the sports of men's lacrosse, baseball and women's lacrosse. All agreed that to enhance the timeliness and effectiveness of implementing a playing rule that is health and safety related, continued communication and collaboration between the NCAA Committee on Competitive Safeguards and Medical Aspects of Sports and the applicable playing rules committee is important.
8. **Update on review of selected sports without NCAA playing rules committees.** The panel received an update from staff on its request from the 2019 annual meeting that the sports of field hockey, tennis and men's volleyball explore whether these sports would benefit from having either an NCAA playing rules book and/or an NCAA playing rules committee. Regarding tennis, the Divisions I, II and III Men's and Women's Tennis Committees agreed to appoint an eight-member Men's and Women's Tennis Subcommittee (from within the existing sport committees) to oversee the playing rules modifications for all three divisions. This was approved by the respective championships committees, effective January 1, 2020. In field hockey, during the February 2019 joint meeting of the divisional field hockey committees there was a preliminary discussion of this issue. The committees will continue the discussion during the annual meeting on February 4, 2020. In men's volleyball, the National Collegiate and NCAA Division III Men's Volleyball Committees agreed to maintain the current rules process of using the USA Volleyball and International Volleyball Federation rules as a guide to maintain the sport's connection to Olympic and international play.
9. **NCAA bylaw requiring same playing rules for all three divisions.** Staff noted that the executive directors of various coaches associations conducted a call with staff recently to express an interest in altering NCAA Bylaw 21.3.1.3, which requires playing rules to be the same for all three divisions (except for division-specific playing rules developed to address significant financial impact and approved by PROP and each division). It was noted that in recent years various playing rules committees had discussed the concept of allowing different rules by division (e.g., some Division III members having expressed an interest in allowing more liberal substitution rules in various sports to allow for more student-athlete participation). The panel reaffirmed its support for the current bylaw primarily for three reasons: a) preventing officials from having to learn more than one set of rules; b) preserving opportunities for competition between divisions, and c) avoiding potential confusion for fans.

The panel noted that additional discussion may be warranted in determining if certain playing rules might be considered "administrative" in nature (e.g., the length of halftime) and therefore, permissible by division when appropriate. The panel also encouraged playing rules committees to continue to look for ways to make rules "permissive in nature" in order to allow for flexibility when appropriate (e.g., the use of video review is permitted in several different sports, but not required in any sport).

10. Planning for 2020. The panel reviewed the 2020 teleconference schedule, the playing rules committees' annual meeting dates and the sport assignments for each panel member.

11. Other business. The panel reviewed the list of Division I nominees to replace Chris Schneider (when his term on the panel ends in August 2020) and identified two nominees to recommend to the NCAA Division I Nominating Committee.

Committee Chair: Jeff Hurd, Western Athletic Conference

Staff Liaisons: Ben Brownlee, Championships and Alliances, Playing Rules and Officiating
Dan Calandro, Championships and Alliances, Playing Rules and Officiating
Jay Fitzwater, Championships and Alliances, Playing Rules and Officiating
Ashlee Follis, Championships and Alliances, Playing Rules and Officiating
Barb Hallam, Championships and Alliances, Playing Rules and Officiating
Ty Halpin, Championships and Alliances, Playing Rules and Officiating
Rachel Seewald, Championships and Alliances, Playing Rules and Officiating
Andy Supergan, Championships and Alliances, Playing Rules and Officiating

Playing Rules Oversight Panel January 22, 2020, Meeting	
Attendees:	
Steve Card, Western Washington University.	
Keith Gill, Sun Belt Conference.	
David Hicks, King University.	
Jeff Hurd, Western Athletic Conference.	
Dave Roach, Fordham University.	
Chris Schneider, Big East Conference.	
Ronda Seagraves, Concordia University Texas.	
Angie Torain, University of Notre Dame.	
Gary Williams, Wittenberg University.	
Matt Wilson, Gulf South Conference.	
Absentees:	
Brad Bankston, Old Dominion Athletic Conference.	
Jen Heppel, Patriot League.	
NCAA Staff Liaisons in Attendance:	
Ben Brownlee, Dan Calandro, Jay Fitzwater, Ashlee Follis, Barb Hallam, Rachel Seewald and Andy Supergan.	
Other NCAA Staff Members in Attendance:	
Anthony Holman, Greg Johnson, Candace Martin (by teleconference), John Parsons and Jared Tidemann.	

**FUTURE PLAYING RULES CHANGES WITH A POTENTIAL FINANCIAL IMPACT
UPDATED APRIL 17, 2020**

Purpose: To allow the Division I Competition Oversight Committee (DI COC), the Division II Management Council (DII MC) and/or the Division III Management Council (DIII MC) the opportunity to provide a recommendation to the Playing Rules Oversight Panel (PROP) regarding the implementation date of one or more of the 11 playing rules changes with a financial impact that were previously approved for implementation during the 2020-21 or 2021-22 academic years.

Background:

- Below is a chart listing the 11 playing rules changes (across seven different sports) with a financial impact that are scheduled to go into effect during either the 2020-21 or 2021-22 academic years.
- As the result of financial challenges that institutions are experiencing from COVID-19, various Divisions I, II and III members have expressed interest in possibly delaying the implementation of one or more of these playing rules.
- Most of the concerns have focused on the rules changes to move the three-point line for Divisions II and III men's basketball (No. 3) and to require regular-season bat testing for Divisions II and III baseball (No. 1).
- Division I members also have expressed concern about the implementation of the rules that pertain to Division I (Nos. 5, 6, 7, 8, 9, 10 and 11).
- In advance of PROP's April 29 teleconference to address this matter, PROP requests feedback from the DI COC, DII MC and DIII MC.

General information:

- The playing rules committees with a playing rule scheduled to be implemented within the next two years recently met to review this issue.
- In general, the committees agreed that Nos. 2, 6, 7 and 10 should continue to be implemented during the 2020-21 academic year since these were recommended by the CSMAS and are health and safety related.
- Committee recommendations are listed in **bold** in the last column.

No.	Sport	Year Effective	Year Approved	Estimated Cost	Rules Change
1	Baseball	2019-20 for DI Delayed until 2020-21 for DII and DIII	Summer 2017	Approximate cost is \$1,500. The compression testing device costs \$1,350 and the bat ring costs \$100. This is not an annual cost. The device includes a hard-shell protective carrying case.	Beginning with the 2021 season for Division II and Division III institutions, require that bat barrel compression testing be conducted prior to each regular season series or single date of competition. All bats used in competition must pass the barrel ring test and barrel compression testing. <ul style="list-style-type: none"> • Recommends delaying the implementation of the required bat compression testing and purchase of the testing device until the 2022 season, but requiring a visual inspection of the bat to check for cracks, dents, etc. for the 2021 season.*
2	Baseball	2020-21	Summer 2019	Prices range from \$65 to \$250 for chest protectors with new certification.	Beginning January 1, 2021, all catcher's chest protectors must bear the manufacturer's certification indicating satisfaction of NOCSAE and SEI testing standards to protect against commotio cordis. <ul style="list-style-type: none"> • CSMAS recommendation
3	Men's Basketball	2019-20 for DI Delayed until 2020-21 for DII and DIII	Summer 2019	Minimal expense since temporary lines (e.g., temporary washable painted or taped lines) are permissible if an institution is unable to paint the lines (due to budget or facility-access issues).	Beginning with the 2020-21 season for Divisions II and III institutions, increase the distance of the three-point line from 20'9" to 22' 1 and 3/4" at the top of the key and to 21' 7 and 7/8" in the corners. <ul style="list-style-type: none"> • Recommends the rule be implemented for the 2020-21 season, but understands if the date needs to be delayed for one year.*

No.	Sport	Year Effective	Year Approved	Estimated Cost	Rules Change
4	Swimming and Diving	2019-20 for DI Delayed until 2020-21 for DII and DIII	Summer 2019	Potential budget impact depending on the number of officials currently being utilized. The financial impact will depend on the number of meets hosted by the institution and the fee being paid to the official.	Beginning with the 2020-21 season for Divisions II and Division III institutions, the number of recommended officials will change to a required number of officials. For dual, double-dual, triangular and quadrangular meets, the required number will be two. For championship and invitational competition, the required number of officials will be four. <ul style="list-style-type: none"> • Recommends delaying the implementation date for one year.*
5	Men's Lacrosse	2020-21	Summer 2018	Since shot clocks are currently required, in most cases, there will not be a budget impact. Institutions that utilize only one-shot clock at the middle of the field will incur some costs.	Beginning with the 2021 season, all institutions must have two visible shot clocks with the ability to set/reset the clocks to two different times. <ul style="list-style-type: none"> • Recommends the rule be implemented for the 2021 season, but understands if the date needs to be delayed for one year.
6	Men's Lacrosse	2020-21	Summer 2019	\$100-200 per player.	Beginning with the 2021 season, all goalkeepers must use chest protectors designed for lacrosse certified to the NOCSAE commotio cordis protective device standard at the time of manufacture, or they must wear 7an alternative protective device certified to the NOCSAE commotio cordis protective device standard at the time of manufacture. <ul style="list-style-type: none"> • CSMAS recommendation

No.	Sport	Year Effective	Year Approved	Estimated Cost	Rules Change
7	Women's Lacrosse	2020-21	Summer 2019	\$150-\$200 per player. This is only required for goalkeepers.	Beginning January 1, 2021, goalkeepers will be required to wear chest protectors that meet the NOCSAE standard and contain the SEI certification mark. <ul style="list-style-type: none"> • CSMAS recommendation
8	Softball	2020-21 for DI 2021-22 for DII and DIII	Summer 2019	The softball barrel compression testing machine costs \$875. This is not an annual expense.	Beginning January 1, 2021, for Division I and January 1, 2022 for Divisions II and III, require barrel compression testing to be conducted according to accepted protocols at a minimum prior to the start of each tournament, series, doubleheader, or single midweek game during the regular season. <ul style="list-style-type: none"> • Recommends delaying implementation for Division I until the 2022 season; however, the committee tabled any type of recommendation for Divisions II and III until further discussion with the membership can occur.*
9	Outdoor Track and Field	2020-21	Summer 2018		Beginning January 1, 2021, all newly constructed or resurfaced outdoor tracks shall be surveyed for a curb and shall have a regulation curb in place for competition. <ul style="list-style-type: none"> • Recommends continuing with the 2021 implementation date since it only applies to new construction and tracks being resurfaced.

No.	Sport	Year Effective	Year Approved	Estimated Cost	Rules Change
10	Men's Lacrosse	2021-22	Summer 2019	\$100-200 per player.	Beginning with the 2022 season, all field players must wear shoulder pads protectors certified to the NOCSAE commotio cordis protective device standard at the time of manufacture, or they must wear an alternative protective device certified to the NOCSAE commotio cordis protective device standard at the time of manufacture. • CSMAS recommendation
11	Women's Lacrosse	2021-22	Summer 2017	Minimal, since most institutions replace jerseys at least once every five years.	Beginning January 1, 2022, the jersey number must be of a color that is clearly in distinct contrast with the color of the jersey, irrespective of any border around the number. • Recommends the rule be implemented for the 2022 season, but understands if the date needs to be delayed for one year.

*Additional information:

- No. 1 (Baseball – bat compression testing): In an informal survey, Divisions II and III commissioners support this delay.
- No. 3 (Men's Basketball – distance of the three-point line): In an informal survey, approximately 25% of Divisions II and III institutions have the new three-point line down; however, many of the schools that do not have the line down expressed support for delaying the implementation. Further, a majority of conferences support a delay. It should be noted, however, that for institutions that do not have the line down yet, temporary lines could be added at a minimal cost (e.g., temporary washable painted or taped lines) if an institution is unable to paint the lines due to budget or facility-access issues.
- No. 4 (Swimming and Diving – required number of officials): Many coaches have been asked to reduce budgets and many institutions already use the proposed required number of officials.
- No. 8 (Softball – barrel compression testing): It was noted that most of Division I already requires regular-season barrel compressing testing, but the committee acknowledged that giving a one-year delay may provide some relief to any institution not currently testing.



**REPORT OF THE
NCAA POSTGRADUATE SCHOLARSHIP COMMITTEE
FEBRUARY 20-21, 2020, MEETING**

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

1. **Report of the NCAA Postgraduate Scholarship Committee.** The committee approved the report of its July 10-11, 2019, meeting.
2. **Review Certificate of Enrollment Form.** The committee restructured the Certificate of Enrollment Form, which certifies whether the scholarship recipient's enrollment in a graduate program is full-or part-time. The committee approved a change to send the scholarship checks directly to the recipients' schools.
3. **Review of scholarship applications.** The committee reviewed 94 winter sport nominations (39 men and 55 women). The committee awarded 21 postgraduate scholarships for men's sports and 21 postgraduate scholarships for women's sports.

Committee Chair: Julie Partridge, Southern Illinois University at Carbondale

Staff Liaison: Lori Thomas, Administrative Services

NCAA Postgraduate Scholarship Committee February 20-21, 2020, Meeting	
Attendees:	
Lissa Broome, University of North Carolina, Chapel Hill.	
Cheryl Aaron, Wentworth Institute of Technology.	
Bernadette Cafarelli, American Athletic Conference.	
Joshua Doody, Notre Dame de Namur University.	
Jameica Hill, Wofford College.	
Julie Partridge, Southern Illinois University at Carbondale.	
Absentees:	
Caitlin Schwehofer, Rutgers, The State University of New Jersey, New Brunswick.	
Guests in Attendance:	
None.	
NCAA Staff Liaison in Attendance:	
Lori Thomas.	
Other NCAA Staff Members in Attendance:	
None.	



April 2020 NCAA Division III Management Council Meeting
Noncontroversial Legislation for 2021 NCAA Convention

Noncontroversial Proposals. These proposals are considered noncontroversial and necessary in the normal and orderly administration of the Association's legislation. They become effective when approved in legislative format by a three-fourths majority of the NCAA Division III Management Council and are ratified at the annual Convention business session.

Note. In the following proposals:

- Those letters and words that appear in *italics and strikethrough* are to be deleted;
- Those letters and words that appear in **bold and underlined** are to be added; and
- Those letters and words that appear in normal text are unchanged from the current Division III legislation.

Proposal Number	Title	Status	Source	Effective Date	Intent	Budget Impact
NC-2021-1	ELIGIBILITY -- TRANSFER REGULATIONS -- TWO-YEAR COLLEGE TRANSFERS -- EXCEPTIONS - - SATISFYING EXCEPTION	Ready for Ratification Convention Vote	NCAA Division III Management Council (Subcommittee for Legislative Relief).	Immediate	To specify that a student-athlete who successfully completes the required two full-time semesters and 24-semester or 36 quarter hours of transferable-degree credit by enrolling at a two-year institution and at the certifying Division III institution shall be eligible for competition.	None.

DIII Management Council Meeting
Noncontroversial Legislation for 2021 NCAA Convention
Page No. 2

Proposal Number	Title	Status	Source	Effective Date	Intent	Budget Impact
NC-2021-2	VARIOUS BYLAWS -- UNITED STATES OLYMPIC AND PARALYMPICS COMMITTEE NAME CHANGE	Ready for Ratification Convention Vote	NCAA Division III Management Council (Olympic Sports Liaison Committee).	08/01/2020	To specify that legislation applicable to Olympic Games and Olympic teams and athletes shall apply to the Paralympic Games and Paralympic teams and athletics; further, to specify that legislation applicable to the Pan American Games shall apply to the Parapan American Games	
NC-2021-3	ETHICAL CONDUCT -- SPORTS WAGERING ACTIVITIES -- SUSPENSION BY A NON-NCAA SPORTS GOVERNING BODY	Ready for Ratification Convention Vote	NCAA Division III Management Council.	Immediate	To specify that a student-athlete under a sports wagering related suspension from a non-NCAA national or international sports governing body shall not participate in intercollegiate competition for the duration of the suspension.	None.

Proposal Number	Title	Status	Source	Effective Date	Intent	Budget Impact
NC-2021-4	NCAA MEMBERSHIP -- ACTIVE MEMBERSHIP -- CONDITIONS AND OBLIGATIONS OF MEMBERSHIP -- CONCUSSION REPORTING	Ready for Ratification Convention Vote	NCAA Division III Management Council (Committee on Competitive Safeguards and Medical Aspects of Sports).	Immediate for the NCAA's establishment of a reporting process and system, and policies and procedures; institutions are required to report sport-related concussions diagnosed May 18, 2020 and thereafter, and their resolutions. Timing of reporting to be determined by the Committee on Competitive Safeguards and Medical Aspects of Sports.	To specify that an active member institution shall report all instances of diagnosed sport-related concussions in student- athletes and their resolutions to the NCAA on an annual basis pursuant to policies and procedures maintained by the Committee on Competitive Safeguards and Medical Aspects of Sports.	

Title: ELIGIBILITY -- TRANSFER REGULATIONS -- TWO-YEAR COLLEGE TRANSFERS -- EXCEPTIONS -- SATISFYING EXCEPTION

Convention Year: 2021

Effective Date: Immediate

Proposal Number: NC-2021-1

Source: NCAA Division III Management Council (Subcommittee for Legislative Relief).

Category: Noncontroversial

Topical Area: Eligibility

Status: Ready for Ratification Convention Vote

Intent: To specify that a student-athlete who successfully completes the required two full-time semesters and 24-semester or 36 quarter hours of transferable-degree credit by enrolling at a two-year institution and at the certifying Division III institution shall be eligible for competition.

Bylaws: Amend 14.5.4.1, as follows:

14.5.4.1 Exceptions. A student who transfers to the certifying institution shall be immediately eligible if:

[14.5.4.1-(a) through 14.5.4.1-(b) unchanged.]

(c) The student transfers from a four-year institution to a two-year college, and then to the certifying institution, ~~provided the student either would have been athletically and academically eligible, at the time of transfer from the previous four-year institution (see Bylaw 14.5.2), had he or she remained at the previous four-year institution or he or she successfully completed at least 24-semester or 36-quarter hours of transferable-degree credit at the two-year college and spent at least two full-time semesters or three full-time quarters of attendance at the two-year college.~~ **and:**

(1) The student would have been athletically and academically eligible, at the time of transfer from the previous four-year institution (see Bylaw 14.5.2), had he or she remained at the previous four-year institution; or

(2) The student-athlete successfully completed at least 24-semester or 36-quarter hours of transferable-degree credit at the two-year college and spent at least two full-time semesters or three full-time quarters of attendance at the two-year college.

[14.5.4.1.1 unchanged.]

14.5.4.1.2 Term(s) in Residence. A 4-2-4 transfer student-athlete becomes eligible after a term(s) in residence (see Bylaw 14.02.10) at the certifying institution provided:

(a) The student-athlete has been enrolled full-time for at least two-semester or three quarters between the two-year college and the certifying institution; and

(b) The student-athlete has earned a total of 24-semester or 36-quarter hours while enrolled at the two-year college and certifying institution. Hours from the two-year college must be transferable-degree credits.

Additional Information:

Current legislation requires a transfer from a two-year college to serve an academic year in residence unless they qualify for an exception. For a transfer who attends a four-year institution prior to attending the two-year college (4-2-4 transfer), one of those exceptions requires the student-athlete to complete 24-semester or 36-quarter hours of transferable-degree credit at the two-year college and spend at least two full-time semesters or three quarters at the two-year college. By allowing one of the required semesters or up to two of the required quarters to take place at the certifying institution, student-athletes will serve the equivalent of

a year-in-residence before competing. Further, this will also permit student-athletes to begin acclimating to the four-year institution sooner and demonstrate their ability to succeed academically at the Division III institution.

Budget Impact: None.

Review History:

Oct 22, 2019:	Approved in Concept - Management Council	Supplement No. 8, item no. 1
Jan 22, 2020:	Approved in Legislative Format - Management Council	

Title: VARIOUS BYLAWS -- UNITED STATES OLYMPIC AND PARALYMPICS COMMITTEE NAME CHANGE

Convention Year: 2021

Effective Date: August 1, 2020

Proposal Number: NC-2021-2

Source: NCAA Division III Management Council (Olympic Sports Liaison Committee).

Category: Noncontroversial

Topical Area: Amateurism

Status: Ready for Ratification Convention Vote

Intent: To specify that legislation applicable to Olympic Games and Olympic teams and athletes shall apply to the Paralympic Games and Paralympic teams and athletics; further, to specify that legislation applicable to the Pan American Games shall apply to the Parapan American Games

A. Bylaws: Amend 12.1.3, as follows:

12.1.3 Amateurism Status -- Before Initial, Full-Time Collegiate Enrollment. Before initial, full-time collegiate enrollment, an individual's amateur status shall be determined using the following:

12.1.3.1 Permissible Activities -- Before Initial, Full-Time Collegiate Enrollment. Before initial, full-time collegiate enrollment, an individual may engage in the following without jeopardizing his or her amateur status:

(a) Prize Money Based on Place Finish. May accept prize money based on the individual's or his or her team's place finish or performance, or payment given on an incentive basis (e.g., bonus) from a team, from the sponsor of an open athletics event, the U.S. Olympic **and Paralympic** Committee or the appropriate national governing body. If payment is based on a team's performance then the combination of such payments and expenses shall not exceed his or her actual and necessary expenses;

[12.1.3.1-(b) through 12.1.3.1-(r) unchanged.]

[12.1.3.2 unchanged.]

B. Bylaws: Amend 12.1.6, as follows:

12.1.6 Elite-Level Participation. An individual (prospective student-athlete or enrolled student-athlete) may receive the following benefits at any time without jeopardizing his or her amateur status:

(a) Educational Expenses -- U.S. Olympic **and Paralympic** Committee and National Governing Body. Educational expenses awarded by the U.S. Olympic **and Paralympic** Committee, or a U.S. national governing body or, for international student-athletes, expenses awarded by the equivalent organization of a foreign country, in accordance with the applicable conditions set forth in Bylaw 15.2.3.6;

(b) Expenses from U.S. Olympic **and Paralympic** Committee, National Governing Body or Governmental Entity. Actual and necessary expenses to cover developmental training, coaching, facility use, equipment, apparel, supplies, comprehensive health insurance, travel, room and board without jeopardizing the individual's eligibility for intercollegiate athletics, provided such expenses are approved and provided directly by the U.S. Olympic **and Paralympic** Committee or the appropriate national governing body in the sport (or, for international student-athletes, the equivalent organization of that nation) or a governmental entity;

(c) Operation Gold Grant. Funds that are administered by the U.S. Olympic **and Paralympic** Committee pursuant to its Operation Gold Program;

- (d) Incentive Program for International Athletes. An international prospective student-athlete or international student-athlete may accept funds from his or her country's national Olympic governing body (equivalent to the U.S. Olympic **and Paralympic** Committee) based on place finish in one event per year that is designated as the highest level of international competition for the year by the governing body.
- (e) Commemorative Items for Elite Events. Commemorative items incidental to participation in the Olympic Games, **Paralympic Games**, World University Games, World University Championships, Pan American Games, **Parapan American Games**, World Championships and World Cup events through the applicable national governing body (or, for foreign student-athletes, the equivalent organization of that nation). These benefits may include but are not limited to, apparel, leisure wear, foot wear and other items that are provided to all athletes participating in the applicable event;
- (f) Expenses for U.S. Olympic **and Paralympic** Committee, National Governing Body or Governmental Entity Developmental Training Programs. Actual and necessary expenses for developmental training programs conducted and supervised at any time of the year by the U.S. Olympic **and Paralympic** Committee or the appropriate national governing body (or, for international student-athletes, the equivalent organization of that nation), or governmental entity, even if the programs include no competition, provided the individual misses no class time and the program does not conflict with dates of institutional competition;
- (g) Exception for Family Travel to Olympic **and Paralympic** Games. A commercial company (other than a professional sports organization) or members of the local community may provide actual and necessary expenses for an individual's spouse, parents, legal guardians or other relatives to attend the Olympic **and Paralympic** Games in which the individual will participate;
- (h) Exception for U.S. Olympic **and Paralympic** Committee Elite Athlete Health Insurance Program. Comprehensive benefits of the U.S. Olympic **and Paralympic** Committee Elite Athlete Health Insurance Program; and
- (i) Expenses for Participation in Olympic **and Paralympic** Exhibitions. Actual and necessary expenses from the U.S. Olympic **and Paralympic** Committee, national governing body or the nonprofessional organizations sponsoring the event to participate in Olympic tours or exhibitions involving Olympic team members and/or members of the national team, provided that if the individual is a student-athlete, he or she misses no class time, and the exhibition does not conflict with dates of institutional competition.

C. Bylaws: Amend 12.2.3.2, as follows:

12.2.3.2 Competition with Professionals. An individual shall not be eligible for intercollegiate athletics in a sport if following initial full-time collegiate enrollment the individual competed on a professional team (per Bylaw 12.02.5) in that sport. However, an individual may compete on a tennis, golf, two-person beach volleyball or two-person synchronized diving team(s) with persons who are competing for cash or a comparable prize, provided the individual does not receive payment of any kind for such participation.

