ACTION ITEMS.

1. Legislative Items.
   • None.

2. Nonlegislative.
   a. Joint Men’s and Women’s Basketball Championship.
      (1) Recommendation. Approve $250,000 per championship to fund expenses associated with one joint championship for each gender by 2023-24.
      (2) Effective date. September 1, 2019. The joint championship dates will be determined pending future sites selected for the Division I Men’s and Women’s Final Fours.
      (3) Rationale. During their October meetings, both the Management and Presidents Councils approved the Championships Committee recommendation to host one men’s and one women’s joint basketball championship by the end of the current broadcast agreement (2023-24). The Councils requested that the Strategic Planning and Finance Committee determine the budget allocations. SPFC recommends moving the funds from the surplus above and beyond the mandated reserve to the Identity Initiatives budget, for the applicable budget year, to cover the expenses that include facility rentals, marketing, promotion, travel, lodging and per diem.
      (4) Estimated budget impact. $500,000 of one-time expenses ($250,000 per each championship).
      (5) Estimated student-athlete impact. The most recent joint championships in 2013 for men and 2016 for women garnered significant positive feedback regarding the student-athlete experience, increased attendance for the championship games, and enhanced visibility for the division.
   b. Division III University.
      (1) Recommendation. Approve a transfer of $30,000 from the Injury Surveillance budget of $104,000 to a new budget line to specially fund Division III University.
      (2) Effective Date. Immediate.
Rationale. Similar to Division II, Division III is creating “Division III University,” an online learning management system for Division III head coaches. The Councils approved this concept in October. The Division III rollout would be limited in scope with its initial launch anticipated for January 2019. It would include modules on: (1) NCAA and Division III; (2) Student-athlete health and well-being and (3) Compliance. Since these modules currently exist, there is no additional cost for content development. However, for the membership to access the modules there is a one-time licensing fee of two to three dollars per user.

Staff anticipates the Interpretations and Legislative Committee requesting new compliance modules, including the budget impact, and forwarding those to the SPFC for consideration in the next budget cycle (2019-2021).

Estimated budget impact. Reallocate approximately $20,000 to $30,000 to fund licenses for 10,000 staff (e.g., head coaches, athletics directors, senior women administrators and commissioners) at two to three dollars per license.

Estimated student-athlete impact. None.

INFORMATIONAL ITEMS.

1. Report from the June 11, 2018, teleconference. The committee approved the report from its June 11, 2018, teleconference.

2. Budget. The committee reviewed the 2017-18 final budget, the 2018-19 budget-to-actual report as of October 31, 2018, and the future budget model. The 2017-18 final budget reflects a $815,000 overage in championships and a surplus of $244,000 in nonchampionships. The overall deficit of $625,000 will be covered by the surplus above and beyond the mandated reserve (approximately $13 million).

3. Division III Conference Grant Program.
   a. 2017-18 Conference Grant Spending Summary. During 2017-18, a total of $2,791,532 was distributed to 43 conferences plus the Association of Division III Independents. The amount each conference received ranged from $33,972 to $97,522 with an average distribution of $63,444. The conference distribution amount is annually calculated with a formula that utilizes the number of member schools within each conference.
   b. Failure to Meet Grant Requirements. During the review of the impact forms, seven conferences did not properly use grant funds, based on the established conference grant policies and procedures. The subcommittee reviewed these findings and issued a warning letter regarding the following concerns:
c. **Clarification on unused Funds Policy.** The committee approved the following policy clarification on unused funds in excess of $1,000, noting it will be added to the Strategic Initiatives Conference Grant Program Policies and Procedures.

*A conference may retain unused funds in excess of $1,000 (beyond $301 in any single tier) provided that the conference submits a detailed plan regarding how the excess funds will be used and the Conference Grant Review Subcommittee approves the plan. Any funds beyond $1,000 unspent at the end of the subsequent year will need to be returned to the NCAA.*

d. **Increased Flexibility with Tier One Nonattendance Issues.** The committee approved the following policy clarification in regard to the minimum spending requirements of Tier One not met within a particular constituency area, noting it will be added to the Strategic Initiatives Conference Grant Program Policies and Procedures.

