

# NCAA Division I Committee for Legislative Relief Information Standards, Guidelines and Directives (Updated August 1, 2024)

The NCAA Division I Committee for Legislative Relief, formerly the NCAA Division I Management Council Administrative Review Committee was created in 1993 in response to the membership's desire for more rules' flexibility. This group consists of a committee of the NCAA Division I Council (formerly the NCAA Division I Legislative Council) that reviews the application of NCAA legislation in cases where the circumstances are extraordinary in nature [NCAA Bylaw 5.4.1.3 (Subcommittee for Legislative Relief of the Council)]. In April 2008, as a result of the transition to the new Division I governance structure, the Administrative Review Committee was renamed the NCAA Division I Committee for Legislative Relief. The equivalent waiver committees in Divisions II and III are the NCAA Division II Committee for Legislative Relief and the NCAA Division III Management Council Committee for Legislative Relief.

# Committee of Legislative Relief Authority.

At its October 2003 meeting, the Legislative Council approved the Division I Committee for Legislative Relief's recommendation affording the committee authority to waive the application of a rule when the circumstances of the case do not fit the intended consequences of the rule, even when the result could be a temporary rule change. The committee received endorsement from the Legislative Council for a shift in the philosophy of the legislative relief process affording the committee with the authority to waive legislation, prior to Legislative Council input, when circumstances arise that do not seem to be an intended consequence of legislation (i.e., the strict application of the rule based on its intent seems "overreaching" given the fact situation) even if granting such a waiver will essentially result in a temporary rule change in these limited instances.

Some potential guidelines for the committee when considering such an issue are below:

- 1. Minimal to no competitive or recruiting advantage will result from the waiver being granted.
- 2. Student-athletes collectively benefit from the granted waiver (as opposed to a select group of student-athletes benefiting at the cost of others).
- 3. The activity being prohibited from the rule appears to be an unintended consequence.

The committee believes that implementing any widespread, long-term changes should ultimately be accomplished through the legislative process. However, with the alteration of the timeline of the current legislative process and the less bureaucratic, more responsive philosophy, the committee believes that short-term relief may be provided to student-athletes when circumstances meet the proposed guidelines. Further, the committee would continue to review any adopted guidelines with the Legislative Council and, if the Legislative Council were not supportive, those legislative relief waiver cases decided in accordance with the guidelines would be archived.

# <u>Bylaw 11</u>.

# Committee for Legislative Relief Information Standards [Bylaws 11.4.2, 11.4.3, 11.4.4, 13.8.3.2, 13.8.3.3 and 13.8.3.4] – Individual Associated with a Prospective Student-Athlete – Football Bowl Subdivision, Men's Basketball and Women's Basketball.

During its November 2017 meeting, the committee approved information standards for waivers involving individuals associated with a prospective student-athlete legislation in the sports of Football Bowl Subdivision football and men's basketball and individuals associated with a recruited prospective student-athlete legislation in the sport of women's basketball. Specifically, an institution seeking relief of the legislation will be required to provide the following:

- 1. Statement from the institution detailing the following:
  - a. How the individual triggered the definition of an individual associated with a prospective student-athlete.
  - b. Chronology of events related to the hire.
    - (1) Date the institution posted job description for the noncoaching staff or strength and conditioning coach position;
    - (2) Documentation that the institution followed its normal process for posting open positions;
    - (3) Number of applicants who applied for the position;
    - (4) Date of the individual associated with a prospective student-athlete's interview;
    - (5) Date of the institution's offer of employment or intent to hire the individual associated with a prospective student-athlete;
    - (6) Date the institution began recruiting the prospective student-athlete;
    - (7) Date the prospective student-athlete committed to the institution;
    - (8) Information regarding whether or not the position is an already established position or a new position;
    - (9) Events that led to the hire (e.g., previous relationship); and
    - (10) Statement from head coach regarding decision to hire individual associated with the prospective student-athlete.
- 2. Statement from individual associated with a prospective student-athlete detailing the following:

- a. Involvement in the prospective student-athlete's recruitment at the institution and if applicable, at the previous institution.
- b. Statement detailing the nature of the contact (e.g., type of contact, duration, was there a break in communication) throughout the relationship with the prospective student-athlete.
- c. An explanation regarding the contact made with the prospective student-athlete regarding the individual associated with a prospective student-athlete's employment (e.g., was the individual associated with a prospective student-athlete aware of the institution's recruitment of the prospective student-athlete?).
- 3. Statement from the prospective student-athlete detailing the following:
  - a. Individual associated with a prospective student-athlete's involvement in the prospective student-athlete's recruitment at the institution and if applicable, at the previous institution.
  - b. The nature of the contact (e.g., type of contact, duration, was there a break) throughout the relationship with the individual associated with the prospective student-athlete?
  - c. An explanation regarding the contact made with the individual associated with a prospective student-athlete regarding his or her possible employment (e.g., was the prospective student-athlete aware of the individual associated with a prospective student-athlete's interest in a position at the institution?).
  - d. Statement from prospective student-athlete explaining enrollment decision.
- 4. If the involved prospective student-athlete is transferring from an NCAA four-year institution, a statement from administration at the previous institution indicating its support for the transfer; and
- 5. After thorough review of the information presented, NCAA legislative relief staff will review and consider the intent of the legislation and determine whether the facts presented provided the institution with a recruiting advantage.

#### <u>Bylaw 12</u>.

1. Seasons of Competition: Five-Year Rule Legislation [Bylaw 12.8]

# <u>Waivers Involving Requests for An Additional Season of Competition or to Exempt</u> <u>Competition from The Use of a Season of Competition.</u>

• During its February 2022 meeting, the committee reviewed case precedent and recommended that staff deny cases requesting to exempt competition from the use of a season of competition or for additional seasons of competition. The committee noted that no other entity (other than the NCAA Division I Board of Directors) has

the authority to act and determined that it would review these circumstances on a case-by-case basis but were inclined to deny. Further, the committee confirmed that requests to provide an additional season of competition or to exempt competition from the use of a season of competition would be considered only if based on a participation history that:

- (1) Has not been reviewed through the season of competition, hardship or extension-of-eligibility waiver process; and
- (2) Is clearly unaddressed by directives and/or guidelines put forth by the NCAA Division I Student-Athlete Reinstatement Committee.

# 2. Delayed-Enrollment Legislation [Bylaws 12.8.3.2, 12.8.3.2.1, 12.8.3.2.2, 12.8.3.2.2.2 and 12.8.3.5] – Guidelines, Information Standards and Directives.

#### Waivers Involving Military Services.

a. Guidelines.

During its September 2013 meeting, the committee revised the relief that can be provided for waivers involving Bylaws 12.8.3.2.1 (delayed-enrollment – sports other than men's ice hockey, skiing and tennis) and 12.8.3.2.2 (tennis) for student-athletes who became subject to the legislation during his or her participation in military service. This guideline does not apply to relief of Bylaws 12.8.3.2.2.2 (matriculation after 20th birthday – tennis) and 12.8.3.5 (participation after 21st birthday – men's ice hockey and skiing).

Specifically, the committee agreed relief should be provided for the season(s) of competition that a student-athlete became subject to under Bylaws 12.8.3.2.1 and 12.8.3.2.2-(a) and the year-in-residence requirement provided the student-athlete's participation in organized competition occurred during the student-athlete's participation in military service and the student-athlete was not designated as exceptional or elite-athlete status as defined by the student-athlete's home country. The committee agreed in cases in which a student-athlete's participation in organized competition occurred during the student-athlete's participation in military service and the student-athlete was not exempt from military obligations to participate in the student-athlete's sport or the student-athlete did not satisfy his or her military obligation by representing the country in athletics competition(s), relief should be provided for the season(s) of competition and the academic year-in-residence requirement. Additional mitigation or extenuating circumstances unrelated to the assertion of military service shall be reviewed on a case-by-case basis to determine if relief is warranted.

This change is effective February 1, 2014, for any student-athlete initially enrolling as a full-time student at a Division I institution on, or after, August 1, 2013.

The committee noted staff could provide full relief (i.e., season(s) of competition

and academic year-of-residence requirement) from the delayed-enrollment legislation when the following information or circumstances are presented for cases involving military service:

- (1) Military service must be supported by objective documentation;
- (2) The service must occur immediately after the student-athlete's completion of high school (as defined and required in the rule) and the student-athlete must enroll as a full-time student at a collegiate institution at his or her first opportunity once he or she is released from military service; and
- (3) The participation may only be of an amateur nature and there can be no amateurism violations as a result of the participation (e.g., prize money, contract, professional competition).