[12.2.3.2.1 unchanged.]

12.2.3.2.2 Olympic, **Paralympic** or ~~National~~ Teams. It is permissible for an individual (prospective student-athlete or student-athletes) to participate on Olympic, **Paralympic** or national teams that are competing for prize money or are being compensated by the governing body to participate in a specific event, provided the student-athlete does not accept prize money or any other compensation (other than actual and necessary expenses).

[12.2.3.2.3 through 12.2.3.2.4 unchanged.]

D. Bylaws: Amend 12.4.2, as follows:

12.4.2 Specific Athletically Related Employment Activities.

12.4.2.1 Broken-Time Payments. An individual may not receive "broken-time" payments except as authorized and administered by the U.S. Olympic **and Paralympic** Committee during the period immediately before and including actual Olympic competition. A permitted broken-time payment may cover financial loss as a result of absence from employment to prepare for or participate in the Olympic Games. Such compensation during any other period and payments administered independently of the

~~USOC~~ **U.S. Olympic and Paralympic Committee** by other sports governing bodies (e.g., the U.S. Ski Association) are prohibited. [R]

12.4.2.1.1 Exception When Individual Not Enrolled in Regular Term. An individual may receive broken-time payments administered by the U.S. Olympic **and Paralympic** Committee or the national governing body in the sport during a period when the individual is not enrolled (full or part time) in a regular term to cover financial loss as a result of absence from employment as a direct result of practicing and competing on a national team (defined in Bylaw 14.02.7), provided the amounts are consistent with the principles set forth in Bylaw 12.4.1 and do not exceed \$300 per week, and the payment period covers not more than the period from the date the individual begins practice with the national team after selection to that team to one week after the conclusion of the competition.

E. Bylaws: Amend 12.5.1, as follows:

12.5.1 Permissible. Student-athletes may participate in promotional activities as provided in this bylaw. Any promotional activity not listed is specifically prohibited.

[12.5.1.1 unchanged.]

12.5.1.1.3 Player/Trading Cards. A member institution or recognized entity thereof (e.g., fraternity, sorority or student government organization), a member conference or a noninstitutional charitable, educational or nonprofit agency may distribute but may not sell player/trading cards that bear a student-athlete's name or picture.

12.5.1.1.3.1 Exception -- Olympic, **Paralympic** ~~/or~~ National Team. A national governing body may sell player/trading cards that bear the name or picture of a student-athlete who is a member of the Olympic, **Paralympic** ~~/or~~ national team in that sport, provided all of the funds generated through the sale of such cards are deposited directly with the applicable Olympic, **Paralympic** ~~/or~~ national team.

[12.5.1.1.4 through 12.5.1.1.7 unchanged.]

12.5.1.2 U.S. Olympic **and Paralympic** Committee ~~/or~~ National Governing Body Advertisement Before Collegiate Enrollment. Before initial, full-time collegiate enrollment, an individual may receive payment for the display of athletics skill in a commercial advertisement, provided:

- (a) The individual receives prior approval to appear in the advertisement from the U.S. Olympic **and Paralympic** Committee or the applicable national governing body;
- (b) The U.S. Olympic **and Paralympic** Committee or national governing body approves of the content and the production of the advertisement;
- (c) The individual forwards the payment to the U.S. Olympic **and Paralympic** Committee or national governing body for the general use of the organization(s); and

[12.5.1.2-(d) unchanged.]

[12.5.1.3 unchanged.]

12.5.1.4 Commercial Advertisement. It is permissible for a student-athlete's name or picture, or the group picture of an institution's athletics squad, to appear in an advertisement of a particular business, commercial product or service, provided:

[12.5.1.4-(a) through 12.5.1.4-(g) unchanged.]

[12.5.1.4.1 through 12.5.1.4.3 unchanged.]

[12.5.1.5 through 12.5.1.10 unchanged.]

12.5.1.11 Olympic, **Paralympic**, Pan American, **Parapan American**, World Championships, World Cup, World University Games and World University Championships. A student-athlete's name or picture may be used to promote Olympic, **Paralympic**, Pan American, **Parapan American**, World Championships, World Cup, World University Games or World University Championships as specified in this section.

[12.5.1.11.1 unchanged.]

F. Bylaws: Amend 14.02.7, as follows:

14.02.7 National Team. A national team is one selected, organized and sponsored by the appropriate national governing bodies of the U.S. Olympic **and Paralympic** Committee (or, for student-athletes representing another nation, the equivalent organization of that nation, or for student-athletes competing in a non-Olympic **or Paralympic** sport, the equivalent organization of that sport). The selection for such a team shall be made on a national qualification basis, either through a defined selective process or by actual tryouts, publicly announced in advance. In addition, the international competition in question shall require that the entrants officially represent their respective nations, although it is not necessary to require team scoring by nation.

G. Bylaws: Amend 14.1.8.1.7, as follows:

14.1.8.1.7 Waivers of the Full-Time Enrollment Requirement for Practice or Competition. Waivers may be granted for the following:

[14.1.8.1.7.1 through 14.1.8.1.7.2 unchanged.]

14.1.8.1.7.3 Olympic, **Paralympic**, Pan American, **Parapan American**, World Championships, World Cup, World University Games, World University Championships or World Youth Championships -- Practice or Competition. The Management Council, or a committee designated by the Management Council to act for it, may waive the minimum full-time enrollment requirement for any participant in the junior or elite levels of the Olympic, **Paralympic**, Pan American, **Parapan American**, World Championships, World Cup, World University Games, World University Championships or World Youth Championships who, because of such participation, may lose eligibility for practice and competition in any sport.

14.1.8.1.7.4 U.S. Olympic **and Paralympic** Committee ~~/ or~~ National Governing Body -- Practice Only. A student with eligibility remaining who is not enrolled or who is enrolled in less than a minimum full-time program of studies, or a former student-athlete, may participate on a regular basis in organized practice sessions, provided the following conditions are met:

[14.1.8.1.7.4-(a) through 14.1.8.1.7.4-(b) unchanged.]

(c) The U.S. Olympic **and Paralympic** Committee or national governing body in the sport has recommended the individual's participation; and

(d) In the case of a student-athlete with NCAA eligibility remaining in the sport, such participation occurs only during the academic year immediately before the Olympic **and Paralympic** Games.

[14.1.8.1.7.4.1 unchanged.]

[14.1.8.1.7.5 unchanged.]

H. Bylaws: Amend 14.2.4.4, as follows:

14.2.4.4 Participation in Organized Competition Before Initial Collegiate Enrollment. An individual who does not enroll in a collegiate institution as a full-time student in the regular academic year term that begins immediately after a one-year period (the next opportunity to enroll after the one calendar year period has elapsed) following his/her high school graduation date (or the international equivalent as specified in the NCAA Guide to International Academic Standards for Athletics Eligibility) shall use a season of intercollegiate participation for each consecutive 12-month period after the one-year time period and before the initial collegiate enrollment in which the individual participates in activities that meet the criteria set forth in Bylaw 14.2.4.4.2.

[14.2.4.4.1 unchanged.]

14.2.4.4.2 Activities Constituting Use of Season. An individual shall use a season of participation per Bylaw 14.2.4.4 if the individual engages in activities that meet any of the following criteria:

[14.2.4.4.2-(a) through 14.2.4.4.2-(d) unchanged.]

14.2.4.4.2.1 Competition Exceptions. A maximum one-time, one-year exception for participation in the following activities:

[14.2.4.4.2.1-(a) through 14.2.4.4.2.1-(b) unchanged.]

- (1) Official Pan American, **Parapan American**, World Championships, World Cup, World University Games (Universiade), World University Championships, ~~and~~ Olympic **and Paralympic** training, tryouts and competition;
- (2) Officially recognized training and competition directly qualifying participants for final Olympic **and Paralympic** tryouts; or
- (3) Official tryouts and competition involving national teams sponsored by the appropriate national governing bodies of the U.S. Olympic **and Paralympic** Committee (or for student-athletes representing another nation, the equivalent organization of that nation, or for student-athletes competing in a non-Olympic **or Paralympic** sport, the equivalent organization of that sport).

[14.2.4.4.3 through 14.2.4.4.4 unchanged.]

I. Bylaws: Amend 14.4.1, as follows:

14.4.1 Satisfactory-Progress Requirements. To be eligible to represent an institution in intercollegiate athletics competition, a student-athlete shall maintain satisfactory progress toward a baccalaureate or equivalent degree at that institution as determined by the regulations of that institution. As a general requirement, "satisfactory progress" is to be interpreted at each member institution by the academic authorities who determine the meaning of such phrases for all students, subject to controlling legislation of the conference(s) or similar association of which the institution is a member. (See Constitution 3.2.4.11 regarding the obligations of members to publish their satisfactory-progress requirements for student-athletes and Bylaw 14.01.2 for the requirements for student-athletes enrolled in two-year degree programs.)

[14.4.1.1 through 14.4.1.4 unchanged.]

14.4.1.5 Waiver -- Olympic **and Paralympic** Games. The Management Council may waive this general satisfactory-progress requirement for any participant in the Olympic **and Paralympic** Games who, because of such participation, may lose eligibility for practice and competition in any sport.

J. Bylaws: Amend 14.7.3, as follows:

14.7.3 Exceptions -- All Sports.

[14.7.3-(a) unchanged.]

- (b) Olympic **and Paralympic** Games. A student-athlete may participate in the official Olympic **and Paralympic** Games, in final tryouts that directly qualify competitors for the Olympic **and Paralympic** Games and in officially recognized competition directly qualifying participants for final Olympic **and Paralympic** Games tryouts.
- (c) Official Pan American **and Parapan American** Games Tryouts and Competition. A student-athlete may participate in official Pan American **and Parapan American** Games tryouts and competition, including junior-level tryouts and competition.
- (d) National Teams. A student-athlete may participate in official tryouts and competition involving national teams sponsored by the appropriate national governing bodies of the U.S. Olympic **and Paralympic** Committee (or, for the student-athletes representing another nation, the equivalent organization of that nation or, for student-athletes competing in a non-Olympic **or Paralympic** sport, the equivalent organization of that sport).

[14.7.3-(e) through 14.7.3-(g) unchanged.]

14.7.3.1 National-Team Criteria. A national team shall meet the following criteria:

- (a) It is designated by the U.S. Olympic **and Paralympic** Committee, national governing body or other organizations recognized by the U.S. Olympic **and Paralympic** Committee (or, for student-athletes representing another nation, the equivalent organization of that nation or for student-athletes competing in a non-Olympic **or Paralympic** sport, the equivalent organization in that sport);

[14.7.3.1-(b) through 14.7.3.1-(c) unchanged.]

K. Bylaws: Amend 15.02.2, as follows:

15.02.2 Financial Aid. "Financial aid" is funds provided to student-athletes from various sources to pay or assist in paying their cost of education at the institution. As used in NCAA legislation, "financial aid" includes all institutional financial aid and other permissible financial aid as set forth below.

[15.02.2.1 unchanged.]

15.02.2.2 Other Permissible Financial Aid. The following sources of financial aid are also permitted:

[15.02.2.2-(a) through 15.02.2.2-(d) unchanged.]

- (e) Educational expenses awarded by the U.S. Olympic **and Paralympic** Committee or a U.S. national governing body (or for international student-athletes, expenses awarded by the equivalent organization of a foreign country).

L. Bylaws: Amend 15.2.3, as follows:

15.2.3.6 Educational Expenses -- U.S. Olympic **and Paralympic** Committee and National Governing Body. A student-athlete may receive educational expenses awarded by the U.S. Olympic **and Paralympic** Committee or a U.S. national governing body (or for international student-athletes, expenses awarded by the equivalent organization of a foreign country). The amount of the financial assistance shall be subject to the following limitations:

[15.2.3.6-(a) unchanged.]

- (b) The recipient's choice of institutions shall not be restricted by the U.S. Olympic **and Paralympic** Committee or national governing body (or, for international student-athletes, expenses awarded by the equivalent organization of a foreign country); and

[15.2.3.6-(c) unchanged.]

M. Bylaws: Amend 15.2.4, as follows:

15.2.4 Summer Financial Aid. Summer financial aid may be awarded to student-athletes in accordance with institutional policies applicable to the general student body.

15.2.4.1 Olympic **or Paralympic Games** Waivers. Waivers of the restriction that financial aid may be used only to attend the awarding institution's summer term or summer school may be approved by the Management Council, by a two-thirds majority of its members present and voting, for member institutions that have summer terms or summer schools curtailed because of the use of their facilities for the Olympic **and Paralympic** Games.

N. Bylaws: Amend 16.1.1, as follows:

16.1.1.2 Awards Received for Participation While Not Representing the Institution. Awards received by a student-athlete for participation in competition while not representing the institution shall conform to the regulations of the recognized amateur organization that governs the competition and may not include cash (or cash equivalents). Such awards may include gift certificates or gift cards that are not redeemable for cash and items that are not personalized, provided the awards are permitted by the rules of the amateur sports organization. [R]

[16.1.1.2.1 unchanged.]

16.1.1.2.2 Olympic **and Paralympic** Games. It is permissible for a student-athlete to receive a nonmonetary award associated with participation in the Olympic **and Paralympic** Games at any time regardless of when the games are held or whether the student-athlete is enrolled during the academic year.

O. Bylaws: Amend 16.1.7, as follows:

16.1.7 Expenses to Receive Noninstitutional Awards. A conference, an institution, the U.S. Olympic **and Paralympic** Committee, a national governing body (or the international equivalents) or the awarding agency may provide actual and necessary expenses for a student-athlete to receive a noninstitutional award or recognition for athletics or academic accomplishments. Actual and necessary expenses may be provided for the student-athlete's parents (or legal guardians), spouse, or other relatives or individuals of a comparable relationship to attend the recognition event or awards presentation. [R]

[16.1.7.1 unchanged.]

P. Bylaws: Amend 16.6.1, as follows:

16.6.1.3 Relative or Individual of a Comparable Relationship Travel to Olympic **or Paralympic** Games. A commercial company (other than a professional sports organization) or members of the local community may provide actual and necessary expenses for a student-athlete's relatives to attend the Olympic **or Paralympic** Games in which the student-athlete will participate. In addition, relatives or individuals of a comparable relationship of student-athletes may receive nonmonetary benefits provided to the relatives or individuals of a comparable relationship of all Olympic team members in conjunction with participation in the Olympic **or Paralympic** Games. [R]

Q. Bylaws: Amend 16.8.1, as follows:

16.8.1.3 Other Competition. During an academic year in which a student-athlete is eligible to represent an institution in athletics competition (or in the next summer), an institution may provide actual and necessary expenses related to participation in the following activities: [R]

[16.8.1.3-(a) unchanged.]

- (b) Specific competition (e.g., Olympic Trials) from which participants may directly qualify for the Olympic, **Paralympic**, Pan American, **Parapan American**, World Championships, World Cup, World Youth Championships, World University Games (Universiade) and World University Championships; and
- (c) National team tryout competition events, including events from which participants are selected for another tier of tryout competition or events from which final selections are made for the national team that will participate in the Olympic Games, **Paralympic Games**, Pan American Games, **Parapan American Games**, World Cup, World Youth Championships, World University Games (Universiade) and World University Championships.

[16.8.1.3.1 unchanged.]

R. Bylaws: Amend 16.11.1, as follows:

16.11.1.15 Coaching and Athletics Administration Career Educational Program. An institution or conference may provide a student-athlete actual and necessary expenses to attend coaching and athletics administration career educational programs (e.g., Women's Basketball Coaches Association -- So You Want to Be A Coach, Black Women in Sports Foundation -- Next Step Program, U.S. Olympic **and Paralympic** Committee -- Minority/Women in Coaching Leadership, Division III Coaching Symposiums). [R]

S. Bylaws: Amend 17.1.5, as follows:

17.1.5 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1, except as otherwise noted in this bylaw.

[17.1.5.1 unchanged.]

17.1.5.2 Noncollegiate, Amateur Competition. (See Bylaw 14.7 for restrictions on outside competition.)

[17.1.5.2.1 unchanged.]

17.1.5.2.2 Olympic, **Paralympic** and National Team Development Program. There are no limits on the number of student-athletes from the same institution who may participate in Olympic, **Paralympic** and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided the program is conducted and administered by the national governing body. The national governing body shall be responsible for selecting the coaches who will be involved in coaching activities for the program. The national governing body or the selected coaches shall select the participants of the program.

[17.1.5.3 through 17.1.5.4 unchanged.]

T. Bylaws: Amend 18.2, as follows:

18.2.10 Failure to Meet Minimum Sponsorship Requirements. A championship shall be discontinued automatically at the conclusion of the academic year in which it falls below the applicable minimum sponsorship number set forth in Bylaw 18.2.3 or 18.2.4.

18.2.10.1 Exception -- Olympic **or Paralympic** Sports. A National Collegiate Championship or a division championship in any Olympic **or Paralympic** sport shall be exempt from the minimum-sponsorship-percentage requirements of Bylaws 18.2.3 and 18.2.4. The membership may adopt specific legislation to discontinue the championship in an Olympic **or Paralympic** sport.

U. Bylaws: Amend 21.2.5, as follows:

21.2.5 Olympic Sports Liaison Committee.

[21.2.5.1 unchanged.]

21.2.5.2 Duties. The committee shall:

(a) Act as a liaison between the Association, the U.S. Olympic **and Paralympic** Committee and national governing bodies; and

[21.2.5.2-(b) unchanged.]

V. Administrative: Amend 31.1, as follows:

31.1.6.2 Non-NCAA Rules, Women's Sports. In those women's sports in which the Association does not publish rules, the NCAA championships shall be conducted according to the following, except when those rules are superseded by modifications recommended by the appropriate governing sports committee and approved by the Playing Rules Oversight Panel (see Bylaw 18.6):

[31.1.6.2-(a) through 31.1.6.2-(c) unchanged.]

(d) Gymnastics -- USA Gymnastics Junior Olympic **and Paralympic** Women's Code of Points (Level 10 Rules);

[31.1.6.2-(e) through 31.1.6.2-(h) unchanged.]

W. Administrative: Amend 31.7.2.3, as follows:

31.7.2.3 Prohibition Against Funding Olympics **and Paralympics**. Income from the Association's championships shall not be allocated to the Olympic **and Paralympic** fund.

Additional Information:

In June 2019, the United States Olympic Committee announced that it had formally changed its name to the United States Olympic and Paralympic Committee to further support and include Paralympic athletes. The Olympic Sports Liaison Committee recommends legislative changes to support and include Paralympics athletes in a similar manner. Currently, there is no reference to the Paralympics or Paralympic athletes in

NCAA legislation. The changes would make clear that NCAA rules, waivers and opportunities are afforded to both Olympic and Paralympic student-athletes.

Budget Impact:

Review History:

Oct 22, 2019:	Approved in Concept - Management Council , Olympic Sports Liaison Committee	Supplement No 17, item I
Jan 22, 2020:	Approved in Legislative Format - Management Council , Olympic Sports Liaison Committee	

Title: ETHICAL CONDUCT -- SPORTS WAGERING ACTIVITIES -- SUSPENSION BY A NON-NCAA SPORTS GOVERNING BODY

Convention Year: 2021

Effective Date: Immediate

Proposal Number: NC-2021-3

Source: NCAA Division III Management Council.

Category: Noncontroversial

Topical Area: Ethical Conduct/Institutional Control

Status: Ready for Ratification Convention Vote

Intent: To specify that a student-athlete under a sports wagering related suspension from a non-NCAA national or international sports governing body shall not participate in intercollegiate competition for the duration of the suspension.

Bylaws: Amend 10.3, as follows:

[Common provision, all divisions, divided vote]

10.3 Sports Wagering Activities. The following individuals shall not knowingly participate in sports wagering activities or provide information to individuals involved in or associated with any type of sports wagering activities concerning intercollegiate, amateur or professional athletics competition:

[10.3-(a) through 10.3-(d) unchanged.]

[10.3.1 unchanged.]

10.3.2 Suspension by a Non-NCAA National or International Sports Governing Body. A student-athlete under a sports wagering related suspension from a non-NCAA national or international sports governing body shall not participate in intercollegiate competition for the duration of the suspension.

Additional Information:

An individual who is under a sports wagering related suspension from a non-NCAA sports governing body should not be eligible to participate in intercollegiate competition for the duration of the suspension. This provision is similar to current legislation that precludes a student-athlete from participation in intercollegiate competition if he or she is under a drug related suspension from a non-NCAA national or international sports governing body.

Budget Impact: None.

Review History:

Jun 18, 2019:	Recommended Concept - NCAA Board of Governors Ad Hoc Committee on Sports Wagering
Aug 6, 2019:	Referred - Board of Governors
Jan 22, 2020:	Approved in Concept and Adopted in Final Legislative Format - Management Council

Title: NCAA MEMBERSHIP -- ACTIVE MEMBERSHIP -- CONDITIONS AND OBLIGATIONS OF MEMBERSHIP -- CONCUSSION REPORTING

Convention Year: 2021

Effective Date: Immediate for the NCAA's establishment of a reporting process and system, and policies and procedures; institutions are required to report sport-related concussions diagnosed May 18, 2020 and thereafter, and their resolutions. Timing of reporting to be determined by the Committee on Competitive Safeguards and Medical Aspects of Sports.

Proposal Number: NC-2021-4

Source: NCAA Division III Management Council (Committee on Competitive Safeguards and Medical Aspects of Sports).

Category: Noncontroversial

Topical Area: Membership

Status: Ready for Ratification Convention Vote

Intent: To specify that an active member institution shall report all instances of diagnosed sport-related concussions in student-athletes and their resolutions to the NCAA on an annual basis pursuant to policies and procedures maintained by the Committee on Competitive Safeguards and Medical Aspects of Sports.

Constitution: Amend 3.2.4, as follows:

3.2.4 Conditions and Obligations of Membership.

[3.2.4.1 through 3.2.4.17 unchanged.]

3.2.4.18 Concussion Reporting. An active member institution shall report all instances of diagnosed sport-related concussions in student-athletes and their resolutions to the NCAA on an annual basis pursuant to policies and procedures maintained by the Committee on Competitive Safeguards and Medical Aspects of Sports. [D]

[3.2.4.18 through 3.2.4.22 renumbered as 3.2.4.19 through 3.2.4.23, unchanged.]

Additional Information:

A medical monitoring settlement in *In re: National Collegiate Athletic Association Student-Athlete Concussion Injury Litigation* (Arrington Matter) was approved August 13, 2019, with an effective date of November 18, 2019. The settlement obligates the NCAA to create a reporting process through which member institutions will report to the NCAA instances of diagnosed concussions in student-athletes and their resolutions. This proposal will establish the legislation to require institutions to regularly report all diagnosed sport-related concussions in student-athletes and their resolutions in a manner consistent with the terms of the settlement in the Arrington Matter via a reporting process and system recommended by the Committee on Competitive Safeguards and Medical Aspects of Sports and in conjunction with the NCAA Sport Science Institute. The Committee on Competitive Safeguards and Medical Aspects of Sports will establish and maintain policies and procedures for the reporting of concussions and their resolution, including an annual deadline for submission. This reporting requirement will ensure that the NCAA and member institutions fulfill an obligation of the medical monitoring settlement and will provide further insight into the incidence and resolution of concussions involving student-athletes. The effective date (May 18, 2020) to begin reporting diagnosed sport-related concussions and their resolutions corresponds to the date by which an institution must certify compliance with applicable settlement provisions if it wishes to receive the benefit of the settlement release. The establishment of a reporting process and policies and procedures will begin immediately after adoption of this proposal. The timing of membership reporting will be determined pursuant to the policies and procedures established and maintained by the Committee on Competitive Safeguards and Medical Aspects of Sports.

Budget Impact:**Review History:**

Dec 10, 2019:	Recommends Approval - Committee on Competitive Safeguards and Medical Aspects of Sports
Jan 22, 2020:	Approved in Concept and Adopted in Final Legislative Format - Management Council



April 2020 NCAA Division III Management Council Meeting
Administrative Legislation for 2021 Convention

Administrative Regulations. The NCAA Division III Management Council is empowered to adopt or revise administrative regulations consistent with the provisions of the constitution and bylaws, subject to amendment by the NCAA Division III membership, for the implementation of policy established by legislation governing the general activities of each division. These administrative bylaws become part of the NCAA Division III legislation and are considered adopted when approved in legislative format by the management council. They are not required to be ratified at the annual Convention business session.

Note. In the following proposals:

- Those letters and words that appear in ~~*italics and strikethrough*~~ are to be deleted.
- Those letters and words that appear in **bold and underlined** are to be added; and
- Those letters and words that appear in normal text are unchanged from the current Division III legislation.