*If prior written notification of nonattendance by a planned Tier One professional development grant recipient, is provided to the conference grant administrator, the Conference Grant Review Subcommittee will allow a one-year rollover of those unused funds without penalty. The notification of nonattendance must include a plan for usage of the funds for the subsequent year within the same constituency area.*

e. **Policies Related to Increases in Tier One and Tier Three Funding.** The committee noted the subcommittee’s review of policies related to the recent annual increase of each conference’s allocation ($1,000 for athletics trainers in Tier One and $3,500 for officiating enhancements in Tier Three). The staff noted that because the increased funds were earmarked for specific strategic initiatives when the funding was approved by the SPFC, the dollars should be spent in those designated areas annually, with $1,000 minimum for athletics trainers in Tier One and a $3,500 minimum for officiating enhancements in Tier Three.

f. **Review of Division III Commissioner’s Association (DIIICA) Proposal Related to Tier Three Officiating Expenses.** The committee noted the subcommittee reviewed a proposal submitted on behalf of the DIIICA requesting that commissioners be permitted to collectively pool Tier Three officiating improvement funds toward a larger strategic effort in 2018-19. The DIIICA hopes to put the pooled funding toward a national review of the state of officiating in Division III. The money would be
allocated toward hiring a consultant(s) to assist in an assessment of the current state of officiating and provide a strategic plan on how to move forward as a division and jointly with Divisions I, II and the national office. [Note: This issue will be discussed during the committee’s March in-person meeting.]


   a. 2018 New FAR Orientation. The committee received an update on the new FAR Orientation, noting this program will be held every other year.

   b. International Ice Hockey Pilot. The committee received an update on the ice hockey pilot. Due to staff’s underestimate of the number of first year international players, the pilot will cost more than the budget of $10,000. Staff anticipates an overage of approximately $18,000. Staff will send a survey in March to all ice hockey institutions and commissioners to evaluate the pilot program and seek feedback on next steps.

   c. 2019 Athletics Direct Report (ADR) Institute. The committee received an update on the ADR Institute noting approximately 34 will attend the Institute during the 2019 NCAA Convention.

   d. 2019 Student Immersion Program. The committee received an update on the Student Immersion Program noting 36 student-athletes will attend the program during the 2019 NCAA Convention.

   e. NADIIIAA Summer Forum. The committee received a final summary showing the distribution of the $75,000 funds to support the 2018 NADIIIAA Summer Forum.

5. Other Business.

   a. College Basketball Reform. The committee received an update on the college basketball reform and the pending Association-wide vote at the 2019 NCAA Convention to add five independent members to the Board of Governors.

   b. Association-wide Strategic Plan. The committee received an update on the Association-wide Strategic Plan. During the October Council meetings, both the Management and Presidents Council met with Attain, the consultant developing the plan, to give their input. An Association-wide session will be held at the 2019 NCAA Convention. Staff anticipates the Board of Governors approving the new plan during its 2019 summer meeting.

   c. Staff thanked the outgoing members for their service.
6. **Future Meeting.** The Strategic Planning and Finance will hold its in-person meeting on Tuesday, March 5, from 8 a.m. to 2 p.m., at the NCAA national office.

7. **Adjournment.** The teleconference adjourned at 2:17 p.m.

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**Committee Chair:** Sue Henderson, New Jersey City University, New Jersey Athletic Conference.

**Staff Liaisons:** Louise McCleary, Division III Governance
Dan Dutcher, Division III Governance
Eric Hartung, Research
Jay Jones, Division III Governance
Jeff Myers, Academic and Membership Affairs
Caryl West, Administrative

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**Attendees:**
Angela Baumann, Massachusetts State Collegiate Athletic Conference
Sean Cain, Adrian College, SAAC representative
Gail Cummings-Danson, Skidmore College
Bobby Davis, University of Scranton
Stuart Dorsey, Texas Lutheran University
Margaret Drugovich, Hartwick College
David Ellis, Becker College
Shantey Hill, St. Joseph’s College (Long Island)
Rob Huntington, Heidelberg University
Dennis Shields, University of Wisconsin, Platteville
Bill Stiles, Alvernia University
Joe Walsh, Great Northeast Athletic Conference

**Absentees:**
Sue Henderson, New Jersey City University, chair
Kate Roy, North Atlantic Conference

**NCAA Staff Support in Attendance:**
Dan Dutcher, Jay Jones, Debbie Kresge, Louise McCleary, Jeff Myers and Caryl West

**Other NCAA Staff Members in Attendance:**
Brian Burnsed and Liz Suscha