Specifically, the guidelines only apply to training and competition that occurs while a student-athlete is fulfilling his or her military service. No relief shall be provided if a student-athlete subjects himself or herself to the legislation either prior to enlistment in the military and/or after the student-athlete is discharged from military service (regardless of whether the student-athlete had an opportunity to enroll at the time of discharge). Thus, following graduation from high school, a student-athlete must begin his or her military service prior to becoming subject to the legislation and must cease all competition on being discharged from military service in order to receive protection under the guidelines.

In regard to a student-athlete who has received exceptional or elite-athlete status in the military, the committee agreed relief could only be provided for the season(s) of competition that a student-athlete became subject to under Bylaws 12.8.3.2.1 and 12.8.3.2.2-(a); however, no relief may be provided by the staff for the academic year in residence.

If the student-athlete was exempt from military obligations to participate in the student-athlete's sport or the student-athlete satisfied his or her mandatory military obligation by representing the country in athletics competition(s) (i.e., exceptional or elite-athlete status as defined by the student-athlete's home country), the committee agreed that relief could only be provided for the season(s) of competition that a student-athlete became subject to under Bylaws 12.8.3.2.1 and 12.8.3.2.2-(a); however, no relief may be provided by the staff for the academic year-in-residence. Additional mitigation or extenuating circumstances unrelated to the assertion of military service shall be reviewed on a case-by-case basis to determine if relief of the academic year in residence is warranted.

This change is effective February 1, 2014, for any student-athlete initially enrolling as a full-time student at a Division I institution on, or after, August 1, 2013.

The committee noted staff could consider partial relief (i.e., season(s) of competition) from the delayed-enrollment legislation when the following

information or circumstances are presented for cases involving elite-athlete status for student-athletes participating in military service:

- (1) Military service must be supported by objective documentation;
- (2) The service must occur immediately after the student-athlete's completion of high school (as defined and required in the rule), and the student-athlete must enroll as a full-time student at a collegiate institution at his or her first opportunity once he or she is released from military service; and
- (3) The participation may only be of an amateur nature, and there can be no amateurism violations as a result of the participation (e.g., prize money, contract, professional competition).

Specifically, the guidelines only apply to training and competition that occurs while a student-athlete is serving in the military. No relief shall be provided if a studentathlete subjects himself or herself to the legislation either prior to enlistment in the military and/or after the student-athlete is discharged from military service (regardless of whether the student-athlete had an opportunity to enroll at the time of discharge). Thus, following graduation from high school, a student-athlete must begin his or her military service prior to becoming subject to the legislation and must cease all competition on being discharged from military service in order to receive protection under the guidelines.

- b. Information Standards.
  - Military service must be supported by objective documentation (e.g., service enlistment date, service discharge date, attendance report that includes all leave time taken by the student-athlete to practice, train and/orcompete in his or her sport);
  - (2) Date of high school graduation as determined by the NCAA Eligibility Center. [NOTE: If the individual never received an amateurism certification from the NCAA Eligibility Center, then the institution must determine the date of high school graduation as defined and required in Bylaws 12.8.3.2.1 and 12.8.3.2.2;
  - (3) Documentation related to the amount of competition the individual participated in during each year that the student-athlete was subject to the legislation. This documentation must include the actual dates of competition on which the individual competed as opposed to the dates of the scheduled events in which the student-athlete competed;
  - (4) Documentation that the individual immediately enrolled as a full-timestudent at a collegiate institution at his or her first opportunity on being discharged from military service. [NOTE: The guidelines specify no relief will be provided if the student-athlete continues to compete after being discharged from his or her military service and prior to initial enrollment];

- (5) Documentation confirming whether the student-athlete did or did not receive exceptional or elite-athlete status while enlisted in the military; and
- (6) Additional documented mitigation (e.g., circumstances outside of the individual's or institution's control), if any, related to why relief is warranted from Bylaws 12.8.3.2.1 and 12.8.3.2.2.

#### 3. Bylaw 12.8.3.5 (Participation After 21st Birthday – Men's Ice Hockey and Skiing).

• Directive.

At the October 2000 meeting, the committee issued a directive to deny requests to waive Bylaw 12.8.3.5 in light of historical intent of the legislation and a defeated legislative proposal to exempt competition associated with international military service from the 21st birthday rule (even if the international military service was mandatory). Staff published an article in the November 20, 2000, edition of The NCAA News regarding the committee's policy. The committee reaffirmed its October 2000 directive regarding military service during its March 2010 meeting and noted that it applies to all situations under Bylaw 12.8.3.5, including the legislative changes effective August 1, 2020, related to men's ice hockey and skiing.