Proposal Number	Title	Status	Source	Effective Date	Intent	Budget Impact
ADM-2021-1	EXECUTIVE REGULATION -- ADMINISTRATION OF NCAA CHAMPIONSHIPS -- RESTRICTED ADVERTISING AND SPONSORSHIP ACTIVITIES	Adopted Final.	NCAA Division III Management Council.	Immediate.	To move the restrictions on advertising and sponsorship activities in conjunction with NCAA championships from legislation to NCAA policy.	None.

Division: III

Proposal Number: ADM-2021-1

Title: EXECUTIVE REGULATION -- ADMINISTRATION OF NCAA CHAMPIONSHIPS -- RESTRICTED ADVERTISING AND SPONSORSHIP ACTIVITIES

Convention Year: 2021

Date Submitted: October 23, 2019

Status: Adopted Final

Effective Date: Immediate

IPOPL Number:

SPOPL Number:

Source: NCAA Division III Management Council.

Category: Administrative Regulation

Topical Area: Executive Regulations

Intent: To move the restrictions on advertising and sponsorship activities in conjunction with NCAA championships from legislation to NCAA policy.

Administrative: Amend 31.1, as follows:

31.1 Administration of NCAA Championships.

[31.1.1 through 31.1.10 unchanged.]

31.1.11 Restricted Advertising and Sponsorship Activities. The following activities are restricted when they occur in conjunction with NCAA championships. Other restrictions are set forth in the championships handbooks.

31.1.11.1 Advertising. Advertising policies of the Association are designed to exclude those advertisements that do not appear to be in the best interests of higher education. The NCAA president shall have the authority to rule in cases in which doubt exists concerning acceptable advertisers and advertising copy of game programs, broadcasts and telecasts of NCAA championships; however, the following expressly are prohibited:

(a) Alcoholic beverages (except as specified below);

(b) Cigarettes and other tobacco products; and

(c) Organizations promoting gambling.

31.1.11.1.1 Malt Beverages, Beer and Wine Advertisements. Advertising of malt beverages, beer and wine products that do not exceed six percent alcohol by volume may be used in game programs. Such advertisements, however, shall not compose more than 14 percent of the space in the program devoted to advertising or not more than 60 seconds per hour of any telecast or broadcast (either a single 60-second commercial or two 30-second commercials).

31.1.11.1.2 Sponsorships. A championships activity or promotion may not be sponsored by liquor, tobacco, beer or wine companies at any time.

31.1.11.1.2.1 Professional Sports Organization. A professional sports organization may serve as a financial sponsor of an NCAA championship competition event, provided the organization is not publicly identified as such. A professional sports organization may serve as a financial sponsor of an activity or promotion that is ancillary to the competition event and may be publicly identified as

~~such. The NCAA may receive financial contributions from a professional sports organization for sponsorship of a specific NCAA championship competition event, including ancillary activities and promotions.~~

[31.1.12 through 31.1.13 renumbered as 31.1.11 through 31.1.12, unchanged.]

Rationale: Moving the restrictions on advertng and sponsorship activities in conjunction with NCAA championships from legislation to policies and procedures would offer an opportunity to keep the Association's polices more current and consistent, and offer the flexibility to align them with those of other athletics organizations. If removed from the legislation, the polices and procedures would be included in championships handbooks. The NCAA Board of Governors discussed NCAA championships advertising and restrictions and supports appropriate modifications.

Budget Impact:

Co-sponsorship - Conference:

None

Co-sponsorship - Institution:

None

Position Statements:

Review History:

Oct 22, 2019:	Approved in Concept - Management Council	Supplement No. 38, recommendation no. 3
Jan 22, 2020:	Approved in Legislative Format - Management Council	

Additional Information:

Legislative References

Legislative Cite	Title
31.1	Administration of NCAA Championships.
31.1.11	Restricted Advertising and Sponsorship Activities.
31.1.11.1	Advertising.
31.1.11.1.1	Malt Beverages, Beer and Wine Advertisements.
31.1.11.1.2	Sponsorships.
31.1.11.1.2.1	Professional Sports Organization.

SUPPLEMENT NO. 24a
DIII Mgmt Council 04/2020

To: governance leadership (MC and PC), SAAC, D3CA, NADIIAA and direct email to presidents/chancellors, ADs, SWAs, SIDs and ATCs

Subject: Division III Governance Update Regarding COVID-19

Yesterday the NCAA released a [statement](#) from President Mark Emmert and the Board of Governors announcing the cancellation of all remaining winter and spring NCAA championships for all three divisions. This decision is based on the evolving COVID-19 public health threat, our ability to ensure the events do not contribute to spread of the pandemic, and the impracticality of hosting such events at any time during this academic year given ongoing decisions by other entities.

We have received numerous questions regarding the implications of these decisions, as well as the implications of actions member institutions and conferences have made or might take at the local level in reaction to COVID-19. These issues include:

- Sport sponsorship and membership requirements;
- Student-athlete seasons of participation and eligibility;
- Playing and practice seasons exceptions; and
- Extra benefits.

While waivers exist to address these issues, the national office staff is reviewing which issues should be addressed from a division-wide perspective (e.g., blanket waiver) and what issues should be addressed on a case-by-case basis. Staff will forward recommendations to the Division III Administrative Committee for action. The goal is to provide clear and timely direction to the membership, within the next two business days, on how best to address this myriad of concerns.

We encourage you to make decisions and act in the best interest of your student-athletes and communities. Conferences and institutions should not be concerned about the application of NCAA legislation when decisions are being made in response to COVID-19.

We will continue to respond to individual questions through normal and emergency avenues.

[ONLY FOR GOVERNANCE COMMITTEES] All governance committee meetings in April and May (Management and Presidents Councils, SAAC, and Student-Athlete Reinstatement) will occur via videoconference or teleconference. Your committee liaison will contact you with more details and meeting logistics. Please keep your schedules blocked for the respective meeting dates, as we will forward the meeting details as soon as we have them confirmed.

The staff will also work with Short's Travel on your behalf to cancel any already purchased air travel and lodging reservations for these meetings.

This is an unprecedented time for our division, the NCAA, your campuses and conferences as well as local communities and the world at large. We will continue to communicate and support you in all of our efforts to keep your student-athletes, staff and administrators safe.

Please stay healthy and safe.

Brown, Debra F.

From: Dan Dutcher <DIIIGovernance@ncaa.org>
Sent: Monday, March 16, 2020 10:19 AM
To: Brown, Debra F.
Subject: CLARIFICATION: Division III Administrative Committee Actions



Good afternoon,

Please note that during its Friday, March 13 teleconference, the Division III Administrative Committee took NO action regarding current recruiting restrictions or recruiting legislation. The establishment of recruiting “dead periods” in other divisions, as reported by national media, does not apply in Division III. The Administrative Committee only took the following actions, effective immediately, on behalf of the Division III Management and Presidents Councils:

- **Sport sponsorship and membership requirements.** Approved a blanket waiver of any deficiencies in sports sponsorship requirements related to spring sports occurring as a result of actions taken in response to COVID-19. This will not apply to sports sponsorship issues related to fall or winter sports.
- **Student-athlete seasons of participation and eligibility.** Approved a blanket waiver for all student-athletes participating in spring sports as follows: (a) Waive the use of a season of participation for all student-athletes in spring sports. If an institution continues or re-starts its season, this relief would still exist; and (b) Waive the use of the spring semester towards their limit of 10 semesters/15 quarters, regardless of whether they used a season.
- **Playing and practice seasons exceptions and extra benefits.** Institutions should exercise flexibility in the best interest of their student-athletes with respect to playing seasons provisions and extra benefits through the conclusion of the 2019-20 academic year. The committee directed staff to assist with applying flexibility in these areas on a case-by-case basis, when requested by an institution. Examples include allowing flexibility for playing season adjustments occurring during the Spring 2020 semester and flexibility to assist students with travel, lodging, and meals associated with being displaced due to their campus being closed.

Staff will continue to provide timely updates on all relevant actions taken by the Administrative Committee and the rest of the governance structure. Thank you for your patience and cooperation

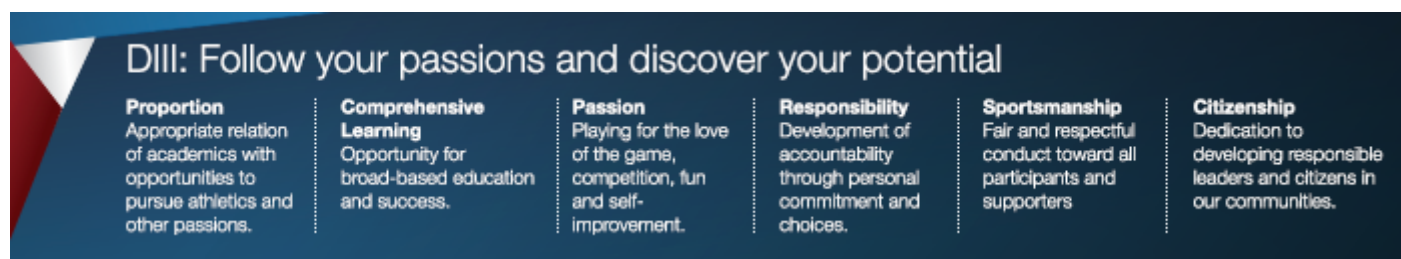
during this challenging time, and for your continued commitment to our Association and to Division III.

Dan

Dan Dutcher

Vice President for Division III

This email was sent to the NADIIIAA listserv and NCAA Division III presidents, chancellors, athletics direct reports, directors of athletics, faculty athletics representatives, senior woman administrators, directors of compliance and commissioners based on contact information in the [NCAA Directory](#).



DIII: Follow your passions and discover your potential

Proportion Appropriate relation of academics with opportunities to pursue athletics and other passions.	Comprehensive Learning Opportunity for broad-based education and success.	Passion Playing for the love of the game, competition, fun and self-improvement.	Responsibility Development of accountability through personal commitment and choices.	Sportsmanship Fair and respectful conduct toward all participants and supporters	Citizenship Dedication to developing responsible leaders and citizens in our communities.
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National Collegiate Athletic Association, 700 W. Washington St., Indianapolis, IN 46204 US

This email was sent to: dbrown@ncaa.org

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2020 NCAA Division III COVID-19
Question and Answer Guide
(Updated April 3, 2020)

Please note this is the first edition of the 2020 NCAA Division III COVID-19 Question and Answer Guide. Updates will be made to this document on a continuous basis following the weekly teleconferences of the NCAA Division III Administrative Committee.

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INTRODUCTION

On March 13, 2020, the day after the winter and spring championships were cancelled, the NCAA Division III Administrative Committee took the following actions:

- Issued a blanket waiver providing relief to all Division III member institutions from failing to satisfy sports sponsorship requirements due to actions taken in response to COVID-19.
- Issued a blanket waiver for all spring sport student-athletes that participation would not result in the use of a season for the 2019-20 academic year nor would the 2020 spring semester count towards their overall semester limits.
- Directed that institutions be provided flexibility as may be necessary to support the best interest of their student-athletes with respect to reasonable extra benefits that may be offered under Bylaw 16 to address unforeseen needs of the student-athletes, including those related to equipment, travel, academic and other support services arising or resulting from the impact of COVID-19.
- Directed that institutions be provided flexibility as may be necessary to support the best interest of their student-athletes with respect to the type, frequency and timing of athletically related and other activities that occur under Bylaw 17 through the end of the academic year. On March 26, the Administrative Committee clarified this directive and stated that at this time and in all sports (regardless of season), this flexibility is extended into the summer period.
- The biggest concerns at this time are student-athlete well-being, including mental and physical health and the ability to maintain strong connections between and among student-athletes and their respective institutions. As such, reasonable activities under Bylaws 16 and 17 that are designed to improve connectivity and help student-athletes in these areas should be permitted; provided the activities otherwise comply with applicable laws, institutional requirements and other school obligations under NCAA policies and legislation, including those related to health and safety.

The Administrative Committee will continue to meet weekly to evaluate and manage the various compliance issues as well as others that arise due to actions taken in response to COVID-19.

The following question and answer document is intended to assist member institutions in navigating NCAA compliance issues. Additionally, this document will be updated as new questions arise or additional compliance-related actions taken by the governance structure.

PROCESS

Question No. 1: What is a blanket waiver?

Answer: A blanket waiver is a decision by an appropriate governance body to temporarily provide relief from NCAA legislation for specific extenuating circumstances that have a reasonably demonstrated widespread impact.

Question No. 2: Does an institution have to file anything to receive the benefits of a blanket waiver?

Answer: No. An institution does not have to submit a separate waiver request to receive the benefits/exceptions granted in the blanket waiver. The waiver applies to all institutions and/or student-athletes that are addressed/described in the blanket waiver.

Question No. 3: What is the effect of the blanket waivers approved by the Division III Administrative Committee?

Answer: The blanket waiver from the Administrative Committee does the following:

- An institution's failure to satisfy sports sponsorship requirements related to **spring sports for the 2019-20 academic year** resulting from actions taken in response to COVID-19 will not result in a violation of the conditions and obligations of membership.
- Any spring sport student-athlete will not be charged with a season of participation or the use of semester.

Question No. 4: Does the blanket waiver impact a fall or winter student-athlete?

Answer: No. The blanket waiver is specific to student-athletes who are spring sport student-athletes in 2019-20 academic year. If the student-athlete is a multisport student-athlete that participates in a spring sport, then the blanket waiver would apply to the student-athlete's spring sport participation.

Question No. 5: What does it mean when the Administrative Committee states that institutions should apply flexibility in the best interest of its student-athletes?

Answer: The Administrative Committee recognizes that these are circumstances not contemplated by existing NCAA regulations and that institutions should be focused on the well-being of their student-athletes. The Administrative

Committee also recognizes that these situations will differ to a degree from campus to campus and member schools must navigate these challenges while taking into consideration state and local laws and unique institutional risks, policies and requirements. Thus, rather than approve a blanket waiver that may not address specific institutional needs, the Administrative Committee is urging institutions at this time to apply common sense principles in the best interest of their student-athletes.

To apply this flexibility, institutions do not need to seek approval from NCAA staff; but rather they are encouraged to work with applicable institutional medical, legal and risk management personnel to determine how best to leverage the available flexibility to address individual student-athlete needs and corresponding institutional considerations. Institutions should feel free to contact staff through NCAA Requests/Self-Reports Online to assist with these or any questions.

Question No. 6: What is an extension waiver and when is it necessary?

Answer: An extension waiver provides relief from the 10-semester limitation. A student-athlete would need an extension waiver if they had seasons remaining, wanted to use those seasons, but not enough semesters in which to complete those seasons. The waivers are processed through the NCAA Division III Committee on Student-Athlete Reinstatement and are submitted online via RSRO. The guidelines for these waivers are found [here](#).

There is also a previously approved waiver list found [here](#). If an institution's waiver request is the same as the one on the list, then the institution may self-apply without the necessity of requesting the waiver through RSRO.

Question No. 7: What is a season-of-participation waiver, when is it necessary and how to apply?

Answer: A season-of-participation waiver grants a student-athlete an additional season of participation. The waivers are processed through the Committee on Student-Athlete Reinstatement and are submitted online via RSRO. The guidelines for these waivers are found [here](#).

BYLAW 20.11 - MEMBERSHIP REQUIREMENTS

Question No 1: What happens if an institution does not meet sports sponsorship requirements as a result of the cancellation of remaining competition for the 2019-20 academic year?

Answer: On March 13, 2020, the Division III Administrative Committee approved a blanket waiver of any deficiencies in sports sponsorship requirements related to spring sports occurring as a result of actions taken in response to COVID-19. This would include minimum contest and participant requirements for spring sports, along with the one sport per season requirement for the spring. The blanket waiver does not extend to fall or winter sports. Therefore, an institution is still required to meet minimum contests and participant requirements for any fall or winter sports that it uses towards meeting its minimum sports sponsorship.

Question No. 2: What is the Division III membership requirement related to the NCAA Regional Rules Seminar attendance and will the requirement be waived for institutions required to attend this year?

Answer: Division III legislation requires an active member institution to send at least one institutional staff member to the NCAA Regional Rules Seminar at least once every three years. NCAA staff is reviewing alternate formats for the 2020 Regional Rules Seminars. Once an alternate format has been determined, the NCAA Division III Membership Committee will decide if additional action is necessary.

Question No. 3: Will the deadline for submission of the Institutional Self-Study Guide or Conference Self-Study Guide be extended this year?

Answer: The Division III Membership Committee will review this issue during its April 16 teleconference and determine whether to keep or extend the deadline.

BYLAW 13 - RECRUITING

Question No. 1: Have there been any new restrictions on recruiting imposed for Division III?

Answer: No. Unlike the actions taken in Divisions I and II, the Division III Administrative Committee has not imposed any additional restrictions on

recruiting in Division III; however, coaches and staff must continue to follow all current Division III recruiting legislation.

Question No. 2: Are institutions still required to request permission to contact before recruiting a four-year college prospective student-athlete currently enrolled at another institution?

Answer: Yes. However, a release is not needed if the student has officially withdrawn or graduated from the previous institution.

Question No. 3: Is the "Self-Release" for Division III student-athletes still available?

Answer: Yes. The availability and process for the self-release have not changed.

Question No. 4: May a coach use online video platforms and apps (e.g., Hangouts, FaceTime, Zoom, Skype) to contact prospective student-athletes?

Answer: Yes. These are considered forms of videoconferencing or videophone. Current Division III legislation defines these types of communications with a prospective student-athlete as telephone calls. Institutions should follow the same legislation that applies to calls with a prospective student-athlete when using any online/app videoconferencing. This would include ensuring the communication is private. If the athletics department/coach is conducting a recruiting event/visit that it would normally have conducted on campus see Question No. 8.

Question No. 5: May an institution reimburse a prospective student-athlete for expenses incurred in anticipation of making a campus visit (e.g., prospective student-athletes had purchased flights to come to campus for a visit.)?

Answer: The institution could reimburse a prospective student-athlete in the same manner it would to any prospective student.

Question No. 6: Is it permissible for prospective student-athletes to participate in a virtual tour/event of a member institution's campus sponsored/organized by the institution's admission department (or another department outside of athletics)?

Answer: Yes. It would be permissible for prospective student-athletes to take part in a general admission's virtual campus visit/events (e.g., Zoom, Skype, Twitter) that is available to the general prospective students.

Question No 7: Is it permissible for a virtual tour/event conducted/arranged by admissions to include an institutional coaching staff member or time with the institution's athletics department?

Answer: It is permissible for a virtual tour/event conducted by admissions to include an institutional coaching staff member provided the programing is being provided by the institution's admission department and is available to prospective students generally.

Question No. 8: May a coach or an athletics department conduct a virtual recruiting event (e.g., junior day) or visit involving one or multiple prospective student-athletes and those accompanying the prospective student-athlete(s)?

Answer: Yes. The NCAA Division III Management Council Subcommittee for Legislative Relief issued a blanket waiver (April 2, 2020) that would allow a coach or athletics department to conduct a recruiting event or visit virtually, provided the event itself would be permissible if done in person.

Question No. 9: May student-athletes participate in virtual visits conducted by the institution's athletics department or coach?

Answer: Yes. A current student-athlete may participate in a virtual campus visit being conducted by the institution's athletics department and/or coach.

Question No. 10: Is it permissible for a coaching staff member to send workouts to a prospective student-athlete?

Answer: Yes. Consistent with the current application of Division III legislation, it is permissible for a prospective student-athlete to receive workouts from an institution's coaching staff member after the prospective student-athlete's paid-acceptance of the institution's written offer of admission and/or financial aid.

BYLAW 14 - ELIGIBILITY: ACADEMIC AND GENERAL REQUIREMENTS

Question No. 1: What is the impact on student-athlete eligibility of the blanket waiver issued March 13, 2020, by the NCAA Division III Administrative Committee?

Answer: The blanket waiver provides relief for spring sports student-athletes regarding their use of a season and semester. For spring sport student-athletes it effectively views the 2020 spring semester as if it did not happen (from an eligibility perspective). Therefore, spring sport student-athletes

would not be charged with a season of participation for the 2019-20 academic year nor the use of a semester for the 2020 spring semester.

Question No. 2: Which student-athletes does the March 13 blanket waiver apply?

Answer: It applies to all spring sport student-athletes: This includes the following: Baseball, Golf (M/W), Lacrosse (M/W), Rowing, Softball, Tennis (M/W), Outdoor Track and Field (M/W), Volleyball (M), National Collegiate Beach Volleyball (W) and National Collegiate Water Polo (W). Even if your institution conducted your conference season in the fall for golf or tennis, these student-athletes would still benefit from the blanket waiver. Meaning, they would not be charged with the use of a season for the 2019-20 academic year in the spring sport and only the fall semester would count against the allowable semesters.

Question No. 3: What is the impact of a spring sport student-athlete that was in their 10th semester during the 2020 spring semester?

Answer: The student-athlete would only get one semester, meaning, they would need to do one of the following: (1) Not attend full time in the fall and do not participate in athletics during that semester; or (2) Seek a waiver through the Division III Committee on Student-Athlete Reinstatement to extend the student's eligibility period (see response to question above for extension waiver).

Question No. 4: How does the blanket waiver approved by the Administrative Committee on March 13 impact enrollment status for the 2020-21 academic year?

Answer: A student-athlete wishing to participate during the 2020-21 academic year will be subject to all NCAA enrollment and eligibility requirements. Specifically, they would have to be enrolled in a full-time program of studies leading to baccalaureate degree (or graduate program) and be in good academic standing as defined by the institution.

Question No. 5: Can a student-athlete that graduates this year participate during the 2020-21 academic year while enrolled less than full time?

Answer: No. the student-athlete would have to be enrolled full time in either a graduate program or second baccalaureate program.

Question No. 6: Can a student-athlete that graduates this year participate during the 2020-21 academic year while enrolled full time in classes that lead to a minor or for a certificate program?

Answer: No. The student would have to be enrolled full time in a graduate program or second baccalaureate program.

Question No. 7: Is there a waiver opportunity to allow a student-athlete to participate while enrolled less than full time?

Answer: Yes. An institution may request a waiver through the NCAA Division III Management Council Subcommittee for Legislative Relief for a student-athlete to participate while enrolled less than full time. The guidelines/directives for those waivers are found [here](#). These waivers are submitted through RSRO.

Question No. 8: Can a student-athlete that used the less than full-time enrollment exception per Bylaw 14.1.8.1.6.1 (final semester/quarter—practice or competition) during the 2020 spring semester, use the exception again?

Answer: Yes. While typically a student-athlete could only use the exception once, the blanket waiver issued by the Administrative Committee effectively nullified the semester for purposes of eligibility and, therefore, the exception could be used again.

Question No. 9: Does a student-athlete need to be enrolled full time to participate in virtual practices conducted by the institution?

Answer: Currently no. However, for the 2020-21 academic year all existing eligibility requirements apply.

BYLAW 16 - AWARDS, BENEFITS AND EXPENSES FOR ENROLLED STUDENT-ATHLETES

Question No. 1: What benefits may an institution provide to student-athletes impacted by actions taken in response to COVID-19?

Answer: NCAA Division III Administrative Committee (March 13, 2020) directed institutions to apply flexibility through the end of the academic year.

Such flexibility would include, but would not be limited to (1) providing storage or shipment of student-athlete belongings; (2) lodging and transportation expenses for the student-athletes to travel home as a result of school closures, evacuations and quarantines; and (3) reimbursement (e.g. monetary, travel vouchers and company credits for future use) for out-of-

pocket expenses associated with student-athlete participation in intercollegiate athletics or academic endeavors.

Question No. 2: May institutions continue to provide benefits incidental to student-athletes' participation in intercollegiate athletics (or that are otherwise permitted under Bylaw 16)?

Answer: Yes. Such benefits have included, but would not be limited to, providing workout programs, equipment (and retention through summer) and continued rehabilitation and medical services. (See Playing and Practice Season section of Question and Answer Guide for any additional parameters.)

Question No. 3: May institutions fundraise for their student-athletes who are impacted by COVID-19?

Answer: Yes, provided the fundraiser satisfies the requirements of Bylaw 16.11.1.13. Specifically, the proceeds must be designated for a specific purpose and any excess proceeds must be given to a not-for-profit organization, with all receipts kept on file by the institution.

Further, institutional staff members and representatives of the institution's athletics interests would be permitted to donate to such fundraisers.

BYLAW 17 - PLAYING AND PRACTICE SEASONS

Question No. 1: What type of flexibility does the March 13 directive and March 26 clarification issued by the NCAA Division III Administrative Committee allow?

Answer: Institutions should determine the type, frequency and timing of interactions that are appropriate for the health and well-being of their student-athletes. The Administrative Committee recognized the unique circumstances facing student-athletes at this time and the potential challenges imposed by Bylaw 17 regulations that would otherwise limit certain interactions with athletics staff that could be beneficial to the well-being of student-athletes during this period. Given these unique circumstances, the Administrative Committee acknowledged that institutions should exercise flexibility with respect to interactions with student-athletes. This increased flexibility should be exercised for the purposes of fostering the well-being of the student-athlete and/or the connectivity between and among student-athletes and their respective institutions.

