

**REPORT OF THE  
NCAA DIVISION III FINANCIAL AID COMMITTEE  
NOVEMBER 9, 2020 MEETING**

**ACTION ITEMS.**

- None.

**INFORMATIONAL ITEMS.**

1. **Welcome and Introduction.** The chair welcomed new committee members, Michael Brophy (Hilbert College) and Kathleen Brown (St. Mary's College, Indiana).
2. **Review February 2020 report.** The committee reviewed and approved the report from its February 10-11, 2020 meeting.
3. **Level I reviews.** The committee reviewed 26 cases. During the deliberations, members of the committee recused themselves as necessary in accordance with the committee's conflict of interest policy. On review, the committee took the following actions:

**a. Voted to take no action on the following eight cases:**

- (1) 2005-4-03;
- (2) 2006-1-09;
- (3) 2007-1-16;
- (4) 2019-1-02;
- (5) 2020-1-02;
- (6) 2020-1-03;
- (7) 2020-1-05; and
- (8) 2020-1-07.

**b. Voted to forward the following 18 cases for a Level II review:**

- (1) 2006-1-06;
- (2) 2007-3-01;
- (3) 2009-2-03;
- (4) 2010-1-01;
- (5) 2010-2-04;
- (6) 2010-2-05;
- (7) 2011-1-01;
- (8) 2011-2-02;
- (9) 2014-1-01;
- (10) 2015-4-04;
- (11) 2017-1-07;
- (12) 2018-1-07;

- (13) 2020-1-01;
- (14) 2020-1-04;
- (15) 2020-1-06;
- (16) 2020-4-01;
- (17) 2020-4-02; and
- (18) 2020-4-03.

4. **Level II review.** During the deliberations, members of the committee recused themselves as necessary in accordance with the committee’s conflict of interest policy. On review, the committee voted to take no action on case 2020-4-04.
  
5. **Graduate/Post-Baccalaureate transfer student-athlete cohort report.** The 2020-21 reporting cycle marked the second time institutions have been required to submit financial aid information on their graduate/post-baccalaureate transfer student-athletes. NCAA staff provided a summary report capturing findings from the 2018-19 and 2019-20 academic years.

	2018-19	2019-20	Total
Number of Institutions Reporting	27	28	48 (unique institutions)
Number of Institutions Reporting in Consecutive Years	--	7 (25%)	7
Number of Student-Athletes	46	46	92
Average Number of Student-Athletes per Institution	2	2	2
Highest Number of Student-Athletes per Institution	10	7	12
Number of Sports Represented	19	12	19 (unique sports)
Highest Represented Sport(s)	Men’s Track (6) Women’s Swimming (6)	Men’s Basketball (10)	Men’s Basketball (14)
Number Student-Athletes Receiving \$0 of Institutional Gift Aid	24 (52%)	24 (52%)	48 (52%)
Average Institutional Gift Aid (of those receiving IGA)	\$19,000	\$11,300	\$15,200

6. **Division III Requests/Self-Reports Online Bylaw 15 interpretations.** Staff reported on the Bylaw 15 interpretive requests submitted since the February 2020 meeting. NCAA Bylaw 15.4.5 (Athletics Staff Involvement) was the most commonly cited within the requests.
7. **Governance Update.** Louise McCleary, Managing Director of Division III, provided an update to the committee on a wide range of Division III and NCAA topics.
8. **Roundtable Discussion.** Committee members responded to two prompts: 1) Current and future state of enrollment and financial aid on your campus and 2) Future challenges with the intersection of enrollment, financial aid and athletics in Division III. Key insights included:
  - Initial enrollment pressures are and will continue to be pervasive across numerous student categories including those with high financial need, out-of-state and international.
  - Retention of continuing students is and will continue to be a challenge given the extensive uncertainty in instruction delivery, housing and campus resources.
  - Initial enrollment and retention challenges will continue to impact the stability of athletic rosters and, potentially, the viability of athletic programs.
  - Financial aid offices have begun to see an increase in the number of financial aid package appeals. Some offices have seen a surplus of available financial aid due to enrollment deferrals and mid-year withdrawals. This has increased the pressure to meet enrollment goals using financial aid as leverage.
  - Virtual recruiting of prospective students and virtual visits are becoming commonplace across higher education institutions. These practices place unique pressures on institutions in a highly competitive marketplace.
9. **Other business.**
  - Outgoing members Ron Noborikawa, Kandis Schram and Steve Taylor were recognized for their outstanding service to the committee and Division III.
  - The next meeting where Level II reviews will occur is scheduled for February 15<sup>th</sup> and 16<sup>th</sup>, 2021.
10. **Adjournment.** The committee adjourned 4:00 p.m. Eastern time, November 9, 2020.

*Committee Chair: Marybeth Lamb, Bridgewater State University; Massachusetts State Collegiate Athletic Conference*

*Staff Liaisons: Eric Hartung, Research  
Tiffany Alford, Academic and Membership Affairs*

<b>NCAA Division III Financial Aid Committee February 10-11, 2020 Meeting</b>	
<b>Attendees:</b>	
Ashley Bianchi, Williams College, New England Small Colleges Athletic Conference.	
Michael Brophy, Hilbert College, Allegheny Mountain College Conference.	
Kathleen Brown, St. Mary's College (Indiana), Michigan Intercollegiate Athletic Association	
Marybeth Lamb, Bridgewater State University; Massachusetts State Collegiate Athletic Conference.	
Paula Lehrberger, Widener University, Middle Atlantic Conferences	
Ron Noborikawa, Pacific Lutheran University; Northwest Conference.	
Larry Scheiderer, Denison University; North Coast Conference.	
Kandis Schram, Maryville College; USA South.	
Eric Stacey, St. Catherine University, Minnesota Intercollegiate Athletic Conference.	
Melissa Stephens, Western Connecticut State University, Little East Conference.	
Jeffrey Stoudt, Franklin & Marshall College; Centennial Conference.	
Steve Taylor, Concordia University (WI); Northern Athletics Conference.	
<b>Absentees:</b>	
None.	
<b>NCAA Staff Support in Attendance:</b>	
Eric Hartung and Tiffany Alford.	
<b>Other NCAA Staff Members in Attendance:</b>	
Louise McCleary.	