#### • <u>Common Assertions for Relief.</u>

Guideline.

During its March 2010 meeting, the committee reviewed the most common assertions submitted as a basis for relief for waiver requests involving Bylaws 12.8.3.2.1 (delayed enrollment – sports other than men's ice hockey, skiing and tennis), 12.8.3.2.2 (delayed enrollment – tennis), 12.8.3.2.2.2 (matriculation after 20th birthday – tennis) and 12.8.3.5 (participation after 21st birthday – men's ice hockey and skiing). The committee noted that the most common assertions submitted as mitigation are as follows:

- (1) The student-athlete participated in a minimal amount of competition or the type of competition was not of the caliber that should subject a student-athlete to the use of season(s) of competition;
- (2) The student-athlete is an international student and/or did not have knowledge of the amateurism legislation, delayed-enrollment legislation or NCAA rules in general prior to participating in competition resulting in the use of season(s) of competition;
- (3) The institution discovers that the student-athlete is subject to the use of season(s) of competition after the student-athlete enrolled and began competing at the institution; and
- (4) The student-athlete relied on misinformation from various NCAA coaching

staff members or the applicant institution's coaching staff during the recruitment process and/or was not informed of the legislation.

The committee agreed that while it will continue to review requests on a case-bycase basis, the assertions in and of themselves will not likely result in relief from the legislation without the demonstration of extenuating or extraordinary circumstances.

The committee noted the primary analysis for such waiver requests shall focus on the circumstances outside of the student-athlete's control (e.g., specific event that necessitated the delay such as a financial hardship or the death of a family member) that necessitated the individual's delayed collegiate enrollment. Specifically, the staff and committee will evaluate the duration of time that the mitigation impacted the student-athlete's ability to enroll (e.g., chronology of events), what circumstances have changed related to the specific event (e.g., how has situation improved or changed) and whether or not the student-athlete initially enrolled at his or her first opportunity after the mitigation was remedied.

#### <u>Bylaw 13</u>.

# 1. <u>Notification of Transfer Outside Transfer Windows [Division I Bylaws 13.1.1.3.1 and 13.1.1.3.2]</u> – Information Standards, Guidelines and Directives.

During its May 14, 2024 meeting, the committee discussed waiver requests to enter a studentathlete into the NCAA Transfer Portal outside of a student-athlete's sport-specific transfer window. The committee noted that while requests will be reviewed on a case-by-case basis, cases asserting academic or athletics reasons (e.g., lack of participation opportunity, change in role or position, change or reduction of playing time, coaching change occurring more than 30 days prior) and lack of knowledge of legislation should be denied.

The committee confirmed staff may only consider relief when the following exists:

- (1) Contemporaneous, objective documentation demonstrating the student-athlete needs to be entered into the NCAA Transfer Portal because of an exigent event outside the student-athlete's control unrelated to athletics opportunities or participation at their current institution that demands the student-athlete's immediate departure from the institution (e.g., physical or sexual assault); and
- (2) The exigent event occurred after the student-athlete's most recent opportunity to be entered into the NCAA Transfer Portal (e.g., transfer window) concluded.

Pursuant to the committee's policies and procedures, legislative relief waivers of the notification of transfer window legislation will not be considered urgent requests.

The committee noted a student-athlete can permissibly discuss and obtain information on the transfer and admissions processes of other institutions without being entered in the NCAA Transfer Portal (e.g., speak to an admissions officer) provided the communications do not involve institutional athletics staff or boosters.

#### 2. Local Sports Clubs [Bylaws 13.11.2.4 and 13.11.2.4.1] – Information Standards.

During its October 2002 meeting, the committee approved information standards for institutions requesting to waive the local sports club legislation.

If an institution is seeking a waiver on behalf of a prospective student-athlete to allow participation in local sports clubs beyond the permissible 50-mile limitation, the following information must be submitted:

- a. Documentation of other opportunities (if any) for clubs that exist within the 50-mile radius; or
- b. Other opportunities outside the 50-mile radius without institutional involvement. If there are other club opportunities available to the prospective student-athlete within the 50-mile radius, the request will be denied unless extenuating circumstances are presented.

If an institution is seeking a waiver on behalf of one of its coaches to participate in a local sports club that does not meet the legislation, the following information must be submitted:

- a. Documentation of the extenuating circumstances that caused the waiver to be submitted; and
- b. An indication of the time frame of the coach's continued involvement with the club team. If the institution is seeking a waiver to permit the coach to continue with a club indefinitely or for a substantial amount of time, the request will be denied.