Specifically, the following should guide institutions on how they may apply this flexible approach:

- Institutional staff may interact with student-athletes (in a group or individually) in virtual meetings that do not involve physical activities for the purpose of sharing nonathletics and/or athletics information. The meetings may include for example: chalk talk; lecture on or discussion of strategy related to the sport; review of game film or videos related to the sport. (See Bylaw 17.02.1.1 generally).
- Coaches may recommend self-directed workouts for student-athletes to use while residing at home or away from campus; provided the workouts are approved by applicable institutional athletics and/or medical personnel and otherwise adhere to applicable legislative, policy and institutional requirements (e.g. Interassociation Recommendations Preventing Catastrophic Injury And Death In Collegiate Athletes) and institutional staff may not supervise or conduct such workouts.
- Institutional staff may ask student-athletes to discuss their workouts and/or other school-related activities with their coaches and/or strength and conditioning coaches.
- Student-athletes may share pictures, videos or comments about their workouts and/or other school-related activities with institutional staff.
- Institutional staff may share sport-related pictures, videos and/or other educational materials for the purpose of demonstrating proper form or technique (these exercises could be sport specific).

Question No. 2: Does the institutional discretion directed by the Administrative Committee apply to the summer?

Answer: Yes, for now. If circumstances change then this increased flexibility could be reviewed by the Administrative Committee or Division III Management and President Councils. This flexibility does not change the preseason start dates for the 2020-21 fall sports.

Question No. 3: May institutions provide equipment for student-athletes to use during the summer?

Answer: Yes. Bylaw 16.11.1.9 states that a student-athlete may use institutional athletics equipment during a summer vacation period.

CHAMPIONSHIPS

- Question:** How does the cancellation of the spring sport season impact a conference's automatic qualifiers status if they were in a grace period or waiting period?
- Answer:** The NCAA Division III Championships Committee will review this issue at its April teleconference.



**REPORT OF THE NCAA DIVISION III OVERSIGHT
GROUP TO IMPLEMENT RECOMMENDATIONS OF FEDERAL AND STATE
LEGISLATIVE WORKING GROUP (NAME, IMAGE AND LIKENESS)
APRIL 9, 2020, TELECONFERENCE**

ACTION ITEM.

- **None.**

INFORMATIONAL ITEM.

1. **Welcome.** President Tori Murden McClure welcomed the working group to the teleconference and reviewed the roster.
2. **Charge and Background Information.** The Oversight Group reviewed its charge and composition, as established by the Division III Administrative Committee.
3. **NCAA Convention.**
 - a. **SAAC Executive Summary.** The working group received an update from SAAC's 2020 NCAA Convention meeting, noting the following areas where the committee members seek increased opportunities for student-athletes to utilize their name, image, and likeness:
 - Research - conducting and promoting research using student-athlete status.
 - Publishing and work product – art, literature, etc.
 - Tutoring, consulting, contracting, advising – student-athletes identified this category to serve as an all-encompassing group, featuring opportunities like college selection, and consulting with expertise as a student-athlete.
 - Recruiting and scouting.
 - Student-athlete business.

In addition, the committee showed preliminary support for private lessons but was neutral on crowd funding and brand ambassadors due to the Division III Philosophy.

During this discussion, Braly Keller, SAAC chair, expressed SAAC's excitement to have this discussion and that SAAC was encouraged to possibly have the same opportunity as students in general.

- b. **Issues Forum Executive Summary.** Issue Forum feedback indicated: (1) Concept A was the closest to the current model and Division III philosophy; (2) Concept B showed that less than half of the tables were concerned about possible negative outcomes with little to no institutional control; and (3) Concept C did not seem feasible for the average Division III institution, as it would increase institutional monitoring of both student-athlete activities and institutional logo usage.

4. **Interpretations and Legislation Committee Report.** The working group received an update from ILC noting its recommendations to consider potential legislative action allowing Division III student-athletes to use their student-athlete status in the following settings:

- The promotion of various academic and other endeavors involving their work product (e.g., research, book publishing, class projects, tutoring, personal business, creative endeavors, media activities, private lessons).
- Participation in promotions and other endorsements (e.g., brand ambassador, commercials, appearances/autographs, modeling) provided payment is commensurate with the going rate, the institution is not involved in securing the promotion and these opportunities are not part of the recruiting process.

NOTE: ILC will continue to refine these concepts, including monitoring/reporting.

The committee didn't recommend any changes to permit crowdfunding but will continue to discuss this issue.

5. **Divisional Comparison Chart.** Staff reviewed a comparison chart which shows that preliminary recommendations in all three divisions are in a fairly similar place. The working group noted that all divisions do not need to end up at exactly the same place; however, consistency will be beneficial
6. **NIL PowerPoint.** Staff noted a DIII NIL webinar was held April 1 and that over 300 attended. The ILC proposals were shared and overall feedback was positive. The biggest question related to monitoring, compliance and education, and related challenges on campus.
7. **Input from FSLWG Members.** President Mary-Beth Cooper, member of the FSLWG, felt that the Division III was consistent with the direction of the working group. She noted there are many challenges due to the mission of each division and will share the comments of this oversight group during its next meeting.
8. **Future Division III Meetings/Timetable.**
- April 18-19 Division III Student-Athlete Advisory Committee.
 - April 20-21 Division III Management Council.
 - April 29 Division III Presidents Council.
 - Regional Rules Seminar (TBD).
 - June 17-18 Division III Commissioners meeting (TBD).
 - CoSIDA/NACDA/NADIII (TBD).
 - July 18-19 Division III Student-Athlete Advisory Committee.
 - July 20-21 Division III Management Council.
 - August 4 Division III President's Advisory Group.
 - August 5 Division III Presidents Council.

Staff noted the challenge in obtaining membership feedback due to the elimination of in-person meetings such as Regional Rules Seminars and NACDA.

9. **Other Business.** Staff noted a Membership Feedback Form will be sent to gain additional feedback regarding NIL since events are limited. The timeframe for these to be returned are mid-May to mid-June. The Councils will review during their summer meetings to determine if any legislation is needed for the 2021 NCAA Convention.
10. **Adjournment.** The meeting was adjourned at 10:57 a.m.

Committee Chair: Tori Murden McClure, Spalding University
Staff Liaisons: Dan Dutcher, Division III Governance
Louise McCleary, Division III Governance
Jeff Myers, Academic and Membership Affairs

NCAA Division III Oversight Working Group on NIL April 9, 2020, Teleconference	
Attendees:	
Heather Benning, Midwest Conference	
Mary Beth Cooper, Springfield College	
Jason Fein, Bates College	
Braly Keller, Nebraska Wesleyan University, SAAC	
Angela Marin, University of Texas at Dallas	
Angie Morenz, Blackburn College	
Tori Murden McClure, Spalding University	
Absentees:	
Jackson Erdmann, Saint John's University, student-athlete	
Daryl Sims, University of Wisconsin-Oshkosh	
NCAA Staff Support in Attendance:	
Scott Bearby, Dan Dutcher, Debbie Kresge, Louise McCleary, Jeff Myers, Ali Spungen and Rachel Stern	



**REPORT OF THE NCAA DIVISION III
DIVERSITY AND INCLUSION WORKING GROUP
JANUARY 13, 2020, TELECONFERENCE**

ACTION ITEM.

- None.

INFORMATIONAL ITEMS.

1. **Welcome and Roster.** The chair, Gerard Bryant, commenced the NCAA Division III Diversity and Inclusion Working Group teleconference a 1:02 p.m. Eastern time Monday, January 13, 2020. He welcomed the working group.
2. **Report of October 3, 2019, Teleconference.** The working group reviewed the report and had no edits or corrections.
3. **Student-Athlete Graduation Rate Report.** Staff reviewed a PowerPoint detailing the most recent graduation rate report results. With the student-athlete graduation rate reporting being a mandatory submission in 2019-20, due June 1, 2020, staff is preparing to provide resources and education in the spring to assist institutions. On its October teleconference, the working group emphasized the importance of studying the data and developing best practices, ideally in collaboration with the NCAA Minority Opportunities and Interest Committee, to educate and assist the membership in increasing graduation rates for African American male student-athletes.

On this teleconference, the working group discussed next steps after the 2019-20 data collection. The working group noted the importance of broadening the discussion beyond Division III. Specifically, it directed staff to answer the following questions:

- a. How can Division III collaborate with the Minority Opportunities Interest Committee?
- b. How does the low African-American male graduation rate compare to the other divisions? Why is there a difference between the divisions?
- c. Is there a correlation between graduation rate and transfer rates?
- d. Does the NCAA know the socio-economic and grade point average of incoming African-American student-athletes?

The working group also requested staff research existing resources and conversations related to minority graduation rates that would assist Division III as it determines how best to serve the membership.

Staff will reach out to the following organizations:

- Black Student-Athlete Summit.
- Board of Governors Strategic Plan: Diversity.
- Divisions I and II.
- Division III FAR Advisory Group.
- Minority Opportunities Interest Committee.
- N4A: The National Association of Academic and Student-Athlete Development Professionals.
- NCAA Accelerating Academic Success Program.
- Racial Equity Institute, Shaun Harper.

4. **Division III Diversity Grants.** Lamarr Pottinger, the new associate director in Leadership Development, provided an update on the strategic vision of the Division III diversity grants. Division III is committed to providing funding for its three diversity and inclusion grants with a budget of approximately two million annually.

Leadership development will collect data on the diversity grant recipients to determine the success of these programs. The last data study was six years ago, and it showed 90% of the Strategic Alliance Matching Grant recipients stayed in Division III and approximately 65% of Ethnic Minority and Women's interns stayed in the division.

5. **Division III Diversity Recruitment Plan.** The working group discussed the potential need to develop resources to assist athletics departments in the recruitment and retention of diverse prospective student-athletes. While institution's admissions departments have diversity recruiting strategies, the strategies do not translate well to the competitive nature of athletics recruiting. The issue is that institutions are instructing coaches to recruit more students of color without providing effective strategies and tools. The working group will continue this discussion on its next teleconference and determine next steps.
6. **Other Business.** The chair noted the 2020 Convention proposal to create an athletics diversity and inclusion designee that will be voted on by all three divisions. The 2020 Inclusion Forum will be April 17-19 in Denver and the registration process is now open.

7. **Next Steps.** On its next teleconference, the working group will continue its discussion on student-athlete graduation rates and diversity recruitment strategies.
8. **Future teleconferences.** The next teleconference is slated for April 9, 2020, at 2 p.m. Eastern time.
9. **Adjournment.** The call adjourned at 1:58 p.m. Eastern time.

*Staff Liaisons: Louise McCleary, Division III Governance
Niya Blair, Office of Inclusion
Amy Wilson, Office of Inclusion*

NCAA Division III Diversity and Inclusion Working Group Teleconference date: January 13, 2020	
Attendees:	
Nnenna Akotaobi, Swarthmore College.	
Gerard Bryant, John Jay College of Criminal Justice.	
Javier Cevallos, Framingham State University.	
Cat Lanigan, Juniata College.	
Joe Onderko, Presidents Athletic Conference.	
Dan Schumacher, University of Wisconsin, Eau Claire.	
Jason Verdugo, Hamline University.	
Natalie Winkelfoos, Oberlin College.	
Nicolle Wood, Salem State University.	
Absentees:	
Jason Fein, Bates College.	
NCAA Staff Support in Attendance:	
Niya Blair, Louise McCleary, Lamarr Pottinger, Amy Wilson.	
Other in Attendance:	
None.	

**REPORT OF THE
NCAA DIVISION III FACULTY ATHLETICS REPRESENTATIVE
ADVISORY GROUP
JANUARY 15, 2020, TELECONFERENCE**

KEY ITEMS

- **Review of Division I proposal 2018-66.** Division I Proposal 2018-66 amends Division I Bylaw 14.2.1.3 to allow student-athletes enrolled in less than a minimum full-time program of study in the final semester or quarter prior to graduation to practice and compete if they are enrolled in a minor or undergraduate certificate program. The Division III Faculty Athletics Representatives Advisory Group was asked by NCAA Academic and Membership Affairs staff for its opinion on the appropriateness of this bylaw for Division III.

Currently, Division III Bylaw 14.1.8.1.6 provides an exception for student-athletes who are enrolled in less than a minimum full-time program of study in the final semester or quarter of a baccalaureate or graduate program. These student-athletes are permitted to practice and compete provided the institution certifies that the student is carrying the courses necessary to complete the degree requirements. Amending Division III Bylaw 14.1.8.1.6 in the same way Division I amended Bylaw 14.2.1.3 would expand the exception to include enrollment in a minor or undergraduate certificate program.

The Advisory Group agreed this concept would be appropriate for Division III. The group noted the increasing prevalence of undergraduate certificate programs at colleges and universities across the country and the opportunities these programs provide students to further their education and build stronger job resumes and portfolios. This amendment represents permissive legislation where the decision to pursue a minor or undergraduate certificate is made by the student-athlete. From a compliance perspective, the group viewed this amendment as a means to simplify the process of determining academic eligibility within a narrow set of circumstances.

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

1. **Approval of report from December 12, 2019 teleconference.** The report was approved.

2. Other Business.

Chuck Brown extended an invitation to all Advisory Group members to the Faculty Athletics Representatives Association reception at the NCAA convention on Friday January 24 at 6:00 p.m. at the Anaheim Marriott Grand Ballroom E.

3. Adjournment. The meeting adjourned at 1:45 p.m.

Staff Liaison: Eric Hartung, Research

NCAA DIVISION III FACULTY ATHLETICS REPRESENTATIVE ADVISORY GROUP December 12, 2019	
Attendees	Absentees
Kurt Beron, University of Texas, Dallas	
Hope Bussenius, Emory University	
Charles Brown, Penn State, Behrend	
Judy Hopp, University of Wisconsin, Stout	
Mike Litz, Penn State, Abington	
Wanda Rutledge, New Jersey City University	
Mike Snyder, Illinois College	
Kim Wenger, Northwest Conference	
NCAA Staff Support in Attendance:	
Eric Hartung	

**REPORT OF THE
NCAA DIVISION III FACULTY ATHLETICS REPRESENTATIVE
ADVISORY GROUP
MARCH 10, 2020, TELECONFERENCE**

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

1. **Approval of report from January 15, 2020 teleconference.** The report was approved.
2. **Review of NCAA Division III Presidents and Management Councils Summary of Winter 2020 Quarterly Meetings.** Chuck Brown, group chair and Division III Management Council representative, led the group through a review of the summary highlighting the following key items:
 - **Review of the Division III Strategic Positioning Platform.** The Division III Strategic Planning and Finance Committee will lead the review of the platform. The group requested Chuck Brown, a member of the committee, confirm the group has the opportunity to provide input citing the importance of the Faculty Athletics Representative voice in the process.
 - **The National Association for College Admission Counseling (NACAC) Code of Ethics.** The group noted the letter sent by the Division III Financial Aid Committee to remind member institutions of the importance of compliance with Bylaw 15 (Financial Aid) when considering and implementing policy in response to changes to the NACAC Code of Ethics (e.g. incentives related to early decision enrollment plans, recruitment of prospective students after the deposit deadline). The group also noted this could be an important topic to include in future educational forums such as the national convention.
 - **Student-Athlete Advisory Committee (SAAC).** Michael Litz, Division III SAAC representative, updated the group on SAAC's #BreakTheStigma campaign related to mental health. The group applauded SAAC's work on this topic and requested Michael Litz coordinate the notice of the campaign in the Faculty Athletics Representatives Association (FARA) newsletter, The FARA Voice. Kurt Beron and Chuck Brown, members of FARA, agreed to assist.

- **Communication of Division III Faculty Athletics Representatives Advisory Group proceedings.** Chuck Brown noted the inclusion of the Advisory Group reports in the Division III Management Council agenda. The group requested Chuck Brown coordinate with Kurt Beron to include note of the group's proceedings in the FARA newsletter, The FARA Voice.
- **NCAA Growth, Opportunities, Aspirations and Learning of Students in college (GOALS) Study.** Chuck Brown reviewed the key items from the latest study findings as they are listed in the summary report. The group requested NCAA staff to compile a broader report for review at the next quarterly meeting.

3. Other Business.

Chuck Brown asked the group to consider submitting agenda items for the upcoming FARA Spring Retreat. FARA uses the Spring Retreat as an opportunity to set the agenda for their annual Fall meeting.

It was noted that the next quarterly teleconference will be held June 9, 2020 at 2 p.m. Eastern.

4. Adjournment. The meeting adjourned at 2:55 p.m.

Staff Liaison: Eric Hartung, Research

NCAA DIVISION III FACULTY ATHLETICS REPRESENTATIVE ADVISORY GROUP March 10, 2020	
Attendees	Absentees
Kurt Beron, University of Texas, Dallas	
Hope Bussenius, Emory University	
Charles Brown, Penn State, Behrend	
Judy Hopp, University of Wisconsin, Stout	
Mike Litz, Penn State, Abington	
Wanda Rutledge, New Jersey City University	
Mike Snyder, Illinois College	
Kim Wenger, Northwest Conference	
NCAA Staff Support in Attendance:	
Eric Hartung	



**REPORT OF THE
NCAA DIVISION III LGBTQ WORKING GROUP
FEBRUARY 21, 2020, TELECONFERENCE**

ACTION ITEMS.

- **None.**

INFORMATIONAL ITEMS.

1. **Welcome and review of roster.** The NCAA Division III LGBTQ Working Group chair welcomed the group and conducted the roll call.
2. **Review and approval of December 9, 2019 teleconference report.** The working group reviewed and approved its December 9, 2019, teleconference report.
3. **Review mission statement.** The working group reviewed the mission statement and acknowledged that as a living document, it may be modified at any time.
4. **NCAA Division III LGBTQ OneTeam Program updates.** The working group received updates on the Division III LGBTQ OneTeam Program, including the number of sessions conducted by trained facilitators since inception and recent trends. Staff shared that the total number of participants crossed the 1,750 mark in less than six months.
5. **Division III LGBTQ and Allies Reception update.** The working group received updates on the inaugural LGBTQ and Allies Reception that recently took place during the 2020 NCAA Convention. Members reported on an engaging and rich experience, and shared attendees' positive feedback and sense of pride. The group discussed ways to enhance the experience and maximize attendance for next year.
6. **Division III LGBTQ OneTeam Recognition Awards Program.** The working group discussed the awards application process, which is now open until June 1. Staff shared the communication strategy to optimize the number of applications. Members were reminded they are eligible to nominate for any of the awards.

7. **Future teleconference.** Staff noted the next working group teleconference is scheduled for 2 p.m. Eastern time Tuesday, May 5.

Staff support: *Louise McCleary, Division III Governance*
 Jean Merrill, office of inclusion
 Shay Wallach, office of inclusion

NCAA Division III LGBTQ Working Group February 21, 2020, Teleconference	
Attendees:	
Mika Costello, Willamette University.	
R. Brit Katz, Millsaps College.	
Kyrstin Krist, Methodist University.	
Crystal Lanning, University of Wisconsin – River Falls.	
Emet Marwell, Smith College.	
Scott McGuinness, Washington and Jefferson College.	
Donna Ledwin, Allegheny Mountain Collegiate Conference.	
Neil Virtue, Mills College.	
Absentees:	
Kathleen Murray, Whitman College.	
NCAA Staff Liaisons in Attendance:	
Jean Merrill and Shay Wallach.	

Gameday the DIII Way Implementation Timeline



THE COMMON PURPOSE FOR DIVISION III ATHLETICS EVENTS IS:

“We create a respectful and engaging educational environment through athletics, for everyone.”

OUR SERVICE STANDARDS:

SAFETY – RESPONSIVENESS – DIGNITY – EXPERIENCE

August 2019 – Created PSA script for use during 2019-20 championships. Gameday the DIII Way module available on DIII University. The Gameday module is 60 minutes long. Past Gameday facilitators, Division III SAAC and Division III championship managers have all been assigned the module.

2019-2020 - Request Sport Committees and Regional Advisory Committees watch the Gameday module. These individuals often are chosen as site representatives. Module could be part of committee on-boarding or training.

December 2019 – Fifty-six (56) Division III members participated in a Gameday Ambassador day-long facilitator training with the Disney Institute in Philadelphia.

July 2020 – Division III SAAC will film a Public Service Announcement for Gameday the DIII Way.

2021-2022 Manuals – In summer 2020, provide Gameday language to be used in championship manuals (Host Operations, Participant, Pre-Championship, Site Representative and Sport Committee). Request predetermined hosts event management staff watch the Gameday module.

Find more information here: <http://www.ncaa.org/division-iii-sportsmanship>

DIVISION III IDENTITY INITIATIVE

Division III Purchasing Website

After signing a new two-year contract extension (2019-2021), the Division III Purchasing Website is in its ninth year of operation, and its eighth year of management by Source One Digital. In September, a new \$500 credit was offered to institutions and conferences for purchases from the site during the 2019-20 year. Thus far, approximately 24 percent (110) of the institutions and 41 percent (18) conference offices have used their credit, which ends on August 31, 2020. As of September 10, the Source One Digital website contains new retractable banners, tumblers, bags, and more.

Division III/D3SIDA Recognition Award

The Division III College Sports Information Directors of America (D3SIDA) selection committee recently selected Middlebury College Assistant Sports Information Director Ali Paquette as the Winter recipient of the D3SIDA Recognition Award for his story titled [The Road to the National Championship – Through the Eyes of an SID](#). The next submission deadline is May, 2020. The Division III and D3SIDA Recognition Award is a partnership between the Division III governance staff and D3SIDA to honor the best work - including news releases, feature articles, videos, blogs and other materials - produced by Division III campus and conference athletics communication offices. Each top honoree, named three times annually, will receive a \$1,500 credit to attend Division III Day at the 2020 CoSIDA Convention in Las Vegas, Nevada.

Social Media

During 2018-19 academic year, in coordination with the assistant commissioner subcommittee, NCAA staff decided to launch an Instagram page. In the span of 5 months, the page now gained over 5K followers, totaling to 13K followers in 15 months. Check out the page [here](#). From October 19 to Division III Week (April 13-19, 2020), Division III conferences will be taking over the @NCAADIII Instagram account. In addition to the takeovers, we will highlight your conference on the Division III timeline with the founding-year, total number of member institutions, as well as a fun fact. NCAA staff has continued to employ a social media strategic plan to leverage social media as a primary channel for sharing the Division III story with current student-athletes, administrators, potential student-athletes, parents, and supporters. We have reached over 70K Twitter Followers and 30K Facebook Followers.

Special Olympics

Division III championships will continue to serve as a platform for highlighting and recognizing the division's partnership with Special Olympics. Division III conferences, institutions and student-athletes across the country are encouraged to participate in existing Special Olympics events, create their own events, or otherwise serve to support Special Olympics organization. To date, 28 institutions and three conference office have **reported** Division III Special Olympics activities during the 2019-20 year. Activities thus far have involved approximately 4,000 Division III student-athletes and just over 3,000 Special Olympic athletes. The division, to date, has dedicated just over 9,300 volunteer hours and raised over \$14,000 as a result of these activities. During the 2018-19 academic year, Division III reached an all-time high 107 institutions reported Special Olympic events. Staff continues to encourage schools and conferences to report their events.

Website Content

NCAA.org continues to provide Division III with additional opportunities to use its home page to share more stories portraying its unique student-athlete experience. The page regularly highlights Division III feature stories from sources such as member websites and Champion magazine, and videos produced by the NCAA and by member schools and conferences. As a reminder, the new FTP site is now titled Videos and Resources. Here you will be able to find logos, videos, EPSs, and other helpful resources. Sports information directors are encouraged to send human interest stories and record-breaking performances to d3identity@ncaa.org. Division III staff also held a webinar on how to navigate the Division III website. For more information, please click [here](#). For COVID-19 updates on our website, click [here](#).

Division III Week

Despite the unprecedented times, the ninth annual Division III Week will still take place April 13-19, 2020. A communications kit for DIII Week is available. For more information on the annual event, click [here](#). NCAA staff encourages the membership to observe and celebrate the impact of Division III athletics and of student-athletes on the campus and surrounding community.



**REPORT OF THE
NCAA DIVISION III TECHNOLOGY USERS GROUP
FEBURARY 3, 2020, TELECONFERENCE**

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

- 1. Welcome.** The NCAA Division III Technology Users Group commenced business at 1:01 p.m. Eastern time Monday, February 3, 2020. The chair, Angel Mason, welcomed the user's group and completed the roll call.
- 2. Report of October 17, 2019, teleconference.** The users group reviewed the report and noted no changes.
- 3. Updates and actions since October.** At the request of the chair, staff provided updates on the following areas:
 - a. Compliance Database.** The NCAA IT staff is working on addressing two issues identified by the user's group.
 - (1)** An issue occurs when a student-athlete uses the compliance database and sends a question to the compliance officer. The question submission creates a blue question mark in the system. However, if the compliance officer provides an answer, there is no way to clear the question mark.
 - (2)** A compliance officer can indicate the receipt of a student-athlete's minor form. However, when the compliance officer sends all the compliance forms to the student-athletes, the system will automatically resend the minor form.
 - b. MyApps Email Tile.** With feedback from the Division III Management Council, the user's group will not pursue requesting a new MyApps tile to house all NCAA emails.
 - c. NCAA Learning Portal.** Staff clarified that if a single-source sign-on administrator assigns a course in the Learning Portal, they can unassign the course. However, if NCAA staff assigns a course (e.g., Division III committee member training video), the single-source sign-on administrator cannot unassign the course.
- 4. National Association for College Admission Counseling (NACAC) code of ethics and the NCAA Transfer Portal.** The users group discussed the recent actions by the NACAC and the paring back of its code of ethics. The users group discussed if the NACAC's action will have a negative impact to use of the NCAA Transfer Portal. If admission offices correspond with student-athletes in the portal who have indicated they do not want to be contacted or have matriculated to another institution; does it constitute a violation? The users group instructed staff

to request the Division III Interpretations and Legislation Committee to review and determine if there are any possible bylaw violations. Further, should staff advise the membership about acceptable uses of the portal?

5. **Other business.** The users group noted receiving an email three times regarding the Academic Portal for Division I and ask staff to research the multiple sends for a topic unrelated to Division III. Also, it noted that the master calendar on ncaa.org was not up to date.
6. **Next teleconference.** Staff will send out a doodle poll for a June teleconference. Agenda items will include:
 - a. Compliance database updates.
 - b. Update from the Interpretations and Legislation Committee's discussion on the NCAA Transfer Portal and (NACAC) code of ethics and professional practices.
7. **Adjournment.** The call adjourned at 1:42 p.m. Eastern time.

Staff Liaison: Louise McCleary, Division III Governance

NCAA Division III Technology Users Group February 3, 2020, Teleconference	
Attendees:	
Amy Carlton, American Southwest Conference	
Emily Diekelmann-Loux, MASCAC	
Angel Mason, Pomona-Pitzer Colleges	
Rosy Resch, University of Chicago	
Jeff Schaly, Marietta College	
Absentees:	
Lance Franey, Whittier College	
NCAA Staff Support in Attendance:	
Louise McCleary	

International Ice Hockey Participation History Pilot Program Survey: Institutions Report

March 2020

INTRODUCTION

During the 2019-20 academic year, Division III extended the international ice hockey pilot for a second year based on survey results from last year's pilot participants. The pilot parameters included the NCAA Eligibility Center (EC) reviewing the sports participation history of all international first-year ice hockey players as part of their amateurism review process.

To enhance the pilot and better inform the membership of the benefits and drawbacks of certification through the EC, the Division III Management Council determined that certification should align more closely with the current EC review process for international student-athletes in Divisions I and II. Therefore, the 2019-20 pilot included several important changes, incorporating feedback from the first year of the pilot and reflecting more closely the actual experience of working with the EC for amateurism certifications. Specifically, the EC conducted the second year of the pilot through the EC membership portal that is used for amateurism certifications in Divisions I and II. In addition, prospective student-athletes paid half the cost of their EC certification and Division III refunded the other half of the registration fee.

- On August 1, 2019 or later, the 93 institutions that sponsor men's and/or women's ice hockey had the option to submit names of international first year student-athletes to the EC for amateurism certification.
- 51 institutions submitted names. The 42 other institutions did not have first-year international ice hockey student-athletes or chose not to participate.
- 159 names were submitted for amateurism certification which included an assessment of the teams and leagues with which the prospective student-athlete participated, evaluation of any compensation or other benefits associated with athletics participation and evaluation of possible agent involvement.
- 156 student-athletes were certified and three were not certified.

BACKGROUND INFORMATION

The survey was sent to a total of 93 institutions. Responses were received from 43 (46 percent).

Forty-three respondents indicated they sponsor men's ice hockey and 33 sponsor women's ice hockey.

Number of participation reviews conducted in a typical year			
Men's Ice Hockey		Women's Ice Hockey	
Number of Names Submitted	Number of Schools	Number of Names Submitted	Number of Schools
0	3	0	12
1	10	1	10
2	10	2	6
3	8	3	2
4	5	4	1
5	3	5	2
6	2	6	0
7	0	7	0
8	1	8	0
9	0	9	0
10	1	10	0

Number of names submitted to the EC for a participation review			
Men's Ice Hockey		Women's Ice Hockey	
Number of Names Submitted	Number of Schools	Number of Names Submitted	Number of Schools
0	6	0	23
1	11	1	3
2	9	2	4
3	4	3	3
4	4	4	1
5	5	5	2
6	1	6	0
7	1	7	0
8	0	8	0
9	1	9	0
10	1	10	0

In a typical year, most Division III institutions conduct four or fewer participation reviews for men's ice hockey and three or fewer for women's ice hockey. The pilot program fit with this pattern of participation reviews.

POST-PILOT PROGRAM REVIEW

Institutions were asked to indicate how satisfied they were with the communication efforts prior to submission of names and during the review process, using a Scale of 1 to 5, with 1 being communication was inadequate to 5 being communication was excellent.

Average Level of Satisfaction	
Prior to submission of names	3.31
During the review process	3.25

Respondents indicated an above average level of communication prior to and during the review process.

Level of Understanding of Pilot Parameters	
Level	% of Respondents
Complete Understanding	32
Some Understanding	61
No Understanding	7

Nearly all institutions indicated at least some understanding of the pilot parameters prior to submitting a roster of names for review.

Reduction of Burden	
Level	% of Respondents
Increased Burden	25
No Impact	17
Reduced Burden	58

The pilot program reduced the burden associated with conducting participation reviews for the majority of survey respondents.

Institutions were asked to indicate how satisfied they were with the EC Portal and the review summary decision document, using a Scale of 1 to 5, with 1 being highly dissatisfied and 5 being highly satisfied.

Average Level of Satisfaction	
EC Portal	3.47
Review summary decision document	3.51

Respondents indicated an above average level of satisfaction with the EC Portal and the review summary decision document.

Benefits of the EC Conducting Participation Reviews	
Benefit	% of Respondents
Assurance of the consistent application of Bylaw 12 (Amateurism)	77
Establishes a “level playing field”	63
Confidence in the final decision	63
Reduces the compliance administrative burden	44
Reduces the timeline for certification	21

The most significant benefit noted by the survey respondents was the assurance of the consistent application of Bylaw 12 (77 percent). Nearly two-thirds indicated that participation reviews conducted by the EC establishes a “level playing field” and provided confidence in the final decision.

Institutions were asked to indicate the level of burden on their student-athletes to pay for EC certification, using a scale of 1 to 5, with 1 being extremely burdensome and 5 being not burdensome at all.

Burden on Student-Athletes to Pay for EC Certification	
Level	% of Respondents
Extremely Burdensome	34
Burdensome	29
Some Burden	17
Minimal Burden	17
Not Burdensome at All	3

Nearly two-thirds of respondents indicated burden or extreme burden for student-athletes to pay for EC certification.

POTENTIAL NEXT STEPS

Respondents were asked to provide their input in determining what this program could look like moving forward.

If the EC was to continue with amateurism certifications, 63 percent of institutions reported they would like the EC to conduct amateurism certifications for all international student-athletes. Two-thirds of institutions indicated sports in addition to men’s and women’s ice hockey should be included. The sports receiving the most support were men’s and women’s soccer and men’s and women’s tennis.

Two-thirds of respondents indicated they are NOT comfortable with Division III student-athletes paying the registration fee should the EC conduct amateurism certifications. Rationale for this included:

- “I believe it is the responsibility of the NCAA to determine eligibility for these matters. They are executing the judgement. Therefore, why should PSA's need to pay to prove their eligibility?”
- “With the rising cost of tuition, room and board for our students, there should not be a cost for them to be certified.”
- “Our student athletes do not have a chance at athletic scholarship and sometimes it takes everything they have in order to pay.”
- “It seems like a small pool of candidates and the NCAA could cover the cost.”

International Ice Hockey Participation History Pilot Program Survey: Conference Report

March 2020

INTRODUCTION

During the 2019-20 academic year, Division III extended the international ice hockey pilot for a second year based on survey results from last year's pilot participants. The pilot parameters included the NCAA Eligibility Center (EC) reviewing the sports participation history of all international first-year ice hockey players as part of their amateurism review process.

To enhance the pilot and better inform the membership of the benefits and drawbacks of certification through the EC, the Management Council determined that certification should align more closely with the current EC review for international student-athletes in Divisions I and II. Therefore the 2019-20 pilot included several important changes, incorporating feedback from the first year of the pilot and reflecting more closely the actual experience of working with the EC for amateurism certifications. Specifically, the EC conducted the second year of the pilot through the EC membership portal that is used for amateurism certifications in Divisions I and II. In addition, prospective student-athletes paid half the cost of EC certification and Division III refunded the other half of the registration fee.

- On August 1, 2019 or later, the 93 institutions that sponsor men's and/or women's ice hockey had the option to submit names of international first year student-athletes to the EC for amateurism certification.
- 51 institutions submitted names. The 42 other institutions did not have first-year international ice hockey student-athletes or chose not to participate.
- 159 names were submitted for amateurism certification which included an assessment of the teams and leagues with which the prospective student-athlete participated, evaluation of any compensation or other benefits associated with athletics participation and evaluation of possible agent involvement.
- 156 student-athletes were certified and three were not certified.

The survey was sent to all 44 Division III conferences in order to gain the broadest perspective on the issue. Thirteen (13) conferences responded. Two conferences that responded do not have any schools that sponsor ice hockey.

Ten of the 13 responding conferences required their schools to participate in the pilot in the first year while seven required participation in the second year. The reasons cited for not requiring participation in the second year included the cost burden on the prospective student-athlete and not having any international ice hockey student-athletes to submit in the second year.

Benefits of the EC Conducting Participation Reviews	
Benefit	% of Respondents
Assurance of the consistent application of Bylaw 12 (Amateurism)	92
Confidence in the final decision	92
Reduces the compliance administrative burden	85
Establishes a “level playing field”	77
Reduces the timeline for certification	46

The most significant benefit noted by the survey respondents was the assurance of the consistent application of Bylaw 12 (92 percent) and confidence in the final decision (92 percent). Seventy percent or more indicated that participation reviews conducted by the EC establishes a “level playing field” and reduces the compliance administrative burden.

POTENTIAL NEXT STEPS

Respondents were asked to provide their input in determining what this program could look like moving forward. They were asked to put funding, legislation and EC logistics considerations aside.

Three-quarters of respondents were comfortable with student-athletes paying the registration fee to the EC to conduct amateurism certification.

Rationale for these responses included:

- “I checked no for EC conducting all amateurism certifications because of the cost involved for the PSA's. However, I am sure our institutions would appreciate that level of thoroughness. I checked yes for the registration fee because in the world of hockey, many of the PSA's are paying the fee (or are minimally aware of the fee) because of conversations with D1 institutions as well. I would imagine tennis would be similar. While it's an unfortunate added cost, I also do not think the cost would deter PSA's from attending a DIII institution.”
- “I am ok with them paying a REDUCED fee, say \$25. Their certification does not involve an academic component and in the large majority of cases a minimal amateurism component. Another option would be to allow institutions to request a certification for specific PSAs with a minimal fee charged to the institution (no more than \$25).”
- “Would like to see it continue, even if it is just for ice hockey.”
- “Having all incoming student-athletes that fit the criteria for needing amateurism review go through the EC would reduce the burden for most Division III compliance offices which

are not staffed to a level to conduct these types of reviews. The EC already has the tools in place to conduct these reviews in a timely fashion that ensures accuracy and consistency of the decisions.”

- “Hockey is most definitely the most confusing sport, but to be consistent with all international students I think it is helpful to do it all the same way. DIII athletes are already paying to go to school and not on scholarship, I hate to put the burden of yet another expense to play.”

2019 DIII Health and Safety Follow-Up

March 19, 2020

This follow-up survey is an attempt to evaluate your experience taking the pilot health and safety survey, and to gauge your opinion of the value of this effort given the summary report available here. Thank you for your time, and for your commitment to the health and safety of Division III student-athletes.

Q1 - Did you complete the Health and Safety Survey distributed in late December?

Answer	%	Count
Yes	71%	59
No	29%	24
Total	100%	83

Q2 - If no, why? (Select all that apply)

Answer	%	Count
Timing of the survey (i.e., Dec.-Jan.)	42%	10
Too many survey questions	29%	7
No interest in participating	0%	0
Lack of time to participate in the survey	29%	7
Other, please specify:	13%	3
Total		24

Q2_6_TEXT - Other, please specify:

I don't remember receiving the survey

Forgot

didn't see communication of survey

Q3 - What is your position/title? (Select all that apply)

Answer	%	Count
Athletics healthcare administrator	90%	53
Head athletic trainer	80%	47
Assistant athletic trainer	2%	1
Other, please specify:	17%	10
Total		59

Q3_4_TEXT - Other, please specify:

Health & Wellness Liaison

Assistant Director of Athletics, Director of Sports Medicine

Athletic Director

Dir. Sports Medicine

Administrative Ass't

Associate Director of Athletics for Sports Medicine and Administration/SWA

Assistant Athletics Director for Sports Performance

Associate AD

Director of Athletic Training Services and Sports Performance

SWA

Q4 - Did you have any technical difficulties while taking the survey?

Answer	%	Count
Yes	5%	3
No	95%	56
Total	100%	59

Q5 - Please indicate any difficulties you may have encountered while taking the survey. (Check all that apply)

Answer	%	Count
The survey link did not work.	33%	1
The survey timed out while I was researching and/or finding assistance with answers.	100%	3
My computer crashed trying to submit the survey.	0%	0
Some of the terms throughout the survey were unfamiliar.	0%	0
Some of the content was difficult to understand.	33%	1
Other, please explain.	0%	0
Total		3

Q6 – Please provide other difficulties you may have had not listed above.

N/A

Q7 - Was the length of the survey appropriate to adequately cover the identified topical areas?

Answer	%	Count
Yes	97%	56
No	3%	2
Total	100%	58

Q8 - Were the survey questions appropriate to adequately cover the identified topical areas?

Answer	%	Count
Yes	93%	54
No	7%	4
Total	100%	58

Q9 - Should any of the existing topical categories NOT be included in future versions of the survey?
(Select all that apply)

Answer	%	Count
Physician/Other Medical Staff and Services	15%	2
Administration and Management	8%	1
Facilities	31%	4
Emergency Care	0%	0
Nutrition	31%	4
Equipment	38%	5
Medical Documentation	23%	3
Mental Health	8%	1
Sleep	23%	3
Concussions	0%	0
Total		13

Q10 - Are there any additional topical categories that should be included in future versions of the survey?

Answer	%	Count
Yes	14%	8
No	86%	51
Total	100%	59

Q11 - Please indicate the additional topical categories you would like included in future surveys.

Supplemental foods which protein shakes are suggested services provided and costs PT massage chiropractor

Management of Athletic Healthcare does your institution meet the minimal standard of care based on the NCAA formula? How many sports does your staff travel with to provide adequate athletic healthcare? What is your policy on covering your team's healthcare needs while traveling?

Work life balance, and how this has changed with the additional administrative duties associate with the Athletics Healthcare Administrator position

Preventing Catastrophic Injury & Death

I am sure that there are additional topics that would be helpful. I, of course, can not think of any at the immediate moment.

-pre-season team meetings/lecture educational content (i.e. How is this information delivered and which NCAA videos are shown) -Drug Education and Testing program -

concussion management

Institutional Drug Testing

Q12 - Would you be willing to take the survey once per year?

Answer	%	Count
Yes	95%	56
No	5%	3
Total	100%	59

Q13 - Please provide feedback as to why you would not be willing to take this survey once per year?

not sure much will change from a year to year basis

The length was too long and there would not be enough change for a yearly survey.

Services and data will be consistent. I would favor once every two or three years for data collection

Q14 - If future versions of the survey were similar in length, but were pre-populated with your answers from the previous year, would you be willing to take the survey once per year?

Answer	%	Count
No	33%	1
Yes	67%	2
Total	100%	3

Q15 - What is your opinion of the value and/or impact the summary report may have on your institution? (Check all that apply)

Answer	%	Count
Valuable, it will help to guide our work in the upcoming year.	64%	38
Valuable, however it will have no impact on our campus.	34%	20
Other, please explain.	7%	4
Not valuable	2%	1
Total		59

Q16 - Please provide further details regarding your opinion on the summary report.

costs

Difficult to find value in this survey as each institution at the DIII level are so uniquely different, it is hard to find commonality on how health and safety are addressed. Resources, money, and time are the main reasons. To find value in this survey, responses from institutions that have similar number of sports, student-athletes, and healthcare providers would help with peer-to-peer comparison.

The information is only valuable when it leads to change. The NCAA with the presidents of ALL universities must require appropriate healthcare for all divisions and all student athletes or they should not have athletic teams participating. Until the BASIC STANDARD of CARE for all sports who are members of the NCAA is a Athletic Trainer Certified, the policies are meaningless.

I would like to say valuable, it will help guide our work but until I see and review the summary I do not feel comfortable giving that answer.

Valuable, however I do not know exactly how the information will be used and what impact it may have on our campus.

Q17 - Would you find value in benchmarking your institution's athletic health care services against those of your peers?

Answer	%	Count
Yes	93%	55
No	7%	4
Total	100%	59



REPORT OF THE
NCAA BOARD OF GOVERNORS
JANUARY 22, 2020, MEETING

ACTION ITEMS:

- None.

INFORMATONAL ITEMS:

- 1. Welcome and announcements.** NCAA Board of Governors Chair President Michael Drake convened the meeting at approximately 1:30 p.m. and welcomed the Governors to Anaheim. NCAA staff confirmed that a quorum was present. President Drake recognized President Sue Henderson, President Gary Olson, Stevie Baker-Watson and Laura Liesman, as they were participating in their last Board of Governors meeting. President Drake gave special thanks to President Henderson for her service as vice chair of the board, as well as her service on the NCAA Student-Athlete Engagement Committee and the Strategic Planning Working Group.
- 2. Consent agenda.** By way of a consent agenda, the Board of Governors approved the report of its October 29, 2019, meeting and the appointment of the new Board of Governors representative, two Division II student-athletes and one Division III student-athlete to serve on the NCAA Board of Governors Student-Athlete Engagement Committee.
- 3. NCAA president's report.** NCAA President Mark Emmert provided brief comments on several issues facing the Association that were part of the Governors meeting agenda.
- 4. NCAA Board of Governors Federal and State Legislation Working Group.** Val Ackerman and Gene Smith, working group co-chairs, informed the board that since it took action on the working group's recommendations in October, the working group has been engaging with governance bodies and membership stakeholders to educate and receive feedback on the recommended principles and regulatory framework. In addition, at the divisional level, each division has designated a legislative leadership group to consider and develop legislative concepts related to NIL. Further, in response to federal interest in the issue of NIL, a Presidential Subcommittee for Congressional Action was created to examine potential Congressional engagement and legislative solutions. The subcommittee includes the working group's six presidents, board member Denis McDonough and is chaired by President Jack DeGioia. The working group is on schedule to complete its work in April and will provide a concluding report to the board at that time.
- 5. NCAA Strategic Planning Working Group report.** Strategic Planning Working Group Chair Glen Jones and Reshma Patel-Jackson, Attain consultants project lead, updated the Board on the strategic planning process, including key changes to the draft plan, the modified timeline and next steps in the process.
- 6. NCAA communications/branding strategy discussion.** NCAA Senior Vice President of Communications Bob Williams and John Hayes, president of JH Enterprises, engaged the board in a discussion of the Association's communications/branding strategy. The board was informed of the current vision, strategy and challenges for the NCAA brand. Next steps for the continuing

discussion were identified, including the development of a student-athlete engagement plan to inform the branding strategy.

7. NCAA Board of Governors Finance and Audit Committee report. President Satish Tripathi, chair of the Finance and Audit Committee, presented the committee's report.

a. First quarter fiscal year 2019-20 budget-to-actual. President Tripathi noted the first quarter results for fiscal year 2019-20 do not have any major variances compared to prior years.

b. Fiscal year 2018-19 audited financial statements. President Tripathi briefly reviewed the 2018-19 financial statements and noted that Crowe, the Association's external auditors, indicated no audit issues and that the NCAA received an unqualified opinion. Tripathi noted the NCAA had a strong financial year with a net revenue of \$66 million. The major highlights that drove the positive outcomes were:

- (1) A \$73 million increase in investments from the previous year. This increase was almost entirely due to positive financial operations. There were changes to the other asset categories, but none were material.
- (2) Total revenues increased \$20 million.
- (3) A \$23 million increase in television and marketing rights per NCAA contracts.
- (4) Championship ticket sales were up \$7.5 million.
- (5) Investment revenue was down \$8 million.
- (6) Total expenses were down \$25.5 million.
- (7) Third party legal fees were down \$23 million.
- (8) The Pathway to Opportunity Program was down \$7.6 million, which was offset by a \$1.7 million increase in Division I revenue distribution.
- (9) A \$4.5 million increase in Division II programming.
- (10) A \$3.1 million increase in management and general.

It was VOTED

"That the Board of Governors approve the 2018-19 Financial Report." (Unanimous voice vote.)

c. Unreserved net assets. President Tripathi reported that fiscal year 2018-19 year ended with the Association having \$35.8 million in unreserved net assets available for allocation. President Emmert recommended, and the Finance and Audit Committee agreed, that \$15 million of the available net assets be set aside to cover additional costs that the NCAA will

experience based on the work of the Federal and State Legislation Working Group. This includes additional consulting for state and federal legislation related to name, image and likeness. The remaining \$20.8 million was recommended as a supplemental distribution to Division I.

It was VOTED

“That the Board of Governors approve the Finance and Audit Committee’s recommended allocation of the \$35.8 million in unreserved net assets.” (Unanimous voice vote.)

- d. **NCAA 10-year financial plan.** President Tripathi noted that the updated 10-year financial plan incorporates known revenues and provides estimates on increases for the remaining revenues and expenses. In addition, the Board’s approval of the plan will provide the NCAA with guidelines for the fiscal year 2020-21 budget construction process.

It was VOTED

“That the Board of Governors approve the updated 10-year financial plan.” (Unanimous voice vote.)

- e. **NCAA Board of Governors Finance and Audit Committee Investment Subcommittee new member, Amy Kweskin.** The board was informed of the Finance and Audit Committee’s approval of Amy Kweskin, vice chancellor for finance and chief financial officer at Washington University in St. Louis, to serve on the NCAA Board of Governors Finance and Audit Committee Investment Subcommittee

8. Law, Policy and Governance Strategic Discussion.

- a. **Legal and litigation update.** NCAA general counsel noted the privileged and confidential quarterly litigation status report included in the meeting materials for the board’s information.
 - b. **Government relations.** The Board was informed of state and federal legislative activity related to sports wagering and student-athletes’ ability to benefit from use of their name, image and likeness included in the quarterly government relations report.
9. **Discussion of sexual violence prevention.** The board continued its ongoing effort to provide guidance at the campus, conference and national level to combat campus sexual violence. The board reviewed the work of the NCAA in the past decade, including the existing national policy, as well as various campus and conference policies related to serious misconduct and recruitment. The board reaffirmed its commitment to continuing the Association’s efforts to address sexual violence. The board had a robust discussion leading to continued work on an enhanced sexual violence policy, which will be finalized at a special meeting dedicated to these issues in the coming weeks. In the interim, based on the board’s discussion and other membership input, the board instructed staff to provide relevant information for the board to consider in its review of the Association’s policy.
10. **NCAA Board of Governors Executive Committee report.** President Drake reported on matters considered during the Board’s Executive Committee meeting earlier that day.

- 11. Election of vice chair.** The board voted to appoint President Tori Murden McClure as vice chair of the Board of Governors.
- 12. Executive Session.** The board concluded the meeting in executive session to discuss various administrative matters.
- 13. Adjournment.** The meeting adjourned at approximately 5:05 p.m.