Lastly, in all local sports club requests, the committee will require documentation from the institution indicating whether the institution is recruiting the involved prospective student-athlete and whether it is willing to abstain from recruiting the prospective student-athlete if the waiver is granted.

#### 3. <u>Summer Basketball Leagues [Bylaws 13.18 and 13.18.1] – Guideline.</u>

At the January 2003 Management Council meeting, the legislation was amended to require that participants on nonscholastic teams be legal residents of the state in which the team is located or a geographically adjoining state and not more than a total of three prospective student-athletes from adjoining states may participate on any one nonscholastic team.

During its April 2003 meeting, in preparation for the possibility of future legislative relief requests seeking to waive this legislation, the committee consulted with the chair of the NCAA Division I Men's Basketball Issues Committee and approved the following guideline: Relief from the strict application of the legislation should be granted only in situations in which no permissible team exists that will allow a prospective student-athlete the opportunity to participate in any NCAA-certified event.

#### Bylaw 14.

#### 1. <u>Initial-Eligibility Issues [Bylaw 14.3] – Guidelines.</u>

During its October 2000 meeting, the committee reviewed situations where institutions were seeking an additional season of competition or attempting to change a studentathlete's certification as a partial qualifier or a nonqualifier. The committee determined that since another body exists to address initial-eligibility violations, the committee should not review these cases. The committee determined that it would no longer review requests to waive Bylaw 14.3 with the exception of Bylaw 14.3.4, inasmuch as there is no body in place to review the transfer aspect of the initial-eligibility legislation.

#### 3. <u>Guidelines for Waivers Involving Bylaws 14.3.2.3 (Outside Competition –</u> Nonqualifier) and 17.31.1 (Outside Competition – Sports Other Than Basketball).

During its March 2014 meeting, the committee agreed that relief may be provided for waivers involving Bylaws 14.3.2.3 and 17.31.1 for nonqualifiers to practice or compete on an outside team during the academic year of residence.

Specifically, the committee agreed relief could only be provided when the following information or circumstances are presented:

- a. The competition must be elite-level competition (e.g., national team);
- b. The competition occurs during a vacation period between terms and **during a** holiday or official vacation period as listed on the institution's academic calendar; the student-athlete shall not miss class time or other academic support (e.g., tutoring);
- c. The competition is limited to one event during the first regular academic term and a maximum of two events per year;
- d. The student-athlete must be meeting all NCAA and conference progress-towarddegree requirements and be in good academic standing as defined by the institution; and
- e. The waiver request must include a letter of support from the faculty athletics representative.

The committee noted that waivers of this legislation will be reviewed on a case-by-case. basis. (*Adopted March 2014*).

# 3. <u>Requests to Waive Eligibility for Institutional Financial Aid Legislation [Bylaw</u> 14.5.5.4] Guideline.

During its September 2014 meeting, the committee transferred waiver authority of all Bylaw 14.5.5.4 waivers to the NCAA Division I Progress-Toward-Degree Waivers Committee. The Committee for Legislative Relief determined that because another body exists to address

eligibility for institutional financial aid, the committee would no longer review these cases.

## 4. <u>Postgraduate Student Transfers [Bylaw 14.6] – Information Standards, Guidelines</u> <u>and Directives.</u>

During its June 2020 meeting, the Committee for Legislative Relief adopted the following information standards for waivers of Bylaw 14.6.1 for those student-athletes transferring for the 2020-21 academic year or thereafter:

- a. Documentation from the appropriate academic authority that the student-athlete has been accepted at the institution he or she wishes to transfer to in accordance with the institution's academic offerings and requirements available to any incoming postgraduate student (e.g., second baccalaureate degree, major, minor, certificate program, graduate degree program, etc.).
- b. Documentation indicating whether the program of study is available at the studentathlete's previous institution.
- c. A statement from the student-athlete detailing the reasons for the transfer.
- d. A statement confirming the student-athlete was athletically eligible and in good standing with the previous team at the time of transfer (i.e., not dismissed from the team for rules violation nor subject to a disciplinary suspension) and was otherwise academically eligible to compete at their previous institution had they remained.