Board of Governors chair: Michael Drake, The Ohio State University

Staff liaisons: Jacqueline Campbell, Law, Policy and Governance

Donald M. Remy, Law, Policy and Governance

NCAA Board of Governors January 22, 2020, Meeting	
Attendees:	
Stevie Baker-Watson, DePauw University.	
Grace Calhoun, University of Pennsylvania.	
Eli Capilouto, University of Kentucky.	
Ken Chenault, General Catalyst.	
Mary Sue Coleman, Association of American Universities.	
John DeGioia, Georgetown University.	
Philip DiStefano, University of Colorado.	
Michael Drake, The Ohio State University.	
Mark Emmert, NCAA.	
Burns Hargis, Oklahoma State University.	
Sue Henderson, New Jersey City University.	
Sandra Jordan, University of South Carolina Aiken.	
Renu Khator, University of Houston.	
Laura Liesman, Georgian Court University.	
Ronald Machtley, Bryant University.	
Fr. James Maher, Niagara University.	
Denis McDonough, Former White House Chief of Staff.	
Tori Murden McClure, Spalding University.	
Vivek Murthy, 19th United States Surgeon General.	
Gary Olson, Daemen College.	
Denise Trauth, Texas State University.	
Satish Tripathi, University at Buffalo, The State University of New York.	
David Wilson, Morgan State University.	
Randy Woodson, North Carolina State University.	
Absentees:	
Grant Hill, CBS/Warner Media/Atlanta Hawks.	
Guests in Attendance:	
Val Ackerman, Board of Governors Federal and State Legislation Working Group co-chair.	
Greg Baroni, Attain, LLC.	
Nicholas Clark, NCAA Board of Governors Student-Athlete Engagement Committee chair.	
Briana Guerrero, Attain, LLC.	
John Hayes, JH Enterprises.	
Glen Jones, NCAA Board of Governors Strategic Planning Working Group chair.	
Reshma Patel-Jackson, Attain, LLC.	
Gene Smith, Board of Governors Federal and State Legislation Working Group co-chair.	
NCAA Staff Liaisons in Attendance:	
Jacqueline Campbell and Donald Remy.	
Other NCAA Staff Members in Attendance:	
Scott Bearby, Dan Dutcher, Kimberly Fort, Abe Frank, Jennifer Fraser, Terri Gronau, Brian Hainline, Kathleen McNeely, Stacey Osburn, Cari Van Senus, Stan Wilcox and Bob Williams.	

Report is not final until approval of the Board of Governors.



**REPORT OF THE NCAA BOARD OF GOVERNORS
AND NCAA DIVISION I BOARD OF DIRECTORS
MARCH 26, 2020, JOINT VIDEOCONFERENCE**

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

- 1. Welcome and announcements.** NCAA Board of Governors Chair President Michael Drake convened the meeting at 11:30 a.m. and welcomed the Board of Governors and NCAA Division I Board of Directors members. President Drake noted that the Board of Governors and the Division I Board of Directors will be considering five actions related to the finances of the Association. NCAA staff confirmed that a quorum was present.
- 2. NCAA President's Remarks.** President Mark Emmert shared some of his thoughts on the unprecedented nature of the situation facing the Association and its members. President Emmert thanked everyone for the work they are doing to navigate the uncertainty of the current environment and the future. He noted that the senior leadership of the NCAA national office already has been working to identify budget cuts that can be made to assist with the shortfall.
- 3. Financial update.** NCAA Chief Financial Officer Kathleen McNeely updated the boards on the financial status of the Association. McNeely shared with the boards information that was provided to the NCAA Board of Governors Finance and Audit Committee and the NCAA Division I Finance Committee regarding the significant impact cancellation of winter and spring championships has had on the finances of the Association. Further, McNeely noted that she has been engaged in financial briefings with commissioners, athletics directors and business officers across all divisions of the membership.
- 4. Board of Governors Finance and Audit Committee recommendations.** A potential line of credit for the NCAA and the cash flow summaries of three revenue distribution options that were reviewed by the Finance and Audit Committee and the Division I Finance Committee during their March 23 joint meeting were shared with the boards. President Satish Tripathi, chair of the Finance and Audit Committee, noted that after healthy discussion, the Finance and Audit Committee agreed to recommend that the Board of Governors approve a line of credit up to \$350 million and a \$225 million distribution for Division I.
- 5. Report and recommendations of the NCAA Division I Finance Committee.** President Denise Trauth, chair of the Division I Finance Committee, reported that the committee considered five options for a revised 2020 Division I revenue distribution. Consideration was given to whether

current restrictions on distributions should be maintained or waived for 2020 and whether funds should be directed to the same parties as in past years or directed to conferences. After thoughtful discussion, the Division I Finance Committee agreed to recommend that the Equal Conference Fund be maintained at 100% and the remainder of the distribution be distributed proportionately through all other funds. In addition, that all distributions be unrestricted and directed to the conferences.

[Note: Immediately following this videoconference, the Board of Directors convened via videoconference and took action on the recommendations of the Division I Finance Committee.]

6. Discussion and action related to Finance and Audit Committee recommendations.

- a. Line of credit.** The Finance and Audit Committee recommended the Board of Governors approve a line of credit in an amount up to \$350 million to provide cash flow for the national office operations and to ensure that some level of revenue distribution can be provided to Division I. A discussion was had including all members of the Board of Governors and the Division I Board of Directors.

It was VOTED

“That the Board of Governors approve a line of credit up to \$350 million.” (Approved - Unanimous voice vote of voting members of the Board of Governors only.)

- b. 2020 Revenue Distribution to Division I.** The Finance and Audit Committee recommended that the Board of Governors approve a \$225 million distribution to Division I. A discussion was had including all members of the Board of Governors and the Division I Board of Directors.

It was VOTED

“That the Board of Governors approve a \$225 million distribution to Division I.” (Approved - Unanimous voice vote of voting members of the Board of Governors only.)

- 7. Adjournment.** The joint meeting of the Board of Governors and the Division I Board of Directors was adjourned at approximately 12:18 p.m. Eastern time.

Board of Governors chair: Michael Drake, The Ohio State University

Board of Directors chair: Eli Capilouto, University of Kentucky

*Staff liaisons: Jacqueline Campbell, Law, Policy and Governance
Diane Dickman, Law, Policy and Governance
Amanda Conklin, Law, Policy and Governance
Jennifer Fraser, Law, Policy and Governance
Kevin Lennon, Law, Policy and Governance
Donald M. Remy, Law, Policy and Governance*

NCAA Board of Governors and NCAA Division I Board of Directors March 26, 2020, Joint Videoconference	
Attendees – Board of Governors	
Heather Benning, Midwest Conference.	
Grace Calhoun, University of Pennsylvania.	
Eli Capilouto, University of Kentucky.	
Ken Chenault, General Catalyst.	
Mary Sue Coleman, Association of American Universities.	
Jack DeGioia, Georgetown University.	
Philip DiStefano, University of Colorado, Boulder.	
Michael Drake, The Ohio State University.	
Mark Emmert, NCAA.	
Allison Garrett, Emporia State University.	
Christopher Graham, Rocky Mountain Athletic Conference.	
Burns Hargis, Oklahoma State University.	
Grant Hill, CBS/Warner Media/Atlanta Hawks.	
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Ronald K. Machtley, Bryant University.	
Fr. James Maher, Niagara University.	
Denis McDonough, Former White House Chief of Staff.	
Fayneese Miller, Hamline University.	
Tori Murden-McClure, Spalding University.	
Denise Trauth, Texas State University.	
Satish Tripathi, University at Buffalo, The State University of New York.	
David Wilson, Morgan State University.	
Randy Woodson, North Carolina State University.	
Attendees – Division I Board of Directors	
Guy Bailey, The University of Texas Rio Grande Valley.	
Jeri Beggs, Illinois State University.	
Dean Bresciani, North Dakota State University.	
Morgan Chall, Cornell, NCAA Division I Student-Athlete Advisory Committee.	
Julie Cromer, Ohio University.	

Philip DuBois, University of North Carolina at Charlotte.
James Harris, university of San Diego.
Rita Hartung Cheng, Northern Arizona University.
Philip Oldham, Tennessee Technological University.
Mary Papazian, San Jose State University.
W. Taylor Reveley IV, Longwood University.
Absentees
Sandra Jordan, University of South Carolina, Upstate.
Vivek Murthy, 19th United States Surgeon General.
Guests
None.
NCAA staff liaisons in attendance
Jacqueline Campbell, Amanda Conklin, Diane Dickman, Jennifer Fraser, Kevin Lennon and Donald Remy.
Other NCAA staff in attendance
Scott Bearby, Joni Comstock, Jon Duncan, Dan Dutcher, Kimberly Fort, Dan Gavitt, Terri Gronau, Brian Hainline, Lynn Holzman, Michelle Hosick, Maritza Jones, Felicia Martin, Kathleen McNeely, Stacey Osburn, Bridget Rigney, Dave Schnase, Cari Van Senus, Naima Stevenson, Stan Wilcox and Bob Williams.

Report is not final until approval of the Board of Governors and Division I Board of Directors.



**REPORT OF THE NCAA BOARD OF GOVERNORS
AND NCAA DIVISION I BOARD OF DIRECTORS
MARCH 26, 2020, JOINT VIDEOCONFERENCE**

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

- 1. Welcome and announcements.** NCAA Board of Governors Chair President Michael Drake convened the meeting at 11:30 a.m. and welcomed the Board of Governors and NCAA Division I Board of Directors members. President Drake noted that the Board of Governors and the Division I Board of Directors will be considering five actions related to the finances of the Association. NCAA staff confirmed that a quorum was present.
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It was VOTED

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Board of Governors chair: Michael Drake, The Ohio State University

Board of Directors chair: Eli Capilouto, University of Kentucky

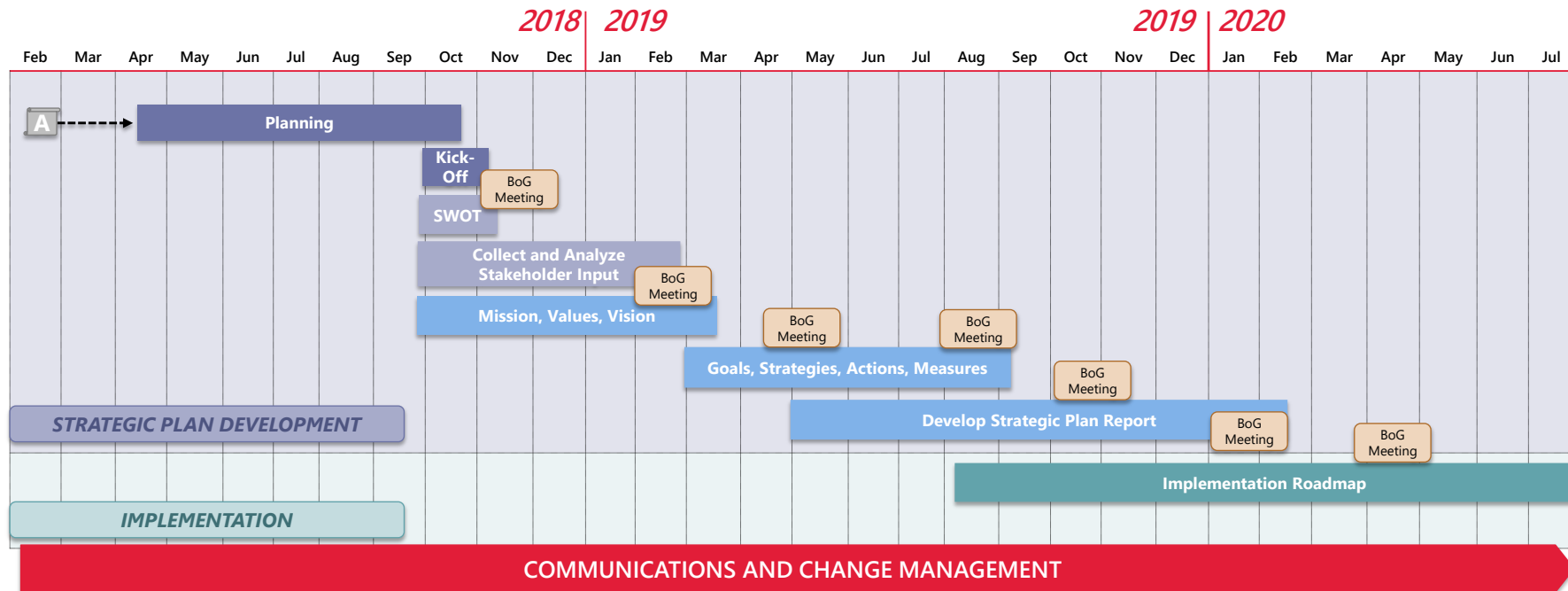
Staff liaisons:
Jacqueline Campbell, Law, Policy and Governance
Diane Dickman, Law, Policy and Governance
Amanda Conklin, Law, Policy and Governance
Jennifer Fraser, Law, Policy and Governance
Kevin Lennon, Law, Policy and Governance
Donald M. Remy, Law, Policy and Governance

NCAA Board of Governors and NCAA Division I Board of Directors March 26, 2020, Joint Videoconference	
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Eli Capilouto, University of Kentucky.	
Ken Chenault, General Catalyst.	
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Jack DeGioia, Georgetown University.	
Philip DiStefano, University of Colorado, Boulder.	
Michael Drake, The Ohio State University.	
Mark Emmert, NCAA.	
Allison Garrett, Emporia State University.	
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Renu Khator, University of Houston.	
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Guy Bailey, The University of Texas Rio Grande Valley.	
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Absentees
Sandra Jordan, University of South Carolina, Upstate.
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Guests
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Other NCAA staff in attendance
Scott Bearby, Joni Comstock, Jon Duncan, Dan Dutcher, Kimberly Fort, Dan Gavitt, Terri Gronau, Brian Hainline, Lynn Holzman, Michelle Hosick, Maritza Jones, Felicia Martin, Kathleen McNeely, Stacey Osburn, Bridget Rigney, Dave Schnase, Cari Van Senus, Naima Stevenson, Stan Wilcox and Bob Williams.

Report is not final until approval of the Board of Governors and Division I Board of Directors.

NCAA Strategic Plan Timeline



**REPORT OF THE
NCAA BOARD OF GOVERNORS
COMMITTEE TO PROMOTE CULTURAL DIVERSITY AND EQUITY
MARCH 4, 2020, TELECONFERENCE**

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

1. **Welcome and introductions.** Terri Steeb Gronau, interim NCAA executive vice president of inclusion and human resources, explained her new leadership role, affirmed inclusion as a core value of the Association, and thanked the committee for its service. NCAA Board of Governors Committee to Promote Cultural Diversity and Equity Chair Mark Lombardi welcomed committee members and staff and introduced new members Sue Henderson, Tom Jackson Jr. and Jennifer Lynne Williams.
2. **August meeting report.** The committee reviewed and approved its August 28, 2019, teleconference report.
3. **NCAA Governance Structure and Committee Review Project.** Chair Lombardi provided context for this project that analyzes the committee member appointment and training processes relative to the ability to produce diverse and representative committees and committee members. This project aligns with the committee's main goals to engage and align the NCAA governance structure to achieve inclusive excellence and to operationalize the NCAA Presidential Pledge. Representatives from 3-Fold Group provided an overview of the "Report Summary: NCAA Committee Member Appointment Process Analysis." Subsequent discussion focused on how benchmarking for diversity goals for NCAA committees should prioritize the diversity of the student-athlete population. The committee will discuss both the summary and full reports at its April meeting and consider various action strategies and opportunities.
4. **NCAA funding to support committee goals.** Chair Lombardi summarized how funds approved to support the committee's goals were being allocated. The majority of the funds are being used to provide professional development programming that supports the Presidential Pledge. Remaining funds are supporting Phases I and II of the "NCAA Committee Member Appointment Process Analysis" as well as partnerships with the Minority Opportunities Athletics Association and the National Conference on Race and Ethnicity in Higher Education . Staff will provide more details about programming and initiatives supported by these funds at the committee's April meeting. In addition, the committee will discuss budget allocations and goals for fiscal year 2020-21 in April.
5. **Committee goals.** Chair Lombardi acknowledged that all committee goals are either completed or in progress. Staff highlighted a recently completed goal: all three divisions have

adopted legislation to establish an athletics diversity and inclusion designee at all institutions and conferences, effective August 1, 2020.

- a. Prioritize goals for 2020-21.** The committee supported plans for Phase II of the NCAA Committee Member Appointment Process Analysis. Phase II will focus on the remainder of the committees in the governance structure.
 - b. Diversity, equity and inclusion thought leaders/external partners meeting.** Staff provided a cost analysis for an equity, diversity and inclusion thought leaders/external partners meeting to be held in conjunction with the annual NCAA Inclusion Forum. Staff explained that the committee shares this goal with the NCAA Gender Equity Task Force. The committee will discuss this goal at its April meeting with a focus on desired outcomes.
- 6. NCAA Transgender Student-Athlete Participation Policy.** Staff provided an update on the ongoing review by various committees of the NCAA Transgender Student-Athlete Participation Policy adopted by the NCAA Board of Governors in 2011. The purpose of the review is to determine what, if any, changes to the policy are needed to ensure the policy upholds the NCAA's values of fairness and inclusion. The NCAA Committee on Competitive Safeguards and Medical Aspects of Sports reviewed and discussed the policy in December 2019. The NCAA Committee on Women's Athletics, the NCAA Minority Opportunities and Interests Committee and the Committee to Promote Cultural Diversity and Equity will review and discuss the policy at their respective April meetings.
- 7. NCAA equity, diversity and inclusion committees updates.**
 - a. Minority Opportunities and Interests Committee.** Staff informed the committee that all three divisions adopted legislation at the 2020 NCAA Convention to establish an athletics diversity and inclusion designee at all member institutions and conferences, effective August 1, 2020. The committee will discuss next steps to advance the designation throughout the Association at its April meeting, including developing resources to assist the membership.
 - b. Committee on Women's Athletics.** Staff updated the committee on the various NCAA Emerging Sports for Women Program meetings held at the 2020 NCAA Convention. Staff also provided a legislative update on proposals involving emerging sports for women. Specifically, Divisions II and III adopted legislation to add acrobatics and tumbling and women's wrestling. The NCAA Division I Council will vote on the legislative proposals to add acrobatics and tumbling and women's wrestling in April. Division III also considered, and narrowly defeated, a proposal to add equestrian as an emerging sport. All new emerging sport legislation has an August 1, 2020, effective date.

- c. **Gender Equity Task Force.** The task force's recommendation that Division I consider implementing a once-in-five-year equity, diversity and inclusion review was legislated by Division I in April 2019. The task force is supporting the office of inclusion's efforts to create an equity, diversity and inclusion review resource for the membership.

The task force engaged with NCAA legal staff about discussions on name, image and likeness during its February teleconference. Specifically, the task force noted the importance of considering equity, diversity and inclusion in ongoing membership discussions about possible policy and legislative proposals. The task force expressed support for the Board of Governors' directive that opportunities for student-athletes to benefit from name, image and likeness should be created within a framework that enhances principles of diversity, inclusion and gender equity. The task force expressed its willingness to assist with the ongoing efforts related to name, image and likeness.

8. Association-wide initiatives.

- a. **NCAA Board of Governors Federal and State Legislation Working Group.** Staff described the ongoing work of the Federal and State Legislation Working Group. Based on working group recommendations in October 2019, the Board of Governors directed all 1,100 members to create a structure for future name, image and likeness opportunities for student-athletes that is consistent with the college environment in each NCAA division. Divisions I, II, and III have formed committees to work on these opportunities with anticipated initial reports at 2020 spring meetings. The working group continues to examine federal and state legislative proposals related to student-athlete name, image, and likeness and to make recommendations to the Board of Governors.
- b. **NCAA Strategic Planning Working Group.** Staff provided an update on progress of the Association-wide strategic plan, including the addition of a fifth strategic priority regarding equity, diversity and inclusion.

9. Future meeting dates.

- a. April 18 in conjunction with the 2020 NCAA Inclusion Forum in Denver.

[Note: Subsequent to the teleconference, the Inclusion Forum was cancelled due to COVID-19. A teleconference will be scheduled during the week of April 20.]

- b. August 12, 2020 - teleconference.

10. Adjournment. The teleconference adjourned at 12:15 p.m. Eastern time.

Committee chair: Mark Lombardi, Maryville University

*Staff liaisons: Terri Steeb Gronau, Office of Inclusion and Human Resources
Amy Wilson, Office of Inclusion*

NCAA Committee to Promote Cultural Diversity and Equity March 4, 2020, Teleconference	
Attendees:	
Dianne Harrison, California State University, Northridge.	
Tom Jackson, Humboldt State University.	
Brit Katz, University of South Carolina-Upstate.	
Mark Lombardi, Maryville University.	
Fayneese Miller, Hamline University.	
Jennifer Lynn Williams, Alabama State University.	
Absentees:	
Dylan Gladney, Prairie View A&M University.	
Sue Henderson, New Jersey City University.	
Satish Tripathi, University at Buffalo, The State University of New York.	
Guests in Attendance:	
Azure Davey, 3-Fold Group.	
NCAA Staff Liaisons in Attendance:	
Terri Steeb Gronau and Amy Wilson.	
Other NCAA Staff Members in Attendance:	
Niya Blair, Jackie Campbell, Craig Malveaux, Jean Merrill, Tiana Myers, and Cari Van Senus.	

NCAA Government Relations Report
(March 2020)

Congressional Overview

Congress began the second session of the 116th Congress largely focused on the impeachment proceedings of President Trump and the 2020 general election. However, in the weeks following the Senate impeachment vote, policymakers increasingly shifted their focus toward COVID-19 and the emerging global health crisis. Actions have been taken to restrict access to Congressional office buildings and legislative activity is limited to addressing the health, economic and social impact of this pandemic on the United States. Despite the critical issues currently facing Congress, there has been a continued interest in discussing matters related to college sports. Specifically, Members of Congress and staff have continued to engage on the complex issues surrounding student-athlete compensation for use of their name, image, and likeness.

Federal Issues

Name, Image, and Likeness

Over the last several months Congress has displayed an increased interest in student-athletes benefiting from use of their name, image and likeness (NIL). On February 11, 2020, the Senate Commerce Subcommittee on Manufacturing, Trade, and Consumer Protection, conducted a hearing to explore issues surrounding student-athlete compensation for use of their NIL. The hearing, titled “Name, Image, and Likeness: The State of Intercollegiate Athlete Compensation,” provided an opportunity for subcommittee members to learn about state legislative activity and the possibility of a patchwork of NIL regulations, the potential for unintended consequences from unrestricted NIL opportunities, the NCAA’s ongoing efforts to give student-athletes an opportunity to benefit from their NIL, and the potential need for Congress to establish a national standard. Witnesses included, Dr. Mark Emmert, President, NCAA; Bob Bowlsby, Commissioner, Big 12 Conference; Dr. Douglas Girod, Chancellor, University of Kansas; Kendall Spencer, Former Chair, Student Athlete Advisory Committee; and Ramogi Huma, Executive Director, National College Players Association.

While legislative activity has been limited, NCAA government relations staff continues to closely monitor two proposals and ongoing discussions about future legislation. Last year, Rep. Mark Walker (R-NC) introduced H.R. 1804, the Student Athlete Equity Act. The proposal would amend the Internal Revenue Code of 1986 by removing the tax-exempt status of any qualified amateur sports organization that limits a student-athlete’s ability to be compensated for the use of their name, image or likeness. H.R. 1804 has been referred to the House Ways and Means committee and currently has eight cosponsors. On December 19, 2019, Rep. Donna Shalala (D-FL) introduced H.R. 5528, the Congressional Advisory Commission on Intercollegiate Athletics Act (CACIA). The proposal would create a Congressional Commission that is charged with examining and putting forth recommendation on a range of matters related to college sports including, academics, health and safety of student-athletes, financing of college athletics and name, image and likeness.

H.R. 5528 currently has 10 cosponsors and has been referred to the House Committee on Education and Labor.

In addition to these two bills, there has been ongoing discussions among a group of policymakers about introducing legislation to ensure that there is a national NIL standard that will allow student-athletes to take advantage of these opportunities, while preserving the collegiate athletic system. These discussions have been bipartisan and bicameral and involve a group of key stakeholders. While most of the activity has been limited to discussions, Rep. Anthony Gonzalez (R-OH) released a fact sheet outlining the pillars that should be included in any federal legislation. Those pillars include, preserving the college athletic system; allowing student-athletes to be compensated for use of their NIL; pre-empting state laws and creating a uniform standard; ensuring that student-athletes are not turned into employees; and protecting student-athletes from bad actors. In the Senate, Roger Wicker (R-MS), Jerry Moran (R-KS) and Marco Rubio (R-FL) have been active participants in discussions on federal legislation.

NCAA government relations staff continues to engage with policymakers and key stakeholders to educate Members of Congress on the Association's internal NIL efforts, potential unintended consequences from a state-by-state approach, and the possible need for congressional involvement.

Transgender Athletes

There has been interest by some Members of Congress in the athletics participation of transgender athletes. Rep. Greg Steube (R-FL-17) introduced H.R. 5702, the Protection of Women and Girls in Sports Act. The bill prohibits recipients of federal funds who operate, sponsor, or facilitate athletic programs to permit a person whose sex is male to participate in an athletic program that is designated for women or girls. The bill has 15 Republican co-sponsors and has not had any movement since it was referred to the House Committee on Education and Labor in January.

State Issues

Name, Image and Likeness

Since enactment of California's Fair Pay to Play Act, 34 states have introduced legislation related to the compensation of student-athletes for use of their NIL. Legislatures in Colorado and Florida have passed NIL legislation and sent those bills to their respective Governors for final approval. Colorado SB 123 has an effective date of January 1, 2023, while Florida SB 646 has an effective date of July 1, 2021. NIL proposals in five additional states have been passed by one chamber (AZ, HI, IL, KS, NJ). The majority of these proposals mirror the California law, however, there are several that go beyond name, image and likeness and establish additional requirements such as the creation of wage and injury funds for student-athletes.

COVID-19 has begun to alter the calendars for many state legislatures and their legislative priorities. NCAA government relations staff will closely monitor how these changes impact NIL legislative activity. Further, we will continue to work with member schools to educate state legislators about the ongoing efforts to modernize NCAA rules and encourage their home legislature to allow member schools the time to update relevant rules by not passing or delaying the effective date of legislation.

Sports Betting

Efforts to legalize sports betting continue in states throughout the country. Currently, 17 states are accepting wagers on athletic competitions (AR, DE, IL, IN, IA, MI, MS, MT, NH, NJ, NM, NV, NY, OR, PA, RI, WV) and three additional states (CO, NC, TN) and the District of Columbia have legalized sports betting and are in the process of developing regulations. Legislation to legalize sports betting has been passed in Virginia, Washington and South Dakota but has yet to receive final approval by the Governor or voters of the state.

Transgender Athletes

State legislators across the country have demonstrated an increasing interest in the issue of transgender rights as it relates to the fairness of competition among women's sports. There are currently 22 states considering legislation related to the athletic participation of transgender athletes and eight of these states have bills which apply to college athletics (AZ, ID, KY, LA, MS, NH, OH, WA). One bill in Arizona has passed out of the first chamber, while one bill in Idaho has passed the second chamber and was sent to the Governor for his signature.

Higher Education Associations

NCAA government relations staff continues to build strong relationships with various higher education associations. The American Council on Education (ACE), the Association of Public and Land-grant Universities (APLU) and the National Association of Colleges and University Business Officers (NACUBO), among others, continue to provide guidance and support on issues of common interest. The NCAA government relations office looks forward to continuing these mutually beneficial relationships to better formulate and further the NCAA's legislative goals.



Colleagues,

As you are well aware, the COVID-19 pandemic has altered life and finances globally and those effects are certainly felt within college sports. In early March, we made the difficult decision to cancel all remaining winter and spring championships when it became apparent that the pandemic was spreading in the U.S. This decision came with significant financial consequences which resulted in a reduction of distributions across all three divisions. Since that time, many of you had to make difficult decisions not only related to athletics, but also the conduct of your academic operations for all students. The financial challenges are real and unprecedented.


As a result, the NCAA national office has undertaken a comprehensive review of operations with the goal of reducing expenses and identifying resources to be redirected to support student-athletes. This review looked at savings that could be realized from canceled championships, reductions in travel and in-person meetings, a 20% pay cut for senior executives, canceling or deferring national office programs and initiatives and reductions in DII and DIII operating budgets. This intensive review has resulted in an overall reduction in expenses of \$176 million over the next 18 months out of approximately \$412.5 million budgeted over that same period. NCAA leadership strongly believes these changes to our budgets will not impact our ability to support NCAA membership with essential services, while prioritizing the well-being of student-athletes.

These are certainly difficult times, however, we are committed to delivering the same high level of support expected of the national office with a significantly streamlined budget until we can return to expected levels of revenue. I appreciate your understanding and collaboration moving forward.

All the best,

Mark Emmert
NCAA President

*This email was sent to presidents and chancellors, athletics directors, and conference commissioners
in all three divisions based on contact information in the [NCAA Directory](#).*



National Collegiate Athletic Association, 700 W. Washington St., Indianapolis, IN 46204 US

This email was sent to: ddutcher@ncaa.org

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NCAA Sport Science Institute and Committee for Competitive Safeguards and Medical Aspects of Sport

Strategic Priorities Timeline

Last Update: December 2019

NOTE: Dates are estimates and may change in response to external factors

Strategic Priority	Initiative	Summary	Anticipated Deliverable	Estimated Timeline
	Independent Medical Care – Access to Care for a Visiting Athletic Team			Initial BOG Review: May 2019 Document drafting: Thru February 2020 Membership review: April – June 2020 CSMAS review: March 2020 BOG final review and/or endorsement: August 2020 Final deliverable: Fall 2020
Concussion	Annual Meeting of the Concussion Safety Advisory Group	A group of independent experts selected by their respective professional medical or scientific	Possible recommendations for Concussion Safety	Event date: February 24-25, 2020. Document drafting: Informs NCAA Concussion Safety Protocol Checklist
		organization, or NCAA division. Under the oversight of CSMAS, their charge is to advise the Association on changes to the Concussion Safety Protocol Checklist and Template as necessary given emerging clinical and scientific information on sport-related concussion.	Protocol Checklist and Template	Membership review: Not necessary. CSMAS review and endorsement: Ongoing, as recommendations are received from Advisory group. BOG review and endorsement: NA Final deliverable: Spring 2020



SUPPLEMENT NO. 35a
DIII Mgmt Council 04/2020

	3 rd Annual Concussion Data Task Force	Closed meeting of leaders from the football communities in each division as well as researchers. Purpose is to evaluate emerging information about concussion and head impact from the CARE Consortium and the NCAA Injury Surveillance Program.	Possible recommendations for rules or policy changes	Event date: February 24, 2020 Document drafting: NA Membership review: NA CSMAS Review: NA BOG Review: NA
	NCAA-DoD Mind Matters Summit	This will be the final presentations from the NCAA-DoD Mind Matters Research Challenge. In addition, relevant material from CARE Consortium and Mind Matters Education Challenge will be presented. Membership will be invited	Executive statements were developed that became the basis of an Executive Summary and other educational material (November 2019).	Event date: June 10-11, 2019 Document drafting: October 2019 Membership review: Not necessary CSMAS review and support: December 2019 Final deliverable: Available online
	NCAA-DoD Concussion Education Video	A Concussion Education video will be developed based on the concussion findings from the NCAA/DoD Grand Alliance partnership	A completed video product with related ancillary materials	Planning meeting with DoD: February 2020. Project draft: Spring 2020. Production: Summer/Fall 2020. Final Deliverable: December 2020.
	Arrington Class Settlement Implementation and Communication	SSI is leading a cross-functional staff initiative to 1) implement various programs required for NCAA-compliance with the Arrington class settlement, and 2) educate and inform the NCAA membership of its obligations under the terms of the class settlement	Deliverables and messaging ongoing through May 18, 2020	Settlement Effective Date: November 18, 2019. Deadline for Membership Certification with Settlement Terms: May 18, 2020.



SUPPLEMENT NO. 35a
DIII Mgmt Council 04/2020

	Third Safety in College Football Summit	SSI will host the third such meeting, which will comprehensively review health and safety issues in college football.	Football-specific rules and policy review.	<p>Event date: February 2021</p> <p>Document drafting: Thru May 2021</p> <p>Membership review: May-June 2021</p> <p>CSMAS review and endorsement: June 2021</p> <p>External review and endorsement: June – August 2021</p> <p>Final deliverable: Fall 2021</p>
Doping & Substance Abuse	Pain Management in the Collegiate Athlete Task Force	SSI hosted a discussion focused on pain management for the collegiate athlete.	Membership guidance*	<p>Event date: July 10-11, 2018</p> <p>Document drafting: Thru December 2019</p> <p>Membership review: January – April 2020</p> <p>CSMAS review and endorsement: June 2020</p> <p>External review and endorsement: NA</p> <p>BOG review and/or endorsement: NA</p> <p>Final deliverable: Fall 2020</p>
Mental Health	Task Force to Advance Mental Health Best Practice Strategies	SSI hosted a task force that will serve as a follow-up to the 2013 Mental Health Task Force. The 2017 task force will identify strategies and resources that support the implementation of the Mental Health Best Practices and identify models of mental health care and measures of effectiveness for the previously published best practices.	Educational tools†	<p>Event date: November 9-10, 2017</p> <p>Document drafting: November – March 2018</p> <p>Membership review: April 2018</p> <p>CSMAS review and endorsement: June 2018</p> <p>BOG review and endorsement: August 2018</p> <p>Final deliverable: First deliverable of MH Workshop Planning Kit and MHBP implementation resources were released June 2018.</p> <p>Final deliverables expected in April 2020.</p>



	Sports Wagering Task Force	SSI and Research Department hosted a summit for the purpose of identifying education and intervention strategies to prevent and/or manage problem gambling behaviors among student-athletes. Specific deliverables will be determined at the summit.	Peer-reviewed Journal Article	Article submission: TBD
			Educational Tools	Event Date: March 12-13, 2019 Tool Development: TBD Membership review: CSMAS review and endorsement: NA BOG review and endorsement: NA Final deliverable: TBD
	Mental Health Waiver Think Tank	SSI and AMA will host a think tank to discuss waivers relating to student-athlete mental health. Attendees will include a group of sport psychologists with experience working in the college/university athletic environment.	Waiver-related decision-making tree for use by AMA staff. Recommendations for a mental health review as part of the waiver consideration process.	Event date: November 14-15, 2019 Document drafting: November 2019 - January 2020 Membership review: TBD CSMAS review: TBD BOG review and endorsement: TBD Final deliverable: TBD
Overuse, Sleep, and Performance	Task Force on Sleep & Wellness	SSI hosted a task force on sleep and wellness May 1-2, 2017, with representatives from scientific, higher education and sports medicine organizations to review current data and discuss existing best practices related to the sleep and wellness of student- athletes.	Educational tools [†] Peer-review journal article	Event date: May 1-2, 2017 Tool Development: Spring 2019. Membership review: CSMAS review and endorsement: NA BOG review and endorsement: NA Final deliverable: Complete - October 2019 Article submission: Complete – Accepted for publication with the British Journal of Sports Medicine



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SUPPLEMENT NO. 35a
DIII Mgmt Council 04/2020

Athletics Health Care Administration	NATA-NCAA Summit on the Organizational and Administrative Aspects of Athletic Health Care in College / University Settings	This project has been transitioned into several separate projects focusing on various aspects of independent medical care.		Event date: Jan. 2017
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*Will result in uniform standards of care for the Association; †Outcomes will be educational in nature, and will serve as a resource for member schools



Guidance to the NCAA membership about the Arrington Certification Process

The purpose of this memo is to provide membership with: 1) additional information about the availability of an online platform and process that has been established to facilitate membership certification under the Arrington Class Settlement Agreement; and 2) access to related educational and instructional materials.

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The content of this memo is being provided for informational purposes only and should not be construed as legal advice or a substitute for legal advice. We encourage you to review the content with applicable legal and other advisors and other institutional staff who might assist you in appropriately evaluating this information as it applies to your individual institutional decision about certification and related risks and practices.

REMINDER - Certifications must be submitted by May 18,2020

In accordance with Section IX.B of the Settlement Agreement, certification is optional for NCAA member schools; but it provides certifying institutions with an opportunity to benefit from a release from certain legal claims that might otherwise be brought by members of the settlement class. To benefit from the release, member institutions must certify in writing, **on or before 11:59 PM CDT (Chicago time) on May 18** that they have put in place a concussion management plan that meets the requirements of Section IX. A of the Settlement Agreement and must provide a copy of the certification to the Special Master, Class Counsel, and the Notice Administrator as described in the Settlement Agreement. Please note that, while all three divisions continue to explore the possibility of delaying certain other membership reporting obligations in response to the impact of COVID-19, the May 18 certification deadline is imposed by the terms of the Settlement Agreement which is a legal document. The Settlement Agreement does not provide for any opportunity for an extension or waiver of that deadline and the deadline should be considered permanent and immovable.

Certification Process

A certification website and electronic certification process have been established and will be managed by third party settlement administrator Epiq Mass Tort (EPIQ). The certification website is immediately available to membership and step-by-step instructions for member institutions can be accessed [here](#).

IMPORTANT - Prior to certification

Prior to accessing the certification website or implementing the instructions provided through the link above, it is important that each institution carefully reviews the content of Section IX and other related provisions of the Settlement Agreement, as well as the sample certification form. This review should be done with applicable legal advisors and other school staff who might assist in appropriately evaluating the certification requirements and decision, in each case as they apply to individual institutional risks and practices. A copy of the Settlement Agreement can be accessed [here](#). A pdf copy of a sample certification form can be accessed [here](#).

After Certification

Upon successful completion of the certification process, the individual that certifies on behalf of the institution will immediately receive an email confirming that the certification document has been completed. The email will include a pdf copy of the signed certification and the signer will be able to review an online copy as well. EPIQ will subsequently send a confirmation email to the following school personnel at the address that was on file with the NCAA on March 15:

- Director of Athletics.
- Athletics Health Care Administrator.
- Director of Compliance and General Counsel (or, where no General Counsel, the President/Chancellor).

The executed certification form will also be automatically uploaded to the settlement website. Finally, EPIQ will provide a copy of the executed certification form to each of the Special Master, Class Counsel, and the Notice Administrator, on behalf of the certifying institution, as required under the Settlement Agreement. Please note that it may take EPIQ several days after completion of the certification process to fully complete these activities.

Please note that, to support membership certification efforts, EPIQ intends to send subsequent reminders of the certification deadline to the limited group of Association stakeholders identified above at member institutions that have not yet submitted a certification between now and the certification deadline.

Frequently Asked Questions

In addition to the instructional information about the certification process, we have developed a *Frequently Asked Questions* document that contains answers to anticipated membership questions related to the certification process. A copy of the Certification FAQ can be accessed [here](#).

Recommended Next Steps

As indicated above, we encourage you to review the content of Section IX and other related provisions of the Settlement Agreement, as well as the sample certification form with applicable legal advisors and other school staff who might assist in appropriately evaluating the certification requirements and decision, in each case as they apply to individual institutional risks and practices. Links to relevant certification materials are provided again for your convenience below.

- [Certification Instructions](#)
- [Settlement Agreement](#)
- [Sample Certification Form](#) (pdf copy)
- [Certification FAQ](#)

We appreciate the efforts required to comply with the certification requirements, especially during these extremely challenging times. We remain committed to working with the membership to support and facilitate compliance and we greatly appreciate your continued partnership toward that effort. As always, please contact us at ssi@ncaa.org in the event you have any questions.

This email was sent to NCAA Divisions I, II and III Athletics Health Care Administrators, Conference Commissioners, Directors of Athletics, Directors of Compliance, General Counsel, Head Athletic Trainers, Head Team Physicians, Presidents and Chancellors, and Senior Woman Administrators based on contact information in the [NCAA Directory](#); and to select NCAA staff.

GUIDANCE

MEDICAL CARE AND COVERAGE FOR STUDENT-ATHLETES AT AWAY EVENTS

PURPOSE.

Each institution has a legislative responsibility to provide medical care and coverage for its own student-athletes who are participating in sanctioned athletic activities, regardless of whether the events are occurring on campus or at another location. Despite common historical practice, an institution should not assume that a host institution will agree to take on those responsibilities for its visiting student-athletes. Rather, it should carefully consider all its obligations related to the provision of medical care at away events, and proactively assess the alternatives through which it might satisfy those responsibilities. Similarly, before agreeing to assume responsibility for visiting student-athletes, a host institution should carefully consider the various medical and legal implications of doing so.

This document is intended to provide guidance to the membership on the institutional obligations, and some of the more relevant corresponding considerations, related to the provision of medical care and coverage for NCAA student-athletes who are participating in school-sponsored practice and competition at locations other than their home institutional facilities.

The information contained in these materials is for educational purposes only and is not intended to constitute, or be a substitute for, medical or legal advice. The information provided is not intended to be exhaustive, and we encourage membership to review these materials with applicable campus medical, legal and risk management authorities to determine whether and how best to use this information to address individual institutional risks and requirements.

WHO SHOULD READ THIS DOCUMENT?

Those who may be involved with the oversight or delivery of athletic health care, including, but not limited to:

1. Athletics Health Care Administrators.
2. Compliance Staff.
3. Conference Commissioners.
4. Directors of Athletics.
5. Faculty Athletic Representatives.
6. Coaching Staff.
7. Presidents and Chancellors.
8. Team Physicians, Athletic Trainers and Other Team Health Care Providers.
9. Legal Counsel and Risk Management Staff.
10. Student-Athletes.

APPLICABLE INSTITUTIONAL OBLIGATIONS.

There are several association-wide legislative and policy provisions that apply to an institution's provision of medical care and coverage for NCAA student-athletes who are participating in school-sponsored practice and competition at locations other than their home institution facilities. For example:*

- An active member institution must conduct its athletic program in a manner designed to protect and enhance the physical well-being of student-athletes and it has a responsibility to protect the health of, and provide a safe environment for, each student-athlete.ⁱ
- An active member institution must designate a properly credentialed and licensed physician for each of its teams and that individual must have authority to oversee the medical services incidental to the participation of the members of the team.ⁱⁱ
- An active member institution must establish an administrative structure that ensures that the primary athletics health care providers (i.e., the team physicians and athletic trainers) have unchallengeable autonomous authority to determine the medical management and return-to-play decisions related to student-athletes.ⁱⁱⁱ
- An active member institution's policies should provide that any student-athlete who exhibits signs, symptoms or behaviors consistent with concussion must be evaluated by a medical staff member (e.g.; sports medicine staff, team physician) with experience in the evaluation and management of concussions; and, if diagnosed with a concussion, cleared to return to athletics activity only as determined by the team physician or physician's designee.^{iv}
- An active member institution's policies should provide that medical personnel with training in the diagnosis, treatment and initial management of acute concussion must be on site at the campus or arena of each competition, and available to be contacted at any time via telephone, messaging, email, beeper or other immediate communication means during all practices involving specified contact/collision sports (basketball, equestrian, field hockey, football, ice hockey, lacrosse, pole vault, rugby, skiing, soccer, wrestling).^{v vi}
- An active member institution must have a properly rehearsed emergency action plan for *all* venues (home and away) in which practices or competitions are conducted and the plan must specifically address medical care related to concussions and catastrophic injuries, including head and neck injuries, cardiac arrest, heat illness and heat stroke, exertional rhabdomyolysis, exertional collapse associated with sickle cell trait, any exertional or nonexertional collapse, asthma, diabetic emergency and mental health emergency.^{vii viii}
- An active member institution must provide medical care and coverage for student-athletes for all athletically related injuries incurred during intercollegiate sports activities including team travel, competition, practices and conditioning.^{ix}
- An institution is required to collect and submit data detailing concussion and catastrophic events involving student-athletes.^{x xi}

- * *Some of the more relevant NCAA legislative provisions and policies have been summarized above for simplicity and convenience. They are not intended to reflect the full text of the legislation or policies or to provide an exhaustive list of applicable materials. The full text of all relevant NCAA institutional obligations can be found in the applicable divisional manuals and Board of Governors materials located on the NCAA website.*

OBLIGATIONS RELATED TO AWAY ACTIVITIES.

Each institution is responsible for complying with the above membership obligations for each of its respective teams and student-athletes regardless of whether athletic activities occur on campus or at another location. The obligations do not change when a team departs campus for an away practice or competition. That said, applicable legislation and policy provide institutions with significant flexibility in determining how to satisfy these obligations. For example, the above provisions do not mandate that institutional staff must fulfill the various requirements involving medical personnel. In fact, historically, many host schools have made primary athletics health care providers available to provide health care to visiting teams traveling without medical personnel. Some teams have also contracted services from independent third-party care providers for certain events. Each institution should carefully consider all available options, and the corresponding risks and benefit considerations, to identify which alternatives best support its individual compliance needs. Some of the more relevant considerations are identified and described below.

CONSIDERATIONS.

Whether an institution elects to send their own medical personnel to away events or coordinate care through the host institution or a third-party provider, it is important to evaluate all of the medical and legal factors that may impact its ability to comply with legislative, policy and other applicable obligations. Because the considerations are different for visiting programs and host institutions, they are addressed in separate sections below and members are encouraged to carefully review both perspectives. While these materials identify some of the more relevant considerations, these materials are not exhaustive in nature and we encourage each institution to work with applicable campus medical, legal and risk management authorities to evaluate these considerations and to identify any others that may impact institutional risks and requirements.

Other Applicable Laws, Policies, Obligations.

NCAA legislative and policy requirements reflect only one of many sources of potential obligations for an institution. There may also be state or federal laws and institutional or educational system policies or obligations that govern or impact how an institution elects to manage medical care and coverage at events occurring away from campus facilities. For example, state and federal laws and institutional policies may impact who can or must provide medical services at non-campus events and whether and which medical and personal information can or must be shared with non-institutional staff as part of medical decision making. For example, while [*The Sports Medicine Licensure Clarity Act of 2018*](#) offers general protection for most health care professionals who provide care outside their home state of licensure, the law, as well as how it intersects with state and federal laws governing the transport and dispensing of pharmaceuticals, can be nuanced and require thoughtful review and clear understanding. Similarly, institutional agreements (with employees, student-athletes and third parties) may limit or require certain

practices or processes related to the sharing of information or the provision or oversight of medical care beyond those that are contemplated in NCAA legislation and policy. It is important to work closely with legal and other knowledgeable institutional advisors to identify, understand and address these additional obligations to the extent they exist.

Other Institutional Considerations for Traveling Teams.

- **Appropriate Staffing:** Some membership obligations require that medical personnel with certain credentials or skill sets be available or present in certain specific scenarios to provide care and/or decision making.^{iv-vi} With respect to institutions sending teams to away events, compliance with these obligations will require a clear understanding of event logistics and staff resources, credentials and availability. Whether sending its own staff or relying on third-party staffing, a visiting institution should consider clarifying and documenting which medical personnel (visiting and hosting) will be assigned to cover each away event and the specific roles/responsibilities of each. If relying on third-party staffing, the institution should consider a written agreement reflecting the same.
- **Emergency Action Plan (EAP):** An institution must have an EAP for *all* venues (home and away) in which practices or competitions are conducted and the plan must speak specifically to catastrophic injuries and various other injury categories.^{vii viii} The EAP must be properly understood and rehearsed by applicable personnel. Whether sending its own staff or relying on third-party staffing, an institution should consider requesting a copy of the host school's EAP and reviewing as necessary to confirm whether it can be relied upon to adequately satisfy the visiting institution's obligations. If an institution is sending its own medical personnel to the away event, personnel should become familiar with and have access to necessary emergency equipment and treatment facilities. Again, regardless of how it elects to staff away events, a visiting institution should consider clarifying and documenting which medical professionals and other staff (visiting and hosting) will play a role in the implementation of the EAP and the roles/responsibilities of each. If relying on third-party staffing, the institution should consider a written agreement reflecting the same.
- **Independent Medical Care:** NCAA independent medical care legislation empowers team physicians and athletic trainers with final decision-making authority regarding the diagnosis, management and return-to-sport determinations for student-athletes, free from influence exerted by non-medical professionals such as coaches or athletic administrators.ⁱⁱⁱ While other members of the institutional sports medicine team, and providers at host institutions and contracted third party providers, may work with the institutional health care providers in an integrative and consultative manner, the primary athletics health care providers (team physicians and athletic trainers) at the visiting team's institution are ultimately responsible when it comes to medical management and return-to-play decisions related to their traveling student-athletes. If an institution's primary athletics health care providers will not travel with a team to an away event, coaches and other staff will be expected to follow the protocols established by them prior to the trip. A visiting institution should consider whether existing travel policies and guidelines adequately account for independent medical care and decision making in the absence of institutional medical personnel. If an institution intends to rely on the care and decision making of the host institution or third party medical staff, the institution should consider clearly documenting that delegation of authority and the acknowledgement of

responsibility by the host institution or third party, prior to the away event as part of the paperwork to be executed by the host institution. This type of written delegation and acknowledgement of authority would be interpreted as a delegation of the corresponding independent medical care authority of the visiting institution's or contracted third party's medical personnel such that the medical decision making of the host institution or third party medical providers should not be challenged by visiting team coaches or others.