#### <u>Bylaw 15</u>.

#### 1. <u>Retroactive Financial Aid [Bylaw 15.3.1.3] – Guidelines.</u>

During its October 2002 meeting, the Committee for Legislative Relief approved the following guidelines for the staff to consider in evaluating retroactive financial aid cases: (1) Prior to the student-athlete commencing the academic work, it was agreed on by the student-athlete and the coaching staff, financial aid officer or other involved institutional administrator that the student- athlete would receive financial aid for the credit hours; and (2) Except for an administrative error or lack of communication, the student-athlete would have received the aid.

#### 2. <u>Period of Award (One-Year Period) and Assertions Involving Misinformation or</u> <u>Misapplication of the Legislation [Bylaw 15.3.3.1] – Guideline.</u>

During its March 2010 meeting, the Committee for Legislative Relief reviewed case precedent and determined that cases involving assertions of misinformation or a lack of information from institutional personnel (e.g., compliance, financial aid office, coaching staff member) for waivers of Bylaw 15.3.3.1 would be analyzed on a case-by-case basis; however, the committee is inclined to deny.

# <u>Bylaw 17</u>.

# 1. <u>Maximum Contest Limitations in the Sport of Basketball [Bylaw 17.3.5.1] –</u> <u>Information Standards and Guidelines</u>.

During its January 2019 meeting, the Committee for Legislative Relief agreed to develop guidelines and information standards for waivers of the maximum contest limits in the sport of basketball. For a waiver to be granted, an institution must demonstrate the requested relief is due to documented mitigating circumstances outside of the institution's control and directly impacts the institution's basketball team. If an institution fails to document unique, mitigating circumstances outside of the institution's control, the waiver should be denied.

The Committee for Legislative Relief agreed that while it will continue to review requests on a case-by-case basis, the most common assertions submitted as mitigating circumstances are outlined in the remainder of this section.

#### 2. <u>Assertions of Institutional Error Due to Misinformation</u>.

a. Guidelines.

During its January 2019 meeting, the Committee for Legislative Relief reviewed case precedent and developed guidelines and information standards for assertions of an institutional error as the basis for relief of the maximum contest limits in the sport of basketball. The Committee for Legislative Relief also approved the following guidelines:

- (1) In cases where the institutional error led to the institution entering into a contract to participate in a contest that would cause the institution to exceed the maximum contest limits and applicant institution documented that it relied on misinformation when entering into the contract, relief may be provided with the conditions that the waiver be approved on a one-time basis and future waivers may be denied, and that the team reduce its maximum contest limit by one the following academic year. Additionally, the institution's president/chancellor may receive a letter detailing the institutional error.
- (2) If the institution provides documentation demonstrating institutional error but the institution is not at its maximum contest limits, the case should be denied.
- (3) If the institution is unable to provide documentation demonstrating institutional error, the case should be denied.
- b. Information Standards.

The Committee for Legislative Relief determined that if an institution asserts institutional error as a mitigating circumstance for relief of the maximum contest

limit, the following must be included in the request:

- (1) A written statement of explanation from the person or persons responsible for or accused of providing erroneous information, which summarizes the information given to the individual(s) responsible for basketball scheduling and game contracts;
- (2) Contemporaneous documentation demonstrating the misadvise (e.g., notes, approval of game contracts, etc.);
- (3) A statement from the compliance staff detailing the chronology of events and education provided to individuals(s) responsible for basketball scheduling and game contracts, including information regarding the institution's knowledge of the multiple-team event educational column and why the compliance staff was not involved prior to the individual(s) signing the contract for the institution's basketball team to participate in the multiple-team event;
- (4) A statement from the individual(s) responsible for signing a game contract demonstrating whether the individual(s), in good faith, relied on the erroneous information to the institution's detriment; and
- (5) A statement of support for the waiver from the institution's athletics director.

#### 3. <u>Assertions of Financial Hardship</u>.

a. Guidelines.

During its January 2019 meeting, the Committee for Legislative Relief reviewed case precedent and developed information standards for assertions of financial hardship. The committee indicated that the information standards should require the financial hardship to be a result of an event operator error or the cancellation of a multiple-team event. The committee also approved the following guidelines:

- (1) In cases in which the institution is seeking to play an additional regular season contest to replace a multiple-team event contest lost due to the multiple-team event being cancelled or an event operator failing to secure an opponent to play the contest guaranteed in the multiple-team event contract and supporting objective documentation is provided demonstrating a financial hardship for the institution, relief may be provided; and
- (2) If the institution is unable to provide documentation demonstrating a financial hardship, the case should be denied.
- b. Information Standards.