- **Equipment and Supplies:** The availability of adequate medical equipment and supplies at an away event will impact a visiting institution's ability to satisfy its medical care obligations. Accordingly, and whether sending its own staff or relying on third-party staffing, an institution should consider proactively coordinating with medical personnel at the host institution or third party provider to identify any specific equipment and supply needs that will not be met through the visiting team's travel practices. Similarly, because regulations related to the transport and dispensation of controlled substances can be nuanced and can vary from state to state, a visiting institution should consider careful review of applicable regulations to evaluate whether traveling medical staff or, if applicable, visiting institution medical personnel can properly accommodate visiting team pharmaceutical needs as intended.
- **Confidentiality and Consent to Treat:** Visiting team medical personnel should consider the types of student-athlete medical records and personal information that may be required at an away event in order to adequately satisfy medical care obligations. If access to necessary materials requires the visiting institution to travel with student-athlete medical records or other confidential information, or to provide a host institution or third party provider with access to such information, it should consider whether and to what extent those activities may be impacted by applicable privacy regulations and obligations. Similarly, any visiting institution that intends to rely on host institution or third-party medical staff should consider whether its standard student-athlete consent to treat forms adequately account for and permit those activities.
- **Reporting:** An institution is required to collect and submit data detailing concussion and catastrophic events involving student-athletes^{x xi}, whether they occur as part of athletic events played at home or away from campus and it may have other institutional data collection and reporting obligations as well. An institution should consider reviewing its travel policies and guidelines and intended travel staffing plans to confirm whether they adequately address these reporting needs and requirements.
- **Insurance:** An institution must provide medical coverage for student-athletes for all athletically related injuries incurred during intercollegiate sports activities including those that occur at away events or otherwise as part of team travel activities.^{ix} Each visiting institution should consider reviewing its student-athlete medical coverage to confirm that it adequately extends to events away from campus and, to the extent it intends to rely on host institution or third party medical staff for student-athlete medical care and coverage, whether and to what extent that may impact existing policies and coverage. Similarly, each visiting institution should consider reviewing its employee-related policies to confirm that all services and responsibilities that it intends to be performed by institutional medical and other staff at or as part of away events are adequately covered.

Other Institutional Considerations for Hosting Teams.

- **Appropriate Staffing:** Some of the membership obligations require that medical personnel with certain credentials or skill sets be available or present in certain specific scenarios to provide care and/or decision making.^{iv-vi} While it is permissible for a visiting institution to delegate these responsibilities to a host institution, before agreeing to assume responsibility for these obligations, a host institution should consider the specific needs of the visiting team, event logistics, and the availability, scope of employment, credentials and state licensing limitations of its institutional staff, and confirm that it can appropriately accommodate and fulfill the obligations. For example, the host institution should consider whether the scope of existing employment agreements and state licensing requirements for their primary athletics health care providers permit the provision of medical care and the dispensation of controlled substances to student-athletes from outside institutions and/or different states. If a host institution agrees to assume NCAA legislative or policy obligations related to the medical care of visiting student-athletes, it should consider clarifying and documenting in a written agreement with the visiting institution which of its medical personnel and other staff will be assigned to cover applicable events and the specific roles/responsibilities of each.
- **Emergency Action Plan (EAP):** Whether it has agreed to provide medical care and coverage to visiting student-athletes or not, and regardless of whether it is specifically requested, the host institution should consider proactively sharing a copy of its EAP with visiting teams. If and to the extent a host institution agrees to assume responsibility for medical care for visiting student-athletes, it should consider reviewing its own EAP to understand and confirm whether it can adequately address those additional assumed responsibilities. If there is any need to change or supplement its EAP in connection with the provision of care to visiting student-athletes or otherwise in connection with an athletics event, it should consider reviewing the revised EAP with all individuals, including visiting team staff, who may be involved with implementation of the EAP. Finally, a host institution should consider clarifying and documenting in a written agreement with the visiting institution which medical professionals and other staff (visiting and hosting) will play a role in the implementation of the EAP and the roles/responsibilities of each.
- **Independent Medical Care:** NCAA independent medical care legislation empowers team physicians and athletic trainers with final decision-making authority regarding the diagnosis, management and return-to-sport determinations for student-athletes, free from influence exerted by non-medical professionals such as coaches or athletic administrators.ⁱⁱⁱ A host institution should consider proactively contacting a visiting institution to confirm whether its primary athletics health care providers are planning to travel with the visiting team. Where a visiting team does not intend to travel with its medical personnel, the host institution should consider requesting a copy of institutional protocols pertaining to the diagnosis, management and return-to-sport determinations for visiting student-athletes. Similarly, if there is any question about who will be providing medical care to the visiting student-athletes, the host institution should consider clarifying expectations and resolving any open issues well before the date of the event. Where the visiting institution has delegated, and the host institution has formally agreed to assume, responsibility for the provision of medical care and decision making for visiting team student-athletes, and unless its otherwise indicated in conference guidelines or as part of the agreement between the institutions, it would be considered a delegation of the corresponding independent medical care authority of the visiting institution's

medical personnel such that the medical decision making of the host institution medical providers should not be challenged by visiting team coaches or others.

- **Equipment and Supplies:** The availability of adequate medical equipment and supplies will impact an institution's ability to satisfy its medical care obligations. Accordingly, and whether or not it agrees to provide any medical care for visiting student-athletes, a host institution should consider proactively coordinating with medical personnel at the visiting institution to identify any specific equipment and supply needs that will not be met through its own travel transport practices. Similarly, regulations related to the storage and dispensation of controlled substances are nuanced and vary from state to state. Before agreeing to store or dispense any controlled substances for a visiting institution, a host institution should consider careful review of applicable regulations to confirm how they may impact those the requested services. The host institution should consider discussing and documenting how the institutions agree to address those unmet needs and what, if any, obligations the visiting institution has agreed to fulfill.
- **Confidentiality and Consent to Treat:** Where the host institution has agreed to provide medical care for visiting student-athletes, its medical personnel should consider the types of student-athlete medical records and personal information that may need to be readily available or accessible to adequately satisfy those assumed medical care responsibilities and should consider proactively coordinating with the visiting team medical personnel about those needs. If a visiting institution has asked the host institution to review or access medical records or other confidential information related to visiting team athletes, or the visiting team may require access to such information to fulfill assumed responsibilities related to the provision of medical care, it should consider whether and to what extent those activities may be limited or otherwise impacted by applicable privacy regulations and obligations. Similarly, a host institution that has agreed to provide medical care for visiting student-athletes should consider proactively consulting with the visiting team providers to confirm whether applicable student-athlete consent to treat forms adequately account for and permit treatment by host institution medical personnel. It should also consider documenting that information as part of a written agreement with the visiting team's institution.
- **Reporting:** A visiting institution is required to collect and submit data detailing concussion and catastrophic events involving student-athletes^{x xi}, whether they occur as part of athletic events played at home or away from campus and it may have other institutional data collection and reporting obligations as well. If a host institution has agreed to provide medical care for visiting student-athletes, it should consider proactively consulting with the visiting institution to confirm whether it intends for host institution personnel to capture and record any injury data as part of those responsibilities and, if so, it should consider the impact of applicable privacy regulations and obligations on those activities. The host institution should consider including a description of applicable injury data recording and reporting responsibilities, if any, as part of a written agreement with the visiting team's institution.
- **Insurance:** A host institution should consider reviewing its insurance policies that may involve or apply to visiting student-athletes and staff, event officials and non-employee staff to understand any underlying policy requirements or limitations and whether coverage applies as intended. Similarly, the host institution should consider reviewing its employee-related

policies to confirm whether and to what extent they may impact its decision to agree to assume responsibilities related to the provision of medical care for visiting student-athletes.

KEY TAKEAWAYS.

The NCAA has identified the potential for a gap in medical care for visiting teams during NCAA-sanctioned events. Such a gap would put visiting student-athletes at heightened risk. The NCAA Board of Governors has requested the creation and dissemination of these materials to remind member institutions about their obligations related to medical care and coverage as they relate to NCAA student-athletes who are participating in school-sponsored practice and competition at locations other than their home institutional facilities and to provide guidance with respect to related risks and considerations. In order to appropriately address the identified potential gap in care, each member institution should consider the following evaluative steps:

- ☐ Careful review of these materials, along with all athletics program scheduling and anticipated staffing models, with applicable campus medical, legal and risk management authorities to determine whether proposed staffing plans adequately address applicable medical care obligations for *both* home and away events.
- ☐ Identification of potential gaps that may result in non-compliance and identification of a plan (e.g., collaboration with conference or campus leadership to secure additional internal resources and/or creation of a plan to coordinate with host team institutions or licensed third parties) to address identified needs.
- ☐ Evaluation of whether any obligations or related issues might be most effectively managed at the conference level and coordination with conference membership and leadership to explore alternatives.
- ☐ Evaluation of relevant insurance policies with applicable campus medical, legal and risk management authorities to confirm adequacy of coverage and identify and resolve any unintended gaps in coverage.
- ☐ Documentation of institution-wide expectations as part of conference/departamental/team travel policies and guidelines.
- ☐ Documentation of the details related to the delegation by a visiting institution of any of its medical care and coverage responsibilities and the assumption of those responsibilities by the conference, the host institution or another party.**
- ☐ Timely communication to and education of all applicable athletics and other institutional staff about the details of team travel policies and guidelines, and any arrangements with other institutions or third-party medical providers, related to the medical care and coverage of student-athletes participating in away events.

****** *As highlighted in the sections describing institution obligations and considerations above, each institution retains medical care and coverage obligations for its own student-athletes regardless of whether they are participating in home or away events. Despite common historical practice, an institution should not assume that a host institution will agree to take*

on those responsibilities with respect to its visiting student-athletes. Rather, if an institution anticipates that it will require the assistance of the conference, the host institution or another third party to fulfill its obligations related to the provision of medical care at away events, it should proactively communicate and coordinate with those other parties and come to an agreement around which responsibilities will be delegated by the visiting institution and assumed by the third party. These types of agreed upon details are best documented in a written agreement between the parties or, in the case of conference management, in the applicable conference policies and guidelines. The parties should consider simultaneously discussing and addressing, as applicable, the other considerations described above and, as necessary, including the same as part of their written documentation.

Endnotes

	DIVISION I	DIVISION II	DIVISION III
i.	Constitution §2.2	Constitution §2.2	Constitution §2.2
ii.	Constitution §3.2.4.18	Constitution §3.2.4.20	Constitution §3.2.4.19
iii.	Constitution §3.2.4.19	Constitution §3.2.4.19	Constitution §3.2.4.20
iv.	Constitution §3.2.4.20(b)	Constitution §3.2.4.17(b)	Constitution §3.2.4.17(b)
v.	Constitution §3.2.4.20.1	Constitution §3.3.4.17	Constitution §3.2.4.17
vi.	NCAA Concussion Safety Protocol CHECKLIST		
vii.	Report of the NCAA Board of Governors: April 30, 2019		
viii.	Interassociation Recommendations : Preventing Catastrophic Injury & Death in Collegiate Athletes		
ix.	Bylaws §16.4.1	Constitution §3.3.4.14	Constitution §3.2.4.8
x.	Constitution §4.3.4.21	Constitution §3.3.4.18	Constitution §3.2.4.18
xi.	Constitution §3.2.4.21	Constitution §3.3.4.21	Constitution §3.2.4.18

Citations Last Updated 2020-March-03

Dear Commissioner,

I am pleased to share that the NCAA has engaged The PICTOR Group to lead a comprehensive review of the state of collegiate officiating for 15 NCAA sports across Divisions I, II and III. This is in response to strong interest and concern across all divisions of the NCAA membership.

This national review of officiating is underway and will involve a significant amount of data collection. The information will be gathered from industry data and supplemented by information gleaned from focus groups and interviews with various stakeholders. This data gathering will help to inform a series of recommendations related to the recruitment, education, training, evaluation and retention of collegiate officials. It is anticipated that a final report will be submitted to the NCAA in August 2020.

To help guide the process, an Officiating Review Steering Committee has been created consisting of commissioners from all three NCAA divisions (Steering Committee Roster Attached). The members of the steering committee were recommended by their respective divisional commissioner groups. Their role will be to help guide the data gathering process, assist with outreach, and provide guidance during the preparation of a report with recommendations. In the next week or so, you will receive a brief survey from The PICTOR Group requesting officiating contact information for your Conference.

Attached is a one-page overview of the National Review of Collegiate Officiating. We ask that you to share it with your conference membership and officiating personnel and encourage them to participate through surveys, interviews, sharing of information, etc. if requested. Feel free to reach out to [Anthony Holman](#), Managing Director, or [Sandy Hatfield Clubb](#) at The PICTOR Group with questions or suggestions. We look forward to their report and recommendations later this year.

Joni



Joni Comstock

Senior Vice President of Championships

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NATIONAL REVIEW OF COLLEGIATE OFFICIATING

The NCAA has engaged *The PICTOR Group* to lead a national review of the state of collegiate officiating across 15 sports. An Officiating Review Steering Committee comprised of commissioners from all three NCAA divisions will guide the information and data gathering process, assist with outreach, and provide guidance during data analysis, report preparation and final recommendations.

The over-arching goal is to identify general as well as divisional issues that are affecting NCAA sports as a result of the decline in the officiating pool and to present strategic recommendations to meet the officiating needs of the membership.

The officiating review includes the sports listed below across Divisions I, II and III. Information will be gathered from available industry data and supplemented through surveying as well as focus groups and interviews with various stakeholders. Input and perspectives will be sought from officiating and sport-specific stakeholders.

- **Targeted Sports (Men's and Women's):** Basketball, Gymnastics, Ice Hockey, Lacrosse, Soccer, Swimming & Diving, Tennis, Track and Field, Volleyball, and Water Polo.
- **Targeted Single Gender Sports:** Baseball, Field Hockey, Football, Softball, and Wrestling.

The officiating project will include all three divisions. The specific objectives of the National Review of Collegiate Officiating are to:

- Conduct a detailed analytical review of fees, number of officials, demographics of officials, regional challenges, typical path to collegiate officiating, average tenure, and coordinator of official's structure;
- Develop a clearer understanding of the recruiting, education, evaluation, retention and training of collegiate officials;
- Identify sports that are in crisis based upon the number of officials available to work collegiate games; and,
- Determine the effect of sportsmanship on collegiate officiating and how to address fan behavior.

Estimated Timeline: Data collection has been initiated and a final report to the NCAA is anticipated in August 2020.

The PICTOR Group Project Leaders

Sandy Hatfield Clubb, Senior Partner; shclubb@thepictorgroup.com

Carolyn Schlie Femovich, Senior Partner; csfemovich@thepictorgroup.com

Mary Struckhoff, Associate; mstruckhoff33@gmail.com

NCAA Contact

Anthony Holman, NCAA, Managing Director of Championships & Alliances aholman@ncaa.org



Officiating Review Steering Committee

DIV	FIRST	LAST	TITLE	CONFERENCE
3	Katie	Boldvich	Commissioner	Landmark Conference
2	Kris	Dunbar	Commissioner	Great Lakes Intercollegiate Athletic Conference
1	Keith	Gill	Commissioner	Sun Belt Conference
1	Ted	Gumbart	Commissioner	Atlantic Sun Conference
2	David	Haglund	Commissioner	Great Northwest Athletic Conference
1	Jennifer	Heppel	Commissioner	Patriot League
3	Keri	Luchowski	Executive Director	North Coast Athletic Conference
3	Dan	McKane	Commissioner	Minnesota Intercollegiate Athletic Conference
2	Jim	Naumovich	Commissioner	Great Lakes Valley Conference
2	Will	Prewitt	Commissioner	Great American Conference
3	Patrick	Summers	Executive Director	New England Women's & Men's Athletic Conference
3	Kim	Wenger	Commissioner	Northwest Conference
1	Tom	Wistrill	Commissioner	Big Sky Conference



Strategic Analysis of the State of Collegiate Officiating

Presented by:



January 23, 2020

DIIICA Officiating Steering Committee

Ken Andrews, Middle Atlantic Conference

Katie Boldvich, Landmark Conference**

Kelly Higgins, American Collegiate Athletic Association

Jessica Huntley, Atlantic East Conference

Jeff Ligney, Capital Athletic Conference

Keri Luchowski, North Coast Conference**

Adrienne Mullikin, Colonial States Athletic Conference

Dan McKane, MIAC**

Patrick B. Summers (Chair), NEWMAC**

Kim Wenger, Northwest Conference**

**Representing DIII on the NCAA Officiating Steering Committee



The PICTOR Group Project Team

Project Co-Leads:

Carolyn Schlie Femovich, Senior Partner
Sandy Hatfield Clubb, Senior Partner

Officiating Expert:

Mary Struckhoff, Associate

Research Expert:

In negotiation

Project Coordinator:

Dee Abrahamson, Associate





A STRATEGIC ANALYSIS OF THE STATE OF COLLEGIATE OFFICIATING

The Division III Commissioner's Association (DIII CA) through the support of the NCAA Division III Conference Grant Program has selected the PICTOR Group to conduct a national review of the state of officiating in Division III. The review will lead to the development of a five-year strategic plan that will address recruiting, educating, training, and retaining officials as well as a comprehensive overview of the existing structure(s) that support the assignment and evaluation of officials.

Goals & Objectives

- A detailed analytical review of DIII Sports including fees, number of officials (demographic breakdown), regional challenges, typical path to collegiate officiating, average tenure, and coordinator of official's structure
- Greater awareness, involvement, and engagement by Division's I, II, and III leadership
- Guidance and assistance from NCAA Office to bring appropriate constituency groups together for a larger conversation regarding officiating.
- Develop a national recruiting plan and strategy to attract new officials
- Increase and coordinate efforts regarding national education, evaluation, retention, and training of officials
- Identify sports that are in crisis based on the number of officials available to work collegiate games and prioritize resources
- Determine the effect of sportsmanship on officiating as a profession; Determine next steps on how to address fan behavior

DIIICA Estimated Timeline & Deliverables

Action/Deliverable	Estimated Timeline
<u>PHASE 1:</u> Initiate Review	August 2019
Data Review and Collection	September 2019 – April 2020
1 st Draft DIIICA Report	May 2020
Final DIIICA Report	June 2020
<u>PHASE 2:</u> Initiate Strategic Planning	May/June 2020
1 st Draft for Stakeholder Review	September 2020
Final Draft to Committee	November 2020
2021-26 DII Officiating Strategic Plan	January 2021 NCAA Convention

Overview of DIIICA Work to Date



DIII Commissioners' General Concerns

Available pool of officials	34
Loss or lack of quality officials	17
Lack of consistent “best practices”	10
Lack of training and education	9
Cost/fees	9
Sportsmanship/treatment of officials	8
Lack of NCAA oversight	5



DIICA Conference Data

PLEASE update the GOOGLE DRIVE.



DIICA Officiating Survey Committee

Brad Bankston, ODAC

Jennifer Dubow, SCIAC

Dan McKane, MIAC

Andrea Savage, NESCAC

Patrick B. Summers, NEWMAC

Chuck Yrigoyen, American Rivers



Initial Stakeholder Engagement

- NCAA Administrative Staff
- Division II Commissioners
- National Association of Sports Officials (NASO)
- ArbiterSports
- National Federation of High Schools (NFHS)



Project Expansion: Cross Divisional Review

- NCAA National Review of Collegiate Officiating
- NCAA Steering Committee
- Timeline and Deliverables



Project Enhancements

- Regional Focus with National Scope
- NCAA Research Department
- NCAA Staff Support
 - Sport Administrators
 - National Coordinators
 - Access to Data
 - Central Hub Posting





NEWS AND ANNOUNCEMENTS



1/8/2020 **NCAA Officiating Review Project**

The NCAA has engaged The PICTOR Group to lead a comprehensive review of the state of collegiate officiating for 15 NCAA sports across all three divisions. A national review is underway and involves significant data collection, with commissioners representing Divisions I, II, and III comprising an *Officiating Review Steering Committee*. The final report will be submitted to the NCAA in August 2020.

Please click the following link for an overview of the [NCAA Officiating Review Project](#).

1/2/2020 **National Coordinator Notes - Test and Clinic Information**

Hello Umpires,

Happy New Year! Now let's get ready for some NCAA softball!

RULES QUESTION? ASK VICKIE

[Rules Question? Ask Vickie Van Kleeck](#)

[2018-19 Vickie's Responses to Submitted Rules Questions](#)

NCAA RULES INFORMATION

[2020 and 2021 NCAA Softball Official Rules Interpretations, Clarifications and Situations \(10/21/2019\)](#)

[2020 and 2021 NCAA Softball Official Rules Interpretations, Clarifications and Situations \(9/11/2019\)](#)

[Softball Rules Changes and Experimental Rule Approved](#)

[2020 and 2021 Major Rules Changes](#)

[2018 and 2019 NCAA Softball Official](#)

NATIONAL COORDINATOR NOTES

[National Coordinator Notes - September 4, 2019](#)

[Welcome to the 2018-19 Softball Season](#)

[Week Six](#)

[Week Five](#)

[Week Four](#)

[Week Three](#)

[More »](#)

TESTING

[2018 Test and Quizzes](#)

Data Collection

- DI & DII Conference Commissioners' Survey
- ArbiterSports Data Share
- Conference Coordinators/Assignors' Survey
- Officials' Survey
- National Coordinators' Focus Groups
- Industry Data & Literary/Media Review
- Ongoing Stakeholder Engagement



QUESTIONS & OPEN DISCUSSION



Upcoming

- NACDA Engagement – June 7-10
- Phase 1 Presentation – June DIIICA Meeting
- Contact us at anytime.





FUTURE MEETING SCHEDULE

Attending	Dates	Meeting	Location
2020			
	April 15-16	Committee on Women's Athletics	Virtual
	April 15-16	Minority Opportunities and Interests Committee	Virtual
	April 16	ILC teleconference	
	April 18-19	Student-Athlete Advisory Committee	Virtual
	April 20-21	Management Council	Virtual
	April 28-29	Presidents Council	Virtual
	May 13-14	Student-Athlete Reinstatement Committee	Virtual
	May 18-20	Regional Rules Seminar	Virtual
	May 21	ILC teleconference	
	June 1-3	Regional Rules Seminar	Virtual
	June 7-10	DIISIDA/NADIIIAA/NACDA	TBD
	June 10-11	Committee on Competitive Safeguards and Medical Aspects of Sports	Virtual
	June 16	Conference Rules Seminar	Virtual
	June 17-18	Division III Commissioners meeting	Virtual
	June 18	ILC teleconference	
	June 22-23	Championships Committee	TBD
	June 24-25	Membership Committee	TBD
	July 16	ILC teleconference	
	July 18-19	Student-Athlete Advisory Committee	TBD
	July 20-21	Management Council	TBD
	August 4	Presidents/Chancellors Advisory Group	TBD
	August 5	Presidents Council	TBD
	August 20	ILC teleconference	
	September 3	Nominating Committee	Indianapolis, IN
	September 13-15	Championships Committee with sport committee chairs	Indianapolis, IN
	September 14-15	Committee on Women's Athletics	Indianapolis, IN
	September 21-22	Committee on Competitive Safeguards and Medical Aspects of Sports	Indianapolis, IN
	September 22-23	Interpretations and Legislative Committee	Indianapolis, IN
	October 15	ILC teleconference	
	October 19-20	Management Council	Indianapolis, IN
	October 27-28	Presidents Council	Washington, D.C.
	November 15-16	Student-Athlete Advisory Committee	Indianapolis, IN
	November 19	ILC teleconference	
	December 1-2	Student-Athlete Reinstatement Committee	Indianapolis, IN
	December 17	ILC teleconference	
2021			
	January 12-16	Student-Athlete Advisory Committee	Washington, D.C.
	January 13-16	NCAA Convention	Washington, D.C.
	January 13	Management Council	Washington, D.C.
	January 14	Presidents Council	Washington, D.C.
	January 16	Post-Convention Management Council	Washington, D.C.
	February 2-3	Championships Committee	Indianapolis, IN
	February 16	Nominating Committee	Indianapolis, IN
	February 16-17	Committee on Competitive Safeguards and Medical Aspects of Sports	Indianapolis, IN
	February 25-26	Membership Committee	Indianapolis, IN
	April 10-11	Student-Athlete Advisory Committee	Indianapolis, IN
	April 12-13	Management Council	Indianapolis, IN

FUTURE MEETING SCHEDULE

Attending	Dates	Meeting	Location
	April 27-28	Presidents Council	Indianapolis, IN
	May 24-26	Regional Rules Seminar	Indianapolis, IN
	June 7-9	Regional Rules Seminar	Scottsdale, AZ
	June 14-15	Committee on Competitive Safeguards and Medical Aspects Of Sports	
	July 17-19	Student-Athlete Advisory Committee	Indianapolis, IN
	June 23-24	Membership Committee	Indianapolis, IN
	July 18-20	Management Council	Indianapolis, IN
	August 3-4	Presidents Council	Indianapolis, IN
	September 20-21	Committee on Competitive Safeguards and Medical Aspects of Sports	Indianapolis, IN
	October 18-19	Management Council	Indianapolis, IN
	October 26-27	Presidents Council	TBD
	November 14-15	Student-Athlete Advisory Committee	Indianapolis, IN

*Dates subject to change.

Future Dates for 2022

Membership Committee February 22-23 – Indianapolis
June 21-22 – Indianapolis

SAAC January 18-22 – Indianapolis, IN
April 9-10 – Indianapolis, IN
July 16-17 – Indianapolis, IN
November 13-14 – Indianapolis, IN

CSMAS February 22-23
June 13-14
September 19-20