During its January 2019 meeting, the Committee for Legislative Relief developed

information standards for situations where the institution requests a waiver to play an additional regular season contest when the waiver is necessitated by financial considerations due to an event operator error or the cancellation of a multiple-team event:

- (1) A copy of the institution's qualifying regular season multiple-team event contract that includes language guaranteeing the team the contest in the event;
- (2) A statement from the event operator detailing the failure to secure the contest guaranteed in the institution's multiple-team event contract or the cancellation of the multiple-team event;
- (3) A statement from the event operator detailing whether or not the event operator has failed to secure all games guaranteed to any institution pursuant to the institution's multiple-team event contract in the past.
- (4) A statement from the event operator detailing whether or not the event operator has failed to secure all games guaranteed to any institution pursuant to the institution's multiple-team event contract in the past.
- (5) A statement describing the steps taken by the event organizer and the institution to secure an opponent for the institution's contest in the event;
- (6) A statement from the institution detailing the estimated financial hardship associated with not participating in the contest in the event (e.g., estimated revenue, game guarantee);
- (7) A statement from the institution detailing the steps taken by the institution to vet the contractual obligations prior to submission of the waiver (e.g., steps taken to try and recover the financial hardship, pursuing legal action with the event operator for a potential breach of contract); and
- (8) A statement of support for the waiver from the institution's athletics director.

#### 4. <u>Assertions Involving Two Teams from the Same Conference Participating in the Same</u> <u>Multiple-Team Event</u>.

During its January 2019 meeting, the Committee for Legislative Relief developed information standards for situations in which two institutions from the same conference seek to participate in the same multiple-team event due to conference realignment:

- a. A copy of each institution's qualifying regular season multiple-team event contract;
- b. A statement describing the steps taken by the institutions to secure another qualifying multiple-team event to participate in;
- c. A statement from the institutions detailing the estimated financial hardship

associated with not participating in the multiple-team event (e.g., financial penalty, estimated revenue, game guarantee;

- d. A statement from the conference indicating the date of the institution's official acceptance into the new conference; and
- e. A statement of support for the waiver from each institution's athletics director.

# 5. <u>Basketball – Exhibition Games to Raise Funds for Catastrophic Events, Social Justice</u> Initiatives and General Medical Expenses.

• Guidelines.

On August 1, 2024, the Committee for Legislative Relief approved a recommendation issued by the NCAA Division I Men's and Women's Basketball Oversight Committee to permit an institution to conduct both of its two permissible exhibition games in the sport of basketball against a Division I institution for the purposes of raising funds for a catastrophic events (as defined by the Federal Emergency Management Agency), social justice initiatives and/or general medical expenses (e.g., American Cancer Society, local health emergency):

- (1) Exhibition game must occur prior to the first permissible contest date;
- (2) Exhibition game may occur at any location, other than a prospective student-athlete's educational institution or a facility that is regularly used by prospective student-athletes for practice or competition;
- (3) No relief provided of time demands legislation (e.g., required day off, return time of 5 a.m. on day following competition);
- (4) All net proceeds from exhibition game must go to a 501(c)(3)(s) to support humanitarian efforts for the catastrophic event, social justice initiatives and/or general medical expenses (e.g., American Cancer Society, local health emergency); and,
- (5) Institutions must report back to the NCAA the amount of money raised from the exhibition game and the name of the 501(c)(3) within 30 days following the date of the exhibition game.

The Committee for Legislative Relief noted waivers to permit an institution to participate in an additional exhibition game or scrimmage in the sport of basketball will be denied, and previously signed contracts will not be considered mitigating circumstances.

#### 6. <u>Requests to Waive Outside Competition Legislation [Bylaw 17.31.1] – Directive.</u>

Men's Lacrosse: Minto Cup and Mann Cup. During its October 2006 meeting, the

Committee for Legislative Relief determined that based on the extended history of the Canadian Minto Cup and Mann Cup (since 1901), the small number of student-athletes participating each year (four to six) and the amateur nature of the competition, relief via the waiver process could be provided as long as the student-athletes are missing no more than two days (i.e., 48 hours) of class and/or campus time (including weekends).

The Committee for Legislative Relief determined that minimizing the amount of missed class time is consistent with the intent of the legislation and the overall principle that student-athletes should miss minimal class and/or campus time due to outside competition occurring during the regular academic year.